

# Approximating Huffman Codes in Parallel

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## Abstract

In this paper we present some new results on the approximate parallel construction of Huffman codes. Our algorithm achieves linear work and logarithmic time, provided that the initial set of elements is sorted. This is the first parallel algorithm for that problem with the optimal time and work.

Combining our approach with the best known parallel sorting algorithms we can construct an almost optimal Huffman tree with optimal time and work. This also leads to the first parallel algorithm that constructs exact Huffman codes with maximum codeword length  $H$  in time  $O(H)$  and with  $n$  processors. This represents a useful improvement since most practical situations satisfy  $H = O(\log n)$ .

## 1 Introduction

A Huffman code for an alphabet  $a_1, a_2, \dots, a_n$  with weights  $p_1, p_2, \dots, p_n$  is a prefix code that minimizes the average codeword length, defined as  $\sum_{i=1}^n p_i l_i$ . The problem of construction of Huffman codes is closely related to the construction of Huffman trees (cf., e.g., [H51], [vL76]).

A problem of constructing a binary Huffman tree for a sequence  $\bar{w} = w_1, w_2, \dots, w_n$  consists in constructing a binary tree  $T$  with leaves, corresponding to the elements of the sequence, so that the *weighted path length* of

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$T$  is *minimal*. The weighted path length of  $T$ ,  $wpl(T)$  is defined as follows:

$$wpl(T, \bar{w}) = \sum_{i=1}^n w_i l_i$$

where  $l_i$  is a depth of the leaf corresponding to the element  $w_i$ .

The classical sequential algorithm, described by Huffman ([H51]) can be implemented in  $O(n \log n)$  time. Van Leeuwen has shown that if elements are sorted according to their weight, a Huffman code can be constructed in  $O(n)$  time (see [vL76]). However, no optimal parallel algorithm is known. Teng [T87] has shown that construction of a Huffman code is in a class  $NC$ . His algorithm, uses the parallel dynamic programming method of Miller et al. [MR85] and works in  $O(\log^2 n)$  time on  $n^6$  processors. Attalah et al. have proposed an  $n^2$  processor algorithm, working in  $O(\log^2 n)$  time. This algorithm is based on the multiplication of concave matrices. The fastest  $n$ -processor algorithm is due to Larmore and Przytycka [LP95]. Their algorithm, based on reduction of Huffman tree construction problem to the *concave least weight subsequence* problem runs in  $O(\sqrt{n} \log n)$  time.

Kirkpatrick and Przytycka [KP96] introduce an approximate problem of constructing, so called, almost optimal codes, i.e. the problem of finding a tree  $T'$  that is related to the Huffman tree  $T$  according to the formula  $wpl(T') \leq wpl(T) + n^{-k}$  for a fixed error parameter  $k$  (assuming  $\sum p_i = 1$ ). We call  $n^{-k}$  an error factor. In practical situations the nearly optimal codes, corresponding to nearly optimal trees, are as useful as the Huffman codes, because compressing a file of polynomial size with an approximate Huffman code leads to the compression losses limited only by a constant. Kirkpatrick and Przytycka [KP96] propose several algorithms for that problem. In particular, they present an algorithm that works in  $O(k \log n \log^* n)$  time and with  $n$  processors on a CREW PRAM and an  $O(k^2 \log n)$  time algorithm that works with  $n^2$  processors on a CREW PRAM.

The problems considered in this paper were also partially motivated by a work of one of the authors on decoding the Huffman codes [N00b], [N00a].

In this paper we improve the before mentioned results by presenting an algorithm that works in  $O(k \log n)$  time and with  $n$  processors. As we will see in the next section the crucial step in computing a nearly optimal tree is merging two sorted arrays and this operation is repeated  $O(\log n^k)$  times. We have developed a method for performing such a merging in a constant time.

We also further improve this result and design an algorithm that constructs almost-optimal codes in time  $O(\log n)$  and with  $n/\log n$  processors,

provided that elements are sorted. This results in an optimal speed-up of the algorithm of van Leeuwen [vL76]. Our algorithm works deterministically on a CREW PRAM and is the first parallel algorithm for that problem with the optimal time and work. Combining that algorithm with parallel radix sort algorithms we construct an optimal-work probabilistic algorithm that works in expected logarithmic time. We construct also a deterministic algorithm that works on a CRCW PRAM in  $O(k \log n)$  time and with  $n \log \log n / \log n$  processors.

