



# $k$ -Approximating Circuits

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## Abstract

In this paper we study the problem of approximating a boolean function using the Hamming distance as the approximation measure. Namely, given a boolean function  $f$ , its  $k$ -approximation is the function  $f^k$  returning true on the same points in which  $f$  does, plus all points whose Hamming distance from the previous set is at most  $k$ . We investigate whether  $k$ -approximation generates an exponential increase in size or not, when functions are represented as circuits. We also briefly investigate the increase in the size of the circuit for other forms of approximation.

## 1 Introduction

Given two points in an  $n$ -dimensional boolean space, their Hamming distance is the number of coordinates on which they differ. The Hamming distance is often regarded as the “natural” distance measure in such spaces. Viewing a point as a vector of bits, the distance between two points is the number of bits on which they differ: the smaller the distance, the more similar the two vectors.

We use the Hamming distance as a measure of approximation for boolean functions. It is well known that there are some boolean functions with  $n$  inputs whose optimal-size representing circuits are not polynomial with respect to  $n$ . In such cases, approximation can obviously be useful. Pippenger [6] introduced an approximation method for boolean circuits, in which points that are evaluated as false by a boolean function *are allowed* to be evaluated

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to true by the approximating function, as long as their Hamming distance from a “true” point is less than or equal to a given bound value. In particular, points in such a condition can be evaluated in any way by the approximating function: the value of these points can be chosen so that *the size of the representing circuit is minimized*.

The approximation concept proposed in this paper is formally similar, but has different applications and different computational properties. Given an integer  $k$ , we define the  $k$ -approximation of a function  $f$  as the function  $f^k$  that is true on exactly *all* points whose Hamming distance from points that are evaluated to true by  $f$  is at most  $k$ . Formally, we denote by  $x\Delta y$  the set of coordinates on which  $x$  and  $y$  differ and with  $|\cdot|$  the cardinality of a set; therefore,  $|x\Delta y|$  is the Hamming distance between  $x$  and  $y$ . The  $k$ -approximation of a function  $f$  is therefore the function  $f^k$  defined as follows.

$$f^k(x) = \begin{cases} \text{true} & \text{if there exists } y \text{ such that } |x\Delta y| \leq k \text{ and } f(y) = \text{true}, \\ \text{false} & \text{otherwise.} \end{cases} \quad (1)$$

Figure 1 is a graphical representation of this concept:  $f$  is represented by the set of points it evaluates to true;  $f^k$  is true on the same points, plus all other points that are at most  $k$  far from them. The points in the “border” (whose width is  $k$ ) are evaluated to false by  $f$  and to true by  $f^k$ . Note that, on the contrary, Pippenger’s circuit approximation is free in the evaluation of these points, that is, it can evaluate them to either true or false.

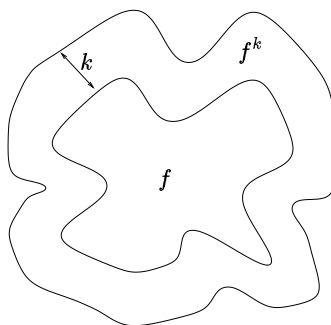


Figure 1: A function and its approximation: points in the  $k$ -wide border are evaluated to false by  $f$ , to true by  $f^k$ , and can be evaluated to any value by Pippenger’s approximation.

An example of  $k$ -approximation for  $1 \leq k \leq 3$  of the function  $g = (x_1 \equiv$



$k$ -approximation is useful whenever we need to evaluate a function on a vector of bits that is likely to contain some mistakes. Problems of this kind are the recognition of patterns out of data coming from unreliable sensors (if each pattern is expressed as a boolean function) and interpretation/correction of data that has been received from a noisy channel. In such cases, all points that have a reasonable measure of approximation should be accepted.

The strict constraints imposed by  $k$ -approximation result in a computational cost: as it is proved in Section 3, there are boolean functions that can be represented by polynomial-size circuits, while their  $k$ -approximation (for some  $k$ ) cannot (this result holds only if the Polynomial Hierarchy does not collapse.) It is also possible to prove the converse, that is, there are boolean functions that cannot be represented by polynomial circuits while some of their  $k$ -approximations can. This is actually easy to prove: consider a family of boolean functions  $\langle f_n \rangle$ , where  $f_n$  has  $n$  arguments, that cannot be represented by a family of polynomial circuits (the proof of existence of such families is due thanks to Shannon's counting argument), and their  $k$ -approximation, where  $k = n$ : the approximation of  $f_n$  is the function that evaluates to true on all points of the space, and can be easily represented with a very small circuit.

