

A Converse to the Ajtai-Dwork Security Proof and its Cryptographic Implications

(Extended abstract)

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Abstract. Recently, Ajtai [2] discovered a fascinating connection between the worst-case complexity and the average-case complexity of some well-known lattice problems. Later, Ajtai and Dwork [4] proposed a cryptosystem inspired by Ajtai's work, provably secure if a particular lattice problem is difficult. We show that there is a converse to the Ajtai-Dwork security result, by reducing the question of distinguishing encryptions of one from encryptions of zero to approximating some lattice problems. This is especially interesting in view of a result of Goldreich and Goldwasser [14], which seems to rule out any form of NP-hardness for such approximation problems.

1 Introduction

Lattices are discrete subgroups of some n -dimensional space and have been the subject of intense research, going back to Gauss, Dirichlet, Hermite and Minkowski, among others. More recently, lattices have been investigated from an algorithmic point of view and two basic problems have emerged: the shortest vector problem (SVP) and the closest vector problem (CVP). SVP refers to the question of computing the lattice vector with minimum non-zero euclidean length while CVP addresses the non-homogeneous analog of finding a lattice element minimizing the distance to a given vector. It has been known for some time that CVP is NP-complete [12] and Ajtai has recently proved that SVP is NP-hard for polynomial random reductions [3].

The celebrated LLL algorithm [18] provides a partial answer to SVP since it runs in polynomial time and approximates the shortest vector within a factor of $2^{n/2}$ where n denotes the dimension of the lattice. This has been improved to the bound $(1 + \varepsilon)^n$ by Schnorr [20]. Babai [6] gave an algorithm that approximates the closest vector by a factor of $(3/\sqrt{2})^n$. The existence of polynomial bounds is completely open: CVP is presumably hard to approximate within a factor $2^{(\log n)^{0.99}}$ as shown in [5] but a result of Goldreich and Goldwasser [14] suggests

that unless the polynomial-time hierarchy collapses, this inapproximability result cannot be extended to \sqrt{n} .

Recently, in a beautiful paper, Ajtai [2] found the first connection between the worst-case and the average-case complexity of SVP. He established a reduction from the problem of finding the shortest non zero element u of a lattice provided that it is “unique” (*i.e.* that it is polynomially shorter than any other element of the lattice which is not linearly related) to the problem of approximating SVP for randomly chosen instances of a specific class of lattices. This reduction was improved in [8]. Later, Ajtai and Dwork [4] proposed a cryptosystem inspired by Ajtai’s work and proved that it was provably secure under the assumption that the “unique” shortest vector problem considered above is difficult in the worst-case.

Again, from a theoretical point of view, the achievement in the Ajtai-Dwork paper is a masterpiece. However, its practical significance is unclear. This is partly due to the fact, exemplified by RSA, that the success of a cryptosystem is not only dependent on the computational hardness of the problem on which it is based, but also on the performances that it displays in terms of speed, key size, expansion rate, *etc.* It is also related to the fact that, so far, use of lattices in cryptography has been directed at successfully breaking schemes [1, 21, 7, 17, 10, 22, 16, 9]: experiments have shown that lattice reduction algorithms behave surprisingly well and can provide much better approximations to SVP or CVP than expected.

At this point, it was natural to ask whether or not the security level offered by the Ajtai-Dwork cryptosystem is exactly measured by the hardness of approximating lattice problems. In other terms, is there a converse to the Ajtai-Dwork security result ? The present paper shows that this is actually the case by reducing the question of distinguishing encryptions of one from encryptions of zero to approximating CVP or SVP (recall that AD encrypts bits). More precisely, we prove that if one can approximate CVP within a factor $cn^{1.33}$, then one can distinguish encryptions with a constant advantage d , where c and d are related constants. This is especially interesting in view of the result of Goldreich and Goldwasser quoted above since it seems to rule out any form of NP-hardness for AD, which was an open question. We prove a similar result for SVP, with a more restrictive factor. This shows that AD is essentially equivalent to approximating the shortest vector within a polynomial ratio and allows to reverse the basic paradigm of AD: for dimensions where lattice reduction algorithms behave well in practice, AD is insecure.

This opened the way to a practical assessment of the security of AD for real-size parameters. It was later proved at Crypto’98 that any realistic implementation of the Ajtai-Dwork was insecure. We refer to [19] for a practical attack and more details.

2 The Ajtai-Dwork Cryptosystem

In this section we recall the construction of Ajtai and Dwork [4], with the notations and the presentation of [15]. For any ε between 0 and $\frac{1}{2}$, we denote by $\mathbf{Z} \pm \varepsilon$ the set of real numbers for which the distance to the nearest integer is at most ε . We denote the inner product of two vectors in the Euclidean space \mathbf{R}^n by $\langle x, y \rangle$. Given a set of n linearly independent vectors w_1, \dots, w_n , the *parallelepiped spanned by the w_i 's* is the set $P(w_1, \dots, w_n)$ of all linear combinations of the w_i 's with coefficients in $[0, 1[$. Its *width* is the minimum over i of the Euclidean distance between w_i and the hyperplane spanned by the other w_j 's. Reducing a vector v modulo a parallelepiped $P(w_1, \dots, w_n)$ means obtaining a vector $v' \in P$ such that $v' - v$ belongs to the lattice spanned by the w_i 's, which we denote by $v' = v \pmod{P}$. To simplify the exposition, we present the scheme in terms of real numbers, but we always mean numbers with some fixed finite precision. Given a security parameter n (which is also the precision of the binary expansion for real numbers), we let $m = n^3$ and $\rho_n = 2^{n \log n}$. We denote by B_n the big n -dimensional cube of side-length ρ_n . We also denote by S_n the small n -dimensional ball of radius n^{-8} .

Given n , the private key is a uniformly chosen vector u in the n -dimensional unit ball. For such a private key, we denote by \mathcal{H}_u the distribution on points in B_n induced by the following construction:

1. Pick a point a uniformly at random from $\{x \in B_n : \langle x, u \rangle \in \mathbf{Z}\}$.
2. Select $\delta_1, \dots, \delta_n$ uniformly at random from S_n .
3. Output the point $v = a + \sum_i \delta_i$.

The public key is obtained by picking the points $w_1, \dots, w_n, v_1, \dots, v_m$ independently at random from the distribution \mathcal{H}_u , subject to the constraint that the width of the parallelepiped $w = P(w_1, \dots, w_n)$ is at least $n^{-2}\rho_n$ (which is likely to be satisfied, see [4]).

