

Security of 2^t -Root Identification and Signatures

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Abstract

The GQ-protocol of Guillou and Quisquater and Ong-Schnorr identification and signatures are variants of the Fiat-Shamir scheme that provide short and fast communication and signatures. Let $N = pq$ be an arbitrary product of two primes that is difficult to factor. The Ong-Schnorr scheme uses secret keys that are 2^t -roots modulo N of the public keys, whereas Fiat-Shamir use square roots modulo N . The Ong-Schnorr scheme is quite efficient, in particular in its multi-key version. Under the assumption that the module N is a Blum integer that is difficult to factor, security for the Ong-Schnorr scheme has recently been proved for particular cases. Micali proves security of the signature scheme for particular keys and modules N . Shoup proves that the identification scheme is secure against active adversaries.

We prove for arbitrary modules $N = pq$ that Ong-Schnorr identification and signatures are secure unless N can easily be factored. The proven security of Ong-Schnorr identification against active impersonation attacks depends in an interesting way on the maximal 2-power 2^m that divides either $p - 1$ or $q - 1$. For $m \geq t$ we give a reduction from factoring N to active impersonation attacks that is as efficient as the one known for Fiat-Shamir identification. For $m < t$ we give an equally efficient reduction from factoring N to passive impersonation attacks and a less efficient reduction to active impersonation attacks. As these security results depend on the parameter m the question arises on how the difficulty of factoring N depends on m .

We show that Ong-Schnorr signatures with arbitrary module N are secure against adaptive chosen-message attacks unless the module N can easily be factored. Unlike to the security of identification against active adversaries, the parameter m is irrelevant for the security of the signature scheme in the random oracle model.

Keywords

identification scheme, signature scheme, Fiat-Shamir scheme, active/passive impersonation attacks, adaptive chosen-message attack, random oracle model.

1 Introduction and Summary

Fiat and Shamir proposed a practical identification/signature scheme that is based on a zero-knowledge protocol of Goldwasser, Micali and Rackoff (1989) for proving quadratic residuosity. The GQ-protocol of Guillou and Quisquater and Ong-Schnorr identification and signatures are variants of the Fiat-Shamir scheme which provide shorter communication and signatures than the Fiat-Shamir scheme. Ong-Schnorr identification and signatures are direct extensions of the Fiat-Shamir scheme replacing square roots modulo N by 2^t -roots. Moreover Ong-Schnorr identification and signatures are as fast, in the number of modular multiplications, as Fiat-Shamir. Until recently it was only known that Ong-Schnorr identification is secure provided that particular 2^t -roots modulo N are hard to compute [OS90]. Recently there has been surprising progress for the case of Blum integers N ($N = pq$ is called a *Blum integer* if p, q are primes that are congruent 3 mod 4).

Previous results. Micali [M94] proves security of Ong-Schnorr signatures for the case that the secret key is a 2^t -root of 4 and that 2 is a quadratic non-residue modulo N . Micali assumes that the hash function used for signatures acts as a random oracle. He shows that any algorithm which produces, without secret key, a valid signature faster than by random trials immediately leads to the factorization on N . This surprising result requires that the secret key, the 2^t -root of 4, already reveals the prime factors p and q of N . Therefore distinct users must have different modules N , and N is part of the secret key rather than a public parameter as in the Fiat-Shamir scheme and its extension by Ong-Schnorr.

Shoup [Sh95] proves that Ong-Schnorr identification with Blum integers N is secure against active adversaries unless N is easy to factor. Shoup gives a reduction from factoring N to active impersonation attacks that is less efficient than the one known for the Fiat-Shamir scheme. Also his reduction is not entirely constructive as it requires a priori knowledge on the adversary's probability of success.

Our results. We present security proofs for Ong-Schnorr identification for arbitrary modules $N = pq$. This extends and improves the results of Shoup in various ways. It sheds new light on the prime factors p and q of the module N . The efficiency of our reduction from factoring $N = pq$ to impersonation attacks depends in an interesting way on the maximal 2-power 2^m that divides either $p - 1$ or $q - 1$. We distinguish the cases of active and of passive attacks. In an *active attack*, before the impersonation attempt, the adversary poses as verifier in a sequence of executions of the ID-protocol and asks questions of his choice using the legitimate user as oracle. In a *passive impersonation attack* the adversary is given the public key but he cannot even listen in executions of the ID-protocol.

The cases that $m \geq t$, respectively $m < t$, are quite different. For $m \geq t$ we present a reduction from factoring N to active impersonation that is as efficient as the one known for Fiat-Shamir ID. It only requires that the adversary's success rate is twice the success rate for guessing the exam posed by the verifier. Thus modules N with $m \geq t$ provide optimal security against active/passive impersonation attacks unless they can easily be factored.

For the case $m < t$ we give a reduction from factoring to (only) passive impersonation that is as efficient as the one known for Fiat-Shamir ID. The reduction works for public

keys that are generated together with a pseudo-key (independent from the secret key) which enables to transform successful passive impersonations into the factorization of N . Having only a pseudo-key complicates for small m the reduction from factoring to active impersonation attacks as it becomes difficult to simulate the ID-protocol which is necessary to provide the information which the adversary needs for an active impersonation attack. This leads to a trade-off which we describe in Theorem 8. We either have an additional time factor 2^{t-m} for factoring N or the required probability of success of the active adversary increases by the factor 2^{t-m} .

Security of signatures. The above results translate into corresponding security results for Ong-Schnorr signatures. We assume that the public hash function of the signature scheme acts as a *random oracle*. This random oracle assumption has already been used in [FS86] and is commonly accepted to be appropriate for hash functions without cryptographic weaknesses, see also [BR93]. We consider the strongest type of attacks, *adaptive chosen-message attacks*. Here the adversary, before attempting to generate a valid signature-message pair, uses the legitimate signer as oracle to sign messages of his choice.

