

# Non-Mitotic Sets

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## Abstract

We study the question of the existence of non-mitotic sets in NP. We show under various hypotheses that

- 1-tt-mitoticity and m-mitoticity differ on NP.
- 1-tt-reducibility and m-reducibility differ on NP.
- There exist non-T-autoreducible sets in NP (by a result from Ambos-Spies, these sets are neither T-mitotic nor m-mitotic).
- T-autoreducibility and T-mitoticity differ on NP (this contrasts the situation in the recursion theoretic setting, where Ladner showed that autoreducibility and mitoticity coincide).
- 2-tt autoreducibility does not imply weak 2-tt-mitoticity.
- 1-tt-complete sets for NP are nonuniformly m-complete.

## 1 Introduction

A decidable set  $A$  is *T-mitotic* if there is a set  $B \in \mathcal{P}$  such that  $A \equiv_T^P A \cap B \equiv_T^P A \cap \overline{B}$ . Here we study the question of the existence of non-mitotic sets in NP. This is a nontrivial question, because there are no natural examples of non-mitotic sets. Natural NP-complete sets are all paddable, and for this reason are T-mitotic. Moreover, Glasser et al. [GPSZ06] proved that all NP-complete sets are m-mitotic (and therefore T-mitotic). Also, nontrivial

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sets belonging to the class  $P$  are  $T$ -mitotic. So any unconditional proof of the existence of non-mitotic sets in  $NP$  would prove at the same time that  $P \neq NP$ . Buhrman, Hoene, and Torenvliet showed [BHT98] that  $EXP$  contains non-mitotic sets.

Our first result was prompted by the question of whether  $NP$  contains sets that are not  $m$ -mitotic. We prove that if  $EEE \neq NEEE \cap \text{coNEEE}$ , then there exists an  $L \in (NP \cap \text{coNP}) - P$  that is 1-tt-mitotic but not  $m$ -mitotic. From this, it follows that under the same hypothesis, 1-tt-reducibility and  $m$ -reducibility differ on sets in  $NP$ . On the one hand, this consequence explains the need for a reasonably strong hypothesis. On the other hand, with essentially known techniques using  $P$ -selective sets, we show that 1-tt-reducibility and  $m$ -reducibility separate within  $NP$  under the weaker hypothesis that  $E \neq NE \cap \text{coNE}$ .

This foray into questions about 1-tt-reducibility and  $m$ -reducibility provides a segue into our next result: We would like to know whether 1-tt-complete sets for  $NP$  are  $m$ -complete as well. We prove under an interesting hypothesis that every 1-tt-complete sets for  $NP$  is complete under nonuniform  $m$ -reductions. The hypothesis states that the  $NP$ -complete set  $SAT$  does not infinitely-often belong to the class  $\text{coNP}$ .

In Glasser et al. [GPSZ06] the authors proved that every  $m$ -autoreducible set is  $m$ -mitotic. The same result follows for 1-tt-autoreducibility. In contrast, Ambos-Spies [AS84] proved that  $T$ -autoreducible does not imply  $T$ -mitotic. Also, Glasser et al. [GPSZ06] constructed a 3-tt-autoreducible set that is not weakly- $T$ -mitotic. Hence, it is known that autoreducibility and mitoticity are not equivalent for all polynomial-time-bounded reductions between 3-tt-reducibility and Turing-reducibility. However, the question for 2-tt-reducibility has been open. Here we settle this question by showing the existence of a set in  $EXP$  that is 2-tt-autoreducible, but not weakly 2-tt-mitotic.

The last two results to be proved both give evidence of non-mitotic sets in  $NP$ . The first of these states that if  $EEE \neq NEEE$ , then there exists a set  $C \in NP - P$  such that  $C$  is not  $T$ -autoreducible. Hence,  $C$  is not  $T$ -mitotic. The second such result shows that if  $NP \cap \text{coNP}$  contains  $n$ -generic sets, then there exists a set  $L \in NP \cap \text{coNP}$  such that  $L$  is 2-tt-autoreducible and  $L$  is not  $T$ -mitotic. Roughly speaking, a set  $L$  is  $n$ -generic [ASFH87] if membership of  $x$  in  $L$  cannot be predicted from the initial segment  $L|_x$  in time  $2^n$ , for almost all  $x$ , where  $|x| = n$ . This result is interesting, since under the mentioned hypothesis it shows that within  $NP$  the notions of  $T$ -autoreducibility and  $T$ -mitoticity differ. In contrast, Ladner [Lad73] showed that in the recursion theoretic setting, autoreducibility and mitoticity coincide.

A summary of the results that we obtained and that are related to  $NP$  is shown in Table 1.

## 2 Preliminaries

We recall basic notions.  $\Sigma$  denotes a finite alphabet with at least two letters,  $\Sigma^*$  denotes the set of all words, and  $|w|$  denotes the length of a word  $w$ . A tally set is a subset of  $0^*$ . The language accepted by a machine  $M$  is denoted by  $L(M)$ .  $\bar{L}$  denotes the complement

| Assumption                                                    | Conclusion                                                                                                 | Remark                                             |
|---------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| $EEE \neq NEEE$                                               | $\exists A \in \text{NP}$ that is not T-auto-reducible                                                     | $A \in \text{NP} - \text{P}$                       |
| $\text{NP} \cap \text{coNP}$ contains $n$ -generic sets       | $\exists A \in \text{NP}$ that is 2-tt-auto-reducible but not T-mitotic                                    | $A \in (\text{NP} \cap \text{coNP}) - \text{P}$    |
| $EEE \neq NEEE \cap \text{coNEEE}$                            | $\exists A \in \text{NP}$ that is 1-tt-mitotic but not m-mitotic                                           | $A \in (\text{NP} \cap \text{coNP}) - \text{P}$    |
| $E \neq \text{NE} \cap \text{coNE}$                           | $\exists A, B \in \text{NP}$ such that $A \leq_{1\text{-tt}}^{\text{P}} B$ but $A \not\leq_m^{\text{P}} B$ | $A, B \in (\text{NP} \cap \text{coNP}) - \text{P}$ |
| $\text{NP} \stackrel{\text{i.o.}}{\not\subseteq} \text{coNP}$ | 1-tt-complete sets for NP are nonuniformly m-complete                                                      |                                                    |

Table 1: Summary of results related to NP

of a language  $L$  and  $\text{co}\mathcal{C}$  denotes the class of complements of languages in  $\mathcal{C}$ . FP denotes the class of functions computable in deterministic polynomial time.

We recall standard polynomial-time reducibilities [LLS75]. A set  $B$  *many-one-reduces* to a set  $C$  (*m-reduces* for short; in notation  $B \leq_m^{\text{P}} C$ ) if there exists a total, polynomial-time-computable function  $f$  such that for all strings  $x$ ,

$$x \in B \Leftrightarrow f(x) \in C.$$

A set  $B$  *Turing-reduces* to a set  $C$  (*T-reduces* for short; in notation  $B \leq_{\text{T}}^{\text{P}} C$ ) if there exists a deterministic polynomial-time-bounded oracle Turing machine  $M$  such that for all strings  $x$ ,

$$x \in B \Leftrightarrow M \text{ with } C \text{ as oracle accepts the input } x.$$

Let  $Q(M, x)$  denote the set of all queries to the oracle made by the oracle Turing machine  $M$  on input  $x$ .

A set  $B$  *truth-table-reduces* to a set  $C$  (*tt-reduces* for short; in notation  $B \leq_{\text{tt}}^{\text{P}} C$ ) if there exists a deterministic polynomial-time-bounded oracle Turing machine  $M$  that behaves *non-adaptively* such that for all strings  $x$ ,

$$x \in B \Leftrightarrow M \text{ with } C \text{ as oracle accepts the input } x.$$

This means there exists a polynomial time-bounded function  $g$  such that on input  $x$ ,  $g(x) = cq_1c \dots cq_n$  where  $c \notin \Sigma$  and for all  $1 \leq i \leq n$ ,  $q_i \in \Sigma^*$ , and  $Q(M, x) = \{q_1, \dots, q_n\}$ .

Furthermore,  $B$  1-tt reduces to  $C$  (in notation  $B \leq_{1\text{-tt}}^{\text{P}} C$ ) if for some  $M$ ,  $B \leq_{\text{tt}}^{\text{P}} C$  via  $M$  and for all  $x$ ,  $|Q(M, x)| = 1$ . Similarly, we define 2-tt, and so on.

If  $B \leq_m^{\text{P}} C$  and  $C \leq_m^{\text{P}} B$ , then we say that  $B$  and  $C$  are *many-one-equivalent* (*m-equivalent* for short, in notation  $B \equiv_m^{\text{P}} C$ ). Similarly, we define equivalence for other reducibilities.

A set  $B$  is *many-one-hard* (*m-hard* for short) for a complexity class  $\mathcal{C}$  if every  $B \in \mathcal{C}$  *m-reduces* to  $B$ . If additionally  $B \in \mathcal{C}$ , then we say that  $B$  is *many-one-complete* (*m-complete* for short) for  $\mathcal{C}$ . Similarly, we define hardness and completeness for other reducibilities. We use the term  $\mathcal{C}$ -complete as an abbreviation for *m-complete* for  $\mathcal{C}$ .

A set  $B$  is *p-selective* [Sel79] if there exists a total function  $f \in \text{FP}$  (the selector function) such that for all  $x$  and  $y$ ,  $f(x, y) \in \{x, y\}$  and if either of  $x$  and  $y$  belongs to  $B$ , then  $f(x, y) \in B$ .

**Definition 2.1** ([AS84]) *A set  $A$  is polynomial-time T-autoreducible (T-autoreducible, for short) if there exists a polynomial-time-bounded oracle Turing machine  $M$  such that  $A = L(M^A)$  and for all  $x$ ,  $M$  on input  $x$  never queries  $x$ . A set  $A$  is polynomial-time m-autoreducible (m-autoreducible, for short) if  $A \leq_m^p A$  via a reduction function  $f$  such that for all  $x$ ,  $f(x) \neq x$ .*

Let  $\leq_r^p$  be a polynomial time reducibility.

**Definition 2.2** ([AS84]) *A recursive set  $A$  is polynomial-time r-mitotic (r-mitotic, for short) if there exists a set  $B \in \text{P}$  such that:*

$$A \equiv_r^p A \cap B \equiv_r^p A \cap \overline{B}.$$

*A recursive set  $A$  is polynomial-time weakly r-mitotic (weakly r-mitotic, for short) if there exist disjoint sets  $A_0$  and  $A_1$  such that  $A_0 \cup A_1 = A$ , and*

$$A \equiv_r^p A_0 \equiv_r^p A_1.$$

Let  $\text{EEE} = \text{DTIME}(2^{2^{2^{O(n)}}})$  and let  $\text{NEEE} = \text{NTIME}(2^{2^{2^{O(n)}}})$ .

