

Analog Neural Nets with Gaussian or other Common Noise Distributions cannot Recognize Arbitrary Regular Languages

Wolfgang Maass

Inst. for Theoretical Computer Science,
Technische Universität Graz
Klosterwiesgasse 32/2,
A-8010 Graz, Austria
email: maass@igi.tu-graz.ac.at

Eduardo D. Sontag

Dep. of Mathematics
Rutgers University
New Brunswick, NJ 08903, USA
email: sontag@hilbert.rutgers.edu

Abstract

We consider recurrent analog neural nets where the output of each gate is subject to Gaussian noise, or any other common noise distribution that is nonzero on a large set. We show that many regular languages cannot be recognized by networks of this type, and we give a precise characterization of those languages which can be recognized. This result implies severe constraints on possibilities for constructing recurrent analog neural nets that are robust against realistic types of analog noise. On the other hand we present a method for constructing feedforward analog neural nets that are robust with regard to analog noise of this type.

1 Introduction

A fairly large literature (see [Omlin, Giles, 1996] and the references therein) is devoted to the construction of analog neural nets that recognize regular languages. Any physical realization of the analog computational units of an analog neural net in technological or biological systems is bound to encounter some form of “imprecision” or analog noise at its analog computational units. We show in this article that this effect has

serious consequences for the capability of analog neural nets with regard to language recognition. We show that any analog neural net whose analog computational units are subject to Gaussian or other common noise distributions cannot recognize arbitrary regular languages.

A precise characterization of those regular languages which can be recognized by such analog neural nets is given in Theorem 1.1. In section 3 we introduce a simple technique for making feedforward neural nets robust with regard to the here considered types of analog noise. This method is employed to prove the positive part of Theorem 1.1. The main difficulty in proving Theorem 1.1 is its negative part, for which adequate theoretical tools are introduced in section 2. The proof of this negative part of Theorem 1.1 holds for quite general stochastic analog computational systems. However for the sake of simplicity we will tailor our description towards the special case of noisy neural networks.

Recognition of a language $L \subseteq U^*$ by a noisy analog computational system M with discrete time is defined essentially as in [Maass, Orponen, 1997]. The set of possible internal states of M is $\Omega = [-1, 1]^n$, for some integer n (which is called the “number of neurons” or the “dimension”). The input set is the alphabet U . We assume given an auxiliary mapping

$$f : \Omega \times U \rightarrow \widehat{\Omega}$$

which describes the transitions in the absence of noise (and saturation effects), where $\widehat{\Omega} \subseteq \mathbb{R}^n$ is an intermediate set which is bounded and measurable; $f(\cdot, u)$ is supposed to be continuous for each fixed $u \in U$. The system description is completed by specifying a stochastic kernel¹ $Z(\cdot, \cdot)$ on $\widehat{\Omega} \times \Omega$. We interpret $Z(y, A)$ as the probability that a vector y can be corrupted by noise (and possibly truncated in values) into a state in the set A . The probability of transitions from a state $x \in \Omega$ to a set $A \subseteq \Omega$, if the current input value is u , is defined, in terms of this data, as:

$$K_u(x, A) := Z(f(x, u), A).$$

This is itself a stochastic kernel, for each given u .

More specifically for this paper, we assume given an \mathbb{R}^n -valued random variable V , which represents the “noise” or “error” that occurs during a state update. The main assumption throughout this article is that V has a density (with respect to Lebesgue measure) $\phi(\cdot)$ which is continuous and satisfies

$$\phi(v) \neq 0 \text{ for all } v \in \mathbb{R}^n. \tag{1}$$

For real numbers z , we let $\text{sat}(z) = \text{sign}(z)$ if $|z| > 1$ and $\text{sat}(z) = z$ if $|z| \leq 1$, and for vectors $y = (y_1, \dots, y_n)' \in \mathbb{R}^n$ we write again $\text{sat}(y) := (\text{sat}(y_1), \dots, \text{sat}(y_n))$. We assume from now on that

$$Z(y, A) := \text{Prob}[\text{sat}(y + V) \in A],$$

¹That is to say, $Z(y, A)$ is defined for each $y \in \widehat{\Omega}$ and each measurable subset $A \subseteq \Omega$, $Z(y, \cdot)$ is a probability distribution for each y , and $Z(\cdot, A)$ is a measurable function for each A .

where the probability is understood with respect to V , distributed as above.

