

Determining Acceptance Possibility for a Quantum Computation is Hard for the Polynomial Hierarchy

Stephen Fenner * Frederic Green †
University of South Carolina Clark University

Steven Homer ‡ Randall Pruim §
Boston University Calvin College

December 18, 1998

Abstract

It is shown that determining whether a quantum computation has a non-zero probability of accepting is at least as hard as the polynomial time hierarchy. This hardness result also applies to determining in general whether a given quantum basis state appears with nonzero amplitude in a superposition, or whether a given quantum bit has positive expectation value at the end of a quantum computation. This result is achieved by showing that the complexity class **NQP** of Adleman, Demarrais, and Huang [1], a quantum analog of **NP**, is equal to the counting class coC=P .

1 Introduction

This decade has seen renewed interest and great activity in quantum computing. This interest has been spurred by the clear formal definition of

*Computer Science Department, University of South Carolina, Columbia, SC 29208 (on leave from the University of Southern Maine). E-mail: fenner@cs.sc.edu. Supported in part by the NSF under grant and CCR 95-01794.

†Department of Mathematics and Computer Science, Clark University, Worcester, MA 01610. E-mail: fgreen@black.clarku.edu.

‡Computer Science Department, Boston University, Boston, MA 02215. E-mail: homer@cs.bu.edu. Supported in part by the NSF under grant NSF-CCR-9400229.

§Department of Mathematics and Statistics, Calvin College, Grand Rapids, MI 49546. E-mail: rpruim@calvin.edu. This work was done while visiting the Computer Science Department at Boston University.

the quantum computing model and by the surprising discovery that some important computational problems which may be classically infeasible are feasible using quantum computers. One central result is Shor’s bounded-error polynomial-time algorithms for discrete logarithm and for integer factoring on both a quantum Turing machine [16] and (equivalently) quantum circuits [17]. This opens the possibility that if such machines can be constructed, or effectively simulated, then one can rapidly factor large integers and compromise a good deal of modern cryptography.

While the main research focus has been on finding efficient quantum algorithms for hard problems, attention has also been paid to determining the strength of quantum computation *vis-à-vis* its classical (probabilistic) counterpart [7, 5]. In this paper we take a further step in this direction by proving that testing for non-zero acceptance probability of a quantum machine is classically an extremely hard problem. In fact, we prove that this problem—which we call *QAP* (“quantum acceptance possibility”) and which is complete for **NQP** (a quantum analog of **NP**)—is hard for the polynomial-time hierarchy. This is done by showing that **NQP** is precisely the exact counting class [23] coC=P :

Theorem 1.1 $\text{NQP} = \text{coC=P}$.

coC=P , in turn, is hard for **PH** under randomized reductions [20, 21], and may still be hard even if $\text{P} = \text{NP}$. Thus

Corollary 1.2 *The problem of determining if the acceptance probability of a quantum computation is non-zero (QAP) is hard for the polynomial time hierarchy under polynomial-time randomized reductions.*

We will see in Section 4 that Theorem 1.1 is mostly insensitive to the set of transition amplitudes we allow in our model of quantum computation. The equation holds whether we allow arbitrary algebraic numbers as transition amplitudes (Theorem 4.1) or we restrict transition amplitudes to be in a small finite set of rational numbers as described by Adleman, *et al.* [1] (Theorem A.1). We will assume throughout the paper that transcendental amplitudes are not allowed.

The class **NQP** was originally defined by Adleman, Demarrais, and Huang [1], who showed that $\text{NQP} \subseteq \text{PP}$. The sharper upper bound $\text{NQP} \subseteq \text{coC=P}$ is implicit in their proof and a recent result of Fortnow and Rogers [13]. The main contribution of this paper is to obtain the *lower bound* $\text{coC=P} \subseteq \text{NQP}$. Adleman et al. also asked if **EQP** (the quantum analog of **P**) and **NQP** are

the same. Our result implies that $\text{EQP} = \text{NQP}$ is equivalent to the collapse of the counting hierarchy (see Section 3).

Graph Nonisomorphism [14] is an example of a problem in coC=P that is not known to be in NP . Theorem 1.1 shows that there is a quantum machine that takes two graphs as input and accepts with probability zero exactly when the two graphs are isomorphic.

We prove Theorem 1.1 and Corollary 1.2 in Section 3. The proof can be easily adapted to show hardness of determining whether any given quantum bit must be zero (or one) with certainty in a quantum computation, or more generally, whether some given quantum state shows up in a superposition with nonzero amplitude. Both of these questions are equivalent to QAP , and therefore also NQP -complete.

Determining non-zero acceptance probability of a *classical* machine is complete for NP , but determining exact accepting probability is much harder: it is hard for $\#\text{P}$. By analogy, one might have hoped QAP would be significantly easier than the problem of determining the exact accepting probability of a *quantum* computation, and possibly even to locate QAP within the polynomial hierarchy. Our work shows that this is probably not the case as if QAP is in the polynomial hierarchy then this hierarchy collapses.

Work of Bennett *et al.* [3] and recently of Fortnow and Rogers [13] has suggested that quantum computation with bounded error probability (BQP) is most likely unable to solve NP -hard problems. Combined with our result, this implies that BQP is even less likely than PH to contain QAP . We take this as evidence that quantum computers, even if implemented, will be unable to amplify exponentially small probabilities to such an extent that they become reliably detectable by means of repeated experiments and observations. This difference between bounded error computation and determining non-zero acceptance probability exists classically as well; in the classical case, bounded error computation corresponds to BPP and determining non-zero acceptance probability corresponds to NP .