### 3 Separation of Mitoticity Notions

Ladner, Lynch, and Selman [LLS75] and Homer [Hom90, Hom97] ask for reasonable assumptions that imply separations of polynomial-time reducibilities within NP. In this section we demonstrate that a reasonable assumption on exponential-time classes allows a separation of mitoticity notions within NP. This implies a separation of the reducibilities  $\leq_m^p$  and  $\leq_{1\text{-tt}}^p$  within NP. Then we show the same separation under an even weaker hypothesis.

**Theorem 3.1** *If  $\text{EEE} \neq \text{NEEE} \cap \text{coNEEE}$ , then there exists an  $L \in (\text{NP} \cap \text{coNP}) - \text{P}$  that is 1-tt-mitotic but not m-mitotic.*

**Proof** Choose  $B \in (\text{NEEE} \cap \text{coNEEE}) - \text{EEE}$ . So there exists a constant  $c \geq 1$  such that  $B$  and  $\overline{B}$  are decidable in nondeterministic time  $2^{2^{c \cdot n}}$ . Let

$$t(x) =_{\text{def}} 2^{2^{x^{2c}}}$$

be a tower function and let

$$\begin{aligned} A &=_{\text{def}} \{0^{t(n)} \mid n \geq 0\} \\ C &=_{\text{def}} \{0^{t(x)} \mid x \in B\}. \end{aligned}$$

Note that  $A \in \text{P}$ .

**Claim 3.2**  $C \in (\text{NP} \cap \text{coNP}) - \text{P}$ .

A membership test for  $C$  has to decide  $x \in B$  on input  $y = 0^{2^{2^{x^{2c}}}}$ . The test  $x \in B$  can be carried out in nondeterministic time

$$2^{2^{2^{c \cdot |x|}}} \leq 2^{2^{2^{c \cdot 2 \cdot \log x}}} = 2^{2^{x^{2c}}} = |y|.$$

Therefore,  $C \in \text{NP}$  and analogously  $C \in \text{coNP}$ , since  $B \in \text{coNEEE}$ .

Assume  $C \in \text{P}$ . Then  $B$  can be decided as follows: On input  $x$  we construct the string  $y = 0^{2^{2^{x^{2c}}}}$  and simulate the deterministic polynomial-time decision procedure for  $C$ . Clearly, this algorithm decides  $C$ .

$$|y| = 2^{2^{x^{2c}}} \leq 2^{2^{(2^{|x|})^{2c}}} = 2^{2^{2c \cdot |x|}}$$

So the described algorithm has a running time that is polynomial in  $2^{2^{2c \cdot |x|}}$ . This shows  $B \in \text{EEE}$  which contradicts the choice of  $B$ . Therefore,  $C \notin \text{P}$  which proves Claim 3.2.

We define the language that we show to be 1-tt-mitotic, but not m-mitotic.

$$L = C \cup 0(\overline{C} \cap A)$$

Note that the union above is disjoint, since  $C$  consists of strings of length  $t(n)$  while  $0(\overline{C} \cap A)$  consists of strings of length  $t(n) + 1$ . Observe that  $L \in (\text{NP} \cap \text{coNP}) - \text{P}$ .

**Claim 3.3**  $L$  is 1-tt-mitotic.

The separator is  $S = A$ . First, we describe the 1-tt-reduction from  $L$  to  $L \cap S$  on input  $x$ : If  $x \notin A \cup 0A$ , then reject. If  $x \in A$ , then accept if and only if  $x \in L \cap S$ . Otherwise, accept if and only if  $y \notin L \cap S$  where  $x = 0y$ . Second, we describe the 1-tt-reduction from  $L \cap S$  to  $L \cap \overline{S}$  on input  $x$ : If  $x \notin S$ , then reject. Otherwise, accept if and only if  $0x \notin L \cap \overline{S}$ . Finally, we describe the 1-tt-reduction from  $L \cap \overline{S}$  to  $L$  on input  $x$ : If  $x \in S$ , then reject. Otherwise, accept if and only if  $x \in L$ . This shows that  $L$  is 1-tt-mitotic.

**Claim 3.4**  $L$  is not  $m$ -mitotic.

Assume  $L$  is  $m$ -mitotic. Hence  $L$  is  $m$ -autoreducible [AS84], i.e.,  $L \leq_m^p L$  via a reduction such that  $f(x) \neq x$ . Let  $p$  be a polynomial bounding the computation time of  $f$ . Choose the smallest number  $k$  such that for all  $n \geq k$  it holds that  $p(t(n) + 1) < t(n + 1)$ . This choice is possible because

$$p(t(n) + 1) \leq t(n)^d = \left(2^{2^{n^{2c}}}\right)^d = 2^{d \cdot 2^{n^{2c}}} \leq 2^{2^{d+n^{2c}}} < 2^{2^{n+n^{2c}}} \leq 2^{2^{(n+1)^{2c}}}$$

for a suitable constant  $d \geq 1$ . Define the finite set

$$L' =_{\text{def}} \{w \mid |w| \leq t(k) + 1 \text{ and } w \in L\}.$$

The following algorithm decides in polynomial time whether the input  $z$  belongs to  $L$ .

1.  $x := z$
2. if  $|x| \leq t(k) + 1$  then accept if and only if  $x \in L'$
3. if  $|f(x)| \geq |x|$  then reject
4.  $x := f(x)$ , goto 2

The algorithm runs in polynomial time, since each iteration decreases the length of  $x$ . Also, since  $f$  is an  $m$ -autoreduction, at any time it holds that

$$z \in L \Leftrightarrow x \in L. \tag{1}$$

So if we stop in line 2, then we accept if and only if  $z \in L$ . It remains to argue for a stop in line 3.

Assume  $z \in L$  but we reject in line 3; we will derive a contradiction. By (1), at the moment we reject, it holds that

$$x \in L \text{ and } |x| \geq t(k) + 1 \tag{2}$$

In particular,  $x \in A \cup 0A$ , i.e.,  $x = 0^{t(n)}$  or  $x = 0^{t(n)+1}$  for a suitable  $n$ . By definition of  $L$ ,

$$0^{t(n)} \in L \Leftrightarrow 0^{t(n)+1} \notin L.$$

It follows that  $f(x) \neq 0^{t(n)}$  and  $f(x) \neq 0^{t(n)+1}$ , since otherwise either  $f(x) = x$  or ( $0^{t(n)} \in L \Leftrightarrow 0^{t(n)+1} \in L$ ). Note that  $n \geq k$ , since otherwise  $|x| \leq t(n) + 1 < t(k) + 1$  which contradicts (2). Therefore, by the choice of  $k$ ,

$$|f(x)| \leq p(|x|) \leq p(t(n) + 1) < t(n + 1).$$

However, besides  $x$  there are no words in  $L$  that have a length in  $[t(n), t(n + 1) - 1]$ . It follows that  $|f(x)| < |x|$ , since  $f(x)$  must belong to  $L$ . This contradicts our assumption

that we reject in line 3. Therefore, if we stop in line 3, then  $z \notin L$ . So the algorithm above decides  $L$  in polynomial time. This is a contradiction. Therefore,  $L$  is not m-mitotic.  $\square$

Selman [Sel82] showed under the hypothesis  $E \neq NE \cap \text{coNE}$  that there exist  $A, B \in \text{NP} - \text{P}$  such that  $A$  tt-reduces to  $B$  but  $A$  does not positive-tt-reduce to  $B$ . The separation of mitoticity notions given in the last theorem allows us to prove a similar statement:

**Corollary 3.5** *If  $EEE \neq NEEE \cap \text{coNEEE}$ , then there exist  $A, B \in (\text{NP} \cap \text{coNP}) - \text{P}$  such that  $A \leq_{1\text{-tt}}^{\text{P}} B$ , but  $A \not\leq_{\text{m}}^{\text{P}} B$ .*

**Proof** Take the set  $L$  from Theorem 3.1 and let  $S \in \text{P}$  be a separator that witnesses  $L$ 's 1-tt-mitoticity, i.e.,  $L$ ,  $L \cap S$ , and  $L \cap \overline{S}$  are pairwise 1-tt-equivalent. These sets cannot be pairwise m-equivalent, since otherwise  $L$  would be m-mitotic. This gives us the sets  $A$  and  $B$ .  $\square$

However, an even weaker assumption separates 1-tt-reducibility from m-reducibility within NP.

**Theorem 3.6** *If  $E \neq NE \cap \text{coNE}$ , then there exist  $A, B \in (\text{NP} \cap \text{coNP}) - \text{P}$  such that  $A \leq_{1\text{-tt}}^{\text{P}} B$ , but  $A \not\leq_{\text{m}}^{\text{P}} B$ .*

**Proof** If  $E \neq NE \cap \text{coNE}$ , then there exists a tally set  $T \in \text{NP} \cap \text{coNP} - \text{P}$  and there exists a p-selective set  $A$  such that  $A \equiv_{\text{T}}^{\text{P}} T$  [Sel79]. Trivially,  $A \leq_{1\text{-tt}}^{\text{P}} \overline{A}$ , and since  $A$  is p-selective, and not in P,  $A$  is not m-reducible to  $\overline{A}$ .  $\square$

### 3.1 2-tt Autoreducibility Does Not Imply Weak 2-tt-Mitoticity

In this section we prove that autoreducibility and weak mitoticity do not coincide for 2-tt reducibility. This completes a result by Glaßer et al. [GPSZ06] which shows that for all reducibilities between 3-tt and T, autoreducibility does not imply weak mitoticity. We present a counterexample in EXP, i.e. we construct a set  $L \in \text{EXP}$  such that  $L$  is 2-tt-autoreducible but not weakly 2-tt-mitotic.

**Theorem 3.7** *There exists  $L \in \text{SPARSE} \cap \text{EXP}$  such that*

- $L$  is 2-tt-autoreducible, but
- $L$  is not weakly 2-tt-mitotic.

The proof is based on the diagonalization proof of Theorem 4.2 in Glasser et al. [GPSZ06]. However, a straightforward adaption does not work. The reason is that if one considers groups of three strings at certain super-exponential lengths for diagonalization, the set constructed as in the previous proof will have to be 2-tt-mitotic if we were to make it 2-tt-autoreducible. The new idea in this proof is to consider two groups of three strings at super-exponential lengths that overlap at one string. This way we can make the set 2-tt-autoreducible while not 2-tt-mitotic.

