

# On the Complexity of Group Isomorphism

Fabian Wagner
Institut für Theoretische Informatik
Universität Ulm
fabian.wagner@uni-ulm.de

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#### Abstract

The group isomorphism problem consists in deciding whether two groups G and H given by their multiplication tables are isomorphic. An algorithm for group isomorphism attributed to Tarjan runs in time  $n^{\log n + O(1)}$ , c.f. [Mil78].

Miller and Monk showed in [Mil79] that group isomorphism can be many-one reduced to isomorphism testing for directed graphs. For groups with n elements, the graphs have valence at least n. We introduce a different reduction for isomorphism testing, where the valence of the graphs, say X(G) and X(H), and the complexity of the isomorphism test is closely related to the structure of the groups.

The composition series isomorphism problem is given two composition series S for G and S' for H, such that any subgroup of G according to S is mapped blockwise onto that of H according to S'. In the reduction onto graph isomorphism, we get graphs X(G,S) and X(H,S'). Then for p-groups we find such an isomorphism in time  $n^{cp}$  and for general groups in time  $n^{c\log n/\log\log n}$ , for a constant c.

As pointed out by D. Rosenbaum [Ros12], there was an error in an earlier version of this work. This affected the bound of composition series isomorphism for general groups, and remained correct for *p*-groups. Therefore, we introduce a new processing step for general groups.

We analyze the time complexity for three isomorphism testing algorithms with respect to two parameters  $\beta, \gamma$  which depend on the group structure. With a combination of the algorithms we also can show that group isomorphism is in time  $n^{c(\gamma+\log\beta+\log n/\log\log n)}$ , and p-group isomorphism is in time  $n^{c(p+\gamma+\log\beta)}$  with  $\beta, \gamma \leq \log_p n$ . Most recently, D. Rosenbaum improves in [Ros12] these bounds to  $n^{(1/2)\log n+O(1)}$  for p-groups and nilpotent groups, and to  $n^{(1/2)\log n+O(\log n/\log\log n)}$  for solvable groups.

#### 1 Introduction

Two groups G, H with ground set  $\Omega = \{1, ..., n\}$  are isomorphic if there is a mapping  $\phi : \Omega \to \Omega$  such that  $\phi(i) \cdot \phi(j) = \phi(i \circ j)$  where we assume that  $\circ$  is the group operation in G and  $\cdot$  in H. The group isomorphism problem (GrI) is to decide whether two groups G and H are isomorphic.

The complexity of group isomorphism has been studied for more than three decades. When groups are given by their generating sets (i.e., permutations over a ground set  $\{1, \ldots, n\}$ ) then the graph isomorphism problem is polynomial time Turing reducible to GrI, and this problem is known to be in NP  $\cap$  coAM [Luk93].

In [Mil79], isomorphism testing of explicitly given structures is many-one reduced to directed graph isomorphism. Groups can also be represented as a ternary relation R, i.e.  $(a, b, c) \in R$  iff ab = c. As a corollary, group isomorphism can be reduced to graph isomorphism. In this reduction, the graphs have unbounded valence.

Here, we consider groups with n elements given in table representation (also called Cayley groups), i.e., a table of  $n \times n$  entries where the entry (i,j) contains the product ij. The following algorithm for isomorphism testing on two Cayley groups G, H runs in time  $n^{\log n + O(1)}$ : compute a generating set A of size  $\log n$  for G. Then try all mappings from A bijectively onto each possible subset A' of H. There are  $\binom{n}{|A|} \cdot |A|!$  many such ordered sets. Check for each such map whether it can be extended to an isomorphism from G onto H. We start with A and A' as partial ordered sets and recursively check whether  $\phi(i) \cdot \phi(j) = \phi(i \circ j)$  is consistent with A and A'. We extend the partial ordered sets whenever  $\phi(i \circ j)$  is a new element. This algorithm is attributed to Tarjan, c.f. [Mil78] and it is improved by Lipton, Snyder and Zalcstein [LSZ76] as a sharper  $O(\log^2 n)$  space algorithm.

Arvind and Torán [AT04] give a 2-round Arthur-Merlin protocol for the group non-isomorphism problem such that Arthur and Merlin use  $O(\log^6 n)$  random bits and  $O(\log^2 n)$  non-deterministic bits, respectively. They derandomize this protocol in the case of solvable groups.

For abelian groups when given as generating sets, isomorphism can be tested in linear time [Kav03], it is hard for ModL and contained in  $\mathsf{ZPL}^\mathsf{ModL}$  [AV04]. When given in multiplication tables then isomorphism testing is trivially in L, and also in  $\mathsf{TC}^0(\mathsf{FOLL})$  (see [CTW10]). On the one side, not far from abelian appear the hardest cases, namely nilpotent groups of class 2. For these groups, the center Z(G) and the quotient G/Z(G) are abelian. On the other side, Babai et al. [BCGQ11] consider groups without abelian normal subgroups. They prove that isomorphism testing for this class of groups is in time  $n^{O(1)+c\log\log n}$ . With parameter t(G), defined to be the smallest t such that each minimal normal subgroup of G has at most t simple groups, isomorphism testing is in time  $n^{O(1)+c\log(t(G))}$  for a constant c. Quiao, Sarma and Tang [QMT11] present a framework to test isomorphism of groups with at least one normal Hall subgroup, when groups are given in multiplication tables.

**Group decomposition.** It is well known that a composition series even for permutation groups can be obtained in polynomial time [Luk87] and also in NC [BLS87]. For a group G it can be obtained as follows:

- Compute the  $socle\ Soc(G)$  of G, this is a normal subgroup isomorphic to a direct product of simple groups (or the direct product of some minimal normal subgroups of G).
- Decompose the factor group G/Soc(G), recursively. For groups with n elements, the composition series has length at most  $\log n$ .
- A minimal normal subgroup is a direct product of isomorphic simple groups. Here, we do not initially decompose minimal normal subgroups. We guess the arrangement of those minimal normal subgroups which are isomorphic within the socle. We do this for each socle in the decomposition process.

This gives so far a normal series S with semisimple factor groups. Let seq(S) denote the sequence of factor groups given by S. This group decomposition is central to our isomorphism

testing algorithms (also see Section 4). We consider two parameters (also see Definition 4.6) which depend on the recursive decomposition into socles and their minimal normal subgroups:

- Let  $\beta$  be the maximum number of isomorphic minimal normal subgroups in any socle of this decomposition process.
- Let  $\gamma$  be the maximum composition length of any minimal normal subgroup in any socle of this decomposition process. Hence, there is a minimal normal subgroup where we need at least  $\gamma$  group elements to generate it.

Our contribution and most recent related work. We show a reduction from group isomorphism onto graph isomorphism where the valence of the graphs depends on the group structure. We compare three isomorphism testing algorithms and analyze their time-complexity depending on the parameters  $\beta$  and  $\gamma$ .

1. Tarjan's algorithm when given a normal series as above. Isomorphism testing for two groups G and H can be done in two steps.

First, we compute a normal series S for G and S' for H as above such that if G is isomorphic to H then also (G, seq(S)) is isomorphic to (H, seq(S')) respecting the structure induced by seq(S) and seq(S'), i.e., mapping the subgroups in S of G blockwise onto those in S' of H. Second, we fix coset representatives for each of the factor groups in S' as generators for H. Note, for each factor group we may need more than one element to generate it. For example, if a factor group is a direct product of K pairwise isomorphic cyclic groups then we have K generators (and K generators if we have non-abelian simple groups instead), all these are taken as coset representatives.

Then we run through all possibilities to select coset representatives with respect to S as generators for G. If the mapping of the generators induces an isomorphism from G onto H then we accept, otherwise we reject. Let the factor groups in S be direct products of simple groups of size at most p. Then this algorithm runs in time  $n^{2\log_p n}$ .

- 2. Reduction to graph isomorphism. This algorithm also has two steps. The first step is as before, we run through all arrangements of  $\beta$  pairwise isomorphic minimal normal subgroups within a socle. In total, this can be done in time  $n^{\log \beta}$ .
  - The second step is different: We many-one reduce group isomorphism to graph isomorphism where the valence of the graphs is bounded by p+1. For this we run through all possibilities to select coset representatives for the factor groups as generators. This number is at most  $n^{c\gamma}$ , for a constant c. In the reduction, we first construct a complete tree where each node has p children. We have several copies of this tree and also of a further graph gadget to encode the group multiplications. Isomorphism testing for valence-d graphs is in time  $n^{O(d)}$  [BL83]. Let the simple groups of the factor groups in S have size at most p. The group isomorphism algorithm runs then (for a constant c) in time  $n^{c(\gamma+\log\beta+(p+1))}$ .
- 3. Combination of both algorithms. We distinguish between those minimal normal subgroups which are a direct product of simple groups of size  $> \alpha$  and the others. We take the first algorithm to find generators for the minimal normal subgroups in the case  $> \alpha$  and we take the second algorithm for the remaining minimal normal subgroups. For a constant c, the algorithm runs in time  $n^{c(\gamma + \log \beta)}(n^{c \log_{\alpha} n} + n^{c(\alpha + 1)})$ .

Isomorphism on groups. The first algorithm runs faster if the composition length of G is small. The second algorithm is an improvement especially for groups where the decomposition has factor groups of small size (e.g. for p-groups where p is a small prime) and where also  $\gamma$  is small. The runtime of the third algorithm becomes minimal if we set  $\alpha = \log n/\log\log n$ .

Recently, D. Rosenbaum [Ros12] pointed out that in [Wag12] there was an error in the processing step, where the degree of nodes should be reduced from  $\geq \alpha$  to a constant, whereas nodes with degree  $< \alpha$  are not altered. The problem was that this construction does not work when there are nodes with degree less than  $\alpha$  having children with degree at least  $\alpha$ . However, for p-groups this construction process is not required, such that the mentioned results for p-groups remained correct. That is, Theorem 1.4 below follows immediately in [Wag12] by considering Theorem 6.1 and applying Theorem 6.7 for p-groups.

In Section 6 we prove the following theorem. D. Rosenbaum pointed out that it does not hold for all groups, but still for an interesting class of groups, where also p-groups are contained.

**Definition 1.1** Let  $\mathcal{G}$  be the class of groups which have a composition series where factor groups of size at least  $\log n / \log \log n$  come before factor groups of smaller size.

**Theorem 1.2** Group isomorphism for groups in G with n elements given in table representation, is in time (for a constant c):

$$n^{c(\gamma + \log \beta + \log n / \log \log n)}$$

In Section 7 we introduce a further processing step, which is new since the earlier version [Wag12] of this work. Intuitively speaking, we show how the factor groups can be arranged as desired, such that the techniques of the sections before can be applied for general groups.

**Theorem 1.3** Group isomorphism for groups with n elements given in table representation, is in time (for a constant c):

$$n^{c(\gamma + \log \beta + \log n/\log\log n)}$$

Isomorphism on p-groups and solvable groups. In Section 3 we explain the reduction. In Section 5, we introduce the algorithm for p-groups.

**Theorem 1.4** Group isomorphism for p-groups with n elements given in table representation is in time (for a constant c):  $n^{c(p+\gamma+\log\beta)}$ .

D. Rosenbaum [Ros12] improves this to time  $n^{(1/2)\log n + O(\log n/\log\log n)}$  in an earlier version and further to time  $n^{(1/2)\log n + O(1)}$ , and that this upper bound holds for nilpotent groups. Subsequently, he generalized the techniques to derive an algorithm for group isomorphism on solvable groups, which runs in time  $n^{(1/2)\log n + O(\log n/\log\log n)}$ .

Composition series isomorphism. Composition series isomorphism seems to be a slightly easier task than group isomorphism. Intuitively speaking, the  $n^{\log n}$  barrier comes here from the number of composition series we have to consider in the reduction onto graph isomorphism.

Corollary 1.5 Composition series isomorphism is in time

for groups (with constant c):  $n^{c(\log n/\log\log n)}$  for p-groups:  $n^{cp}$ .

## 2 Preliminaries

**Groups.** A group  $G = (\Omega, \circ)$  is a set  $\Omega$  together with an operation  $\circ$ , i.e. a 2-ary function, which satisfies the axioms of closure and associativity, G has a unique identity element e, and unique inverse elements. We also write in short  $g \in G$  and mean that  $g \in \Omega$  and gh in short for  $g \circ h$ . We consider finite groups where  $\Omega$  consists of n elements, i.e. we say that G has order or size |G| = n.

For an integer  $i, g^i$  is the element  $g \in \Omega$  multiplied i times with itself. If  $g^i = e$  for the smallest  $i \geq 1$ , then i is the *order* of g in G, in short we also write  $\operatorname{ord}(g) = i$ . The set  $\{g, g^2, \dots, g^{i-1}\}$  is denoted the *powers* of g. The element  $g^{-1}$  is the *inverse* element of g, it satisfies the equation  $g^{-1}g = e$ .

