

Random nondeterministic real functions and Arthur Merlin games

Philippe Moser*

Abstract

We construct a nondeterministic version of \mathbf{APP} , denoted \mathbf{NAPP} , which is the set of all real valued functions $f:\{0,1\}^* \to [0,1]$, that are approximable within 1/k, by a probabilistic nondeterministic transducer, in time $\operatorname{poly}(1^k,n)$. We show that the subset of all Boolean functions in \mathbf{NAPP} is exactly \mathbf{AM} . We exhibit a natural complete problem for \mathbf{NAPP} , namely computing the acceptance probability of a nondeterministic Boolean circuit. Then we prove that similarly to \mathbf{AM} , the error probability for \mathbf{NAPP} functions can be reduced exponentially. We also give a co-nondeterministic version, denoted \mathbf{coNAPP} , and prove that all results for \mathbf{NAPP} also hold for \mathbf{coNAPP} . Then we construct two mappings between \mathbf{NAPP} and promise- \mathbf{AM} , which preserve completeness. Finally we show that in the world of deterministic computation, oracle access to \mathbf{AM} is the same as oracle access to \mathbf{NAPP} , i.e. $\mathbf{P^{NAPP}} = \mathbf{P^{PrAM}}$.

1 Introduction

Similarly to the complexity class **BPP**, it is not known whether **AM** (the probabilistic version of **NP**) has complete sets. One reason for this is that **AM** is a semantic class; on every input, there must be at least 3/4 or at most 1/4 random string (of a certain length) that make an **AM** machine accept.

One way around this difficulty is to consider promise problems i.e. problems that need to be solved only on instances where a certain promise holds. Thus the canonical complete language $L = \{(M, x, 1^t) | M \text{ is an } \mathbf{AM} \text{ machine and } M \text{ accepts } x \text{ in at most } t \text{ steps}\}$, together with the promise that M is indeed an \mathbf{AM} machine, is promise- \mathbf{AM} (denoted \mathbf{prAM}) complete. Indeed once it is known that M is an \mathbf{AM} machine, a probabilistic nondeterministic Turing machine can simulate machine M on input x, thus deciding, with high probability, whether M accepts x or not.

Another approach was introduced by V. Kabanets et al. in [KRC00]. They introduced a natural generalization of **BPP**, namely the class **APP** of real-valued functions $f: \{0, 1\}^* \to [0, 1]$, that can be approximated within any $\epsilon > 0$, by a probabilistic Turing machine running in time polynomial in the input size and the precision $1/\epsilon$. They showed that **BPP** is exactly the subset of all Boolean functions in **APP**.

In this paper we construct an nondeterministic version of **APP** (denoted **NAPP**). We show that similarly to **APP**, the subset of Boolean functions that are in **NAPP**, is exactly **AM**. Next, we prove that similarly to **AM**, the error probability for **NAPP** functions can be reduced

^{*}Address: Computer Science Department, University of Geneva. Email: moser@cui.unige.ch

exponentially, with only a polynomial increase of time. Then, we exhibit a natural complete problem for **NAPP**; we show that computing the acceptance probability of a nondeterministic Boolean circuit is **NAPP**-complete. The crucial point in our definition of **NAPP** is that we say that a nondeterministic probabilistic transducer M computes the image of f on x, iff the largest of all values computed on each nondeterministic branch of M is a good approximation of f(x), with high probability. Thus, it is possible to show that such a transducer can approximate, with high probability, the acceptance probability of any nondeterministic circuit C, by simply choosing a random string, and by nondeterministically guessing a witness making C accept. At the end of the computation, only the nondeterministic branches that guessed correct witnesses, approximate the acceptance probability of C correctly, with high probability. Moreover, all other nondeterministic branches output values smaller than the acceptance probability of C, with high probability. The same idea applies when proving the error probability reduction Theorem; by repeated trials, and using Chernoff bounds, we prove that at the end of the computation, the nondeterministic branches that guessed correct witnesses, output a good approximation of f(x) with exponentially small probability error. The other nondeterministic branches output values smaller than the values output by the "correct" branches, also with exponentially small probability error.

We also give a co-nondeterministic version of **NAPP**, and show that all results holding for **NAPP**, also hold for its co-nondeterministic version.

Next, we show that **NAPP** and **prAM** are intimately related in the following way. The main tool we use to this purpose is the subgraph of a function. Recall that for a real valued function $f:\{0,1\}^* \to [0,1]$, its subgraph is defined as being the set of encoded triples $(1^k, x, y)$ such that $y \leq f(x)$ within distance 1/k. We prove that computing the subgraph of the function f_{NAPP} (where f_{NAPP} , on input a Boolean nondeterministic circuit, outputs its probability of acceptance), which is **NAPP**-complete, together with the promise that all queries " $y \leq f(x)$?" made to subgraph (f_{NAPP}) have the property that the distance between f(x) and y is either "very small", or "rather large", is **prAM** complete. Then we prove that computing the subgraph of any function in **NAPP** together with the same promise, is in **prAM**. This yields a mapping from **NAPP** to **prAM**, mapping each function in **NAPP** to a promise problem in **prAM**, and preserving completeness, i.e. mapping complete functions to complete promise problems.

