

# Improving the Alphabet Size in Expander Based Code Constructions

Eran Rom

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### **Abstract**

Various code constructions use expander graphs to improve the error resilience. Often the use of expanding graphs comes at the expense of the alphabet size. This is the case, e.g., in [1], [8] and [7]. We show that by replacing the balanced expanding graphs used in the above constructions with unbalanced dispersers or extractors (depending on the actual construction) the alphabet size can be dramatically improved.

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# Chapter 1

## Introduction

### 1.1 Composing a code and a disperser

A powerful technique for constructing high noise resilient codes uses a combination of codes with expanding graphs. The technique was first introduced by [1], and further developed in [8], [6] and [7]. This composition can be formalized as follows:

**Definition 1** (*graph encoding*) Let  $G = ([N], [L], E)$  be a regular bipartite graph, with regular right degree  $T$ . Let  $\Sigma$  be an alphabet. We define a function  $G : \Sigma^N \rightarrow (\Sigma^T)^L$  as follows: Given  $x \in \Sigma^N$ , we let  $G(x) = G(x)_1, \dots, G(x)_L$ , where  $G(x)_\ell = (x_{\ell_1}, \dots, x_{\ell_T})$ , and  $\ell_1, \dots, \ell_T \in [N]$  are the neighbors of  $\ell \in [L]$  in  $G$ .

Figure 1.1 illustrates this graph encoding.

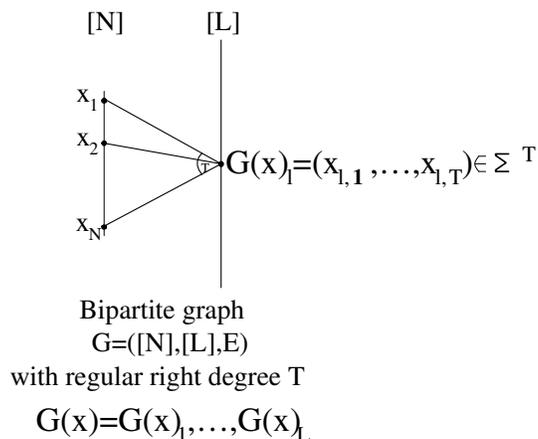


Figure 1.1: *Graph encoding.*  $x_1, \dots, x_N \in \Sigma$  on the left are "put" along the graph's left side  $[N]$ , defining for each  $\ell \in [L]$  an ordered vector of its neighbors:  $G(x)_\ell = (x_{\ell,1}, \dots, x_{\ell,T}) \in \Sigma^T$ , where  $x_{\ell,t}$  is the symbol that was matched to the  $t^{\text{th}}$  neighbor of  $\ell \in [L]$  in  $[N]$ .  $G(x)$  is defined to be  $G(x)_1, \dots, G(x)_L$ .

**Definition 2** (*composition*) Let  $C \subset \mathbb{F}_{q^N}$ . Let  $G$  be as above. We define the composition code  $G \circ C = \{G(c) | c \in C\}$ .

The composition of a code and a bipartite expanding graph can be thought of as a concatenation with a repetition code, followed by mixing and regrouping the codes' coordinates.

A trivial fact about the composition described above is that if  $C$  is linear then so is  $G \circ C$ .

To achieve the high error resilience, or large relative distance,  $G$  must have the following property: any small subset of  $[L]$ , say of size  $\epsilon L$ , sees almost all vertices in  $[N]$ , say at least  $(1 - \delta)N$ . This property is the property of a disperser.<sup>1</sup> As we show next, this disperser's property assures that if  $C$  has relative distance  $\delta$ , then  $G \circ C$  has relative distance  $(1 - \epsilon)$ .

We now define the entropy loss of a disperser, which plays a major role in our analysis. Having regular right degree  $T$  in  $G$  implies that any set of size  $\epsilon L$  on the right can see at most  $\epsilon LT$  vertices on the left. The disperser's expansion property assures that the set sees almost all vertices of  $[N]$ , and so  $\epsilon LT$  must be  $\Omega(N)$ . However, it can be much larger. Thus, a measurement for the quality of the expansion is  $\Lambda_G = \frac{\epsilon LT}{N}$ , called the entropy loss of the disperser.

The following lemma demonstrates how the composition above increases error resilience, and summarizes the parameters of the code composition  $G \circ C$  as a function of the parameters of  $C$  and  $G$ .

**Lemma 1** *If  $G : [L] \times [T] \rightarrow [N]$  is a  $(\epsilon L, \delta)$ - disperser with entropy loss  $\Lambda$ , and if  $C$  is a  $[N, rN, \delta N]_q$  code then  $G \circ C$  is a  $[L, \frac{r\epsilon}{\Lambda}L, (1 - \epsilon)L]_{q^T}$  code*

We give the easy proof in section 4.1. This property translates the small relative distance  $\delta$  to large relative distance  $(1 - \epsilon)$ , while increasing the alphabet size.

## 1.2 Previous work and our improvement

[1] take the graph  $G$  to be a balanced expander ( $L = N$ ), and use the fact that it is a good disperser. As we saw this suffices for the error amplification. The cost of this, however, is enlarging the alphabet from  $\Sigma$  to  $\Sigma^T$ . Recall that the expansion property required is that any  $\epsilon N$  vertices on the left will see at least  $(1 - \delta)N \geq \frac{1}{2}N$  vertices on the right. This implies  $\epsilon NT \geq \frac{1}{2}N$ , yielding a degree  $T$  of order  $\frac{1}{\epsilon}$ .

However, if we take an unbalanced disperser we can achieve the same error with a much smaller degree ( $T = \log^{O(1)}(\frac{1}{\epsilon})$ ), yielding a much smaller alphabet size. One can worry what happens to the rate when taking an unbalanced disperser. However, as we saw before the new rate is  $\frac{r\epsilon}{\Lambda_G}$ . Thus, by taking a disperser with optimal entropy loss, we don't lose on the rate, while dramatically improve the alphabet size.<sup>2</sup>

We apply this improvement to the constructions of [1], [8] and [7]. All these constructions are of the form  $G \circ C$ , and differ in the actual code  $C$  used, and the actual properties required from the expanding graph  $G$  used.

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<sup>1</sup>Dispersers, extractors, error correcting codes, list decodable codes and other definitions needed for our purpose are fully detailed in section 3.

<sup>2</sup>In fact a balanced expander is a good disperser, but not with optimal entropy loss, and so we also slightly improve the rate.

### 1.3 On the dispersers and extractors that we use

We now survey the actual dispersers and extractors<sup>3</sup>  $G$  that we use in the various  $G \circ C$  constructions. As suggested above we are looking for dispersers with optimal entropy loss and small degree. The first disperser we consider, denoted,  $G_{opt}$  has the best entropy loss and degree possible as follows from a lower bound and a matching upper bound for dispersers shown by [11]. This disperser, however, is only shown to exist using the probabilistic method, and there is no known explicit construction for it.

Lemma 1 shows that in order to achieve relative distance  $(1 - \epsilon)$  for  $G \circ C$ , we need a disperser which expands every set of constant fraction  $\epsilon$ . In terms of expanding graphs we need a disperser/extractor for the high min-entropy range. The recent extractor analogue of the zig-zag product, due to [13], gives good constructions for the high min-entropy range. We consider three constructions based on the zig-zag scheme. The first one is a disperser, denoted  $G_{D_{opt}}$ .  $G_{D_{opt}}$  has an optimal entropy loss and near optimal degree. This construction uses two optimal sub components which need to be found by an exhaustive search, which takes  $2^{\frac{1}{\epsilon}} \text{polylog}(N)$  time, where  $\epsilon$  and  $N$  are the error and input length of the disperser  $G_{D_{opt}}$ . The second zig-zag based construction we use, denoted,  $G_{E_{opt}}$  is an extractor with optimal entropy loss and near optimal degree. As with  $G_{D_{opt}}$  the construction uses two optimal sub components which need to be found in time  $2^{\frac{1}{\epsilon}} \text{polylog}(N)$ .  $G_{E_{opt}}$  and  $G_{D_{opt}}$  are referred to as semi-explicit because of the exhaustive search they require. The last zig-zag based construction we use, denoted  $G_{explicit}$ , has optimal entropy loss but a bigger degree, however, it is explicit and uses the extractors of [12] as sub components.

Finally, we consider  $G_{balanced}$ , the balanced disperser that appears in [1], [7], and in some of the constructions in [8]. This disperser, which is based on Ramanujan graphs has relatively large degree and sub optimal entropy loss. The exact parameters of these dispersers including construction times and computation times are given in section 3.7.

In all of our improvements we improve on a construction which uses either  $G_{balanced}$  or a balanced extractor. Whenever  $G_{balanced}$  is used, we examine what improvement we get replacing it with  $G_{opt}$ ,  $G_{D_{opt}}$ , and  $G_{explicit}$ . Although  $G_{explicit}$  is an extractor, having stronger properties than a disperser and thus larger degree and entropy loss, it is explicit and in most cases still achieves major improvement. Whenever a balanced extractor is used we find what happens when replacing it with  $G_{E_{opt}}$ , and with  $G_{explicit}$ .

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<sup>3</sup>The exact definition of extractors is given in section 3.4

# Chapter 2

## The improvement in specific applications

We demonstrate our improvement in the following constructions:

1. The construction of [1] which composes Justesen code with a balanced disperser to give an explicit constant rate code with arbitrarily large relative distance.
2. The construction of [1] leaves open the problem of decoding the code. [8] presents an error correcting code  $G \circ C$  with explicit unique decoding, by taking  $C$  to be a list decodable code. We improve this construction as well.
3. List decodable codes with various range of parameters. We improve three constructions of list decodable codes having various parameters' tradeoffs.

### 2.1 Error correcting codes

We begin with the construction of asymptotically good error correcting codes of [1]. The construction of error correcting codes has the two combinatorially conflicting goals of simultaneously increasing the rate and the relative distance. A basic lower bound, known as the singleton bound, states that if  $C$  is a  $(N, rN, \delta N)_q$  code then:

$$r \leq 1 - \delta + \frac{1}{N} \tag{2.1}$$

The only codes achieving the singleton bound are Reed-Solomon codes having:

$$r(\delta) \geq 1 - \delta \tag{2.2}$$

However, the alphabet size of these codes is at least the block length of the code. A probabilistic argument shows that for any prime power  $q$ , there exist linear codes over alphabet of size  $q$  having:

$$r(\delta) \geq 1 - H_q(\delta) \tag{2.3}$$

This bound is known as the Gilbert-Varshamov bound. Thus, it is natural to concatenate Reed-Solomon codes with a code achieving the Gilbert-Varshamov bound. This gives the Zyablov bound:

$$R_{Zyablov}(\delta, q) \equiv \max_{\delta \leq \mu \leq 1 - \frac{1}{q}} (1 - H_q(\mu))(1 - \delta/\mu) \quad (2.4)$$

[1] show how to construct a code with arbitrarily large relative distance  $\delta < 1$ , rate  $\Omega(1 - \delta)$  and alphabet of size  $2^{O(\frac{1}{1-\delta})}$ . This construction is demonstrated in the two following ways of interest to us:

1. Approaching the singleton bound. When  $q$  tends to infinity, the rate function of [1] has the form of  $r(\delta) \geq \gamma_0(1 - \delta)$ . This resembles the singleton bound except the  $\gamma_0$  factor.
2. Beating the Zyablov bound for large alphabet size. It turns out that the rate function of [1] beats the Zyablov bound for large alphabets.

We next show how replacing the balanced disperser with an unbalanced disperser can improve the alphabet size above to  $2^{O(\log(\frac{1}{1-\delta}))}$ . We also show how this improvement implies an improvement on the above two issues.

### 2.1.1 Improving the alphabet size

[1] give a construction of asymptotically good error correcting codes over large alphabets. We show how replacing the balanced expander used in their construction can be improved by using an unbalanced disperser:

**Theorem 1** *For every relative distance  $\delta < 1$ , there exists an explicitly constructible family of codes of rate  $\Omega(1 - \delta)$  over alphabet of size:*

- $2^{O(\frac{1}{1-\delta})}$ , when using  $G_{balanced}$  as in [1].
- $2^{O(\log(\frac{1}{1-\delta}))}$ , when using  $G_{opt}$ .
- $2^{O(\log^2(\frac{1}{1-\delta}))}$ , when using  $G_{D_{opt}}$ .
- $\widetilde{plog}(\frac{1}{1-\delta})$ , when using  $G_{explicit}$  and where  $\widetilde{plog}(x) = 2^{2^{polyloglog(x)}}$ .

### 2.1.2 Approaching the singleton bound

The construction of [1] is of the form  $G \circ C_{Jus}$ , where  $C_{Jus}$  is a Justesen code<sup>1</sup> and  $G$  is the balanced disperser,  $G_{balanced}$  above. They show:

**Theorem 2** [1] *There exists positive constants  $\gamma_0, \gamma_1$ , such that for every  $\delta > \delta_{min}(\gamma_0)$ , there exists  $q_{min}(\delta)$ , such that for every  $q > q_{min}$  the rate function of the construction satisfies:*

$$R(\delta, q) > \gamma_0(1 - \delta) - \frac{\gamma_1}{\log_2 q} \quad (2.5)$$

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<sup>1</sup>We elaborate on Justesen code in section 3.2

We show that by using an unbalanced disperser we get:

**Theorem 3** *There exists a positive constant  $\gamma_0$ , such that for every  $\gamma_1 > 0$ , there is  $\delta_{min}(\gamma_1)$  such that for every  $\delta > \delta_{min}$ , there exists  $q_{min}(\delta)$ , such that for every  $q > q_{min}$  the rate function of the construction satisfies (2.5)*

For large alphabets, the rate function (2.5) resembles the singleton bound

$$R(\delta) \leq (1 - \delta)$$

but with  $\gamma_0$  in front of  $(1 - \delta)$  instead of 1. We show that the constant  $\gamma_0$ , can be improved when using an unbalanced disperser as shown in Table 2.1. Another difference relates to  $\gamma_1$ . While in theorem 3,  $\gamma_1$  can be arbitrarily small, in the original construction it is a constant greater than 0. Thus, we achieve rate which doubles or triples the rate achieved in [1].