Pointcheval and Stern [PS96] show how to transform security proofs for discrete logarithm identification schemes into security proofs for the corresponding signature scheme. Using similar arguments we transform security against passive attacks for Ong-Schnorr ID into security against adaptive chosen-message attacks for the corresponding signature scheme. In Theorem 6 we prove the following. Ong-Schnorr signatures cannot be produced by an adaptive chosen-message attack faster than by random trials unless the module N can easily be factored. We get the same result for *arbitrary* keys and modules N which Micali [M94] proves for *particular* keys and modules N .

Generalizing the properties of Blum integers. Blum integers N are characterized by the property that squaring acts as a permutation on the set QR_N of quadratic residues modulo N . The cryptographic relevance of Blum integers relies on this property. One of our basic tools is a generalization of this property for arbitrary N , following Lemma 2.

2 Ong-Schnorr identification

Let N be product of two large primes p, q . Assume that N is public but the factorization is completely unknown. Let \mathbb{Z}_N^* denote the multiplicative group of integers modulo N . Let the prover A have the private key $s = (s_1, \dots, s_k)$ with components $s_1, \dots, s_k \in \mathbb{Z}_N^*$. The corresponding public key $v = (v_1, \dots, v_k)$ has components v_j satisfying $1/v_j = s_j^{2^t}$ for $j = 1, \dots, k$. We assume that the verifier B has access to A 's public key v .

Ong-Schnorr ID-protocol (A, B) (Prover A proves its identity to verifier B)

1. A picks a random $r \in_R \mathbb{Z}_N^*$ and sends $x := r^{2^t}$ to B .
2. B picks a random exam $e = (e_1, \dots, e_k) \in_R [0, 2^t)^k$ and sends it to A .
3. A sends $y := r \prod_j s_j^{e_j}$ to B .
4. B checks that $x = y^{2^t} \prod_j v_j^{e_j}$.

Standard forgery. It is known that a fraudulent prover \tilde{A} can cheat by guessing the exam e and sending the crooked proof $x := r^{2^t} \prod_j v_j^{e_j}$, $y := r$. The probability of success is 2^{-kt} . The goal is to prove that this 2^{-kt} success rate cannot be much improved unless we can easily factorize N . As the security level is 2^{kt} we are interested in parameters k, t with kt about 72.

Ong-Schnorr signatures. are obtained by replacing in the ID-protocol the verifier B by a public hash function h . To sign a message M the signer picks a random $r \in_R \mathbb{Z}_N^*$ forms $x := r^{2^t}$ and computes the hash value $e := h(x, M)$ in $[0, 2^t)^k$ and $y := r \prod_j s_j^{e_j}$. The signature of the message M is the pair (e, y) . It is verified by checking that $h(y^{2^t} \prod_j v_j^{e_j}, M) = e$ holds.

Efficiency. For Ong-Schnorr ID (resp. signatures) both prover (resp. signer) A and verifier B perform on the average $\frac{k+2}{2}t$ multiplications in \mathbb{Z}_N^* . For $k = 8, t = 9$ these are 45 multiplications. Further optimization is possible the same way as for the Fiat-Shamir scheme [FS86]. If the public key components v_j are integers having only a few non-zero bits in their binary representation, the work load of the verifier reduces to only t squarings in \mathbb{Z}_N^* and a few additions, shifts and reductions modulo N with integers of the order N . If $v_j = \sum_i v_{j,i} 2^i$ has w_j 1-bits $v_{j,i} = 1$, a multiplication by v_j can be done by w_j additions, shifts and reductions modulo N . Thus the verifier needs only to perform t squarings, for computing y^{2^t} , and on the average $\frac{t}{2} \sum_j w_j$ additions, shifts and reductions modulo N . Moreover the reductions modulo N are needless if the v_j are small integers.

Previous protocols. The original Fiat-Shamir scheme is the case $t = 1$ of the Ong-Schnorr protocol, repeated several times. While the Fiat-Shamir scheme requires many rounds to become secure, the Ong-Schnorr scheme executes a single round. Fiat-Shamir ID is secure against passive and active attacks unless N can easily be factored. Moreover Fiat-Shamir signatures are secure in the random oracle model [FS86], [FFS88]. Attacks with a success rate that is twice the probability for guessing the exam e can be transformed into the factorization of N .

The GQ-protocol [GQ88] is the case of single component keys $k = 1$, where 2^t -powers $x = r^{2^t}$ are replaced by u -powers $x = r^u$ for an arbitrary integer u of order N . The GQ-protocol consists of a single round with a large exam e . This greatly reduces the length of transmission and signatures of the Fiat-Shamir scheme at the expense of a slightly increased work load.

Notation. Let the fraudulent prover \tilde{A} be an interactive, probabilistic Turing machine that is given the fixed inputs k, t, N (k, t are sometimes omitted). Let RA be the sequence of coin tosses of \tilde{A} . Define the success bit $S_{\tilde{A},v}(RA, e)$ to be 1 if \tilde{A} succeeds with v, RA, e, N and 0 otherwise; accordingly call the pair (RA, e) *successful/unsuccessful*. The *success rate* $S_{\tilde{A},v}$ of \tilde{A} with v is the expected value of $S_{\tilde{A},v}(RA, e)$ for uniformly distributed pairs (RA, e) . For simplicity, we assume that the time $T_{\tilde{A},v}(RA, e)$ of \tilde{A} with v, RA, e is the same for all

pairs (RA, e) , i.e. $T_{\tilde{A},v}(RA, e) = T_{\tilde{A},v}$. This is no restriction since limiting the time to twice the average running time for successful pairs (RA, e) decreases the success rate $S_{\tilde{A},v}$ at most by a factor 2. We assume that $T_{\tilde{A},v} = \Omega(k \cdot t(\log_2 N)^3)$ and thus $T_{\tilde{A},v}$ majorizes the time of B in the protocol (\tilde{A}, B) .