The paper is organized as follows. In the next section, we present some background needed in the rest of the paper. In Section 3 we study the problem of approximating functions/circuits, and show that, in general, approximation cannot be done without a super-polynomial increase in the size of the representing circuit, and show some subcases in which approximation is instead feasible. We then consider in Section 4 approximation under a different notion of distance. Finally, Section 5 is devoted to the discussion of the results.

## 2 Preliminaries

### 2.1 Boolean Circuits

A boolean circuit is a directed acyclic graph containing a single node with out-degree equal to 0, which is called the output. The nodes of in-degree 0 are called *inputs* (and are labeled either with a number or with a constant true or false), while the other nodes are called *gates* and are labeled with a binary boolean connective [1], e.g.,  $\wedge$ ,  $\vee$ . Given a set of boolean values, one for each input, a circuit determines the truth value induced at its output. The output that is produced on the tuple of boolean values  $I$  by the circuit

$C$  is denoted by  $C(I)$ . The *size* of a boolean circuit  $C$  is the number of its gates, and is denoted by  $\|C\|$ . In general, the notation  $\|\cdot\|$  represent the size of an object;  $|\cdot|$  represents its cardinality.

A boolean function of  $n$  arguments is a function from  $n$ -tuples of boolean values to  $\{\text{true}, \text{false}\}$ . Boolean circuits and boolean functions are clearly related. Indeed, both functions and circuits determine a truth value for any  $n$ -tuple of boolean values. As a result, a circuit with  $n$  inputs represents a boolean function of  $n$  arguments and vice versa.

Formally, an  $n$ -input circuit computes a boolean function  $f$  if for all assignments  $x \in \{0, 1\}^n$  to the  $n$  inputs, the value induced at the output gate is  $f(x)$ . On the other hand, a function  $f$  represents a circuit  $C$  if and only if  $f(x)$  is equal to the output of  $C$  when the values  $x$  are set as the input gates. Since boolean circuits are suitable for “implementing” boolean functions, in the following we refer to circuits, rather than functions.

We can indeed extend the notion of  $k$ -approximation to circuits: if  $C$  is a circuit representing  $f$ , then  $C^k$  is a  $k$ -approximation of  $C$  if it represents  $f^k$ . Note that, given a function  $f$  and an integer  $k$ , there is a unique function  $f^k$  that is the  $k$ -approximation of  $f$ . On the other hand, both  $f$  and  $f^k$  can be represented by many circuits. We are interested in determining whether a function can be represented in polynomial space, that is, whether there exists a polynomial  $p$  such that  $C$  represents  $f$  and  $\|C\| \leq p(n)$ , where  $n$  is the number of input gates of  $C$ .

Both  $f$  and  $f^k$  can be represented or not by a polynomial circuit. There are actually four possible combinations. In particular, if  $k = 0$  then  $f = f^k$ : it is therefore possible that both functions are represented by polynomial circuits, and it is also possible that both are not. In Section 1, we have already shown a function  $f$  and a value of  $k$  such that  $f$  cannot be polynomially represented while  $f^k$  can. In the following we prove that there are functions  $f$  that can be represented by polynomial circuits, while  $f^k$  cannot.

This is perhaps the most interesting of the four cases: we may indeed assume that the function  $f$  is already represented by a circuit, so what we have is actually  $C$ . Approximating means finding a new circuit that approximates  $C$ . The main result of this paper is that, in some cases, there is no  $k$ -approximating circuit that is only polynomially larger than  $C$ .

## 2.2 Non-uniform complexity classes

We assume the reader is familiar with (uniform) classes of the Polynomial Hierarchy, like P, NP, coNP,  $\Sigma_2^P$ , etc. [3]. We only briefly introduce non-uniform classes [4], which we use in some proofs.

**Definition 1** An advice-taking Turing machine is a Turing machine enhanced by an “advice oracle”  $A$ , which is a function from integers to strings (not necessarily recursive). On input  $s$ , the tape is automatically loaded with  $A(\|s\|)$ , and from then on the computation proceeds as normal, based on the two inputs  $s$  and  $A(\|s\|)$ .

Note that the string  $A(\|s\|)$  depends only on the *size* of the input: if  $s$  and  $s'$  are two strings with the same length, then  $A(\|s\|) = A(\|s'\|)$ .

**Definition 2** An advice-taking Turing machine uses polynomial advice if its advice oracle  $A$  satisfies  $\|A(n)\| \leq p(n)$  for some fixed polynomial  $p$  and all nonnegative integers  $n$ .