Disperser used	$\gamma_0$	$\gamma_1$
$G_{balanced}$ [1]	$\approx 0.021$	$> 0.58$
$G_{opt}$	$\approx 0.0605$	$o(1)$
$G_{D_{opt}}$	$\approx 0.0427$	$o(1)$

Table 2.1: *The constants in the rate function of asymptotically good error correcting codes.*

### 2.1.3 Beating the Zyablov bound for large alphabets

[1] show that for large alphabet size (2.5) lies above the Zyablov bound (2.4). We show:

**Theorem 4** *The rate function  $R_{G \circ C_{Jus}}(\delta, q)$  lies above the Zyablov bound for alphabet size  $q$  of:*

- $2^{\Theta(\frac{1}{1-\delta})}$ , when using  $G_{balanced}$  ([1]).
- $poly(\frac{1}{1-\delta})$ , when using  $G_{opt}$ .
- $2^{\Theta(\log^2(\frac{1}{1-\delta}))}$ , when using  $G_{D_{opt}}$ .
- $\widetilde{plog}(\frac{1}{1-\delta})$ , when using  $G_{explicit}$ .

Thus, we beat the Zyablov bound for much smaller alphabet size.

## 2.2 Error correcting codes with explicit decoding

The construction  $G \circ C$  above gives codes with arbitrarily large relative distance  $\delta$ , having rate  $\Omega(1-\delta)$  for large alphabets. It is not clear, however, how to decode such codes. Denoting  $\epsilon = 1-\delta$ , [8] give an efficient decoding procedure for an error correcting code  $G \circ C$  of relative distance  $1-\epsilon$ , having rate  $\Omega(\epsilon)$ . The decoding is achieved by taking  $C$  to be a list decodable code, and  $G$  to be a balanced expanding graph with strong mixing property. We show that replacing the balanced graph with an unbalanced extractor the alphabet size is improved. Specifically, we have:

Extractor Used	$ \Sigma $	Ref
Balanced Ramanujan Graph	$2^{O(\frac{1}{\epsilon})}$	[8] Theorem 8
$G_{E_{opt}}$	$2^{O(\log^2(\frac{1}{\epsilon}))}$	Section 6.1
$G_{explicit}$	$\widetilde{p \log}(\frac{1}{\epsilon})$	Section 6.1

Table 2.2: *The alphabet size of the uniquely decodable asymptotically good error correcting codes.*

**Theorem 5** *For any  $\frac{1}{8} > \epsilon > 0$  there is an explicitly specified code family with rate  $\Omega(\epsilon)$ , relative distance at least  $(1 - \epsilon)$  and alphabet size  $|\Sigma|$  as listed in Table 2.2.*

Thus, we dramatically decrease the alphabet size with respect to [8]. We remark that if one could explicitly construct an optimal extractor the alphabet size could be improved to  $(\frac{1}{\epsilon})^{O(1)}$ . The full details of the proof, including encoding, decoding and construction times are given in the appendix (section 6.1).

## 2.3 High noise list decodable codes

[8] and [7] give three different constructions of high noise list decodable codes with varying trade-off between rate and decoding list size, as described in Table 2.3. All constructions are of the form  $G \circ C$ , differing only in the code  $C$  used.

In high noise list decoding we let the relative number of errors be  $(1 - \epsilon)$ , where  $\epsilon > 0$  is arbitrarily small, and present the other parameters: rate  $r$ , alphabet size  $q$  and decoding list size  $L$  as a function of  $\epsilon$  and the block length of the code  $N$ . A simple fact is that for high noise list decodable codes  $r = O(\epsilon)$ ,  $L = \Omega(\frac{1}{\epsilon})$ , and  $q = \Omega(\frac{1}{\epsilon})$ .

The first variant we consider has optimal rate of  $\Omega(\epsilon)$ , but suffers a sub exponential decoding list size. This construction is from [8] and takes  $C$  to be a list recoverable code with constant rate and sub exponential decoding list size. The exact parameters, including encoding, decoding and construction times are given in the appendix (section 6.2, theorem 9).

The second variant from [7], which takes  $C$  to be a list recoverable code from arbitrary size, has an almost optimal rate of  $\Omega(\frac{\epsilon}{\log^{O(1)}(\frac{1}{\epsilon})})$  and still suffers sub exponential decoding list size. However, this construction shows that if one could construct better extractors for low min-entropies (or list recoverable codes from arbitrary size with short decoding lists), then one could get an almost optimal rate with small decoding list size. The exact parameters including construction times are given in the appendix (section 6.4, theorem 11).

The third variant has sub optimal rate, but has the merit of optimal decoding list size. In this construction from [8],  $C$  is taken to be a list recoverable code with rate  $\Omega(\epsilon)$  and  $O(\frac{1}{\epsilon})$  decoding list size, trading a shorter decoding list with a worse rate. The exact parameters, including encoding, decoding and construction times are given in the appendix (section 6.3, theorem 10).

In all cases, we show how replacing the balanced expander with various unbalanced dispersers improve on the alphabet size of these constructions, as shown in Table 2.3.

rate	Decoding list size	alphabet size	Ref
Lower bound			
$\epsilon$	$\frac{1}{\epsilon}$	$\frac{1}{\epsilon}$	
Optimal rate list decodable codes			
$\epsilon$	$2^{N^\gamma \log(\frac{1}{\epsilon})}$	$2^{\epsilon^{-1} \log(\frac{1}{\epsilon})}$ $2^{\log^2(\frac{1}{\epsilon})}$ $2^{\log^3(\frac{1}{\epsilon})}$ $\widetilde{plog}(\frac{1}{\epsilon})$	[8] Section 6.2 Section 6.2 Section 6.2
Almost optimal rate list decodable codes - Using explicit extractors			
$\frac{\epsilon}{\log^{O(1)}(\frac{1}{\epsilon})}$	$2^{\sqrt{g(\epsilon) \cdot N \log(g(\epsilon) \cdot N)}}$	$2^{\epsilon^{-1} \log(\frac{1}{\epsilon})}$ $2^{\log^2(\frac{1}{\epsilon})}$ $2^{\log^3(\frac{1}{\epsilon})}$ $\widetilde{plog}(\frac{1}{\epsilon})$	[7] Section 6.4 Section 6.4 Section 6.4
Almost optimal rate list decodable codes - Assuming optimal extractors			
$\frac{\epsilon}{\log(\frac{1}{\epsilon})}$	$\frac{1}{\epsilon}$	$2^{\epsilon^{-1} \log(\frac{1}{\epsilon})}$ $2^{\log^2(\frac{1}{\epsilon})}$ $2^{\log^3(\frac{1}{\epsilon})}$ $\widetilde{plog}(\frac{1}{\epsilon})$	[7] Section 6.4 Section 6.4 Section 6.4
Sub optimal rate list decodable codes			
$\epsilon^2$	$\frac{1}{\epsilon}$	$2^{\epsilon^{-1} \log(\frac{1}{\epsilon})}$ $2^{\log^2(\frac{1}{\epsilon})}$ $2^{\log^3(\frac{1}{\epsilon})}$ $\widetilde{plog}(\frac{1}{\epsilon})$	[8] Section 6.3 Section 6.3 Section 6.3

Table 2.3: *The list decoding parameters and the alphabet size improvements. For each construction we list the improvements achieved when using  $G_{opt}$ ,  $G_{D_{opt}}$  and  $G_{explicit}$ .  $O(\cdot)$ ,  $\Omega(\cdot)$  notations were omitted for readability. All codes admit combinatorial list decoding from  $(1 - \epsilon)$  relative fraction of errors.  $N$  is the block length of the code and  $g(\epsilon)$  is a function dependent only on  $\epsilon$ . The value  $\gamma$  is in the interval  $(0, 1]$ .  $\widetilde{plog}(x)$  stands for  $2^{2^{poly \log \log(x)}}$ .*

## 2.4 Summary

Amplification using expanding graphs is a widely used technique in both coding and complexity theory. Our technical contribution is noting that for the case of error amplification of codes the expanding graph needed is actually an unbalanced disperser (or extractor) and that its entropy loss is a key parameter in analyzing such codes constructions. The results above show that when using such unbalanced dispersers with optimal entropy loss, the resulting alphabet size, and sometimes the rate can be improved.

Two recent works fall into the range of parameters of list decodable codes that we consider in this work. One is the optimal rate construction of [18] who give a polynomial time constructible family of  $(1 - \epsilon, O(\frac{1}{\epsilon}))$  list decodable codes having rate  $r = \Omega(\epsilon)$  and alphabet size  $2^{O(\epsilon^{-3} \log(\frac{1}{\epsilon}))}$ . Thus, [18] improve on the decoding list size appearing in Table 2.3, but worsen the alphabet size.

The other work [17] is an almost optimal rate construction of  $(1 - \epsilon, (\frac{1}{\epsilon})^{O(\log \log(\frac{1}{\epsilon}))})$  list decodable codes having rate  $\Omega(\frac{\epsilon}{\log^2(\frac{1}{\epsilon})})$ , and alphabet size of  $2^{O(\log^2(\frac{1}{\epsilon}))}$ . This construction has a natural representation, which is computable in expected polynomial time.

In section 3 we give the necessary coding and expanding graphs background, elaborating on the Zig-Zag graph construction, which we use for constructing good dispersers. In section 3.6 we explain the inherent loss in the rate of the almost optimal rate construction from Table 2.3. We show that this loss with respect to the optimal rate construction stems from the fact that each construction uses a different flavor of a list recoverable code. In section 4 we give the general structure of the various  $G \circ C$  constructions, and in sections 5, 6 we give the detailed parameter analysis of each construction.

# Chapter 3

## Preliminaries

We give the necessary background on codes and expanding graphs we use.

### 3.1 Codes

Error correcting codes were built to deal with the task of correcting errors in transmission over noisy channels. Formally, an  $(N, n, d)_q$  error correcting code over alphabet  $\Sigma$ , where  $|\Sigma| = q$ , is a subset  $C \subseteq \Sigma^N$  of cardinality  $q^n$  in which every two elements are distinct in at least  $d$  coordinates.  $n$  is called the dimension of the code,  $N$  the block length of the code, and  $d$  the distance of the code. If  $C$  is a linear subspace of  $[\mathbb{F}_q]^N$ , where  $\Sigma$  is associated with some finite field  $\mathbb{F}_q$  we say that  $C$  is a linear code, and denote it  $[N, n, d]_q$  code. From the definition we see that one can uniquely identify a codeword in which at most  $\frac{d-1}{2}$  errors occurred during transmission. Moreover, since two codewords from  $\Sigma^N$  can differ in at most  $N$  coordinates, the largest number of errors from which unique decoding is possible is  $N/2$ .

This motivates the list decoding problem, first defined in [4]. In list decoding we give up unique decoding, allowing potentially more than  $N/2$  errors, and require that there are only few possible codewords having some modest agreement with any received word. Formally, we say that an  $(N, n)_q$  code  $C$  is  $(p, K)$ -list decodable, if for every  $w \in \Sigma^N$ ,  $|\{c \in C \mid \Delta(w, c) \leq pN\}| \leq K$ , where  $\Delta(x, y)$  is the number of coordinates in which  $x$  and  $y$  differ. That is, the number of codewords which agree with  $w$  on at least  $(1-p)N$  coordinates is smaller than  $K$ . We call the ratio  $n/N$  the rate of the code, and  $p$  the error rate.

In the high noise regime we let  $p = 1 - \epsilon$ , for  $\epsilon > 0$  being very small. A simple probabilistic argument shows that  $(1 - \epsilon, O(\frac{1}{\epsilon}))$ -list decodable codes with  $rate = \Omega(\epsilon)$ , and  $|\Sigma| = O(\frac{1}{\epsilon^2})$  exist. Also the rate must be  $O(\epsilon)$ , and  $|\Sigma| = \Omega(\frac{1}{\epsilon})$ .

The notion of list decodable codes can be generalized to that of list recoverable codes, where for each coordinate  $i \in [N]$  there is some subset of  $|\Sigma|$  of possibilities for explaining the received symbol in the  $i^{th}$  coordinate. Formally, we say that a code  $C \subset \Sigma^N$ , is  $(\delta, \alpha|\Sigma|, L)$ -list recoverable if for every  $S_1, \dots, S_N \subset \Sigma$  of size  $\alpha|\Sigma|$  each, there are at most  $L$  codewords  $w \in C$ , having at least  $\delta N$  coordinates  $w_i \in S_i$ . List decoding is list recovering having  $\alpha|\Sigma| = 1$ .

After discussing extractors in section 3.4 we will further generalize the notion of list recovering to that of list recovering from arbitrary size. As we will see this notion is equivalent to extractors.

List decodable codes, list recoverable codes and list recoverable codes from arbitrary size (defined in section 3.5) are used as the code  $C$  in the constructions  $G \circ C$  we discuss in this work.

Finally, we say that a code is explicit if a codeword of the code can be computed in time polynomial in the code length.

### 3.2 Justesen code

The construction  $G \circ C$  of [1] uses Justesen code as the code  $C$ . A Justesen code has the advantage of a good relationship between relative distance and rate, while still being explicit. This is achieved by concatenating a Reed-Solomon code of appropriate rate with a Wozencraft ensemble of codes. Before stating the parameters of Justesen code we need the definition of the entropy function:

**Definition 3** For every  $0 \leq x \leq 1$ , the binary entropy function, denoted  $H_2(x)$ , is defined as:

$$H_2(x) = x \log_2 \left( \frac{1}{x} \right) + (1-x) \log_2 \left( \frac{1}{1-x} \right)$$

Moreover,  $H_2(0), H_2(1)$  are defined to be 0 at these points as  $\lim_{x \rightarrow 0} H_2(x) = \lim_{x \rightarrow 1} H_2(x) = 0$ . For every  $0 \leq x \leq 1 - \frac{1}{q}$ , we define:

$$H_q(x) = x \log_q \left( \frac{1}{x} \right) + (1-x) \log_q \left( \frac{1}{1-x} \right) + x \log_q(q-1) \tag{3.1}$$

Again,  $\lim_{x \rightarrow 0} H_q(x) = 0$ , and so we define  $H_q(0) = 0$ . It can be easily verified that  $H_q(1 - \frac{1}{q}) = 1$ , and that it is concave and monotonically increasing in  $[0, 1 - \frac{1}{q}]$ .