Encryption is bit-by-bit. To encrypt a '0', uniformly select b_1, \dots, b_m in $\{0, 1\}$, and reduce the vector $\sum_{i=1}^m b_i v_i$ modulo the parallelepiped w . The vector obtained is the ciphertext. The ciphertext of '1' is just a randomly chosen vector in the parallelepiped w . To decrypt a ciphertext x with the private key u , compute $\tau = \langle x, u \rangle$. If $\tau \in \mathbf{Z} \pm n^{-1}$, then x is decrypted as '0', and otherwise as '1'. Thus, an encryption of '0' will always be decrypted as '0', and an encryption of '1' has a probability of $2n^{-1}$ to be decrypted as '0'. These decryption errors can be removed (see [15]). The main result of [4] states that a probabilistic algorithm distinguishing encryptions of a '0' from encryptions of a '1' with some polynomial advantage can be used to find the shortest nonzero vector in any n -dimensional lattice where the shortest vector v is unique, in the sense that any other vector whose length is at most $n^8 \|v\|$ is parallel to v .

3 Deciphering with a CVP-oracle

We define an (n, k) -CVP-oracle to be any algorithm which, given a point $x \in \mathbf{R}^n$ and a n -dimensional lattice L , outputs a lattice point $\alpha \in L$ such that for every $\beta \in L$: $\text{dist}(x, \alpha) \leq k \text{dist}(x, \beta)$, where dist denotes the Euclidean distance. Each oracle call made by a Turing machine contributes by a single unit to the overall complexity of the machine.

Using such an oracle, we will see how one can distinguish in probabilistic polynomial time ciphertexts of '0' from ciphertexts of '1', thanks to some properties of the keys. To any choice of the keys, we associate a particular lattice. Given a ciphertext, one can build a vector such that: if the ciphertext is a ciphertext of '0', this vector is likely to be close to the lattice; and if the ciphertext is a ciphertext of '1', this vector is unlikely to be close enough. To check whether this vector is close enough, one calls an oracle.

3.1 Vulnerable keys

Theorem 1. *For sufficiently large n , for any ε_1 and ε_2 in $]0, 1[$, any set of keys $(u, w_1, \dots, w_n, v_1, \dots, v_m)$ picked at random as described in Ajtai-Dwork's protocol satisfies the following with probability at least $(1 - \varepsilon_1)(1 - \varepsilon_2)$:*

$$\sum_{j=1}^n \text{dist}(\mathbf{Z}, \langle u, w_j \rangle)^2 \leq \frac{2\pi}{n^{16}\varepsilon_1} \quad (1)$$

$$E \left[\sum_{j=1}^n \left\langle \sum_{i=1}^m b_i v_i, w_j^\perp \right\rangle^2 \right] \leq \frac{n^4 \rho_n^2}{2\varepsilon_2} \quad (2)$$

where w_j^\perp denotes the unit vector orthogonal to the hyperplane spanned by the other w_j 's, and the expectation is with respect to a uniform random choice of (b_1, \dots, b_m) in $\{0, 1\}^m$.

We show how to prove this result, which will be used afterwards. Let u be a non-zero private key: $\|u\| \leq 1$. We start with a technical lemma:

Lemma 2. *Let δ be a randomly chosen point from S_n . Then $E[\langle u, \delta \rangle] = 0$ and $\text{Var}[\langle u, \delta \rangle] = \frac{4\|u\|^2 W_n^2}{(n+2)n^{16}}$, where $W_n = \int_0^{\pi/2} \sin^n \theta d\theta$ is the n -th Wallis integral.*

Proof Sketch. The expectation $E[\langle u, \delta \rangle]$ is clearly zero. To compute the variance, we can assume that $u = (\|u\|, 0, 0, \dots, 0)$ since S_n is invariant by rotation. We obtain:

$$\text{Var}[\langle u, \delta \rangle] = \|u\|^2 \int_{-n^{-8}}^{n^{-8}} x^2 \frac{V_{n-1}(\sqrt{n^{-16} - x^2})}{V_n(n^{-8})} dx,$$

where $V_n(r)$ denotes the volume of the n -dimensional ball of radius r . The result follows after a few simplifications using Wallis integrals. \square

This leads to a more general result:

Lemma 3. *Let v be a randomly chosen point from the distribution \mathcal{H}_u . Then:*

$$E [\text{dist}(\mathbf{Z}, \langle u, v \rangle)^2] \leq \frac{2\pi}{(n+2)n^{16}}.$$

Proof Sketch. Write $v = a + \sum_i \delta_i$ where the δ_i 's are independently chosen with uniform distribution over S_n . Apply the previous lemma with δ_i as δ . Conclude as $W_n^2 \leq 2\pi/n$ and $\|u\| \leq 1$. \square

Denote by X the random variable $\sum_{j=1}^n \text{dist}(\mathbf{Z}, \langle u, w_j \rangle)^2$, where the w_j 's are chosen according to Ajtai-Dwork's rules. From the previous lemma:

$$E[X] = \sum_{j=1}^n E [\text{dist}(\mathbf{Z}, \langle u, w_j \rangle)^2] \leq n \frac{2\pi}{(n+2)n^{16}} \leq \frac{2\pi}{n^{16}}.$$

By Markov's inequality, it follows that (1) is satisfied with probability at least $1 - \varepsilon_1$ over the choice of w_1, \dots, w_n .

Now, we assume that the w_j 's are fixed and satisfy (1). We will prove that for sufficiently large n , when (v_1, \dots, v_m) and (b_1, \dots, b_m) are independently picked at random as described in Ajtai-Dwork's protocol,

$$E \left[\sum_{j=1}^n \left\langle \sum_{i=1}^m b_i v_i, w_j^\perp \right\rangle^2 \right] \leq \frac{n^4 \rho_n^2}{2}. \quad (3)$$

Thus, by Markov's inequality, (2) is satisfied with probability at least $1 - \varepsilon_2$ over the choice of v_1, \dots, v_m , which completes the proof of Theorem 1.

To prove (3), it suffices to prove that for sufficiently large n , for all choice of (b_1, \dots, b_m) , (3) is satisfied with respect to a random choice of (v_1, \dots, v_m) . The core of this result is the following basic lemma:

Lemma 4. *Let t on the n -dimensional unit sphere. Let s be a randomly chosen point (with uniform distribution) from the hypercube B_n . Then $E[\langle s, t \rangle] = 0$ and $E[\langle s, t \rangle^2] = \rho_n^2/3$.*

Proof Sketch. Decompose s and t with respect to the canonical basis to express the dot product $\langle s, t \rangle$. The result follows from a short computation, using the fact that the coordinates of s are independent random variables uniformly distributed over $]-\rho_n, +\rho_n[$. \square

Now, we fix b_1, \dots, b_m in $\{0, 1\}$ and denote by X the random variable of (3), for which we want to bound the expectation.