**Definition 3** If  $\mathcal{C}$  is a class of languages defined in terms of resource-bounded Turing machines, then  $\mathcal{C}/\text{poly}$  is the class of languages defined by Turing machines with the same resource bounds but augmented by polynomial advice.

As an example,  $P/\text{poly}$  is the class of languages recognizable by a Turing machine working in polynomial time using polynomial advice,  $NP/\text{poly}$  uses a Turing machine working in nondeterministic polynomial time, etc. A class  $\mathcal{C}/\text{poly}$  is also known as *non-uniform  $\mathcal{C}$* , where non-uniformity is due to the presence of the advice. Non-uniform and uniform complexity classes are related: the collapse of the non-uniform hierarchy implies the collapse of the uniform one at some level [4, 8].

### 3 Approximation Based on the Hamming Distance

We investigate whether  $k$ -approximation is actually feasible in polynomial space or not. Intuitively, we want to find out whether every circuit  $C$  has a  $k$ -approximating circuit  $C^k$  whose size is bounded by a polynomial in the size of  $C$ . Clearly, we do not want the polynomial  $p$  to depend on the specific circuit. What we want is to determine whether there exists a polynomial  $p$  that bounds the size of the *minimal*  $k$ -approximations of all circuits. This is what “polynomial” intuitively means: that every circuit can be approximated within a polynomial bound in its size.

**Question 1 (Polynomiality of Approximation)** *Is there any polynomial  $p$  such that, for any circuit  $C$  and any  $k \geq 0$ , the circuit  $C$  has a  $k$ -approximation  $C^k$  of size  $\|C^k\| \leq p(\|C\|)$ .*

The answer to this very general question is no (Theorem 2). Nevertheless, it is interesting to study this problem when  $k$  depends on  $C$ , and in particular, when  $k$  depends on the number of inputs  $n$  of  $C$ . Several dependencies are possible:

1.  $k \in O(1)$ , i.e.,  $k$  is a constant
2.  $k \in O(\log n)$ , i.e., it is a logarithmic function of  $n$
3.  $k \in O(n^\alpha)$  with  $\alpha < 1$  i.e., it is a sublinear function in  $n$
4.  $k$  is linear in  $n$

We discuss each case separately.

### 3.1 Fixed $k$ -approximation

We can positively answer Question 1 for the case in which  $k$  is a constant. Hence, we can say for example that 2-approximation is feasible, that 3-approximation is feasible, etc.

**Theorem 1 (Polynomiality of Fixed  $k$ -approximation)** *For each positive integer  $k$ , there exists a polynomial  $p$  such that, for every circuit  $C$ , it holds  $\|C^k\| \leq p(\|C\|)$ , where  $C^k$  is the minimal  $k$ -approximation of  $C$ .*

*Proof.* The proof is based on the fact that, for each  $k$ , we can choose a different polynomial. We denote  $p_k$  this polynomial to make explicit its dependence on  $k$ . Since  $k$  is to be regarded as a constant,  $p_k(m) = m^{k+1}$  is a polynomial. We prove that  $p_k(m) = m^{k+1}$  indeed bounds the size of  $k$ -approximations of any circuit  $C$ . Let  $C$  be a circuit of size  $m$  with  $n$  inputs; by definition,  $n \leq m$ . The following circuit  $k$ -approximates  $C$ .

$$C^k = \bigvee_{X' \subseteq X, |X'| \leq k} C[X'/\neg X']$$

We use the notation  $C[X'/\neg X']$  to refer to the circuit in which a negation gate is introduced on every input in  $X'$ . We have to show that this circuit has size bounded by  $p_k(n)$ , and that it is a  $k$ -approximation of  $C$ .

The circuit  $C^k$  is composed of a number of copies of  $C$ , one for each subset of  $X$  composed of  $k$  elements. Since  $C$  has  $n$  inputs,  $|X| = n$ . The number of subsets of  $k$  elements of a set of  $n$  elements is less than  $n^k$ . Therefore,  $C^k$  is made of at most  $n^k$  copies of  $C$ . Its size is therefore bounded by  $m \cdot n^k$ . Since  $n \leq m$ , the size of  $C^k$  is also bounded by  $m^{k+1}$ .

We now prove that  $C^k$  is a  $k$ -approximation of  $C$ .