**Theorem 6** [9] For every  $\delta_0 < \frac{1}{2}$ , and alphabet size  $q_0$ , large enough such that  $H_q^{-1}(\frac{1}{2}) > \delta_0$ , there exists an explicit family of codes with relative distance  $\delta_0$  over alphabet of size  $q_0$ , and rate:

$$R_{Jus}(\delta_0, q_0) = \frac{1}{2} \left( 1 - \frac{\delta_0}{H_{q_0}^{-1}(1/2)} \right) \tag{3.2}$$

For further analysis we get rid of the inverse entropy function appearing in the rate above. We begin by bounding the inverse entropy function  $H_q^{-1}(\frac{1}{2})$ :

**Claim 1**

$$H_q^{-1} \left( \frac{1}{2} \right) \geq \frac{1}{2} - \frac{1}{\log_2 q} \tag{3.3}$$

*Proof:* (3.1) can be rewritten as:

$$\begin{aligned} H_q(x) &= \frac{H_2(x)}{\log_2 q} + x \log_q(q-1) \leq \\ &\leq \frac{1}{\log_2 q} + x \end{aligned}$$

Letting  $x = \frac{1}{2} - \frac{1}{\log_2 q}$ , we thus have,  $H_q(x) \leq \frac{1}{2}$ . Since  $H_q(x)$  is monotonically increasing the claim follows. We remark that this bound is almost tight. It can be easily shown that:

$$H_q^{-1}\left(\frac{1}{2}\right) \leq \frac{1}{2} - \frac{1}{4 \log_2 q}$$

■

Substituting (3.3) in (3.2) we get:

**Corollary 1** *If  $C_{Jus}$  is a Justesen code over alphabet of size  $q_0$ , and relative distance  $\delta_0$ , then:*

$$R_{Jus}(\delta_0, q_0) > \frac{1}{2} - \delta_0 - \frac{2\delta_0}{\log_2 q_0 - 2} \tag{3.4}$$

### 3.3 A general decoding scheme

We now give a description of a decoding procedure for constructions of the form  $G \circ C$ , which is common to all the decoding procedures we consider later on. This decoding procedure was used in the various constructions of [8], [7]. The procedure interprets the  $i^{th}$  symbol of a received word - a symbol from the alphabet of  $G \circ C$  - as a list of 'votes' saying what  $i$  thinks are the symbols from the smaller alphabet of  $C$ , in the coordinates neighboring to  $i$  in  $G$ .

Formally, let  $G$  be an expanding graph  $G : [L] \times [T] \rightarrow [N]$  and  $C \subset \Sigma^N$ . The decoding procedure for  $G \circ C$  takes a word  $w \in (\Sigma^T)^L$ , and constructs  $N$  subsets of  $\Sigma$ :  $S_1, \dots, S_N$  in the following way: for each  $\ell \in [L]$ , and each  $t \in [T]$  we add to  $S_{G(\ell,t)}$ , the symbol  $w_{\ell,t}$ . See figure 3.1A. We refer to this procedure as a voting procedure, as every coordinate of  $w$  on the right votes for what it thinks are the symbols that should be in each of its neighbors on the left. A coordinate  $i \in [N]$  having degree  $D$ , can get up to  $D$  different votes. Had the word  $w$  been a legitimate codeword of  $G \circ C$ , all votes were identical. See figure 3.1B. Different decoding strategies use the  $N$  sets in slightly different ways, as described in section 4.

We now analyze the complexity it takes to perform the encoding and decoding of the amplification procedure. Let  $G$  be a  $[L] \times [T] \rightarrow [N]$  disperser. Assume that given  $x \in [L]$ , and  $y \in [T]$ , computing  $G(x, y)$  takes time  $t$ . For the encoding procedure, we need to iterate over all elements in  $[L]$  and for each element to find all its  $[T]$  neighbors. Thus, the encoding time is  $LT \cdot t$ . For the decoding procedure described above, we need again  $LT \cdot t$  time. We mention that in order to keep all sets  $S_1, \dots, S_N$  we need also  $LT \log q$  space, where  $q$  is the alphabet size of the code  $C$ , used in  $G \circ C$ . The exact resources needed for the various dispersers we use are given below.

### 3.4 Expanding graphs

Expanding graphs are highly connected graphs, but nevertheless sparse. There are two major ways to define the expansion property of these graphs. The weaker property of expansion states that every subset of the vertices  $X$  is expanded by some factor  $C > 1$ , meaning the size of the neighbor set of  $X$  is at least  $C|X|$ . This property assures that if we start with a small subset  $X$  then after not too many expansion steps, we will visit almost all the vertices. This property is

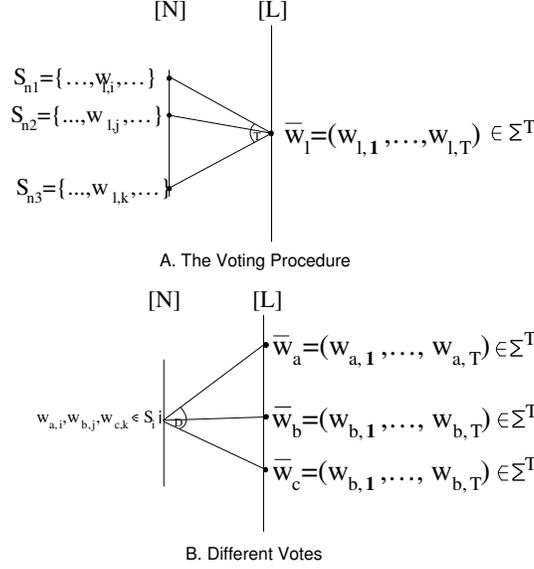


Figure 3.1: *A. The Voting Procedure.*  $w_\ell \in \Sigma^T$ , is the  $\ell^{\text{th}}$  coordinate of some word  $w \in (\Sigma^T)^L$ . If  $n_1 \in [N]$  is the  $i^{\text{th}}$  neighbor of  $\ell \in [L]$ ,  $S_{n_1}$  contains the symbol  $w_{\ell,i}$ . Similarly,  $n_2, n_3$  are the  $j^{\text{th}}$ , and  $k^{\text{th}}$  neighbors of  $\ell$ , adding the 'votes'  $w_{\ell,j}$ , and  $w_{\ell,k}$  to  $S_{n_2}, S_{n_3}$  accordingly. *B. Different Votes.*  $a, b, c \in [L]$  are all neighbors of  $i$ , thus contributing their votes to  $S_i$ . Had  $w_a, w_b$ , and  $w_c$  been coordinates of a legitimate codeword of  $G \circ C$ , the votes were consistent, meaning  $w_{a,i} = w_{b,j} = w_{c,k}$ .

similar<sup>1</sup> to the property of dispersers defined below. The stronger property of mixing (see, [2], Chap 9) states that the number of edges between any two subsets of vertices is close to the relative number of edges leaving these subsets. This property assures that if we start with a small subset of vertices  $X$  then after not too many steps where in each step we proceed from  $X$  to its neighboring set, not only we visit almost all vertices, but each vertex is visited more or less the same number of times. This property is similar to the property of extractors defined below. Thinking of our graphs as bipartite graphs with regular left degree, we turn to the weaker definition of dispersers:

**Definition 4** (*Dispersers*)  $G : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -disperser if for every  $X \subseteq [L]$ ,  $|X| \geq K$  we have  $|\Gamma_G(X)| \geq (1 - \epsilon)N$ . The entropy loss of the disperser is  $\Lambda_G = \frac{KT}{N}$ . The disperser is explicit if  $G(x, y)$  can be computed in time polynomial in the input length, i.e., polynomial in  $\log L + \log T$ .

Thus, the disperser assures that each small subset of  $[L]$  sees almost all  $[N]$ .  $K$  is referred to as the min-entropy for which the disperser assures the required expansion.  $K$  vertices have at most  $KT$  neighbors, while the expansion property assures almost  $N$  neighbors. Thus, the entropy loss  $\Lambda_G = \frac{KT}{N}$  gives some measurement of the quality of the disperser's expansion. It is useful to note that the expansion property of dispersers works for both sides, as demonstrated in the following lemma:

<sup>1</sup>Expanders assure the expansion of every small enough set whereas dispersers assure the expansion every large enough set.

**Lemma 2** (*Reverse expansion*) If  $G : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -disperser then for any subset  $Y \subset [N]$ ,  $|Y| \geq \epsilon N$ , we have  $|\Gamma_G(Y)| \geq L - K$ .

*Proof:* Any  $X \subset [L]$ ,  $|X| \geq K$  has  $|\Gamma_G(X)| \geq (1 - \epsilon)N$ . This implies that for any subset  $Y \in [N]$ ,  $|Y| \geq \epsilon N$  there can be a set of size at most  $K$  in  $[L]$  missed by  $Y$ . Thus,  $|\Gamma_G(Y)| \geq L - K$  ■  
 For the stronger definition of extractors, we need the following: A probability distribution  $D$  on  $\Omega$  is a function  $D : \Omega \rightarrow [0, 1]$ , satisfying  $\sum_{x \in \Omega} D(x) = 1$ . For an integer  $M$  we define  $U_M$  as the uniform distribution over  $[M]$ , meaning  $U_M(x) = \frac{1}{M}$  for every  $x \in [M]$ . The statistical distance between two distributions  $D_1, D_2$ , denoted  $|D_1 - D_2|$  is:

$$\frac{1}{2} \sum_{x \in \Omega} |D_1(x) - D_2(x)| = \max_{S \subseteq \Omega} |D_1(S) - D_2(S)|$$

We say that  $D_1$  and  $D_2$  are  $\epsilon$ -close if  $|D_1 - D_2| < \epsilon$ . We are now ready for the extractor definition:

**Definition 5** (*Extractors*)  $E : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -extractor if for every  $X \subseteq [L]$ ,  $|X| \geq K$ , the distribution of  $E(x, y)$ , is  $\epsilon$ -close to  $U_N$ , where  $x$  is taken uniformly at random from  $X$  and  $y$  is taken uniformly at random from  $[T]$ . The entropy loss of the extractor is  $\frac{KT}{N}$ .  $\epsilon$  is called the extractor error. An extractor is explicit if  $E(x, y)$  can be computed in time polynomial in the input length, i.e., polynomial in  $\log L + \log T$ .

As opposed to the definition of dispersers the condition  $E(x, y)$  is  $\epsilon$ -close to  $U_M$  states that not only every element in  $[M]$  is sampled, but all elements in  $[M]$  are sampled about the same number of times. Thus, any extractor is also a disperser having the exact same parameters.  $K$  is called the min-entropy of the extractor. A stronger definition of extractors demands that the output distribution stays close to uniform even if the random value of  $y$  is revealed.

**Definition 6** (*Strong Extractors*)  $E : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -strong extractor if for every  $X \subseteq [L]$ ,  $|X| \geq K$ , the distribution  $y \circ E(x, y)$  is  $\epsilon$ -close to  $U_{[T] \times [N]}$ , where  $x$  is taken uniformly at random from  $X$  and  $y$  is taken uniformly at random from  $[T]$ . The entropy loss of the strong extractor is  $\frac{K}{N}$ . The extractor is explicit if  $E(x, y)$  can be computed in time polynomial in the input length, i.e., polynomial in  $\log L + \log T$ .

As mentioned before the property of extractors is closely related to that of mixing. It is immediate from the definition of extractors that:

**Fact 1** (*Extractors mixing property*) If  $E : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -extractor, then for every  $S \subseteq [N]$ , and every  $X \subset [L]$ ,  $|X| \geq K$ , we have:

$$\left| \frac{|\Gamma_E(X) \cap S|}{|X|T} - \frac{|S|}{N} \right| < \epsilon$$

where

$$\Gamma_E(X) = \{E(x, i) | x \in X, i \in [T]\}$$

If  $E$  above is strong we get for every  $S \subseteq [T] \times [N]$ , and every  $X \subset [L]$ ,  $|X| \geq K$

$$\left| \frac{|\Gamma_E(X) \cap S|}{|X|T} - \frac{|S|}{T \cdot N} \right| < \epsilon$$

where

$$\Gamma_E(X) = \{(i, E(x, i)) | x \in X, i \in [T]\}$$

Another way to write the mixing property of strong extractors is: For every  $S \subset [T] \times [N]$ , there are at most  $2K$  elements  $x \in [L]$ , for which:

$$\left| \frac{|\Gamma_E(x) \cap S|}{T} - \frac{|S|}{TN} \right| > \epsilon \quad (3.5)$$

Just as with the reverse expansion of dispersers, extractors have reverse mixing, as demonstrated in the next lemma from [11]:

**Lemma 3** (*Reverse Mixing*) if  $E : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -extractor then for every  $C > 2$ , and for every  $X \subset [L]$ ,  $|X| \geq K$  there are at most  $\frac{4N}{C}$  elements  $y \in [N]$  for which:

$$\left| \frac{|\Gamma_E(y) \cap X|}{d_y} - \frac{|X|}{L} \right| > \epsilon C \cdot \frac{|X|}{L}$$

where  $d_y$  is the degree of  $y$  in  $E$

*Proof:* By the mixing property of extractors we have:  $\forall S \subseteq [N], \forall X \subseteq [L], |X| \geq K$  it holds that:

$$\left| \frac{|\Gamma_E(X) \cap S|}{T|X|} - \frac{|S|}{N} \right| < \epsilon$$

Multiplying and dividing by  $\frac{Q|S|}{T|X|}$ , and noting that  $|\Gamma_E(X) \cap S| = |\Gamma_E(S) \cap X|$  we get:

$$\frac{Q|S|}{T|X|} \cdot \left| \frac{|\Gamma_E(S) \cap X|}{Q|S|} - \frac{T|X|}{QN} \right| < \epsilon$$

Substituting  $Q = \frac{LT}{N}$  the lemma follows. ■

Finally, we mention that [11] give the following lower bounds, which have matching upper bound for extractors and strong extractors: if  $E : [L] \times [T] \rightarrow [N]$  is a  $(K, \epsilon)$ -(strong) extractor, then:

$$T = \Omega\left(\frac{1}{\epsilon^2} \log \frac{L}{K}\right) \quad (3.6)$$

entropy loss:

$$\Lambda_G = \Omega\left(\frac{1}{\epsilon^2}\right) \quad (3.7)$$

### 3.5 Extractors and list recoverability from arbitrary size

We now further generalize the notion of list recovering to that of list recovering from arbitrary size. Recall that a code  $C$  of block length  $N$  is  $(\delta, \alpha|\Sigma|, L)$ -list recoverable if for every  $S_1, \dots, S_N \subset \Sigma$  of size  $\alpha|\Sigma|$  each, there are at most  $L$  codewords  $w \in C$ , having at least  $\delta N$  coordinates  $w_i \in S_i$ . We say that the  $i^{\text{th}}$  coordinate of a codeword  $C(x)$  agrees with some  $S_i \subset \Sigma$  of arbitrary size, if  $C(x)_i \in S_i$ . Denoting  $S = \bigcup_i \{S_i, i\}$ , (where  $\{S_i, i\} = \{(x, i) | x \in S_i\}$ ) we say that the agreement of

$C(x)$  with  $S$ , is the number of coordinates  $i$  having agreement with  $S_i$ . The list recovering property can be now thought of as having a small number of codewords having some **fixed** agreement ( $\delta N$ ) with a set  $S \subset \Sigma \times [N]$ .