The main example of interest is that of (first order or high order) neural networks. In the case of first order neural networks one takes a bounded (usually, two-element) $U \subseteq \mathbb{R}$, and

$$f : [-1, 1]^n \times U \rightarrow \widehat{\Omega} \subseteq \mathbb{R}^n : (x, u) \mapsto Wx + h + uc, \quad (2)$$

where $W \in \mathbb{R}^{n \times n}$ and $c, h \in \mathbb{R}^n$ represent weight matrix and vectors, and $\widehat{\Omega}$ is any bounded subset which contains the image of f . The complete noisy neural network model is thus described by transitions

$$x_{t+1} = \text{sat}(Wx_t + h + u_t c + V_t),$$

where V_1, V_2, \dots is a sequence of independent random n -vectors, all distributed identically to V ; for example, V_1, V_2, \dots might be an i.i.d. Gaussian process.

A variation of this example is that in which the noise affects the activation *after* the desired transition, that is, the new state is

$$x_{t+1} = \text{sat}(Wx_t + h + u_t c) + V_t,$$

again with each coordinate clipped to the interval $[-1, 1]$. This can be modelled as

$$x_{t+1} = \text{sat}(\text{sat}(Wx_t + h + u_t c) + V_t),$$

and becomes a special case of our setup if we simply let

$$f(x, u) = \text{sat}(Wx_t + h + u_t c).$$

For each (signed, Borel) measure μ on Ω , and each $u \in U$, we let $\mathbb{K}_u \mu$ be the (signed, Borel) measure defined on Ω by $(\mathbb{K}_u \mu)(A) := \int K_u(x, A) d\mu(x)$. Note that $\mathbb{K}_u \mu$ is a probability measure whenever μ is. For any sequence of inputs $w = u_1, \dots, u_r$, we consider the composition of the evolution operators \mathbb{K}_{u_i} :

$$\mathbb{K}_w = \mathbb{K}_{u_r} \circ \mathbb{K}_{u_{r-1}} \circ \dots \circ \mathbb{K}_{u_1}. \quad (3)$$

If the probability distribution of states at any given instant is given by the measure μ , then the distribution of states after a single computation step on input $u \in U$ is given by $\mathbb{K}_u \mu$, and after r computation steps on inputs $w = u_1, \dots, u_r$, the new distribution is $\mathbb{K}_w \mu$, where we are using the notation (3). In particular, if the system starts at a particular initial state ξ , then the distribution of states after r computation steps on w is $\mathbb{K}_w \delta_\xi$, where δ_ξ is the probability measure concentrated on $\{\xi\}$. That is to say, for each measurable subset $F \subseteq \Omega$

$$\text{Prob}[x_{r+1} \in F \mid x_1 = \xi, \text{input} = w] = (\mathbb{K}_w \delta_\xi)(F).$$

We fix an initial state $\xi \in \Omega$, a set of “accepting” or “final” states F , and a “reliability” level $\varepsilon > 0$, and say that $M = (M, \xi, F, \varepsilon)$ recognizes the subset $L \subseteq U^*$ if for all $w \in U^*$:

$$\begin{aligned}
w \in L &\iff (\mathbb{K}_w \delta_\xi)(F) \geq \frac{1}{2} + \varepsilon \\
w \notin L &\iff (\mathbb{K}_w \delta_\xi)(F) \leq \frac{1}{2} - \varepsilon.
\end{aligned}$$

This completes our definition of language recognition by a noisy analog computational system M with discrete time. This definition agrees with that given in [Maass, Orponen, 1997].

The main result of this article is the following:

Theorem 1.1 *Assume that U is some arbitrary finite alphabet. A language $L \subseteq U^*$ can be recognized by a noisy analog computational system M of the previously specified type if and only if $L = E_1 \cup U^*E_2$ for two finite subsets E_1 and E_2 of U^* .*

The *proof* of this result follows immediately from Corollary 2.2 and Corollary 3.3.