For the opposite direction, we first prove that, for any real-valued function $f : \{0, 1\}^* \to [0, 1]$, such that the problem of computing its subgraph (together with the same promise as above) is in **prAM**; f is in **NAPP**. Second, we construct a mapping from **prAM** to **NAPP**, that maps every promise problem to a real-valued function, and that preserves completeness.

Finally we prove that for deterministic computations, oracle access to \mathbf{prAM} is the same as oracle access to \mathbf{NAPP} , i.e. $\mathbf{P^{prAM}} = \mathbf{P^{NAPP}}$.

2 Preliminaries

Since polynomial time Turing machines can only approximate real numbers, we need the following definition of approximate equality. Let $a, b, \epsilon \in [0, 1]$ be real numbers. We say that a and b are $\epsilon - equal$ (denoted $a \stackrel{\epsilon}{=} b$) if $d(a, b) := |a - b| \le \epsilon$.

As usual, a function $f:\{0,1\}^* \to [0,1]$, mapping strings to real numbers, is defined as a

family of functions $f = \{f_n\}_{n>0} : \{0,1\}^* \to [0,1], \text{ where } f_n : \{0,1\}^n \to [0,1].$

Definition 1 A family $f = \{f_n\}_{n \geq 0} : \{0,1\}^* \to [0,1]$ of real-valued functions is in **NAP** (for nondeterministic **AP**), if there exists a nondeterministic polynomial-time transducer M, and a polynomial p(k,n) such that, $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$\max_{y \in \{0,1\}^{p(k,n)}} M(1^k, x, y) \stackrel{\frac{1}{k}}{=} f_n(x),$$

where the max is taken over all nondeterministic choices y of M. For simplicity, we will denote $\max_{y \in \{0,1\}^{p(k,n)}}$, by \max_y , where it is implicit that y is a witness of size polynomial in k and n.

Definition 2 A family $f = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1]$ of real-valued functions is in **NAPP**, if there exists a probabilistic, nondeterministic polynomial-time transducer M, and a polynomial q(k,n) such that, $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$\Pr_{w \in \{0,1\}^{q(k,n)}}[\max_y M_w(1^k,x,y) \stackrel{\frac{1}{k}}{=} f_n(x)] \geq \frac{3}{4},$$

where the max is taken over all nondeterministic choices y of M.

For simplicity we will denote $\Pr_{w \in \{0,1\}^{q(k,n)}}$, by \Pr_w , where it is implicit that w is a random string of size polynomial in k and n.

It is not hard to see that **NP** is exactly the subset of all Boolean functions in **NAP**, and **AM** is exactly the subset of all Boolean functions in **NAPP**.

Theorem 1

- 1. NP is exactly the subset of all Boolean functions in NAP.
- 2. AM is exactly the subset of all Boolean functions in NAPP.

Proof

We prove the second statement. It is easy to see that for a language L in \mathbf{AM} , its characteristic function χ_L is in \mathbf{NAPP} . For the other direction, let $f = \{f_n\}_{n\geq 0} : \{0,1\}^* \to \{0,1\}$ be a family of Boolean functions in \mathbf{NAPP} . Then there is a probabilistic, nondeterministic transducer

M such that, $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$, $\Pr_w[\max_y M_w(1^k, x, y) \stackrel{\frac{1}{k}}{=} f_n(x)] \geq \frac{3}{4}$. Fix k = 3, thus $\Pr_w[\max_y M_w(1^k, x, y) = f_n(x)] \geq \frac{3}{4}$, and since f is Boolean, $\Pr_w[\exists y \ M_w(1^k, x, y) = f_n(x)] \geq \frac{3}{4}$, and therefore $L(M) \in \mathbf{AM}$; where L(M) denotes the language accepted by M.

To define completeness we need the following definitions of reductions. We say that f is polynomially many-one approximately reducible to g, denoted $f \lesssim_{\mathrm{m}}^{\mathrm{p}} g$, if there is a polynomial

3

family of reductions $r_{n,k}: \{1\}^k \times \{0,1\}^n \to \{0,1\}^{m(k,n)}$, for some polynomial m, such that, $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$f_n(x) \stackrel{\frac{1}{k}}{=} g_m(r_{n,k}(1^k, x)).$$

It is easy to check that **NAPP** is closed under polynomial approximate many-one reduction. There is also a Turing reduction notion for functions. Let us first give the definition of an oracle for a **NAPP** function. An oracle for a function $f \in \mathbf{NAPP}$ is queried $(1^k, x)$ and answers y, where y is a dyadic rational number of size polynomial in k, and such that $y \stackrel{1/k}{=} f(x)$. For two functions f and g in **NAPP**, f is polynomially approximately Turing reducible to g, denoted $f \lesssim_{\mathbf{T}}^{\mathbf{P}} g$, if there is a polynomial time oracle Turing machine M, with oracle access to g, such that, $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$f(x) \stackrel{1/k}{=} M^g(1^k, x).$$