We remark that the proof technique cannot be generalized to show that there exists a set in EXP that is 2-tt-autoreducible, but not weakly T-mitotic. So it remains open to show there exists a set in EXP that is 2-tt-autoreducible, but not weakly T-mitotic.

**Proof** Define a tower function by  $t(0) = 4$  and

$$t(n+1) = 2^{2^{2^{2^{t(n)}}}}.$$

For any word  $s$ , let  $W_1(s) = \{s000, s001, s010\}$ ,  $W_2(s) = \{s000, s011, s100\}$ , and  $W(s) = W_1(s) \cup W_2(s)$ . We will define  $L$  such that it satisfies the following:

- (i) If  $w \in L$ , then there exists  $n$  such that  $|w| = t(n)$ .
- (ii) For all  $n$ , all  $s \in \Sigma^{t(n)-3}$ , and all  $i \in \{1, 2\}$ , it holds that  $W_i(s) \cap L$  either is empty or contains exactly two elements.

It is easy to see that such an  $L$  is 2-tt-autoreducible: On input  $w$ , determine  $n$  such that  $|w| = t(n)$ . If such  $n$  does not exist, then reject. Otherwise, let  $s$  be  $w$ 's prefix of length  $|w| - 3$ . Accept if and only if the set  $L \cap (W_i(s) - \{w\})$  contains one element, where  $w \in W_i(s)$ ,  $i \in \{1, 2\}$ . This is a 2-tt-autoreduction.

We turn to the construction of  $L$ . Let  $M_1, M_2, \dots$  be an enumeration of deterministic, polynomial-time-bounded nonadaptive oracle Turing machines such that for all  $i$ , the running time of  $M_i$  is  $n^i + i$  and  $M_i$  makes two different queries on all inputs. Let  $\langle \cdot, \cdot \rangle$  be a pairing function such that  $\langle x, y \rangle > x + y$ . We construct  $L$  stagewise such that in stage  $n$  we determine which of the words of length  $t(n)$  belong to  $L$ . In other words, at stage  $n$  we define a set  $S_n \subseteq \Sigma^{t(n)}$ , and finally we define  $L$  to be the union of all  $S_n$ .

We start by defining  $S_0 = \emptyset$ . Suppose we are at stage  $n > 0$ . Let  $m = t(n)$  and determine  $i$  and  $j$  such that  $n = \langle i, j \rangle$ . If such  $i$  and  $j$  do not exist, then let  $S_n = \emptyset$  and go to stage  $n+1$ . Otherwise,  $i$  and  $j$  exist. In particular,  $i+j < \log \log m$ . Let  $O =_{\text{def}} S_0 \cup \dots \cup S_{n-1}$  be the part of  $L$  that has been constructed so far. Let  $O_1, O_2, \dots, O_l$  be the list of all subsets of  $O$  (lexicographically ordered according to their characteristic sequences). Since  $O \subseteq \Sigma^{\leq t(n-1)}$  we obtain  $\|O\| \leq 2^{t(n-1)+1}$ . Therefore,

$$l \leq 2^{2^{t(n-1)+1}} \leq 2^{2^{2^{t(n-1)}}} = \log \log t(n) = \log \log m. \quad (3)$$

We give some intuition for the claim below. If  $L$  is weakly 2-tt-mitotic, then in particular, there exists a partition  $L = L_1 \cup L_2$  such that  $L \leq_{2\text{-tt}}^p L_1$  via some machine  $M_i$ . Hence



$O \cap L_1$  must appear (say as  $O_k$ ) in our list of subsets of  $O$ . The following claim makes sure that we can find a list of words  $s_1, \dots, s_l$  of length  $m-3$  such that for all  $k \in [1, l]$  it holds that if the partition of  $L$  is such that  $O \cap L_1 = O_k$ , then  $M_i$  on input of a string from  $W(s_k)$  does not query the oracle for words from  $W(s_r)$  if  $r \neq k$ . Also, we will construct  $L$  such that

$$L \cap \Sigma^{t(n)} \subseteq W(s_1) \cup W(s_2) \cdots W(s_l).$$

Hence, if  $M_i$  on input of a string from  $W(s_k)$  queries a word of length  $m$  that does not belong to  $W(s_k)$ , then it always gets a no answer. So the following is the only information about the partition of  $L$  that can be exploited by  $M_i$ :

- the partition of  $O = \Sigma^{<t(n)} \cap L$
- the partition of  $W(s_k) \cap L$

In particular,  $M_i$  cannot exploit information about the partition of  $W(s_r) \cap L$  for  $r \neq k$ . This independence of  $M_i$  makes our diagonalization possible.

**Claim 3.8** *There exist pairwise different words  $s_1, \dots, s_l \in \Sigma^{m-3}$  such that for all  $k, r \in [1, l]$ ,  $k \neq r$ , and all  $y \in W(s_k)$ , neither  $M_i^{O-O_k}(y)$  nor  $M_j^{O_k}(y)$  queries the oracle for words in  $W(s_r)$ .*

**Proof** For  $s \in \Sigma^{m-3}$ , let

$$Q_s =_{\text{def}} \{s' \in \Sigma^{m-3} \mid \exists y \in W(s), \exists q \in W(s') \text{ such that } q \text{ is queried by } M_i \text{ or } M_j \text{ on input } y\}.$$

Observe that for every  $s \in \Sigma^{m-3}$ ,

$$\|Q_s\| \leq 5[2 + 2] = 20. \quad (4)$$

We identify numbers in  $[1, 2^{m-3}]$  with strings in  $\Sigma^{m-3}$ . Considered in this way, each  $Q_s$  is a subset of  $[1, 2^{m-3}]$ . By (4),  $Q_1, Q_2, \dots, Q_{2^{m-3}}$  are sets of cardinality  $\leq 20$ . Clearly,  $1, 2, \dots, 2^{m-3}$  are pairwise different numbers. By (3),

$$2^{m-3} \geq (32)^{\log m} \geq (20 + 2)^{2^l}.$$

Therefore, we can apply Lemma 4.1 in Glaßer et al. [GPSZ06] with  $m' = l, l' = 20$ , and  $k' = 2^{m-3}$ . We obtain indices  $s_1, \dots, s_l$  such that for all  $k, r \in [1, l]$ ,

$$r \neq k \Rightarrow s_r \notin Q_{s_k}. \quad (5)$$

Assume there exist  $k, r \in [1, l]$ ,  $k \neq r$ , and  $y \in W(s_k)$  such that some  $q \in W(s_r)$  is queried by  $M_i^{O-O_k}(y)$  or  $M_j^{O_k}(y)$ . Hence  $s_r \in Q_{s_k}$ . This contradicts (5) and finishes the proof of Claim 3.8. □

Let  $s_1, \dots, s_l \in \Sigma^{m-3}$  be the words assured by Claim 3.8. We define  $S_n$  such that for every  $k \in [1, l]$  we define a set  $V_k \subseteq W(s_k)$ , and finally we define  $S_n$  to be the union of all  $V_k$ . Each  $V_k$  has size 0, 2 or 3 and satisfies Condition (ii).

Fix some  $k \in [1, l]$ . Let  $Q_k =_{\text{def}} O - O_k$ . Let  $a =_{\text{def}} s_k 000$ ,  $b =_{\text{def}} s_k 001$ ,  $c =_{\text{def}} s_k 010$ ,  $d =_{\text{def}} s_k 011$  and  $e =_{\text{def}} s_k 100$ . Let  $Q_i(x)$  ( $Q_j(x)$ ) denote the set of queries of  $M_i$  ( $M_j$ ) on input  $x$ . Note that for any  $x$ ,  $\|Q_i(x)\| = \|Q_j(x)\| = 2$ .

*Case 1:* For some  $x \in W(s_k)$ ,  $M_i^{O_k}(x)$  accepts or  $M_j^{Q_k}(x)$  accepts. Define  $V_k =_{\text{def}} \emptyset$ .

*Case 2:* For all  $x \in W(s_k)$ , both  $M_i^{O_k}(x)$  and  $M_j^{Q_k}(x)$  reject and  $b \notin Q_i(b) \cup Q_j(b)$ . Define  $V_k =_{\text{def}} \{b, c\}$ .

*Case 3:* For all  $x \in W(s_k)$ , both  $M_i^{O_k}(x)$  and  $M_j^{Q_k}(x)$  reject and  $Q_r(a) = \{d, e\}$  for some  $r \in \{i, j\}$ . Let  $P_r = O_k$  if  $r = i$  and  $P_r = Q_k$  if  $r = j$ . There are 3 subcases here.

*Case 3a:*  $M_r^{P_r \cup \{d\}}(a)$  rejects. Define  $V_k =_{\text{def}} \{a, b, d\}$ .

*Case 3b:*  $M_r^{P_r \cup \{e\}}(a)$  rejects. Define  $V_k =_{\text{def}} \{a, b, e\}$ .

*Case 3c:* Both  $M_r^{P_r \cup \{d\}}(a)$  and  $M_r^{P_r \cup \{e\}}(a)$  accepts. Define  $V_k =_{\text{def}} \{d, e\}$ .

*Case 4:* For all  $x \in W(s_k)$ , both  $M_i^{O_k}(x)$  and  $M_j^{Q_k}(x)$  reject and  $Q_r(a) = \{y, z\}$  for some  $r \in \{i, j\}$ ,  $y \notin \{a, d, e\}$  and  $z \in \{d, e\}$ . Let  $y' \in \{b, c\} - \{y\}$  and  $z' \in \{d, e\}$ . Define  $V_k = \{a, y', z'\}$ .

In the cases 5-7 we will assume that the following three statements hold; the case where these statements do not hold is covered with Case 8.

1. for all  $x \in W(s_k)$ , both  $M_i^{Q_k}(x)$  and  $M_j^{O_k}(x)$  reject;
2.  $b \in Q_i(b) \cup Q_j(b)$ ;
3.  $Q_i(a) = \{a, u\}$  and  $Q_j(a) = \{a, v\}$ , where  $\{u, v\} = \{d, e\}$ .

*Case 5:*  $b \in Q_i(b) \cap Q_j(b)$ . There are 4 subcases in this case.

*Case 5a:*  $c \notin Q_i(b) \cup Q_j(b)$ . Define  $V_k =_{\text{def}} \{b, c\}$ .

*Case 5b:*  $c \in Q_i(b) \cup Q_j(b)$  and  $a \notin Q_i(b) \cup Q_j(b)$ . Then in this subcase either  $d \notin Q_i(b) \cup Q_j(b)$  or  $e \notin Q_i(b) \cup Q_j(b)$ . If  $d \notin Q_i(b) \cup Q_j(b)$ , then define  $V_k =_{\text{def}} \{a, b, d\}$ . Otherwise, define  $V_k =_{\text{def}} \{a, b, e\}$ .