A corresponding version of Theorem 1.1 for *discrete* computational systems was previously shown in [Rabin, 1963]. More precisely, Rabin had shown that probabilistic automata with strictly positive matrices can recognize exactly the same class of languages L that occur in our Theorem 1.1. Rabin referred to these languages as *definite languages*. Language recognition by *analog* computational systems with *analog* noise has previously been investigated in [Casey, 1996] for the special case of bounded noise and perfect reliability (i.e. $\int_{\|v\| \leq \eta} \phi(v) dv = 1$ for some small $\eta > 0$ and $\varepsilon = 1/2$ in our terminology), and in [Maass, Orponen, 1997] for the general case. It was shown in [Maass, Orponen, 1997] that any such system can only recognize regular languages, and if $\int_{\|v\| \leq \eta} \phi(v) dv = 1$ for some small $\eta > 0$ then exactly all regular languages can be recognized by such systems.

2 A Constraint on Language Recognition

We prove in this section the following result for arbitrary noisy computational systems M as defined in section 1:

Theorem 2.1 *If a language $L \subseteq U^*$ is recognized by M , then there are subsets E_1 and E_2 of $U^{\leq r}$, for some integer r , such that $L = E_1 \cup U^*E_2$.*

Corollary 2.2 *Assume that U is a finite alphabet. If L is recognized by M , then there are finite subsets E_1 and E_2 of U^* such that $L = E_1 \cup U^*E_2$. ■*

Remark 2.3 *The same proof shows that Theorem 2.1 as well as Corollary 2.2 remain valid if condition (1) is weakened to:*

$$\phi(y - f(x, u)) > c > 0 \text{ for all } x, y \in \Omega, u \in U. \quad (4)$$

Moreover, the result is also true, under suitable assumptions, when the noise random variable is not necessarily independent of the new state $f(x, u)$. The proof depends only on the fact that the kernels K_u satisfies the Doeblin condition with a uniform constant (see next section).

2.1 A General Fact about Stochastic Kernels

Let (S, \mathcal{S}) be a measure space, and let K be a stochastic kernel. As in the special case of the K_u 's above, for each (signed) measure μ on (S, \mathcal{S}) , we let $\mathbb{K}\mu$ be the (signed) measure defined on \mathcal{S} by $(\mathbb{K}\mu)(A) := \int K(x, A) d\mu(x)$. Observe that $\mathbb{K}\mu$ is a probability measure whenever μ is. Let $c > 0$ be arbitrary. We say that K satisfies *Doebelin's condition* (with constant c) if there is some probability measure ρ on (S, \mathcal{S}) so that

$$K(x, A) \geq c\rho(A) \quad \text{for all } x \in S, A \in \mathcal{S}. \quad (5)$$

(Necessarily $c \leq 1$, as is seen by considering the special case $A = S$.) This condition is due to [Doebelin, 1937].

We denote by $\|\mu\|$ the *total variation* of the (signed) measure μ . Recall that $\|\mu\|$ is defined as follows. One may decompose S into a disjoint union of two sets A and B , in such a manner that μ is nonnegative on A and nonpositive on B . Letting the restrictions of μ to A and B be " μ_+ " and " $-\mu_-$ " respectively (and zero on B and A respectively), we may decompose μ as a difference of nonnegative measures with disjoint supports, $\mu = \mu_+ - \mu_-$. Then, $\|\mu\| = \mu_+(A) + \mu_-(B)$.

The following Lemma is a "folk" fact ([Papinicolaou, 1978]), but we have not been able to find a proof in the literature; thus, we provide a self-contained proof.

Lemma 2.4 *Assume that K satisfies Doebelin's condition with constant c . Let μ be any (signed) measure such that $\mu(S) = 0$. Then,*

$$\|\mathbb{K}\mu\| \leq (1 - c) \|\mu\|. \quad (6)$$

Proof. In terms of the above decomposition of μ , $\mu(S) = 0$ means that $\mu_+(A) = \mu_-(B)$. We denote $q := \mu_+(A) = \mu_-(B)$. Thus, $\|\mu\| = 2q$. If $q = 0$ then $\mu \equiv 0$, and so also $\mathbb{K}\mu \equiv 0$ and there is nothing to prove. So from now on we assume $q \neq 0$. Let $\nu_1 := K\mu_+$, $\nu_2 := \mathbb{K}\mu_-$, and $\nu := \mathbb{K}\mu$. Then, $\nu = \nu_1 - \nu_2$. Since $(1/q)\mu_+$ and $(1/q)\mu_-$ are probability measures, $(1/q)\nu_1$ and $(1/q)\nu_2$ are probability measures as well. That is,