In list recovering from arbitrary size we demand that for each  $S \subset \Sigma \times [N]$  there is a small number of codewords having relative agreement with  $S$  which is slightly more than the **proportional** size of  $S$ . Formally, A code  $C \subset [\Sigma]^N$  is  $(L, \epsilon)$  list recoverable from arbitrary size if for every  $S \subseteq \Sigma \times N$ , there are at most  $L$  codewords  $C(x)$ , for which  $A_S(x) > (\frac{|S|}{N|\Sigma|} + \epsilon)N$ , where  $A_S(x) = \{i | (x_i, i) \in S\}$ .

[15] have shown that the notion of list recoverability from arbitrary size is equivalent to that of a strong extractor. Intuitively, and using the notations of extractors and codes above, the mixing property for strong extractors states that for every subset  $S \subseteq [T] \times [M]$  there are few vertices having relative number of neighbors in  $S$  larger than the relative size of  $S$ . In list recovering from arbitrary size there are few codewords having relative agreement with  $S \subseteq \Sigma \times [N]$  larger than the relative size of  $S$ . Formally, [15] show:

**Theorem 7** *If  $E : [N] \times [D] \rightarrow [M]$  is a  $(L, \epsilon)$ -strong extractor, then the code  $C_E : [N] \rightarrow [M]^D$  defined by  $\forall x \in [N], C(x) = (E(x, 1), \dots, E(x, D))$  is  $(L, \epsilon)$ -list recoverable from arbitrary size. Conversely, if  $C_E$  is  $(\epsilon, L)$  list recoverable from arbitrary size then  $E$  is a  $(\frac{L}{\epsilon}, 2\epsilon)$ -strong extractor.*

We can thus derive an upper bound on the rate of a list recoverable code from arbitrary size from the degree lower bound of strong extractors:

**Lemma 4** *For every  $\epsilon > 0$  if  $C_E : [N] \rightarrow [q]^D$  is a  $(\epsilon, L)$ -list recoverable code from arbitrary size and  $L$  does not depend in  $N$ , then the rate of the code  $r_{C_E}$  satisfies:*

$$r_{C_E} = \frac{\log_2 N}{D \log q} = O\left(\frac{\epsilon^2}{\log q}\right)$$

*Proof:* Theorem 7 implies that  $C_E$  is a  $(\frac{L}{\epsilon}, 2\epsilon)$ -strong extractor  $E : [N] \times [D] \rightarrow [M]$ . By the degree lower bound of strong extractors (3.6) we have  $D = \Omega(\frac{1}{\epsilon^2} \log(\frac{\epsilon N}{L}))$ .  $L$  is independent of  $N$  and the lemma follows. ■

### 3.6 A note on the non-optimality of the construction

Looking at Table 2.3, we see that the almost optimal rate list decodable code construction suffers sub optimal rate even when using an optimal extractor. To see why we loose on the rate, we observe that the optimal rate construction uses a list recoverable code whereas the almost optimal rate construction uses a list recoverable code from arbitrary size, which is a stronger notion. We now demonstrate that achieving the stronger notion of list recoverability from arbitrary size, implies loosing on the rate. A simple probabilistic argument shows that:

**Lemma 5** *If  $\alpha < \frac{1}{4}$  is an arbitrary constant then for every  $0 < \delta < \alpha$ , there exists a family of  $(\alpha, \frac{1}{\delta}, O(\frac{1}{\delta}))$ -list recoverable codes over an alphabet size  $q = O(\frac{1}{\delta^2})$  having rate  $\Omega(\alpha)$ .*

On the other hand by Lemma 4 a family of  $(O(\frac{1}{\delta}), \alpha)$ -list recoverable codes from arbitrary size have rate  $O(\frac{\alpha^2}{\log(\frac{1}{\delta})})$ . Looking at Table 2.3 we see that the factor differentiating between the optimal and sub optimal constructions is  $\frac{1}{\log(\frac{1}{\delta})}$ .

## 3.7 The dispersers and extractors parameters

We now elaborate on the exact parameters of the dispersers and extractors surveyed in section 1.3. The extractors and dispersers we mention are used as  $G$  in the various  $G \circ C$  constructions appearing later on. In all cases we consider a  $(K, \epsilon)$ -disperser/extractor  $G : [L] \times [T] \rightarrow [N]$ .

We also summarize in Table 3.1 the parameters of the relevant graphs below using slightly different notations, which comply with the notations of [1] for ease of presentation.

### 3.7.1 The optimal disperser $G_{opt}$

Ta-Shma and Radhakrishnan [11] show that any disperser with parameters as above must have degree:

$$T = \Omega \left( \frac{1}{\epsilon} \log \frac{L}{K} \right) \quad (3.8)$$

and entropy loss:

$$\frac{KT}{N} = \Omega \left( \log \frac{1}{\epsilon} \right) \quad (3.9)$$

Probabilistically, [11] show a disperser with:

$$T = \frac{2}{\epsilon} \left( \ln \frac{L}{K} + 1 \right) \quad (3.10)$$

and with entropy loss:

$$\frac{KT}{N} = 2 \left( \ln \left( \frac{1}{\epsilon} \right) + 1 \right) \quad (3.11)$$

The disperser we refer to as  $G_{opt}$  has degree and entropy loss, as in (3.10), (3.11).  $G_{opt}$  is used in all constructions (except the explicit decoding of [1] in section 2.2, where an optimal extractor is needed).

### 3.7.2 The balanced disperser $G_{balanced}$

We compare all constructions to those using  $G_{balanced}$  (where  $L = N$ ), based on Ramanujan graphs, having the parameters (see e.g. [1], section 3):

$$T \geq \frac{4 \left( \frac{1}{\epsilon} - 1 \right)}{\frac{K}{L}} \quad (3.12)$$

$$\Lambda = \frac{KT}{N} = 4 \left( \frac{1}{\epsilon} - 1 \right) \quad (3.13)$$

### 3.7.3 The Zig-Zag based constructions $G_{D_{opt}}$ , $G_{E_{opt}}$ and $G_{explicit}$

As mentioned above the graphs  $G$  in the  $G \circ C$  constructions are dispersers/extractors for the high min-entropy range. Such graphs can be constructed using the recent zig-zag product scheme of [13] tailored for this range.

**Zig-Zag preliminaries:** We begin with the definition of min-entropy. A random variable  $X$  distributed over  $\{0, 1\}^n$  is said to have  $k \leq n$  bits of min-entropy, denoted  $H_\infty(X) = k$ , if for every  $x \in \{0, 1\}^n$ ,  $Pr[X = x] \leq 2^{-k}$ . Min-entropy is thus a measurement of the amount of randomness in a weak source which is not uniformly distributed. Two random variables  $(X_1, X_2)$  form a  $(k_1, k_2)$ -block source [3], if  $X_1$  has  $k_1$  min-entropy, and for every possible value  $x_1$  of  $X_1$ , the distribution of  $X_2$  conditioned on  $X_1 = x_1$  has  $k_2$  min-entropy.

An extractor is a function which takes a weak random source  $X$  having some min-entropy  $k < n$  and transforms it to almost purely (ideally  $k$ ) random bits. Formally (using min-entropy term):

**Definition 7** *A function  $Ext : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is a  $(k, \epsilon)$ -extractor if for every  $X$  distributed over  $\{0, 1\}^n$ , having  $k$  min-entropy,  $Ext(X, U_d)$  is  $\epsilon$ -close to  $U_m$ .*

[14] have shown that no deterministic function can perform such an extraction. Thus, the extractors we consider take as input (apart from the weak source) an additional random seed of pure randomness to perform the extraction. Let us recall that for the application of codes, we consider agreement sets of size  $\epsilon L$  out of  $L$ , where  $\epsilon > 0$  is some constant independent of  $L$ . In terms of min-entropy this is like having a source with  $k = \log L - \log(\frac{1}{\epsilon})$  bits of min-entropy out of  $n = \log L$ . Defining  $\Delta = n - k = \log(\frac{1}{\epsilon})$ , we say that the source has  $\Delta$  min-entropy deficiency. Thus, for the error amplification of codes we need an extractor for sources with constant min-entropy deficiency. As pointed out by [5] any source  $X$  of length  $n$  having  $\Delta$  deficiency can be thought of two 'almost independent' sources each having  $\Delta$  deficiency. Formally, [5] show:

**Lemma 6** *Let  $X$  be a random source distributed over  $\{0, 1\}^n$ , having  $\Delta$  deficiency. For every  $\epsilon > 0$  and every  $n_1, n_2$ , such that  $n_1 + n_2 = n$ ,  $X$  is  $\epsilon$ -close to a  $(n_1 - \Delta, n_2 - \Delta - 2 \log(\frac{1}{\epsilon}))$  block source  $X_1 \circ X_2$  ( $\circ$  denotes string concatenation), where  $X_1$  is distributed over  $\{0, 1\}^{n_1}$ , and  $X_2$  over  $\{0, 1\}^{n_2}$ .*

[10] give a simple extractor for block sources. The idea is to take a relatively short truly random seed, which is used to extract the randomness from  $X_2$ . The extracted randomness is then used to extract the randomness from  $X_1$ . The smaller  $\Delta$  is, the smaller the truly random seed can be.

However, this idea loses  $\Delta$  min-entropy 'by definition'. The zig-zag scheme of [13], overcomes this loss. We now sketch the zig-zag scheme, see figure 3.2.

Let  $X$  be a source distributed over  $\{0, 1\}^n$ , having  $\Delta$  min-entropy deficiency. Let  $\epsilon > 0$ ,  $n_1 + n_2 = n$ , with  $X_1, X_2$  as in the lemma above. Let  $E_2 : \{0, 1\}^{n_2} \times \{0, 1\}^{d_2} \rightarrow \{0, 1\}^{m_2}$ , be a  $(n_2 - \Delta - 2 \log(\frac{1}{\epsilon}), \epsilon)$  extractor.  $E_2$  uses  $d_2$  truly random bits to extract  $m_2$  random bits from  $X_2$ . Let  $E_1 : \{0, 1\}^{n_1} \times \{0, 1\}^{m_2} \rightarrow \{0, 1\}^{m_1}$ , be a  $(n_1 - \Delta, \epsilon)$  extractor, having the following property:  $E_1$  can be extended to a pair of functions  $\langle E_1, C_1 \rangle : \{0, 1\}^{n_1} \times \{0, 1\}^{m_2} \rightarrow \{0, 1\}^{m_1} \times \{0, 1\}^{n_1 + m_2 - m_1}$ , such that  $\langle E_1, C_1 \rangle$  is a 1-to-1 mapping.  $E_1$  uses the  $m_2$  output bits of  $E_2$  to extract  $m_1$  random bits from  $X_1$ .

Let us denote by  $Z_1, Z_2$  the corresponding random variables of  $\langle E_1, C_1 \rangle(X_1, E_2(X_2, Y))$  (see figure 3.2), where  $Y = U_{d_2}$ .  $Z_1$  is distributed over  $\{0, 1\}^{m_1}$ , and  $Z_2$  over  $\{0, 1\}^{n_1 + m_2 - m_1}$ . Since  $\langle E_1, C_1 \rangle$  is a 1-to-1 mapping we actually have that the mapping:

$$Z_1 \circ Z_2 \circ X_2 \circ Y \doteq \langle E_1, C_1 \rangle(X_1, E_2(X_2, Y)) \circ X_2 \circ Y \tag{3.14}$$

is  $1 - \epsilon - 1$ . Now, on one hand  $Z_1$  is close to uniform and on the other  $Z_1 \circ Z_2 \circ X_2 \circ Y$  is  $1 - \epsilon - 1$ , thus given the  $m_1$  random bits of  $Z_1$  extracted from  $X_1$ ,  $Z_2 \circ X_2 \circ Y$  are close to uniform. Stated otherwise, there are still almost  $n - \Delta + d_2 - m_1$  random bits in  $Z_2 \circ X_2 \circ Y$ . Thus, we apply a third extractor using fresh random bits on  $Z_2 \circ X_2 \circ Y$ . This extractor needs to be a  $((n - \Delta + d_2 - m_1), \epsilon)$ -extractor  $E_3 : \{0, 1\}^{(n_1+m_2-m_1)+n_2+d_2} \times \{0, 1\}^{d_3} \rightarrow \{0, 1\}^{m_3}$ . Thus, the total amount of random bits used is  $d_2 + d_3$ . As for the entropy loss we have 'invested'  $n - \Delta + d_2 + d_3$  bits of entropy, and extracted  $m_1 + m_3$  bits, giving entropy loss of  $n - \Delta + d_2 + d_3 - (m_1 + m_3)$ , which is exactly the entropy loss of  $E_3$ .