Assume first that the v_i 's are independent random variables uniformly distributed over the hypercube B_n . Then, applying Lemma 4 several times:

$$E[X] = \sum_{j=1}^n \sum_{i=1}^m b_i^2 E[\langle v_i, w_j^\perp \rangle^2] \leq nm \frac{\rho_n^2}{3} \leq n^4 \frac{\rho_n^2}{3}.$$

To conclude, we show how to take care of the actual distribution of the v_i 's. Let a denote a point chosen at random from $\{x \in B_n : \langle x, u \rangle \in \mathbf{Z}\}$. Let λ be randomly chosen in $[0, 1[$. Then, the sum $a + \lambda u$ is uniformly distributed over an n -dimensional volume C_n , which differs from B_n by points y such that the segment $[y, y + u]$ crosses the border of B_n . Such points are within distance 1 of this border. It follows that one can bound the volume of the difference of B_n and C_n by $2n\rho_n^{n-1}$. Replacing the uniformly distributed variable v_i by $a_i + \lambda_i u$ chosen according to the above distribution, one sees that $E[X]$ is modified by at most $2n\rho_n^{n-1}/\rho_n^n \times n(m\rho_n\sqrt{n})^2 = 2n^9\rho_n$ since each $\langle v_i, w_j^\perp \rangle$ is less than $\rho_n\sqrt{n}$. Noting that the actual v_i is obtained from some instance of a_i by adding a small perturbation vector δ_i , and that $2n^9\rho_n = o(n^4\rho_n^2/3)$ as n grows, we obtain for sufficiently large n ,

$$E[X] \leq n^4 \frac{\rho_n^2}{3} (1 + 1/2) \leq \frac{n^4 \rho_n^2}{2}.$$

3.2 Deciphering

For any real β , let L_β be the $n + m$ -dimensional lattice (in \mathbf{R}^{2n+m}) spanned by the columns of the following matrix:

$$\begin{pmatrix} \beta w_1 & \dots & \beta w_n & \beta v_1 & \dots & \beta v_m \\ 1 & 0 & & \dots & & 0 \\ 0 & \ddots & & & & \\ & & 1 & \ddots & & \vdots \\ \vdots & & \ddots & n^2\sqrt{n} & & \\ & & & & \ddots & 0 \\ 0 & \dots & & 0 & n^2\sqrt{n} & \end{pmatrix}$$

The following proposition shows that a ciphertext of '0' is, in some sense, close to this lattice.

Proposition 5. *Let $\varepsilon > 0$ and $(u, w_1, \dots, w_n, v_1, \dots, v_m)$ satisfying (2). A ciphertext x of '0' satisfies with probability at least $1 - \varepsilon$: for all $\beta > 0$,*

$$\text{dist} \left(\begin{pmatrix} \beta x \\ 0 \end{pmatrix}, L_\beta \right) \leq \sqrt{1 + \frac{1}{2\varepsilon_2\varepsilon}} n^4.$$

Proof. Any ciphertext x of '0' is of the form $x = \sum_{i=1}^m b_i v_i + \sum_{j=1}^n \alpha_j w_j$ where $b_i \in \{0, 1\}$ and $\alpha_j \in \mathbf{Z}$. We prove that the vector $X = {}^t(\beta x, 0)$ is close enough to the lattice point $Y = {}^t(\beta x, \alpha_1, \dots, \alpha_n, b_1, \dots, b_m)$. We have $\alpha_j = \lfloor \theta_j \rfloor$ where the θ_j 's are defined by: $\sum_{i=1}^m b_i v_i = \sum_{j=1}^n \theta_j w_j$. Since the width of the parallelepiped $P(w_1, \dots, w_n)$ is at least $n^{-2}\rho_n$, we have:

$$\sum_{j=1}^n \alpha_j^2 \leq \sum_{j=1}^n \theta_j^2 \leq \frac{n^4}{\rho_n^2} \sum_{j=1}^n \left\langle \sum_{i=1}^m b_i v_i, w_j^\perp \right\rangle^2.$$

Applying Markov's inequality to (2), we obtain with probability at least $1 - \varepsilon$ over the choice of b_1, \dots, b_m :

$$\sum_{j=1}^n \alpha_j^2 \leq \frac{n^4}{\rho_n^2} \times \frac{n^4 \rho_n^2}{2\varepsilon_2 \varepsilon} = \frac{n^8}{2\varepsilon_2 \varepsilon}.$$

$$\text{Therefore: } \text{dist}(X, L_\beta) \leq \text{dist}(X, Y) \leq \sqrt{\frac{n^8}{2\varepsilon_2 \varepsilon} + n^3 n^5} \leq \sqrt{1 + \frac{1}{2\varepsilon_2 \varepsilon}} n^4.$$

□

Somehow, there is a converse to the previous proposition:

Proposition 6. *Let $\varepsilon > 0$ and $(u, w_1, \dots, w_n, v_1, \dots, v_m)$ satisfying (1). Let y be a point in the parallelepiped $w = P(w_1, \dots, w_n)$.*

$$\text{If } \text{dist}\left(\begin{pmatrix} \beta y \\ 0 \end{pmatrix}, L_\beta\right) \leq \varepsilon \sqrt{\frac{\varepsilon_1}{2\pi}} n^8 \text{ then } \langle u, y \rangle \in \mathbf{Z} \pm \varepsilon \left(1 + \sqrt{\frac{\varepsilon_1}{2\pi}} \left(1 + \frac{n^8}{\beta}\right)\right).$$

Proof. The vector βy is of the form $\beta \left(\sum_{i=1}^m b_i v_i + \sum_{j=1}^n \alpha_j w_j\right) + e$, where $\|e\|^2$ and $\sum_{i=1}^m b_i^2 n^5 + \sum_{j=1}^n \alpha_j^2$ are both less than $\varepsilon^2 \varepsilon_1 n^{16}/(2\pi)$. Thus,

$$\text{dist}(\mathbf{Z}, \langle u, y \rangle) \leq \sum_{i=1}^m |b_i| \text{dist}(\mathbf{Z}, \langle u, v_i \rangle) + \sum_{j=1}^n |\alpha_j| \text{dist}(\mathbf{Z}, \langle u, w_j \rangle) + \frac{\varepsilon}{\beta} \sqrt{\frac{\varepsilon_1}{2\pi}} n^8.$$