*Case 5c:*  $Q_i(b) = \{b, a\}$  and  $Q_j(b) = \{b, c\}$ . Let  $y \in \{d, e\} - Q_j(a)$ . Define  $V_k =_{\text{def}} \{a, b, y\}$ .

*Case 5d:*  $Q_i(b) = \{b, c\}$  and  $Q_j(b) = \{b, a\}$ . Let  $y \in \{d, e\} - Q_i(a)$ . Define  $V_k =_{\text{def}} \{a, b, y\}$ .

*Case 6:*  $b \in Q_i(b)$  and  $b \notin Q_j(b)$ . We have 3 subcases here.

*Case 6a:*  $a \notin Q_j(b)$ . If  $Q_j(b) = \{d, e\}$ , then define  $V_k = \{b, c\}$ . Otherwise, let  $y \in \{d, e\} - Q_j(b)$  and define  $V_k = \{a, b, y\}$ .

Case 6b:  $c \notin Q_j(b)$ . Define  $V_k = \{b, c\}$ .

Case 6c:  $b \in Q_i(b)$  and  $Q_j(b) = \{a, c\}$ . Let  $y \in \{d, e\} - \{u\}$ . Define  $V_k = \{a, b, y\}$ .

Case 7:  $b \notin Q_i(b)$  and  $b \in Q_j(b)$ . We have 3 subcases here.

Case 7a:  $a \notin Q_i(b)$ . If  $Q_i(b) = \{d, e\}$ , then define  $V_k = \{b, c\}$ . Otherwise, let  $y \in \{d, e\} - Q_i(b)$  and define  $V_k = \{a, b, y\}$ .

Case 7b:  $c \notin Q_i(b)$ . Define  $V_k = \{b, c\}$ .

Case 7c:  $Q_i(b) = \{a, c\}$  and  $b \in Q_j(b)$ . Let  $y \in \{d, e\} - \{v\}$ . Define  $V_k = \{a, b, y\}$ .

Case 8: If  $V_k$  cannot be defined in any of the above cases, then it must hold that  $\{d, e\} \not\subseteq Q_i(a) \cup Q_j(a)$ . Now we consider the computations  $M_i(d)$  and  $M_j(d)$  (and  $M_i(a)$  and  $M_j(a)$ ) similarly, and try to define  $V_k$  in one of the cases above except with  $b, c$  and  $d, e$  switched, respectively. If  $V_k$  still cannot be defined, then by symmetry it must be the case that  $\{b, c\} \not\subseteq Q_i(a) \cup Q_j(a)$ . Now let  $y \in \{d, e\} - Q_i(b) \cup Q_j(a)$  and  $z \in \{b, c\} - Q_i(b) \cup Q_j(a)$ . Define  $V_k = \{a, y, z\}$ .

This finishes the construction of  $V_k$ . We define  $S_n =_{\text{def}} \bigcup_{k \in [1, l]} V_k$ . Finally,  $L$  is defined as the union of all  $S_n$ .

Note that by the construction,  $S_n \subseteq \Sigma^{t(n)}$  which shows (i). Observe that the construction also ensures (ii). We argue for  $L \in \text{EXP}$ : Since  $l \leq \log \log m$ , there are not more than  $2^{m \log \log m}$  possibilities to choose the strings  $s_1, \dots, s_l$ . For each such possibility we have to simulate  $O(l^2)$  computations  $M_i(y)$  and  $M_j(y)$ . This can be done in exponential time in  $m$ . For the definition of each  $V_k$  we have to simulate a constant number of computations  $M_i(y)$  and  $M_j(y)$ . This shows that  $L$  is printable in exponential time. Hence  $L \in \text{EXP}$ . From the construction it follows that  $L \cap \Sigma^m \leq 3l \leq 3 \log \log m$ . In particular,  $L \in \text{SPARSE}$ . It remains to show that  $L$  is not weakly 2-tt-mitotic.

Assume  $L$  is weakly 2-tt-mitotic. So  $L$  can be partitioned into  $L = L_1 \cup L_2$  (a disjoint union) such that

(iii)  $L \leq_{2\text{-tt}}^p L_1$  via machine  $M_i$  and

(iv)  $L \leq_{2\text{-tt}}^p L_2$  via machine  $M_j$ .

Let  $n = \langle i, j \rangle$ ,  $m = t(n)$ , and  $O = S_0 \cup \dots \cup S_{n-1}$ , i.e.,  $O = L \cap \Sigma^{< t(n)}$ . Let  $O_1, O_2, \dots, O_l$  be the list of all subsets of  $O$  (again lexicographically ordered according to their characteristic sequences). Let  $s_1, \dots, s_l$  and  $V_1, \dots, V_l$  be as in the definition of  $S_n$ . Choose  $k \in [1, l]$  such that  $L_1 \cap \Sigma^{< t(n)} = O_k$ . Let  $Q_k = O - O_k$ . So  $L_2 \cap \Sigma^{< t(n)} = Q_k$ . Clearly,  $V_k$  must be defined according to one of the cases above.

Assume  $V_k$  was defined according to Case 1: So  $V_k = \emptyset$  and for every  $x \in W(s_k)$ ,  $x \notin L_1$ . Without loss of generality assume that  $M_i^{O_k}(x)$  accepts for some  $x \in W(s_k)$ .  $M_i^{L_1}(x)$  has running time  $m^i + i < m^m + m < t(n+1)$ . Hence  $M_i^{L_1}(x)$  behaves like  $M_i^{L_1 \cap \Sigma^{< t(n)}}(x)$ . Since  $s_k$  was chosen according to Claim 3.8, for all  $r \in [1, l] - \{k\}$ ,  $M_i^{O_k}(x)$  does not query the oracle for words in  $W(s_r)$ . Note that  $L \cap W(s_k) = V_k = \emptyset$ . Therefore,  $M_i^{L_1}(x)$

behaves like  $M_i^{L_1 \cap \Sigma^{< t(n)}}(x)$  which is the same as  $M_i^{O_k}(x)$ . The latter accepts, but  $x \notin L_1$ . This contradicts (iii).

Assume  $V_k$  was defined according to Case 2: So  $V_k = \{b, c\} = L \cap W(s_k)$ . Therefore, either  $c \notin L_1$  or  $c \notin L_2$ . Suppose  $c \notin L_1$ . Then as above,  $M_i(b)$  with oracle  $L_1$  behaves the same way as  $M_i(b)$  with oracle  $O_k$ . The latter rejects because we are in Case 2. But since  $b \in L$ , this contradicts (iii). The case  $c \notin L_2$  is similar.

Assume  $V_k$  was defined according to Case 3: Without loss of generality, assume  $Q_i(a) = \{d, e\}$ . Assume  $V_k$  was defined according to Case 3a. Then  $L \cap W(s_k) = V_k = \{a, b, d\}$ . So  $e \notin L_1$ . Suppose  $d \notin L_1$ . Then  $M_i^{L_1}(a)$  behaves the same way as  $M_i^{O_k}(a)$  since  $Q_j(a) = \{d, e\}$ . The latter rejects because we are in Case 3. Since  $a \in L$ , this contradicts (iii). Now suppose  $d \in L_1$ . So  $M_i^{L_1}(a)$  behaves the same way as  $M_i^{O_k \cup \{d\}}$ . The latter rejects because we are in Case 3a. Since  $a \in L$ , this contradicts (iii). Case 3b is similar.

Assume  $V_k$  was defined according to Case 3c. Then  $L \cap W(s_k) = V_k = \{d, e\}$ . Assume  $d \in L_1$  and  $e \notin L_1$ . Then  $M_i^{L_1}(a)$  behaves the same way as  $M_i^{O_k \cup \{d\}}(a)$ . The latter accepts because we are in Case 3c. Since  $a \notin L$ , this contradicts (iii). Similar arguments show the assumption  $d \notin L_1$  and  $e \in L_1$  contradicts (iii) too. So it must be the case that either  $L_1 \cap W(s_k) = \emptyset$  or  $L_1 \cap W(s_k) = \{d, e\}$ . In the former case,  $M_i^{L_1}(d)$  behaves the same way as  $M_i^{O_k}(d)$ , which rejects. Since  $d \in L$ , we obtain the contradiction to (iii). In the latter case,  $L_2 \cap W(s_k) = \emptyset$ . So  $M_j^{L_2}(d)$  behaves the same way as  $M_j^{Q_k}(d)$ , which rejects. We obtain the contradiction to (iv).

Assume  $V_k$  was defined according to Case 4. Without loss of generality, assume  $Q_i(a) = \{y, z\}$ , where  $y \notin \{a, d, e\}$  and  $z \in \{d, e\}$ , and  $V_k = \{a, y', z'\}$ , where  $y' \in \{b, c\} - \{y\}$  and  $z' \in \{d, e\} - \{z\}$ . So  $V_k \cap Q_i(a) = \emptyset$ . Since  $V_k = L \cap W(s_k) = (L_1 \cup L_2) \cap W(s_k)$ ,  $M_i(a)$  with oracle  $L_1$  behaves the same as  $M_i(a)$  with oracle  $O_k$ . The latter rejects. So this is a contradiction to (iii), since  $a \in L$ .

Now assume  $V_k$  was defined according to Case 5. So  $b \in Q_i(b) \cap Q_j(b)$ .

Assume  $V_k$  was defined according to Case 5a: So  $L \cap W(s_k) = \{b, c\}$ . Then either  $b \notin L_1$  or  $b \notin L_2$ . Without loss of generality, assume  $b \notin L_1$ . Then  $M_i^{L_1}(b)$  behaves the same way as  $M_i^{O_k}(b)$  since  $c \notin Q_i(b)$ . The latter rejects because we are in Case 4. Since  $b \in L$ , this contradicts (iii).

Assume  $V_k$  was defined according to Case 5b: So  $c \in Q_i(b) \cup Q_j(b)$  and  $a \notin Q_i(b) \cup Q_j(b)$ . Suppose  $d \notin Q_i(b) \cup Q_j(b)$ . Then  $L \cap W(s_k) = V_k = \{a, b, d\}$ . So either  $b \notin L_1$  or  $b \notin L_2$ . A similar argument to Case 4a gives the contradiction to (iii). The case  $e \notin Q_i(b) \cup Q_j(b)$  is similar.

