

# Randomization and nondeterminism are incomparable for ordered read-once branching programs

*Farid Ablayev\**

Department of Theoretical Cybernetics  
Kazan University  
Kazan 420008, Russia  
ablayev@ksu.ru

## Abstract

In [3] we exhibited a simple boolean functions  $f_n$  in  $n$  variables such that:

- 1)  $f_n$  can be computed by polynomial size randomized ordered read-once branching program with one sided small error;
- 2) any nondeterministic ordered read-once branching program that computes  $f_n$  has exponential size.

In this paper we present a simple boolean function  $g_n$  in  $n$  variables such that:

- 1)  $g_n$  can be computed by polynomial size nondeterministic ordered read-once branching program;
- 2) any two-sided error randomized ordered read-once branching program that computes  $f_n$  has exponential size.

These mean that  $BPP$  and  $NP$  are incomparable in the context of ordered read-once branching program.

## 1 Preliminaries

Branching programs is well known model of computation for discrete functions [14]. Many types of restricted branching programs have been investigated as

---

\*Work done in part while visiting Steklov Mathematical Institute in Moscow. The research supported by Russia Fund for Basic Research 96-01-01962.

important theoretical model of computations [9]. Ordered read-once branching program or ordered binary decision diagrams (OBDD) [4, 15] also important for practical computer science. They are used in circuits verifications. But many important functions cannot be computed by deterministic read-once branching programs of polynomial size [4, 13, 8].

In [2] we introduced the model of randomized branching programs and showed that randomized ordered read-once branching programs can be more effective than deterministic ones. In [3] we defined exclusive boolean function  $f_n$  in  $n$  variables which can be computed by polynomial size randomized ordered read-once branching program, but any nondeterministic ordered read-once branching program needs exponential size to compute  $f_n$ . Martin Sauerhoff [10] considered function from theorem 3 [6]. He proved that this function needs (also as in the deterministic case) exponential size randomized read-once branching programs for one-sided error. In this paper we presented exclusive function  $g_n$  which is "simple" for nondeterministic ordered read-once branching programs, but is "hard" for randomized read-once branching programs with two-sided error of computation.

Together with the result from [3] this proves that complexity classes  $BPP$  and  $NP$  are incomparable in the context of ordered read-once branching programs.

Note that the results of the paper for ordered read-once branching programs are true for a more common model — weak-ordered branching program that we define in the paper. Informally speaking weak-ordered property for branching program  $P$  means existence of partition of its set  $\{x_1, x_2, \dots, x_n\}$  of variables into two parts  $X_1$  and  $X_2$ ,  $X_1 \cap X_2 \neq \emptyset$ , such that for any computation path of  $P$  the following is true. If a variable from  $X_2$  is tested then no variable from  $X_1$  can be tested in the rest part of this path.

A *deterministic* branching program  $P$  for computing a function  $g : \{0, 1\}^n \rightarrow \{0, 1\}$  is a directed acyclic multi-graph with a distinguished source node  $s$  and a distinguished sink node  $t$ . The out degree of each non-sink node is exactly 2 and the two outgoing edges are labeled by  $x_i = 0$  and  $x_i = 1$  for variable  $x_i$  associated with the node. Call such node an  $x_i$ -node. The label " $x_i = \delta$ " indicates that only inputs satisfying  $x_i = \delta$  may follow this edge in the computation. The branching program  $P$  computes function  $g$  in the obvious way: for each  $\sigma \in \{0, 1\}^n$  we let  $f(\sigma) = 1$  iff there is a directed  $s - t$  path starting in the source  $s$  and leading to the accepting node  $t$  such that all labels  $x_i = \sigma_i$  along this path are consistent with  $\sigma = \sigma_1, \sigma_2, \dots, \sigma_n$ .

The branching program becomes *nondeterministic* [5] if we allow "guessing nodes" that is nodes with two outgoing edges being unlabeled. Unlabeled edges allow all inputs to be produced. A nondeterministic branching program  $P$  computes a function  $g$ , in the obvious way; that is,  $g(\sigma) = 1$  iff there exists (at least one) computation on  $\sigma$  starting in the source node  $s$  and leading to the accepting node  $t$ .