We write  $H \leq G$  to denote that H is a *subgroup* of G. We use the following notion  $G \setminus H = \{g \in G \mid g \notin H\}$  which has a different meaning than that of factor groups G/H defined below.

Let  $g \in G$ , then  $gH = \{gh \mid h \in H\}$  is a left coset of H in G, and  $Hg = \{hg \mid h \in H\}$  is a right coset of H in G. Any pair of left cosets (resp. right cosets) has the property that they contain either exactly the same set of elements or are disjoint. We write G as the union of its cosets

$$G = H + g_2 H + \dots + g_r H$$

to indicate that the cosets  $H, g_2H, \ldots, g_rH$  are disjoint and exhaust G. The elements  $g_2, \ldots, g_r$  are the *coset representatives*, these are arbitrary elements from each coset. We write H in short for eH, and assume that the identity e is the representative for H. We use these notions also when given left cosets. A set of representatives of all the cosets is called a transversal.

A group given in table representation, also denoted Cayley group, consists of a multiplication table of size n by n filled with numbers in the range from 1 to n. The total size is  $n^2 \log n$  bits. A set of elements  $S = \{g_1, \ldots, g_k\}$  of G is a generating set for a subgroup  $H \leq G$  if every  $g \in H$  can be expressed as a product of elements from S, we also write  $\langle S \rangle = H$ .

The direct product of two groups  $G = (\Omega, \cdot)$  and  $H = (\Omega', *)$ , denoted  $G \times H$ , is a group with element set  $\{(g, h) \mid g \in G, h \in H\}$  and an operation  $\circ$  defined elementwise  $(g, h) \circ (g', h') = (g \cdot g', h * h')$ .

A group is *commutative* or *abelian* if for all  $g, h \in G$ : gh = hg holds. An abelian group is isomorphic to the direct product of cyclic groups.

A subgroup H of G is said to be normal if for all  $g \in G$ , gH = Hg and we write  $H \triangleleft G$ . H is a minimal normal subgroup of G if there is no other normal subgroup of G contained in G. A group is simple if it does not have non-trivial normal subgroups. A group is semisimple if it is the direct product of simple groups. The socle of G is a subgroup generated by all minimal normal subgroups, it is denoted Soc(G). The socle is semisimple and it is a normal subgroup. We shall take the cosets G is a subgroup in G if G is a system G if G is a group (c.f. [Hal99], p. 27). The product depends solely on the cosets and not on the choice of the representatives. G is a group which we call the factor group or quotient group of G with respect to G and we write G is a group which we call the factor group or

A normal series of a group G is a finite sequence of subgroups  $G_1, \ldots, G_k$  with

$$\{e\} = G_k \triangleleft G_{k-1} \triangleleft \cdots \triangleleft G_1 = G.$$

A normal series is a composition series if for each i,  $G_{i+1}$  is a proper normal subgroup of  $G_i$  and each factor group  $G_i/G_{i+1}$  is simple. The Jordan-Hölder Theorem (see e.g. [Hal99], Theorem 8.4.4) states that if

$$S1: \quad \{e\} = G_k \triangleleft G_{k-1} \triangleleft \cdots \triangleleft G_1 = G$$
  
$$S2: \quad \{e\} = H_k \triangleleft H_{k-1} \triangleleft \cdots \triangleleft H_1 = G$$

are two composition series for G respectively, then the factor groups  $G_i/G_{i+1}$  are isomorphic to

 $H_{\pi(i)}/H_{\pi(i+1)}$  in some ordering  $\pi$  of the indices. Note, two non-isomorphic groups could have composition series with isomorphic composition factors.

**Definition 2.1** A complete set of coset representatives with respect to  $S_1$  is a sequence of tuples of group elements  $\vec{s} = (s_1, \ldots, s_{k-1})$  with  $s_i = (a)$  (or  $s_i = (a, b)$ ) such that  $aG_{i+1}$  (or  $aG_{i+1}, bG_{i+1}$ ) generate the simple factor group  $G_i/G_{i+1}$  that is cyclic (or non-abelian, respectively) and  $aG_{i+1}(bG_{i+1}) \in G_i \setminus G_{i+1}$ , for each  $i \in \{1, \ldots, k-1\}$ .  $\vec{s}$  generates G.

We generalize these notions for normal series with semisimple factor groups. If  $G_i/G_{i+1}$  is semisimple then we define  $s_i$  to be a tuple of generators.

The center of a group G is the set of elements which commute with all elements of G, i.e.  $Z(G) = \{z \in G \mid \forall g \in G, gz = zg\}$ . This set forms a commutative subgroup of G. The commutator of two elements  $g, h \in G$  is the element  $[g, h] = g^{-1}h^{-1}gh$ . A commutator subgroup or derived subgroup is the group [G, G] generated by all the commutators  $\{[g, h] \mid g, h \in G\}$ . When iterating this, we get the derived series with  $G^{(0)} = G, G^{(n)} = [G^{(n-1)}, G^{(n-1)}]$ . It is a descending normal series  $G^{(r)} \triangleleft \cdots \triangleleft G^{(1)} \triangleleft G^{(0)} = G$ . If  $G^{(i+1)} = G^{(i)}$  is non-trivial then this series terminates in a perfect group, i.e. it is equal to its own commutator subgroup. If  $G^{(i)} = \{e\}$  the trivial group, then the smallest such i is called the derived length. If all factor groups are commutative, then G is called solvable.

In contrast to the derived series, the *lower* or *descending central series* is defined  $G_r \triangleleft \cdots \triangleleft G_2 \triangleleft G_1 = G$  where  $G_{i+1} = [G_i, G]$  for  $i \in \{2, \ldots, r\}$ . Here,  $G_{i+1}$  is a normal subgroup of  $G_i$  and the factor group  $G_i/G_{i+1}$  is cyclic, for all i. If the lower central series terminates in the trivial group  $G_i = \{e\}$  then G is *nilpotent*. Nilpotent groups are solvable, the converse does not hold. The smallest such i defines the *nilpotency class of* G.

The conjugacy class of a group element  $g \in G$  is the set  $cl(g) = \{h^{-1}gh \mid h \in G\}$ . The normal closure of a group element  $g \in G$  is the group  $\operatorname{ncl}_G(g) = \langle cl(g) \rangle$ , i.e. a normal subgroup of G generated by all elements of the conjugacy class cl(g). Note,  $\operatorname{ncl}_G(g)$  is the smallest normal subgroup of G that contains g.

A permutation is a bijective mapping among elements in  $\Omega$ . The set of all permutations together with composition as operation forms a group, the symmetric group  $Sym(\Omega)$ . An automorphism  $\phi \in Sym(G)$  is a permutation over group elements such that  $\phi(g)\phi(h) = \phi(gh)$ . The automorphism group Aut(G) of a group G is a group with automorphisms as elements. The inner automorphism group Inn(G) is a subgroup of Aut(G), it contains all automorphisms of the form  $\phi: g \mapsto h^{-1}gh$  for all  $h \in G$ . In an automorphism  $\phi$  on G, a set  $S \subseteq G$  is fixed blockwise if  $\phi(g) \in S$  if and only if  $g \in S$ . Two groups G, H are isomorphic if there is a bijection  $\phi: G \mapsto H$ , such that  $\phi(g)\phi(h) = \phi(gh)$ . Group isomorphism is the problem to decide whether two groups given in table representation are isomorphic.

Composition series isomorphism is given two groups G, H and composition series S of G and S' of H, i.e.,

$$S: \{e\} = G_k \triangleleft \cdots \triangleleft G_1 = G$$
 and  $S': \{e\} = H_k \triangleleft \cdots \triangleleft H_1 = H$ 

such that for all i, the subgroups  $G_i$  and  $H_i$  are isomorphic. Note, an isomorphism that mapps the coset representatives according to S onto coset representatives according to S' (as in Definition 2.1) in correct order, gives a composition series isomorphism (G, S) onto (H, S').

**Graphs.** A graph G is a pair (V, E) with a set of vertices V = V(G) and edges  $E = E(G) \subseteq V \times V$ . We consider simple graphs, i.e. with undirected edges and without loops and multiedges. The size

of a graph is the number of its vertices. The distance between two vertices u, v in a graph G is the length of the shortest path between u and v.

The degree or valence of a vertex v in a graph G is the number of edges which have v as end vertex. The valence of a graph is the maximum valence of its vertices.

A graph is *connected* if there is a path between any two vertices. A graph is a *tree* if it is connected and does not have a simple cycle. A *root* of a tree is a designated vertex. A *rooted tree* is a tree with a root. Let  $(u, v, \ldots, r)$  be a simple path from u to the root r in a rooted tree. Then v is the *parent* of u and u is a *child* of v.

An isomorphism between graphs  $G_1 = (V, E_1)$  and  $G_2 = (V, E_2)$  is a bijective mapping  $\phi: V \to V$  such that  $\{u, v\} \in E_1$  if and only if  $\{\phi(u), \phi(v)\} \in E_2$ . Both graphs are isomorphic  $(G_1 \cong G_2)$  if such an isomorphism exists. An automorphism of graph G is a permutation  $\phi: V(G) \to V(G)$  preserving the adjacency relation:  $\{u, v\} \in E(G) \Leftrightarrow \{\phi(u), \phi(v)\} \in E(G)$ . A rigid graph has no automorphisms except the identity. In an automorphism  $\phi$  on G, a set  $U \subseteq V(G)$  is fixed blockwise if  $\phi(v) \in U$  if and only if  $v \in U$ . We also say that U is mapped blockwise onto itself

A Cayley graph of a group G with respect to generators  $g_1, \ldots, g_k$  of G is a graph with group elements as vertices and edges (u, v) with label  $g_i$  if there is a generator  $g_i$  with  $ug_i = v$  in G.

Complexity. For the following and further complexity theoretic notions we refer to standard textbooks, for example [Pap94]. The class P (or NP) contains languages accepted by a (non-) deterministic Turing machine with polynomial time bound. The class L (or NL) contains the languages accepted by a (non-) deterministic Turing machine where the work-tape is restricted to  $O(\log n)$  bits. Non-determinism means, that the machines are allowed to guess bits while computing the solution and verify it within the restricted resource bounds. The class  $AC^i$  contains the languages accepted by a DLOGTIME uniform family of Boolean circuits of depth  $O(\log^i n)$  and size polynomial in n, with unbounded fanin and-gates and or-gates. The class  $NC^i$  is  $AC^i$  where and-gates and or-gates have bounded fanin (i.e. fanin two).  $NC = \bigcup_i NC^i$ . The following containments are known:

$$\mathsf{AC}^0 \ \subset \mathsf{NC}^1 \subseteq \mathsf{L} \ \subseteq \mathsf{NL} \ \subseteq \mathsf{AC}^1 \ \subseteq \mathsf{NC}^2 \ \subseteq \cdots \subseteq \mathsf{NC} \subseteq \mathsf{P} \ \subseteq \mathsf{NP}$$

A language L is  $AC^0$  many-one reducible (in short  $\leq_m^{AC^0}$ ) to a language L' if there is a total function f computable in  $AC^0$  so that for all words,  $w \in L_1$  if and only if  $w \in L_2$ . We consider reducibility with respect to DLOGTIME uniformity. The notions L, NC or P many-one reducibility are defined accordingly.

# 3 Reduction to Graph Isomorphism

In this section we reduce group isomorphism onto graph isomorphism. Following the reduction in [Mil79], for groups with n elements the valence of the graphs is at least n. We introduce a different reduction to graph isomorphism. If we break up the group into smaller pieces, i.e. characteristic subgroups, then in our construction we get graphs with smaller valence.

The construction. Let G be a group with n elements in table representation. The reduction goes in two steps.

First, we define a graph T(G) as follows: For every group element  $g \in G$  there is an element vertex g in T(G). There is a root vertex eG, it is connected to all element vertices in T(G).

Second, from this tree we construct a graph X(G). X(G) contains a main copy of T(G). For every element vertex g in T(G), we have a further copy  $T_g$  of T(G). The root of  $T_g$  is identified with g. The leaves of  $T_g$  are connected to graph gadgets which encode the multiplication in G. Figure 1 shows the construction for a multiplication graph gadget  $M_{gh=k}$ . Hence, each node  $g^{(h)}$  is connected to three multiplication graph gadgets, namely when g is multiplied with h in this order, i.e. to vertex  $x_{gh=k}$  in  $M_{gh=k}$ , and vice versa, i.e. to vertex  $y_{hg=i}$  in  $M_{hg=i}$ , and when g is the result of a multiplication with h, i.e. to vertex  $z_{jh=g}$  in  $M_{jh=g}$ , for corresponding group elements  $i, j, k \in G$ .