The above described approach also leads to an algorithm for constructing exact Huffman trees that works in  $O(H)$  time and with  $n$  processors, for  $H$  the height of Huffman tree. This is also an improvement of the algorithm of Larmore and Przytycka for the case when  $H = o(\sqrt{n} \log n)$ . We observe that in the most practical applications height of the Huffman tree is  $O(\log n)$ .

## 2 A Basic Construction Scheme

Our algorithm uses the following *tree* data structure. A single element is a tree, and if  $t_1$  and  $t_2$  are two trees, then  $t = \text{meld}(t_1, t_2)$  is also a tree, so that  $\text{weight}(t) = \text{weight}(t_1) + \text{weight}(t_2)$ . Initial elements will be called leaves.

In a classical Huffman algorithm the set of trees is initialized with the set of weights. Then one melds consecutively two smallest elements in the set of trees until only one tree is left. This tree can be proven to be optimal.

Kirkpatrick and Przytycka [KP96] presented a scheme for parallelization of a Huffman algorithm. The set of element weights  $p_1, p_2, \dots, p_n$  is partitioned into sorted arrays  $W_1, \dots, W_m$ , such that elements of array  $W_i$  satisfy the condition  $1/2^i \leq p < 1/2^{i-1}$ . In this paper we view (sorted) arrays as an abstract data type with the following operations: extracting of subarray  $A[a, b]$ , measuring the array length,  $l(A)$ , and merging two sorted arrays,  $\text{merge}(A, B)$ . The result of operation  $\text{merge}(A, B)$  is a sorted array  $C$  which consists of elements of  $A$  and  $B$ . If we use  $n$  processors, then each entry of our sorted array has an associated processor.

Since in the Huffman algorithm lightest elements are processed first and sum of any two elements in a class  $W_i$  is less than sum of any two elements in a class  $W_j, j < i$ , elements of the same class can be melded in parallel before the elements of classes with smaller indices are processed. The scheme for the parallelization is shown on Figure 1. We refer the reader to [KP96] for a more detailed description of this algorithm.

Because the total number of iterations of algorithm **Oblivious-Huffman**

**Algorithm Oblivious-Huffman**

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1: for  $i := m$  downto 1 do
2:   if  $l(W_i) = 1$ 
3:      $W_{i-1} := merge(W_i, W_{i-1})$ 
4:   else
5:      $t := meld(W_i[1], W_i[2])$ 
6:      $W_i := merge(t, W_i[3, l(W_i)])$ 
7:      $a := l(W_i)$ 
8:      $b := \lfloor a/2 \rfloor$ 
9:     for  $i := 1$  to  $b$  pardo
10:       $W_i[i] := meld(W_i[2i-1], W_i[2i])$ 
11:       $W_i := merge(W_i(1, b), W_i[2b+1, a])$ 
12:       $W_{i-1} := merge(W_{i-1}, W_i)$ 

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Figure 1: Huffman tree construction scheme

equals to the number of classes  $W_i$  and the number of classes is linear in the worst case, this approach does not lead to any improvements, if we want to construct an exact Huffman tree.

Kirkpatrick and Przytycka [KP96] also describe an approximation algorithm, based on **Oblivious-Huffman**. In this paper we convert **Oblivious-Huffman** into an approximation algorithm in a different way. We replace each weight  $p_i$  with  $p_i^{new} = \lceil p_i n^k \rceil n^{-k}$ . Let  $T^*$  denote an optimal tree for weights  $p_1, \dots, p_i$ . Since  $p_i^{new} < p_i + n^{-k}$ ,

$$\sum p_i^{new} l_i < \sum p_i l_i + \sum n^{-k} l_i < \sum p_i l_i + n^2 n^{-k}$$

because all  $l_i$  are smaller than  $n$ . Hence  $wpl(T^*, \bar{p}^{new}) < wpl(T, \bar{p}) + n^{-k+2}$ . Let  $T_A$  denote the (optimal) Huffman tree for weights  $p_i^{new}$ . Then

$$wpl(T_A, \bar{p}) < wpl(T_A, \bar{p}^{new}) \leq wpl(T^*, \bar{p}^{new}) < wpl(T^*, \bar{p}) + n^{-k+2}$$

Therefore we can construct an optimal tree for weights  $p_i^{new}$ , than replace  $p_i^{new}$  with  $p_i$  and the resulting tree will have an error of at most  $n^{-k+2}$ .