Our work is part of an on-going effort to compare the power and limitations of quantum computers with those of more well-studied classical computers. In the classical case, one attempts to classify problems according to their intrinsic computational difficulty (complexity). For example, the class P of problems decidable by deterministic computations running in time bounded by a polynomial in the size of the input (i.e., *polynomial time*) is widely regarded as capturing feasible, exact computations; the class BPP , defined similarly except using probabilistic machines, captures the notion of feasible probabilistic decidability.

Over time, complexity theorists have built up elaborate frameworks of classes describing the power of various models of computation. Of these frameworks, the best known is the polynomial hierarchy (PH), the levels of which consist of problems definable by (a fixed constant number of) alternating polynomially bounded versions of the quantifiers \exists and \forall in front of a \mathbf{P} predicate. The class \mathbf{NP} , containing the well-known \mathbf{NP} -complete problems, is the first level of this hierarchy. It is widely believed that \mathbf{PH} does not collapse, i.e., that it is a proper hierarchy with each level distinct from all other levels. This implies and generalizes the conjecture that $\mathbf{P} \neq \mathbf{NP}$. For a good introduction to complexity theory see, for example, Balcázar *et al.* [2].

Problems related to counting, e.g., “How many satisfying truth assignments are there to a given Boolean formula?”, have also been widely studied (see [15, 12] for example). It has been found [20, 21] that there are counting problems at least as difficult as any problem in \mathbf{PH} , and thus (likely) much more difficult than any \mathbf{NP} problem.

The relationship between quantum computing and counting problems has been previously observed [18, 13, 3]. Our result further strengthens the connections between quantum computation and counting complexity and strengthens previous results in this area by providing the first example of a quantum computation problem whose complexity can be precisely characterized in terms of a counting class.

The essential distinction between classical probabilistic models and quantum machines, and the true source of power in the latter, rests in the fact that the states in a quantum superposition can cancel each other, a phenomenon known as destructive interference. Since many states can be involved in such a cancellation, certain measurable properties of the quantum state can be very sensitive to the *number* of classically accepting paths. Our result, while using and extending the resulting connection between quantum computation and counting problems, also serves to clarify it.

2 Probabilistic and Quantum Computation

We let $\Sigma = \{0, 1\}$. We are interested in decision problems (languages) over Σ^* . Of particular interest are the language

$$QAP = \{(M, x, 0^t) \mid M \text{ encodes a quantum machine that has} \\ \text{non-zero probability of accepting } x \text{ in } t \text{ steps}\},$$

and the class \mathbf{NQP} , which will be defined at the end of this section.

We review here briefly the models of classical probabilistic computation and quantum computation that we will employ in this paper. Our development is based on Turing machines, but can just as easily be based on quantum circuits [8], which are polynomially equivalent to quantum Turing machines [25]. See the references for more details regarding the models used here [18] as well as equivalent formulations [6]. Those who are already familiar with Turing machine models for quantum computation can skip to the definition of **NQP** at the end of this section.

A classical probabilistic computation can be viewed as a tree. Each node in the tree is labeled with a configuration (instantaneous description of tape contents, head location and internal state) of the Turing Machine. Edges in the tree are labeled with real numbers in the interval $[0, 1]$, which correspond to the probability of a transition from the parent configuration to the child configuration. Each level of the tree represents one time step (hereafter referred to as a *step*). Throughout this paper we will consider only computations (both classical and quantum) for which the depth of the tree (time) is polynomial in the length of the input. Probabilities can be assigned to a node by multiplying the probabilities along the path from the root to that node. The probability of the computation being in configuration c at time t is the sum of the probabilities assigned to each node at level t that has been assigned configuration c .

In order for such a tree to represent a probabilistic computation, it must be constrained by *locality*, and *classical probability*. Locality constraints require that the probability assigned to the edge from one node to another correspond to the action of one step of a probabilistic Turing machine, so in particular, the probability (1) is non-zero only if a Turing machine could actually make such a transition (thus for example, the only tape cells that can change are the ones which were under a head in the parent configuration), and (2) depends only on that part of the configuration which determines the action of the machine, and not on the rest of the configuration or the location in the tree. Probability constraints require that the sum of all probabilities on any level is always 1. It is equivalent to require that the sum of the probabilities on the edges leaving any node equal 1. For the purposes of complexity considerations, it is usually sufficient to consider probabilities from the set $\{0, \frac{1}{2}, 1\}$. If one considers the probabilistic machine to be a Markov chain, the entire computation can be represented by a matrix which transforms vectors of configurations into vectors of configurations, with the coefficients corresponding to probabilities.

The probability that a machine accepts on input x after t steps is

$$\sum_{c \in \Gamma_{acc}} \Pr[\text{configuration } c \text{ at step } t \mid \text{configuration } c_0 \text{ at step } 0]$$

where Γ_{acc} is the set of all accepting configurations and c_0 is the initial configuration corresponding to an input x . Note that the class **NP** can be defined in terms of probabilistic machines: A language, L , is in **NP** if and only if there is a probabilistic machine M and a polynomial p such that

$$x \in L \iff \Pr[M \text{ accepts } x \text{ in } p(|x|) \text{ steps}] \neq 0$$