By the Cauchy-Schwarz inequality and the fact that each $\langle v_i, u \rangle \in \mathbf{Z} \pm n^{-7}$, the first term is bounded by $\sqrt{\varepsilon^2 \varepsilon_1 n^{11}/(2\pi)} \times \sqrt{mn^{-14}} = \varepsilon \sqrt{\varepsilon_1/(2\pi)}$. Also, the second term is less than:

$$\sqrt{\sum_{j=1}^n \alpha_j^2} \times \sqrt{\sum_{j=1}^n \text{dist}(\mathbf{Z}, \langle u, w_j \rangle)^2}.$$

We know that the first term of this product is less than $\varepsilon \sqrt{\varepsilon_1/(2\pi)} n^8$. And (1) bounds the second term. We conclude from all the inequalities obtained. □

If we collect these two propositions, we obtain a probabilistic reduction:

Theorem 7. *There exists N such that for all $\sigma, \sigma_1, \sigma_2 > 0$, there exists a polynomial time Turing machine taking a public key and a ciphertext x as an input and making a single call to a $(n+m, n^{4-(3\sigma+\sigma_1+\sigma_2)/2}/[\sqrt{\pi}(1+2n^{-\sigma-\sigma_2})])$ -CVP-oracle which outputs a yes/no answer such that: for all $n \geq N$, if the keys are picked at random as described in Ajtai-Dwork's protocol, then with a probability of at least $(1 - n^{-\sigma_1})(1 - n^{-\sigma_2})$,*

- If x is a ciphertext of '0', the answer is yes with probability at least $1 - n^{-\sigma}$.
- If x is a ciphertext of '1', the answer is yes with probability at most $3n^{-\sigma}$.

Proof. We let $\varepsilon_1 = n^{-\sigma_1}$ and $\varepsilon_2 = n^{-\sigma_2}$. For sufficiently large n (independently of σ_1 and σ_2), (1) and (2) are satisfied with probability at least $(1-\varepsilon_1)(1-\varepsilon_2)$ over the choice of the public key by Theorem 1. We let $\varepsilon = n^{-\sigma}$ and $\beta = 4n^8\sqrt{\varepsilon_1/(2\pi)}$. Calling once the CVP-oracle above, we obtain a lattice point $\alpha \in L_\beta$ such that, for all $\gamma \in L_\beta$:

$$\text{dist}\left(\alpha, \begin{pmatrix} \beta x \\ 0 \end{pmatrix}\right) \leq \frac{\varepsilon\sqrt{\varepsilon_1/(2\pi)}}{\sqrt{1+1/(2\varepsilon_2\varepsilon)}}n^4\text{dist}\left(\gamma, \begin{pmatrix} \beta x \\ 0 \end{pmatrix}\right).$$

The machine outputs 'yes' if and only if:

$$\text{dist}\left(\alpha, \begin{pmatrix} \beta x \\ 0 \end{pmatrix}\right) \leq \varepsilon\sqrt{\frac{\varepsilon_1}{2\pi}}n^8.$$

If x is a ciphertext of '0', Proposition 5 then ensures that the answer is 'yes' with probability at least $1-\varepsilon$. Now, if this inequality is satisfied, Proposition 6 implies that: $\langle u, x \rangle \in \mathbf{Z} \pm \varepsilon(1 + \frac{1}{4} + \frac{1}{4}) = \mathbf{Z} \pm \frac{3}{2}\varepsilon$. But this happens with probability at most 3ε if x is a ciphertext of '1'. \square

4 Deciphering with a SVP-oracle

We now show how to use SVP-oracles. Given a n -dimensional lattice L , an (n, k) -SVP-oracle outputs a point $\alpha \in L$ such that for every $\beta \in L$: $\|\alpha\| \leq k\|\beta\|$. The main result of this section is the following:

Theorem 8. *Let $\theta, \gamma > 0$ such that $\frac{5\gamma}{2} + 2\theta < 2$. For all $\sigma_1, \sigma_2 > 0$, there exists $N > 0$, $\sigma \in]0; 3 + 3/5[$ and a polynomial time oracle Turing machine calling a $(n^{2+\gamma}, n^\theta)$ -SVP-oracle such that: for all $n \geq N$, if the keys are picked at random as described in Ajtai-Dwork's protocol, then with a probability of at least $(1 - n^{-\sigma_1})(1 - n^{-\sigma_2})$, the machine distinguishes encryptions of '0' from encryptions of '1' with polynomial advantage $n^{-\sigma}$.*

Note: recall that the advantage ε of a distinguishing algorithm \mathcal{A} is such that

$$P[\mathcal{A} \text{ answers correctly}] \geq \frac{1}{2} + \varepsilon.$$

We will need a technical improvement over the computations of section 4 which reads as the following generalization of Theorem 1, proved in the appendix. The key to the improvement is to replace Markov's inequality by moments inequalities, using the multinomial formula.

Theorem 9. *Let k be a positive integer. There exists M_1 and M_2 such that for sufficiently large n : for any choice of ε_1 and ε_2 in $]0, 1[$, any set of keys*

$(u, w_1, \dots, w_n, v_1, \dots, v_m)$ picked at random as described in Ajtai-Dwork's protocol satisfies the following with probability at least $(1 - \varepsilon_1)(1 - \varepsilon_2)$:

$$\sum_{j=1}^n \text{dist}(\mathbf{Z}, \langle u, w_j \rangle)^2 \leq \frac{M_1}{n^{16} \varepsilon_1^{1/k}} \quad (4)$$

$$E \left[\left(\sum_{j=1}^n \left\langle \sum_{i=1}^m b_i v_i, w_j^\perp \right\rangle^2 \right)^k \right] \leq \frac{n^{4k} \rho_n^{2k} M_2}{\varepsilon_2} \quad (5)$$

This leads to the following results:

Lemma 10. *For all k , there exists M_3 such that: if $(u, w_1, \dots, w_n, v_1, \dots, v_m)$ satisfies (5), then a random ciphertext y of '0' is, with probability at least $1 - \varepsilon_3$, of the form $y = \sum_{i=1}^m b_i v_i + \sum_{j=1}^n \alpha_j w_j$, where $b_i \in \{0, 1\}$, $\alpha_j \in \mathbf{Z}$ and*

$$\sum_{j=1}^n \alpha_j^2 \leq M_3 n^8 \frac{1}{(\varepsilon_2 \varepsilon_3)^{1/k}} \quad (6)$$

Proof Sketch. Apply Markov's inequality to the random variable of (5), then extract k -th roots. Conclude with $M_3 = M_2^{1/k}$, by bounding the sum of the α_j^2 as in the proof of Proposition 5. \square

Ciphertexts of '0' satisfying (6) are called *good ciphertexts*. Note that it is possible to produce good ciphertexts, given the public key, by a polynomial time algorithm.