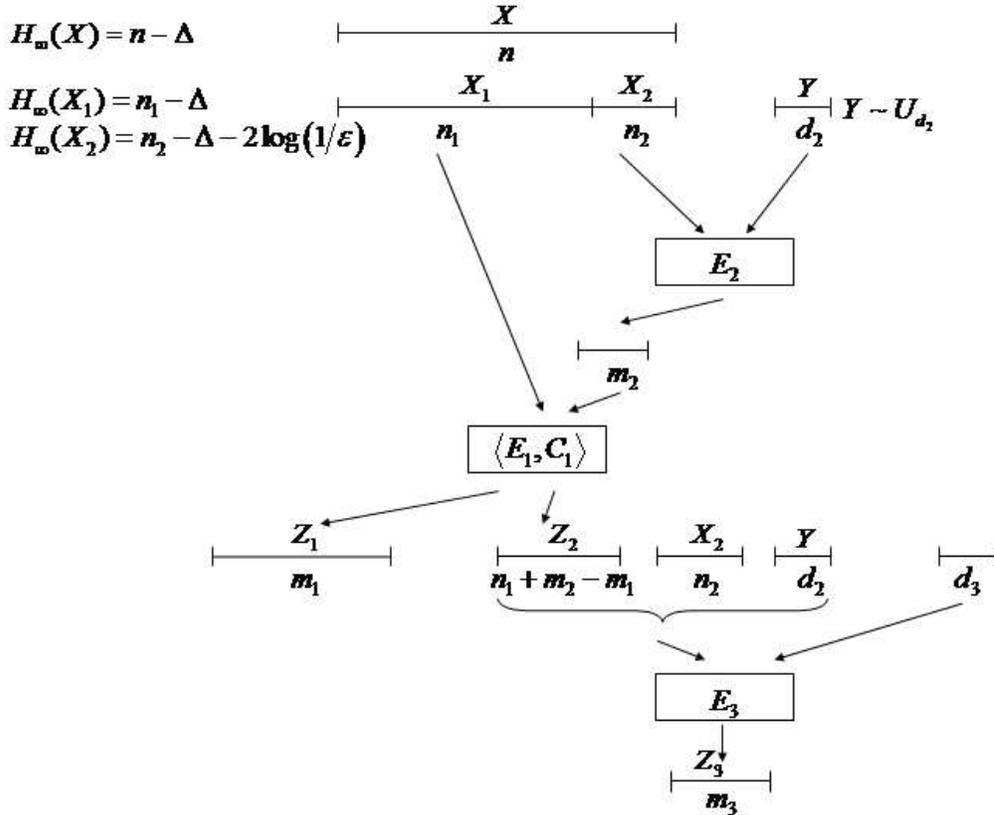


Figure 3.2: *The zig-zag scheme*

**The Zig-Zag disperser:** For most of our applications we only need a disperser with optimal entropy loss. We thus take  $E_3$  to be a disperser<sup>2</sup>. The above argument is identical, only we 'extract' the  $n - \Delta + d_2 - m_1$  bits of min-entropy from  $Z_2 \circ X_2 \circ Y$  in a 'disperser manner'. This yields  $Z_3$  which is not close to uniform but close to have full support. This is exactly what we need as we want a disperser and not an extractor. Taking  $E_3$  to be a disperser, the entropy loss

<sup>2</sup>Seemingly we could also take  $E_1, E_2$  to be dispersers, reducing both the amount of randomness needed and the entropy loss. However, if for example we take  $E_2$  to be a disperser then  $E_2(X_2, Y)$  is  $\epsilon$ -close to have full support rather than  $\epsilon$ -close to uniform. As such it is not suitable for extracting the randomness from  $X_1$  even in a disperser manner.

of the scheme above will be the entropy loss of a disperser which is much better than that of an extractor. Also  $d_3$  can be much smaller for a disperser.

**The parameters:** We now give the exact parameters of the zig-zag based constructions which we use. In all three constructions  $E_1$  is the extractor of [5] based on an expander random walk:

**Theorem 8** *For any  $\epsilon > 0$  and  $0 < k < n$  there exists an explicit  $(n - \Delta, \epsilon)$ -extractor  $E : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^n$ , where  $d = \Delta + 2 \log(\frac{1}{\epsilon}) + 2$*

Using an appropriate expander for the construction of  $E_1$  (e.g. a Caley graph), it can be easily extended to be a 1-to-1 mapping  $\langle E_1, C_1 \rangle : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^n \times \{0, 1\}^d$ .

For  $G_{D_{opt}}$  we take  $E_2$  to be an optimal extractor and  $E_3$  to be an optimal disperser. This construction actually appears in [13] lemma 6.13 only with  $E_3$  being an optimal extractor.

**Lemma 7** *([13] corollary 6.13, replacing  $E_3$  with an optimal disperser) For any  $1 \leq K \leq N$  and  $\epsilon > 0$ , there exists a  $(K, \epsilon)$ -Disperser  $G : [L] \times [T] \rightarrow [N]$  with*

$$T = O\left(\frac{1}{\epsilon}\right)^3 \left(\log\left(\frac{L}{K}\right) + \log\left(\frac{1}{\epsilon}\right)\right)^2 \quad (3.15)$$

$$\Lambda = 2 \left(\ln\left(\frac{4}{\epsilon}\right) + 1\right) \quad (3.16)$$

*Given  $x \in [L]$ , and  $y \in [T]$  computing  $G(x, y)$  takes  $O(\log^2 L)$  time. The construction time of the disperser is  $2^{(\frac{L}{K})^{O(1)}}$  · poly log  $L$ , and it can be represented in  $O((\frac{L}{K} + \log(\frac{1}{\epsilon}))^2)$  space.*

For  $G_{E_{opt}}$  we take  $E_2$  and  $E_3$  to be optimal extractors, this construction is given in [13] lemma 6.13.

**Lemma 8** *([13] corollary 6.13) For any  $1 \leq K \leq N$  and  $\epsilon > 0$ , there exists a  $(K, \epsilon)$ -Extractor  $E : [L] \times [T] \rightarrow [N]$  with*

$$T = O\left(\frac{1}{\epsilon}\right)^4 \left(\log\left(\frac{L}{K}\right) + \log\left(\frac{1}{\epsilon}\right)\right)^2 \quad (3.17)$$

$$\Lambda = O\left(\frac{1}{\epsilon}\right)^2 \quad (3.18)$$

*Given  $x \in [L]$ , and  $y \in [T]$  computing  $G(x, y)$  takes  $O(\log^2 L)$  time. The construction time of the disperser is  $2^{(\frac{L}{K})^{O(1)}}$  · poly log  $L$ , and it can be represented in  $O((\frac{L}{K} + \log(\frac{1}{\epsilon}))^2)$  space.*

We emphasize that although  $G_{D_{opt}}$  and  $G_{E_{opt}}$  use optimal subcomponents these subcomponents are small enough so that the construction time is exponential in  $\frac{L}{K}$ . Recall that for our applications  $\frac{L}{K} = \frac{1}{\epsilon}$ , where  $1 - \epsilon$  is a constant representing the decoding radius/minimum distance of the codes.

For  $G_{explicit}$  we take  $E_2$  and  $E_3$  to be the optimal entropy loss extractors of [12]. This construction is explicit, however its entropy loss and degree are inferior to previous constructions.  $G_{explicit}$  is used throughout all the constructions we consider.

Graph	$\Lambda$	$T$	Ref
$G_{opt}$	$2(\ln(\frac{1}{\delta_0}) + 1)$	$\frac{2}{\delta_0}(\ln(\frac{1}{1-\delta}) + 1)$	[11]
$G_{D_{opt}}$	$2(\ln(\frac{4}{\delta_0}) + 1)$	$O(\frac{1}{\delta_0})^3(\log(\frac{1}{1-\delta}) + \log(\frac{1}{\delta_0}))^2$	[13]
$G_{explicit}$	$O(\frac{1}{\delta_0})^2$	$2^{O(\log^3(\frac{1}{\delta_0} \log(\frac{1}{1-\delta})))}$	[13]
$G_{balanced}$	$4(\frac{1}{\delta_0} - 1)$	$\frac{4(\frac{1}{\delta_0} - 1)}{1-\delta}$	[1]

Table 3.1: *The dispersers' and extractor's parameters we use. The parameters are quoted for  $G : [L] \times [T] \rightarrow [N]$ , which is a  $((1 - \delta)L, \delta_0)$ -disperser/extractor.  $\delta$  is the relative distance of  $G \circ C$ .  $\delta_0$  is relative distance of  $C$  and  $N$  is the block length of  $C$ .*

**Lemma 9** ([13] Theorem 6.12 using the explicit extractors of [12] Theorem 4) *For any  $1 \leq K \leq L$  and  $\epsilon > 0$ , there exists a  $(K, \epsilon)$ -Extractor  $E : [L] \times [T] \rightarrow [N]$  with degree:*

$$T = 2^{O(\log^3(\frac{1}{\epsilon} \log(\frac{L}{K})))} \quad (3.19)$$

*and entropy loss:*

$$\Lambda = O\left(\frac{1}{\epsilon^2}\right) \quad (3.20)$$

*Given  $x \in [L]$ , and  $y \in [T]$  computing  $E(x, y)$  takes  $O(\log^2 L) + O((\log(\frac{L}{K}) + \log(\frac{1}{\epsilon}))^3)$  time. The construction time of the extractor is  $\text{poly}(\frac{1}{\epsilon})(\frac{L}{K})$*

# Chapter 4

## The internal structure of the different constructions

In this section we summarize the various ways in which we use the  $G \circ C$  construction. In section 1 we give the example where  $C$  is a linear code, as in [1]. For the constructions in sections 2.2, 2.3 we need to take  $C$  either as a list decodable code or as a list recoverable code or as a list recoverable code from arbitrary size. As shown below, the choice of  $C$  determines the internal structure of the proof regarding the list decodability of the overall construction.

### 4.1 Taking $C$ to be a linear code

For the asymptotically good error correcting codes [1] take  $C$  to be a linear code. The parameters of  $G \circ C$  where  $C$  is a linear code are given in lemma 1. We now give the proof.

**Lemma 1** *If  $G : [L] \times [T] \rightarrow [N]$  is a  $(\epsilon L, \delta)$ - disperser with entropy loss  $\Lambda$ , and if  $C$  is a  $[N, rN, \delta N]_q$  code then  $G \circ C$  is a  $[L, \frac{r \cdot \epsilon}{\Lambda} L, (1 - \epsilon)L]_{q^T}$  code*

*Proof:* The alphabet size of  $G \circ C$  is immediate from the composition definition. By the composition definition the rate of  $G \circ C$  is:

$$r \cdot \frac{N \log q}{L \log(q^T)} = r \cdot \frac{N}{LT} = \frac{r \cdot \epsilon}{\Lambda} \quad (4.1)$$

For the relative distance, let  $C(x)$  be a non-zero codeword of  $C$ .  $C$  is linear with relative distance  $\delta$ , and so there are at least  $\delta N$  coordinates which are not zero in  $C(x)$ . By the reverse expansion of dispersers (Lemma 2), these  $\delta N$  coordinates have at least  $(1 - \epsilon)L$  neighbors in  $G$ , yielding  $(1 - \epsilon)L$  coordinates different from zero in  $G \circ C(x)$ . Thus, every non-zero codeword of  $G \circ C$  has weight at least  $(1 - \epsilon)L$  and  $G \circ C$  has relative distance  $(1 - \epsilon)$ . ■

### 4.2 Taking $C$ to be a list decodable code

For the unique decoding of asymptotically good error correcting codes mentioned in section 2.2, [8] take  $C$  to be a list decodable code.

**Lemma 10** *Assuming:*

- for every  $\alpha > 0$ , there exists  $[N, rN, \frac{1}{2}N]_{q(\alpha)}$  code  $C$ , which can be list decoded from  $(1 - \alpha)$  fraction of errors.
- for every  $\epsilon > 0$ , there exists  $(\epsilon L, \frac{1}{16})$  extractor  $G : [L] \times [T] \rightarrow [N]$ .

Then for every  $0 < \delta \leq \frac{1}{2}$ ,  $\epsilon < \delta$ , the code  $G \circ C$  is  $[L, \frac{r\epsilon}{\Lambda}L, (1 - \epsilon)L]_{q(\frac{\delta}{4})^T}$  code, for which there is a list decoding procedure from a fraction of  $(1 - \delta)$  errors, implying a unique decoding procedure from  $\frac{1-\epsilon}{2}$  fraction of errors.

The proof follows exactly the lines of [8]:

*Proof:* Let  $\delta > 0$ ,  $\epsilon < \delta$ . Let  $C$  be the code from the first assumption using  $\alpha = \frac{1}{4}\delta$ , and  $G$  the extractor from the second assumption. Exactly as in lemma 1,  $G \circ C$  has the stated rate, relative distance, and alphabet size. We now show the decoding procedure for  $G \circ C$ . Let  $es \in [q^T]^L$ , be a word which agrees with some codeword  $G \circ C(x)$  on at least  $\delta L$  coordinates. Denote by  $X \subseteq [L]$  the coordinates in agreement.  $|X| \geq \delta L > \epsilon L$ . We now perform the decoding procedure described in section 3.3, only, instead of taking all votes to the sets  $S_1, \dots, S_N$ , we take only the  $t$  most popular votes, for  $t$  to be determined later. We now claim:

**Claim 2** *If for some  $i \in [N]$ , having degree  $d_i$ ,  $C(x)_i \notin S_i$ , then in  $G$  there are at most  $\frac{d_i}{t+1}$  edges between  $X$  and  $i$ .*

*Proof:* All edges from  $X$  to  $i$  vote for the same symbol, as  $X$  contains coordinates of a legitimate codeword. Thus, if this symbol didn't make it to the  $t$  most popular votes, it means that there are  $t$  other symbols, each having more than  $\frac{d_i}{t+1}$  votes, implying that there are at least  $t \cdot \frac{d_i}{t+1}$  edges originated in  $i$  which do not fall in  $X$ . Thus, there are at most  $\frac{d_i}{t+1}$  additional edges between  $X$  and  $i$ . ■

Stated otherwise, if  $C(x)_i \notin S_i$  then

$$\frac{|\Gamma_E(i) \cap X|}{d_i} < \frac{1}{t+1}$$

Applying the the reverse mixing lemma (Lemma 3) to  $G$  above with  $|X| > \delta L$ , we have that for every  $C > 2$ , there are at most  $\frac{4N}{C}$  elements  $i \in [N]$  for which:

$$\left| \frac{|\Gamma_E(i) \cap X|}{d_i} - \delta \right| > \frac{1}{16}C \cdot \delta$$

and so even less elements satisfy:

$$\frac{|\Gamma_E(i) \cap X|}{d_i} < (1 - \frac{1}{16}C) \cdot \delta$$

Taking  $C = 8$ , and  $t$ , such that  $t + 1 = \lceil \frac{2}{\delta} \rceil$  there can be at most  $\frac{N}{2}$  elements  $i \in [N]$  for which:

$$\frac{|\Gamma_E(i) \cap X|}{d_i} < \frac{1}{t+1}$$

Thus, by the above claim and the reverse mixing lemma there can be at most  $\frac{N}{2}$  elements  $i \in [N]$ , for which  $C(x)_i \notin S_i$ .

We now use the sets  $S_1, \dots, S_N$  to construct  $t$  strings  $w_1, \dots, w_t$ . For each  $1 \leq j \leq t$ , and  $1 \leq i \leq N$  define  $(w_j)_i$  be the  $j^{\text{th}}$  symbol of  $S_i$ .