A quantum computation can be similarly represented by a tree, only now the constraints are locality and *quantum probability*. In the quantum computation, the edges are assigned algebraic (see Section 4) complex-valued *probability amplitudes*. The amplitude of a node is again the product along the path to that node. The amplitude associated with being in configuration c at step t is the sum of the amplitudes of all nodes at level t labeled with c . The probability is the squared absolute value of the amplitude. A configuration c uniquely corresponds to a quantum state, denoted by $|c\rangle$. The states $|c\rangle$, for all configurations c , form an orthonormal basis in a Hilbert space. At each step we consider a quantum computation to be in a superposition $|\varphi\rangle$ of basis states, and write this as

$$\sum_{c \in \Gamma} \alpha_c |c\rangle$$

where α_c is the amplitude of $|c\rangle$. Since the basis states $|c\rangle$ are mutually orthonormal, the amplitude α_c of $|c\rangle$ in a superposition $|\varphi\rangle$ is the inner product of $|c\rangle$ with $|\varphi\rangle$, denoted by $\langle c | \varphi \rangle$. The probability of accepting is defined as for the probabilistic computation.

Once again the sum of the probabilities on any level must be 1 ($\sum |\alpha_c|^2 = 1$). As before, a restricted set of amplitudes for local transitions is sufficient, namely rational numbers or square roots of rational numbers. In fact, the machine we construct will only use amplitudes in $\{0, \pm \frac{1}{\sqrt{2}}, \pm 1\}$. It is *not*, however, sufficient to require that the sum of the squares of the amplitudes leaving any node be 1. This is due to the effects of *interference* among the configurations. A quantum computation can also be represented by a matrix which transforms quantum states into quantum states (represented as vectors in a Hilbert space with basis states $|c\rangle$, i.e., states of form $|\varphi\rangle$ as above). To satisfy the constraints of quantum probability, this matrix

must be *unitary* (its inverse is its conjugate transpose). In the case where all amplitudes are real numbers, a matrix is unitary if and only if it is orthogonal.

The class **NQP** is defined, as in [1], analogously to the class **NP** by replacing the probabilistic machine with a quantum machine:

Definition 2.1 *A language L is in **NQP** if and only if there is a quantum Turing machine Q and a polynomial p such that*

$$x \in L \iff \Pr[Q \text{ accepts } x \text{ in } p(|x|) \text{ steps}] \neq 0$$

It is not hard to see that QAP is hard for **NQP** via a standard argument: given L , Q , and p as in Definition 2.1 above, we reduce L to QAP by mapping input x to $\langle Q, x, 0^{p(|x|)} \rangle$. We also have $QAP \in \mathbf{NQP}$ as a consequence of the construction of an efficient universal quantum machine [5]. Therefore, QAP is complete for **NQP**.

One might entertain other possibilities for defining a quantum analog of **NP**. One justification for our definition is that **NQP** bears the same relation to **BQP** as the class **NP** does to **BPP**. As **BQP** plays a central role in efficient quantum computation, this seems like a natural definition to study. Two other possible quantum analogs to **NP** would be the class $\exists\mathbf{EQP}$, i.e., the class of sets $\{S \mid \text{there is a polynomial } p \text{ and an EQP machine } M \text{ such that for all strings } x, x \in S \text{ iff there is a string } y \text{ with } |y| \leq p(|x|) \text{ such that } M \text{ accepts } \langle x, y \rangle\}$ and the class $\exists\mathbf{BQP}$, defined similarly. Each of these definitions is analogous to that of **NP** as $\exists\mathbf{P}$.

It is not clear whether any two of the three classes **NQP**, $\exists\mathbf{EQP}$, and $\exists\mathbf{BQP}$ are the same. For example, it is not known if $\mathbf{P} = \mathbf{EQP}$, but if $\mathbf{P} = \mathbf{EQP}$ and the polynomial hierarchy separates, then $\exists\mathbf{EQP} = \mathbf{NP} \neq \mathbf{NQP}$.

3 Main Result

Theorem 3.2 shows how to design quantum machines for which the resulting amplitude of the unique accepting state is closely related to some given function in the class **GapP**. Before giving the proof, we define this class of functions.

Definition 3.1 *Given any $L \subseteq \Sigma^*$, let $L_x = \{y \in \Sigma^* \mid \langle x, y \rangle \in L\}$. A function $f : \{0, 1\}^* \rightarrow \mathbf{Z}$ is in **GapP** if there is a language L in **P** and an*

integer k such that,

$$f(x) = \frac{|\Sigma^{n^k} \cap L_x| - |\Sigma^{n^k} - L_x|}{2},$$

where $n = |x|$.

This is equivalent to saying that a **GapP** function is the difference (gap) between the number of accepting paths and the number of rejecting paths in some nondeterministic polynomial time computation. More information can be found in the references [10] about the intuition behind this definition and the basic properties of the class **GapP**.

Now we are ready to prove the technical theorem on which Theorem 1.1 rests. This result can be obtained as a corollary of Theorem 8.9 of Bernstein and Vazirani [5] regarding Fourier sampling. Our proof, which uses the same techniques, is more direct, and will be used to generalize a result of Fortnow and Rogers which is proved in the appendix of this paper (see Section 4).

Theorem 3.2 *For any $f \in \text{GapP}$, there is a ptime quantum Turing machine Q and a polynomial p such that, for all x of length n ,*

$$\Pr[Q(x) \text{ accepts}] = \frac{f(x)^2}{2^{p(n)}}.$$

In fact, for all x , $Q(x)$ has a unique accepting configuration which it reaches with probability amplitude exactly $-f(x)/2^{p(n)/2}$.