Theorem 1. [OS90] *There is a probabilistic algorithm AL which on input \tilde{A}, N, v computes (y, \bar{y}, e, \bar{e}) such that $y, \bar{y} \in \mathbb{Z}_N^*$, $e, \bar{e} \in [0, 2^t)^k$, $e \neq \bar{e}$ and $(y/\bar{y})^{2^t} = \prod_j v_j^{\bar{e}_j - e_j}$. If $S_{\tilde{A},v} \geq 2^{-tk+1}$ then AL runs in expected time $O(T_{\tilde{A},v}/S_{\tilde{A},v})$.*

The proof is a straightforward extension of Lemma 4 in Feige, Fiat, Shamir (1988). Algorithm AL constructs a random pair (RA, e) with $S_{\tilde{A},v}(RA, e) = 1$ and produces a second random exam \bar{e} for which \tilde{A}_f succeeds with the same RA , i.e. $e \neq \bar{e}$ and $S_{\tilde{A},v}(RA, \bar{e}) = 1$. AL outputs e, \bar{e} and the replies y, \bar{y} of \tilde{A} with coin tosses RA to the exams e, \bar{e} .

For the entities of Theorem 1 we denote $X := y/\bar{y}$, $\ell := \max\{i \mid e = \bar{e} \pmod{2^i}\}$, $Z := \prod_j s_j^{(e_j - \bar{e}_j)/2^\ell}$. By the construction we have $X^{2^t} = Z^{2^{t+\ell}}$. The goal is to derive from X, Z two statistically independent square roots of the same square modulo N , so that we can factorize N with prob. $\geq 1/2$.

We use the structure of the prime factors p, q of $N = p \cdot q$. Let $p-1 = 2^{m_p} p'$, $q-1 = 2^{m_q} q'$ with p', q' odd. W.l.o.g. let $m_q \geq m_p$ and denote $m := m_q = \max(m_p, m_q)$. We have $m = 1$ iff both p and q are congruent 3 mod 4, i.e., if N is a Blum integer. For Blum integers squaring acts as a permutation on the subgroup QR_N of quadratic residues in \mathbb{Z}_N^* . This property characterizes the set of Blum integers. Lemma 2 extends this property to arbitrary cyclic groups.

For a multiplicative group G let G^u denote the subgroup of u -powers in G , $G^u = \{g^u \mid g \in G\}$. Lemma 2 is obvious.

Lemma 2. *For any cyclic group G of order $|G| = 2^m m'$ with m' odd, squaring $SQ : G^{2^i} \rightarrow G^{2^{i+1}}$, $x \mapsto x^2$ is a 2-1 mapping for $i = 0, \dots, m-1$ and is 1-1 for $i \geq m$.*

Extension of the Blum integer property. Let $N, m_p \leq m_q = m$ be as above. \mathbb{Z}_N^* is direct product of the cyclic groups \mathbb{Z}_p^* and \mathbb{Z}_q^* . Hence squaring $SQ : \mathbb{Z}_N^{*2^i} \rightarrow \mathbb{Z}_N^{*2^{i+1}}$, $x \mapsto x^2$, acts as a 4-1 mapping for $i < m_p$, as a 2-1 mapping for $m_p \leq i < m_q$ and as a permutation for $i \geq m_q = m$. With this observation we can extend cryptographic applications from Blum integers to arbitrary modules N .

3 Passive impersonation attacks for $m \geq t$

We show that Ong-Schnorr ID in case $m \geq t$ is as secure as Fiat-Shamir ID. We assume that k and t are given as input along with N but m may be unknown.

Theorem 3. *There is a probabilistic algorithm which on input \tilde{A}, N generates a random public key $v \in_R (\mathbb{Z}_N^{*2^t})^k$, factorizes N with probability at least 1/2, with respect to its coin tosses, and runs in expected time $O(T_{\tilde{A},v}/S_{\tilde{A},v})$ provided that $S_{\tilde{A},v} \geq 2^{-kt+1}$ and $t \leq m$.*

Proof. The factoring algorithm picks random $s_j \in_R \mathbb{Z}_N^*$ sets $1/v_j := s_j^{2^t}$ for $j = 1, \dots, k$, runs algorithm AL of Theorem 1 on input \tilde{A}, N, v to produce (y, \bar{y}, e, \bar{e}) and computes the corresponding ℓ, X, Z with $X^{2^t} = Z^{2^{t+\ell}}$. Then, it checks whether

$$\{\gcd(X^{2^i} \pm Z^{2^{i+\ell}}, N)\} = \{p, q\} \quad \text{holds for some } i, 0 \leq i < t.$$

For the analysis we assume w.l.o.g. that $(e_1 - \bar{e}_1)/2^\ell$ is odd. The probability space consists of the coin tosses of AL including $s_j \in_R \mathbb{Z}_N^*$ for $j = 1, \dots, k$. To simplify the analysis we arbitrarily fix $X, Z(\bmod p), s_2(\bmod q), \dots, s_k(\bmod q)$ so that the probability space reduces to $s_1(\bmod q) \in_R \mathbb{Z}_q^*$. By Lemma 2 and since $t \leq m$ there are 2^t many 2^t -roots $s_1(\bmod q)$ of $1/v_1 = s_1^{2^t}(\bmod q)$. They yield 2^t many values $Z(\bmod q)$. Since $\ell < t \leq m$ we have $X \neq \pm Z^{2^\ell}$ for at least half of these 2^t cases. If $X \neq \pm Z^{2^\ell}$ take the largest $i < t$ with $X^{2^i} \neq \pm Z^{2^{i+\ell}}$. Then $X^{2^i}, Z^{2^{i+\ell}}$ are square roots of the same square modulo N , they are distinct even when changing the sign. Hence $\{\gcd(X^{2^i} \pm Z^{2^{i+\ell}}, N)\} = \{p, q\}$. This shows that the algorithm factorizes N with probability at least $1/2$.