**Claim 3** *At least one of the words  $w_1, \dots, w_t$  has  $\alpha N = \frac{\delta}{4}N$  agreement with  $C(x)$ .*

*Proof:* More than half of the sets  $S_i$  contain the symbol  $C(x)_i$ . Averaging over the  $t$  words  $w_j$ , there is at least one such word with at least  $\frac{N}{2t}$  coordinates from  $C(x)$ . By the choice of  $t$ ,  $\frac{N}{2t} \geq \frac{1}{4}\delta N = \alpha N$ . ■

Thus, using the decoding procedure of  $C$  on  $w_1, \dots, w_t$ , gives a list  $L$ , which contains  $x$ . Going over all words in  $L$  we find the single word within distance at most  $\frac{1-\epsilon}{2}$  from the given word  $es$ . ■

**Remark 1** *One could argue that we can take all votes and not just the  $t$  popular ones for the sets  $S_i$ . Doing so the sets  $S_1, \dots, S_N$  are of average size  $\frac{LT}{N} = \Theta(\frac{1}{\epsilon})$ . Thus, by the averaging argument above we can only guarantee that there is some word with  $\Theta(\epsilon)$  agreement. This implies that the code  $C$  will have to be a high noise list decodable code which can deal with up to  $(1 - \epsilon)$  fraction of errors. By Table 2.3, such a code must have rate  $O(\frac{1}{\epsilon})$ , and alphabet size  $\Omega(\frac{1}{\epsilon})$*

### 4.3 Taking $C$ to be a list recoverable code

For the optimal rate list decodable code construction and the optimal decoding list size list decodable code construction mentioned in 2.3, [8] take  $C$  to be a list recoverable code. The next lemma gives the parameters and decoding scheme for composing a list recoverable code with a disperser.

**Lemma 11** *Assuming that for every  $\epsilon > 0$ :*

- *There exists  $(\epsilon L, \frac{1}{2} - \frac{1}{10})$  disperser  $G : [L] \times [T] \rightarrow [N]$  with entropy loss  $\Lambda$ , and average right degree  $Q = \frac{LT}{N} = \frac{\Lambda}{\epsilon}$*
- *There exists  $(N, rN)_{q=O((\frac{1}{\epsilon})^2)}$  code  $C$  which is  $(\frac{1}{2}, 10Q, M)$ -list recoverable code*

*Then for every  $\epsilon > 0$ ,  $G \circ C$  is a  $(L, \frac{r\epsilon}{\Lambda}L)_{O((\frac{1}{\epsilon})^2)}$  code which is  $(1 - \epsilon, M)$ -list decodable.*

We follow the lines of [16] "Reduction of list decoding to list recoverability using expanders". *Proof:* Let  $\epsilon > 0$ . Let  $C$ , and  $G$  be as above. The block length, rate and alphabet size of  $G \circ C$  follow exactly as in lemma 1. We now show the list decodability parameters. Let  $es \in [q^T]^L$ , be a word which agrees with some codeword  $G \circ C(x)$  on at least  $\epsilon L$  coordinates. Denote by  $X \subseteq [L]$  the coordinates in agreement.  $|X| \geq \epsilon L$ . We now perform the decoding procedure described in section 3.3, yielding  $S_1, \dots, S_N$ . At least  $1 - \frac{1}{10}$  of the sets are of size at most  $10Q$ . By the expansion property of  $G$ , there are at least  $(\frac{1}{2} + \frac{1}{10})N$  sets  $S_i$ , for which  $C(x)_i \in S_i$ . Thus, at least  $\frac{1}{2}$  of the sets  $S_i$  satisfy  $|S_i| < 10Q$ , and  $C(x)_i \in S_i$ . Thus, performing the decoding procedure of  $C$  we get a decoding list of size  $M$ . ■

## 4.4 Taking $C$ to be a list recoverable code from arbitrary size

For the almost optimal rate list decodable code construction mentioned in 2.3, [7] takes  $C$  to be a list recoverable code from arbitrary size<sup>1</sup> to construct an almost optimal rate list decodable code. The next lemma analyzes the parameters and decoding scheme.

**Lemma 12** *Let  $C \subset [M]^D$  be a code of rate  $r_C$ , which is  $(L, \zeta_C)$  list recoverable code from arbitrary size. Let  $G : [N] \times [T] \rightarrow [D]$  be a  $(\epsilon N, \zeta_G)$ -dispenser, with entropy loss  $\Lambda_G = \frac{\epsilon NT}{D}$ . if  $M \cdot D \geq \frac{N \cdot T}{1 - \zeta_C - \zeta_G}$ , then  $G \circ C$  has the following properties:*

1. *It has rate  $r_C \cdot \frac{\epsilon}{\Lambda_G}$ , and is defined over an alphabet of size  $M^T$ .*
2. *It is a  $(1 - \epsilon, L)$ -list decodable code.*

*Proof:* Let  $C$  and  $G$  be as above. The rate and alphabet size follow immediately as in lemma 1. We now show the list decodability parameters. Let  $es \in [M^T]^N$ , be a word which agrees with some codeword  $G \circ C(x)$  on at least  $\epsilon N$  coordinates. Denote by  $X \subseteq [N]$  the coordinates in agreement.  $|X| \geq \epsilon N$ . We now perform the decoding procedure described in section 3.3, yielding  $S_1, \dots, S_D$ . We think of each element  $s \in S_i$ , as an ordered pair  $(s, i) \in [M] \times [D]$ . Thus,  $S = \bigcup_i S_i$  can be thought of a subset of  $[M] \times [D]$ . Since  $X$  is the set of coordinates in agreement, then for all the neighbors  $G(x, j) \in [D]$  ( $j \in [T]$ ) of  $x \in X$ , we have:

$$(es_x)_j = C(x)_{G(x,j)}$$

More specifically we have:

$$((es_x)_j, G(x, j)) = (C(x)_{G(x,j)}, G(x, j)) \tag{4.2}$$

By the expansion property of  $G$ , there are at least  $(1 - \zeta_G)D$  indices  $G(x, j) \in [D]$  for which (4.2) holds. Thus, denoting  $A_S(x) = \{i | (C(x)_i, i) \in S\}$ , we have that  $|A_S(x)| \geq (1 - \zeta_G)D$ . Now,  $|S| \leq NT$ , and by the assumption  $M \cdot D \geq \frac{N \cdot T}{1 - \zeta_C - \zeta_G}$ , and so:

$$\left(\frac{|S|}{MD} + \zeta_C\right)D \leq \left(\frac{NT}{MD} + \zeta_C\right)D \leq (1 - \zeta_G)D \leq |A_S(x)|.$$

Thus, by the list recoverability from arbitrary size property of  $C$ , there are at most  $L$  codewords having  $|A_S(x)|$  agreement with  $C(x)$ , or in other words at most  $L$  codewords having  $\epsilon N$  agreement with  $G \circ C(x)$ . ■

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<sup>1</sup>In the terminology of [7]  $C$  is a strong extractor.

# Chapter 5

## Asymptotically good error correcting codes over large alphabets

Section 1.2 shows that when taking the construction of  $C_{Jus} \circ G$ , where  $C_{Jus}$  is a Justesen code and  $G$  is a balanced disperser, the alphabet size, as well as the rate can be improved by replacing the balanced expander with an unbalanced one, while keeping all other parameters the same<sup>1</sup>. In this section we formally prove this (Theorems 1-4).

### 5.1 Improving the alphabet size (Theorem 1)

The proof is straight forward from lemma 1:

*Proof:* Let  $\delta < 1$ . Take  $C$  to be a  $[N, r_{Jus}N, \delta_{Jus}N]_{q_{Jus}}$  Justesen code having constant rate, constant relative distance and constant alphabet size. Take  $G : [L] \times [T] \rightarrow [N]$  to be a  $((1 - \delta)L, \delta_{Jus})$ -disperser. By the above lemma the resulting code  $G \circ C$  is a  $[L, \frac{r_{Jus} \cdot (1 - \delta)}{\Lambda} L, \delta L]_{q_{Jus}}$  code. Plugging in the degree and entropy loss of the dispersers  $G_{balanced}$ ,  $G_{opt}$ ,  $G_{D_{opt}}$  and  $G_{explicit}$  gives the claimed rate and alphabet size. ■

### 5.2 Approaching the singleton bound (Theorems 2, 3)

We first see how the rate function of  $G \circ C_{Jus}$  behaves for prescribed alphabet size  $q$  and relative distance  $\delta < 1$ . The analysis below follows that of [1], only we represent the rate function using the entropy loss of the disperser  $G$  as implied by (4.1):

$$Rate_{G \circ C_{Jus}}(\delta, q) = R_{Jus}(\delta_0, q_0) \cdot \frac{(1 - \delta)}{\Lambda} \quad (5.1)$$

Where,  $q, \delta < 1$  are the prescribed alphabet size and relative distance of the construction and  $\delta_0, q_0 = q^{\frac{1}{T}}$  are the relative distance and alphabet size of  $C_{Jus}$ . Writing the rate function as above it is immediate to see the improvement in the rate when using an unbalanced disperser with optimal entropy loss:

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<sup>1</sup>This is true when using  $G_{opt}$  or  $G_{D_{opt}}$ . For the explicit extractor  $G_{explicit}$  the alphabet size is improved, but the rate is inferior to that of [1] due to the larger entropy loss of  $G_{explicit}$ .

1. The smaller the entropy loss is, the larger the rate is.
2. The alphabet size of the Justesen code is  $q^{\frac{1}{T}}$ , where  $T$  is the degree of the disperser used. Since unbalanced dispersers have smaller degree the alphabet size of the Justesen code can be larger. The rate function of Justesen code (3.2) is increasing in the alphabet size and so we get a better rate for the Justesen code, and thus a better rate for the overall code.

We now turn to the analysis. Substituting the Justesen code lower bound (3.4) in (5.1) we have that:

$$Rate_{G \circ C_{Jus}}(\delta, q) \geq E_0(1 - \delta) - \frac{E_1 \cdot T \cdot (1 - \delta)}{2 \log_2 q - 2T} \quad (5.2)$$

where:

$$E_0 = \frac{1 - 2\delta_0}{2\Lambda} \quad (5.3)$$

$$E_1 = \frac{2\delta_0}{\Lambda} \quad (5.4)$$

We now split the analysis for the balanced and unbalanced cases:

**Claim 4** *For the balanced disperser  $G_{balanced}$ , the following holds:*

1.  $E_0$  is of the form  $\frac{f(\delta_0)}{\mu(\delta)}$ , where  $\mu(\delta) > 1$  and  $\lim_{\delta \rightarrow 1} \mu(\delta) = 1$ .
2.  $E_1 \cdot T \cdot (1 - \delta)$  is a constant which depends only on  $\delta_0$ .

Thus, for the balanced case (5.2) can be rewritten as:

$$Rate_{G \circ C_{Jus}}(\delta, q) \geq \frac{f(\delta_0)}{\mu(\delta)}(1 - \delta) - \frac{g(\delta_0)}{2 \log_2 q - 2T}$$

Let  $\gamma_1 > g(\delta_0)$ . Let  $\gamma_0 < f(\delta_0)$ . By the property of  $\mu(\delta)$  above there exists  $\delta_{min}(\gamma_0)$  such that for any  $\delta > \delta_{min}$ ,  $\gamma_0 < \frac{f(\delta_0)}{\mu(\delta)}$ . Since  $T$  is increasing in  $\delta$ , for every  $\delta > \delta_{min}$ , there exists  $q_{min}$  such that for every  $q > q_{min}$   $\log_2 q > 2T$ . Altogether, there exists positive constants  $\gamma_0, \gamma_1$ , such that for every  $\delta > \delta_{min}(\gamma_0)$ , there exists  $q_{min}(\delta)$ , such that for every  $q > q_{min}$  the rate function of the construction satisfies (2.5) proving theorem 2.

**Claim 5** *For  $G_{opt}$  and  $G_{D_{opt}}$  the following holds:*

1.  $\Lambda$  depends only on  $\delta_0$  and  $\lim_{\delta \rightarrow 1} T \cdot (1 - \delta) = 0$ .
2.  $E_0$  depends only on  $\delta_0$ .

Thus, (5.2) can be rewritten as:

$$Rate_{G \circ C_{Jus}}(\delta, q) \geq f'(\delta_0)(1 - \delta) - \frac{g'(\delta)}{2 \log_2 q - 2T}$$

where  $\lim_{\delta \rightarrow 1} g'(\delta) = 0$ . Let  $\gamma_0 = f'(\delta_0)$ . Let  $\gamma_1 > 0$ . Since  $\lim_{\delta \rightarrow 1} g'(\delta) = 0$  there is  $\delta_{min}(\gamma_1)$ , such that for every  $\delta > \delta_{min}$ ,  $\gamma_1 > g'(\delta)$ . Again, since  $T$  is increasing in  $\delta$ , for every  $\delta > \delta_{min}$ ,

there exists  $q_{min}$  such that for every  $q > q_{min}$ ,  $\log_2 q > 2T$ . Altogether, there exists a positive constant  $\gamma_0$ , such that for every  $\gamma_1 > 0$ , there is  $\delta_{min}(\gamma_1)$  such that for every  $\delta > \delta_{min}$ , there exists  $q_{min}(\delta)$ , such that for every  $q > q_{min}$  the rate function of the construction satisfies (2.5). This proves theorem 3.

We now turn to prove claims 4, 5 and estimate the exact values  $\gamma_0$  can attain in each case. We note that by lemma 1, the disperser we need is a  $((1 - \delta)L, \delta_0)$ -disperser  $G : [L] \times [T] \rightarrow [N]$ , where  $N$ ,  $\delta_0$  are the block length and relative distance of the Justesen code used in  $G \circ C_{Jus}$ , and  $\delta$  is the relative distance of  $G \circ C_{Jus}$ . We refer the reader to Table 3.1 for the disperser graphs parameters  $T$  and  $\Lambda$  used in the proofs below.