Proof Sketch: Our proof directly uses techniques of Simon [18] and Deutsch and Jozsa [9]. Let $k \in \mathbb{N}$ and let $L \subseteq \Sigma^*$ be a set in **P** such that for all x of length n ,

$$f(x) = \frac{|\Sigma^{n^k} \cap L_x| - |\Sigma^{n^k} - L_x|}{2}.$$

Let M be a polynomial time machine recognizing L , so that for all $\langle x, y \rangle$, $\langle x, y \rangle \in L$ iff M accepts on input $\langle x, y \rangle$. Fix an input x of length n and let $m = n^k$. When our quantum machine Q takes x on its read-only input tape, it will use $m + 1$ bits of a special work tape t . It will use other work tapes only for deterministic, reversible computation. We denote a possible configuration of $Q(x)$ as a basic state

$$|x, \mathbf{y}, b\rangle$$

where x is the contents of the input tape and \mathbf{y}, b are the contents of t (\mathbf{y} is a vector of m bits, and b is a single bit). We suppress the other configuration information, i.e., the state of Q , the positions of the heads, and the contents of the other work tapes. This other information is irrelevant because at all important steps of the computation, the same state and head positions of Q will appear in all configurations in the superposition, and all other work tapes besides t will be empty.

Initially, $\mathbf{y} = \mathbf{0}$ and $b = 0$. Q first scans over all the bits of \mathbf{y} and applies to each bit what has become a useful and popular local transition rule

$$\begin{aligned} |0\rangle &\mapsto \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \\ |1\rangle &\mapsto \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle). \end{aligned}$$

In general, scanning an arbitrary state $|x, \mathbf{y}, b\rangle$ in this way yields

$$|x, \mathbf{y}, b\rangle \mapsto \frac{1}{2^{m/2}} \sum_{\mathbf{y}'} (-1)^{\mathbf{y} \cdot \mathbf{y}'} |x, \mathbf{y}', b\rangle,$$

where $\mathbf{y} \cdot \mathbf{y}'$ is the dot product $\sum_{i=1}^m y_i y'_i$ of the bit vectors \mathbf{y} and \mathbf{y}' . The above transformation [9, 18] is called the Fourier transform of the basis $|x, \mathbf{y}, b\rangle$. Thus Q scanning the first m bits of the tape t corresponds to the global transition

$$|x, \mathbf{0}, 0\rangle \mapsto \frac{1}{2^{m/2}} \sum_{\mathbf{y}} |x, \mathbf{y}, 0\rangle.$$

Q then simulates the deterministic computation of M on input $\langle x, \mathbf{y} \rangle$ in a reversible manner [8, 4], using other work tapes¹. Let $b_{\mathbf{y}}$ be the one-bit result of the computation of $M(x, \mathbf{y})$. Q sets $b = b_{\mathbf{y}}$. The superposition is now

$$\frac{1}{2^{m/2}} \sum_{\mathbf{y}} |x, \mathbf{y}, b_{\mathbf{y}}\rangle.$$

Afterwards, Q repeats the scan it performed at the beginning, using the same local transformation rule, except that it now includes all $m + 1$ bits,

¹This computation is also done obviously so that the internal state and tape head position of the machine is the same for all components of the superposition at any given time. If we had used quantum circuits for the proof, this technicality would have been unnecessary.

including b , in the scan. This leads Q into a new superposition

$$|\psi\rangle = \frac{1}{\sqrt{2}} \frac{1}{2^m} \sum_{\mathbf{y}} \sum_{\mathbf{y}', b'} (-1)^{\mathbf{y} \cdot \mathbf{y}' + b\mathbf{y}b'} |x, \mathbf{y}', b'\rangle.$$

We now consider the coefficient of $|x, \mathbf{0}, 1\rangle$ in $|\psi\rangle$:

$$\begin{aligned} \langle x, \mathbf{0}, 1 | \psi \rangle &= \frac{1}{\sqrt{2}} \frac{1}{2^m} \sum_{\mathbf{y}} (-1)^{\mathbf{y} \cdot \mathbf{0} + b\mathbf{y}1} \\ &= \frac{1}{\sqrt{2}} \frac{1}{2^m} \sum_{\mathbf{y}} (-1)^{b\mathbf{y}} \\ &= -\frac{1}{\sqrt{2}} \frac{1}{2^{m-1}} f(x). \end{aligned}$$

Finally, Q deterministically looks at the $m + 1$ bits of the tape t . If it sees $\mathbf{0}, 1$ it accepts; otherwise, it rejects.

Thus $|x, \mathbf{0}, 1\rangle$ is the unique accepting configuration of Q , and it has probability amplitude

$$-\frac{1}{\sqrt{2}} \frac{1}{2^{m-1}} f(x)$$

which implies the theorem by setting $p(n) = 2m - 1 = 2n^k - 1$. \square

A converse to Theorem 3.2 follows directly from work of Fortnow and Rogers [13]. Fortnow and Rogers' result is given only for quantum machines that use rational amplitudes. Their proof can be easily modified to obtain the following. In Section 4 we also give a generalization of this theorem to arbitrary algebraic amplitudes.

Theorem 3.3 (Fortnow, Rogers) *For any ptime quantum machine M (with transition amplitudes that are products of rational numbers and the square root of a fixed integer), there is a GapP function f , a natural number d , and a polynomial p such that M accepts any input x with probability exactly $f(x)/d^{p(|x|)}$.*

Combining Theorems 3.2 and 3.3 provides an exact characterization of NQP in terms of a counting class known to be hard for PH.