For the first direction, let  $I$  be an arbitrary tuple that is  $t$  far from one that makes  $C$  output true, with  $t \leq k$ . Let  $J$  be the tuple that makes  $C$  outputs true, and such that  $|I\Delta J| = t \leq k$ . Let  $X' = I\Delta J$ . By definition,  $C(J) = \text{true}$ , which implies  $C[X'/\neg X'](I) = \text{true}$ . Since the latter is part of the disjunction that forms  $C^k$ , we have  $C^k(I) = \text{true}$ .

Conversely, let us assume that  $C^k(I) = \text{true}$ , and let us prove that there exists a tuple  $J$  such that  $C(J) = \text{true}$  and  $|I\Delta J| \leq k$ . By definition of  $C^k$ , we have  $C^k(I) = \text{true}$  if and only if there exists a set  $X' \subseteq X$  with  $|X'| \leq k$  and such that  $C[X'/\neg X'](I) = \text{true}$ . If  $J$  is the tuple that differ from  $I$  for the elements in  $X'$ , we have  $C(J) = \text{true}$ , and  $|I\Delta J| = |X'| \leq k$ .  $\square$

This theorem shows, for example, that 2-approximation can be done without an exponential increase in size. We note that a circuit accepting  $k$ -errors-correcting Hamming code is an example of  $k$ -approximation of the circuit  $C$  accepting only the true codes.

However, the proof also shows an annoying exponential dependency on  $k$ , as the  $k$ -approximating circuit  $C^k$  is  $n^k$  larger than the original one,  $n$  being the number of inputs of  $C$ . No harm is done if  $k$  is fixed, for example if  $k = 2$ , when the approximation increase the size of only  $n^2$  times. However, this means that we are always doing the approximation regardless of the number of inputs of  $C$ . While 2-approximating a circuit of 10 variables may be reasonable, it may not when the inputs are 1000. In such cases, the bound on the approximation  $k$  should increase with the number of input.

### 3.2 Logarithmic $k$ -approximation

We consider a value of  $k$  that depends on  $n$ , but only moderately increases with it. In this case, the bound for the approximation is not a constant value, but the result of a function. In particular, we consider a logarithmic function. The construction of the last section leads to a  $k$ -approximation that is  $n^k$  times the size of the circuit. While this is still sub-exponential (i.e., it is  $n^{\log n}$  if  $k = \log n$ ), it is not a polynomial any more.

### 3.3 Sublinear $k$ -approximation

For this case, we have a negative answer to Question 1. The following theorem shows a uniform family of circuits  $\{C_0, C_1, C_2, \dots\}$  such that  $C_n$  is an  $n$ -input circuit of size polynomial in  $n$ , and the size of their  $k$ -approximations increases more than every polynomial function, unless the Polynomial Hierarchy [7] collapses.



**Theorem 2** *Let  $k(n) \in \theta(n^\alpha)$  with  $\alpha = \frac{1}{3}$ . There exists a uniform family of circuits  $\{C_0, C_1, C_2, \dots\}$  such that, if there exists a polynomial  $p$  for which  $\|C_n^{k(n)}\| \leq p(\|C_n\|)$  for all  $n \geq 0$ , then  $\text{NP} \subseteq \text{P/poly}$ .*

*Proof.* Since the proof is rather long, we first give an outline to improve its readability. The proof consists of the following steps:

1. choice of an NP-complete problem  $\pi$ ;
2. definition of the family in such a way the  $n$ -th circuit of the family  $C_n$  is polynomial in  $n$  and, for each instance  $F$  of  $\pi$  of size  $m$ , the answer to  $F$  is “yes” if and only if there exists an  $n$ -bits input  $I_F$  such that  $C_n^{k(n)}$  outputs true on  $I_F$ , with  $k(n) = m \in O(\sqrt[3]{n})$ ;
3. proof that, for  $k = m \in O(\sqrt[3]{n})$ , if for every  $n$   $C_n$  admits a  $k$ -approximating circuit  $C_n^{k(n)}$  that is polynomial in  $\|C_n\|$  (hence polynomial in  $n$ ), then NP is contained in P/poly.

**Step 1:** We choose the NP-complete problem 3sat. Let  $F$  be an instance of 3sat, i.e., a 3CNF formula, with  $\|F\| = m$ . The number of propositional letters contained in  $F$  is bounded by  $m$ . It will be useful to have an easy way to determine the number of variables of a formula. To this aim, we assume that any formula  $F$  of size  $m$  is built over the alphabet  $X_F = \{x_1, \dots, x_m\}$ , even if  $F$  only contains some of these variables. This way, we have  $\|F\| = |X_F|$  for any formula  $F$ . From now on, we omit the subscript  $F$  in  $X$  for simplicity.