*Proof:*(Claim 4) The degree  $T$  of  $G_{balanced}$  satisfies:

$$\mu(\delta) \frac{4(\frac{1}{\delta_0} - 1)}{(1 - \delta)} \geq T \geq \frac{4(\frac{1}{\delta_0} - 1)}{(1 - \delta)} \quad (5.5)$$

where  $\lim_{\delta \rightarrow 1} \mu(\delta) = 1$ ,  $\mu > 1$  (see [1] section 3). The entropy loss of  $G_{balanced}$  satisfies:

$$\Lambda = (1 - \delta)T$$

Substituting the above in (5.3) we get:

$$E_0 = \frac{(1 - 2\delta_0)}{8\mu(\frac{1}{\delta_0} - 1)}$$

Obviously,  $E_0$  is of the form  $\frac{f(\delta_0)}{\mu(\delta)}$  as claimed above. Substituting the degree and entropy loss above in (5.4) we have:

$$E_1 \cdot T \cdot (1 - \delta) \geq 2\delta_0.$$

Thus, we can take  $\gamma_0 < \frac{(1-2\delta_0)}{8(\frac{1}{\delta_0}-1)}$ , and  $\gamma_1 > 2\delta_0$ . The maximum value of  $\gamma_0$  is attained at  $\delta_0 \approx 0.29$ , and is  $\approx 0.021$ . ■

*Proof:*(Claim 5) For  $G_{opt}$  substituting its entropy loss in (5.3) we get:

$$E_0 = \frac{(1 - 2\delta_0)}{2(\ln(\frac{1}{\delta_0}) + 1)}$$

Obviously,  $E_0$  depends only on  $\delta_0$ . Also  $\Lambda$  is dependent only on  $\delta_0$ , and by the degree of  $G_{opt}$  we have  $\lim_{\delta \rightarrow 1} T \cdot (1 - \delta) = 0$  as claimed above. By  $E_0$  above, we can take  $\gamma_0 = \frac{(1-2\delta_0)}{2(\ln(\frac{1}{\delta_0})+1)}$ .  $\gamma_0$  attains its maximum value of 0.0605 at  $\delta_0 \approx 0.1$ .

For  $G_{D_{opt}}$  substituting its entropy loss in (5.3) we get:

$$E_0 = \frac{(1 - 2\delta_0)}{2(\ln(\frac{4}{\delta_0}) + 1)}$$

Again,  $E_0$  and  $\Lambda$  depend only on  $\delta_0$ . Also  $\lim_{\delta \rightarrow 1} T \cdot (1 - \delta) = 0$  as claimed above. By  $E_0$  above, we can take  $\gamma_0 = \frac{(1-2\delta_0)}{2(\ln(\frac{4}{\delta_0})+1)}$ .  $\gamma_0$  attains its maximum value of 0.0427, at  $\delta_0 \approx 0.0855$ . ■

### 5.3 Beating the Zyablov bound (Theorem 4)

[1] show that for large enough alphabet size  $q$ , the rate function of  $G \circ C_{Jus}$  beats the Zyablov bound. We show that the alphabet size needed to beat this bound can be much smaller when using an unbalanced disperser  $G$ . For the analysis we need the following lemma implicit in [1].

**Lemma 13** *Let  $R_{Zyablov}(\delta)$  be the Zyablov rate function given in (2.4). Let  $G \circ C_{Jus}$  be a code with rate function of the form (5.2). If  $q$  is large enough such that:*

$$\log_2 q > \frac{E_1 T}{2(E_0 - 1)} + T \quad (5.6)$$

where  $E_0, E_1$  are as in (5.3),(5.4), then  $\text{Rate}_{G \circ C_{Jus}}(\delta, q) > R_{Zyablov}(\delta, q)$

*Proof:* By the Gilbert-Varshmov bound  $R_{GV}(\delta) \geq (1 - H_q(\delta))$ . By the Singleton bound for every  $q$ ,  $R(\delta) < (1 - \delta)$ . Thus, we have:

$$(1 - \delta) > (1 - H_q(\delta)) \quad (5.7)$$

Substituting (5.7) in (2.4), we get:

$$R_{Zyablov}(\delta) < \max_{\mu \geq 0} (1 - \mu) \left(1 - \frac{\delta}{\mu}\right)$$

The maximum is achieved when  $\mu = \sqrt{\delta}$ , giving:

$$R_{Zyablov}(\delta) < (1 - \sqrt{\delta})^2$$

Using (5.2) we need  $q$  satisfying:

$$E_0(1 - \delta) - \frac{E_1 \cdot T \cdot (1 - \delta)}{2 \log_2 q - 2T} > (1 - \sqrt{\delta})^2$$

Rearranging the above, we get:

$$\log_2 q > \frac{E_1 T}{2(E_0 - \frac{1 - \sqrt{\delta}}{1 + \sqrt{\delta}})} + T$$

Noting that  $\frac{1 - \sqrt{\delta}}{1 + \sqrt{\delta}} < 1$ , the lemma follows. ■

**Corollary 2** *For  $G_{balanced}$ ,  $G_{opt}$ ,  $G_{D_{opt}}$ , and  $G_{explicit}$  in order to beat the Zyablov bound it is enough to take  $q$ , satisfying*

$$\log_2 q = \Theta(T) \quad (5.8)$$

where  $T$  is the disperser's degree.

*Proof:* Looking at (5.3) and (5.4), and using the fact that the entropy loss of  $G_{balanced}$ ,  $G_{opt}$ ,  $G_{D_{opt}}$  and  $G_{explicit}$  is dependent only on  $\delta_0$ , (5.6) implies that we need  $q$  satisfying  $\log_2 q > f(\delta_0) \cdot T$ , where  $f$  is some function dependent only on  $\delta_0$ . Since  $\delta_0$  is a constant the corollary follows. ■  
Plugging the degree of  $G_{balanced}$ ,  $G_{opt}$ ,  $G_{D_{opt}}$ , and  $G_{explicit}$  in (5.8) Theorem 4 follows.

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# Chapter 6

## Appendix

We now give the proofs of theorems 5, 9, 10, and 11. The proofs below are mainly technical calculations repeating previous works, and thus appear in the appendix.

### 6.1 Asymptotically good error correcting codes with explicit decoding procedure (Theorem 5)

**Theorem 5** *For any  $\beta > 0$ ,  $\frac{1}{2} \geq \delta > 0$  there is a constant  $B > 1$  such that for all  $\frac{\delta}{4} > \epsilon > 0$  there is an explicitly specified code family with rate  $(\frac{\epsilon}{B})$ , relative distance at least  $(1 - \epsilon)$  and alphabet size  $f(\epsilon)$ . A code of block length  $N$  in the family can be list decoded in time  $d(\epsilon, N)$  from up to a  $(1 - \delta)$  errors, and can be encoded in  $e(\epsilon, N)$  time. where:*

- $f(\epsilon)$  is given by:

Extractor Used	$f(\epsilon)$	Ref
Balanced Ramanujan Graph	$2^{O(\frac{1}{\epsilon})}$	[8] Theorem 8
$G_{E_{opt}}$	$2^{O(\log^2(\frac{1}{\epsilon}))}$	Section 6.1
$G_{explicit}$	$\widetilde{plog}(\frac{1}{\epsilon})$	Section 6.1

- For the balanced graph, ([8] Theorem 8):

$$e_{balanced}(\epsilon, N) = O(N \log^{O(1)} N)$$

$$d_{balanced}(\epsilon, N) = O(N^{1+\beta})$$

- For  $G_{E_{opt}}$ :

$$e(\epsilon, N) = e_{balanced}(\epsilon, O(\epsilon \log^2(\frac{1}{\epsilon}))N) + O(\log^2(\frac{1}{\epsilon})N \log^2 N)$$

$$d(\epsilon, N) = d_{balanced}(\epsilon, O(\epsilon \log^2(\frac{1}{\epsilon}))N) + O(\log^2(\frac{1}{\epsilon})N \log^2 N)$$

there is an overhead of  $2^{(\frac{1}{\epsilon})^{O(1)}} \cdot \text{poly log } N$  time to construct  $G_{E_{opt}}$ .

- For  $G_{explicit}$ :

$$e(\epsilon, N) = e_{balanced}(\epsilon, O(\epsilon 2^{\text{poly log log}(\frac{1}{\epsilon})} N) + O(2^{\text{poly log log}(\frac{1}{\epsilon})} N \log^2 N)$$

$$d(\epsilon, N) = d_{balanced}(\epsilon, O(\epsilon 2^{\text{poly log log}(\frac{1}{\epsilon})} N) + O(2^{\text{poly log log}(\frac{1}{\epsilon})} N \log^2 N)$$

The encoding and decoding times are as in the original construction except:

1. Replacing  $N$  with  $\frac{\epsilon NT}{\Lambda}$ , where  $\Lambda$ ,  $T$  are the entropy loss and degree of the extractor used. This is because we use an unbalanced extractor, implying that the block length of the code  $G \circ C$ , is longer than block length of the code  $C$  used.
2. Adding  $NT \cdot t_G$  time, where  $t_G$  is the time it takes to find a neighbor in  $G$ .

Recall that in section 4.2 we gave a general lemma stating the parameters and decoding scheme for a construction of the form  $G \circ C$ , where  $C$  is a list decodable code and  $G$  is an extractor. For theorem 5, [8] use the list decodable code from lemma 14 below, with a balanced Ramajuan graph having a large degree to assure the reverse mixing property.

**Lemma 14** ([16] Lemma 11.1) *For every  $\alpha > 0$  there exists a prime power  $q = q_\alpha$  of order  $O(\frac{1}{\alpha^2})$ , which may be assumed to be a power of 2, such that for all  $\beta > 0$ , the following holds. There is an explicitly specified code family  $C$  with constant rate  $r_{\alpha,\beta} > 0$  and relative distance at least  $\frac{1}{2}$  over an alphabet of size  $q$  with the property that a code of block length  $N$  in the family can be list decoded from up to  $(1 - \alpha)$  fraction of errors in  $O(N^{1+\beta})$  time, and can be encoded in  $O(N \log^{O(1)} N)$*

We now prove the theorem:

*Proof:* Let  $\delta = \frac{1}{4}$ ,  $\beta > 0$ ,  $\frac{1}{4} > \epsilon > 0$ , and  $\alpha = \frac{\delta}{4} = \frac{1}{16}$ . Let  $C$ , be the  $(N, r_{\alpha,\beta}N, \frac{1}{2}N)_{q(\frac{1}{16})}$  code from lemma 14. Taking a  $(\epsilon L, \frac{1}{16})$ -extractor  $G : [L] \times [T] \rightarrow [N]$  lemma 10 implies that the code  $G_{E_{opt}} \circ C$  is  $(L, \frac{\epsilon}{\Lambda}L, (1 - \epsilon)L)_{O(1)T}$ , and is list decodable from  $\frac{3}{4}L$  errors. We now split the analysis to three: using balanced expanding graph with the required mixing property,  $G_{E_{opt}}$  and  $G_{explicit}$ .

For the balanced graph we have:

$$\begin{aligned} \Lambda &= O(1) \\ T &= O\left(\frac{1}{\epsilon}\right) \end{aligned}$$

By (3.18), (3.17), the degree and entropy loss of  $G_{E_{opt}}$  we have:

$$\begin{aligned} \Lambda &= O(1) \\ T &= 2^{O(\log^2(\frac{1}{\epsilon}))} \end{aligned}$$

and by (3.19), (3.20), the degree and entropy loss of  $G_{explicit}$  we have:

$$\begin{aligned} \Lambda &= O(1) \\ T &= 2^{O(\log^3 \log(\frac{1}{\epsilon}))} \end{aligned}$$

giving the stated rate and alphabet size. For the encoding and decoding times we note that:

1. The block length of  $G \circ C$  is  $L$ , whereas the block length of  $C$  is  $N = \frac{\epsilon LT}{\Lambda G}$ . Thus, the times appearing in lemma 14 should be taken accordingly.
2. To encode we first need to encode using  $C$  ( $O(N \log^{O(1)} N)$ ), and then perform the amplification ( $LT \cdot t_G$ ), where  $t_G$  is the time for computing a neighbor in  $G$ .

3. To decode, we first need to perform the decoding scheme  $(LT \cdot t_G)$ , and then perform the decoding of  $C$  for  $\frac{1}{\delta}$  strings ( $O(N^{1+\beta})$ ).
4. For the balanced expanding graph, the encoding/decoding time overhead of  $LT \cdot t_G$  needed for the composition is dominated by the encoding and decoding times of the code  $C$ . Thus, the times  $e_{balanced}$  and  $d_{balanced}$  are similar to the encoding and decoding times of  $C$ .

Substituting the degree, entropy loss and  $t_G$  for  $G_{E_{opt}}$  and  $G_{explicit}$  in the above, the theorem follows. We mention that in the case of  $G_{E_{opt}}$  there is an additional overhead of  $2^{(\frac{1}{\epsilon})^{O(1)}} \cdot poly \log N$  construction time as implied from lemma 8.  $\blacksquare$

We remark that had we known how to explicitly construct an optimal extractor with degree  $T = O(\log(\frac{1}{\epsilon}))$ , the resulting code would have alphabet of size  $(\frac{1}{\epsilon})^{O(1)}$ .