Definition 3.4 *A language L is said to be in the class $C_{=}\mathbf{P}$ if there is a GapP function f such that for any x , $x \in L$ if and only if $f(x) = 0$. The class $\text{co}C_{=}\mathbf{P}$ is the set of all languages with complements in $C_{=}\mathbf{P}$.*

By Theorems 3.2 and 3.3, a language L is in $\mathbf{C=P}$ (resp., $\mathbf{coC=P}$) if and only if there is a polynomial-time quantum Turing machine Q such that for any x ,

$$x \in L \iff \Pr[Q(x) \text{ accepts}] = 0 \quad (\text{resp., } \Pr[Q(x) \text{ accepts}] \neq 0).$$

Thus $\mathbf{NQP} = \mathbf{coC=P}$, so Theorem 1.1 is a corollary of Theorems 3.2 and 3.3.

It is known that $\mathbf{C=P}$ is hard for the polynomial hierarchy under randomized reductions [21, 19]. Thus Corollary 1.2 (QAP is hard for \mathbf{PH} under randomized reductions) follows.

Hence if QAP is anywhere in \mathbf{PH} , then \mathbf{PH} collapses; in fact, the counting hierarchy also collapses.² Combining our results with those of Fortnow and Rogers [13], we find that $QAP \in \mathbf{BQP}$ (or $QAP \in \mathbf{EQP}$) also implies the collapse of the counting hierarchy.

4 Robustness of \mathbf{NQP}

In our definition of \mathbf{NQP} we assume that the probability amplitudes are algebraic. In this section we want to explore briefly the extent to which this assumption is significant. Let \mathbf{NQP}_S be the class defined like \mathbf{NQP} , but with amplitudes taken from the set S . So $\mathbf{NQP} = \mathbf{NQP}_{\overline{\mathbf{Q}}}$, where $\overline{\mathbf{Q}}$ is the algebraic complex numbers. Similar notation applies to other quantum classes.

Adleman et al. [1] show that, although $\mathbf{BQP}_{\mathbf{C}}$ is uncountable, $\mathbf{BQP}_{\overline{\mathbf{Q}}} = \mathbf{BQP}_{\mathbf{Q}} = \mathbf{BQP}_{\{0, \pm 3/5, \pm 4/5, \pm 1\}}$, and so the latter class provides a reasonable, robust definition for \mathbf{BQP} . The proof of Theorem 3.2 shows that $\mathbf{coC=P} \subseteq \mathbf{NQP}_{\{0, \pm \frac{1}{\sqrt{2}}, \pm 1\}}$, and it can be modified to show that $\mathbf{coC=P} \subseteq \mathbf{NQP}_{\{0, \pm 3/5, \pm 4/5, \pm 1\}}$ as well. A proof of this modified result is given in the appendix. These inclusions together with Corollary 4.2 below show that $\mathbf{NQP}_{\overline{\mathbf{Q}}} = \mathbf{NQP}_{\{0, \pm 3/5, \pm 4/5, \pm 1\}} = \mathbf{coC=P}$, generalizing a theorem of Fortnow-Rogers (Theorem 3.3).

We use the following theorem, the main theorem for this section, which unifies and generalizes some of the results of Adleman, *et al.* [1] given above. Our proof is somewhat similar to theirs. We begin by recalling some basic facts from algebra. Let $\alpha_1, \dots, \alpha_n$ be complex numbers. Let $\mathbf{Q}(\alpha_1, \dots, \alpha_n)$ be the smallest subfield of \mathbf{C} containing $\alpha_1, \dots, \alpha_n$. A basic fact of abstract

²This is a hierarchy built over the class \mathbf{PP} instead of \mathbf{NP} . The counting hierarchy was originally defined in terms of counting quantifiers [23]. The assertion follows from an alternative characterization in terms of oracles [22].

algebra is that $\alpha_1, \dots, \alpha_n$ are all algebraic (over \mathbf{Q}) iff $\mathbf{Q}(\alpha_1, \dots, \alpha_n)$ (as a vector space over \mathbf{Q}) is finite dimensional.

Theorem 4.1 *Let M be any quantum accept/reject TM that has algebraic transition amplitudes and runs in time $t(n)$. Then there are positive integers s and D , real algebraic numbers $\alpha_1, \dots, \alpha_s$ linearly independent over \mathbf{Q} , and GapP functions f_1, \dots, f_s , such that for any input x of length n ,*

$$\Pr[M(x) \text{ accepts}] = \frac{1}{D^{t(n)}} \sum_{j=1}^s f_j(x, 0^{t(n)}) \alpha_j.$$

Moreover, all the α_j are in the field extension of \mathbf{Q} generated by the transition amplitudes of M .

Proof Sketch: The transition amplitudes mentioned in M (not necessarily real), together with their complex conjugates, generate a field F that has finite dimension over \mathbf{Q} and that is closed under complex conjugate. Let β_1, \dots, β_m be a basis for F . Every element of F can be expressed uniquely as a linear combination of the β_i . Furthermore, there are unique rationals $\{q_{i,j,k}\}_{1 \leq i,j,k \leq m}$ such that $\beta_i \beta_j = \sum_k q_{i,j,k} \beta_k$. Hence for any two elements $a = \sum a_i \beta_i$ and $b = \sum b_i \beta_i$ of F , the coefficient of β_k in ab is $\sum_i \sum_j a_i b_j q_{i,j,k}$. Now choose $\alpha_1, \dots, \alpha_s$ to be a basis of $F \cap \mathbf{R}$ over \mathbf{Q} such that for each i we can write

$$\operatorname{Re}(\beta_i) = \sum_{j=1}^s c_{i,j} \alpha_j,$$

where the $c_{i,j}$ are all integers.