In order to connect functions to languages, we need the subgraph of a real valued function. Let $f = \{f_n\}_{n>0} : \{0,1\}^* \to [0,1]$ be a real valued function. We define its subgraph by,

$$subgr(f) = \{(1^k, x, y) | y \stackrel{1/k}{\leq} f(x) \}.$$

Let us recall some definitions about \mathbf{prAM} . The following definitions are from Grollmann and Selman, see [GS88] for more details. Formally, a promise problem is a pair of predicates (Q, R), where Q is the promise, and R is the property. A Turing machine solves (Q, R) if

$$\forall x[Q(x) \to [M(x) \text{ halts } \land [M \text{ accepts } x \leftrightarrow R(x)]]].$$

A solution of (Q, R) is a language A such that,

$$\forall x[Q(x) \to A(x) = R(x)]$$

 \mathbf{prAM} is the class of all promise problems (Q, R), that have a solution in \mathbf{AM} (on instances where the promise is satisfied).

In order to define complete problems for **prBPP** we need to define many-one reductions for promise classes.

Definition 3 We say that a promise problem (Q, R) is uniformly many-one reducible in polynomial time to a promise problem (S, T), denoted $(Q, R) \leq_{\mathbf{m}}^{\mathbf{p}} (S, T)$, if there exists a partial polynomial time computable function red : $\{x \in \{0, 1\}^* | Q(x)\} \rightarrow \{0, 1\}^*$ in **FP**, such that for every solution A of (S, T), the set B defined by: B(x) = A(red(x)) is a solution of (Q, R).

In order to connect **prAM** to **NAPP** we need the following promise problem. Let f be a function in **NAPP**. Consider the following promise problem $(\mathcal{P}_f, subgr(f))$ where,

$$\mathcal{P}_f(1^k, x, y) = 1 \text{ iff } d(x, y) \le \frac{1}{2k} \text{ or } > \frac{3}{2k},$$

i.e. we promise that all queries to subgr(f) whether $y \leq f(x)$ we make, are such that the distance between y and f(x) is either very small, or rather large. For simplicity, we will denote \mathcal{P}_f , by \mathcal{P} .

3 Error probability reduction

Similarly to the case of **AM**, the error probability in Definition 2 can range from $\frac{1}{2} + \frac{1}{p(k+n)}$ to $1 - 2^{-q(k+n)}$, for any polynomials p and q.

Theorem 2 Let $f = \{f_n\}_{n \geq 0} : \{0,1\}^* \to [0,1]$ be a family of real-valued functions such that, there exists a probabilistic, nondeterministic transducer M and a polynomial p, such that $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$\Pr_{w}[\max_{y} M_{w}(1^{k}, x, y) \stackrel{\frac{1}{k}}{=} f_{n}(x)] \ge \frac{1}{2} + \frac{1}{p(k+n)},$$

then for any polynomial q, there exists a probabilistic, nondeterministic transducer N, such that $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$\Pr_{w}[\max_{y} M_{w}(1^{k}, x, y) \stackrel{\frac{1}{k}}{=} f_{n}(x)] \ge 1 - 2^{-q(k+n)}.$$

Proof

Let $x \in \{0,1\}^n$ and $k \in \mathbb{N}$ be fixed. Consider $\epsilon = \frac{1}{3k}$. Consider the following probabilistic, nondeterministic transducer N. On input $(1^k, x)$,

- 1. Choose w_1, \ldots, w_m at random.
- 2. Nondeterministically guess y_1, \ldots, y_m .
- 3. Simulate $M_{w_i}(1^{3k}, x, y_i)$ for $i = 1, \ldots, m$, denote by α_i the output of M on input $(1^{3k}, x, y_i)$, with random seed w_i .
- 4. Let $\gamma=2\epsilon$. Let us divide the interval [0,1] in $\frac{1}{\gamma}$ subintervals, of length at most γ . Let s_0,\ldots,s_l be the endpoints of those subintervals. Define

$$s = \begin{cases} \max_{1 \leq j \leq l} \{s_j | [s_j - 2\epsilon, s_j + 2\epsilon] \cap [0, 1] \text{ contains more than } \frac{m}{2} \text{ of the } \alpha_i\text{'s} \} \ (1) \\ 0 \text{ if none such } j \text{ exists} \end{cases}$$

Finally N outputs s.

For a random string w_i , denote by y_1^i, \ldots, y_t^i the nondeterministic choices for M on input $(1^{3k}, x)$, and by $\alpha_1^i, \ldots, \alpha_t^i$ the outputs of $M_{w_i}(1^{3k}, x, y_j^i)$ for $j = 1, \ldots, t$. Consider the following random variables X_i where $i = 1, \ldots, m$.

$$X_i = 1 \text{ if } \alpha_l^i \stackrel{\epsilon}{\leq} f_n(x) \text{ for } l = 1, \ldots, t, \text{ and } \exists l_0 \text{ such that, } \alpha_{l_0}^i \stackrel{\epsilon}{=} f_n(x).$$