Define a *randomized* branching program [2] as a one which has in addition to its standard inputs specially designated inputs called "random inputs". When

values of these "random inputs" are chosen from the uniform distribution, the output of the branching program is a random variable.

Say that a randomized branching program  $(a, b)$ -computes a boolean function  $f$  if it outputs 1 with probability at most  $a$  for input  $\sigma$  such that  $f(\sigma) = 0$  and outputs 1 with probability at least  $b$  for inputs  $\sigma$  such that  $f(\sigma) = 1$ .

As usual for a branching program  $P$  (deterministic or random), we define  $\text{size}(P)$  (complexity of the branching program  $P$ ) as the number of internal nodes in  $P$ . Define, following [5], the  $\text{size}(P)$  of the nondeterministic branching program  $P$  as the number of internal nodes in  $P$  minus the number of guessing nodes.

Read-once branching programs is a branching program in which for each path each variable is tested no more than once. An ordered read-once branching program is a read-once branching program which respects a fixed ordering  $\pi$  of the variables, i.e. if an edge leads from an  $x_i$ -node to an  $x_j$ -node, the condition  $\pi(i) < \pi(j)$  has to be fulfilled.

## 2 Results

We specify a boolean function  $f_n$  of  $n = 4l$  variables as follows. For a sequence  $\sigma \in \{0, 1\}^{4l}$  call odd bits a "type" bits and even bits a "value" bits. Say that even bit  $\sigma_i \in \sigma$ ,  $i \in \{2, 4, \dots, 4l\}$ , has type 0 (1) if corresponding odd bit  $\sigma_{i-1}$  is 0 (1). For a sequence  $\sigma \in \{0, 1\}^{4l}$  denote  $\sigma^0$  ( $\sigma^1$ ) subsequence of  $\sigma$  that consists of all even bits of type 0 (1).

For every  $\sigma \in \{0, 1\}^n$  boolean function  $f_n : \{0, 1\}^n \rightarrow \{0, 1\}$  is defined as  $f_n(\sigma) = 1$  iff  $\sigma^0 = \sigma^1$ .

**Definition 1** *Call branching program a  $\pi$ -weak-ordered branching program if it respects a partition  $\pi$  of variables  $\{x_1, x_2, \dots, x_n\}$  into two parts  $X_1$  and  $X_2$  such that if an edge leads from an  $x_i$ -node to an  $x_j$ -node, where  $x_i \in X_t$  and  $x_j \in X_m$ , then the condition  $t \leq m$  has to be fulfilled.*

*Call branching program  $P$  an weak-ordered if it is  $\pi$ -weak-ordered for some partition  $\pi$  of the set of variables of  $P$  into two sets.*

Clearly that ordered read-once branching program is also weak-ordered. We proved the following result in [3] (we use here a restrictive variant of this result).

**Theorem 1** *For the function  $f_n$  the following is true:*

1.  $f_n$  can be  $(\varepsilon(n), 1)$ -computed by randomized ordered read-once branching program of the size

$$O\left(\frac{n^6}{\varepsilon^3(n)} \log^2 \frac{n}{\varepsilon(n)}\right).$$

2. Any nondeterministic ordered read-once branching program that computes function  $f_n$  has the size no less than  $2^{n/4-1}$ .

Now define function  $g_n$  which is "hard" for randomized computation but is "simple" for nondeterministic computation for our model of branching program. This boolean function presented in [11]. Let  $n$  be an integer and let  $p[n]$  be the smallest prime greater or equal to  $n$ . Then, for every integer  $s$ , let  $\omega_n(s)$  be defined as follows. Let  $j$  be the unique integer satisfying  $j = s \bmod p[n]$  and  $1 \leq j \leq p[n]$ . Then,  $\omega_n(s) = j$ , if  $1 \leq j \leq n$ , and  $\omega_n(s) = 1$  otherwise.

For every  $n$ , the boolean function  $g_n : \{0, 1\}^n \rightarrow \{0, 1\}$  is defined as  $g_n(\sigma) = \sigma_j$ , where  $j = \omega_n(\sum_{i=1}^n i\sigma_i)$ .