**Step 2:** Given  $n$ , we show how to build the circuit  $C_n$  in such a way that  $C_n$  depends only on  $n$ , and its size will be polynomial in  $n$ . Moreover, we want to enforce that a 3CNF formula  $F$  (with  $\|F\| = m$ ) is satisfiable if and only if there is a set of input values  $I_F$  that makes true the output gate of  $C_n$ , where  $n \in O(m^3)$ .

Let  $Y = \{y_1, \dots, y_m\}$  be a set of new letters in one-to-one correspondence with letters of  $X$ , and let  $G$  be a set of new letters one-to-one with the set of the three-literal clauses over  $X$ , i.e.,  $G = \{g_i \mid \gamma_i \text{ is a three-literal clause of } X\}$ . Finally, let  $L$  be the set  $X \cup Y \cup G$  and  $n = |L|$ . Notice that  $n \in O(m^3)$ . We define  $C_n$  as the conjunction of two formulae:

$$C_n = \Delta_m \wedge \Gamma_m \tag{2}$$

$\Delta_m$  states non-equivalence between atoms in  $X$  and their correspondent atoms in  $Y$ , while  $\Gamma_m$  codes every possible 3CNF formula over  $X$ , using the atoms in  $G$  as “enabling gates”.

$$\begin{aligned}\Delta_m &= \bigwedge_{i=1}^m (x_i \neq y_i) \\ \Gamma_m &= \bigwedge_{g_i \in G} \gamma_i \vee \neg g_i\end{aligned}$$

$\Gamma_m$  contains  $O(m^3)$  clauses. The overall circuit  $C_n$  is therefore polynomially large. It is an  $n$ -inputs circuit and it does not depend on the specific 3CNF formula  $F$ , but only on the size  $m$  of its alphabet. Therefore, we have proved that it satisfies all requirements but the last, which we now prove.

Indeed, we now show that, for  $k(n) = m$ , the satisfiability of  $F$  is equivalent to the existence of an input set that makes  $C_n^{k(n)}$  outputs true. Let  $F$  be an arbitrary 3CNF formula over  $X$ , and let  $G_F$  be the set of  $g_i$ 's whose corresponding clauses are in  $F$ :

$$G_F = \{g_i \in G \mid \gamma_i \text{ is a clause of } F\}$$

The input set  $I_F$  is defined as follows:

$$I_F(l) = \begin{cases} \text{true} & \text{if } l \in G_F, \\ \text{false} & \text{if } l \in (G \setminus G_F) \cup X \cup Y \end{cases} \quad (3)$$

We now show that  $F$  is satisfiable iff  $C_n^{k(n)}$  outputs true on input  $I_F$ .

*If part.* Let  $F$  be satisfiable, and  $X_F$  be a model of  $F$ . Let  $\overline{Y_F} = \{y_i \in Y \mid x_i \notin X_F\}$ . We prove that  $C_n^{k(n)}$  gives true on  $I_F$  by showing an input set  $I$  on which  $C_n$  gives true and  $|I \Delta I_F| \leq m = k(n)$ . This input set  $I$  is defined as follows.

$$I(l) = \begin{cases} \text{true} & \text{if } l \in (G_F \cup X_F \cup \overline{Y_F}), \\ \text{false} & \text{otherwise.} \end{cases} \quad (4)$$

We show that  $C_n$  gives true on  $I$ . The output of  $\Delta_m$  on  $I$  is true by construction of  $\overline{Y_F}$ , and also the output of  $\Gamma_m$  on  $I$  is true because, for each clause  $\gamma_i \vee \neg g_i$  of  $\Gamma_m$ , either  $I(g_i) = \text{false}$  or  $I(\gamma_i) = \text{true}$ , since  $X_F$  satisfies  $\gamma_i$ . Now observe that  $|I \Delta I_F| = |X_F \cup \overline{Y_F}| = m$ . Hence,  $C_n^{k(n)}$  outputs true on input  $I_F$ .

*Only if part.* Suppose that  $C_n^{k(n)}$  gives true on  $I_F$ . Then there exists an input  $I$  that makes  $C_n$  give true, with  $|I_F \Delta I| \leq m$ . Note that  $|I_F \Delta I| \geq m$  because, for all  $1 \leq i \leq m$ , the input  $I$  must assign false to exactly one of  $x_i$  and  $y_i$ , while  $I_F$  assigns false to all inputs in  $X \cup Y$ . Therefore,  $|I_F \Delta I| = m$ . Hence,  $I$  and  $I_F$  must assign the same value to all inputs in  $G$ . Let  $I_X$  be the input such that  $I_X(l) = \text{true}$  if  $I(l) = \text{true}$  and  $l \in X$ , false otherwise. Since  $\Gamma_m$  outputs true on  $I$ , simplifying the circuit  $\Gamma_m$  by assigning to the inputs in  $G$  the value assigned by  $I_F$ , we obtain exactly the formula  $F$ . Thus, the model  $M = \{l \mid l \in X, I(l) = \text{true}\}$  satisfies  $F$ .