 X_1,\ldots,X_m are independent random variables such that $\Pr[X_i=1]=p\geq \frac{1}{2}+\frac{1}{p(k+n)}$. Consider $X=\sum_{i=1}^m X_i$. We have $\mu(X)=mp$. Let $\delta=\frac{1}{p(k+n)}$, we have: $(1-\delta)\mu\geq (\frac{1}{2}+\frac{1}{3p(k+n)})$. Choosing $m\geq 4p^2(k+n)q(k+n)$, and using Chernoff bounds, we have $\Pr[X\geq (1-\delta)\mu]\geq 1-2^{-q(k+n)}$. We prove that when $X\geq (1-\delta)\mu$, N computes a value $\frac{1}{k}$ -equal to $f_n(x)$. Suppose that more than $m(\frac{1}{2}+\frac{1}{3p(k+n)})>\frac{m}{2}$ of the X_i 's are equal to 1, i.e. at the end of a computation path

 $y_{l_1}^1\dots y_{l_m}^m \text{ of } N, \text{ a majority of } \alpha_{l_1}^1\dots \alpha_{l_m}^m \text{ are in the interval } I = [f_n(x) - \epsilon; f_n(x) + \epsilon] \cap [0,1] \quad (A),$

or a majority of $\alpha_{l_1}^1 \dots \alpha_{l_m}^m$ are $\stackrel{\epsilon}{\leq} f_n(x)$ (B). Case (A): Since there exists a point $\tilde{s} = s_j$ such that $|\tilde{s} - f_n(x)| \leq \frac{\gamma}{2} = \epsilon$, the interval $[\tilde{s} - 2\epsilon; \tilde{s} + 2\epsilon] \cap [0,1]$ contains more than $\frac{m}{2}$ of $\alpha_{l_1}^1 \dots \alpha_{l_m}^m$ since it contains I as a subinterval. Hence the output of $N(1^k, x, y_{l_1}^1 \dots y_{l_m}^m)$ satisfies (1). Conversely let $\tilde{s} = s_j$ for a certain $j \in \{1, \dots, l\}$ be such that the interval $J = [y - 2\epsilon; y + 2\epsilon] \cap [0, 1]$ contains more than $\frac{m}{2}$ of $\alpha_{l_1}^1 \dots \alpha_{l_m}^m$. Then J must intersect I, otherwise I would contain less than $\frac{m}{2}$ of $\alpha_{l_1}^1 \dots \alpha_{l_m}^m$. Therefore $\tilde{s} \stackrel{3\epsilon}{=} f_n(x)$, hence $\tilde{s} \stackrel{\frac{1}{k}}{=} f_n(x)$.

Similarly, for case (B), we have that the output of $N(1^k, x, y_{l_1}^1 \dots y_{l_m}^m)$ is $\stackrel{\frac{1}{k}}{\leq} f_n(x)$. Moreover case (A) happens at least once.

A complete function 4

Similarly to the case of APP, there is a natural complete function for NAPP, under many-one approximate reduction. This is believed not to be true for AM, because of its semantic nature. Consider the following family of functions $f_{\text{NAPP}} = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1], \text{ which takes on } f_{\text{NAPP}} = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1], \text{ which takes on } f_{\text{NAPP}} = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1], \text{ which takes on } f_{\text{NAPP}} = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1], \text{ which takes on } f_{\text{NAPP}} = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1], \text{ which takes on } f_{\text{NAPP}} = \{f_n\}_{n\geq 0} : \{f_n\}_{$ input a nondeterministic circuit C, and outputs its acceptance probability, i.e. $f_{NAPP}(C) =$ $\Pr_w[C(w)=1]$, which is equal to $\Pr_w[\exists y \ C(w,y)=1]$.

The following Theorem states that the function f_{NAPP} is **NAPP**-complete.

Theorem 3 The function f_{NAPP} is **NAPP** complete, under many-one approximate reduction.

Proof

The proof is divided in two parts.

Part 1. f_{NAPP} is **NAPP**-hard.

Let $g = \{g_n\}_{n>0} : \{0,1\}^* \to [0,1]$ be any function in **NAPP**, and let M be its transducer, i.e.

$$\Pr_{w}[\max_{y} M_{w}(1^{k}, x, y) \stackrel{\frac{1}{k}}{=} g_{n}(x)] \ge 1 - 2^{-q(k+n)} \quad (1), \text{ which implies}$$

$$\Pr_{w}[\max_{y} M_{w}(1^{k}, x, y) \stackrel{\frac{1}{k}}{\leq} g_{n}(x)] \ge 1 - 2^{-q(k+n)} \quad (2).$$

Consider the following nondeterministic, probabilistic transducer \tilde{M} . On input $(1^k, x)$,

- 1. Choose w at random.
- 2. Guess y nondeterministically.
- 3. Compute α_y the output of $M_w(1^{2k}, x, y)$.
- 4. Output 1 with probability α_y , and 0 with probability $1 \alpha_y$.