The expected time of the factoring algorithm is that of algorithm AL . By the assumption $T_{\tilde{A},v} = \Omega(k \cdot t(\log_2 N)^3)$ this covers all other steps. \square

A basic difficulty for the case of small m -values is that the above factoring algorithm requires $\ell < m$ while the construction only ensures $\ell < t$. If $\ell \geq m$ it can happen that $X = Z^{2^\ell}$ holds for all possible 2^t -roots s_j of $1/v_j$. In this case the factoring method breaks down completely.

Lemma 4. *For any m' with $1 \leq m' \leq t$ algorithm AL of Theorem 1 produces on input \tilde{A}, v an output (y, \bar{y}, e, \bar{e}) so that $e \neq \bar{e} \bmod 2^{m'}$ holds with probability $\geq 1/4$ provided that $S_{\tilde{A},v} \geq 2^{-km'+2}$.*

The Lemma shows that the algorithm of Theorem 3 factorizes N with probability at least $1/8$ and runs in expected time $O(T_{\tilde{A},v}/S_{\tilde{A},v})$ provided that $S_{\tilde{A},v} \geq 2^{-km'+2}$.

Proof. We call a coin tossing sequence RA of \tilde{A} m' -heavy if $\sum_e S_{\tilde{A},v}(RA, e) \geq 2^{kt-km'+1}$, i.e., if \tilde{A} succeeds for at least a $2^{-km'+1}$ fraction of the e . The claim follows from facts A and B.

Fact A. If RA is m' -heavy and $S_{\tilde{A},v}(RA, e) = 1$ then $e \neq \bar{e} \bmod 2^{m'}$ holds for at least half of the \bar{e} with $S_{\tilde{A},v}(RA, \bar{e}) = 1$.

Proof. For every e we have $\#\{\bar{e} \mid e = \bar{e} \bmod 2^{m'}\} \leq 2^{kt-km'}$ since $e_i = \bar{e}_i \bmod 2^{m'}$ holds for at most a $2^{-m'}$ fraction of the \bar{e}_i . Now the fact follows since RA is m' -heavy.

Fact B. If $S_{\tilde{A},v} \geq 2^{-km'+2}$ then RA is m' -heavy for at least half of the pairs (RA, e) with $S_{\tilde{A},v}(RA, e) = 1$.

Proof. If RA is not m' -heavy at most a $2^{-km'+1}$ fraction of the e satisfy $S_{\tilde{A},v}(RA, e) = 1$. Therefore at most a $2^{-km'+1}$ fraction of pairs (RA, e) satisfy $S_{\tilde{A},v}(RA, e) = 1$ without that RA is m' -heavy. On the other hand, since $S_{\tilde{A},v} \geq 2^{-km'+2}$, at least a $2^{-km'+2}$ fraction of the (RA, e) satisfy $S_{\tilde{A},v}(RA, e) = 1$.

Algorithm AL generates a random pair (RA, e) with $S_{\tilde{A},v}(RA, e) = 1$. By Fact A RA is m' -heavy with probability $\geq 1/2$. After fixing (RA, e) with $S_{\tilde{A},v}(RA, e) = 1$ AL generates a random \bar{e} with $S_{\tilde{A},v}(RA, \bar{e}) = 1$. By Fact B $e \neq \bar{e} \pmod{2^{m'}}$ holds with probability $\geq 1/4$. \square

Remark. The lower bound $S_{\tilde{A},v} > 2^{-km'}$ is necessary in Lemma 4. It is possible to position a $2^{-km'}$ -fraction of successes so that $e = \bar{e} \pmod{2^{m'}}$ always holds.

4 Passive impersonation attacks for $m < t$

For $m < t$ we give another reduction from factoring to impersonation. The factoring algorithm generates a random public key v together with a pseudo-key \tilde{s} which enables to transform successful attacks of a passive adversary \tilde{A} into the factorization of N .

Theorem 5 *There is a prob. algorithm which on input \tilde{A}, N generates a random public key $v \in_R (\mathbb{Z}_N^*)^k$, factorizes N with probability $\geq 1/2$ with respect to its coin tosses, and runs in expected time $O(T_{\tilde{A},v}/S_{\tilde{A},v})$ provided that $S_{\tilde{A},v} \geq 2^{-kt+1}$ and $m < t$.*

Proof. Factoring algorithm

1. Pick random $\tilde{s}_j \in_R \mathbb{Z}_N^*$ and set $1/v_j = \tilde{s}_j^{2^m}$ for $j = 1, \dots, k$ (we have $v \in_R (\mathbb{Z}_N^*)^k$).
2. According to Theorem 1 compute $AL : (\tilde{A}, v) \mapsto (y, \bar{y}, e, \bar{e})$ and set $\ell := \max\{i \mid e = \bar{e} \pmod{2^i}\}$, $X := y/\bar{y}$, $\tilde{Z} := \prod_j \tilde{s}_j^{(e_j - \bar{e}_j)/2^\ell}$.
3. Test whether for some i , $\ell < i \leq t$: $\{\gcd(X^{2^{t-i}} \pm \tilde{Z}^{2^{\ell+m-i}}, N)\} = \{p, q\}$.