$$\nu_1(S) = \nu_2(S) = q. \quad (7)$$

We now decompose S into two disjoint measurable sets C and D , in such a fashion that $\nu_1 - \nu_2$ is nonnegative on C and nonpositive on D . So

$$\begin{aligned} \|\nu\| &= (\nu_1 - \nu_2)(C) + (\nu_2 - \nu_1)(D) = \nu_1(C) - \nu_1(D) + \nu_2(D) - \nu_2(C) \\ &= 2q - 2\nu_1(D) - 2\nu_2(C), \end{aligned} \quad (8)$$

where we used that $\nu_1(D) + \nu_1(C) = q$ and similarly for ν_2 . By Doebelin's condition,

$$\nu_1(D) = \int K(x, D) d\mu_+(x) \geq c\rho(D) \int d\mu_+(x) = c\rho(D)\mu_+(A) = cq\rho(D).$$

Similarly, $\nu_2(C) \geq cq\rho(C)$. Therefore, $\nu_1(D) + \nu_2(C) \geq cq$ (recall that $\rho(C) + \rho(D) = 1$, because ρ is a probability measure). Substituting this last inequality into Equation (8), we conclude that $\|\nu\| \leq 2q - 2cq = (1 - c)2q = (1 - c) \|\mu\|$, as desired. ■

2.2 Proof of Theorem 2.1

The main technical observation is as follows.

Lemma 2.5 *There is a constant $c > 0$ such that K_u satisfies Doeblin's condition with constant c , for every $u \in U$.*

Proof. Pick any subset $\Omega_0 \subseteq (-1, 1)^n$ with nonzero Lebesgue measure $\lambda(\Omega_0)$, and let λ_0 be the Lebesgue measure normalized to Ω_0 : $\lambda_0(A) = \lambda(A)/\lambda(\Omega_0)$. (For example, $\Omega_0 = (-1, 1)^n$ and $\lambda_0 = \lambda/2^n$.) Let c_0 be a lower bound for $\phi(v)$, for v in the bounded set $Q := \{a - y, a \in \Omega_0, y \in \hat{\Omega}\}$. Pick any (Lebesgue) measurable subset A of Ω_0 and any $y \in \hat{\Omega}$. Then

$$\begin{aligned} Z(y, A) &= \text{Prob}[\text{sat}(y + V) \in A] = \text{Prob}[y + V \in A] \\ &= \int_{A_y} \phi(v) dv \geq c_0 \lambda(A_y) = c_0 \lambda(A) = c_0 \lambda(\Omega_0) \lambda_0(A), \end{aligned}$$

where $A_y := \{a - y, a \in A\} \subseteq Q$. We conclude that $Z(y, A) \geq c \lambda_0(A)$ for all y, A , where $c = c_0 \lambda(\Omega_0)$. Finally, we extend the measure λ_0 to all of Ω by assigning zero measure to the complement of Ω_0 , that is, $\rho(A) := \lambda_0(A \cap \Omega_0)$ for all measurable subsets A of Ω . Pick $u \in U$; we will show that K_u satisfies Doeblin's condition with the above constant c (and using ρ as the ‘‘comparison’’ measure in the definition).

Consider any $x \in \Omega$ and measurable $A \subseteq \Omega$. Then,

$$K_u(x, A) = Z(f(x, u), A) \geq Z(f(x, u), A \cap \Omega_0) \geq c \lambda_0(A \cap \Omega_0) = c \rho(A),$$

as required. ■

Remark 2.6 *One could extend the proof to classes of examples larger than that treated here; we specialized to ‘‘sat($f(x, u) + v$)’’ in order to make notations simpler.*

For every two probability measures μ_1, μ_2 on Ω , applying Lemma 2.4 to $\mu := \mu_1 - \mu_2$, we know that $\|\mathbb{K}_u \mu_1 - \mathbb{K}_u \mu_2\| \leq (1 - c) \|\mu_1 - \mu_2\|$ for each $u \in U$. Recursively, then, we conclude:

$$\|\mathbb{K}_w \mu_1 - \mathbb{K}_w \mu_2\| \leq (1 - c)^r \|\mu_1 - \mu_2\| \leq 2(1 - c)^r \quad (9)$$

for all words w of length $\geq r$.