Assume  $V_k$  was defined according to Case 5c: So  $Q_i(b) = \{b, a\}$  and  $Q_j(b) = \{b, c\}$ . Note that  $\|Q_j(a) \cap \{d, e\}\| = 1$  and  $L \cap W(s_k) = V_k = \{a, b, y\}$ , where  $y \in \{d, e\} - Q_j(a)$ . We argue that  $b \in L_2$  and  $a \in L_1$ . Suppose  $b \notin L_2$ . Then  $M_j^{L_2}(b)$  behaves the same as  $M_j^{Q_k}(b)$ . The latter rejects, which contradicts (iv). So  $b \in L_2$ . Now assume  $a \notin L_1$ . Then  $M_i^{L_1}(b)$  behaves the same as  $M_i^{O_k}(b)$ . The latter rejects, which contradicts (iii). So  $a \in L_1$  and hence,  $a \notin L_2$ . Now  $y \notin Q_j(a)$ . So  $Q_j(a) \cap L_2 = \emptyset$  and hence,  $M_j^{L_2}(a)$  behaves the same as  $M_j^{Q_k}(a)$ . The latter rejects, which contradicts (iv).

Case 5d is symmetric to Case 5c.

Now assume  $V_k$  was defined according to Case 6: So  $b \in Q_i(b)$  and  $b \notin Q_j(b)$ .

Assume  $V_k$  was defined according to Case 6a. So  $a \notin Q_j(b)$ . Suppose  $Q_j(b) = \{d, e\}$ . Then  $L \cap W(s_k) = V_k = \{b, c\}$ . So  $L \cap Q_j(b) = \emptyset$ . Therefore,  $M_j^{L_2}(b)$  behaves the same as  $M_j^{Q_k}(b)$ . The latter rejects. Since  $b \in L$ , this contradicts (iv). Now assume  $Q_j(b) \neq \{d, e\}$ . Then  $L \cap W(s_k) = V_k = \{a, b, y\}$ , where  $y \in \{d, e\} - Q_j(b)$ . So  $L \cap Q_j(b) = \emptyset$ . Similar arguments obtain a contradiction to (iv).

Assume  $V_k$  was defined according to Case 6b. So  $c \notin Q_j(b)$  and  $L \cap W(s_k) = V_k = \{b, c\}$ . Hence,  $L \cap Q_j(b) = \emptyset$ . So  $M_j^{L_2}(b)$  behaves the same as  $M_j^{Q_k}(b)$ . The latter rejects. Since  $b \in L$ , this contradicts (iv).

Assume  $V_k$  was defined according to Case 6c. So  $b \in Q_i(b)$ ,  $Q_j(b) = \{a, c\}$  and  $L \cap W(s_k) = V_k = \{a, b, y\}$ , where  $y \in \{d, e\} - \{u\}$ . We claim  $a \notin L_2$ . Suppose  $a \in L_2$ . Then  $a \notin L_1$  and hence,  $L_1 \cap W(s_k) \cap Q_i(a) = \emptyset$  since  $Q_i(a) = \{a, u\}$  in this case. So  $M_i(a)$  with oracle  $O_k$  behaves the same as  $M_i(a)$  with oracle  $L_1$ . The former rejects, and the latter accepts because  $a \in L$ . This is a contradiction. So  $a \notin L_2$ . Hence,  $V_k \cap Q_j(b) = \emptyset$ . Since  $V_k = (L_1 \cup L_2) \cap W(s_k)$ ,  $M_j(b)$  with oracle  $L_2$  behaves the same as  $M_j(b)$  with oracle  $Q_k$ . The latter rejects, which contradicts (iv).

Case 7 is symmetric to Case 6.

Assume  $V_k$  was defined according to Case 8. So  $\{d, e\} \not\subseteq Q_i(a) \cup Q_j(a)$  and  $\{b, c\} \not\subseteq Q_i(a) \cup Q_j(a)$ . Without loss of generality, assume  $d \notin Q_i(a) \cup Q_j(a)$  and  $b \notin Q_i(a) \cup Q_j(a)$ . Then  $V_k = \{a, b, d\} = L \cap W(s_k)$ . So either  $a \notin L_1$  or  $a \notin L_2$ . Assume  $a \notin L_1$ . Then  $L_1 \cap Q_i(a) = \emptyset$  since  $b, d \notin Q_i(a)$ . Therefore,  $M_i^{L_1}(a)$  behaves the same as  $M_i^{O_k}(a)$ . The latter rejects. So this contradicts (iii). Similar arguments show that  $a \notin L_2$  contradicts (iv). This finishes Case 8 and all cases.

From the fact that all possible cases led to contradictions, we obtain that the initial assumption was false. Hence,  $L$  is not weakly 2-tt-mitotic.  $\square$

The following proposition shows that with our result we reached the limit of the used proof technique. More precisely, our proof cannot be generalized to show that there is a 2-tt-autoreducible set that is not weakly T-mitotic.

**Proposition 3.9** *For every language  $L$  that satisfies conditions (i) and (ii) in Theorem 3.7,  $L$  is weakly 5-tt-mitotic.*

**Proof** Let  $L$  be a language that satisfies conditions (i) and (ii). So

$$L \subseteq \bigcup_n (\cup_{s \in \Sigma^{t(n)-3}} W(s)).$$

For any  $s \in \Sigma^{t(n)-3}$ , let  $a =_{\text{def}} s000$ ,  $b =_{\text{def}} s001$ ,  $c =_{\text{def}} s010$ ,  $d =_{\text{def}} s011$ , and  $e =_{\text{def}} s100$ .

We define the partition of  $L = L_1 \cup L_2$  according to the following table.

| $L \cap W(s)$    | $L_1 \cap W(s)$ | $L_2 \cap W(s)$ |
|------------------|-----------------|-----------------|
| $\emptyset$      | $\emptyset$     | $\emptyset$     |
| $\{b, c\}$       | $\{b\}$         | $\{c\}$         |
| $\{d, e\}$       | $\{d\}$         | $\{e\}$         |
| $\{a, b, d\}$    | $\{a, b\}$      | $\{d\}$         |
| $\{a, b, e\}$    | $\{a, e\}$      | $\{b\}$         |
| $\{a, c, d\}$    | $\{c\}$         | $\{a, d\}$      |
| $\{a, c, e\}$    | $\{e\}$         | $\{a, c\}$      |
| $\{b, c, d, e\}$ | $\{c, d\}$      | $\{b, e\}$      |

The first column gives all possibilities of  $L \cap W(s)$  while the second and third column defines  $L_1 \cap W(s)$  and  $L_2 \cap W(s)$  in the corresponding cases, respectively.

Note that sets in the column for  $L_1 \cap W(s)$  are pair-wise different. So a 5-tt reduction machine  $M$  on input  $x$  can ask for all  $y \in W(s)$  whether  $y \in L_1$ , where  $x \in W(s)$ , and check which case it is according to the above table. Then  $M$  will have complete knowledge of  $L \cap W(s)$  and be able to accept or reject  $x$  correctly. Note that it takes no more than polynomial-time to check whether  $x \in W(s)$  for some  $s$ , and to generate the above table for  $s$ . So  $L$  is reduced to  $L_1$  via a polynomial-time 5-tt reduction (since  $\|W(s)\| = 5$ ). Similar arguments show  $L_1$  is 5-tt reducible to  $L_2$  and  $L_2$  is 5-tt reducible to  $L$ , both of which in polynomial-time. Therefore,  $L$  is weakly 5-tt mitotic.  $\square$

## 4 Non-Mitotic Sets of Low Complexity

Buhrman, Hoene, and Torenvliet [BHT98] showed that EXP contains non-mitotic sets. We are interested in constructing non-T-mitotic sets in NP. Recall that the existence of non-mitotic sets in NP would imply that  $P \neq NP$ , hence we cannot expect to prove their existence without a sufficiently strong hypothesis. Moreover, the same holds for the non-existence of non-mitotic sets in NP. Since it is known [BHT98] that EXP contains non-mitotic sets, this would imply that  $NP \neq EXP$ .

It is well known that mitoticity implies autoreducibility [AS84], hence it suffices to construct non-T-autoreducible sets in NP. Beigel and Feigenbaum [BF92] construct incoherent sets in NP under the assumption that  $NEEXP \not\subseteq BPEEXP$ . In particular, these sets are non-T-autoreducible. With the next theorem, we show that there are non-T-autoreducible sets in NP under the weaker assumption that  $NEEE \not\subseteq EEE$ . Observe that these sets are not necessarily incoherent.

Also, under a strong assumption, we prove that 2-tt autoreducibility and T-mitoticity (and hence r-autoreducibility and r-mitoticity for every reduction r between 2-tt and T) do not coincide for NP.

**Theorem 4.1** *If  $EEE \neq NEEE$ , then there exists  $C \in NP - P$  such that  $C$  is not T-autoreducible.*

**Proof** Choose  $B \in \text{NEEE} - \text{EEE}$ . So there exists a constant  $c \geq 1$  such that  $B$  is decidable in nondeterministic time  $2^{2^{c \cdot n}}$ . Let

$$t(x) =_{\text{def}} 2^{2^{x^{2c}}}$$

be a tower function and let

$$\begin{aligned} A &=_{\text{def}} \{0^{t(n)} \mid n \geq 0\} \\ C &=_{\text{def}} \{0^{t(x)} \mid x \in B\}. \end{aligned}$$

Note that  $A \in \text{P}$ .

**Claim 4.2**  $C \in \text{NP} - \text{P}$ .

A membership test for  $C$  has to decide  $x \in B$  on input  $y = 0^{2^{2^{x^{2c}}}}$ . The test  $x \in B$  can be carried out in nondeterministic time

$$2^{2^{2^{c \cdot |x|}}} \leq 2^{2^{2^{c \cdot 2 \cdot \log x}}} = 2^{2^{x^{2c}}} = |y|.$$

Therefore,  $C \in \text{NP}$ . Let us now assume that  $C \in \text{P}$ . Then  $B$  can be decided as follows: On input  $x$  we construct the string  $y = 0^{2^{2^{x^{2c}}}}$  and simulate the deterministic polynomial-time decision procedure for  $C$ . Clearly, this algorithm decides  $C$ .

$$|y| = 2^{2^{x^{2c}}} \leq 2^{2^{(2^{|x|})^{2c}}} = 2^{2^{(2c|x|)}}$$

So the described algorithm has a running time that is polynomial in  $2^{2^{(2c|x|)}}$ . This shows  $B \in \text{EEE}$  which contradicts the choice of  $B$ . Therefore,  $C \notin \text{P}$  which proves Claim 4.2.

We will now show that the set  $C$  is not T-autoreducible.

Let us assume that  $C$  is T-autoreducible. So there exists a deterministic polynomial time oracle Turing-machine  $M'$  such that  $L(M'^C) = C$ . Furthermore, it holds for all  $x$  that during its work on input  $x$ ,  $M'$  never queries the oracle  $C$  for  $x$ .

Let  $k \geq 0$  such that the running-time of  $M'$  on inputs of length  $n \geq 1$  is bounded by the polynomial  $n^k$ .