Lemma 11. *For all k , there exists M_4 such that: if $(u, w_1, \dots, w_n, v_1, \dots, v_m)$ satisfies (4), then any good ciphertext y of '0' satisfies*

$$\text{dist}(\mathbf{Z}, \langle u, y \rangle) \leq M_4 \frac{1}{n^4 (\varepsilon_1 \varepsilon_2 \varepsilon_3)^{1/2k}}.$$

Proof Sketch. Decompose y with the b_i 's and the α_j 's. Conclude by Cauchy-Schwarz thanks to (6) and (4), with $M_4 = 1 + \sqrt{M_1 M_3}$. \square

We now fix some constants. Since $2\theta + \frac{5\gamma}{2} < 2$, there exist strictly positive $\gamma_1, \gamma_2, \sigma_3, k, \lambda$ such that

$$2\theta + \frac{3\gamma}{2} + \gamma_2 + \lambda + \frac{1}{2k}(\sigma_1 + \sigma_2 + \sigma_3) < 2,$$

with:

$$4/5 > \gamma_2 > \gamma_1 > \gamma, \quad \gamma_1 < \gamma + \lambda, \quad \text{and} \quad \sigma_3 > 2(2 + \gamma + \gamma_1).$$

We let $\varepsilon_1 = n^{-\sigma_1}$, $\varepsilon_2 = n^{-\sigma_2}$ and $\varepsilon_3 = n^{-\sigma_3}$. We assume that the keys satisfy (4) and (5) (which happens with probability at least $(1 - \varepsilon_1)(1 - \varepsilon_2)$ for sufficiently

large n). We will use our oracle as follows: let $\nu = n^{2+\gamma}$ and consider a sequence (y_1, \dots, y_ν) of elements of $P(w_1, \dots, w_n)$. Choose a random permutation p of $\{1, \dots, \nu\}$ and apply the $(n^{2+\gamma}, n^\theta)$ -SVP-oracle to the lattice spanned by the columns of the following matrix, with $\beta = n^6 n^{1+\frac{\gamma}{2}}$:

$$\begin{pmatrix} \beta y_{p(1)} & \beta y_{p(2)} & \cdots & \beta y_{p(\nu)} \\ 1 & 0 & \cdots & 0 \\ 0 & 1 & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & 1 \end{pmatrix}$$

The output is a vector $(z, \lambda_1, \dots, \lambda_\nu)$. Say that y_i is *hit* if:

$$0 < |\lambda_{p^{-1}(i)}| \leq n^{\frac{\gamma}{2} + \theta + \lambda}.$$

The following two propositions (proved in the appendix) show that ciphertexts of '0' and '1' behave differently.

Proposition 12. *If y_1, \dots, y_ν are ciphertexts of '1', then y_1 is hit with probability $\Omega(n^{-\gamma_1})$.*

Proposition 13. *If y_1 is a ciphertext of '1' and y_2, \dots, y_ν are good ciphertexts of '0', then y_1 is hit with probability $\mathcal{O}(n^{-\gamma_2})$.*

We show how to conclude. The distributions $S_\nu = (y_1, \dots, y_\nu : y_i \text{ is a ciphertext of '1'})$ and $T_\nu = (y_1, \dots, y_\nu : y_1 \text{ is a ciphertext of '1' and the others are good ciphertexts of '0'})$ are distinguished by the test “ y_1 is hit” with advantage $\Omega(n^{-\gamma_1})$. Using the “hybrid technique” (see [13]), we introduce the distributions $S_i = (y_1, \dots, y_\nu : y_1, \dots, y_i \text{ are ciphertexts of '1' and } y_{i+1}, \dots, y_\nu \text{ are good ciphertexts of '0'})$. There exists i such that S_{i-1} and S_i are distinguished by the test with advantage:

$$\Omega(n^{-\gamma_1} / \nu) = \Omega(n^{-2-\gamma_1-\gamma}).$$

One can check whether a given y is a ciphertext of '0' or '1' by querying the answer of the test for $(y_1, \dots, y_{i-1}, y, y_{i+1}, \dots, y_\nu)$ where y_1, \dots, y_{i-1} are random ciphertexts of '1' and y_{i+1}, \dots, y_ν are random good ciphertexts of '0'. Since the bad ciphertexts of '0' form a set of probability less than $\varepsilon_3 = n^{-\sigma_3}$ where $\sigma_3 > 2(2+\gamma+\gamma_1)$, the distinguisher has (for sufficiently large n) polynomial advantage $n^{-\sigma}$ if $\sigma > 2 + \gamma + \gamma_1$. But:

$$2 + \gamma + \gamma_1 < 2 + \frac{4}{5} + \frac{4}{5} = 3 + \frac{3}{5}.$$

Therefore, σ can be chosen strictly less than $3 + 3/5$, and the result follows.

Note: the above construction is non-uniform. Eliminating the non-uniformity requires “sampling” the test for the various distributions S_i (see [13]).

5 Conclusion

We have shown how to reduce the question of distinguishing encryptions of one from encryptions of zero in the Ajtai-Dwork cryptosystem to approximating CVP or SVP. For the sake of simplicity, our results were proved with the choice of constants from [15]. Of course, the method extends to a more general setting as well, with the same proofs. More precisely, if we let $m = n^c$ (instead of n^3) and denote by S_n the n -dimensional ball of radius n^{-d} (instead of n^{-8}), one can show that with a $(n + m, n^{d-(c+5)/2-(3\gamma+\gamma_1+\gamma_2)/2}/[\sqrt{\pi}(1 + 2n^{-\gamma-\gamma_2})])$ -CVP-oracle, Theorem 7 remains valid. Theorem 8 also remains valid with a constant σ in $]0; 2 + 2(2d - (9 + c))/5[$ if θ and γ are such that $\frac{5\gamma}{2} + 2\theta < d - (9 + c)/2$ and we use a $(n^{2+\gamma}, n^\theta)$ -SVP-oracle. In particular, the CVP-reduction implies that breaking the Ajtai-Dwork cryptosystem is unlikely to be NP-hard.