We may assume WLOG that the $q_{i,j,k}$ are all integers. If not, we redefine the basis to clear all the denominators: let ℓ be the lcm of all denominators appearing in the $q_{i,j,k}$. Then redefine the β_i by $\beta'_i = \ell \beta_i$. Then, $\beta'_i \beta'_j = \sum_k \ell q_{i,j,k} \beta'_k$, so the coefficients are now all integers.

Fix any input x , and let U be the global unitary 1-step transition matrix for $M(x)$. It is clear that each entry of U is in F , and moreover there is an integer d and an integer-valued FP function u such that the (i, j) th entry of U is

$$(U)_{i,j} = \frac{1}{d} \sum_{k=1}^m u(x, i, j, k) \beta_k.$$

The proof now proceeds as in the proof of lemma 3.2 of Fortnow and Rogers [13], except that here we add and multiply elements of F . Multiplying U times itself then reduces to obtaining uniform exponential sums

of polynomial products of the $u(x, i, j, k)$'s and $q_{i,j,k}$. But **GapP** is closed under these operations. So there are **GapP** functions g_1, \dots, g_m such that the (i, j) th entry of U^t is

$$(U^t)_{i,j} = \frac{1}{d^t} \sum_{k=1}^m g_k(x, 0^t, i, j, k) \beta_k.$$

Now take $t = t(n)$ to be the running time of $M(x)$. The acceptance probability is the sum of squared absolute values of all “accepting” entries of $U^t S$, where S is the column vector representing the basic quantum state of the initial configuration of $M(x)$. (Note that squaring an absolute value is just a field operation in F , since F is closed under complex conjugate.) Again using the closure properties of **GapP**, there are **GapP** functions h_1, \dots, h_m such that

$$\Pr[M(x) \text{ accepts}] = \frac{1}{D^t} \sum_{i=1}^m h_i(x, 0^t) \beta_i,$$

where $D = d^2$. Since this quantity is real, we have

$$\begin{aligned} \Pr[M(x) \text{ accepts}] &= \frac{1}{D^t} \sum_{i=1}^m h_i(x, 0^t) \operatorname{Re}(\beta_i) \\ &= \frac{1}{D^t} \sum_{i=1}^m h_i(x, 0^t) \sum_{j=1}^s c_{i,j} \alpha_j \\ &= \frac{1}{D^t} \sum_{j=1}^s f_j(x, 0^t) \alpha_j \end{aligned}$$

where for each j , we define

$$f_j(x, 0^t) = \sum_{i=1}^m c_{i,j} h_i(x, 0^t).$$

It follows from the closure properties of **GapP** that the f_j are all in **GapP**. This proves the theorem. \square

Corollary 4.2 (implicit in [1]) *For M as above, the set*

$$\{x \mid \Pr[M(x) \text{ accepts}] = 0\}$$

is in $C=P$. Thus $\text{NQP}_{\overline{\mathbb{Q}}} \subseteq \text{coC=P}$.

Proof. Since the α_j are all linearly independent over \mathbf{Q} , the probability is zero iff all the $f_j(x)$ are zero, iff $f(x) = 0$ where

$$f(x) = \sum_{j=1}^n [f_j(x)]^2.$$

The function f is clearly in **GapP**. □

The proof of Theorem 4.1 actually yields a more general result regarding probability amplitudes, which may be of independent interest. As with Adleman *et al.*, we simply choose a single primitive element for the field extension of \mathbf{Q} generated by the transition amplitudes of the machine in question.

Theorem 4.3 *Let Q be any quantum TM whose transition amplitudes are all algebraic numbers. There exists an algebraic number β , positive integers d and k , and **GapP** functions $f_i(x, u, s)$ for all i , $0 \leq i < k$ such that, for any input x , time $t \in \mathbf{N}$, and basis state $|s\rangle$ of $Q(x)$, the probability amplitude of $|s\rangle$ in the quantum state of $Q(x)$ after running t steps is exactly*

$$\frac{1}{d^t} \sum_{i=0}^{k-1} f_i(x, 0^t, s) \beta^i.$$

Furthermore, β is a primitive element with degree k of the field extension of \mathbf{Q} generated by the transition amplitudes of Q .

5 Conclusion

One may ask if a polynomial-time probabilistic Turing machine has a non-zero acceptance probability. This problem is **NP**-complete. QAP is the analogous problem in the quantum setting and it is **NQP**-complete. As we have seen in this paper, $\mathbf{NQP} = \mathbf{coC=P}$, which is a much harder class than **NP**, and our characterization shows that QAP is nowhere in the polynomial hierarchy unless the polynomial hierarchy and the counting hierarchy collapse and are equal.

We interpret this as a lower bound on the capabilities of quantum computers. Just as it is unlikely that an **NP** machine's acceptance probability can be amplified (i.e., that $\mathbf{NP} \subseteq \mathbf{BPP}$), so is it unlikely that a quantum machine's acceptance probability can be amplified (i.e., $\mathbf{coC=P} \subseteq \mathbf{BQP}$), and even more unlikely that it can be amplified classically (i.e., $\mathbf{coC=P} \subseteq \mathbf{BPP}$).

To our knowledge, this is the first hardness result of this nature regarding quantum computation. The result also shows how destructive interference can lead to vastly different behaviors for acceptance probabilities in classical and quantum machines.

Note that the results here show that if $\text{NQP} \subseteq \text{BQP}$, then the counting hierarchy collapses to PP . It would be interesting to see if it collapses even farther (say, to BQP). This would give us a better understanding of how much harder NQP is than BQP .