If we apply algorithm **Oblivious-Huffman** to the new set of weights, then the number of iterations of this algorithm will be  $\lceil k \log_2 n \rceil$ , since new

elements will be divided into at most  $\lceil k \log_2 n \rceil$  arrays. An additional benefit is that we will use registers with polynomially bounded values. Note that in [KP96] PRAM with an unbounded register capacity was used. That advantage of our algorithm will be further exploited in section 4.

### 3 An $O(k \log n)$ Time Algorithm

In this section we describe an  $O(k \log n)$  time  $n$ -processor algorithm that works on CREW PRAM.

Algorithm **Oblivious-Huffman** performs  $k \log n$  iterations and in each iteration only the merge operations are difficult to implement in a constant time. All other operations can be performed in a constant time. We will use the following simple fact, described in [V75]:

**Proposition 1** *If array  $A$  has a constant number of elements and array  $B$  has at most  $n$  elements, then arrays  $A$  and  $B$  can be merged in a constant time and with  $n$  processors.*

*Proof:* Let  $C = \text{merge}(A, B)$ . We assign a processor to every possible pair  $A[i], B[j]$ ,  $i = 1, \dots, c$  and  $B = 1, \dots, n$ . If  $A[i] < B[j] < A[i+1]$ , then  $B[j]$  will be the  $i+j$ -th element in array  $C$ . Also if  $B[j] < A[i] < B[j+1]$ , then  $A[i]$  will be the  $i+j$ -th element in array  $C$ .  $\square$

Proposition 1 allows to implement operation  $\text{merge}(W_i(1, b), W_i[2b+1, a])$  (line 11 of Figure 1) in a constant time.

Operation  $\text{merge}(W_{i-1}, W_i)$  is the slowest one, because array  $W_i$  can have linear size and merging two arrays of size  $n$  requires  $\log \log n$  operations in general case (see [V75]). In this paper we propose a method, that allows us to perform every merge of **Oblivious-Huffman** in a constant time. The key to our method is that at the time of merging, all elements in both arrays know their predecessors in other array, and can thus compute their positions in a resulting array in a constant time. A merging operation itself is performed without comparisons. Comparisons will be used for the initial computation of predecessors and to update predecessors after each merge and meld operation.

We say that element  $e$  is of rank  $k$ , if  $e \in W_k$ . A relative weight  $r(p)$  of an element  $p$  of rank  $k$  is  $r(p) = p \cdot 2^k$ . We will denote by  $r(i, c)$  a relative weight of the  $c$ -th element in array  $W_i$ ,  $w[e]$  will denote the weight of element  $e$ , and  $\text{pos}[e]$  will denote the position of an element  $e$  in its array  $W_i$ , so that  $W_i[\text{pos}[e]] = e$ . To make description more convenient we say that in every array  $W_k$   $W_k[0] = 0$  and  $W_k[l(W_k)+1] = +\infty$ . At the beginning we construct

a list  $R$  of all elements, sorted according to their relative weight. We observe that elements of the same class  $W_k$  will appear in  $R$  in a non-decreasing order of their weight. We assume that whenever  $e \neq e'$ ,  $r(e) \neq r(e')$ . Besides that, if leaf  $e$  and tree  $t$  are of a rank  $k$  and  $t$  is the result of melding two elements  $t_1$  and  $t_2$  of rank  $k+1$ , such that  $r(t_1) > r(e)$  and  $r(t_2) > r(e)$  ( $r(t_1) < r(e)$  and  $r(t_2) < r(e)$ ) then a weight of  $t$  is bigger (smaller) than a weight of  $e$ .