By encoding the transducer \tilde{M} into a Boolean circuit, we obtain the following nondeterministic circuit $C = C_{k,x}$, where $C(w,y) = \tilde{M}_w(1^k,x,y)$. Thus,

$$\Pr_{w}[C(w) = 1] = \Pr_{w}[\max_{y} C(w, y) = 1] = \operatorname{E}_{w}[\max_{y} M_{w}(1^{2k}, x, y)] \stackrel{\frac{1}{k}}{=} g_{n}(x).$$

Part 2. $f_{\text{NAPP}} \in \mathbf{NAPP}$.

Consider the following probabilistic, nondeterministic transducer M for f_{NAPP} . Input $(1^k, C)$, where C is a nondeterministic circuit.

- 1. Choose w_1, \ldots, w_m at random.
- 2. Nondeterministically guess y_1, \ldots, y_m .
- 3. Compute α_i , where $\alpha_i = C(w_i, y_i)$, for $i = 1, 2, \ldots, m$.
- 4. Output the probability $\frac{1}{m} \sum_{i=0}^{m} \alpha_i$.

Let $p = \Pr_w[\exists y \ C(w, y) = 1]$. Consider the following random variables X_i for i = 1, 2, ..., m.

$$X_i = \begin{cases} 1 & \text{if } w_i \in A \\ 0 & \text{if } w_i \in R \end{cases}$$

Where $A = \{w | \exists y \ C(w, y) = 1\}$ and $R = \{w | \forall y \ C(w, y) = 0\}.$

We have $\Pr[X_i = 1] = p$. By letting $X = \sum_{i=1}^m X_i$, we have $\mu = \mathrm{E}(X) = mp$. By using Chernoff bounds, we get:

$$\Pr_{w_1,\dots,w_m}[X < (1 - \frac{1}{k})p] \le 2^{O(\frac{m}{k^2})}, \text{ and }$$

 $\Pr_{w_1,\dots,w_m}[X > (1 + \frac{1}{k})p] \le 2^{O(\frac{m}{k^2})}.$

We prove that M computes f_{NAPP} correctly when $(1 - \frac{1}{k})p \leq X \leq (1 + \frac{1}{k})p$.

Therefore suppose 4 holds. Without loss of generality, we can suppose w_1, \ldots, w_t are in A, and w_{t+1}, \ldots, w_m are in R. Let $\bar{y}_1, \ldots, \bar{y}_t$ be witnesses for w_1, \ldots, w_t , and let $\bar{y}_{t+1}, \ldots, \bar{y}_m$ be any nondeterministic choices. We call $\bar{y}_1, \ldots, \bar{y}_m$ a good path. We show that the value computed on any path of M is $\frac{1}{k}$ -smaller than the value computed on a good path. But this is clear: let y_1, \ldots, y_m be any path of M, so we have that $C(w_i, \bar{y}_i) \geq C(w_i, y_i)$ for $i = 1, \ldots, m$. Moreover, when 4 holds, there exists at least one good path for M. This ends the proof.

5 Random co-nondeterministic functions

We define a co-nondeterministic version of **APP**, which contains **BPcoNP**.

We say that a family $f = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1]$ of real-valued functions is in **coNAPP**, if there exists a probabilistic, nondeterministic polynomial-time transducer M such that, $\forall k, n \in \mathbb{N}, \forall x \in \{0,1\}^n$,

$$\Pr_{w}[\min_{y} M_{w}(1^{k}, x, y) \stackrel{\frac{1}{k}}{=} f_{n}(x)] \ge \frac{3}{4},$$

where the min is taken over all nondeterministic choices y of M.

All the results that hold for **NAPP** also hold for **coNAPP**. More precisely:

Theorem 4 BPcoNP is exactly the subset of all Boolean functions in coNAPP.

The proof is similar to that of Theorem 1.

Error probability can be reduced exponentially for **coNAPP** functions, and Theorem 2 holds for **coNAPP**.

For the complete function for **coNAPP**, things change slightly. Consider the following family of functions $f_{\mathbf{coNAPP}} = \{f_n\}_{n\geq 0} : \{0,1\}^* \to [0,1]$, which takes on input a co-nondeterministic circuit C, and outputs its acceptance probability, i.e. $f_{\mathbf{coNAPP}}(C) = \Pr_w[C(w) = 1]$, which is equal to $\Pr_w[\forall y \ C(w,y) = 1]$.

Again the function f_{coNAPP} is coNAPP-complete.

Theorem 5 The function f_{coNAPP} is coNAPP-complete, under many-one approximate reduction.

The proof is similar to that of Theorem 3. The complete functions f_{NAPP} and f_{coNAPP} are related by the following formula.

Lemma 1 Let C be a nondeterministic circuit, and let C' be the nondeterministic circuit computing $\neg C$. Then,

$$f_{\text{coNAPP}}(C) = 1 - f_{\text{NAPP}}(C').$$

Proof

 $f_{\text{coNAPP}}(C) = \Pr_w[\forall y C(w,y) = 1] = 1 - \Pr_w[\exists y C(w,y) = 0] = 1 - \Pr_w[\exists y \neg C(w,y) = 1] = 1 - f_{\text{NAPP}}(C')$

6 A mapping between promise-AM and NAPP

The following result states that the problem of computing the subgraph of the **NAPP**-complete function f_{NAPP} , together with a promise on the distance between the querries and the value of the function, is **prAM**-complete. This establishes a connection between random nondeterministic real functions and **prAM**.