**Step 3:** Let us assume that there exists a polynomial  $p$  with the properties claimed in the statement of the theorem. Then, for each circuit  $C_n$  there exists a  $k$ -approximating circuit  $C_n^{k(n)}$  with  $\|C_n^{k(n)}\| < p(\|C_n\|)$ . We define an advice-taking Turing machine that determines the satisfiability of propositional formulae in polynomial time, in this way: Given a generic propositional formula  $F$ , with  $\|F\| = m$ , the machine loads the advice, that is, a representation of the circuit  $C_n^k$ , computes  $I_F$ , and then checks whether  $C_n^k$  gives true on  $I_F$  in polynomial time. Since  $\|C_n^k\| = O(m^3)$ , the advice has size  $O(p(m^3))$ , hence we would have shown that satisfiability of propositional formulae is in non-uniform P. Since satisfiability of propositional formulae is an NP-complete problem, this implies  $\text{NP} \subseteq \text{P/poly}$ .  $\square$

Recall that  $\text{NP} \subseteq \text{P/poly}$  implies  $\bigcup_{i>0} \Sigma_i^p \subseteq \Sigma_2^p$ , i.e., the Polynomial Hierarchy collapses at the second level [4]. Although this is a relative argument, the collapse of the Polynomial Hierarchy is considered unlikely to hold by current research in computational complexity [3].

A question that naturally arises is whether the exponential blow-up happens not only for the specific class of functions  $k(n) \in \theta(n^\alpha)$  with  $\alpha = \frac{1}{3}$ , but also for a more general class of functions. In fact, we can enlarge the above results to smaller values of  $\alpha$ .

**Theorem 3** *Question 1 has negative answer for  $k(n) \in O(n^\alpha)$  with  $\alpha \leq \frac{1}{3}$ .*

*Proof.* Let  $t(m)$  be a polynomial such that  $t(m) \in \Omega(m^3)$ . We can modify the reduction of the previous theorem, “inflating” the number of inputs of the circuit as follows. Given a formula  $F$  with  $\|F\| = m$ , we build the following circuit  $C_n$ :

$$C_n = \Delta_m \wedge \Gamma_m \wedge \bigwedge_{i=1}^{t(m)} z_i$$

$\Delta_m$  and  $\Gamma_m$  are as before, and  $Z = \{z_1, \dots, z_{t(m)}\}$  is a new set of variables, with  $|Z| = t(m)$ . The number of inputs of this circuit is  $n = \Omega(m^3 + t(m))$ . We still use  $k(n) = m$ , therefore  $k(n) \in O(n^\alpha)$  with  $\alpha \leq \frac{1}{3}$ . This proves the claim.  $\square$

### 3.4 Linear $k$ -approximation

As remarked in the introduction, if  $k(n) = n$ , a trivial  $k$ -approximation of any circuit having  $n$  inputs. A similar result holds for any linear function  $k(n) = n - h$ , where  $h$  is a constant. This is a consequence of the fact that, for any  $x$ , there are less than  $2n^h$  inputs  $y$ 's that are at least  $n - h + 1$  far from it. Therefore, for any circuit  $C$  that evaluates true on some inputs,  $C^k$  evaluates false on at most  $2n^h$  inputs; such circuits can be represented in linear space.

While Question 1 has a positive answer for  $k(n) = n$  and  $k(n) = n - h$  for any fixed  $h$ , it has negative answer for  $k(n) \in O(n)$  in general. Indeed, a statement similar to Theorem 2 can be proved for some functions  $k(n)$  such that  $k(n) \in O(n)$ . The reduction in Theorem 2 is modified as follows. Let  $F$  be a formula with  $\|F\| = m$ , and let  $r(m)$  be a polynomial in  $m$ . We build the following circuit  $C_n$ :