## 6.2 List decodable codes with optimal rate

**Theorem 9** *For every  $\epsilon > 0$ , every constant  $\gamma > 0$  there exists a code family with rate  $\Omega(2^{-O(\gamma^2)\epsilon})$ , which can be list decoded from a fraction of  $(1 - \epsilon)$  errors, and have alphabet size  $f(\epsilon)$ . A code of block length  $N$  in the family can be found with high probability in time  $cp(N, \epsilon, \gamma)$  or deterministically in time  $cd(N, \epsilon, \gamma)$ . Moreover, the code can be encoded in  $e(N, \epsilon, \gamma)$  time, and list decoded in  $d(N, \epsilon, \gamma)$ . Where,*

- $f(\epsilon)$  is given by:

<i>Disperser used</i>	$f(\epsilon)$	<i>Ref</i>
$G_{balanced}$	$2^{O(\frac{1}{\epsilon} \log \frac{1}{\epsilon})}$	[8] Theorem 6
$G_{opt}$	$2^{O(\log^2(\frac{1}{\epsilon}))}$	This paper
$G_{D_{opt}}$	$2^{O(\log^3(\frac{1}{\epsilon}))}$	This paper
$G_{explicit}$	$\widetilde{plog}(\frac{1}{\epsilon})$	This paper

- $cp_{balanced}(N, \epsilon, \gamma) = O(N^{2(1-\gamma)} \log(\frac{1}{\epsilon}))$ ,  
 $cd_{balanced}(N, \epsilon, \gamma) = 2^{O(N^{(1-\gamma)}(\frac{1}{\epsilon}) \log(\frac{1}{\epsilon}))}$ ,  
 $e_{balanced}(N, \epsilon, \gamma) = O(N^{2(1-\gamma)} \log^2 N \log^{O(1)}(\frac{1}{\epsilon}))$ ,  
 $d_{balanced}(N, \epsilon, \gamma) = 2^{O(N^\gamma \log(\frac{1}{\epsilon}))}$   
*are the construction, encoding and decoding times achieved in [8].*
- $cp_{D_{opt}}(N, \epsilon, \gamma) = cp_{balanced}(\epsilon \log^2(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma) + 2^{(\frac{1}{\epsilon})^{O(1)}} plog(N)$ ,  
 $cd_{D_{opt}}(N, \epsilon, \gamma) = cd_{balanced}(\epsilon \log^2(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma) + 2^{(\frac{1}{\epsilon})^{O(1)}} plog(N)$ ,  
 $e_{D_{opt}}(N, \epsilon, \gamma) = e_{balanced}(\epsilon \log^2(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma) + O(\log^2(\frac{1}{\epsilon}) N \log^2 N)$ ,  
 $d_{D_{opt}}(N, \epsilon, \gamma) = d_{balanced}(\epsilon \log^2(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma) + O(\log^2(\frac{1}{\epsilon}) N \log^2 N)$   
*are the construction, encoding and decoding times when using the disperser  $G_{D_{opt}}$ .*
- $cp_{zig-zag-ext}(N, \epsilon, \gamma) = cp_{balanced}(\epsilon \log^3(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma)$ ,  
 $cd_{zig-zag-ext}(N, \epsilon, \gamma) = cd_{balanced}(\epsilon \log^3(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma)$ ,  
 $G_{E_{opt}}(N, \epsilon, \gamma) = e_{balanced}(\epsilon \log^3(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma) + N 2^{poly \log \log(\frac{1}{\epsilon})} (\log^2 N + \log^3(\frac{1}{\epsilon}))$ ,

$d_{zig-zag-ext}(N, \epsilon, \gamma) = d_{balanced}(\epsilon \log^3(\frac{1}{\epsilon}) \cdot N, \epsilon, \gamma) + N 2^{\text{polyloglog}(\frac{1}{\epsilon})} (\log^2 N + \log^3(\frac{1}{\epsilon}))$   
are the construction, encoding and decoding times when using the extractor  $G_{explicit}$ .

The encoding and decoding times are as in the original construction except:

1. Replacing  $N$  with  $\frac{\epsilon NT}{\Lambda}$ , where  $\Lambda, T$  are the entropy loss and degree of the disperser used. Using an unbalanced disperser implies that the block length of the code  $G \circ C$  is longer than block length of the code  $C$  used.
2. Adding  $NT \cdot t_G$  time, where  $t_G$  is the time it takes to find a neighbor in  $G$ . This is the time it takes to perform the composition/decoding scheme.

Recall that in section 4.3 we gave a general lemma stating the parameters and decoding scheme for a construction of the form  $G \circ C$ , where  $C$  is a list recoverable code and  $G$  is a disperser. For theorem 9, [8] use the list recoverable code from lemma 15 below, with a balanced Ramajuan graph.

**Lemma 15** (implicit in [16] Theorem 9.16) *For every  $0 < \gamma \leq \frac{1}{2}$  and every  $\epsilon > 0$ , there exist a code family with the following properties:*

1. *The family has rate  $2^{-O(\frac{1}{\gamma^2})}$  and is defined over an alphabet of size  $O(\frac{1}{\epsilon^2})$ .*
2. *Any code of block length  $N$  in the family is  $(\frac{1}{2}, O(\frac{1}{\epsilon}), 2^{O(N^\gamma \log(\frac{1}{\epsilon}))})$ -list recoverable. Such list recovering can be accomplished in  $2^{O(N^\gamma \log(\frac{1}{\epsilon}))}$  time.*
3. *A code of block length  $N$  in the family can be constructed in deterministic  $2^{O(N^{1-\gamma} \frac{1}{\epsilon} \log(\frac{1}{\epsilon}))}$  time, or probabilistically in  $O(N^{2(1-\gamma)} \log(\frac{1}{\epsilon}))$  time. Also, encoding can be performed in  $O(N^{2(1-\gamma)} \log^2 N \log^{O(1)}(\frac{1}{\epsilon}))$  time .*

**Remark 2** *As implied by lemma 11 from section 4.3, the size of the voting sets is  $O(\frac{\Lambda}{\epsilon})$ . The list recoverable code above can deal with sets of size  $O(\frac{1}{\epsilon})$ . The constant in the  $O(\cdot)$  can be adjusted by picking appropriate  $\gamma$ . This will only affect the constants in the rate and the decoding list size of the code  $C$ . The exact details can be found in [16] Lemma 9.15 and Theorem 9.16.*

*Proof:* The proof of the theorem follows immediately from plugging in lemma 11 the code from lemma 15, together with  $G_{opt}$ ,  $G_{D_{opt}}$ , and  $G_{explicit}$  which are taken to be  $(\epsilon L, \frac{1}{2} - \frac{1}{10})$ -dispersers  $G : [L] \times [T] \rightarrow [N]$ . For completeness we recall the following:

1. The above  $G_{opt}$ , has  $O(1)$  entropy loss and degree  $O(\log(\frac{1}{\epsilon}))$ , giving the required rate and alphabet size.
2. The above  $G_{D_{opt}}$ , has  $O(1)$  entropy loss and degree  $O(\log^2(\frac{1}{\epsilon}))$ , giving the required rate and alphabet size. With these degree and entropy loss we have that  $N = \frac{\epsilon LT}{\Lambda} = O(\epsilon \log^2(\frac{1}{\epsilon})L)$ , and it takes  $O(\log^2 L)$  to compute a neighbor in  $G_{D_{opt}}$ . The construction time of  $G_{D_{opt}}$  is  $2^{(\frac{1}{\epsilon})} \text{polylog} L$ .
3. The above  $G_{explicit}$ , has  $O(1)$  entropy loss and degree  $O(\log^3(\frac{1}{\epsilon}))$ , giving the required rate and alphabet size. With these degree and entropy loss we have that  $N = O(\epsilon \log^3(\frac{1}{\epsilon})L)$ , and it takes  $O(\log^2 L + \log^3(\frac{1}{\epsilon}))$  to compute a neighbor in  $G_{explicit}$ . The construction time of  $G_{explicit}$  is  $\text{poly}(\frac{1}{\epsilon})$ . ■

## 6.3 List decodable codes with optimal list size

**Theorem 10** *For every  $\epsilon > 0$ , there exists a code family with rate  $\Omega(\epsilon^2)$ , which can be list decoded from a fraction of  $(1 - \epsilon)$  errors, and have alphabet size  $f(\epsilon)$ . A code of block length  $N$  in the family can be found with high probability in time  $cp(N, \epsilon)$  or deterministically in time  $cd(N, \epsilon)$ . Moreover the code can be encoded in  $e(N, \epsilon)$  time, and list decoded in  $d(N, \epsilon)$ . Where,*

- $f(\epsilon)$  is exactly as in Theorem 9.
- $cp_{balanced}(N, \epsilon) = O((\frac{1}{\epsilon}) \log(\frac{1}{\epsilon}) \log^2 N)$ ,  
 $cd_{balanced}(N, \epsilon) = N^{O((\frac{1}{\epsilon}) \log(\frac{1}{\epsilon}))}$ ,  
 $e_{balanced}(N, \epsilon) = O(N \log N)$ ,  
 $d_{balanced}(N, \epsilon) = O((\frac{1}{\epsilon})^{O(1)} N^2 \log N)$   
are the construction, encoding and decoding times achieved in [8].
- The construction, encoding and decoding times of  $G_{D_{opt}}$ ,  $G_{explicit}$  are computed from the times above in the exact manner described in 6.2.

The construction used to obtain these codes is exactly as the one used in 6.2, the only change is the list recoverable code used:

**Lemma 16** *(Implicit in [16] Theorem 9.14) For every  $\epsilon > 0$  there exists a code family with the following properties:*

1. It has rate  $\Theta(\epsilon)$ , and is defined over an alphabet of size  $q = O(\frac{1}{\epsilon^2})$ .
2. each code in the family is  $(\frac{1}{2}, O(\frac{1}{\epsilon}), O(\frac{1}{\epsilon}))$ -list recoverable. Such list recovering can be accomplished in  $O((\frac{1}{\epsilon})^{O(1)} N^2 \log N)$  time.
3. A code of block length  $N$  in the family can be constructed in deterministic  $N^{O(\frac{1}{\epsilon} \log(\frac{1}{\epsilon}))}$  time, or probabilistically in  $O((\frac{1}{\epsilon}) \log(\frac{1}{\epsilon}) \log^2 N)$  time. Also, encoding can be performed in  $O(N \log N)$  time.

The above code is a concatenation of a Reed-Solomon code with a Pseudolinear code. More details can be found in [16] section 9.3 on Pseudolinear codes.

Theorem 10 now follows by plugging the above code with  $G_{opt}$ ,  $G_{D_{opt}}$ , and  $G_{explicit}$  in lemma 11.

## 6.4 Almost optimal rate list decodable codes

**Theorem 11** *For every  $\epsilon > 0$*

1. There exists a family of codes constructible in time  $t(N, \epsilon)$  having rate  $\Omega(\frac{\epsilon}{\log^{O(1)}(\frac{1}{\epsilon})})$ , which can be list decoded from a fraction of  $(1 - \epsilon)$  errors, and have alphabet size  $f(\epsilon)$ . A code of block length  $N$  in the family has a decoding list size of  $2\sqrt{g(\epsilon) \cdot N \log(g(\epsilon) \cdot N)}$ , where  $f(\epsilon)$  is as in Theorem 9 and  $t(\epsilon, N)$ ,  $g(\epsilon, N)$  are given by:

<i>Disperser used</i>	$g(\epsilon)$	$t(\epsilon, N)$	<i>Ref</i>
$G_{balanced}$	$\frac{1}{\log^{O(1)}(\frac{1}{\epsilon})}$	$poly(N, \frac{1}{\epsilon})$	[7]
$G_{D_{opt}}$	$\frac{\epsilon}{\log^{O(1)}(\frac{1}{\epsilon})}$	$2^{\frac{1}{\epsilon}} polylog(N)$	<i>This paper</i>
$G_{explicit}$	$\frac{\epsilon 2^{polyloglog(\frac{1}{\epsilon})}}{\log^{O(1)}(\frac{1}{\epsilon})}$	$poly(N, \frac{1}{\epsilon})$	<i>This paper</i>

2. *There exists a family of codes having rate  $\Omega(\frac{\epsilon}{\log(\frac{1}{\epsilon})})$ . Each code in the family is a  $(1-\epsilon, O(\frac{1}{\epsilon}))$ -list decodable code.*

In section 4.4 we gave a general lemma giving the parameters and decoding scheme for a construction of the form  $G \circ C$ , where  $C$  is a list recoverable code from arbitrary size and  $G$  is a disperser. For theorem 11, [7] use the following list recoverable code from arbitrary size, which is based on strong extractors from Reed-Muller codes from [15] Theorem 1.

**Lemma 17** *For every  $\epsilon > 0$  and every  $\beta \geq 2$  there is an explicit family of codes having rate  $\Omega(\frac{1}{\log^{O(1)} \beta \cdot \log^{O(1)}(\frac{1}{\epsilon})})$  over an alphabet of size  $\beta^{\frac{1}{\epsilon}}$ . A code of block length  $D$  in the family is a  $(L, \frac{1}{4})$ -list recoverable from arbitrary size, where  $L = 2^{O(\sqrt{D \cdot g'(\epsilon) \log(D \cdot g'(\epsilon))})}$  and  $g'(\epsilon) = \Theta(\frac{1}{\log^{O(1)} \beta \cdot \log^{O(1)}(\frac{1}{\epsilon})})$*

Also, the existence of optimal strong extractors imply the following in terms of list recoverability from arbitrary size.

**Lemma 18** *For every  $\epsilon > 0$  and every  $\beta \geq 2$ , there exists a family of codes having rate  $\Omega(\frac{1}{\log \beta + \log(\frac{1}{\epsilon})})$  over an alphabet of size  $\beta^{\frac{1}{\epsilon}}$ . A code of block length  $D$  in the family is a  $(O(\beta^{\frac{1}{\epsilon}}), \frac{1}{4})$ -list recoverable from arbitrary size.*

We now prove Theorem 11. Let  $\epsilon > 0$ . Let  $G : [N] \times [T] \rightarrow [D]$  be a  $(\epsilon N, \frac{1}{4})$ -disperser with entropy loss  $\Lambda_G$ . We let  $\beta = 2\Lambda_G$ .

For the explicit part of the theorem, we pick the code  $C$  to be as in lemma 17, of block length  $D$ , with the above  $\epsilon$ , and alphabet size  $M = \beta \cdot (\frac{1}{\epsilon})$ . By the choice of  $\beta$ , we have:

$$M \cdot D = \beta \left(\frac{1}{\epsilon}\right) D = 2 \frac{\Lambda_G}{\epsilon} D \geq 2NT$$

and so by lemma 12,  $G \circ C$  has rate  $r_C \cdot \frac{\epsilon}{\Lambda_G}$ , alphabet size  $M^T$ , and is  $(1-\epsilon, L)$ . The degree  $T$  of the various dispersers give the alphabet size as given by  $f(\epsilon)$  in the Theorem. Having  $G$  with a constant error of  $\frac{1}{4}$ , implies that for all of the dispersers used  $\Lambda_G = O(1)$ , thus  $\beta = O(1)$ , and for all dispersers we get the stated rate of  $\Omega(\frac{\epsilon}{\log^{O(1)}(\frac{1}{\epsilon})})$ . By lemma 17 the decoding list size  $L = 2^{O(\sqrt{D \cdot g'(\epsilon) \log(D \cdot g'(\epsilon))})}$  and  $g'(\epsilon) = \Theta(\frac{1}{\log^{O(1)}(\frac{1}{\epsilon})})$ . Having  $O(1)$  entropy loss, we have that  $D = \Theta(\epsilon NT)$ , yielding

$$L = 2^{O(\sqrt{\epsilon NT \cdot g'(\epsilon) \log(\epsilon NT \cdot g'(\epsilon))})} \tag{6.1}$$

Substituting the degree  $T$  of  $G_{balanced}$ ,  $G_{D_{opt}}$  and  $G_{explicit}$  in the above, gives  $L$ , and  $g(\epsilon)$  as stated in the theorem.

Finally, the construction time of the various dispersers gives  $t(N, \epsilon)$  as in the Theorem.

For the second part of the theorem, we note that the existence of optimal strong extractors, which implies lemma 18 plugged in lemma 12 together with  $G_{opt}$  yields the stated parameters.