Now pick any integer r such that $(1 - c)^r < 2\varepsilon$. From Equation (9), we have that

$$\|\mathbb{K}_w \mu_1 - \mathbb{K}_w \mu_2\| < 4\varepsilon$$

for all w of length $\geq r$ and any two probability measures μ_1, μ_2 . In particular, this means that, for each measurable set A ,

$$|(\mathbb{K}_w \mu_1)(A) - (\mathbb{K}_w \mu_2)(A)| < 2\varepsilon \quad (10)$$

for all such w . (Because, for any two probability measures ν_1 and ν_2 , and any measurable set A , $2|\nu_1(A) - \nu_2(A)| \leq \|\nu_1 - \nu_2\|$.)

We denote by $w_1 w_2$ the concatenation of sequences $w_1, w_2 \in U^*$.

Lemma 2.7 *Pick any $v \in U^*$ and $w \in U^r$. Then*

$$w \in L \iff vw \in L.$$

Proof. Assume that $w \in L$, that is, $(\mathbb{K}_w \delta_\xi)(F) \geq \frac{1}{2} + \varepsilon$. Applying inequality (10) to the measures $\mu_1 := \delta_\xi$ and $\mu_2 := \mathbb{K}_v \delta_x$ and $A = F$, we have that $|(\mathbb{K}_w \delta_\xi)(F) - (\mathbb{K}_{vw} \delta_\xi)(F)| < 2\varepsilon$, and this implies that $(\mathbb{K}_{vw} \delta_\xi)(F) > \frac{1}{2} - \varepsilon$, i.e., $vw \in L$. (Since $(\mathbb{K}_{vw} \delta_\xi)(F) \leq \frac{1}{2} - \varepsilon$ is ruled out.) If $w \notin L$, the argument is similar. ■

We have proved that

$$L \cap (U^* U^r) = U^*(L \cap U^r).$$

So,

$$L = (L \cap U^{\leq r}) \cup (L \cap U^* U^r) = E_1 \cup U^* E_2$$

where $E_1 := L \cap U^{\leq r}$ and $E_2 := L \cap U^r$ are both included in $U^{\leq r}$. This completes the proof of Theorem 2.1.

3 Construction of Noise Robust Analog Neural Nets

In this section we exhibit a method for making feedforward analog neural nets robust with regard to arbitrary analog noise of the type considered in the preceding sections. This method can be used to prove in Corollary 3.3 the missing positive part of the claim of the main result (Theorem 1.1) of this article.

Theorem 3.1 *Let \mathcal{C} be any (noiseless) feedforward threshold circuit, and let $\sigma : \mathbb{R} \rightarrow [-1, 1]$ be some arbitrary function with $\sigma(u) \rightarrow 1$ for $u \rightarrow \infty$ and $\sigma(u) \rightarrow -1$ for $u \rightarrow -\infty$. Furthermore assume that $\delta, \rho \in (0, 1)$ are some arbitrary given parameters. Then one can transform the noiseless threshold circuit \mathcal{C} into an analog neural net $\mathcal{N}_{\mathcal{C}}$ with the same number of gates, whose gates employ the given function σ as activation function, so that for any analog noise of the type considered in section 1 and any circuit input $\underline{x} \in \{-1, 1\}^m$ the output of $\mathcal{N}_{\mathcal{C}}$ differs with probability $\geq 1 - \delta$ by at most ρ from the output of \mathcal{C} .*

Proof. We can assume that for any threshold gate g in \mathcal{C} and any input $\underline{y} \in \{-1, 1\}^l$ to gate g the weighted sum of inputs to gate g has distance ≥ 1 from the threshold of g . This follows from the fact that without loss of generality the weights of g can be assumed to be even integers. Let n be the number of gates in \mathcal{C} and let V be an arbitrary noise vector as described in section 1. In fact, V may be any \mathbb{R}^n -valued random variable with some density function $\phi(\cdot)$. Let k be the maximal fan-in of a gate in \mathcal{C} , and let w be the maximal absolute value of a weight in \mathcal{C} .