We will use the following notations in the rest part of the paper. Let  $h : \{0, 1\}^n \rightarrow \{0, 1\}$  be a boolean function. Consider a partition  $\pi$  of variables  $\{x_1, x_2, \dots, x_n\}$  into two parts  $X_1 = \{x_i : i \in I\}$  and  $X_2 = \{x_j : j \in J\}$ , where  $I \subset \{1, 2, \dots, n\}$ ,  $|I| = l$  and  $J = \{1, 2, \dots, n\} \setminus I$ ,  $|J| = t$ .

Denote  $L, R$  sets of binary sequences of length  $l$  and  $t$  with indexes from  $I$  and  $J$  respectively. For  $u \in L$  and  $w \in R$  let  $(u, w)$  mean the sequence  $\sigma$  from  $\{0, 1\}^n$  in which bits with indexes from  $I$  respectively  $J$  have the same values as in  $u$  respectively  $w$ . We will also use the notation  $h(u, w)$  instead of  $h(\sigma)$  where it will be convenient.

Consider one-way randomized communication computation. We use the following standard model of one-way randomized communication computation for function  $h$ . Two players  $A$  and  $B$  receive respectively  $u \in L$  and  $w \in R$ . In the randomized one-way model,  $A$  sends the messages  $\beta_1, \beta_2, \dots, \beta_d$  with probabilities  $p_1, p_2, \dots, p_d$  respectively ( $\sum_{i=1}^d p_i = 1$ ).  $B$ , on the receipt of  $\beta_i$ , outputs 1 with probability  $q_i$  and 0 with probability  $1 - q_i$ . The probability distribution on the set of messages sent by  $A$  is entirely determined by the input at  $A$  alone, and is not influenced by the input at  $B$ . Similarly, the probabilities  $q_i$  at  $B$  depend only on its input and the message  $\beta_i$  received.

In the computation  $T_\phi(u, w)$ , the probability of outputting the bit  $b = 1$  is  $\sum_{i=1}^d p_i(u)q_i(w)$  and the bit  $b = 0$  is  $1 - \sum_{i=1}^d p_i(u)q_i(w)$ .

Let  $p = \frac{1}{2} + \varepsilon$  for  $0 \leq \varepsilon \leq 1/2$ . Say that the probabilistic protocol  $\phi$   $p$ -computes a function  $h$  if for every input  $\sigma = (u, w)$  it holds that  $h(\sigma) = b$  iff the probability of outputting the bit  $b$  in the computation  $T_\phi(u, w)$  is no less than  $p$ .

Let a set  $U \subseteq \{0, 1\}^n$  be such that  $U = L \times R$ . The randomized communication complexity  $C(\phi)$  of the probabilistic protocol  $\phi$  on the inputs from  $U$  is  $\lceil \log |M(\phi)| \rceil$ , where  $M(\phi)$  is the set of messages used by  $\phi$  during computations on inputs from  $U$ . For  $p \in [1/2, 1]$  the randomized communication complexity  $PC_{p,\pi}^U(h)$  of a boolean function  $h$  is

$$\min\{C(\phi) : \text{protocol } \phi \text{ } p\text{-computes } h \text{ for the partition } \pi \text{ of inputs from } U\}.$$

The proof of following lemma is based on simulation technique of weak-ordered branching program by communication protocol and is similar to simulation technique from [1] (lemma 6.1).

**Lemma 1** *Let  $\varepsilon \in [0, 1/2]$ ,  $p = 1/2 + \varepsilon$ . Let randomized  $\pi$ -weak-ordered branching program  $P$   $(1-p, p)$ -computes function  $h : \{0, 1\}^n \rightarrow \{0, 1\}$ . Let  $U \subseteq \{0, 1\}^n$  be such that  $U = L \times R$ , where  $L$  and  $R$  are defined in according to partition  $\pi$  of inputs. Then*

$$\text{size}(P) \geq 2^{PC_{p,\pi}^U(h)-1}.$$

**Proof.** Describe the following communication protocol  $\Phi$ , which  $p$ -computes function  $h$  for the partition  $\pi$  of inputs.

Let  $\sigma \in U$  be a valuation of  $x$ ,  $\sigma = (u, w)$ ,  $u \in L$ ,  $w \in R$ . Players  $A$  and  $B$  receive respectively  $u$  and  $w$  in according to partition  $\pi$  of inputs. Let  $v_1, \dots, v_d$  be all internal nodes of  $P$  that are reachable during paths of computation on the part  $u$  of input  $\sigma$  with non zero probabilities  $p_1(u), \dots, p_d(u)$ .