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A Appendix

A.1 Proof of Theorem 9

The proof is similar to the one of Theorem 1. Let u be a private key. For (4), we need to generalize Lemma 2 and 3. Let δ be a randomly chosen point from S_n :

$$E[\langle u, \delta \rangle^{2k}] \leq \frac{4W_n}{n^{16}} \int_0^1 (1-y^2)^{(n-1)/2} y^{2k} dy.$$

This integral is equal to $I(n, k) = \int_0^{\pi/2} \sin^n \theta \cos^{2k} \theta d\theta$. We have $I(n, 0) = W_n$ and an integration by parts shows that: $I(n, k) = \frac{2k-1}{n+1} I(n+2, k-1)$. This implies $I(n, k) \leq W_n(2k)!/n^k$. Hence:

$$E[\langle u, \delta \rangle^{2k}] \leq \frac{4}{n^{16}} \times \frac{(2k)!}{n^k} W_n^2 \leq \frac{2\pi(2k)!}{n^{17+k}}.$$

The expectation would be equal to zero if there was an odd power instead of $2k$. Now, let $v = a + \sum_i \delta_i$ be a randomly chosen point from the distribution \mathcal{H}_u . We have:

$$E \left[\text{dist}(\mathbf{Z}, \langle u, v \rangle)^{2k} \right] \leq E \left[\left(\sum_{i=1}^n \langle u, \delta_i \rangle \right)^{2k} \right].$$

If we expand this product, we obtain a sum of n^{2k} terms. But all the terms for which some $\langle u, \delta_i \rangle$ has an odd exponent disappear. By the multinomial formula and the independence of the δ_j 's, this expectation is therefore equal to:

$$\sum_{i_1 + \dots + i_n = k} \frac{(2k)!}{(2i_1)! \dots (2i_n)!} \prod_{j=1}^n E[\langle u, \delta_j \rangle^{2i_j}].$$

We know that each product is less than $\prod_{i_j > 0} \frac{2\pi(2i_j)!}{n^{17+i_j}} \leq \frac{(2\pi(2k)!)^{2k}}{n^{17k+k}}$. And:

$$\sum_{i_1 + \dots + i_n = k} \frac{(2k)!}{(2i_1)! \dots (2i_n)!} \leq \frac{(2k)!}{k!} \sum_{i_1 + \dots + i_n = k} \frac{k!}{i_1! \dots i_n!} = \frac{(2k)!}{k!} n^k.$$

Thus:

$$E \left[\text{dist}(\mathbf{Z}, \langle u, v \rangle)^{2k} \right] \leq \frac{(2k)!}{k!} n^k \times \frac{(2\pi(2k)!)^{2k}}{n^{17k+k}} \leq \frac{1}{n^{17k}} 4^k \pi^{2k} (2k)!^{2k+1}.$$

Therefore:

$$\begin{aligned} E \left[\left(\sum_{j=1}^n \text{dist}(\mathbf{Z}, \langle u, w_j \rangle)^2 \right)^k \right] &\leq \sum_{j_1 + \dots + j_n = k} \frac{k!}{j_1! \dots j_n!} \prod_{\ell=1}^n E[\text{dist}(\mathbf{Z}, \langle u, w_\ell \rangle)^{2j_\ell}] \\ &\leq \sum_{j_1 + \dots + j_n = k} \frac{k!}{j_1! \dots j_n!} \frac{1}{n^{17k}} \left(4^k \pi^{2k} (2k)!^{2k+1} \right)^k \\ &\leq \frac{1}{n^{16k}} \left(4^k \pi^{2k} (2k)!^{2k+1} \right)^k \end{aligned}$$

Thus, by the moment inequality, (4) is satisfied with probability at least $1 - \varepsilon_1$ with respect to the choice of w_1, \dots, w_n , if we let $M_1 = 4^k \pi^{2k} (2k)!^{2k+1}$.

For (5), as in the proof of (2), we bound the expectation when the b_i 's are fixed. A first bound is obtained when the v_i 's are independent random variables uniformly distributed over the hypercube B_n . Then, we show that with the actual distribution of the v_i 's, the additional error is negligible, so that the bound of (5) is satisfied for sufficiently large n , thanks to Markov's inequality.

For the first bound, we generalize Lemma 4 with the same tricks we used to generalize Lemma 2. Let $t = (t_1, \dots, t_n)$ be a vector in the n -dimensional unit sphere. Let $s = (s_1, \dots, s_n)$ be a randomly chosen point with uniform distribution from B_n . We have:

$$E[\langle s, t \rangle^{2k}] = E \left[\left(\sum_{j=1}^n s_j t_j \right)^{2k} \right].$$

If we expand this product, we obtain m^{2k} terms. But all the terms for which some s_j has an odd exponent disappear. We obtain by the multinomial formula:

$$E[\langle s, t \rangle^{2k}] = \sum_{i_1 + \dots + i_n = k} \frac{(2k)!}{(2i_1)! \dots (2i_n)!} E[(s_1 t_1)^{2i_1} \dots (s_n t_n)^{2i_n}].$$

And since the s_j 's are independent:

$$E[(s_1 t_1)^{2i_1} \dots (s_n t_n)^{2i_n}] = t_1^{2i_1} \dots t_n^{2i_n} \rho_n^{2i_1 + \dots + 2i_n} \frac{1}{2i_1 + 1} \dots \frac{1}{2i_n + 1}.$$

Therefore:

$$E[\langle s, t \rangle^{2k}] = \rho_n^{2k} \sum_{i_1 + \dots + i_n = k} \frac{(2k)!}{(2i_1 + 1)! \dots (2i_n + 1)!} t_1^{2i_1} \dots t_n^{2i_n}.$$

And this sum is less than:

$$\frac{(2k)!}{k!} \sum_{i_1 + \dots + i_n = k} \frac{k!}{i_1! \dots i_n!} t_1^{2i_1} \dots t_n^{2i_n} = \frac{(2k)!}{k!} (t_1^2 + \dots + t_n^2)^k = \frac{(2k)!}{k!}.$$

Thus:

$$E[\langle s, t \rangle^{2k}] \leq \frac{(2k)!}{k!} \rho_n^{2k}.$$

And this expectation would be equal to zero if there was an odd power instead of $2k$. Therefore, if we assume that the v_i 's are distributed uniformly over B_n :

$$E \left[\left\langle \sum_{i=1}^m b_i v_i, w_j^\perp \right\rangle^{2k} \right] \leq \sum_{i_1 + \dots + i_m = k} \frac{(2k)!}{(2i_1)! \dots (2i_m)!} \prod_{\ell=1}^m E \left[\langle v_\ell, w_j^\perp \rangle^{2i_\ell} \right].$$