We also compute for every leaf  $e$  and every class  $i$  the value of  $pred(e, i) = W_i[j]$ , s.t.  $r(i, j) < r(e) < r(i, j+1)$ . In other words,  $pred(e, i)$  is the biggest element in class  $i$ , whose relative weight is smaller than or equal than  $r(e)$ . To find values of  $pred(e, j)$  for some  $j$  we compute an array  $C^j$  with elements corresponding to all leaves, such that  $C^j[i] = 1$  if  $R[i] \in W_j$  and  $C^j[i] = 0$  otherwise and compute prefix sums for elements of  $C^j$ . A prefix sum for any class  $k$  can be computed on an arithmetic circuit in linear depth and logarithmic time (see [B97]). In our case we have to solve  $d = O(\log n)$  instances of prefix sum problems. Since the total work for every single instance is linear we can pipeline all instances in such a way that all problems are solved in  $O(d + \log n) = O(\log n)$  time and with  $n$  processors. Thus we can iterate  $j = 1, \dots, k \log n$ , and for each value of  $j$  compute  $C^j$ , and send its content to the prefix sum circuit.

We use an algorithm from Figure 2 to update values of  $pred(e, i)$  for all  $e \in W_{i-1}, \dots, W_1$  and values of  $pred(e, t)$  for all  $e \in W_i$  and  $t = i-1, \dots, 1$  after melding of elements from  $W_i$ .

First we store the tentative new value of  $pred(e, i)$  for all  $e \in W_{i-1}, \dots, W_1$  in array *temp* (lines 1-3 of Figure 2). The values stored in *temp* differ from the correct values by at most 1.

Next we meld the elements and change the values of  $w[s]$  and  $pos[s]$  for all  $s \in W_i$  (lines 4-8 of Figure 2).

Finally we check whether the values of  $pred(s, i)$  for  $s \in W_1 \cup W_2 \cup \dots \cup W_{i-1}$  are the correct ones. In order to achieve this we compare the relative weight of the tentative predecessor with the relative weight of  $s$ . If the relative weight of  $s$  is smaller,  $pred(s, i)$  is assigned to the previous element of  $W_i$ . (lines 10-14 of Figure 2). In lines 15 and 16 we check whether the predecessors of elements in  $W_i$  have changed.

If a number of elements in  $W_i$  is odd then the last element of  $W_i$  must be inserted into  $W_i$  (line 11 of Figure 1). Using Statement 1 we can perform this operation in a constant time. We can also correct values of  $pred(e, i)$  in a constant time and with linear number of processors.

When the elements of  $W_i$  are melded and predecessor values  $pred(e, i)$  are recomputed  $pos[pred(W_i[j], i-1)]$  equals to the number of elements in  $W_{i-1}$  that are smaller than or equal to  $W_i[j]$ . Analogically  $pos[pred(W_{i-1}[j], i)]$

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1:   for  $a < i, b \leq l(W_a)$  pardo
2:      $s := W_a[b]$ 
3:      $temp[s] := \lceil pos[pred(s, i)]/2 \rceil$ 

4:   for  $c \leq l(W_i)/2$  pardo
5:      $s := meld(W_i[2c - 1], W_i[2c])$ 
6:      $w[s] := w[W_i[2c - 1]] + w[W_i[2c]]$ 
7:      $pos[s] := c$ 
8:      $W_i[c] := s$ 

9:   for  $a < i, b \leq l(W_a)$  pardo
10:     $s := W_a[b]$ 
11:     $c := temp[s]$ 
12:    if  $r(i, c) > r(a, b)$ 
13:       $c := c - 1$ 
14:      if  $r(a, b + 1) > r(i, c + 1)$ 
15:         $pred(W_i[c + 1], a) := s$ 
16:         $pred(s, i) := W_i[c]$ 

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Figure 2: Melding operation

equals to the number of elements in  $W_i$  that are smaller than or equal to  $W_{i-1}[j]$ . Therefore indices of all elements in the merged array can be computed in a constant time.

After melding of elements from  $W_i$  every element of  $W_{i-1} \cup W_{i-2} \cup \dots \cup W_1$  has two predecessors of rank  $i - 1$ . We can find the new predecessor of element  $e$  by comparing  $pred(e, i)$  and  $pred(e, i - 1)$ . The pseudocode description of an operation  $merge(W_{i-1}, W_i)$  (line 12 of Figure 1) is shown on Figure 3.