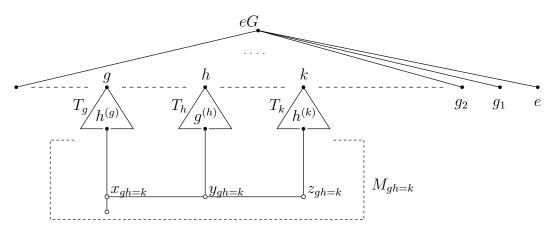


Figure 1: The graph X(G) is shown together with some element vertices  $e, g_1, g_2, g, h, k$ . A multiplication graph gadget  $M_{gh=k}$  is indicated by white nodes.

### Claim 3.1 Every automorphism in G gives an automorphism in X(G).

**Proof.** The root node eG is the only node with valence n and so it is fixed. Hence, the vertices at each level in the construction are mapped onto each other. When the element vertices of the main copy T(G) in X(G) are fixed, then the whole graph is fixed. That is, because for every triple  $g, h, k \in G$  with gh = k there is a unique multiplication graph gadget  $M_{gh=k}$  and hence, there are unique paths from g to h and to k passing  $M_{gh=k}$ . Hence,  $g^{(h)}, h^{(g)}, k^{(h)}$  are fixed blockwise. Since every vertex at distance two to the root eG is connected to at least one multiplication graph gadget, all nodes of this level are fixed. The multiplication graph gadgets are rigid.

**Refinement of groups.** The graph X(G) has vertices with valence at least n. We construct a new tree T'(G). Let X'(G) be the graph X(G) where we replace each copy of T(G) by T'(G). The goal is that in X'(G) the nodes have smaller valence but the automorphism properties of X'(G) are the same as in X(G).

Let N be a characteristic subgroup in G. We get a normal series, namely  $\{1\} \triangleleft N \triangleleft G$ . Every group element  $g \in G$  can be written as a product  $h_1 n$  where  $n \in N$  and  $h_1 \in h_1 N$  is a coset representative. Let  $h_1, \ldots, h_k$  be a complete set of coset representatives.

We define a new tree T'(G) as follows.

• For every group element  $g \in G$  with  $g = h_i n$   $(i \in \{1, ..., k\})$  there is an element vertex  $h_i n$  in T'(G).

- For every coset representative  $h_1, \ldots, h_k$  there is a vertex  $h_i N$  in T'(G).
- There is a root vertex eG connected to the vertices  $h_1N, \ldots, h_kN$  in T'(G).

The rest of the construction of X'(G) is the same as for X(G). In particular, the tree copies connected to the leaves of T'(G) are copies of T'(G).

Remarks. We use the property that N is characteristic and that the cosets  $h_1N, \ldots, h_kN$  are mapped blockwise onto each other and that eN is fixed blockwise. The tree T'(G) respects these automorphisms. The valence of the graph is now the maximum of 1 + k and 1 + n/k where k is the number of cosets and |N| = n/k. Note, here we neglect that e.g.  $g^{(h)}$  has at least 4 neighbors. We remedy this later with minor changes to the construction. Figure 2 shows an example.

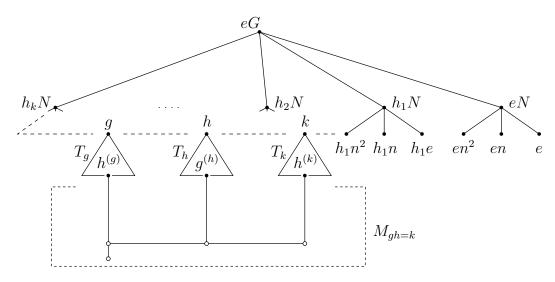


Figure 2: The graph X'(G) is shown together with coset representatives  $e, h_1, \ldots, h_k$  and with normal subgroup N, a cyclic group of three elements. The labels of the element vertices are written as products with an element in N and a coset representative.

Another important point is, that this construction does not depend on the selection of the coset representatives. For example, take  $h'_1 \in h_1N$  instead of  $h_1$  as coset representative. Since  $h'_1 \in h_1N$  there exists  $n \in N$  such that  $h'_1 = h_1n$  or equivalently  $h_1 = h'_1n^{-1}$ . Every element  $h_1n'$  can be written as  $(h'_1n^{-1})n' = h'_1(n^{-1}n')$ . Hence, taking a different element as coset representative implies a rearrangement among the children of the node  $h_1N$  in T'(G). Also if  $h'_1 \in h_iN$  instead of  $h_1N$  then this means that we also permute the cosets. This implies a rearrangement among the nodes  $h_1N, \ldots, h_kN$  in T'(G).

We repeat the decomposition of N and the factor group G/N to further reduce the valence. That is, in the tree T'(G) we add new intermediate layers. Hence, we end up in a normal series where each of the subgroups is characteristic and the factor groups are semisimple. The valence depends on the size of the largest factor group in this series.

## 4 Decomposition of Groups

In this section we discuss some technical details for the decomposition of groups. The motivation here is that the group decomposition is central in the isomorphism algorithms, for example in the reduction part to graph isomorphism. It also has an influence in the complexity analysis.

It is well known that a composition series even for permutation groups can be obtained in polynomial time [Luk87] and also in NC [BLS87]. The recursive decomposition of groups into normal subgroups and factor groups ends up in simple groups. Here, we just distinguish between two types of simple groups, namely the cyclic groups and non-abelian simple groups. We use the following fact.

**Fact 4.1** Finite simple non-abelian groups can be generated by two group elements.

The proof of this depends on the classification of the finite simple groups. Clearly, a cyclic group of prime order can be generated by one element. The non-abelian simple groups can be generated by two elements where one is an involution (see e.g. [MSW94]).

In the following we discuss two points. First, when decomposing groups we reduce the number of group elements, we need to define a complete set of coset representatives. For example if we have  $h_1 \notin N$  as a representative for  $h_1N$ , then we can take  $h_1^2$  as a representative for the coset  $h_1^2N$ . The goal is to describe all coset representatives by a small set of generators, namely coset representatives for those elements that generate the factor group G/N. If the factor groups are simple, then we have at most two elements for this. We show now, that all group elements in a simple group can be arranged uniquely when one or two generators are fixed. Second, we show how the groups can be decomposed.

## 4.1 Arrange group elements by generators

When given a set of generators for a group, where the generators are arranged in a unique order, then this induces a unique order for all group elements. This can be done for example by defining unique products to generate each group element and then by arranging them lexicographically.

**Generator-representation for groups.** For a group G there is a normal series S where the factor groups  $G_i/G_{i+1}$  for  $i \in \{1, ..., k-1\}$  are semisimple:

$$\{e\} = G_k \triangleleft G_{k-1} \triangleleft \cdots \triangleleft G_1 = G$$

- If  $G_i/G_{i+1}$  for  $i \in \{1, ..., k-1\}$  is cyclic, then we need one generator  $a_iG_{i+1}$  for  $G_i/G_{i+1}$ .
- If  $G_i/G_{i+1}$  is non-abelian and simple, then we need two generators  $a_iG_{i+1}$ ,  $b_iG_{i+1}$  for  $G_i/G_{i+1}$ .
- If  $G_i/G_{i+1}$  is semisimple, a direct product of l simple groups, then we need at most 2l generators  $a_{i,1}G_{i+1}, b_{i,1}G_{i+1}, \dots, a_{i,l}G_{i+1}, b_{i,l}G_{i+1}$  for  $G_i/G_{i+1}$ .

Let S be given by  $\vec{s} = (s_1, \dots, s_{k-1})$ , we say that an element g is given in generator-representation, if it can be expressed as a product  $w_1 \cdots w_{k-1}$  where

• 
$$w_i = a_i^{l_i}$$
 with  $s_i = (a_i)$  and  $l_i \in \{0, \dots, p_i - 1\}$  if  $G_i/G_{i+1}$  is cyclic,

- $w_i$  is a uniquely determined word of generators  $a_i, b_i$  with  $s_i = (a_i, b_i)$  if  $G_i/G_{i+1}$  is non-abelian and simple,
- $w_i$  is a uniquely determined word of generators  $a_{i,1}, b_{i,1}, \ldots, a_{i,l}, b_{i,l}$  with  $s_i = (a_{i,1}, b_{i,1}, \ldots, a_{i,l}, b_{i,l})$  if  $G_i/G_{i+1}$  is semisimple as denoted above.

Unique order for group elements. The following lemma says how group elements in simple groups can be arranged uniquely according to their generator-representation. This unique order depends on a given composition series.

**Lemma 4.2** Let G be a group and S a composition series given by  $\vec{s}$  as in Definition 2.1 on page 6. There is a logspace computable function that brings every group element in G into a new order that is uniquely determined according to their generator-representation.

**Proof.** Let  $\vec{s} = (s_1, \ldots, s_{k-1})$ . The group elements are arranged in lexicographical order according to their generator representation, i.e. with highest priority sort group elements according to a word  $w_1$  of group elements in  $s_1$ , then those which are equal are sorted according to a word  $w_2$  of group elements in  $s_2$  and so on, until we sort according to a word  $w_{k-1}$  of group elements in  $s_{k-1}$ .

Clearly, if  $s_i = (a)$  (i.e.  $G_i/G_{i+1}$  is cyclic) then a word  $w_i$  is a power of a, these can be distinguished by their exponent  $a^0 < a^1 < \cdots < a^{\operatorname{ord}(a)-1}$ . If  $s_i = (a,b)$  (i.e.  $G_i/G_{i+1}$  is non-abelian and simple) and we have an order a < b, then we compare products of these generators as words  $w_i$  lexicographically. Hence, it remains to say how to get unique words for the elements in this factor group.

Consider the Cayley graph of  $G_i/G_{i+1}$  where we have directed edges labeled with  $a_i$ ,  $a_i^{-1}$  and  $b_i, b_i^{-1}$ . Since  $a_i, b_i$  are generators, this graph is connected. We define as order  $id < a_i < a_i^{-1} < b_i < b_i^{-1}$ .

Claim 4.3 In a Cayley graph of a group, if all generators are arranged in a unique order then there is a logspace computable function which arranges all group elements in a unique order.

**Proof.** The proof is adapted from [DLN08], where planar 3-connected graphs embedded in a plane are canonized. This procedure also works in logspace for general graphs when given a cyclic arrangement for the edges going around each vertex, c.f. *oriented graphs* in [Wag10].

Let  $a_1 < a_1^{-1} < \cdots < a_{k-1} < a_{k-1}^{-1}$  be a unique order for all generators. We complete this to a cyclic arrangement  $\rho$  with  $a_{k-1}^{-1} < a_1$ . Hence, the Cayley graph is an oriented graph now. We follow a path starting at node e in direction of the edge labeled with  $a_1$  with a universal exploration sequence [Rei08]. That is, a logspace machine traverses the whole graph and reaches in polynomial number of steps every vertex at least once. Let p be this path. A second logspace machine goes through this path p and computes all the positions when each vertex is reached for the first time. These paths up to a certain position can be seen as a product with generators that evaluates to a group element. We can sort all group elements according to the position of their first occurrence in this path.

This completes the proof of Lemma 4.2.

Since the computations are deterministic and independent from the table representation of the group elements, we immediately get the following corollary.

Corollary 4.4 Let G, H be two groups and S, S' be composition series given by complete sets of coset representatives. If these induce an isomorphism from G onto H then Lemma 4.2 gives that isomorphism from G onto H.

## 4.2 Computation of a composition series.

Let G be a group with n elements. We summarize the main steps of the decomposition process which come preliminary to the isomorphism tests.

- Decompose G into a characteristic subgroup N and a factor group G/N. We take N = Soc(G) the socle of G.
- Decompose the factor group recursively this way until we end up in a *normal series* where each factor group is semisimple (i.e. a direct product of simple groups).
- Decompose the socles in each step of the decomposition process into minimal normal subgroups.
- Compute generators for semisimple factor groups.
- Arrange all simple groups in the decomposition of socles according to the minimal normal subgroups, see Definition 4.6 below.

Generators for semisimple factor groups. For this we compute first the normal closure ncl(x) for all elements  $x \in G$ . Note, ncl(x) = G for all  $x \in G$  if and only if G is already simple.

The algorithm will give us generators for Soc(G). If  $G_i/G_{i+1}$  is cyclic, then we get one coset representative, if it is non-abelian and simple, then we get two and if  $G_i/G_{i+1}$  is semisimple with composition length l, then we get up to 2l coset representatives.

Note,  $\mathsf{ncl}(x)$  is the smallest normal subgroup which contains x. These are minimal normal subgroups, i.e. a direct product of isomorphic simple groups. We run through all elements  $x \in G$  and select those, where  $\mathsf{ncl}(x)$  is not contained in another subgroup  $\mathsf{ncl}(y)$  encountered before. It is also useful to have the following (c.f. Proposition 1.5.1 in [Faw09]).