During the computation on the input  $u$ , player  $A$  sends node  $v_i$  with probability  $p_i(u)$  to player  $B$ . Player  $B$  on obtaining message  $v_i$  from  $A$  starts its computation (simulation of the branching program  $P$ ) from the node  $v_i$  on the part  $w$  of the input  $\sigma$ .

From the definition of the protocol  $\Phi$  results the statement of the lemma.  $\blacksquare$

We use the lower bound for probabilistic one-way complexity from [1] in the proof of the theorem 3 below. Recall notations and the statement we need from [1] in the convenient for us form.

For  $U = L \times R$  with a boolean function  $h$  we associate a  $|L| \times |R|$  communication matrix  $CM$  whose  $(u, w)$ -th entry,  $CM[u, w]$  is  $h(u, w)$  for all  $(u, w) \in L \times R$ . As it is mentioned in [16] the one-way deterministic communication complexity  $DC_\pi^U(h)$  for partition  $\pi$  of inputs from  $U$  of a boolean function  $h$  is easily seen to be  $\lceil \log(\text{nrow}(CM)) \rceil$ , where  $\text{nrow}(CM)$  is the number of distinct rows of communication matrix  $CM$  of the function  $h$ .

Consider w.l.g. the case when all rows of  $CM$  are different,  $\text{nrow}(CM) = |L|$ .

Choose a  $Y \subseteq R$  such that for an arbitrary two words  $u, u' \in L$  there exists a word  $y \in Y$  such that  $h(u, y) \neq h(u', y)$ . The set  $Y$  is called the control set for the matrix  $CM$ .

Denote

$$ts(CM) = \min\{|Y| : Y \text{ is a control set for } CM\}.$$

It is evident that  $\lceil \log \text{nrow}(CM) \rceil \leq ts(CM) \leq \text{nrow}(CM)$ .

For number  $p \in [1/2, 1]$ , define  $pcc_p^U(h) = \frac{ts(CM)}{\log \text{nrow}(CM)} H(p)$ , where  $H(p) = -p \log p - (1-p) \log(1-p)$  is the Shannon entropy. Call  $pcc_p^U(h)$  the  $p$ -probabilistic communication characteristic of the function  $h$ .

**Theorem 2** [1] *Let  $\varepsilon \in [0, 1/2]$ ,  $p = 1/2 + \varepsilon$ . Let  $U \subseteq \{0, 1\}^n$  be such that  $U = L \times R$ , where  $L$  and  $R$  are defined in according to partition  $\pi$  of inputs of function  $h : \{0, 1\}^n \rightarrow \{0, 1\}$ . Then*

$$PC_{p,\pi}^U(h) \geq DC_\pi^U(h)(1 - pcc_p^U(h)) - 1.$$

In the proof of the theorem 3 below we use the following result from number theory (see [7] and [12] for additional citation).

For every natural number  $n$  let  $p(n)$  be the smallest prime greater or equal than  $n$ . Consider  $Z_{p(n)}$  the field of the residue classes modulo  $p$ .

**Lemma 2** *For every  $n$  large enough, the following is true. If  $A \subseteq Z_{p(n)}$  and  $|A| \geq 3\sqrt{n}$ , then, for every  $t \in Z_{p(n)}$ , there is a subset  $B \subseteq A$  such that the sum of the elements of  $B$  is equal to  $t$ .*

**Theorem 3** *Let  $\varepsilon \in [0, 1/2]$ ,  $p = 1/2 + \varepsilon$ . Then for arbitrary  $\delta > 0$  for every  $n$  large enough it holds that any randomized ordered read-once branching program that  $(1 - p, p)$ -computes function  $g_n$  has the size no less than*

$$1/4 \left( \frac{2^{n - \lceil 3\sqrt{n} \rceil}}{n} \right)^{1 - (1 + \delta)H(p)}.$$

**Proof.** Let  $P$  be a randomized ordered read-once branching program with an ordering  $\tau$  of variables which computes function  $g_n$ . For ordering  $\tau = \{i_1, i_2, \dots, i_n\}$  consider the partition  $\pi$  of variables  $x$  of  $g_n$  into two parts  $X_1 = \{x_{i_1}, \dots, x_{i_l}\}$  and  $X_2 = \{x_{i_{l+1}}, \dots, x_{i_n}\}$ , where  $l = n - \lceil 3\sqrt{n} \rceil$ . Denote  $t = \lceil 3\sqrt{n} \rceil$ .