Theorem 6 $(\mathcal{P}, subgr(f_{NAPP}))$ is \mathbf{prAM} -complete under \leq_{m}^{p} reduction.

Proof

i) $(\mathcal{P}, subgr(f_{\text{NAPP}})) \in \mathbf{prAM}$

Consider $f_{\text{NAPP}} \in \mathbf{NAPP}$ and let M be a probabilistic nondeterministic polynomial time transducer witnessing this fact. We construct a probabilistic nondeterministic polynomial Turing machine N, solving $(\mathcal{P}, subgr(f_{\text{NAPP}}))$. On input $(1^k, x, z)$, (N has to determine whether $z \leq f_{\text{NAPP}}(x)$),

- 1. Choose w at random.
- 2. Nondeterministically guess y.
- 3. Simulate $M_w(1^{2k}, x, y)$; denote its output by \tilde{z} .
- 4. Accept iff $z \stackrel{1/k}{\leq} \tilde{z}$.

It is clear that first N has an \mathbf{AM} -like behaviour inside the promise. Second it is clear that N decides $subgr(f_{\mathrm{NAPP}})$ correctly inside the promise; indeed by observing Figure 1 we see that wherever y and \tilde{y} are in the interval $[f_{\mathrm{NAPP}}(x) - \frac{1}{2k}, f_{\mathrm{NAPP}}(x) + \frac{1}{2k}]$, N accepts $(1^k, x, y)$ inside the interval $[f_{\mathrm{NAPP}}(x) - \frac{1}{2k}, f_{\mathrm{NAPP}}(x) + \frac{1}{2k}]$, with high probability, and rejects $(1^k, x, y)$ outside the interval $[f_{\mathrm{NAPP}}(x) - \frac{3}{2k}, f_{\mathrm{NAPP}}(x) + \frac{3}{2k}]$, with high probability.

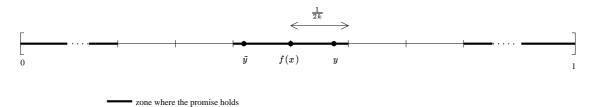


Figure 1: The interval [0,1]

ii) $(\mathcal{P}, subgr(f_{NAPP}))$ is **prAM**-hard under \leq_m^p reduction.

Let $(Q, R) \in \mathbf{prAM}$ be any promise problem, and let M be a probabilistic nondeterministic Turing machine solving it. We construct a polynomial time reduction $r : \{0, 1\}^* \to \{0, 1\}^*$. Let $x \in \{0, 1\}^n$. Let C be the nondeterministic circuit that on input (w, y) computes $M_w(x, y)$. Define $r(x) = (1^{10}, C, 0.5)$. It is easy to see that r is a polynomial time many-one reduction from (Q, R) to $(\mathcal{P}, subgr(f_{NAPP}))$.

The proof of Theorem 6 can be applied to any function $f \in \mathbf{prAM}$, thus yielding the following result.

Theorem 7 Let f be in NAPP. Then $(\mathcal{P}, subgr(f)) \in \mathbf{prAM}$.

Theorem 6 gives a mapping Ψ from **NAPP** to **prAM**, associating to each real-valued function in **NAPP** a promise problem in **prAM**, and preserving completeness, i.e. mapping complete function onto complete promise problems (see Theorem 9). The following result gives an inverse for Ψ .

Theorem 8 Let $f: \{0,1\}^* \to [0,1]$ be a real valued function, such that $(\mathcal{P}, subgr(f)) \in \mathbf{prAM}$. Then f is in **NAPP**.

Proof

By hypothesis, there is a solution A which decides subgr(f) correctly inside the promise, moreover $A \in \mathbf{prAM}$ inside the promise, i.e. whenever $d(x, f(x)) \leq \frac{1}{2k}$ or $> \frac{3}{2k}$. Let N be a probabilistic nondeterministic Turing machine deciding A. We construct the following probabilistic nondeterministic polynomial time transducer M for f. On input: $(1^k, x)$,

- Divide the interval [0,1] into $\frac{3k}{2}$ subintervals of size at most $\frac{2}{3k}$. Denote by y_0,\ldots,y_t the endpoints.
- Nondeterministically guess the largest y_i (denoted by y_{i_0}) such that $(1^{\frac{3k}{2}}, x, y_i) \in A$.
- Output y_{i_0} .

Suppose $f(x) \in [y_{i_0}, y_{i_0+1}]$. Wlog $d(f(x), y_{i_0}) \leq \frac{1}{2} \cdot \frac{2}{3k} = \frac{1}{3k}$. Thanks to the promise, A is correct on $(1^{\frac{3k}{2}}, x, y_{i_0})$, and therefore at least one nondeterministic branch of M outputs the value y_{i_0} , with high probability, and $d(y_{i_0}, f(x)) \leq \frac{1}{k}$. Let us prove that with high probability, the bigest value over all nondeterministic branches of M, is $\leq f(x)$. Thanks to the promise, A's error zone is smaller than $\frac{3}{2} \cdot \frac{2}{3k} = \frac{1}{k}$. Therefore any falsely accepted input $((1^{\frac{3k}{2}}, x, y_i))$ of A, must satisfy $d(f(x), y_i) \leq \frac{1}{k}$. Therefore the largest value over all nondeterministic branches of M, is $\leq f(x)$, with high probability.