$$C_n = \Delta_m \wedge \Gamma_m \wedge \bigwedge_{i=1}^{r(m)} z_i \neq w_i$$

The cardinality of both  $Z$  and  $W$  is  $r(m)$ . The inputs to  $C_n$  are now  $X, Y, G, W$  and  $Z$ , so their number  $n$  is  $n = m^3 + 2(m + r(m))$ . Hence  $n \in \theta(r(m))$ . We use the input in which all variables in  $X \cup Y \cup Z \cup W$  are false, and a distance  $k$  (as a function of  $m$ ) equal to  $m + r(m)$ . Following the same line of reasoning of Theorem 2, it can be shown that  $C_n^{k(n)}$  outputs true iff  $F$  is satisfiable. Let  $r(m) = \frac{1}{2}(m^\beta - m^3) - m$ , with  $\beta > 3$ , so that  $r(m) \geq 0$ . Now  $n = m^\beta$ , and  $k = \frac{1}{2}(n - n^{3/\beta})$ , hence  $k \in O(n)$ .

## 4 Distance Based on Set Containment

In the previous section, we took the Hamming distance between two points as a measure of the allowed error. Different measures can however been used instead. We now analyze a measure based on set containment.

Given a circuit  $C$  on  $n$  inputs and a set of integers  $S \subseteq \{1, 2, \dots, n\}$ , an  $S$ -approximation of  $C$  is a circuit  $C^S$  that outputs 1 on input  $x =$

$(x_1, x_2, \dots, x_n)$  if and only if there exists another inputs  $y = (y_1, y_2, \dots, y_n)$  such that  $C$  outputs true on  $x$  and  $x_i = y_i$  for all  $i \notin S$ . In other words,  $C^S$  is the circuit that outputs 1 on all sets of inputs that disagree with the inputs where  $C$  outputs 1 only on the bits in  $S$ .

Intuitively, if the circuit  $C$  outputs 1 on a set of  $n$  inputs  $x$ , then  $C^S$  will output 1 on all sets of  $n$  inputs that can be obtained from  $x$  by changing some of the bits in  $S$ , while bits not in  $S$  are fixed. On the contrary,  $k$ -approximation allows for changing any bit, provided that no more than  $k$  bits are changed.  $k$ -approximation is therefore based on the assumption that all bits have the same status, or that errors in them have the same probability. On the contrary,  $S$ -approximation can be seen as a formalization of assuming that the bits in  $S$  can be wrong, but we are sure that the other ones are not.

The study of  $S$ -approximation reported here is not as detailed as that of  $k$ -approximation. We only show that polynomial  $S$ -approximation is impossible in general, but feasible if  $S$  is a set of fixed cardinality, i.e., independent on  $n$ .

**Theorem 4** *There exists a family of circuits  $\{C_0, C_1, C_2, \dots\}$  such that, if there exists a polynomial  $p$  such that  $\|C_n^S\| \leq p(\|C_n\|)$ , where  $C_n^S$  is a minimal  $S$ -approximation of  $C_n$ , for all  $n \geq 0$  and  $S \subseteq \mathbb{N}$ , then  $\text{NP} \subseteq \text{P/poly}$ .*

*Proof.* The proof is similar to that of Theorem 2. We only point out the differences. The first step is the same. In the second one we show that for any integer  $m$ , there exists a  $n$ -inputs circuit  $C_n$ , depending only on  $m$ , of polynomial size w.r.t.  $m$ , such that given any 3CNF formula  $F$  over an alphabet of  $m$  atoms, there exists an  $n$ -bits input  $I_F$  such that  $F$  is satisfiable iff  $I_F$  makes  $C_n^S$  output true. This circuit  $C_n$  is defined as in the other proof. We define  $S = X \cup Y$ .

Given a 3CNF formula  $F$  over  $X$ , we define  $G_F = \{g_i \in G \mid \gamma_i \text{ is a clause of } F\}$ . Given  $G_F$  we define an  $n$ -bits input  $I_F$  as follows:  $I_F(l) = \text{true}$  if  $l \in G_F$ , false otherwise. We now show that  $F$  is satisfiable iff  $C_n^S$  outputs true on input  $I_F$ .

**If part.** Let  $F$  be satisfiable, and let  $X_F$  be a model of  $F$ . Let  $\overline{Y_F} = \{y_i \mid x_i \notin X_F\}$ , and let the input  $I$  be defined as follows:  $I(l) = \text{true}$  if  $l \in (G_F \cup X_F \cup \overline{Y_F})$ , false otherwise. We show that  $C_n$  outputs true on  $I$ . The output of  $\Delta_m$  on  $I$  is true by construction of  $\overline{Y_F}$ , and also the output of  $\Gamma_m$  on  $I$  is true because, for each clause  $\gamma_i \vee \neg g_i$  of  $\Gamma_m$ ,

either  $I(g_i) = \text{false}$  or  $I(\gamma_i) = \text{true}$ , since  $X_F$  satisfies  $\gamma_i$ . Now observe that  $(I\Delta I_F) \subseteq X \cup Y = S$ . Hence,  $C_n^S$  outputs true on input  $I_F$ .