We know that each product is less than $\prod_{i_\ell > 0} \frac{(2i_\ell)!}{i_\ell!} \rho_n^{2i_\ell} \leq \rho_n^{2k} (2k)!^k$. And:

$$\sum_{i_1 + \dots + i_m = k} \frac{(2k)!}{(2i_1)! \dots (2i_m)!} \leq \frac{(2k)!}{k!} \sum_{i_1 + \dots + i_m = k} \frac{k!}{i_1! \dots i_m!} = \frac{(2k)!}{k!} m^k.$$

It follows that:

$$E \left[\left\langle \sum_{i=1}^m b_i v_i, w_j^\perp \right\rangle^{2k} \right] \leq \rho_n^{2k} (2k)!^k \frac{(2k)!}{k!} m^k = \rho_n^{2k} \frac{(2k)!^{k+1}}{k!} m^k.$$

Therefore, if we denote by X the random variable $(\sum_{j=1}^n \langle \sum_{i=1}^m b_i v_i, w_j^\perp \rangle^2)^k$, the multinomial formula shows that:

$$\begin{aligned} E[X] &\leq \sum_{j_1 + \dots + j_n = k} \frac{k!}{j_1! \dots j_n!} \prod_{\ell=1}^n E \left[\left\langle \sum_{i=1}^m b_i v_i, w_\ell^\perp \right\rangle^{2j_\ell} \right] \\ &\leq \sum_{j_1 + \dots + j_n = k} \frac{k!}{j_1! \dots j_n!} \prod_{j_\ell > 0} \rho_n^{2j_\ell} \frac{(2j_\ell)!^{j_\ell+1}}{j_\ell!} m^{j_\ell} \\ &\leq \sum_{j_1 + \dots + j_n = k} \frac{k!}{j_1! \dots j_n!} \rho_n^{2k} (2k)!^{k+1} m^k \\ &\leq \rho_n^{2k} (2k)!^{k+1} m^k \times \frac{(2k)!}{k!} n^k \\ &\leq n^{4k} \rho_n^{2k} \frac{(2k)!^{k+2}}{k!} \end{aligned}$$

With the actual distribution of the v_i 's, there is an additional term which is negligible, so that the wanted bound is satisfied for sufficiently large n , with for instance: $M_4 = (2k+1)!^{k+2}/k!$.

A.2 Proof of Proposition 12

We first need a combinatorial lemma:

Lemma 14. *For sufficiently large n , for all elements y_1, \dots, y_ν in the parallelepiped $P(w_1, \dots, w_n)$, there exist coefficients λ_i (not all zero) in $\{-1, 0, +1\}$ such that:*

$$\left\| \sum_{i=1}^{\nu} \lambda_i y_i \right\| \leq \frac{1}{n^6}.$$

Proof. Let $z_i = \lfloor n^{10} y_i \rfloor$. Each z_i has integral entries in $\{-n^{10} \rho_n, \dots, n^{10} \rho_n\}$. Consider all combinations $\sum \lambda_i z_i$ with $\lambda_i \in \{0, 1\}$. There are 2^ν such combinations, but there are at most $(2\nu n^{10} \rho_n + 1)^n$ distinct combinations. By the pigeon-hole principle, it follows that if $2^\nu > (2\nu n^{10} \rho_n + 1)^n$, which is satisfied

for sufficiently large n , then there exist two distinct sequences $(\lambda_1, \dots, \lambda_\nu)$ and (μ_1, \dots, μ_ν) in $\{0, 1\}^\nu$ such that: $\sum_{i=1}^\nu \lambda_i z_i = \sum_{i=1}^\nu \mu_i z_i$. Letting $\kappa_i = \lambda_i - \mu_i$, we obtain:

$$\sum_{i=1}^\nu \kappa_i z_i = \frac{\sum_{i=1}^\nu \kappa_i (n^{10} z_i - \lfloor n^{10} z_i \rfloor)}{n^{10}},$$

whose norm is less than $\frac{\sum_{k=1}^\nu \sqrt{n}}{n^{10}} \leq \frac{1}{n^6}$. □

Lemma 15. *Let $\lambda_1, \dots, \lambda_\nu$ be integers not all zero. If y_1, \dots, y_ν are chosen at random in the parallelepiped $P(w_1, \dots, w_n)$ then:*

$$Pr \left[\left\| \sum_{i=1}^\nu \lambda_i y_i \right\| \leq \frac{1}{2n^2} \right] \leq \frac{1}{\rho_n^n}.$$

Proof. Assume that the inequality on the norm is satisfied. Write $\sum_{i=1}^\nu \lambda_i y_i$ as $\sum_{j=1}^n \alpha_j w_j$. We have: $|\alpha_j| \leq \left\| \sum_{i=1}^\nu \lambda_i y_i \right\| \times n^2 / \rho_n \leq 1 / (2\rho_n)$. The probability is therefore bounded by the probability that each α_j is between $-\frac{1}{2\rho_n}$ and $\frac{1}{2\rho_n}$.

Each y_i is of the form $\sum_{\ell=1}^n \mu_{i,\ell} w_\ell$ where the $\mu_{i,\ell}$'s are independently chosen in $[0, 1[$ with uniform distribution. It follows that: $\alpha_j = \sum_{i=1}^\nu \lambda_i \mu_{i,j}$. If λ_i is non-zero, then $\lambda_i \mu_{i,j}$ modulo 1 is uniformly distributed over $[0, 1[$. Since the λ_i 's are not all zero, α_j modulo 1 is therefore uniformly distributed over $[0, 1[$. Furthermore, the α_j 's are independent, and the result follows. □

This probabilistic lemma is the core of the following result:

Lemma 16. *Let $\tau = \gamma_1 - \gamma$. If y_1, \dots, y_ν are chosen at random in $P(w_1, \dots, w_n)$ then the probability that there exist $\lambda_1, \dots, \lambda_\nu$ not all zero such that*

$$\left\| \sum_{i=1}^\nu \lambda_i y_i \right\| \leq \sqrt{2} \frac{1}{n^{6-\theta}} \tag{7}$$

$$\|(\lambda_1, \dots, \lambda_\nu)\| \leq \sqrt{2} n^{1+\gamma/2+\theta} \tag{8}$$

$$|\{i : \lambda_i \neq 0\}| \leq n^{2-\tau} \tag{9}$$

is exponentially small (with respect to n).