Observe that  $t(n)^k <_{\text{ae}} t(n+1)$ . More precisely,

$$(n > \log(k)-1) \implies t(n)^k = (2^{2^{n^{2c}}})^k < t(n+1) = 2^{2^{(n+1)^{2c}}}. \quad (6)$$

Let  $\log(k) \leq m$ , and assume that  $M'$  is running on input  $0^{t(m)}$ . Since  $M'$  is an oracle machine, it can query  $C$  for a string  $q$ . Observe that such a query  $q$  can have length at most  $t(m)^k$ . We can assume that  $M'$  queries  $C$  only for strings from  $A$  (i.e. strings of the form  $0^{t(i)}$  for  $i \geq 0$ ). As  $C \subseteq A$ , these are the only queries that have a chance of getting a positive answer from  $C$ . Notice that  $M'$  is not allowed to query  $C$  for  $0^{t(m)}$  because

$M'$  proves that  $C$  is T-autoreducible. Furthermore, due to (6),  $M'$  on input  $0^{t(m)}$  cannot query  $C$  for  $0^{t(m+1)}$  or longer strings. So  $M'$  on input  $0^{t(m)}$  can only query  $C$  for strings in  $\{0^{t(i)} \mid 0 \leq i < m\}$ .

We construct a deterministic polynomial-time Turing-machine  $M$  such that  $L(M) = C$ . On input  $x$ ,  $M$  first checks whether  $x \in A$ , i.e., whether  $x = 0^{t(n)}$  for some  $n \geq 0$ . If no such  $n$  exists,  $M$  rejects. Since this can easily be done in polynomial time, we assume that there exists an  $n \geq 0$  such that  $M$  is running on input  $0^{t(n)}$ .

We define

$$E[i] = \begin{cases} 1, & \text{if } 0^{t(i)} \in C \\ 0, & \text{if } 0^{t(i)} \notin C. \end{cases}$$

$M$  will compute  $E[0], E[1], \dots, E[n]$  one after another and accept the input  $0^{t(n)}$  if and only if  $E[n] = 1$ .

Since  $k$  is a constant, we can encode  $E[0], E[1], \dots, E[\log(k) - 1]$  into the program of  $M$ .

During its work on input  $0^{t(n)}$ ,  $M$  will simulate  $M'$ . Notice that while  $M'$  is equipped with oracle  $C$ ,  $M$  is not an oracle machine and hence cannot query an oracle while simulating  $M'$ . Instead,  $M$  will make use of the values  $E[0], E[1], \dots$  it has computed so far to answer possible oracle queries of  $M'$ .

Let  $\log(k) \leq m \leq n$ . We now describe how  $M$  computes  $E[m]$  if it has access to  $E[0], E[1], \dots, E[m-1]$ .

Subroutine compute\_E[m];

1. Compute  $0^{t(m)}$ .
2. Simulate  $M'$  on input  $0^{t(m)}$ . For every oracle query  $q$  of  $M'$  on input  $0^{t(m)}$ , proceed as follows:
  - (a) Compute  $j \geq 0$  such that  $q = 0^{t(j)}$ . //Note that  $j < m$ .
  - (b) If  $E[j] = 0$ , continue the simulation of  $M'$  with a negative answer to query  $q$ . If  $E[j] = 1$ , continue the simulation of  $M'$  with a positive answer to query  $q$ .
3. If  $M'$  accepts, set  $E[m] := 1$ , else set  $E[m] := 0$ .

From our above argumentation it follows that for  $0 \leq i \leq n$ , the algorithm computes  $E[i]$  correctly if it has access to  $E[0], \dots, E[i-1]$ . Since  $M$  is running on input  $0^{t(n)}$  and computes  $E[0], E[1], \dots, E[n]$  one after another,  $M$  clearly is a polynomial time machine and it holds that  $L(M) = C$ .

This proves  $C \in P$ , which contradicts our assumption. Hence, such machine  $M'$  cannot exist. So  $C$  is not T-autoreducible.  $\square$



**Corollary 4.3** *If  $EEE \neq NEEE$ , then there exists  $C \in NP - P$  such that  $C$  is not  $T$ -mitotic.*

**Proof**  $T$ -mitoticity implies  $T$ -autoreducibility [AS84]. Consequently, the set  $C$  in Theorem 4.1 cannot be  $T$ -mitotic since it is not  $T$ -autoreducible.  $\square$

Under a stronger assumption, we can show that there are non- $T$ -autoreducible sets in  $(NP \cap \text{coNP}) - P$ .

**Corollary 4.4** *If  $EEE \neq NEEE \cap \text{coNEEE}$ , then there exists  $C \in (NP \cap \text{coNP}) - P$  such that*

- $C$  is not  $T$ -autoreducible.
- $C$  is not  $T$ -mitotic.

**Proof** This can easily be seen by using the set  $C$  from the proof of Theorem 3.1 in the proof of Theorem 4.1 instead of the one constructed in the latter.  $\square$

Ladner [Lad73] showed that autoreducibility and mitoticity coincide for computably enumerable sets. Under the strong assumption that  $NP \cap \text{coNP}$  contains  $n$ -generic sets, we can show that the similar question in complexity theory has a negative answer.

The notion of resource-bounded genericity was defined by Ambos-Spies, Fleischhack, and Huwig [ASFH87]. We use the following equivalent definition [BM95, PS02], where  $L(x)$  denotes  $L$ 's characteristic function on  $x$ .

**Definition 4.5** *For a set  $L$  and a string  $x$  let  $L|x = \{y \in L \mid y < x\}$ . A deterministic oracle Turing machine  $M$  is a predictor for a set  $L$ , if for all  $x$ ,  $M^{L|x}(x) = L(x)$ .  $L$  is a.e. unpredictable in time  $t(n)$ , if every predictor for  $L$  requires more than  $t(n)$  time for all but finitely many  $x$ .*

**Definition 4.6** *A set  $L$  is  $t(n)$ -generic if it is a.e. unpredictable in time  $t(2^n)$ .*

This is equivalent to say that for every oracle Turing machine  $M$ , if  $M^{L|x}(x) = L(x)$  for all  $x$ , then the running time of  $M$  is at least  $t(2^{|x|})$  for all but finitely many  $x$ .

For a given set  $L$  and two strings  $x$  and  $y$ , there are 4 possibilities for the string  $L(x)L(y)$ . For 1-cheatable sets  $L$ , a polynomial-time-computable function can reduce the number of possibilities to 2.

**Definition 4.7** ([Bei87, Bei91]) *A set  $L$  is 1-cheatable if there exists a polynomial-time-computable function  $f$  such that  $f : \Sigma^* \times \Sigma^* \longrightarrow \{0, 1\}^2 \times \{0, 1\}^2$  and for all  $x$  and  $y$ , the string  $L(x)L(y)$  belongs to  $f(x, y)$ .*

Note that in this definition and in the following text we identify the pair  $f(x, y) = (w_1, w_2)$  with the set  $\{w_1, w_2\}$ . Moreover, if  $f(x, y) = (w_1, w_2)$ , then  $f(x, y)^R$  denotes the pair  $(w_1^R, w_2^R)$  where  $w^R$  denotes the reverse of the word  $w$ .

**Theorem 4.8** *If  $\text{NP} \cap \text{coNP}$  contains  $n$ -generic sets, then there exists a tally set  $S \in \text{NP} \cap \text{coNP}$  such that*

- $S$  is 2-*tt*-autoreducible.
- $S$  is not  $T$ -mitotic.

**Proof** Let  $t(0) = 2$  and  $t(n+1) = 2^{2^{t(n)}}$  be a tower function. Let  $A' = \{0^{t(n)} \mid n \geq 0\}$ ,  $A'' = A' \cup 0A'$ , and  $A''' = A' \cup 0A' \cup 00A'$ . In this way, the number of primes indicates the number of words in the set with length around  $t(n)$  for each  $n$ . By assumption, there exists an  $n$ -generic set  $L \in \text{NP} \cap \text{coNP}$ . Define  $L'' = L \cap A''$  and observe that  $L'' \in \text{NP} \cap \text{coNP}$ .

**Claim 4.9**  $L''$  is not 1-cheatable.

Assuming that  $L''$  is 1-cheatable we will show that  $L$  is not  $n$ -generic. Let  $f$  be a function that witnesses the 1-cheatability of  $L''$ . Without loss of generality we may assume that if  $f(x, y) = (v, w)$ , then  $v \neq w$ .

$$g(x, y) =_{\text{def}} \begin{cases} f(x, y) & : \text{ if } x < y \\ f(y, x)^R & : \text{ if } x > y \\ (00, 11) & : \text{ if } x = y \end{cases}$$

Observe that also  $g$  witnesses the 1-cheatability of  $L''$  such that if  $g(x, y) = (v, w)$ , then  $v \neq w$ . In addition, for all  $x$  and  $y$ ,

$$g(x, y) = g(y, x)^R. \tag{7}$$

We describe a predictor  $M$  for  $L$  on input  $x$ .

1. if  $x \notin A''$  then accept if and only if  $x \in L$
2. // here either  $x = 0^{t(n)}$  or  $x = 0^{t(n)+1}$  for some  $n$
3. if  $x = 0^{t(n)}$  then let  $y = 0^{t(n)+1}$  else let  $y = 0^{t(n)}$   
(i.e., with  $y$  we compute the neighbour of  $x$  in  $A''$ )
4. compute  $g(x, y) = (ab, cd)$  where  $a, b, c,$  and  $d$  are suitable bits
5. if  $a = c$  then return  $a$

6. if  $b = d$  then accept if and only if  $x \in L$
7. // here  $ab = \overline{cd}$  and hence  $g(x, y) = \{00, 11\}$  or  $g(x, y) = \{01, 10\}$
8. if  $a = b$  and  $|x| > |y|$  then accept if and only if  $y$  belongs to the oracle  $L|x$
9. if  $a = b$  and  $|x| \leq |y|$  then accept if and only if  $x \in L$
10. // here  $g(x, y) = \{01, 10\}$
11. if  $|x| > |y|$  then accept if and only if  $y$  does not belong to the oracle  $L|x$
12. accept if and only if  $x \in L$

In the algorithm, the term “accept if and only if  $x \in L$ ” means that first, in deterministic time  $2^{n^{O(1)}}$ , we find out whether  $x$  belongs to  $L$ , and then we accept accordingly.