#### **Fact 4.5** Any two distinct minimal normal subgroups of a group G must intersect trivially.

The socle Soc(G) is a subgroup generated by all minimal normal subgroups. The socle is a normal subgroup of G, it is a direct product of simple groups. For these and more facts, see [Faw09].

There is one task, namely to break up the socle into its simple groups. For this we use Fact 4.5, i.e., that the simple groups from the socle come from all the minimal normal subgroups. Hence, it suffices to break up each minimal normal subgroup N into its simple groups:

• If N is abelian, then consider all elements of prime order. Go through them from left to right and select an element as generator if it is not generated by the group elements of prime order to the left.

To see this, we refer to Lemma 3.2.1 and 3.2.2 in [Hal99], namely that every group element can be written uniquely as a product of prime power elements, and prime power elements can be generated by prime elements.

• If N is not abelian, then we need for each of the simple groups in N two generators. Let  $N = K_1 \times \cdots \times K_i$ . We search a pair of elements in N which generates a simple group  $K_1$  which is normal in N. For this, we compute  $\operatorname{ncl}_N(g)$  for  $g \in G$  until we find a non-trivial normal subgroup  $K_1$  of N. We repeat this for  $N/K_1$ , in the end we obtain generators for all simple groups  $K_2, \ldots, K_i$ .

**Arrange factor groups in composition series.** For an isomorphism test, the first task is to arrange the simple groups of the socle by their isomorphism type. This can be done as follows.

In the case of abelian groups isomorphism testing can be done in linear time [Kav03]. In the algorithm, the orders of all group elements are simply compared. Hence, when sorting these sequences they can be compared lexicographically. This defines an order  $\prec$  on abelian groups.

We define an order  $G \prec H$  on two simple non-abelian groups if one of the following holds:

- |G| < |H| or
- |G| = |H| but  $T_G < T_H$  which is defined as follows. Let (a', b') be a pair of elements which generate H. Since we have only two generators for simple groups, we run through all pairs (a, b) of group elements in G. As in Claim 4.3 we compute Cayley graphs with a < b in G and a' < b' in H. The claim sais, that the group elements can be arranged in a unique order in logspace. Now, compare the multiplication tables  $T_G$  and  $T_H$  with group elements arranged in this order line by line and bit by bit.

We define G = H if the groups are isomorphic, i.e. if neither  $G \prec H$  nor  $H \prec G$  holds. We define  $G \prec H$  if  $G \prec H$  or G = H holds.

With this notion we define an order on the composition factors in a composition series of a group.

**Definition 4.6** A well ordered sequence of composition factors is a sequence of factor groups in a composition series where factor groups are sorted as follows.

- 1. According to the recursive decomposition into normal subgroups and factor groups, i.e.  $\{e\} \triangleleft Soc(G) \triangleleft G$ , where we refine G/Soc(G) recursively as we do for G.
- 2. The socles in the decomposition process are direct products of minimal normal subgroups, i.e.  $Soc(G) = K_1 \times \cdots \times K_i$ , where (for  $j \in \{1, \ldots, i\}$  and  $\gamma_j \geq 1$ ) each  $K_j = K_{j,1} \times \cdots \times K_{j,\gamma_j}$  is a direct product of isomorphic simple groups. Arrange  $K_{1,1}, K_{2,1}, \ldots, K_{i,1}$  according to  $\prec$ , for example if  $K_{1,1} \leq K_{2,1}, \leq \cdots \leq K_{i,1}$  then we refine  $\{e\} \triangleleft \cdots \triangleleft Soc(G)$  where all the factor groups are arranged  $K_{1,1}, \ldots, K_{1,\gamma_1}, K_{2,1}, \ldots, K_{i,\gamma_i}$  in this order from left to right.

We define two parameters.

- Let  $\beta$  be the maximum number of isomorphic minimal normal subgroups in  $K_1, \ldots, K_i$  in all levels of recursion.
- Let  $\gamma = \max_{j=1}^{i} \gamma_j$  be the maximum number of isomorphic simple groups in  $K_1, \ldots, K_i$  in all levels of recursion.

For a composition series S, let seq(S) be the sequence of composition factors in S. Note,  $\vec{s}$  induces a well ordered sequence of factor groups on G, namely seq(S).

Let G and H be two groups. For an isomorphism test, we compute a composition series for G, i.e. by recursively computing socles, and then we have to arrange in H within each of the socles in the decomposition process:

- $K_1, \ldots, K_i$  if these are isomorphic (i.e. a direct product of the same number of isomorphic simple groups) and
- within each  $K_j$   $(1 \le j \le i)$  all the simple groups.

In arbitrary two composition series the composition factors are well ordered with respect to Step 1 in Definition 4.6, because the socle of a group is *characteristic*. According to Step 2, we arrange the factor groups with respect to the order  $\prec$ . For this note, that if a group is a direct product then there are automorphisms which swap them. Hence, we get the following lemma.

**Lemma 4.7** For two isomorphic groups G and H, there are well ordered composition series S for G and S' for H as in Definition 4.6 with  $(G, \operatorname{seq}(S))$  isomorphic to  $(H, \operatorname{seq}(S'))$  such that this isomorphism maps the subgroups in this series  $\operatorname{seq}(S)$  blockwise onto those in  $\operatorname{seq}(S')$ .

**The algorithm.** In Algorithm 1 we show how a composition series for a group can be computed where the factor groups are arranged as in Definition 4.6. This algorithm is the basis for our isomorphism tests. We give some comments to the three main parts of this algorithm.

In the first part, we compute the minimal normal subgroups and put them into the set NCL. The socle Soc(G) is then generated by the members in NCL. Since some members in NCL generate the same subgroup, we have  $r \leq r'$ . If ncl(g) = G for all  $g \in G$  then G is simple, the composition series is trivially  $\{e\} \triangleleft G$  and we return  $\vec{s} = (s_1)$  with  $s_1 = (a)$  or  $s_1 = (a, b)$ .

In the second part, we compute for each minimal normal subgroup N its simple groups. We distinguish the situation whether N is abelian or not. Then we need one or two generators for a simple group, respectively.

In Line 9 and 12, we write in short  $\langle R(N) \rangle$  and mean the group generated by all group elements put into R(N).

In Lines 10 to 12, we aim to find coset representatives  $s_{i,1}, \ldots, s_{i,l_i}$  such that  $\langle s_{i,1} \rangle \times \cdots \times \langle s_{i,l_i} \rangle = N_i$  for all  $i \in \{1, \ldots, r\}$ . In the third part, in  $\vec{s}_1$  we encode a composition series for the factor group G/Soc(G), recursively. In  $\vec{s}_2$  we encode a composition series for Soc(G). We take the generators (i.e. in the tuples  $s_{i,j}$  for  $i \in \{1, \ldots, r\}, j \in \{1, \ldots, l_i\}$ ) of all the composition factors as coset representatives and get a well ordered complete set of coset representatives for G.

In Line 18,  $\vec{s_2}$  is the same as in Line 17, the elements are just relabeled.

Composition series for an isomorphism test. Later, in the isomorphism tests we will take Algorithm 1 to get a composition series for H, whereas we modify it for G as follows.

- Line 9: guess a generating set for N. If N is a direct product of  $\gamma_N$  cyclic groups, then we need  $\gamma_N \log |N|$  non-deterministic bits.
- Line 11 and 12: guess a generating set for N. If N is a direct product of  $\gamma_N$  simple non-abelian groups, then we need  $2\gamma_N \log |N|$  non-deterministic bits.

Algorithm 1 CompSeries: Compute a well ordered composition series for groups.

**input:** group G with n elements

**output:** well ordered composition series for G given by a complete set of coset representatives  $\vec{s}$  initialize: set of groups  $NCL = \{\}$ , sets R(N) for all  $N \in NCL$ 

```
{ 1. compute minimal normal subgroups }
 1: for each g \in G do
        \operatorname{ncl}_{G}(g) = \langle g_{1}, \dots, g_{j} \rangle \text{ with } \{g_{1}, \dots, g_{j}\} = \{h^{-1}gh \mid h \in G\}
 3: end for
 4: compute NCL = \{ \mathsf{ncl}(g) \mid \nexists h \in G \setminus \{e\} \text{ s.t. } |\mathsf{ncl}(h)| < |ncl(g)| \}
 5: Soc(G) = \langle N_1, ..., N_{r'} \rangle with \{N_1, ..., N_{r'}\} = NCL
 6: if \forall g \in G \setminus e: \operatorname{ncl}_G(g) = G (or G = \{e\}) then
               G is simple (or trivial), return \vec{s} = (s_1) = ((g)) (or \vec{s} = (s_1) = ((e)))
                       2. compute simple groups }
 7: for each N \in NCL do
        if N is abelian then
 9:
           for each \{g \in N \mid \operatorname{ord}(g) \text{ prime}, g \notin \langle R(N) \rangle \} do R(N) \leftarrow (g)
        if N is not abelian then
10:
           for each \{(g,h) \in N \times N \mid g \neq h, \exists n \in N : \langle g,h \rangle = \mathsf{ncl}_N(n)\}\ \mathbf{do}
11:
               if \langle g, h \rangle \cap \langle R(N) \rangle = \{e\} then R(N) \leftarrow (g, h)
12:
13: end for
                   { 3. compute all composition series }
14: \vec{s}_1 = \mathsf{CompSeries}(G/Soc(G)) = (s_1, \dots, s_i)
15: choose arbitrarily (N_1, \ldots, N_r) \in Sym(NCL) with s_i \leq s_j, \ \forall i < j \leq r \leq r', s_i \in N_i, s_j \in N_j
16: choose arbitrarily (s_{1,1},\ldots,s_{1,l_1},\ldots,s_{r,l_r})\in Sym(S(N_1))\times\cdots\times Sym(S(N_r))\cong Soc(G)
17: \vec{s}_2 = (s_{1,1}, \dots, s_{1,l_1}, \dots, s_{r,1}, \dots, s_{r,l_r}) represents the socle, i.e.
               Soc(G) = G_{1,1} \rhd G_{1,2} \rhd \cdots \rhd G_{r,l_r} \rhd G_{r,l_r+1} = \{e\}
               with G_{i,j}/G_{i,j+1} = \langle aG_{i,j+1} \rangle if s_{i,j} = (a), (i \leq r, j \leq l_i) and
               with G_{i,j}/G_{i,j+1} = \langle aG_{i,j+1}, bG_{i,j+1} \rangle if s_{i,j} = (a,b), (i \le r, j \le l_i)
18: return \vec{s} = (s_1, \dots, s_i, s_{i+1}, \dots, s_k) with (s_1, \dots, s_i) = \vec{s}_1, (s_{i+1}, \dots, s_k) = \vec{s}_2, r = k - i
```

- Line 15: guess an arrangement  $(N_1, \ldots, N_k) \in Sym(NCL)$  with  $s_i \leq s_j$  for all  $i < j, s_i \in N_i$ ,  $s_j \in N_j$ . For this we need  $k \log k$  bits. Since  $k \leq \beta \leq \log n$  we need at most  $\beta \log \beta \leq \log n \log \log n$  non-deterministic bits.
- Line 16: guess an arrangement  $(s_{1,1},\ldots,s_{1,l_1},\ldots,s_{k,1},\ldots,s_{k,l_k}) \in Sym(S(N_1)) \times \cdots \times Sym(S(N_k))$ . At most  $l_i \log l_i$  non-deterministic bits for each  $l_i$  are required. Suppose that  $\gamma_N = l_1 = \cdots = l_k$ . Since  $k\gamma_N \leq \log n$  we need at most  $\sum_{i=1}^k l_i \log l_i \leq \sum_{i=1}^k l_i \log(\gamma_N) \leq k\gamma_N \log(\gamma_N)$  non-deterministic bits. Hence, we need at most  $\log n \log \log n$  non-deterministic bits.

The total demand on non-deterministic bits which corresponds to Lines 9, 11 and 12 is computed as follows. We get the worst-case if we have minimal normal subgroups of large size. Let the largest minimal normal subgroup in the decomposition process be  $N^*$  which is a direct product of  $\gamma$  simple groups. Then  $|N^*| = p^{\gamma}$  if  $N^*$  is a direct product of  $\gamma$  simple groups of size p. We need at most  $2\gamma \log p^{\gamma} = 2\gamma^2 \log p$  non-deterministic bits. The number of such minimal normal subgroups is at most  $\log n/\log p^{\gamma} = \log n/(\gamma \log p)$ . Hence, the total demand is at most  $\log n/(\gamma \log p) \cdot 2\gamma^2 \log p = 1$ 

 $2\gamma \log n$  bits.