Since all operations of the algorithm **Oblivious-Huffman** can be implemented to work in a constant time, each iteration takes only a constant time. Therefore we have

**Theorem 1** *An almost optimal tree with error factor  $1/n^k$  can be constructed in  $O(k \log n)$  time and with  $n$  processors on a CREW PRAM.*

The algorithm described in the previous section can also be applied to

do simultaneously:	
1: for $j \leq l(W_{i-1})$ pardo 2: $t := W_{i-1}[j]$ 3: $k := pos[pred(t, i)]$ 4: $pos[t] := j + k$ 5: $W_i[j + k] := t$	for $j \leq l(W_i)$ pardo $t := W_i[j]$ $k := pos[pred(t, i - 1)]$ $pos[t] := j + k$ $W_i[j + k] := t$
6: for $a < i, b \leq l(W_a)$ pardo 7: $s := W_a[b]$ 8:     if ( $w[pred(s, i - 1)] > w[pred(s, i)]$ ) 9: $pred(s, i) := pred(s, i - 1)$	

Figure 3: Operation  $merge(W_i, W_{i-1})$

the case of exact Huffman trees. The difference is that in case of exact Huffman trees weights of elements are unbounded and number of classes  $W_i$  is  $O(n)$  in the worst case. However, it is easy to see that number of classes  $W_i$  does not exceed  $H + 2$  where  $H$  is the height of the resulting Huffman tree. We can sort elements and distribute them into classes in time  $O(\log n)$  with  $n$  processors. We can then compute values of  $pred$  for classes  $H, H - 1, \dots, H - \log n$  and perform first  $\log n$  iterations of **Oblivious-Huffman** in time  $O(\log n)$ . Then, we compute values of  $pred$  for the classes  $H - \log n, H - \log n - 1, \dots, H - 2 \log n$  and perform the next  $\log n$  iterations of the basic algorithm. Proceeding in the same manner we can perform  $H$  iterations in  $O(H)$  time.

## 4 An $O(kn)$ Work Algorithm

In this section we describe a modification of the merging scheme, presented in the previous section. The modified algorithm works on a CREW PRAM in  $O(\log n)$  time and with  $n/\log n$  processors, provided that initial elements are sorted.

The main idea of our modified algorithm is that we do not use all values of  $pred(e, i)$  at each iteration. In fact, if we know values of  $pred(e, i - 1)$  for all  $e \in W_i$  and values of  $pred(e, i)$  for all  $e \in W_{i-1}$  then merging can be performed in a constant time. Therefore, we will use function  $\overline{pred}$  instead



of  $\overline{pred}$  such that the necessary information is available at each iteration, but the total number of values in  $\overline{pred}$  is limited by  $O(n)$ . We are also able to recompute values of  $\overline{pred}$  in a constant time after each iteration.

For an array  $R$  we denote by  $sample_k(R)$  a subarray of  $R$  that consists of every  $2^k$ -th element of  $R$ . We define  $\overline{pred}(e, i)$  for  $e \in W_l$ ,  $l > i$  ( $l < i$ ) as the biggest element  $\tilde{e}$  in  $sample_{l-i-1}(W_i)$  ( $sample_{i-l-1}(W_i)$ ), such that  $r(\tilde{e}) \leq r(e)$ . Besides that we maintain the values of  $\overline{pred}(e, i)$  only for  $e \in sample_{l-i-1}(W_l)$ . In other words for every  $2^{l-i-1}$ -th element of  $W_l$  we know its predecessor in  $W_i$  with precision of up to  $2^{l-i}$  elements. Obviously total number of values in  $\overline{pred}$  is  $O(n)$ .

Now we will show how  $\overline{pred}$  can be recomputed after elements in a class  $W_i$  are melded. Number of pairs  $(e, i)$  for which values  $\overline{pred}(e, i)$  must be computed is  $O(n)$ , and we can assign one processor to every pair.