Describe below a subset  $U \subset \{0, 1\}^n$  in the form  $U = L \times R$  where  $|L| = l$ ,  $|R| = t$ .

Denote by  $I$  and  $J$  sets of indexes of variables from sets  $X_1$  and  $X_2$  respectively. For  $s \in \{1, \dots, n\}$  denote  $L_s$  a subset of binary sequences of length  $l$  with indexes from  $I$  such that  $L_s = \{u : \omega_n(\sum_{i \in I} i u_i) = s\}$ . Denote  $L$  a maximum among sets  $L_1, \dots, L_n$ .

$$|L| = \max_{s \in \{1, \dots, n\}} \{|L_s|\}.$$

Clearly that

$$|L| \geq \frac{2^{n - \lceil 3\sqrt{n} \rceil}}{n}.$$

Let  $L = L_s$ . Then denote  $R = \{w : \omega_n(\sum_{j \in J} j w_j + s) = k, k \in I\}$ . From the definition of  $R$  we have the following properties:

- 1)  $|R| = l$ ;
- 2) for arbitrary  $u$  and  $u'$  from  $L$  there exists  $w \in R$  such that  $g_n(u, w) \neq g_n(u', w)$ .

We will prove the second property (the first one is evident). Let  $i \in I$  be an index such that  $i$ -th bits in sequences  $u$  and  $u'$  are different,  $u_i \neq u'_i$ . From the lemma 2 it follows that for every  $n$  large enough, for our number  $s$  and the number  $i$  there exists a sequence  $w \in R$  such that  $s + \sum_{j \in J} j w_j = i \pmod{p(n)}$ . Then from the definition of  $g_n$  it follows that  $g_n(u, w) \neq g_n(u', w)$ .

Now define set  $U$  as  $U = L \times R$ . From the above it follows that for the set  $U$   $|L| \times |R|$  communication matrix  $CM$  of  $g_n$  has the following properties:

- 1)  $nrow(CM) = |L|$ ;
- 2) the set  $R$  is the control set for  $CM$ .

This means that  $DC^U(g_n) = \log |L|$  and that for  $p$ -probabilistic communication characteristic of  $pcc_p^U(g_n)$  of function  $g_n$  it is true that

$$pcc_p^U(g_n) = (l/\log |L|)H(p) \leq ((n - \lceil 3\sqrt{n} \rceil)/(n - \lceil 3\sqrt{n} \rceil - \log n))H(p).$$

From this it follows that for arbitrary  $\delta > 0$  for every  $n$  large enough it holds that

$$pcc_p^U(g_n) \leq (1 + \delta)H(n).$$

From the above property and the theorem 2 it follows that for every  $n$  large enough the following is true

$$PC_p^U(g_n) \geq (n - \lceil 3\sqrt{n} \rceil - \log n)(1 - (1 + \delta)H(p)) - 1.$$

From this and the lemma 1 the lower bound for  $size(P)$  results. ■

Note that in the proof of the theorem 3 from the property of  $P$  that it is ordered read-once we use only the following fact. Set  $x$  of variables of  $P$  can be partition into two parts  $X_1$  and  $X_2$  such that  $|X_1| = n - \lceil 3\sqrt{n} \rceil$  and  $|X_2| = \lceil 3\sqrt{n} \rceil$ . The cardinality of  $X_2$  is essential for application of lemma 2. This means that the following statement is true.

**Theorem 4** *Let  $\varepsilon \in [0, 1/2]$ ,  $p = 1/2 + \varepsilon$ . Let  $P$  be a randomized  $\pi$ -weak-ordered branching program that  $(1 - p, p)$ -computes function  $g_n$ . Let  $\pi$  be a partition of  $x$  in two two parts  $X_1, X_2$  such that  $|X_2| = t \geq \lceil 3\sqrt{n} \rceil$  and  $|X_1| = l = n - t$ . Then for arbitrary  $\delta > 0$  for every  $n$  large enough it holds that*

$$size(P) \geq 1/4 \left( \frac{2^l}{n} \right)^{1 - (1 + \delta)H(p)}.$$

**Theorem 5** *There is polynomial size nondeterministic ordered read-once branching program that computes function  $g_n$ .*