The total demand on non-deterministic bits which corresponds to Line 15 is computed as follows. Recall that  $\beta$  is the largest number of isomorphic minimal normal subgroups required to generate one of the socles in the decomposition process. We get the worst-case if each of the socles consists of exactly  $\beta$  isomorphic minimal normal subgroups. Let d be the total depth of recursion. Then the total demand is  $d\beta \log \beta$  bits. Note, that  $d\beta \leq \log n$  since we have at most  $\log n$  factor groups. Hence, we get the worst-case if d=1. It follows, that  $d \leq \log n/\beta$  and the total demand is  $\log n/\beta \cdot \beta \log \beta = \log n \log \beta \leq \log n \log \log n$  bits.

The total demand on non-deterministic bits which corresponds to Line 16 is computed as follows. Recall, that at recursion level i we have  $l_1, \ldots, l_r \leq \gamma_i$  and we said that we need at most  $k\gamma_i \log(\gamma_i)$  non-deterministic bits. Let d be the total depth of recursion. We get the worst-case if  $l_1 = \cdots = l_r = \gamma_i$  for all  $i \in \{1, \ldots, d\}$  and if  $\gamma = \gamma_1 = \cdots = \gamma_i = \cdots = \gamma_d$ . It follows, that  $dk\gamma \leq \log n$  since we have at most  $\log n$  factor groups. Thus,  $dk \leq \log n/\gamma$  and the total demand is at most  $(\log n/\gamma) \cdot \gamma \log \gamma \leq \log n \log \gamma$ .

**Theorem 4.8** Let G, H be two isomorphic groups. A composition series S for G and S' for H such that  $(G, \operatorname{seq}(S))$  is isomorphic to  $(H, \operatorname{seq}(S'))$  can be computed in NP with access to at most  $2\gamma \log n + \log n \log \beta + \log n \log \gamma \leq O(\log^2 n)$  non-deterministic bits.

## 5 Isomorphism for p-groups

In this section we use the reduction technique from Section 3 for p-groups. For this we need a composition series S for the input group G. We decompose G as in Algorithm 1 and guess the composition series S' for the other input group H as in Theorem 4.8. First, we explain the graph construction which is part of the reduction.

**The construction.** For a prime number p, let (G, S) be a p-group G over n elements with a composition series S. The factor groups in S are cyclic of order p.

$$\{1\} = G_k \triangleleft G_{k-1} \triangleleft \cdots \triangleleft G_1 = G$$

Let  $\vec{g} = ((g_1), \dots, (g_{k-1}))$  be a complete set of coset representatives with respect to S with generator  $g_1G_{i+1} \in G_i/G_{i+1}$  for  $G_i/G_{i+1}$  which is a cyclic group of order p.

Let seq(S) be the sequence of factor groups of S. Recall, any element in a coset could be taken as a representative. So an isomorphism from (G, seq(S)) onto (H, seq(S')) just maps cosets blockwise onto each other.

**Theorem 5.1** There is an  $AC^0$ -computable function that on input of groups G, H and S, S' computes a graph  $X_p(G,S)$  and  $X_p(H,S')$  with at most  $11n^2 + 1$  vertices which have valence at most p+1 such that  $X_p(G,S)$  is isomorphic to  $X_p(H,S')$  if and only if (G, seq(S)) is isomorphic to (H, seq(S')), i.e. there exist composition series such that their subgroups  $G_i$  and  $H_i$  are isomorphic.

**Proof.** We construct a graph  $X_p(G,S)$  for G and S in two steps. The construction for  $X_p(H,S')$  is done accordingly.

In the first step we define a tree  $T_p(G, S)$ . Intuitively speaking, this tree is based on the structure of the composition series S.

- 1. For every group element  $g \in G$  we have an element vertex in  $T_p(G, S)$ .
- 2. For all  $i \in \{1, ..., k-1\}$  and each coset  $gG_i$  and subgroup  $eG_i$  of the factor group  $G/G_i$  we have a coset vertex  $gG_i$  and  $eG_i$  in  $T_p(G, S)$ . Note, for i = 1 we call  $eG_1 = eG$  the root of  $T_p(G, S)$ .
- 3. For each element vertex g and coset vertex  $gG_{k-1}$  we have an edge  $\{g, gG_{k-1}\}$  in  $T_p(G, S)$ .
- 4. For each pair of coset vertices  $gG_i, gg'G_{i+1}$  with  $g \in gG_i$  and  $g' \in G_{i+1}$  we have an edge  $\{gG_i, gg'G_{i+1}\}$  in  $T_p(G, S)$ .

Now we construct  $X_p(G, S)$ . We use  $T_p(G, S)$  and define a further graph gadget to simulate the multiplication rule.

- 1. We have a main copy of  $T_p(G, S)$  in  $X_p(G, S)$ . The root node of the main copy is connected to a color graph gadget, namely a path of length two to distinguish this vertex from the others. For each leaf node v in  $T_p(G, S)$  we have a copy  $T_v$  of  $T_p(G, S)$ . We identify the root node of  $T_v$  with v.
- 2. For each node v in  $T_p(G, S)$ , we have for each leaf node w of  $T_v$  five nodes  $w_{\leftarrow}, w_{\rightarrow}, w_l, w_r, w_=$  in  $X_p(G, S)$ . We have edges  $(w, w_l), (w_l, w_{\leftarrow}), (w, w_r), (w_r, w_{\rightarrow}), (w_r, w_{\rightarrow})$  in  $X_p(G, S)$ .
- 3. For each pair of group elements  $g, h \in G$  we simulate the multiplication gh = k as follows. We define a multiplication graph gadget that is connected to the vertices  $h_{\leftarrow}^{(g)}$  in  $T_g$ ,  $g_{\rightarrow}^{(h)}$  in  $T_h$ , and  $h_{=}^{(k)}$  in  $T_k$ . One gadget  $M_{gh=k}$  is shown in Figure 3.

We prove now that  $X_p(G, S)$  has all the properties stated in Theorem 5.1.

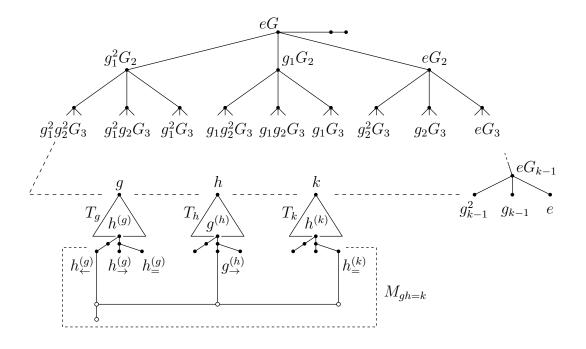


Figure 3: The graph  $X_p(G, S)$  with  $\gamma = 1$  and a multiplication graph gadget  $M_{gh=k}$  indicated by white nodes.

Claim 5.2 The graph  $X_p(G,S)$  has at most  $11n^2 + 1$  vertices.

#### Proof.

- $T_p(G, S)$  is a complete tree with n leaves where each inner node at the same depth i has the same number  $\max\{p, \gamma_i\}$  of children, it has at most  $\sum_{i=1}^{\log_p(n)-1} p^i \leq 2n-1$  vertices.
- The color graph gadget is a path of length two connected to the root node of  $T_p(G, S)$ .
- For each of the n leaf nodes of  $T_p(G, S)$  we have a copy of  $T_p(G, S)$ . Since the root nodes of these copies are identified with the leaf nodes of  $T_p(G, S)$  we do not count these nodes twice. We get at most n((2n-1)-1) vertices.
- Every leaf node v of these copies is connected to a subtree with five nodes  $v_l, v_r, v_{\leftarrow}, v_{\rightarrow}$  and  $v_{=}$ . There are  $n^2$  such leaf nodes. Hence, we get further  $5n^2$  vertices.
- Every multiplication gate has 4 vertices, when not counting the vertices  $v_{\leftarrow}, v_{\rightarrow}$  and  $v_{=}$  twice. We have  $n^2$  multiplication gates. We get  $4n^2$  vertices.

We have in total at most  $2n-1 + 2 + n((2n-1)-1) + 5n^2 + 4n^2 = 11n^2 + 1$  vertices.

Claim 5.3 There is a logspace-computable function that computes the graph  $X_p(G,S)$ .

**Proof.** Since we have coset representatives  $(g_1, \ldots, g_{k-1})$ , every element  $gG_i \in G_1/G_i$  can be obtained uniquely by following a path

$$(eG, w_1G_2, w_1w_2G_3, \ldots, w_1 \cdot \cdots \cdot w_{i-1}G_i),$$

where  $w_j G_{j+1}$  is a coset vertex, for each  $j \in \{1, \dots, i-1\}$ . This is related to the following product:

$$gG_i = w_1 \cdot w_2 \cdot \dots \cdot w_{i-1}G_i$$

The logspace machine goes through all products. The construction of the tree is done as in a depth first traversal through the resulting tree  $T_p(G, S)$ . We evaluate the products for all group elements  $g = w_1 \cdot w_{k-1}$  for all  $w_i$  in a preprocessing step. This can be done in logspace. A further logspace machine relabels the group elements by such products, i.e. we rewrite the whole group table.

It is easy to see, that with access to the new group table each step of the graph construction can be done in  $AC^0$ .

Claim 5.4 The graph  $X_p(G,S)$  has valence at most p+1 for all  $p \geq 2$ .

**Proof.** The nodes in the tree  $T_p(G,S)$  have one parent and p children, because in Step 4 of the construction, there are at most p cosets  $gg'G_{i+1}$  in  $gG_i$  with  $g \in gG_i$  and  $g' \in G_{i+1}$ . The root node is connected to a color graph gadget and has also valence p+1. The leaves are identified with the root node of a copy of  $T_p(G,S)$  and have also valence p+1. Each leaf node of the copies of  $T_p(G,S)$  is connected to at most one multiplication graph gadget and has valence two. To see this we argue, that for every pair of variables in gh = k the third variable is uniquely determined in a group. The vertices of the multiplication graph gadgets and the color graph gadget have valence at most 3, this is not greater than p+1 for all  $p \geq 2$ .

**Claim 5.5** The graph  $X_p(G, S)$  is isomorphic to  $X_p(H, S')$  if and only if (G, seq(S)) is isomorphic to (H, seq(S')).

**Proof.** Consider an isomorphism  $\phi$  between (G, seq(S)) and (H, seq(S')), we argue now that we get an isomorphism between  $X_p(G, S)$  and  $X_p(H, S')$ . That is, for every pair of elements g, h in G we show that the property  $\phi(g)\phi(h) = \phi(gh)$  can be transformed into an isomorphism between the graphs.

Clearly, an isomorphism from (G, seq(S)) onto (H, seq(S')) is also an isomorphism when just considering  $T_p(G, S)$  and  $T_p(H, S')$ . The subgroups in S and S' correspond to characteristic normal subgroups, hence, these have to be mapped onto each other. Now, consider  $X_p(G, S)$  and  $X_p(H, S')$ . Let gh = k, between three leaf nodes g, h, k of  $T_p(G, S)$  in  $X_p(G, S)$  there are unique simple paths going through a single multiplication graph gadget  $M_{gh=k}$  such that:

- except g, h, k there is no other vertex visited in  $T_p(G, S)$ ,
- there is a unique simple path from g of  $T_p(G,S)$  to  $h^{(g)}_{\leftarrow}$  in  $T_g$ ,
- there is a unique simple path from  $h_{\leftarrow}^{(g)}$  of  $T_g$  to  $g_{\rightarrow}^{(h)}$  of  $T_h$  in M,
- there is a unique simple path from  $g^{(h)}_{\to}$  of  $T_h$  to h of  $T_p(G,S)$  in  $T_h$ ,
- there are unique simple paths from  $h_{\leftarrow}^{(g)}$  of  $T_g$  and  $g_{\rightarrow}^{(h)}$  of  $T_h$  to  $h_{=}^{(k)}$  in  $T_k$  in M,
- there is a unique simple path from  $h_{=}^{(k)}$  of  $T_k$  to k of  $T_p(G, S)$  in  $T_k$ .

Hence, if  $\phi$  is an isomorphism of (G, seq(S)) onto (H, seq(S')) then in  $X_p(H, S')$  there is also a multiplication graph gadget  $M_{\phi(g)\phi(h)=\phi(k)}$  such that these unique simple paths exist. This isomorphism mimics the permutation from  $T_p(G, S)$  onto  $T_p(H, S')$  also at each copy of the tree, e.g.  $T_g$  in  $X_p(G, S)$  is mapped onto  $T_{\phi(g)}$  in  $X_p(H, S')$ , and for every leaf vertex v with  $\phi(v) = w$ , the vertices  $v \leftarrow, v \rightarrow, v = in T_g$  are mapped via  $\phi$  onto  $w \leftarrow, w \rightarrow, w = in T_{\phi(g)}$ .