By the construction we have $X^{2^t} = \tilde{Z}^{2^{\ell+m}}$ and $\ell < t$. W.l.o.g. let $(e_1 - \bar{e}_1)/2^\ell$ be odd. Arbitrarily fix $\tilde{Z} \pmod{p}$, $\tilde{s}_2 \pmod{q}, \dots, \tilde{s}_k \pmod{q}$ and X so that the probability space reduces to the 2^m solutions $\tilde{s}_1 \pmod{q}$ of $\tilde{s}_1^{2^m} = 1/v_1 \pmod{q}$. These 2^m solutions yield 2^m many values $\tilde{s}_1 \in \mathbb{Z}_N^*$ and, since $(e_1 - \bar{e}_1)/2^\ell$ is odd, they generate 2^m many values $\tilde{Z} \in \mathbb{Z}_N^*$. Note that $X^{2^{t-\ell-1}} \neq \pm \tilde{Z}^{2^{m-1}}$ holds for at least 2^{m-1} many \tilde{Z} -values. (By Lemma 2 and since $\tilde{Z} \pmod{p}$ is fixed we have $X^{2^{t-\ell-1}} = \pm \tilde{Z}^{2^{m-1}}$ for at most 2^{m-1} of these \tilde{Z} -values). For such \tilde{Z} consider the smallest $i > 0$ with $X^{2^{t-i}} \neq \pm \tilde{Z}^{2^{\ell+m-i}}$. Then $X^{2^{t-i}}, \tilde{Z}^{2^{\ell+m-i}}$ are square roots of the same square in \mathbb{Z}_N^* . These square roots are distinct even if we change signs. Hence $\{\gcd(X^{2^{t-i}} \pm \tilde{Z}^{2^{\ell+m-i}}, N)\} = \{p, q\}$. This shows that the algorithm factorizes at least with probability $1/2$. \square

The above proof establishes security of public keys v that are generated without a corresponding secret key s . We have generated v from a random pseudo-key \tilde{s} so that $1/v_j = \tilde{s}_j^{2^m}$ for $j = 1, \dots, k$. We cannot generate first a secret key s to produce a pseudo-key \tilde{s} by squaring the components of s . The components \tilde{s}_j must be random in \mathbb{Z}_N^* , and thus \tilde{s}_j is a quadratic non-residue with probability $3/4$. In fact we cannot have v, s together with \tilde{s} unless we can easily factor N .

5 Security of Ong-Schnorr signatures

We study the security in the *random oracle model* where the hash function h is replaced by a random oracle. This assumption has already been made in [FS86] and has been further developed in [BR93]. Under this assumption the hash function h produces for each query (x, M) a random value $h(x, M) \in_R [0, 2^t]^k$. If a query is repeated the same answer is given.

We consider most powerful attacks, adaptive chosen-message attacks as introduced by Goldwasser, Micali, Rivest in [GMR88]. The adversary, before attempting to generate a new message-signature pair, uses the legitimate signer as an oracle to sign messages of his choice.

The strength of the adaptive chosen-message attack gets somewhat diluted by the random oracle assumption. The hash values $h(x, M)$ are random in $[0, 2^t]^k$ and independent for distinct pairs (x, M) . The adversary cannot get anything from correct signatures (e, y) since these are random pairs in $[0, 2^t]^k \times \mathbb{Z}_N^*$ that can easily be produced, with the same probability distribution, by anybody. In the random oracle model, adaptive chosen-message attacks on Ong-Schnorr signatures are not stronger than no-message attacks, where the attacker is merely given the public key.

For the next theorem let \tilde{A}_f be an attacker which executes an adaptive chosen-message attack on N and public key v so that the oracle for the hash function h is queried at most f times, $f \geq 1$. Let $T_{\tilde{A}_f, v}$ be its expected time and $S_{\tilde{A}_f, v}$ its probability of success with v .

Theorem 6 . *There is a probabilistic algorithm which on input \tilde{A}_f, N generates a random $v \in_R (\mathbb{Z}_N^{*2^t})^k$, factorizes N with probability at least $1/2$ with respect to its coin tosses, and runs in expected time $O(f T_{\tilde{A}_f, v} / S_{\tilde{A}_f, v})$ provided that $S_{\tilde{A}_f, v} \geq f 2^{-kt+1}$.*

Proof. Depending on whether $m \geq t$ or $m < t$ we mimic the factoring algorithms corresponding to Theorems 3 and 5. Firstly we give an informal argument for the case $m \geq t$.

The factoring algorithm picks random $s_j \in_R \mathbb{Z}_N^*$, sets $1/v_j = s_j^{2^t}$ for $j = 1, \dots, k$, and lets \tilde{A}_f execute its attack on the public key v . For the signatures requested by \tilde{A}_f it produces random pairs in $[0, 2^t]^k \times \mathbb{Z}_N^*$. Suppose \tilde{A}_f queries the oracle for h on (x_i, M_i) for $i = 1, \dots, f$ and outputs the message-signature pair (M, e, y) .

We can assume that $(y^{2^t} \prod_j v_j^{e_j}, M) = (x_i, M_i)$ holds for some $i \leq f$ since otherwise $e = h(y^{2^t} \prod_j v_j^{e_j}, M)$ holds with prob. 2^{-kt} . If the adversary produces this x_i as $x_i := y^{2^t} \prod_j v_j^{e_j}$ for some preselected e and y , the oracle returns the preselected e with prob. 2^{-kt} . Thus, each such oracle query can at most add 2^{-kt} to the success rate $S_{\tilde{A}_f, v}$. Hence, at least with probability $(S_{\tilde{A}_f, v} - f 2^{-kt})$ the attacker \tilde{A}_f is able to produce two distinct pairs (e, y) and (\bar{e}, \bar{y}) with $e \neq \bar{e}$ satisfying $y^{2^t} \prod_j v_j^{e_j} = \bar{y}^{2^t} \prod_j v_j^{\bar{e}_j} = x_i$. For these pairs we have $(y/\bar{y})^{2^t} = \prod_j v_j^{\bar{e}_j - e_j}$ and (y, \bar{y}, e, \bar{e}) has the same properties as the output of algorithm *AL* of Theorem 1. It yields the factorization of N with prob. $1/2$ as described in Theorem 3.