We observe that  $M$  is a predictor for  $L$ : In line 5,  $M$  predicts correctly, since  $g(x, y) = (ab, ad)$  and therefore,  $L(x) = a$ .  $M$  predicts correctly in line 8, since  $g(x, y) = \{00, 11\}$  implies  $x \in L \Leftrightarrow y \in L$  and  $|y| < |x|$  implies  $y \in L|x \Leftrightarrow y \in L$ .  $M$  predicts correctly in line 11, since  $g(x, y) = \{01, 10\}$  implies  $x \in L \Leftrightarrow y \notin L$  and again  $|y| < |x|$  implies  $y \in L|x \Leftrightarrow y \in L$ . Hence  $M$  is a predictor for  $L$ .

If we do not take the lines 1, 6, 9, and 12 into account, then the running time of  $M$  is polynomially bounded, say by the polynomial  $p$ . Now we are going to show the following.

*For all  $n$ , at least one of the following holds:  $M^{L|x}(x)$  stops within  $p(|x|)$  steps or  $M^{L|y}(y)$  stops within  $p(|y|)$  steps, where  $x = 0^{t(n)}$  and  $y = 0^{t(n)+1}$ .* (\*)

Assume (\*) does not hold for a particular  $n$ , and let  $x = 0^{t(n)}$  and  $y = 0^{t(n)+1}$ . Hence, both computations,  $M^{L|x}(x)$  and  $M^{L|y}(y)$  must stop in one of the lines 1, 6, 9, and 12. Since,  $x, y \in A''$ , these computations do not stop in line 1.

Assume  $M^{L|x}(x)$  stops in line 6. In this case,  $g(x, y) = (ab, cb)$ . By (7), the computation  $M^{L|y}(y)$  computes the value  $g(y, x) = (ba, bc)$  in line 4. So  $M^{L|y}(y)$  stops in line 5, which contradicts our observation that we must stop in the lines 6, 9, or 12. This shows that  $M^{L|x}(x)$  does not stop in line 6. Analogously we obtain that  $M^{L|y}(y)$  does not stop in line 6. So both computations must stop in line 9 or line 12.

$M^{L|y}(y)$  does not stop in line 9, since in this computation, the second condition in line 9 evaluates to false. So  $M^{L|y}(y)$  stops in line 12. However, this is not possible, since  $M^{L|y}(y)$  would have stopped already in line 11. This proves (\*).

From (\*) it follows that for infinitely many  $x$ ,  $M^{L|x}(x)$  stops within  $p(|x|)$  steps. Hence  $L$  is not  $(\log p(n))$ -generic and in particular, not  $n$ -generic. This contradicts our assumption on  $L$ . (Note that we obtain also a contradiction if we assume  $L$  to be  $t(n)$ -generic such that  $t(n) > c \log n$  for all  $c > 0$ .) This finishes the proof of Claim 4.9.

So far we constructed an  $L'' \in \text{NP} \cap \text{coNP}$  such that  $L'' \subseteq A''$  and  $L''$  is not 1-cheatable. Now we define a set  $L''' \subseteq A'''$  (this will be the set asserted in the theorem). For  $n \geq 0$  let  $x_n = 0^{t(n)}$ ,  $y_n = 0^{t(n)+1}$ ,  $z_n = 0^{t(n)+2}$ , and  $c_n = L''(x_n)L''(y_n)$ . Define  $L'''$  to be the unique subset of  $A'''$  that satisfies the following conditions where  $d_n = L'''(x_n)L'''(y_n)L'''(z_n)$ :

1. if  $c_n = 00$  then  $d_n = 000$
2. if  $c_n = 01$  then  $d_n = 110$
3. if  $c_n = 10$  then  $d_n = 101$
4. if  $c_n = 11$  then  $d_n = 011$

Observe that  $L'''$  is a tally set in  $\text{NP} \cap \text{coNP}$ . Moreover, note that for all  $n$ , either 0 or 2 words from  $\{x_n, y_n, z_n\}$  belong to  $L'''$ . This implies that  $L'''$  is 2-tt-autoreducible: If the input  $x$  is not in  $A'''$ , then reject. Otherwise, determine the  $n$  such that  $x \in \{x_n, y_n, z_n\}$ . Ask the oracle for the two words in  $\{x_n, y_n, z_n\} - \{x\}$  and output the parity of the answers.

**Claim 4.10**  $L'''$  is not  $T$ -mitotic.

Assume  $L'''$  is  $T$ -mitotic, and let  $S \in \text{P}$  be a witnessing separator. Let  $L''' \leq_T^{\text{P}} L''' \cap \overline{S}$  via machine  $M_1$  and let  $L''' \leq_T^{\text{P}} L''' \cap S$  via machine  $M_2$ . We will obtain a contradiction by showing that  $L'''$  is 1-cheatable. We define the witnessing function  $h(x, y)$  as follows.

1. If  $x = y$  then output  $(00, 11)$ .
2. If  $|x| > |y|$  then output  $h(y, x)^R$ .
3. If  $x \notin A''$  then output  $(00, 01)$ .
4. If  $y \notin A''$  then output  $(00, 10)$ .
5. // Here  $|x| < |y|$  and  $x, y \in A''$ .
6. If  $|y| - |x| > 1$  then let  $a = L''(x)$  and output  $(a0, a1)$ .
7. Determine  $n$  such that  $x = x_n$  and  $y = y_n$ .
8. Distinguish the following cases.
  - (a)  $S \cap \{x_n, y_n, z_n\} = \emptyset$ : Simulate  $M_2(x_n)$ ,  $M_2(y_n)$ , and  $M_2(z_n)$  where oracle queries  $q$  of length  $\leq t(n-1) + 2$  are answered according to  $q \in L''' \cap S$  and all other oracle queries are answered negatively. Let  $d_n$  be the concatenation of the outputs of these simulations. Let  $c_n$  be the value corresponding to  $d_n$  according to the definition of  $L'''$ . Output  $(c_n, 00)$ .
  - (b)  $\overline{S} \cap \{x_n, y_n, z_n\} = \emptyset$ : Do the same as in step 8a, but use  $M_1$  instead of  $M_2$  and answer short queries  $q$  according to  $q \in L''' \cap \overline{S}$ .

- (c)  $|S \cap \{x_n, y_n, z_n\}| = 1$ : Without loss of generality we assume  $x_n \in S$  and  $y_n, z_n \notin S$ . For  $r \in \{\text{yes}, \text{no}\}$  we simulate  $M_2(x_n)$ ,  $M_2(y_n)$ , and  $M_2(z_n)$  where oracle queries  $q$  of length  $\leq t(n-1) + 2$  are answered according to  $q \in L''' \cap S$ , the oracle query  $x_n$  is answered with  $r$ , and all other oracle queries  $q$  are answered negatively. Let  $d_r$  be the concatenation of the outputs of these simulations. Let  $c_r$  be the value corresponding to  $d_r$  according to the definition of  $L'''$  (if such  $c_r$  does not exist, then let  $c_r = 00$ ). Output  $(c_{\text{yes}}, c_{\text{no}})$ .
- (d)  $|\bar{S} \cap \{x_n, y_n, z_n\}| = 1$ : Do the same as in step 8c, but use  $M_1$  instead of  $M_2$  and answer short queries  $q$  according to  $q \in L''' \cap \bar{S}$ .

We argue that  $h$  is computable in polynomial time. Note that if we recursively call  $h(y, x)$  in step 2, then the computation of  $h(y, x)$  will not call  $h$  again. So the recursion depth of the algorithm is  $\leq 2$ . In step 6,  $|x| < |y|$  and  $x, y \in A''$ , since  $|x| = |y|$  implies that we stop in line 3 or 4. From the definition of  $A''$  it follows that there exists an  $n$  such that  $|x| \leq t(n-1) + 1$  and  $|y| \geq t(n)$ . So the computation of  $a$  in step 6 takes time

$$\leq 2^{|x|^{O(1)}} \leq 2^{t(n-1)^{O(1)}} \leq 2^{2^{t(n-1)}} = t(n) \leq |y|. \quad (8)$$

The  $n$  in step 7 exists, since  $x, y \in A''$  and  $|y| - |x| = 1$ . In step 8, queries  $q$  of length  $\leq t(n-1) + 2$  must be answered according to  $q \in L''' \cap S$  or according to  $q \in L''' \cap \bar{S}$ . Similar to (8) these simulations can be done in polynomial time in  $|x|$ . This shows that  $h$  is computable in polynomial time.

We now argue that  $h$  witnesses that  $L''$  is 1-cheatable, i.e., if  $f(x, y) = (ab, cd)$ , then  $L''(x)L''(y) = ab$  or  $L''(x)L''(y) = cd$ . It suffices to show this for the case  $|x| < |y|$ . If we stop in step 3, then  $x \notin L''$  and hence  $L''(x)L''(y) = 00$  or  $L''(x)L''(y) = 01$ . Similarly, if we stop in step 4, then  $y \notin L''$  and hence  $L''(x)L''(y) = 00$  or  $L''(x)L''(y) = 10$ . If we stop in step 6, then  $L''(x) = a$  and so  $L''(x)L''(y) = a0$  or  $L''(x)L''(y) = a1$ . So it remains to argue for step 8.

Assume the output is made in step 8a. Consider the computations  $M_2^{L''' \cap S}(x_n)$ ,  $M_2^{L''' \cap S}(y_n)$ , and  $M_2^{L''' \cap S}(z_n)$ . Since these are polynomial-time computations, they cannot ask for words of length  $\geq t(n+1) = 2^{2^{t(n)}}$ . So  $x_n, y_n$ , and  $z_n$  are the only candidates for words that are of length  $> t(n-1) + 2$  and that can be queried by these computations. But by assumption of case 8a, these words are not in  $L''' \cap S$ . Therefore, the simulations of  $M_2(x_n)$ ,  $M_2(y_n)$ , and  $M_2(z_n)$  in step 8a behave the same way as the computations  $M_2^{L''' \cap S}(x_n)$ ,  $M_2^{L''' \cap S}(y_n)$ , and  $M_2^{L''' \cap S}(z_n)$ . Hence we obtain  $d_n = L'''(x_n)L'''(y_n)L'''(z_n)$  and  $c_n = L''(x_n)L''(y_n)$ . So the output contains the string  $L'''(x)L'''(y)$ . Step 8b is argued similar to step 8a.

Assume the output is made in step 8c. We can reuse the argument from step 8a. The only difference is the words  $x_n$ . It can be an element of  $L''' \cap S$  and it can be queried by the computations  $M_2^{L''' \cap S}(x_n)$ ,  $M_2^{L''' \cap S}(y_n)$ , and  $M_2^{L''' \cap S}(z_n)$ . So we simulate both possibilities, the one where  $x_n \in L''' \cap S$  and the one where  $x_n \notin L''' \cap S$ . So at least one of the strings  $c_{\text{yes}}$  and  $c_{\text{no}}$  equals  $L'''(x)L'''(y)$  and so the output contains the string  $L'''(x)L'''(y)$ . Step 8d is argued similar to step 8c.