Now to the other direction. Since the root node of  $T_p(G, S)$  is distinguished from the others, any isomorphism maps this root node onto the root node of  $T_p(H, S')$ . Vertices at the same distance are mapped onto each other, hence  $T_p(G, S)$  is mapped onto  $T_p(H, S')$ . This also holds for the copies of the tree rooted at the children and the multiplication graph gadgets.

Any isomorphism respects the multiplication rules of the groups: There are multiplication graph gadgets just for the multiplication rules, i.e. if gh = k in G then there is no gadget  $M_{gh=k'}$  for any  $k' \neq k$ . Since the multiplication graph gadget is rigid, there is no isomorphism that maps a vertex  $v_{\leftarrow}$  in  $X_p(G,S)$  onto any vertex  $w_{\rightarrow}$  or  $w_{=}$  in  $X_p(H,S')$  and vice versa. We conclude, every isomorphism  $\phi$  from (G, seq(S)) onto (H, seq(S')) maps  $M_{gh=k}$  in  $X_p(G,S)$  onto  $M_{\phi(g)\phi(h)=\phi(k)}$  in  $X_p(H,S')$ . Hence, if (G, seq(S)) is not isomorphic to (H, seq(S')) then we cannot get an isomorphism from  $X_p(G,S)$  onto  $X_p(H,S')$ .

There is a one-to-one correspondence between automorphisms of  $X_p(G, S)$  and automorphisms of  $(G, \operatorname{seq}(S))$ . Assume, the leaf nodes of  $T_p(G, S)$  are fixed. Since any three leaf vertices of  $T_p(G, S)$  have at most one rigid multiplication graph gadget in common, all of them are fixed. Since every vertex  $w_+^{(v)}, w_-^{(v)}, w_-^{(v)}$  of every tree  $T_v$  in  $X_p(G, S)$  is connected to a multiplication graph gadget, all these vertices are fixed. We conclude, that every automorphism of  $(G, \operatorname{seq}(S))$  induces a unique automorphism of  $X_p(G, S)$ . Hence, this also holds for isomorphisms from  $X_p(G, S)$  onto  $X_p(H, S')$ .

This completes the proof of Theorem 5.1.

Note, the graph  $X_p(G, S)$  has the property that it is a *cone-graph* with logarithmic depth bound. That is, from every vertex, there is a unique path to the root node of  $T_p(G, S)$ .

**Complexity.** For an isomorphism test, we compute first a well ordered composition series S' and guess then a well ordered corresponding composition series S, such that we get seq(S) and seq(S'), as in Definition 4.6.

By Theorem 4.8 we need  $2\gamma \log n + \log n \log \beta + \log n \log \gamma \le O(\log^2 n)$  non-deterministic bits or accordingly, deterministic time  $n^{2\gamma + \log \beta + \log \gamma}$ . The complexity of bounded valence GI is in polynomial time:

**Theorem 5.6** ([BL83]) Isomorphism on graphs of valence at most d can be tested in deterministic time  $n^{O(d)}$ .

We put this together and get for a constant c the running time  $n^{c(\gamma + \log \beta)} \cdot n^{c(p+1)}$ . This completes the proof of Theorem 1.4.

**Theorem 1.4** Group Isomorphism for p-groups with n elements given in table representation is in time (for a constant c):  $n^{c(p+\gamma+\log\beta)}$ 

## 6 Three Algorithms for Isomorphism Testing

In this section we describe three isomorphism tests. The first is the classical isomorphism test, it can be seen as a variant of the algorithm attributed to Tarjan, c.f. [Mil78]. The second algorithm is an extension of what we showed for *p*-groups in Section 5. The third is a combination of both algorithms, but is unfortunately not applicable for all groups.

For all these algorithms we assume, that for a group G the composition factors of a composition series S are given together with a well ordered complete set of coset representatives  $\vec{s}$ . We address this sequence of composition factors of S by seq(S).

## 6.1 Classical Isomorphism Test

The isomorphism test. Let G and H be two groups. By Theorem 4.8 it suffices to run through at most  $n^{2\gamma + \log \beta + \log \gamma + c}$  (for a constant c) well ordered composition series S for G and S' for H, such that if G is isomorphic to H then also  $(G, \operatorname{seq}(S))$  is isomorphic to  $(H, \operatorname{seq}(S'))$ .

Let (H, S') be a group with normal series S' and a complete set of coset representatives  $\vec{h} = (h_{1,1}, \ldots, h_{1,\gamma_1}, \ldots, h_{k-1,\gamma_{k-1}})$ . For an isomorphism test, we guess a complete set of coset representatives  $\vec{g} = (g_{1,1}, \ldots, g_{1,\gamma_1}, \ldots, g_{k-1,\gamma_{k-1}})$  in G which are mapped onto  $(h_{1,1}, \ldots, h_{1,\gamma_1}, \ldots, h_{k-1,\gamma_{k-1}})$  in this order. These are defined as follows.

- If  $G_i/G_{i+1}$  is cyclic, then  $g_{i,1}=(a_{i,1})$ .
- If  $G_i/G_{i+1}$  is non-abelian and simple, then  $g_{i,1}=(a_{i,1},b_{i,1})$ .
- If  $G_i/G_{i+1}$  is semisimple then we have  $g_{i,1}, \ldots, g_{i,\gamma_i}$  of the same type. We assume that  $g_{i,j} = (a_{i,j}, b_{i,j})$  is mapped onto  $h_{i,j} = (a'_{i,j}, b'_{i,j})$  by mapping  $a_{i,j}$  onto  $a'_{i,j}$  and  $b_{i,j}$  onto  $b'_{i,j}$ .

We write the group elements in generator-representation and arrange them in increasing lexicographical order according to their representation. We relabel the elements according to their new order as in Lemma 4.2. We write the multiplication tables for G and H where the elements are sorted and compare them line by line and bit by bit.

#### Algorithm 2 Isomorphism testing for Cayley Groups

**Input:** multiplication tables of two groups G, H with n elements

Computation: accept if G is isomorphic to H, and reject otherwise

- 1: compute a normal series S' for H together with complete sets of coset representatives  $\vec{h} = (h_{1,1}, \ldots, h_{k-1,\gamma_{k-1}})$  according to Algorithm 1
- 2: guess a complete set of coset representatives  $\vec{g} = (g_{1,1}, \dots, g_{k-1,\gamma_{k-1}})$  to get S for G
- 3: **for each**  $g \in G$  (or H) **do** compute repr(g) a word with  $g_{1,1}, \ldots, g_{k-1,\gamma_{k-1}}$  in G with respect to  $\vec{g}$  or a word with  $h_{1,1}, \ldots, h_{k-1,\gamma_{k-1}}$  in H with respect to  $\vec{h}$
- 4: relabel generators according to their order in  $\vec{g}$  (and  $\vec{h}$ )
- 5: let  $T_G$  be G (and  $T_H$  be H) in table representation where elements are sorted according to their new labels in increasing lexicographical order
- 6: compare  $T_G, T_H$  lexicographically line by line and bit by bit
- 7: **if**  $T_G = T_H$  **then** accept and halt
- 8: reject and halt

We give some notes to Algorithm 2.

**Step 1:** compute a normal series for G and H according to Algorithm 1. For the normal series we have coset representatives, i.e. one or two generators if a factor group is simple and k or 2k generators if a factor group is semisimple, a direct product of k simple isomorphic groups.

**Step 2:** guess a complete set of coset representatives to get S for G. For each factor group we guess the same number of generators as we have for the corresponding factor group in S' for H.

Step 3: For each group element g, compute its generator representation. The representation depends on the generators in  $\vec{g}$  and  $\vec{h}$ . The representation for a group element  $g \in G$  is a product of generators with unique words according to Lemma 4.2. For example, if  $G_i/G_{i+1}$  is a direct product of  $\gamma_i$  cyclic isomorphic groups, then  $g_i = (a_{i,1}, \ldots, a_{i,\gamma_i})$  and the i-th term in this product is  $a_{i,1}^{l_{i,1}} \ldots a_{i,\gamma_i}^{l_{i,\gamma_i}}$  with  $l_{i,j} \in \{0,\ldots,\operatorname{ord}(a_{i,j})-1\}$  and  $j \in \{1,\ldots,\gamma_i\}$ . Whereas if  $G_i/G_{i+1}$  is non-abelian and simple, then instead of  $a_{i,j}$  we have  $a_{i,j},b_{i,j}$ , correspondingly, and the i-th term is a word with  $a_i,b_i$ .

**Step 4:** Relabel generators according to their order in  $\vec{g}$  (and  $\vec{h}$ ). The new labels are taken from the set  $\{1, \ldots, n\}$  in increasing order.

**Step 5:** Compute the multiplication table where elements are sorted by their new labels. We relabel the group elements as in Lemma 4.2 and sort them in increasing lexicographical order.

Step 6 to 8: Accept iff the tables  $T_G$  and  $T_H$  are equal. The comparison is done lexicographically line by line and for each line element by element. The elements are compared bit by bit. If  $T_G = T_H$  then we accept, else we reject.

**Complexity.** Every step can be done in polynomial time by an NP-machine. We calculate now the demand on non-deterministic bits in Algorithm 2.

In Step 3, we guess generators, these are different to the coset representatives from Step 2. An isomorphism then maps generators onto each other. For  $i \in \{1, ..., k-1\}$  and  $j \in \{1, ..., \gamma_i\}$  we guess  $a_{i,j}(,b_{i,j}) \in G_i \setminus G_{i+1}$ , i.e. we need at most  $2\log(|G_i|-|G_{i+1}|) \le 2\log n$  bits. If  $G_i/G_{i+1}$  has order  $p^{\gamma_i}$ , then we have  $\gamma_i$  generators, each of order p. For an upper bound, let every generator have order at least p. Hence, in total we have at most  $\log_p n$  generators and we need at most  $O(\log n) \cdot \log_p n$  non-deterministic bits to guess all generators. We get the following Theorem.

**Theorem 6.1** Let the factor groups in S of G have size at least p. Group Isomorphism on groups with n elements given in table representation can be tested by an NP-machine with access to at most  $O(\log n \log_n n)$  non-deterministic bits.

**Remarks.** Instead of non-determinism, the computations can be done accordingly in deterministic time  $n^c \cdot 2^{\log n \log_p n} = n^{c + \log_p n}$  for a constant c.

In the worst case we have p=2 and then we reach the known upper bound for group isomorphism. For the complexity analysis of the third isomorphism testing algorithm we will consider this bound depending on parameter p.

#### 6.2 Isomorphism test: Reduction to Bounded Valence Graph Isomorphism.

In the second isomorphism testing algorithm we compute a composition series for G and guess a composition series for H where each subgroup is characteristic. Then we reduce the isomorphism

problem onto graph isomorphism. The valence of the resulting graph depends on the size of the largest factor group.

**The reduction.** We generalize the reduction of Theorem 5.1 from p-groups to arbitrary groups. For group H over n elements we compute a composition series S' as in Algorithm 1 with a complete set of coset representatives  $\vec{s'} = (s'_1, \ldots, s'_{k-1})$  and composition series S':

$$\{1\} = H_k \triangleleft H_{k-1} \triangleleft \cdots \triangleleft H_1 = H$$

For group G we guess a composition series S corresponding to (H, S) where each factor group  $G_i/G_{i+1}$  has order  $p_i$ :

$$\{1\} = G_k \triangleleft G_{k-1} \triangleleft \cdots \triangleleft G_1 = G$$

Let  $\vec{s} = (s_1, \dots, s_{k-1})$  be a complete set of coset representatives for S.

If the factor groups do not have the same order, or are not of the same type, then (G, S) is not isomorphic to (H, S'). We prove the following theorem.

**Theorem 6.2** Let  $p = \max\{p_1, \ldots, p_{k-1}\}$ . There is an  $AC^0$ -computable function that computes a graph X(G, S) and X(H, S') with at most  $11n^2 + 1$  vertices which have valence at most p + 1 such that X(G, S) is isomorphic to X(H, S') if and only if  $(G, \operatorname{seq}(S))$  is isomorphic to  $(H, \operatorname{seq}(S'))$ .

**Proof.** First, we generalize the construction of  $X_p(G, S)$  in the proof of Theorem 5.1 from p-groups to groups and define a new graph X(G, S).

First, we construct a tree T(G, S) the same way as  $T_p(G, S)$  in the proof of Theorem 5.1. If the factor group  $G_i/G_{i+1}$  is a non-abelian simple group, e.g.  $A_5$  the alternating group on 5 elements, then we have  $p_i = |G_i/G_{i+1}| = 60$  since  $|A_5| = 60$ .

The rest of the construction of X(G, S) is identical to the construction of  $X_p(G, S)$  in the proof of Theorem 5.1.