Proof. The number of non-zero $(\lambda_1, \dots, \lambda_\nu)$ satisfying (8) and (9) is at most

$$\binom{n^{2+\gamma}}{n^{2-\tau}} (2n^{1+\gamma/2+\theta})^{n^{2-\tau}} \leq (n^{2+\gamma})^{n^{2-\tau}} (2n^{1+\gamma/2+\theta})^{n^{2-\tau}}.$$

Since $\theta < 3$, by Lemma 15, each vector has probability less than ρ_n^{-n} to satisfy (7). This yields an overall probability less than $(n^{2+\gamma})^{n^{2-\tau}} (2n^{1+\gamma/2+\theta})^{n^{2-\tau}} \rho_n^{-n}$. Taking logarithms we get:

$$n^{2-\tau} \left[(2 + \gamma) \log_2 n + \left(1 + \frac{\gamma}{2} + \theta \right) \log_2 n + 1 \right] - n^2 \log_2 n.$$

Since $2 - \tau < 2$, the leading term is $-n^2 \log_2 n$ and the result follows. \square

Now, consider the output $(z, \lambda_1, \dots, \lambda_\nu)$ of the oracle. By Lemma 14 and by definition of the oracle, $\|z\|^2$ and $\sum_{i=1}^\nu \lambda_i^2$ are both less than:

$$n^{2\theta} \left(\beta^2 \frac{1}{n^{12}} + \nu \right) \leq n^{2\theta} (n^{2+\gamma} + n^{2+\gamma}) = 2n^{2+\gamma+2\theta}.$$

Therefore:

$$\|\lambda_1 v_{p(1)} + \dots + \lambda_\nu v_{p(\nu)}\| \leq \sqrt{2} n^{6-\theta} \text{ and } \|(\lambda_1, \dots, \lambda_\nu)\| \leq \sqrt{2} n^{1+\gamma/2+\theta}.$$

This means that (7) and (8) are satisfied if we use the $y_{p(i)}$'s instead of the y_i 's. Since the λ_i 's are not all zero and y_1, \dots, y_ν are ciphertexts of '1', Lemma 16 implies that with overwhelming probability, (9) is not satisfied: at least $n^{2-\tau}$ coefficients are non zero. By symmetry, the probability that y_i is hit does not depend on i . Furthermore, (8) implies that the number x of $(\lambda_1, \dots, \lambda_\nu)$'s such that $|\lambda_i| \geq n^{\gamma/2+\theta+\lambda}$ is such that:

$$x n^{\gamma+2\theta+2\lambda} \leq \|(\lambda_1, \dots, \lambda_\nu)\|^2 \leq 2n^{2+\gamma+2\theta}.$$

Hence:

$$x \leq 2n^{2-2\lambda}.$$

Since $\lambda > \tau$ (because $\gamma_1 < \gamma + \lambda$), this number is negligible with respect to $n^{2-\tau}$. Now, the probability that λ_i is hit is:

$$\Omega \left(\frac{n^{2-\tau}}{n^{2+\gamma}} \right) = \Omega \left(\frac{1}{n^{\gamma+\tau}} \right) = \Omega \left(\frac{1}{n^{\gamma_1}} \right).$$

A.3 Proof of Proposition 13

As in the proof of Proposition 12, consider the output $(z, \lambda_1, \dots, \lambda_\nu)$ of the oracle. $\|z\|$ and $\|(\lambda_1, \dots, \lambda_\nu)\|$ are still less than $\sqrt{2} n^{1+\theta+\gamma/2}$. And we have:

$$\lambda_{p^{-1}(1)} y_1 = \frac{1}{\beta} z - \sum_{i=2}^\nu \lambda_{p^{-1}(i)} y_i.$$

Since y_2, \dots, y_ν are good ciphertexts of '0', Lemma 11 implies that for all $i \geq 2$:

$$\text{dist}(\mathbf{Z}, \langle u, y_i \rangle) \leq M_4 \frac{1}{n^4 (\varepsilon_1 \varepsilon_2 \varepsilon_3)^{1/2k}}.$$

Therefore, by the Cauchy-Schwarz inequality:

$$\begin{aligned} \text{dist} \left(\mathbf{Z}, \left\langle \sum_{i=2}^\nu \lambda_{p^{-1}(i)} y_i, u \right\rangle \right) &\leq \sqrt{\sum_{i=1}^\nu \lambda_{p^{-1}(i)}^2} \times \sqrt{\nu M_4^2 \frac{1}{n^8 (\varepsilon_1 \varepsilon_2 \varepsilon_3)^{1/k}}} \\ &\leq \sqrt{2} n^{1+\theta+\gamma/2} M_4 n^{1+\gamma/2-4} \frac{1}{(\varepsilon_1 \varepsilon_2 \varepsilon_3)^{1/2k}} \\ &\leq M_4 \frac{\sqrt{2}}{(\varepsilon_1 \varepsilon_2 \varepsilon_3)^{1/2k}} n^{\theta+\gamma-2}. \end{aligned}$$

Furthermore:

$$\text{dist}(\mathbf{Z}, \langle z/\beta, u \rangle) \leq \sqrt{2}n^{\theta-6}.$$

Therefore, for sufficiently large n :

$$\text{dist}(\mathbf{Z}, \langle \lambda_{p^{-1}(1)}y_1, u \rangle) \leq M_4 \frac{\sqrt{3}}{(\varepsilon_1\varepsilon_2\varepsilon_3)^{1/2k}} n^{\theta+\gamma-2}.$$

If $\lambda_{p^{-1}(1)}$ is a fixed integer, since y_1 is a random vector in the parallelepiped, the latter inequality is satisfied with probability at most:

$$2M_4 \frac{\sqrt{3}}{(\varepsilon_1\varepsilon_2\varepsilon_3)^{1/2k}} n^{\theta+\gamma-2}.$$

But if y_1 is hit, then:

$$|\lambda_{p^{-1}(1)}| \in \left\{ 1, 2, \dots, n^{\frac{\gamma}{2}+\theta+\lambda} \right\}.$$

Hence, y_1 is hit with probability at most:

$$2M_4 \frac{2\sqrt{3}}{(\varepsilon_1\varepsilon_2\varepsilon_3)^{1/2k}} n^{\theta+\gamma-2} 2n^{\gamma/2+\theta+\lambda}.$$

As n grows, this is:

$$\mathcal{O}\left(n^{2\theta+3\gamma/2+\lambda-2+(\sigma_1+\sigma_2+\sigma_3)/(2k)}\right) = \mathcal{O}\left(\frac{1}{n^{\gamma_2}}\right).$$

And this concludes the proof.