**Only if part.** Suppose  $C_n^S$  outputs true on  $I_F$ . Then there exists an input  $I$  such that makes  $C_n$  output true, and  $I\Delta I_F \subseteq S$ . Therefore,  $I$  and  $I_F$  must assign the same value to all inputs in  $G$ . Let  $I_X$  be the input such that  $I_X(l) = \text{true}$  if  $I(l) = \text{true}$  and  $l \in X$ , false otherwise. Since  $\Gamma_m$  outputs true on  $I$ , simplifying the circuit  $\Gamma_m$  by assigning to the inputs in  $G$  the value assigned by  $I_F$ , we obtain exactly the formula  $F$ . Thus, the model  $M = \{l \mid l \in X, I(l) = \text{true}\}$  satisfies  $F$ .

The third step of the proof (proving that the assumptions implies  $\text{NP} \subseteq \text{P/poly}$ ) is identical to the one of Theorem 2.  $\square$

Let us now prove that, for any fixed  $S \subseteq \mathbb{N}$ , it is possible to  $S$ -approximate any circuit. The specific definition of this question is identical to the one given in the last section (substituting  $k$  with  $S$ ).

**Theorem 5** *For any fixed set  $S \subseteq \mathbb{N}$ , there exists a polynomial  $p$  such that, for any circuit  $C$ , it holds  $\|C^S\| \leq p(\|C\|)$ , where  $C^S$  is a minimal  $S$ -approximation of  $C$ .*

*Proof.* Given a circuit  $C$ , the following is an  $S$ -approximation of it:

$$C^S = \bigvee_{S' \subseteq S} C[S'/\neg S']$$

where  $C[S'/\neg S']$  is the circuit obtained modifying  $C$  by negating the variables in  $S'$ . This circuit is at most  $2^{|S|}2$  larger than  $C$ : its size is therefore polynomial, as  $S$  is a constant set.  $\square$

## 5 Discussion and Conclusions

When we compare our results with similar ones in circuit approximation, a huge difference is apparent: while circuit approximation can make it possible to represent functions with polynomial circuits (which may otherwise be impossible),  $k$ -approximation seems to degradate the quality of circuit representation. As explained in the introduction, these two approximation methodologies have different definitions and aims. While the aim of the first one is to reduce the size of the representing circuits, the second one aims at increasing the confidence in the truth value of some points. This difference

in aim leads to a difference in definition, so that the first one admits a certain degree of freedom in choosing the truth values of some points, if doing so shortens the representation; on the converse, the truth value of all points is specified in the  $k$ -approximation of a function. Having removed this degree of freedom leads to the complexity result of this paper: the  $k$ -approximation of a function cannot be represented, in general, by a polynomial circuit.

The main result of this paper can be linked to other recent ones regarding how compactly information can be represented. According to Gogic, Kautz, Papadimitriou, and Selman [4], Cadoli, Donini, Liberatore, and Schaerf [2], and Penna [5], the space efficiency of a logical formalism is its efficiency in representing information with a small amount of space. Trivial examples are easy to find: first order logic formulas may need exponential space to be converted into equivalent propositional formulae, so the former is more space efficient than the latter one. In many cases, however, a proof of equal/different space efficiency is more complicated (see the papers mentioned above for examples).

The results presented in this paper deal with space efficiency: a boolean function may be represented with little space by saying it is the  $k$ -approximation (for a suitable number  $k$ ) of another function which can be represented with exponentially less space. In other words, we can represent a boolean function by a pair  $\langle C, k \rangle$ , where  $C$  is a circuit and  $k$  is a number, such that the function is the  $k$ -approximation of the function represented by  $C$ . Clearly, any function can be represented with  $k = 0$ . However, as we have shown in this paper, in some cases  $\langle C, k \rangle$  represents a function whose smallest representing circuit cannot be represented in space polynomial in the size of the original circuit  $C$ . As a result, the “formalism” of using a pair can be more space efficient than the usual representation using circuits.

Summing up, we have analyzed the impact of introducing approximations in boolean circuits. More precisely, we have investigated the increase in the size of a circuit when various forms of Hamming-based and set-containment-based approximations are introduced.

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