We prove now that X(G,S) has all the properties stated in Theorem 6.2.

Claim 6.3 The graph X(G, S) has at most  $11n^2 + 1$  vertices.

The proof goes the same lines as in the proof of Claim 5.2. In X(G, S), the inner nodes do not have the same valence, but every node has at least two children. Hence, we get at most  $11n^2 + 1$  vertices.

Claim 6.4 There is a logspace-computable function that computes the graph X(G,S).

The proof goes the same lines as the proof of Claim 5.3.

**Claim 6.5** The graph X(G, S) has valence at most p + 1 for any  $p \ge 2$ .

Recall, that there is no factor group of order greater than p. The proof follows the lines of the proof of Claim 5.4.

**Claim 6.6** The graph X(G,S) is isomorphic to X(H,S') if and only if (G, seq(S)) is isomorphic to (H, seq(S')).

The proof is similar to the proof of Claim 5.5. In the proof we have a different tree structure, namely T(G,S) instead of  $T_p(G,S)$ . Both trees are rooted and complete. In T(G,S) nodes at the same distance to the root have the same valence. Hence, there are automorphisms that map a vertex onto every other vertex which has the same distance to the root node  $eG_1$ .

This completes the proof of Theorem 6.2.

Complexity. Recall, that  $\beta$  is the maximum number of isomorphic minimal normal subgroups in a socle along the decomposition process and that  $\gamma$  is the maximum composition length of a minimal normal subgroup in any socle of the decomposition process. By Theorem 4.8 we need  $2\gamma \log n + \log n \log \beta + \log n \log \gamma \leq O(\log^2 n)$  non-deterministic bits or deterministic time  $n^{2\gamma + \log \beta + \log \gamma + c}$  for a constant c.

Let the factor groups in S and S' have size at least p. The isomorphism test runs for a constant c in time  $n^c$  plus the time complexity  $n^{c(p+1)}$  for bounded valence GI (see Theorem 5.6, [BL83]). We get the following theorem.

**Theorem 6.7** Group Isomorphism for groups with n elements given in table representation can be tested in deterministic time  $O(n^{c(\gamma + \log \beta)} \cdot n^{c \cdot (p+1)})$  for a constant c.

## 6.3 Combine both Isomorphism Tests

The worst-case for Algorithm 2 is when the considered groups can be decomposed into a large number of factor groups of small size, whereas the complexity of the second algorithm is bad if there are any factor groups of large size.

The idea is that we use both algorithms in a subroutine for the new algorithm. The new algorithm additionally gets to the input an integer  $\alpha$  as parameter. For factor groups of size larger than  $\alpha$  we guess the generators as in Algorithm 2. Since the number of corresponding factor groups is small, this also keeps the runtime of Algorithm 2 low in the new algorithm. Then we modify the construction of the graph X(G,S), such that it has valence at most  $\alpha + 1$ . With parameter  $\alpha$  we minimize the runtime of the new isomorphism testing algorithm.

Unfortunately, the third algorithm here is applicable for groups of class  $\mathcal{G}$  as mentioned in Theorem 1.2. That is, in a well ordered composition series seq(S), the factor groups of size at least  $\alpha$  come before the others. This was pointed out as an error in a previous version [Ros12].

**Changes to the graphs.** Since we guess some of the generators, we make changes to the graphs from the reduction. We modify X(G, S) for a group  $G \in \mathcal{G}$  and a composition series S that is given by a complete set of coset representatives  $\vec{s} = (s_1, \ldots, s_{k-1})$ .

**Lemma 6.8** Let  $G \in \mathcal{G}$  and  $A = s_{i_1} \cup \cdots \cup s_{i_j}$  be a subset of the generators in  $s_1, \ldots, s_{k-1}$ . Let  $\alpha$  be the size of the factor group with largest size among those in S which do not have generators in A. Then we can compute a graph X(G, S, A) of valence at most  $\alpha + 1$  that behaves like X(G, S) but where any automorphism fixes the generators in A elementwise.

**Proof.** Let  $a_i \in A$  be a generator, such that  $a_iG_{i+1}$  is a coset representative for a cyclic factor group  $G_i/G_{i+1}$  in (G,S). Let  $a_i,b_i \in A$  be generators with respect to a non-abelian simple factor group  $G_i/G_{i+1}$  in (G,S). Let  $D_i$  be the set of nodes at distance i to the root eG. That is,  $gG_i \in D_i$  with g any product of generators in  $w_1, \ldots, w_i$ .

We do the following changes for every node  $gG_i \in D_i$  in the graph X(G, S):

- Remove edges to the children of  $gG_i$ . For example, in the cyclic case remove  $\{gG_i, gg_{i+1}^{l_{i+1}}G_{i+1}\}$  where  $g_{i+1}$  is a fixed coset representative. In the non-abelian simple case, remove  $gw_jG_i$  where  $w_j$  is a word with  $a_i, b_i$  as in the proof of Lemma 4.2.
- Arrange edges according to generators  $a_i$  (or  $a_i, b_i$ ). Let  $l = \operatorname{ord}(a_i) 1$  (or let l be the order of the group generated by  $a_i, b_i$ ). Arrange the children from right to left:  $(ga_i^lG_{i+1}, ga_i^{l-1}G_{i+1}, \dots, ga_i^1G_{i+1}, gG_{i+1})$ .

This is done according to Lemma 4.2.

- Connect the children from right to left to the leaves of a binary tree with root  $gG_i$  such that children have the same distance to  $gG_i$ . We connect pairwise leaves or subtrees from right to left to form larger subtrees, inductively. The tree contains nodes with one or two children. See Figure 4 for an example.
- Color the leaf connected to  $ga_iG_{i+1}$  (or the leaves connected to  $ga_iG_{i+1}$  and  $gb_iG_{i+1}$ ). In the cyclic case, we connect  $ga_iG_{i+1}$  to an extra vertex. In the non-abelian simple case, we connect  $ga_iG_{i+1}$  to an extra vertex and  $gb_iG_{i+1}$  to a path of length three. These can be distinguished from all other vertices, because there is no vertex with the same distance to the root eG connected to a single vertex or a path of length three (we ignore nodes that come from other paths which indicate a coloring of nodes).

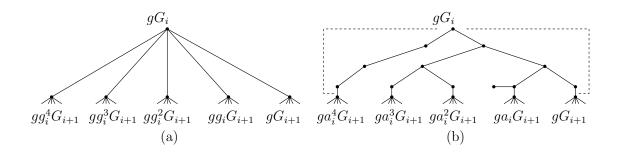


Figure 4: The situation is shown where  $G_i/G_{i+1}$  is a cyclic factor group.

- (a) The node  $gG_i$  and its children  $gg_i^{j}G_{i+1}$  for all  $j \in \{0, ..., l\}$  in X(G, S), with l = 4.
- (b) The graph gadget connected to these nodes in X(G, S, A) enclosed within the dashed box. Note,  $a_i$  can be any element in  $G_i \setminus G_{i+1}$ .

The colored nodes  $ga_iG_{i+1}$  (or  $ga_iG_{i+1}$  and  $gb_iG_{i+1}$ ) are fixed. If  $a_iG_{i+1}$  (or  $a_iG_{i+1}$  and  $b_iG_{i+1}$ ) are fixed in  $G_i/G_{i+1}$ , then every automorphism of the group fixes all cosets of the factor group  $G_i/G_{i+1}$ . Hence, all children of the node  $gG_i$  are fixed in any automorphism of the group.

The tree structure guarantees that the distances to the root eG remain unchanged for all vertices. The graph X(G, S) is a cone graph, it follows that this also holds for X(G, S, A).

D. Rosenbaum mentioned, that this does not apply to general groups here. Let G be a group outside  $\mathcal{G}$ . Let j < i and  $G_j/G_{j+1}$  have size less than  $\alpha$  and  $G_i/G_{i+1}$  size greater than  $\alpha$ . Hence, at level j in the tree the nodes have degree less than  $\alpha$  and we do not have coset representatives in A.

The problem is now that we do not know at the nodes in  $D_i$  which child is taken as the rightmost, because this depends on the child to be taken rightmost at the predecessors in  $D_i$ .

Conversely, if G is in  $\mathcal{G}$ , then for every node  $gG_i$  in  $D_i$ , there is a unique child taken as the rightmost, namely  $gG_{i+1}$  where g is uniquely determined by a word of generators in A. We argue inductively, that this is done at the levels  $\leq i$  in the tree before.

**The algorithm.** We give some notes to Algorithm 3.

### **Algorithm 3** Isomorphism testing for Cayley Groups in $\mathcal{G}$ .

**Input:** multiplication tables G, H of two groups in  $\mathcal{G}$  with n elements, parameter  $\alpha$ 

Computation: accept if G is isomorphic to H and reject otherwise

- 1: let S' be  $\vec{s'} = (s'_1, \dots, s'_{k-1}) = \mathsf{CompSeries}(H)$  a well ordered composition series for H from Algorithm 1
- 2: guess S with  $\vec{s} = (s_1, \ldots, s_{k-1})$  from CompSeries(G) a well ordered composition series for G from Algorithm 1 as in Theorem 4.8 and reject if  $G \notin \mathcal{G}$ .

```
3: for i \in \{1, \dots, k-1\} do

4: if G_i/G_{i+1} is of order > \alpha then

5: guess t = (a) (or t = (a,b)) with \langle aG_{i+1}, bG_{i+1} \rangle \cong G_i/G_{i+1}

6: t_i = t, A \leftarrow a(,b), A' \leftarrow a'(,b') with s'_i = (a') (or (a',b'))

7: end if
```

- 8: end for
- 9: **if**  $X(G, S, A) \cong X(H, S', A')$  then accept and halt
- 10: reject and halt

In Lines 1 and 2, we compute a composition series S' for H and guess a composition series S for G together with coset representatives that we obtain from Algorithm 1. Clearly, finding out whether a group is in G is an easy task, just compare sizes of factor groups according to S and S'.

In Lines 3 and 4, we run through the factor groups of size greater than  $\alpha$ .

In Line 5, for each such factor group  $G_i/G_{i+1}$  we guess one or two generators for  $t_i$ , depending on whether the factor groups are cyclic or non-abelian simple groups, respectively.

In Line 6, we put the guessed generators in  $t_i$  also in a set A and correspondingly those in  $s'_i$  in a set A'.

In Line 9, we construct the graphs where we treat generators in the sets A and A' specially. If the graphs are isomorphic, then we accept and halt.

The complexity of  $\mathcal{G}$ -group isomorphism. We calculate the runtime of Algorithm 3.

In Line 1 the composition series for H can be computed in polynomial time [Luk87, BLS87], also see Algorithm 1.

In Line 2, to guess the composition series for G we need

$$2\gamma \log n + \log n \log \beta + \log n \log \gamma < O(\log^2 n)$$

non-deterministic bits by Theorem 4.8. For a deterministic algorithm, we can run Algorithm 3 accordingly several times trying all possibilities, i.e. it requires at most  $n^{c(\gamma + \log \beta)}$  time.

In Line 5, we say that we guess generators for composition factors of size  $> \alpha$ . For this we need

 $\log n \log_{\alpha} n$  non-deterministic bits only. For a deterministic algorithm, we can run Algorithm 3 accordingly several times, trying all possibilities as generators. Hence, the running time is multiplied with  $2^{\log n \log_{\alpha} n} = n^{\log_{\alpha} n}$ .

In Line 9, we invoke an isomorphism testing algorithm for graphs of valence at most  $\alpha + 1$ . This algorithm runs in time  $n^{O(\alpha+1)}$ .

Hence, the total running time (for a constant c) is:

$$n^{c(\gamma + \log \beta)} \cdot n^{c \log_{\alpha} n} \cdot n^{c\alpha}$$

Note, that  $\beta$  and  $\gamma$  depend on the input whereas  $\alpha$  can be chosen. We minimize the runtime if  $\log_{\alpha} n = \alpha$ , because the left side is monotonically decreasing whereas the right side is increasing.

$$\log n / \log \alpha = \alpha$$
.

Now, we substitute  $\alpha$  by  $\log n/\log \alpha$  on the left side and get the following two equations which are equivalent:

$$\log n / \log(\log n / \log \alpha) = \alpha \tag{1}$$

$$\log n / (\log \log n - \log \log \alpha) = \alpha \tag{2}$$

If we substitute  $\alpha$  again by  $\log n/\log \alpha$  on the left side, and since  $\log \log \alpha \leq \log \log \log n$  we proved the following theorem.