The formal factoring algorithm employs a version of algorithm *AL* of Theorem 1 to construct (e, y, \bar{e}, \bar{y}) . It simulates \tilde{A}_f using statistically independent oracles for h .

Factoring algorithm

1. Pick random $s_j \in_R \mathbb{Z}_N^*$, set $1/v_j = s_j^{2^t}$ for $j = 1, \dots, k$ and $u := 0$
2. Pick a random sequence of coin tosses RA for \tilde{A}_f .
3. (first signing attempt) Simulate the adversary \tilde{A}_f with v, RA .
 For the message signature pairs requested by \tilde{A}_f provide random pairs.
 Let the adversary query the oracle for h about (x_i, M_i) for $i = 1, \dots, f$.
 If \tilde{A}_f fabricates a signature (e, y) satisfying $y^{2^t} \prod_j v_j^{e_j} = x_i$ for some i (in this case we call the pair (RA, e) *successful* with i) then fix RA, i, x_i, M_i, e, y , set $u := 4uf$ and go to step 4.
 Otherwise increase u by 1 and go back to step 2 undoing \tilde{A}_f 's computation.
4. (second signing attempt) Simulate the adversary \tilde{A}_f with v, RA .
 Let the oracle answer the first $i - 1$ queries the same way as in step 3.
 Let it answer the other queries statistically independent from previous oracle outputs.
 In particular, the oracle is repeatedly queried about the (x_i, M_i) of step 3 providing statistically independent replies.
 If \tilde{A}_f fabricates a signature (\bar{e}, \bar{y}) with $e \neq \bar{e}$ satisfying $\bar{y}^{2^t} \prod_j v_j^{\bar{e}_j} = x_i$ for the x_i fixed in step 3 and the new oracle reply \bar{e} for (x_i, M_i) , then go to step 5.
 Otherwise, if $u > 0$ set $u := u - 1$ and go back to step 4,
 if $u = 0$ go back to step 2 (undoing the computation of \tilde{A}_f in either case).
5. Compute $X := y/\bar{y}$, $\ell := \max\{i \mid e = \bar{e} \pmod{2^i}\}$, $Z := \prod_j s_j^{(e_j - \bar{e}_j)/2^\ell}$
 (hence $X^{2^\ell} = Z^{2^{t+\ell}}$).
6. Test whether $\{\gcd(X^{2^{t-i}} \pm Z^{2^{t+\ell-i}}, N)\} = \{p, q\}$ holds for some $i \leq t$.

Sketch of the analysis. On the average it takes $1/S_{\tilde{A}_f, v}$ many passes of steps 2 and 3 to find i, x_i, M_i, e, y . If $S_{\tilde{A}_f, v} > f \cdot 2^{-kt+1}$ the subsequent step 4 succeeds to find (\bar{e}, \bar{y}) with $e \neq \bar{e}$ at least with probability $\frac{1}{4}(1 - 2.7^{-1})$. For this we note that, with probability at least $\frac{1}{4}$, step 3 probes at least $u \geq \frac{1}{2}S_{\tilde{A}_f, v}^{-1}$ many pairs (RA, e) before fixing some RA for which the fraction of successful pairs (RA, \bar{e}) is at least $\frac{1}{2}S_{\tilde{A}_f, v}^{-1}$. In this case at least a $\frac{1}{2f}S_{\tilde{A}_f, v}^{-1}$ -fraction of \bar{e} succeeds in step 4 with the i fixed in step 3. Since step 4 probes at least $2fS_{\tilde{A}_f, v}$ many random \bar{e} , step 4 succeeds at least with probability $1 - 2.7^{-1}$. Finally, steps 5 and 6 factorize N at least with probability $1/2$.

In case that $m < t$ the factoring algorithm generates, as in the proof of Theorem 5, the public key from a random pseudo-key \tilde{s} and factorizes N according to Theorem 5. \square

6 Ong-Schnorr ID is secure against active impersonation

In Theorem 7 we extend the reduction of Theorem 3 from passive to active impersonation attacks. In Theorem 8 we present a reduction from factoring to active impersonation attacks for arbitrary modules $N = p \cdot q$ with $m \leq t$. The latter result extends and improves the reduction given by Shoup for the case of Blum integers N . The efficiency of the reduction

depends in an interesting way on the parameter m . While this reduction is quite efficient if m is close to t it is less efficient for Blum integers, i.e. for $m = 1$. This deficiency of Blum integers was not apparent from Shoup's proof. Shoup's proof of security is not entirely constructive. It requires a priori knowledge on the probability of success of the adversary \tilde{A}_f , given the knowledge from the f executions of the protocol (A, \tilde{A}_f) . We eliminate this a priori knowledge. We only use \tilde{A}_f 's overall success rate $S_{\tilde{A}_f, v}$ depending on the coin tosses of the entire sequence of f executions of protocol (A, \tilde{A}_f) followed by (\tilde{A}_f, B) .