We choose $R > 0$ so large that

$$\int_{|v_i| \geq R} \phi(v) dv \leq \frac{\delta}{2n} \text{ for } i = 1, \dots, n.$$

Furthermore we choose $u_0 > 0$ so large that $\sigma(u) \geq 1 - \rho/(wk)$ for $u \geq u_0$ and $\sigma(u) \leq -1 + \rho/(wk)$ for $u \leq -u_0$. Finally we choose a factor $\gamma > 0$ so large that $\gamma(1 - \rho) - R \geq u_0$. Let \mathcal{N}_C be the analog neural net that results from \mathcal{C} through multiplication of all weights and thresholds with γ and through replacement of the Heaviside activation functions of the gates in \mathcal{C} by the given activation function σ .

We show that for any circuit input $\underline{x} \in \{-1, 1\}^m$ the output of \mathcal{N}_C differs with probability $\geq 1 - \rho$ by at most ρ from the output of \mathcal{C} , in spite of analog noise V with density $\phi(\cdot)$ in the analog neural net \mathcal{N}_C . By choice of R the probability that any of the n components of the noise vector V has an absolute value larger than R is at most $\delta/2$. On the other hand one can easily prove by induction on the depth of a gate g in \mathcal{C} that if all components of V have absolute values $\leq R$ then for any circuit input $\underline{x} \in \{-1, 1\}^m$ the output of the analog gate \tilde{g} in \mathcal{N}_C that corresponds to g differs by at most $\rho/(wk)$ from the output of the gate g in \mathcal{C} . The induction hypothesis implies that the inputs of \tilde{g} differ by at most $\rho/(wk)$ from the corresponding inputs of g . Therefore the difference of the weighted sum and the threshold at \tilde{g} has a value $\geq \gamma \cdot (1 - \rho)$ if the corresponding difference at g has a value ≥ 1 , and a value $\leq -\gamma \cdot (1 - \rho)$ if the corresponding difference at g has a value ≤ -1 . Since the component of the noise vector V that defines the analog noise in gate \tilde{g} has by assumption an absolute value $\leq R$, the output of \tilde{g} is $\geq 1 - \rho/(wk)$ in the former case and $\leq -1 + \rho/(wk)$ in the latter case. Hence it deviates by at most $\rho/(wk)$ from the output of gate g in \mathcal{C} . ■

Remark 3.2

- (a) *Any boolean circuit \mathcal{C} with gates for OR, AND, NOT or NAND is a special case of a threshold circuit. Hence one can apply Theorem 3.1 to such circuit.*
- (b) *It is obvious from the proof that Theorem 3.1 also holds for circuits with recurrences, provided that there is a fixed bound T for the computation time of such circuit.*
- (c) *It is more difficult to make analog neural nets robust against another type of noise where at each sigmoidal gate the noise is applied after the activation σ . With the notation from section 1 of this article this other model can be described by*

$$x_{t+1} = \text{sat} (\sigma(Wx_t + h + u_t c) + V_t) .$$

For this noise model it is apparently not possible to prove positive results like Theorem 3.1 without further assumptions about the density function $\phi(v)$ of the noise vector V . However if one assumes that for any i the integral $\int_{|v_i| \geq \rho/(2wk)} \phi(v) dv$ can be bounded by a sufficiently small constant (which can be chosen independently of the size of the given circuit), then one can combine the argument from the proof of Theorem 3.1 with standard methods for constructing boolean circuits that are robust with regard to common models for digital noise (see for example [Pippenger, 1985], [Pippenger, 1989], [Pippenger, 1990]). In this case one chooses u_0 so that $\sigma(u) \geq 1 - \rho/(2wk)$ for $u \geq u_0$ and $\sigma(u) \leq -1 + \rho/(2wk)$ for

$u \leq -u_0$, and multiplies all weights and thresholds of the given threshold circuit with a constant γ so that $\gamma \cdot (1 - \rho) \geq u_0$. One handles the rare occurrences of components \tilde{V} of the noise vector V that satisfy $|\tilde{V}| > \rho/(2wk)$ like the rare occurrences of gate failures in a digital circuit. In this way one can simulate any given feedforward threshold circuit by an analog neural net that is robust with respect to this different model for analog noise.

The following Corollary provides the proof of the positive part of our main result Theorem 1.1.