**Proof.** The proof is simple. For arbitrary input  $\sigma$  nondeterministic ordered read-once branching program  $P$  that computes function  $g_n$  works as follows. On the first (nondeterministic) phase  $P$  nondeterministically selects number  $s \in \{1, \dots, n\}$ . Then on the second (deterministic) phase  $P$  reads inputs in the order  $x_1, \dots, x_n$ . During computation path on input  $\sigma$   $P$  1) counts number  $a = \omega_n(\sum_{i=1}^n i\sigma_i)$  and 2) store  $s$ -ths bit  $\sigma_s$ . If  $a = s$  then  $P$  outputs bit  $\sigma_s$  of the

input  $\sigma$  else  $P$  outputs 0. Clearly, that  $P$  has polynomial size. ■

**Acknowledgments.** I would like to thank Sasha Razborov for his invitation to me to spend my sabbatical semester in Steklov Mathematical Institute and for a number of valuable discussions on the subject of the paper, to participants of Complexity seminar in Steklov Mathematical Institute and Moscow University for listening to results presented in this paper.

## References

- [1] F. Ablyayev, Lower bounds for one-way probabilistic communication complexity and their application to space complexity, *Theoretical Computer Science*, 157, (1996), 139-159.
- [2] F. Ablyayev and M. Karpinski, On the power of randomized branching programs, in *Proceedings of the ICALP'96, Lecture Notes in Computer Science, Springer-Verlag*, 1099, (1996), 348-356.
- [3] F. Ablyayev and M. Karpinski, On the power of randomized branching programs, *manuscript* (generalization of ICALP'96 paper results for the case of pure boolean function), available at <http://www.ksu.ru/~ablyayev> .
- [4] R. Bryant, Symbolic boolean manipulation with ordered binary decision diagrams, *ACM Computing Surveys*, 24, No. 3, (1992), 293-318.
- [5] A. Borodin, A. Razborov, and R. Smolensky, On lower bounds for read- $k$ -times branching programs, *Computational Complexity*, 3, (1993), 1-18.
- [6] Y. Breitbart, H. Hunt III, and D. Rosenkratz, On the size of binary decision diagrams representing Boolean functions, *Theoretical Computer Science*, 145, (1995), 45-69.
- [7] J. Dias da Silva and Y. Hamidoune, Cyclic spaces for Grassmann derivatives and additive theory, *Bull. London Math. Soc.*, 26, (1994), 140-146.
- [8] S. Ponsio, A lower bound for integer multiplication with read-once branching programs, *Proceedings of the 27-th STOC*, (1995), 130-139.
- [9] A. Razborov, Lower bounds for deterministic and nondeterministic branching programs, in *Proceedings of the FCT'91, Lecture Notes in Computer Science, Springer-Verlag*, 529, (1991), 47-60.
- [10] M. Sauerhoff, Lower bounds for the RP-OBDD-Size, *manuscript*, personal communication.



- [11] P. Savicky, S. Zak, A large lower bound for 1-branching programs, *Electronic Colloquium on Computational Complexity*, Revision 01 of TR96-036, (1996), available at <http://www.eccc.uni-trier.de/eccc/> .
- [12] P. Savicky, S. Zak, A hierarchy for  $(1, +k)$ -branching programs with respect to  $k$ , *Electronic Colloquium on Computational Complexity*, TR96-050, (1996), available at <http://www.eccc.uni-trier.de/eccc/> .
- [13] J. Simon and M. Szegedy, A new lower bound theorem for read-only-once branching programs and its applications, *Advances in Computational Complexity Theory*, ed. Jin-Yi Cai, DIMACS Series, 13, AMS (1993), 183-193.
- [14] I. Wegener, *The complexity of Boolean functions*. Wiley-Teubner Series in Comp. Sci., New York – Stuttgart, 1987.
- [15] I. Wegener, Efficient data structures for boolean functions, *Discrete Mathematics*, 136, (1994), 347-372.
- [16] A. C. Yao, Some Complexity Questions Related to Distributive Computing, in *Proc. of the 11th Annual ACM Symposium on the Theory of Computing*, (1979), 209-213.