An active adversary, before the impersonation attempt, poses as B in a sequence of executions of the protocol (A, B) asking A questions of his choice without necessarily following the protocol of B . Then, he attempts to pose as A in the protocol (A, B) . For short we let \tilde{A}_f denote an active adversary who asks for f ID-proofs of A via (A, \tilde{A}_f) and then attempts to impersonate A in protocol (\tilde{A}_f, B) . Let $T_{\tilde{A}_f, v}$ denote the total running time of f consecutive executions of protocol (A, \tilde{A}_f) followed by (\tilde{A}_f, B) . The probability of success $S_{\tilde{A}_f, v}$ of \tilde{A}_f refers to the coin tosses of \tilde{A}_f , A , B in these $f + 1$ protocol executions. We first show that in case $m \geq t$ Theorem 3 holds for any active adversary \tilde{A}_f .

Theorem 7. *There is a probabilistic algorithm which given for input the active adversary \tilde{A}_f , and N generates a random public key $v \in_R (\mathbb{Z}_N^{*2^t})^k$, factorizes N with probability at least $1/2$ with respect to its coin tosses, and runs in expected time $O(T_{\tilde{A}_f, v}/S_{\tilde{A}_f, v})$ provided that $S_{\tilde{A}_f, v} \geq 2^{-kt+1}$ and $t \leq m$.*

Proof. The factoring algorithm picks $s_j \in_R \mathbb{Z}_N^*$ for $i = 1, \dots, k$ and generates the public key v as $1/v_j = s_j^{2^t}$ for $j = 1, \dots, k$. Using the private key $s = (s_1, \dots, s_k)$ the algorithm executes the protocol (A, \tilde{A}_f) f -times providing to \tilde{A}_f the information necessary to impersonate A with success rate $S_{\tilde{A}_f, v}$.

A key observation is that the protocol (A, \tilde{A}_f) is witness indistinguishable and witness hiding in the sense of [FS90]. The protocols (A, \tilde{A}_f) executed using the secret key s do not reveal to \tilde{A}_f any information on which 2^t -roots s_j of $1/v_j$ are used by A . The same distribution of data is given to \tilde{A}_f in protocol (A, \tilde{A}_f) no matter which of the 2^t -roots s_j is chosen by A . For this we note that in step 1 of protocol (A, \tilde{A}_f) , A sends $x = r^{2^t}$ a random 2^t -power in $\mathbb{Z}_N^{*2^t}$. In step 3, A sends $y = r \cdot \prod_j s_j^{e_j}$, a random 2^t -root of $x/\prod_j v_j^{e_j}$ uniformly distributed among all possible 2^t -roots. This uniform distribution is based on the random choice of r and does not change with the selected 2^t -roots s_j of $1/v_j$.

Using the data transmitted within the f executions of protocol (A, \tilde{A}_f) algorithm AL of Theorem 1 produces an output (y, \bar{y}, e, \bar{e}) so that $X^{2^t} = Z^{2^{t+\ell}}$ holds for $X := y/\bar{y}$ and $Z := \prod_j s_j^{(e_j - \bar{e}_j)/2^\ell}$. The distribution of X does not change if s_j is replaced by any other 2^t -root of the same $1/v_j$ (this holds even though y, \bar{y} are functions depending on s). On the other hand the 2^t -root $Z = \prod_j s_j^{(e_j - \bar{e}_j)/2^\ell}$ changes with the choice of the 2^t -roots s_j . Therefore the factoring method of Theorem 3 remains intact. With probability at least $1/2$, $\{\gcd(X^{2^i} \pm Z^{2^{i+\ell}}, N)\} = \{p, q\}$ holds for some i with $0 \leq i < t$. \square

Secure modules. In view of Theorem 7, modules N with $m \geq t$ provide optimal security against active impersonation attacks unless N can easily be factored. This raises the question on how the difficulty of factoring a random integer N depends on the parameter m . We are not aware of a factoring algorithm that makes a relevant difference for small values of m , say for $m \leq 10$, which are most interesting for Ong-Schnorr ID.

The previous reductions cannot be easily extended to the case of active adversaries if $m < t$. The best we can do is to combine Lemma 4 with the use of pseudo-keys as in Theorem 5. The factoring method of Theorem 3 requires $\ell < m$ which in turn necessitates a large probability of success, $S_{\tilde{A}_f, v} > 2^{-km}$. Using a pseudo-key \tilde{s} we can factorize N with smaller success rates.

Suppose the pseudo-key \tilde{s} satisfies $\tilde{s}_j^{2^{m'}} = 1/v_j$ for $j = 1, \dots, k$ with $m \leq m' \leq t$. Using such a pseudo-key the factoring method works iff $\ell < t + m - m'$. The drawback is that the factoring algorithm, without secret key, cannot easily simulate the protocol (A, \tilde{A}_f) which is necessary to provide the information which the adversary needs for an active impersonation attempt. Following Shoup [Sh95] we can simulate the protocol (A, \tilde{A}_f) in zero-knowledge fashion by guessing the exams e partly. It is sufficient to guess $e \bmod 2^{t-m'}$ since the $[2^{m'-t}e_j]$ -part of the exam can be answered using the pseudo-key \tilde{s} . To guess $e \bmod 2^{t-m'}$ we need on the average $2^{k(t-m')}$ many trials. This causes a time factor $2^{k(t-m')}$ for the factoring algorithm.