We denote by  $sibling(e)$  an element with which  $e$  will be melded in **Oblivious-Huffman**. Consider an arbitrary pair  $(e, a)$ ,  $e \in W_i$ . First the value  $\overline{pred}(e, a)$  is known, but the value of  $\overline{pred}(s, i)$ , where  $s = sibling(e)$  may be unknown. We can set a tentative new value of  $\overline{pred}(e_m, a)$  where  $e_m = meld(e, s)$  to  $\overline{pred}(e, a)$ .

Next we recompute the values of  $\overline{pred}(s, i)$  for  $s \in sample_{i-1}W_1 \cup sample_{i-2}W_2 \cup \dots \cup sample_1W_{i-1}$ . Let  $e_1 = \overline{pred}(s, i)$ ,  $e_2 = sibling(e_1)$  and  $e = meld(e_1, e_2)$ . The correct new values of  $\overline{pred}(s, i)$  can be computed in a similar way as in section 3. If the relative weight of  $s$  is smaller than that of  $e$ ,  $\overline{pred}(s, i)$  is assigned to the element preceding  $e$ . Otherwise, we also compare the relative weight of  $s$  with the relative weight of the element following  $e$ . If the first one is bigger we set  $\overline{pred}(s, i)$  to the element following  $e$ . We also can check whether the predecessors of elements in  $W_i$  are the correct ones at the same time. A pseudocode description of the parallel meld operation is shown on Figure 4.