Corollary 3.3 *Assume that U is some arbitrary finite alphabet, and language $L \subseteq U^*$ is of the form $L = E_1 \cup U^*E_2$ for two arbitrary finite subsets E_1 and E_2 of U^* . Then the language L can be recognized by a noisy analog neural net \mathcal{N} with any desired reliability $\varepsilon \in (0, \frac{1}{2})$, in spite of arbitrary analog noise of the type considered in section 1.*

Proof. Obviously such language L can be recognized by some feedforward threshold circuit \mathcal{C} . We apply Theorem 3.1 to this circuit \mathcal{C} for $\delta = \rho = \min(\frac{1}{2} - \varepsilon, \frac{1}{4})$. We define the set F of accepting states for the resulting analog neural net $\mathcal{N}_{\mathcal{C}}$ as the set of those states where the computation is completed and the output gate of $\mathcal{N}_{\mathcal{C}}$ assumes a value $\geq 3/4$. Then according to Theorem 3.1 the analog neural net $\mathcal{N}_{\mathcal{C}}$ recognizes L with reliability ε .

Note that we may employ as activation functions for the gates of $\mathcal{N}_{\mathcal{C}}$ arbitrary functions $\sigma : \mathbb{R} \rightarrow [-1, 1]$ that satisfy $\sigma(u) \rightarrow 1$ for $u \rightarrow \infty$ and $\sigma(u) \rightarrow -1$ for $u \rightarrow -\infty$. ■

4 Conclusions

We have proven a perhaps somewhat surprising result about the computational power of noisy analog neural nets: analog neural nets with Gaussian or other common noise distributions that are nonzero on a large set cannot accept arbitrary regular languages, even if the mean of the noise distribution is 0, its variance is chosen arbitrarily small, and the reliability $\varepsilon > 0$ of the network is allowed to be arbitrarily small. This shows that there is a severe limitation for making recurrent analog neural nets robust against analog noise. The proof of this result introduces new mathematical arguments into the investigation of neural computation, which can also be applied to other stochastic analog computational systems.

Furthermore we have given a precise characterization of those regular languages that can be recognized with reliability $\varepsilon > 0$ by recurrent analog neural nets of this type.

Finally we have presented a method for constructing feedforward analog neural nets that are robust with regard to any of those types of analog noise which are considered in this paper.

5 Acknowledgement

The authors wish to thank Dan Ocone, from Rutgers, for pointing out Doeblin's condition, which resulted in a considerable simplification of their original proof.

References

- [Casey, 1996] Casey, M., “The dynamics of discrete-time computation, with application to recurrent neural networks and finite state machine extraction”, *Neural Computation* 8, 1135–1178, 1996.
- [Doeblin, 1937] Doeblin, W., “Sur le propriétés asymptotiques de mouvement régis par certain types de chaînes simples”, *Bull. Math. Soc. Roumaine Sci.* 39(1): 57–115; (2) 3–61, 1937.
- [Maass, Orponen, 1997] Maass, W., and Orponen, P. “On the effect of analog noise on discrete-time analog computations”, *Advances in Neural Information Processing Systems* 9 1997, to appear; detailed version see <http://www.math.jyu.fi/~orponen/papers/noisyac.ps> .
- [Omlin, Giles, 1996] Omlin, C. W., Giles, C. L. “Constructing deterministic finite-state automata in recurrent neural networks”, *J. Assoc. Comput. Mach.* 43 (1996), 937–972.
- [Papinicolaou, 1978] Papinicolaou, G., “Asymptotic Analysis of Stochastic Equations”, in *Studies in Probability Theory, MAA Studies in Mathematics*, vol. 18, 111–179, edited by M. Rosenblatt, Math. Assoc. of America, 1978.
- [Pippenger, 1985] Pippenger, N., “On networks of noisy gates”, *IEEE Sympos. on Foundations of Computer Science*, vol. 26, IEEE Press, New York, 30–38, 1985.
- [Pippenger, 1989] Pippenger, N., “Invariance of complexity measures for networks with unreliable gates”, *J. of the ACM*, vol. 36, 531–539, 1989.
- [Pippenger, 1990] Pippenger, N., “Developments in ‘The Synthesis of Reliable Organisms from Unreliable Components’ ”, *Proc. of Symposia in Pure Mathematics*, vol. 50, 311–324, 1990.
- [Rabin, 1963] Rabin, M., “Probabilistic automata”, *Information and Control*, vol. 6, 230–245, 1963.