Thus we have a trade-off in case of small m -values. We can have an additional time factor $2^{k(t-m')}$ for factoring N or a required success rate $S_{\tilde{A}_f, v}$ that is $2^{k(m'-m)}$ times larger than the success rate required in case $m \geq t$. The trade-off is expressed in the following theorem:

Theorem 8. *There is a probabilistic algorithm which on input \tilde{A}_f, N, m' with $m \leq m' \leq t$ generates a random public key $v \in_R (\mathbb{Z}_N^{*2^t})^k$, factorizes N with probability at least $1/8$ with respect to its coin tosses and runs in expected time $O(2^{k(t-m')} T_{\tilde{A}_f, v} / S_{\tilde{A}_f, v})$ provided that $S_{\tilde{A}_f, v} \geq 2^{-kt+k(m'-m)+2}$.*

For Blum integers this theorem contains the result of Shoup [Sh95] that factoring N is polynomial time reducible to active impersonation attempts. If the success rate $S_{\tilde{A}_f, v}$ is at least $1/(\log(N))^c$ for some fixed $c > 0$ and we have a corresponding a priori lower bound for $S_{\tilde{A}_f, v}$ we apply Theorem 8 with the maximal m' satisfying $2^{-kt+k(m'-m)+2} < S_{\tilde{A}_f, v}$. With this m' the time factor $2^{k(t-m')}$ is polynomially bounded and together with a polynomial time adversary \tilde{A}_f the factoring algorithm becomes polynomial time.

Proof. Factoring algorithm

1. Pick random $\tilde{s}_j \in_R \mathbb{Z}_N^*$, set $1/v_j = \tilde{s}_j^{2^{m'}}$ for $j = 1, \dots, k$ and $u := 0$
2. Pick a random sequence of coin tosses RA for \tilde{A}_f .
To simulate f executions of (A, \tilde{A}_f) using \tilde{s} , repeat steps 2.1, 2.2 f times.
 - 2.1 Pick $r \in_R \mathbb{Z}_N^*$, $e' = (e'_1, \dots, e'_k) \in_R [0, 2^{t-m'})^k$ and set $x := r^{2^t} \prod_j v_j^{e'_j}$.

- 2.2 Compute $e \in [0, 2^t)^k$ following \tilde{A}_f .
 If $e \neq e' \bmod 2^{t-m'}$ go back to step 2.1 undoing the computation of \tilde{A}_f .
 Otherwise set $y := r \cdot \prod_j \tilde{s}_j^{\lfloor 2^{m'-t} e_j \rfloor}$ (an easy calculation shows that $y^{2^t} \prod_j v_j^{e_j} = x$).
 (By the f iterations of steps 2.1 and 2.2 the adversary \tilde{A}_f gets the necessary information for impersonation attempts.)
3. (first impersonation attempt) Pick $e \in_R [0, 2^t)^k$ and execute (\tilde{A}_f, B) with exam e .
 If $S_{\tilde{A}_f, v}(RA, e) = 1$ set $u := 4u$ and go to step 4.
 Otherwise set $u := u + 1$ and go back to step 2 undoing the computation of \tilde{A}_f .
 4. (second impersonation attempt) Pick $\bar{e} \in_R [0, 2^t)^k$ and execute (\tilde{A}_f, B) with exam \bar{e} .
 If $S_{\tilde{A}_f, v}(RA, \bar{e}) = 1$ and $e \neq \bar{e}$, compute the replies y, \bar{y} of \tilde{A}_f with e, \bar{e} and go to step 5.
 Otherwise set $u := u - 1$, if $u > 0$ go back to step 4, if $u = 0$ go back to step 2 (undoing the computation of \tilde{A}_f in either case).
 5. Compute $X := y/\bar{y}$, $\ell := \max\{i \mid e = \bar{e} \bmod 2^i\}$, $\tilde{Z} := \prod_j \tilde{s}_j^{(e_j - \bar{e}_j)/2^\ell}$
 (hence $X^{2^t} = \tilde{Z}^{2^{m'+\ell}}$).
 6. Test whether $\{\gcd(X^{2^{t-i}} \pm \tilde{Z}^{2^{m'+\ell-i}}, N)\} = \{p, q\}$ holds for some $i \leq \min(t, m' + \ell)$.

Analysis. Each evaluation of $S_{\tilde{A}_f, v}(RA, e)$ requires f executions of protocol (A, \tilde{A}_f) followed by an execution of protocol (\tilde{A}_f, B) . Here \tilde{A}_f is determined by its coin tosses RA while A and B follow the protocol (A, B) with independent coin flips.

The steps 2.1 and 2.2 simulate the protocol (A, \tilde{A}_f) in zeroknowledge fashion using the pseudo-key \tilde{s} . This is possible by partially guessing the exams e .

Step 3 counts the number u of probed pairs (RA, e) until a successful pair is found. Then step 4 probes at most $4u$ pairs to find a second successful pair (RA, \bar{e}) for the same RA . This way steps 2, 3, 4 are passed on the average at most $O(1/S_{\tilde{A}_f, v})$ times. This follows from the argument set forth by Feige, Fiat, Shamir in Lemma 4 of [FFS88].

In step 2.2, the equation $e = e' \bmod 2^{t-m'}$ holds with probability $2^{-k(t-m')}$. Guessing a correct e takes on the average $2^{k(t-m')}$ many trials. This costs a time factor $2^{k(t-m')}$. We see that the algorithm runs in expected time $O(2^{k(t-m')} T_{\tilde{A}_f, v} / S_{\tilde{A}_f, v})$.

By the construction we have $X^{2^t} = \tilde{Z}^{2^{m'+\ell}}$. Therefore the factorization attempt in step 6 succeeds with probability $\geq 1/2$ iff there exists i with $\ell + m' - m < i \leq \min(t, m' + \ell)$. This condition is satisfiable iff $\ell < t + m - m'$. By Lemma 4 and since $S_{\tilde{A}_f, v} \geq 2^{-kt+k(m'-m)+2}$ the inequality $\ell < t + m - m'$ holds at least with probability $\geq 1/4$. Hence the factoring of N succeeds at least with probability $1/8$.

The required lower bound on $S_{\tilde{A}_f, v}$ is nearly sharp as the inequality $S_{\tilde{A}_f, v} > 2^{-kt+k(m'-m)}$ is necessary for the condition $\ell < t + m - m'$. \square

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