This shows that  $L''$  is 1-cheatable via function  $h$ . This contradicts Claim 4.9 and therefore,  $L'''$  is not T-mitotic. This finishes the proof of Claim 4.10 and of Theorem 4.8.  $\square$

**Corollary 4.11** *If  $\text{NP} \cap \text{coNP}$  contains  $n$ -generic sets, then T-autoreducibility and T-mitoticity differ on NP.*

**Proof** Follows from the fact that every 2-tt-autoreducible set is T-autoreducible.  $\square$

**Corollary 4.12** *Let  $t(n)$  be a function such that for all  $c > 0$ ,  $t(n) > c \log n$ . If  $\text{NP} \cap \text{coNP}$  contains  $t(n)$ -generic sets, then there exists a tally set  $L \in \text{NP} \cap \text{coNP}$  that is 2-tt-autoreducible, but not T-mitotic.*

**Proof** Consider the proof of Theorem 4.8. There, at the end of the proof of Claim 4.9, we mention that  $t(n)$ -genericity suffices.  $\square$

## 5 Uniformly Hard Languages in NP

In this section we assume that NP contains uniformly hard languages, i.e., languages that are uniformly not contained in coNP. After discussing this assumption we show that it implies that every  $\leq_{1\text{-tt}}^{\text{P}}$ -complete set for NP is nonuniformly NP-complete.

Recall that we have separated 1-tt-reducibility from m-reducibility within NP under a reasonable assumption in Section 3. Nevertheless the main result of this section indicates that these two reducibilities are pretty similar in terms of NP-complete problems: Every  $\leq_{1\text{-tt}}^{\text{P}}$ -complete set for NP is m-complete if we allow the reducing function to use an advice of polynomial length.

**Definition 5.1** *Let  $\mathcal{C}$  and  $\mathcal{D}$  be complexity classes, and let  $A$  and  $B$  be subsets of  $\Sigma^*$ .*

1.  $A \stackrel{\text{i.o.}}{=} B \stackrel{\text{df}}{\iff}$  for infinitely many  $n$  it holds that  $A \cap \Sigma^n = B \cap \Sigma^n$ .
2.  $A \stackrel{\text{i.o.}}{\in} \mathcal{C} \stackrel{\text{df}}{\iff}$  there exists  $C \in \mathcal{C}$  such that  $A \stackrel{\text{i.o.}}{=} C$ .
3.  $\mathcal{C} \stackrel{\text{i.o.}}{\subseteq} \mathcal{D} \stackrel{\text{df}}{\iff} C \stackrel{\text{i.o.}}{\in} \mathcal{D}$  for all  $C \in \mathcal{C}$ .

The following proposition is easy to observe.

**Proposition 5.2** *Let  $\mathcal{C}$  and  $\mathcal{D}$  be complexity classes, and let  $A$  and  $B$  be subsets of  $\Sigma^*$ .*

1.  $A \stackrel{\text{i.o.}}{=} B$  if and only if  $\overline{A} \stackrel{\text{i.o.}}{=} \overline{B}$ .
2.  $A \stackrel{\text{i.o.}}{\in} \mathcal{C}$  if and only if  $\overline{A} \stackrel{\text{i.o.}}{\in} \text{co}\mathcal{C}$ .
3.  $\mathcal{C} \stackrel{\text{i.o.}}{\subseteq} \mathcal{D}$  if and only if  $\text{co}\mathcal{C} \stackrel{\text{i.o.}}{\subseteq} \text{co}\mathcal{D}$ .

**Proposition 5.3** *The following are equivalent:*

- (i)  $\text{coNP} \stackrel{\text{i.o.}}{\not\subseteq} \text{NP}$
- (ii)  $\text{NP} \stackrel{\text{i.o.}}{\not\subseteq} \text{coNP}$
- (iii) *There exists an  $A \in \text{NP}$  such that  $A \stackrel{\text{i.o.}}{\notin} \text{coNP}$ .*
- (iv) *There exists a paddable NP-complete  $A$  such that  $A \stackrel{\text{i.o.}}{\notin} \text{coNP}$ .*

**Proof** The equivalence of (i) and (ii) is by Proposition 5.2. Moreover, from the definition it immediately follows that  $\neg(\text{ii}) \Rightarrow \neg(\text{iii})$  and  $\neg(\text{iii}) \Rightarrow \neg(\text{iv})$ . It remains to show  $\neg(\text{iv}) \Rightarrow \neg(\text{ii})$ . So we assume that for all paddable NP-complete  $A$  it holds that  $A \stackrel{\text{i.o.}}{\in} \text{coNP}$ . Choose any  $C \in \text{NP}$  and let  $B = 0C \cup 1\text{SAT}$ . Hence  $B$  is paddable and NP-complete. By our assumption  $B \stackrel{\text{i.o.}}{\in} \text{coNP}$ . So there exists a  $D \in \text{coNP}$  such that  $B \stackrel{\text{i.o.}}{=} D$ . Let  $D' = \{w \mid 0w \in D\}$  and note that  $D' \in \text{coNP}$ . Observe that for every  $n$ , if  $B \cap \Sigma^{n+1} = D \cap \Sigma^{n+1}$ , then  $C \cap \Sigma^n = D' \cap \Sigma^n$ . Hence  $C \stackrel{\text{i.o.}}{=} D'$  which shows  $C \stackrel{\text{i.o.}}{\in} \text{coNP}$ .  $\square$

We define polynomial-time many-one reductions with advice. Non-uniform reductions are of interest in cryptography, where they model an adversary who is capable of long pre-processing [BV97]. They also have applications in structural complexity theory. Agrawal [Agr02] and Hitchcock and Pavan [HP06] investigate non-uniform reductions and show under reasonable hypotheses that every many-one complete set for NP is also hard for length-increasing, non-uniform reductions.

**Definition 5.4**  $A \leq_m^{\text{p/poly}} B$  if there exists an  $f \in \text{FP/poly}$  such that for all words  $x$ ,  $x \in A \Leftrightarrow f(x) \in B$ .

The following theorem assumes as hypothesis that  $\text{NP} \stackrel{\text{i.o.}}{\notin} \text{coNP}$ . This hypothesis says for sufficiently long formulas that not all tautologies of a given size have short proofs. We use this hypothesis to show that 1-tt-complete sets for NP are nonuniformly m-complete.

**Theorem 5.5** *If  $\text{NP} \stackrel{\text{i.o.}}{\not\subseteq} \text{coNP}$ , then every  $\leq_{1\text{-tt}}^{\text{p}}$ -complete set for NP is  $\leq_m^{\text{p/poly}}$ -complete.*

**Proof** By assumption, there exists an NP-complete  $K$  such that  $K \stackrel{\text{i.o.}}{\notin} \text{coNP}$ . Choose  $g \in \text{FP}$  such that  $\{(u, v) \mid u \in K \vee v \in K\} \leq_m^{\text{p}} K$  via  $g$ . Let  $A$  be  $\leq_{1\text{-tt}}^{\text{p}}$ -complete for NP. So  $K \leq_{1\text{-tt}}^{\text{p}} A$ , i.e., there exists a polynomial-time computable function  $f : \Sigma^* \mapsto \Sigma^* \cup \{\overline{w} \mid w \in \Sigma^*\}$  such that for all words  $x$ :

1. If  $f(x) = w$  for some  $w \in \Sigma^*$ , then  $(x \in K \Leftrightarrow w \in A)$ .
2. If  $f(x) = \bar{w}$  for some  $w \in \Sigma^*$ , then  $(x \in K \Leftrightarrow w \notin A)$ .

Moreover, choose  $r \in \text{FP}$  such that  $A \leq_m^p K$  via  $r$ . Define

$$\text{EASY} =_{\text{def}} \{u \mid \exists v, |v| = |u|, f(g(u, v)) = \bar{w} \text{ for some } w \in \Sigma^*, \text{ and } r(w) \in K\}$$

EASY belongs to NP. We see  $\text{EASY} \subseteq \bar{K}$  as follows:  $r(w) \in K$  implies  $w \in A$ , hence  $g(u, v) \notin K$ , and hence  $u \notin K$ . From our assumption  $\bar{K} \stackrel{\text{i.p.}}{\notin} \text{NP}$  it follows that there exists an  $n_0 \geq 0$  such that

$$\forall n \geq n_0, \bar{K}^{-n} \not\subseteq \text{EASY}^{-n}.$$

So for every  $n \geq n_0$  we can choose a word  $w_n \in \bar{K}^{-n} - \text{EASY}$ . For  $n < n_0$ , let  $w_n = \varepsilon$ . Choose fixed  $z_1 \in A$  and  $z_0 \notin A$ . We define the reduction that witnesses  $K \leq_m^{p/\text{poly}} A$ .

$$h(v) =_{\text{def}} \begin{cases} f(g(w_{|v|}, v)) & : \text{ if } |v| \geq n_0 \text{ and } f(g(w_{|v|}, v)) \in \Sigma^* \\ z_1 & : \text{ if } |v| \geq n_0 \text{ and } f(g(w_{|v|}, v)) = \bar{w} \text{ for some } w \in \Sigma^* \\ z_1 & : \text{ if } |v| < n_0 \text{ and } v \in K \\ z_0 & : \text{ if } |v| < n_0 \text{ and } v \notin K \end{cases}$$

Observe that  $h \in \text{FP/poly}$  (even in  $\text{FP/lin}$ ) with the advice  $n \mapsto w_n$ .

We claim that for all  $v$ ,

$$v \in K \Leftrightarrow h(v) \in A. \tag{9}$$

This equivalence clearly holds for all  $v$  such that  $|v| < n_0$ . So assume  $|v| \geq n_0$  and let  $n = |v|$ .

If  $f(g(w_n, v)) \in \Sigma^*$ , then  $h$  is defined according to the first line of its definition and equivalence (9) is obtained as follows.

$$v \in K \Leftrightarrow g(w_n, v) \in K \Leftrightarrow f(g(w_n, v)) \in A$$

Otherwise,  $f(g(w_n, v)) = \bar{w}$  for some  $w \in \Sigma^*$ . We claim that  $v$  must belong to  $K$ . If not, then  $g(w_n, v) \notin K$  and hence  $w \in A$  (since  $K \leq_{1\text{-tt}}^p A$  via  $f$ ). So  $r(w) \in K$  which witnesses that  $w_n \in \text{EASY}$ . This contradicts the choice of  $w_n$  and it follows that  $v \in K$ . This shows  $v \in K \Leftrightarrow h(v) = z_1 \in A$  and proves equivalence (9).  $\square$



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