When elements from  $W_i$  are melded the new elements will belong to  $W_{i-1}$ . Now we have to compute  $\overline{pred}(e, a)$  in  $sample_{i-a-2}(W_a)$  for every  $2^{i-a-2}$ -th element of  $W_i$ . Suppose  $\overline{pred}(e, a) = W_a[p \cdot 2^{i-a-1}]$ . We can find the new "refined" value of  $\overline{pred}(e, a)$  by comparing  $r(e)$  with  $r(W_l[p \cdot 2^{i-l-1} + 2^{i-l-2}])$ . When the correct values of  $\overline{pred}(e, i)$   $e \in sample_{l-i-1}(W_l)$  are known we can compute  $\overline{pred}(e, i)$  for all  $e$  from  $sample_{i-a-2}(W_a)$ . Let  $e$  be a new element in  $sample_{i-a-2}(W_a)$  and let  $e_p$  and  $e_n$  be the next and previous elements in  $sample_{i-a-2}(W_a)$ . Obviously  $e_n$  and  $e_p$  are in  $sample_{i-a-1}(W_a)$  and  $\overline{pred}(e, i)$  is between  $\overline{pred}(e_p, i)$  and  $\overline{pred}(e_n, i)$ . New correct values of  $\overline{pred}(e, i)$  can be found in a constant time.

```

1:   for  $a < i, b \leq l(\text{sample}_{i-a-1}W_a)$  pardo
2:      $s := W_a[b \cdot 2^{i-a-1}]$ 
3:      $\text{temp}[s] := \lceil \text{pos}[\text{pred}(s, i)]/2 \rceil$ 

4:   for  $c \leq l(W_i)/2$  pardo
5:      $s := \text{meld}(W_i[2c-1], W_i[2c])$ 
6:      $w[s] := w[W_i[2c-1]] + w[W_i[2c]]$ 
7:      $\text{pos}[s] := c$ 
8:      $W_i[c] := s$ 

9:   for  $a < i, b \leq l(\text{sample}_{i-a-1}W_a)$  pardo
10:     $d1 := 2^{i-a-1}$ 
11:     $d2 := 2^{i-a-2}$ 
12:     $s := W_a[b \cdot d1]$ 
13:     $c := \text{temp}[s]$ 
14:    if  $r(i, c \cdot d2) > r(a, b \cdot d1)$ 
15:       $c := c - 1$ 
16:      if  $r(a, (b+1) \cdot d1) > r(i, (c+1) \cdot d2)$ 
17:         $\text{pred}(W_i[(c+1) \cdot d2], a) := s$ 
18:      else
19:        if  $r(i, (c+1) \cdot d2) < r(a, b \cdot d1)$ 
20:           $c := c + 1$ 
21:          if  $r(a, (b-1) \cdot d1) < r(i, (c-1) \cdot d2)$ 
22:             $\text{pred}(W_i[(c-1) \cdot d1], a) := W_a[(b-1) \cdot d2]$ 
23:     $\text{pred}(s, i) := W_i[c \cdot d2]$ 

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Figure 4: A melding operation for the improved algorithm

Using the values of  $\overline{\text{pred}}$  we can merge  $W_{i-1}$  and the melded elements from  $W_i$  in a constant time in the same way as described in section 3. A detailed description of the meld and merge operations for the modified algorithm will be given in the full version of the paper.

Since all other operations can also be done in a constant time we can perform  $\log n$  iterations of **Oblivious-Huffman** in a logarithmic time. Therefore we get

**Theorem 2** *An almost optimal tree with error factor  $1/n^k$  can be con-*

structed in time  $O(k \log n)$  and with  $n/\log n$  processors, if elements are sorted according to their weight.

We can combine the algorithm described above with algorithms for the parallel bucket sort. Depending on the chosen computation model and assumptions about the size of the machine word we can get slightly different results. We will see that in this case optimal time-processor product can be achieved under reasonable conditions.

Using a parallel bucket sort algorithm described in [H87] we can sort polynomially bounded integers in  $O(\log n \log \log n)$  time and with  $n/\log n$  processors on a priority CRCW PRAM. Using the algorithm described by Bhatt et al. [BDH<sup>+</sup>91] we can also sort polynomially bounded integers in the same time and the processor bounds on arbitrary CRCW PRAM. Combining these results with our modified algorithm we get

**Proposition 2** *An almost optimal tree with error  $1/n^k$  can be constructed in  $O(k \log n \log \log n)$  time and with  $n/\log n$  processors on a priority CRCW PRAM or on an arbitrary CRCW PRAM.*

Applying an algorithm of Hagerup [H87] we get the following result

**Proposition 3** *An almost optimal tree with error  $1/n^k$  can be constructed for the set of  $n$  uniformly distributed random numbers with  $n/\log n$  processors in time  $O(k \log n)$  and with probability  $1/C^{-\sqrt{n}}$  for any constant  $C$ .*

By using the results of Andersson, Hagerup, Nilsson and Raman [AHNR95],  $n$  integers in the range  $0..n^k$  can be sorted in  $O(\log n)$  time and with  $n \log \log n / \log n$  processors on a unit-cost CRCW PRAM with machine word length  $k \log n$ . Finally [AHNR95] shows that  $n$  integers can be probabilistically sorted in an expected time  $O(\log n)$  and expected work  $O(n)$  on a unit-cost EREW PRAM with word length  $O(\log^{2+\epsilon} n)$ .

**Proposition 4** *An almost optimal tree with error  $1/n^k$  can be constructed with expected time  $O(\log n)$  and expected work  $O(n)$  on a CREW PRAM with word size  $\log^{2+\epsilon} n$ .*

The last statement shows that a Huffman tree can be probabilistically constructed on a CREW PRAM with polylogarithmic word length.

## 5 Conclusion

This paper describes the first optimal work approximate algorithms for constructing Huffman codes. The algorithms have polynomially bounded errors. We also show that a parallel construction of an almost optimal code for  $n$  elements is as fast as the best known deterministic and probabilistic methods for sorting  $n$  elements. In particular, we can deterministically construct an almost optimal code in logarithmic time and with linear number of processors on CREW PRAM or in  $O(\log n)$  time and with  $n \log \log n / \log n$  processors on CRCW PRAM. We can also probabilistically construct an almost-optimal tree with linear expected work in logarithmic expected time provided that the machine word size is  $\log^{2+\epsilon} n$ . This is the first optimal work and the logarithmic time algorithm for that problem.

Our approach also leads to the improvement of the construction of Huffman trees for the case when  $H = o(\sqrt{n} \log n)$ , where  $H$  is the maximum codeword length. This gives the first parallel algorithm that works in  $O(H)$  time and with  $n$  processors. In practical applications  $H$  is usually of order  $O(\log n)$ . The question of the existence of algorithms that deterministically sort polynomially bounded integers with linear time-processor product and achieve optimal speed-up remains widely open. It will be also interesting to know, whether efficient construction of almost optimal trees is possible without sorting initial elements.

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