Acknowledgements

The work of S. Fenner was supported in part by the NSF under grant NSF-CCR-95-01794. The work of S. Homer was supported in part by the NSF under grant NSF-CCR-94-00229. The work of R. Pruim was done while visiting the Computer Science Department at Boston University. We thank C. Pollett, J. Watrous and the referees for helpful comments.

An earlier version of this paper appeared in the Sixth Italian Conference on Theoretical Computer Science, October, 1998 [11].

References

- [1] L. Adleman, J. DeMarrais, and M. Huang. Quantum computability. *SIAM Journal on Computing*, 26:1524–1540, 1997.
- [2] J. L. Balcázar, J. Díaz, and J. Gabarró. *Structural Complexity I*, volume 11 of *EATCS Monographs on Theoretical Computer Science*. Springer-Verlag, 1988.
- [3] C. H. Bennett, E. Bernstein, G. Brassard, and U. Vazirani. Strengths and weaknesses of quantum computation. *SIAM Journal on Computing*, 26:1510–1523, 1997.
- [4] P. A. Benioff. Quantum mechanical hamiltonian models of turing machines. *Journal of Statistical Physics*, 29:515–546, 1982.
- [5] E. Bernstein and U. Vazirani. Quantum Complexity Theory. *SIAM J. Comp.*, 26:141–1473, 1997.

- [6] A. Berthiaume. Quantum computation. In L. Hemaspaandra and A. L. Selman, editors, *Complexity Theory Retrospective II*, chapter 2, pages 23–50. Springer-Verlag, 1997.
- [7] A. Berthiaume and G. Brassard. The quantum challenge to structural complexity theory. In *Proceedings of the 7th IEEE Structure in Complexity Theory Conference*, pages 132–137. IEEE, 1992.
- [8] D. Deutsch. Quantum theory, the church-turing principal, and the universal quantum computer. In *Proceedings of the Royal Society of London*, pages 97–117, 1985.
- [9] D. Deutsch and R. Jozsa. Rapid solutions of problems by quantum computation. In *Proceedings of the Royal Society of London*, pages 553–558, 1992.
- [10] S. Fenner, L. Fortnow, and S. Kurtz. Gap-definable counting classes. *Journal of Computer and System Sciences*, 48(1):116–148, 1994.
- [11] S. Fenner, F. Green, S. Homer and R. Pruim. Quantum NP is Hard for PH. In *Proceedings of the Sixth Italian Conference on Theoretical Computer Science*, World-Scientific, pages 241 - 252, 1998.
- [12] L. Fortnow. Counting Complexity. In L. Hemaspaandra and A. L. Selman, editors, *Complexity Theory Retrospective II*, chapter 4, pages 81–107. Springer-Verlag, 1997.
- [13] L. Fortnow and J. Rogers. Complexity limitations on quantum computation. In *Proceedings of the 13th IEEE Conference on Computational Complexity*, pages 202–209. IEEE, 1998.
- [14] J. Köbler, U. Schöning and J. Torán. *The Graph Isomorphism Problem: Its Structural Complexity*. Birkhauser. 1993.
- [15] U. Schöning. The Power of Counting. In A. L. Selman, editor, *Complexity Theory Retrospective*, chapter 8, pages 204–223. Springer-Verlag, 1990.
- [16] P. W. Shor. Algorithms for quantum computation: Discrete logarithms and factoring. In *Proceedings of the 35th IEEE Symposium on Foundations of Computer Science*, pages 124–134. IEEE, 1994.

- [17] P. W. Shor. Polynomial-time algorithms for prime number factorization and discrete logarithms on a quantum computer. *SIAM J. Comp.*, 26:1484–1509, 1997.
- [18] D. Simon. On the power of quantum computation. *SIAM Journal on Computing*, 26:1474–1483, 1997.
- [19] J. Tarui. Probabilistic polynomials, $AC^{(0)}$ functions and the polynomial-time hierarchy. *Theoretical Computer Science*, 113:167–183, 1993.
- [20] S. Toda. PP is as hard as the polynomial-time hierarchy. *SIAM Journal on Computing*, 20(5):865–877, 1991.
- [21] S. Toda and M. Ogiwara. Counting classes are at least as hard as the polynomial-time hierarchy. *SIAM Journal on Computing*, 21(2):316–328, 1992.
- [22] J. Torán. Complexity classes defined by counting quantifiers. *J. Assoc. Comput. Mach.*, 38(3):753–774, 1991.
- [23] K. Wagner. The complexity of combinatorial problems with succinct input representation. *Acta Informatica*, 23:325–356, 1986.
- [24] J. Watrous. Private communication. 1997.
- [25] A. C.-C. Yao. Quantum circuit complexity. In *Proceedings of the 34th IEEE Symposium on Foundations of Computer Science*, pages 352–361, 1993.

A Appendix

In this appendix, we show that Theorem 3.2 also holds for quantum machines that use amplitudes in the set $R = \{0, \pm\frac{3}{5}, \pm\frac{4}{5}, \pm 1\}$. This result was first suggested to us by J. Watrous [24].

Theorem A.1 *For any $f \in \text{GapP}$, there is a ptime quantum Turing machine Q with transition amplitudes in R and a polynomial p such that, for all x of length n ,*

$$\Pr[Q(x) \text{ accepts}] = \left(\frac{12}{25}\right)^{p(n)} f(x)^2.$$

In fact, for all x , $Q(x)$ has a unique accepting configuration which it reaches with probability amplitude exactly $(\frac{12}{25})^{p(n)/2} f(x)$.