**Theorem 1.2** Let  $\mathcal{G}$  be the class of groups which have a composition series where factor groups of size at least  $\log n/\log\log n$  come before factor groups of smaller size. Group isomorphism for groups in  $\mathcal{G}$  with n elements given in table representation, is in time (for a constant c):

$$n^{c(\gamma + \log \beta + \log n / \log \log n)}$$

# 7 Isomorphism test for groups

In proof of Lemma 6.8, we described a technique to reduce the valence of nodes in the tree construction, also see Figure 4. We introduce a further processing step, such that the techniques can be applied also to groups outside  $\mathcal{G}$ . Recall,  $\mathcal{G}$  refers to a class of groups in Definition 1.1.

We apply the changes to both graphs corresponding to (G, S) and (H, S'). We consider the group socle first and generalize this step recursively to all the socles in the decomposition process of G.

We partition the socle  $Soc(G) = M \times N$  where  $M = \prod_{j \geq i} M_j$  is the direct product of all simple groups which have size at least  $\alpha$  and which correspond to factor groups in S. Hence, N is a direct product of the remaining minimal normal subgroups. We refer to  $M_i/M_{i+1}$  as the first factor group corresponding to S and M.

$$\{e\} = G_k \triangleleft G_{k-1} \triangleleft \cdots \triangleleft N \triangleleft \cdots \triangleleft M_{i+1} \triangleleft M_i = Soc(G) = G_i \triangleleft \cdots \triangleleft G_2 \triangleleft G_1 = G$$

Every group element can be written gmn with  $gSoc(G) \in G/Soc(G)$ ,  $m \in M$  and  $n \in N$ . The idea is to consider factor groups corresponding to M before all the others. For this, we use the following observation.

**Lemma 7.1** Every automorphism of G fixes M blockwise.

**Proof.** Since Soc(G) is characteristic,  $M \times N$  is fixed blockwise.

By Fact 4.5, two minimal normal subgroups of G share the same set of nodes, or share only the identity element.

M subsumes all minimal normal subgroups where simple groups have size at least  $\alpha$ , whereas N subsumes all those where simple groups have size less than  $\alpha$ . Hence, M is fixed blockwise.

**Lemma 7.2** There is a unique group element  $m' \in M$  such that gm = m'g.

**Proof.** Recall that  $\phi_g: h \mapsto g^{-1}hg$  for all  $h \in G$  is an inner automorphism in G. By Lemma 7.1 we have a group element  $\phi_g^{-1}(m) \in M$ .

We argue now that  $gmn = \phi_q^{-1}(m)gn$ .

$$gm = g\phi_g(\phi_g^{-1}(m)) = g(g^{-1}\phi_g^{-1}(m)g) = \phi_g^{-1}(m)g$$

The idea is now to change the graph construction, where paths represent words m'gn instead of gmn.

Changes to the graphs. We alter now the tree construction in X(G, S, A) for a group G and a composition series S given by a complete set of coset representatives  $\vec{s} = (s_1, \ldots, s_{k-1})$  and a set of generators A for factor groups of size at least  $\alpha$ .

We define a graph Y(G, S, A) based on X(G, S, A), where we first alter the underlying tree T(G, S). To simplify notation, for a subgroup N of G let T(G/N, S) be the subtree of T(G, S) with root eG and leaf nodes  $\{gN \mid gN \in G/N\}$ .

First, we define a new tree T' based on T(G,S). We replace T(G/Soc(G),S) (i.e. a subtree of T(G,S) corresponding to the factor group G/Soc(G)) by a new subtree where leaves have the same set of labels  $\{gmN \mid g \in G/Soc(G), m \in M\}$ . Second, at each current leaf node we add a copy of T(G/Soc(G),S). Third, at each current leaf node we add a copy of T(N,S), i.e. subtrees of T(G,S) corresponding to N. Intuitively speaking, M comes before G/Soc(G) and N. Finally, we apply this construction to G/Soc(G), recursively.

• Root of T' and first level corresponding to  $M_i/M_{i+1}$ . In T', the root is eG. Let  $a_i, b_i$  be coset representatives corresponding to  $M_i/M_{i+1}$ . The children of eG are labeled as follows

$$\{m[eG] \mid m \in \langle a_i, b_i \rangle \}$$
.

• Add further levels to T' corresponding to  $M_{i+j}/M_{i+j+1}$ . For each suitable  $j \geq 1$ , let  $a_{i+j}, b_{i+j}$  be coset representatives corresponding to  $M_{i+1}/M_{i+j+1}$ . To obtain tree level j, we inductively define children to each node w[eG] from tree level j-1 before:

$$\{wm[eG] \mid m \in \langle a_{i+j}, b_{i+j} \rangle \}$$
.

• Add copy  $T_{m[eG]}$  of T(G/Soc(G), S) to leaf nodes m[eG], for all  $m \in M$ . We relabel nodes in  $T_{m[eG]}$  as follows.

- The root of  $T_{m[eG]}$  is associated to m[eG].
- Each inner node  $gG_i$  of  $T_{m[eG]}$  is relabeled by  $m[gG_i]$ .
- Each leaf node gSoc(G) of  $T_{m[eG]}$  is relabeled by mgN.
- Add copy  $T_{mgN}$  of T(N,S) to leaf nodes mgN, for all  $m \in M, g \in G/Soc(G)$ . We relabel nodes in  $T_{mgN}$  as follows.
  - The root of  $T_{mqN}$  is associated to mgN.
  - Each inner node  $nG_i$  of  $T_{mqN}$  is relabeled by  $mgnG_i$ .
  - Each leaf node n of  $T_{mqN}$  is relabeled by mgn.
- Proceed with these changes for T(G/Soc(G), S), recursively.
- Apply rest of the computation steps as for X(G,S) to obtain Y(G,S). The remaining steps are the same to the construction of X(G,S,A) and X(H,S',A'). This includes, identify the root of a copy of T' to each leaf node of T', and then connecting leaf nodes with multiplication graph gadgets. Let the current graphs be Y(G,S) and Y(H,S').
- Apply changes to Y(G, S) and Y(H, S') according to A and A'. For each of the coset representatives in A and A', apply the graph construction as introduced in Lemma 6.8. Let the resulting graphs be Y(G, S, A) and Y(H, S', A').

Figure 6 illustrates the construction without recursion. When applying Lemma 7.2 and 7.1 recursively, we get that group element  $(gm_2n_2)m_1n_1 = m'_1(m'_2gn_2)n_1$  for recursion depth 2, and in general for a group element

$$((\dots(m_k n_k)\dots)m_2 n_2)m_1 n_1 = m'_1(m'_2(\dots(m'_{k-1}(m_k n_k)n_{k-1})\dots)n_2)n_1.$$

Here, at recursion level i, the i-th socle is split into  $M_i \times N_i$ , according to  $Soc(G) = M \times N$ . Intuitively speaking, after applying changes to the tree construction recursively, the tree levels from root to leaves correspond to

$$M = M_1, M_2, \dots, M_k, N_k, \dots, N_2, N = N_1$$

from left to right, also see Figure 6. Hence, in the tree construction, all critical factor groups (i.e., having size at least  $\alpha$ ) come first in tree construction process. We get the following lemma.

**Theorem 7.3** Let  $p = \max\{p_1, \dots, p_{k-1}\}$ . There is a logspace-computable function that computes the new graphs Y(G, S, A) and Y(H, S', A') with at most  $O(n^2)$  vertices, such that Y(G, S, A) is isomorphic to Y(H, S', A') if and only if (G, seq(S)) is isomorphic to (H, seq(S')), pairwise mapping elements A onto corresponding elements in A'.

**Proof.** By Lemma 7.2 and 7.1 and the discussion above, we can address all group elements by unique products where coset representatives of large factor groups (i.e., having size at least  $\alpha$ ) come first. Since  $\phi(gh) = \phi(g)\phi(h)$  for all  $g, h \in G$ , it clearly follows that

$$\phi(g)\phi(m)=\phi(gm)=\phi(\phi_g^{-1}(m)g)=\phi(\phi_g^{-1}(m))\phi(g)$$

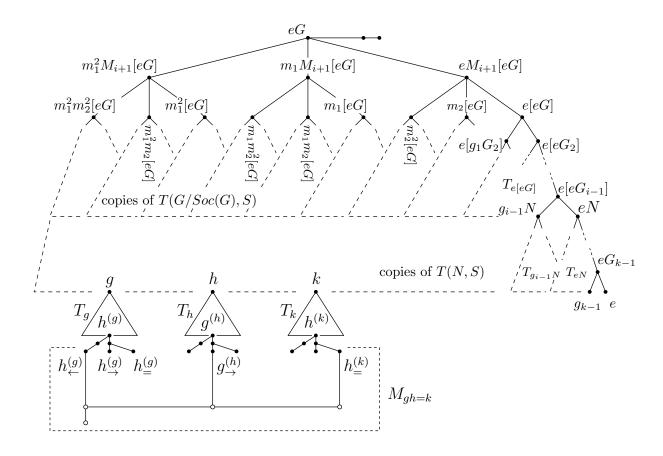


Figure 5: The first two levels in the tree correspond to M. The situation is shown where M is a direct product of two cyclic groups. The tree-levels, from that where e[eG] is contained to that where eN is contained, correspond to G/Soc(G) and consist of copies of T(G/Soc(G), S), e.g.  $T_{e[eG]}$ . The tree-levels from that with eN down to the leaf nodes correspond to N and consist of copies of T(N, S), e.g.  $T_{g_{i-1}N}, T_{eN}$ . Paths from the root to a leaf node correspond to a word  $mgn \in G$ , where  $m \in M, gSoc(G) \in G/Soc(G)$  and  $n \in N$ .

describes the same automorphism. The left side can be immediately associated to an automorphism of X(G, S), the right side to an automorphism of Y(G, S).

Since the graph transformations with respect to G, M, N do not change the isomorphism property of the graphs, we generalize it following the same proof also to recursive graph transformations, i.e., with respect to the factor groups G/Soc(G) and the split of inner socles  $M_i, N_i, i \geq 2$ .

The rest of the construction remains unchanged, such that the proof for this lemma follows the same lines we had in Theorem 6.2 and Lemma 6.8 before.

Finally, we observe that Lemma 6.8 can be applied to general groups, because all factor groups of size at least  $\alpha$  come first. Hence, at each node, where we apply these changes, we have unique representatives which come from A and A' only.

The number of group elements remains polynomial since only the graph changes from Lemma 6.8 change the number of vertices. For each node with  $d \ge \alpha$  children, we add at most 4d vertices. Hence, we get at most  $4(11n^2 + 1)$  vertices.

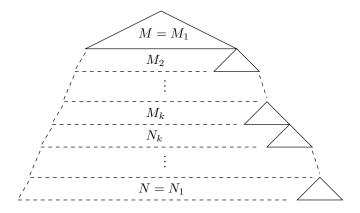


Figure 6: The figure shows schematically the tree construction with recursion.

We argue, that every computation step can be accomplished in logspace. For this we refer to Theorem 6.2 and Lemma 6.8. The construction does not need recursion, because the computation of  $\phi_g^{-1}(m)$  for each pair gm does not influence the computations in other levels and can be done in parallel.

**The algorithm.** We take Algorithm 3 and change some lines. One of it is Line 9. Instead of  $X(G, S, A) \cong X(H, S', A')$  we construct the new graphs  $Y(G, S, A) \cong Y(H, S', A')$ .

Lines 1 and 2 remain unchanged, except that we consider now all groups. That is, because we apply the same changes to both graphs and by Theorem 7.3 these changes to the graphs are isomorphism respecting.

#### Algorithm 4 Isomorphism testing for Cayley Groups

**Input:** multiplication tables G, H of two groups with n elements, parameter  $\alpha$ 

Computation: accept if G is isomorphic to H and reject otherwise

- 1: let S' be  $\vec{s'}=(s'_1,\ldots,s'_{k-1})=\mathsf{CompSeries}(H)$  a well ordered composition series for H from Algorithm 1
- 2: guess S with  $\vec{s} = (s_1, \dots, s_{k-1})$  from CompSeries(G) a well ordered composition series for G from Algorithm 1 as in Theorem 4.8.
- 3: **for**  $i \in \{1, ..., k-1\}$  **do**
- 4: **if**  $G_i/G_{i+1}$  is of order  $> \alpha$  **then**
- 5: guess t = (a) (or t = (a, b)) with  $\langle aG_{i+1}, bG_{i+1} \rangle \cong G_i/G_{i+1}$
- 6:  $t_i = t, A \leftarrow a(b), A' \leftarrow a'(b') \text{ with } s'_i = (a') \text{ (or } (a', b'))$
- 7: end if
- 8: end for
- 9: **if**  $Y(G, S, A) \cong Y(H, S', A')$  then accept and halt
- 10: reject and halt

**Theorem 1.3** Group isomorphism for groups with n elements given in table representation, is in time (for a constant c):

$$n^{c(\gamma + \log \beta + \log n / \log \log n)}$$

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