Let us construct two mappings between **NAPP** and **prAM**. Consider the following two mappings

$$\Psi: \left\{ \begin{array}{l} \mathbf{NAPP} \to \mathbf{prAM} \\ f \mapsto (\mathcal{P}, subgr(f)) \end{array} \right. \quad \Phi: \left\{ \begin{array}{l} \mathbf{prAM} \to \mathbf{NAPP} \\ (Q, R) \mapsto f_{Q, R} \end{array} \right.$$

Where $f_{Q,R}$ is defined as follows. Let $\{M_i\}_{i\geq 1}$ be an enumeration of all probabilistic nondeterministic Turing machines solving (Q,R). Let M' be the first (in lexicographical order). We define $f_{Q,R}(x) = \Pr_w[M_w'(x) = 1]$ The following result states that the two mappings Φ and Ψ preserve completeness.

Theorem 9 Ψ maps every $\mathbf{NAPP} \lessapprox_{m}^{p}$ -complete function f to a $\mathbf{prAM} \le_{m}^{p}$ -complete problem $(\mathcal{P}, subgr(f))$, and Φ maps every $\mathbf{prAM} \le_{m}^{p}$ -complete problem (Q, R) to a $\mathbf{NAPP} \lessapprox_{T}^{p}$ -complete function $f_{Q,R}$.

Proof

For Ψ the result immediately follows from Theorem 6. The Proof for Φ follows.

First we prove that Φ maps $(\mathcal{P}, subgr(f_{\text{NAPP}}))$ to a **NAPP** $\lessapprox_{\text{T}}^{\text{p}}$ -complete function. Consider $h = \Phi(\mathcal{P}, subgr(f_{\text{NAPP}}))$. Let M be the first (in lexicographical order) probabilistic Turing machine solving $(\mathcal{P}, subgr(f_{\text{NAPP}}))$. We have $h(1^k, x, y) = \Pr[M_w(1^k, x, y) = 1]$.

Claim: h is **NAPP** $\underset{\sim}{\lesssim}_{T}^{p}$ -complete.

Proof (of Claim). Let $g \in \mathbf{NAPP}$ be any real-valued function, and let N be a probabilistic polynomial Turing machine witnessing this fact. We construct a deterministic polynomial time oracle Turing machine K, such that K^h computes g. Here is a description of K^h on input $(1^k, x)$.

Let $red: \{0,1\}^* \to \{0,1\}^*$ be a reduction in **FP** such that $g(x) \stackrel{\frac{1}{2k}}{=} f_{\text{NAPP}}(red(x))$

- Divide the interval [0,1] into subintervals of size at most $\frac{1}{3k}$. Denote y_0, y_1, \ldots, y_t the endpoints of those subintervals.
- For $i=0,1,\ldots,t$, querry $h(1^{3k},red(x),y_i)$ with precision $\frac{1}{10}$. Output the largest y_i satisfying

 $h(1^{3k}, red(x), y_i) \ge \frac{3}{4} - \frac{1}{10}$ (1).

Let's prove the correctness of K^h . First we show that there is a y_i satisfying (1). Indeed we can suppose wlog that $f_{\text{NAPP}}(red(x)) \in [y_j, y_{j+1}]$. Therefore wlog $d(f_{\text{NAPP}}(red(x)), y_j) \leq \frac{1}{6k}$. But thanks to the promise, we know that M decides $(1^{3k}, red(x), y_j)$ correctly if $d(f_{\text{NAPP}}(red(x)), y_j) \leq \frac{1}{6k}$. $\frac{1}{2} \cdot \frac{1}{3k}$, which is true. Second we prove that the largest y_i satisfying (1) is such that $y_i \stackrel{1/\kappa}{\leq} g(x)$.

Thanks to the promise, the error zone is smaller than $\frac{3}{2} \cdot \frac{1}{3k} = \frac{1}{2k}$. Therefore the largest y_i that K^h might output is y_{j+2} , which is still correct, since $d(f_{NAPP}(red(x)), y_{j+2}) \leq \frac{1}{2k}$, which guarantees $d(g(x), y_{j+2}) \leq \frac{1}{k}$.

Second we prove that Φ preserves completeness. So let (S,T) be any **prAM**-complete language. Therefore let red_2 be a reduction from $(\mathcal{P}, subgr(f_{\text{NAPP}}))$ to (S, T). Let N be the first (in lexicographical order) probabilistic polynomial Turing machine that solves (S, T). The following probabilistic polynomial Turing machine M solves $(\mathcal{P}, subgr(f_{\text{NAPP}}))$. M on input x computes and outputs $N(red_2(x))$. The end of the proof is similar to the first case.