Proof Sketch: We indicate the essential differences with the proof of Theorem 3.2. Now the basic states are $|x, \mathbf{y}, b\rangle$ where x and \mathbf{y} are as before and b is *two* bits.

Initially, $\mathbf{y} = \mathbf{0}$ and $b = 00$. In Q 's initial scan over the bits of \mathbf{y} , apply the following local transition rule A to each bit:

$$\begin{aligned} |0\rangle &\mapsto \frac{1}{5}(3|0\rangle + 4|1\rangle) \\ |1\rangle &\mapsto \frac{1}{5}(-4|0\rangle + 3|1\rangle). \end{aligned}$$

If we let $|\mathbf{z}|_i$ be the number of components of the vector \mathbf{z} that have the value i , then transforming an arbitrary state $|x, \mathbf{y}, b\rangle$ in this way yields

$$|x, \mathbf{y}, b\rangle \mapsto \frac{1}{5^m} \sum_{\mathbf{y}'} (-4)^{|\mathbf{y}' - \mathbf{y}|_{-1}} 4^{|\mathbf{y}' - \mathbf{y}|_1} 3^{|\mathbf{y}' - \mathbf{y}|_0} |x, \mathbf{y}', b\rangle.$$

Thus Q scanning the first m bits of the tape corresponds to the global transition

$$|x, \mathbf{0}, 00\rangle \mapsto \sum_{\mathbf{y}} \alpha_{\mathbf{y}} |x, \mathbf{y}, 00\rangle,$$

where

$$\alpha_{\mathbf{y}} = \frac{3^{|\mathbf{y}|_0} 4^{|\mathbf{y}|_1}}{5^m}.$$

Again Q simulates the deterministic computation of M on input $\langle x, \mathbf{y} \rangle$ in a reversible manner. Let $b_{\mathbf{y}}$ be 01 if M accepts, 10 if it rejects. Q sets $b = b_{\mathbf{y}}$.

The superposition is now

$$|x, \mathbf{0}, 00\rangle \mapsto \sum_{\mathbf{y}} \alpha_{\mathbf{y}} |x, \mathbf{y}, b_{\mathbf{y}}\rangle,$$

Next, Q performs the transition A to the first bit of $b_{\mathbf{y}}$ and then the transition B given by

$$\begin{aligned} |0\rangle &\mapsto \frac{1}{5}(4|0\rangle + 3|1\rangle) \\ |1\rangle &\mapsto \frac{1}{5}(-3|0\rangle + 4|1\rangle). \end{aligned}$$

to the second bit of $b_{\mathbf{y}}$. That is, Q applies

$$T = \frac{1}{25} \begin{bmatrix} 12 & 9 & 16 & 12 \\ -9 & 12 & -12 & 16 \\ -16 & -12 & 12 & 9 \\ 12 & -16 & -9 & 12 \end{bmatrix}$$

to $b_{\mathbf{y}}$. Finally, Q repeats the scan it performed at the beginning, using the same local transformation rule (A) on the m bits of \mathbf{y} . This leads Q into a new superposition

$$|\psi\rangle = \frac{1}{5^{2m+2}} \sum_{\mathbf{y}} \sum_{\mathbf{y}', b'} \alpha(\mathbf{y}, \mathbf{y}') \beta(b_{\mathbf{y}}, b') |x, \mathbf{y}', b'\rangle,$$

where

$$\begin{aligned} \alpha(\mathbf{y}, \mathbf{y}') &= (-4)^{|\mathbf{y}'-\mathbf{y}|_{-1}+|\mathbf{y}-\mathbf{0}|_{-1}} 4^{|\mathbf{y}'-\mathbf{y}|_1+|\mathbf{y}-\mathbf{0}|_1} 3^{|\mathbf{y}'-\mathbf{y}|_0+|\mathbf{y}-\mathbf{0}|_0}, \\ \beta(\mathbf{y}, \mathbf{y}') &= 25 \cdot T_{\mathbf{y}, \mathbf{y}'}. \end{aligned}$$

We now consider the coefficient of $|x, \mathbf{1}, 01\rangle$ in $|\psi\rangle$:

$$\begin{aligned} \langle x, \mathbf{0}, 1 | \psi \rangle &= \sum_{\mathbf{y}} 4^{|\mathbf{1}-\mathbf{y}|_1+|\mathbf{y}-\mathbf{0}|_1} 3^{|\mathbf{1}-\mathbf{y}|_0+|\mathbf{y}-\mathbf{0}|_0} \beta(b_{\mathbf{y}}, 01) \\ &= \frac{1}{5^{2m+2}} \sum_{\mathbf{y}} 12^{|\mathbf{1}-\mathbf{0}|_1} \beta(b_{\mathbf{y}}, 01) \\ &= \frac{12^m}{25^{m+1}} \sum_{\mathbf{y}} \beta(b_{\mathbf{y}}, 01) \\ &= \frac{12^m}{25^{m+1}} 12f(x). \end{aligned}$$

Finally, Q deterministically looks at the $m+2$ bits of the tape t . If it sees $\mathbf{1}, 01$ it accepts; otherwise, it rejects.

Thus $|x, \mathbf{1}, 01\rangle$ is the unique accepting configuration of Q , and it has probability amplitude

$$\left(\frac{12}{25}\right)^{m+1} f(x),$$

which implies the theorem by setting $p(n) = 2m+2 = 2n^k + 2$. \square