Querries to prAM can be answered with oracle access to 7 **NAPP**

Let us prove that for deterministic polynomial time computations, oracle access to **prAM** is the same as oracle access to **NAPP**, **coNAPP** or **prBPcoNP**.

Theorem 10 $P^{prAM} = P^{NAPP} = P^{coNAPP} = P^{prBPcoNP}$.

Proof

First we prove the \supseteq inclusion (for the first equality). Let L be any language in $\mathbf{P^{NAPP}}$, and let M be a deterministic polynomial time oracle machine, with oracle access to the complete function f_{NAPP} , deciding L. We construct a deterministic polynomial time oracle machine N, with oracle access to $(\mathcal{P}, subgr(f_{\text{NAPP}}))$, deciding L. On input x, $N^{(\mathcal{P}, subgr(f_{\text{NAPP}}))}$ simulates $M^{f_{\text{NAPP}}}(x)$. Suppose that during its computation, $M^{f_{\text{NAPP}}}$ querries the string $(1^k, C)$ to its oracle (i.e. asking $f_{\text{NAPP}}(C) \stackrel{1/k}{=} ?$). Then divide the interval [0,1] into subintervals of size at most $\frac{2}{3k}$. Denote by y_0, y_1, \ldots, y_t the endpoints of those subintervals. Querry the oracle whether $(1^{\frac{3k}{2}}, C, y_i) \in (\mathcal{P}, subgr(f_{\text{NAPP}}))$, for $i = 0, 1, \ldots, t$. Denote by y_{t_0} the largest y_i accepted by the oracle. Answer $M^{f_{\text{NAPP}}}$'s querry $(1^k, C)$ with y_{t_0} . This ends the description of N on input x. Let us prove that N answers M's querries correctly. Suppose $f_{\text{NAPP}}(C) \in [y_j, y_{j+1}]$ for a certain j. Wlog we have $d(y_j, f_{\text{NAPP}}(C)) \leq \frac{1}{3k}$. Therefore y_j will be accepted by N's oracle. Moreover suppose y_{t_0} is the largest y_i accepted by N's oracle. The promise \mathcal{P} gurantess that $d(y_{t_0}, f_{\text{NAPP}}(C)) \leq \frac{3}{3k} \cdot \frac{2}{3k} = \frac{1}{k}$.

 $d(y_{t_0}, f_{\text{NAPP}}(C)) \leq \frac{3}{2} \cdot \frac{2}{3k} = \frac{1}{k}$. Second we prove the \subseteq inclusion. Let L be any language in $\mathbf{P^{prAM}}$, and let M be a deterministic polynomial time oracle machine, with oracle access to \mathbf{prAM} , deciding it. We construct a deterministic polynomial time oracle machine N, with oracle access to the complete function f_{NAPP} , deciding L. On input x, $N^{f_{\text{NAPP}}}$ simulates $M^{\mathbf{prAM}}(x)$. Suppose $M^{\mathbf{prAM}}$ querries wether $z \in (Q, R)$ to its oracle, where $(Q, R) \in \mathbf{prAM}$. Since $(Q, R) \in \mathbf{prAM}$, let K be a probabilistic nondeterministic polynomial Turing machine witnessing it. N constructs a nondeterministic circuit C that computes K on input x (i.e. $C(w, y) = K_w(x, y)$). Next, N asks its oracle for f_{NAPP} , the value of $f_{\text{NAPP}}(C)$, with precision $\frac{1}{10}$. Finally N answers M's querry x with "yes" iff $f_{\text{NAPP}}(C) \geq \frac{3}{4} - \frac{1}{10}$. This ends the description of N on input x. It is clear that N answers M's querries correctly inside the corresponding promises.

The second equality follows from Lemma 1. The last holds because $\mathbf{P}^{\mathbf{prAM}} = \mathbf{P}^{\mathbf{prBPcoNP}}$.

References

- [BDG90] J. L. Balcazar, J. Diaz, and J. Gabarro. Structural Complexity II. EATCS Monographs on Theorical Computer Science Volume 22, Springer Verlag, 1990.
- [BDG95] J. L. Balcazar, J. Diaz, and J. Gabarro. Structural Complexity I. EATCS Monographs on Theorical Computer Science Volume 11, Springer Verlag, 1995.
- [GS88] J. Grollmann and A. L. Selman. Complexity measures for public-key cryptosystems. Siam Journal on Computing, 17(2):309–335, April 1988.
- [KF82] K. Ko and H. Friedman. Computational complexity of real functions. *Theorical Computer Science*, pages 20:323–352, 1982.
- [Ko91] K. Ko. Complexity Theory of Real functions. Birkhäuser, Boston, 1991.
- [KRC00] V. Kabanets, C. Rackoff, and S. A. Cook. Efficiently approximable real-valued functions. Technical Report 00-034, Electronic Colloquium on Computational Complexity, April 2000.
- [Mos01] P. Moser. P(APP) = P(prBPP). Technical Report 01-068, Electronic Colloquium on Computational Complexity, October 2001.

 $[{\rm MR95}]$ R. Motwani and P. Raghavan. Randomized Algorithms. Cambridge University Press, New York, 1995.