

# All Natural NPC Problems Have Average-Case Complete Versions

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## Abstract

In 1984 Levin put forward a suggestion for a theory of *average case complexity*. In this theory a problem, called a *distributional problem*, is defined as a pair consisting of a decision problem and a probability distribution over the instances. Introducing adequate notions of "efficiency-on-average", simple distributions and efficiency-on-average preserving reductions, Levin developed a theory analogous to the theory of  $\mathcal{NP}$ -completeness. In particular, he showed that there exists a simple distributional problem that is complete under these reductions. But since then very few distributional problems were shown to be complete in this sense. In this paper we show a simple sufficient condition for an  $\mathcal{NP}$ -complete decision problem to have a distributional version that is complete under these reductions (and thus to be "hard on the average" with respect to some simple probability distribution). Apparently all known  $\mathcal{NP}$ -complete decision problems meet this condition.

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# 1 Introduction

While most of the research in complexity theory concentrates in worst-case complexity, from a practical point of view, the hardness of problems should be established through average-case complexity. That is, for an adequate probability distribution on the instances, one would usually settle for an algorithm that performs well on all but a negligible fraction of the instances. This is true especially when dealing with problems in  $\mathcal{NP}$ , where one can verify the correctness of an alleged solution. Thus, average case complexity is concerned with *distributional problems*, defined as pairs consisting of some decision problem<sup>1</sup> and a probability distribution over all strings. Solving such a problem means providing an algorithm that solves all instances and, loosely speaking, runs in expected polynomial time (or, alternatively, that runs in polynomial time and decides the problem with high probability over the related distribution of the inputs)

The quality of an average-case hardness result can be measured by three parameters. The first, of course, is the *wideness* of the class for which the problem is hard; the second, is the *"naturalness"* of the decision problem; and the third is the *"simplicity"* of the probability distribution coupled with the decision problem. We elaborate, focusing on  $\mathcal{NP}$ . Suppose we want to prove that there is an  $\mathcal{NP}$  decision problem that is "hard on the average". Then typically we should try to prove it is hard for any  $\mathcal{NP}$  decision problem, coupled with any probability distribution taken from a family as wide as possible. The first parameter will be measured by the wideness of this family. The second parameter will be measured by the "naturalness" of the decision problem proved hard: we would like it to be a "popular", or "canonical" decision problem. The third parameter will be measured by the "simplicity" of the probability distribution coupled with the decision problem for which hardness was proved. We would like it to be "simply structured", and maybe also "close to uniform" (arguably, such distributions are more likely to emerge in "real life": See further discussion in Section 4.3). In addition, another (fourth) parameter that measures a hardness theory in general, is the variety of hard problems it produces. We would like a hardness theory to produce as *wide variety* of hard problems as possible.

In this paper, we show results that make the theory of average-case  $\mathcal{NP}$ -completeness strong with respect to all four parameters discussed above. That is, we show that a very wide family of  $\mathcal{NPC}$  problems (apparently all known problems), when coupled with considerably simple probability distributions, are hard for the problems in  $\mathcal{NP}$  coupled with a very wide family of probability distributions.

## 1.1 Levin's theory of average-case complexity

The theory of average case complexity was initiated by Levin [9]. As mentioned above, it refers to the complexity of solving problems with respect to certain probability distributions over their instances. Levin set the foundations to an average case complexity theory analogous to the theory of  $\mathcal{NP}$ -completeness. He first had to define which probability distributions will be of interest. Letting these probability distributions range over all possible probability distributions would have collapsed the new theory to classic worst-case complexity (since one can always put all the probability mass on the worst case). On the other hand, considering only the uniform distribution seems quiet

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<sup>1</sup>The discussion here, although focuses on decision problems, will hold also for search problems. See also Footnote 3.

arbitrary and limiting. Levin therefore defined a family of probability distributions, which he called *P-computable*. These are probability distributions over all strings, such that the accumulative probability can be computed in polynomial time (that is, there exists a polynomial time algorithm that given  $x$  outputs the probability that a string smaller or equal lexicographically to  $x$  is drawn). Focusing on these probability distributions, Levin defined:

- The class  $\text{avg } \mathcal{P}$ , which is analogous to  $\mathcal{P}$ , and consists of the distributional problems that can be solved "efficiently on the average".
- The class  $\text{dist } \mathcal{NP}$ , which is analogous to  $\mathcal{NP}$ , and consists of decision problems in  $\mathcal{NP}$  paired with P-computable probability distributions.
- A class of reductions, which we call here AP-reductions, analogous to polynomial-time reductions (such as Karp or Cook reductions). Such reductions preserve "easiness on the average", that is, if a distributional problem can be AP-reduced to a problem in  $\text{avg } \mathcal{P}$ , then the reduced problem is also in  $\text{avg } \mathcal{P}$ . Although we did not specify yet what it means to solve a problem on the average, the crucial point is that these AP-reductions preserve "easiness on the average" with respect to various different definitions, including the original ones of Levin. The crucial aspect in these reductions is that instances that occur with some probability are not mapped to instances that occur with much smaller probability.

Next, Levin showed that there exists a  $\text{dist } \mathcal{NP}$ -complete distributional problem, that is, a problem in  $\text{dist } \mathcal{NP}$  that every problem in  $\text{dist } \mathcal{NP}$  can be AP-reduced to it. Thus, this complete problem is in  $\text{avg } \mathcal{P}$  if and only if  $\text{dist } \mathcal{NP} \subseteq \text{avg } \mathcal{P}$ .

How does Levin's theory (and the research that followed so far) relate to the four parameters discussed above? The first parameter (i.e. the "wideness of hardness") was settled by Impagliazzo and Levin [7], who showed that any distributional problem that is hard for  $\text{dist } \mathcal{NP}$  is also hard, via randomized reductions, for the (apparently) much wider class of  $\mathcal{NP}$  problems coupled with *P-samplable distributions* (defined in [1]), which are distributions that can be generated in probabilistic polynomial time<sup>2</sup>. The third parameter, i.e. the "simplicity" of the probability distributions of the complete problems, was also settled, since it seems reasonable to consider P-computable distributions as very "simple". Moreover, the distributions that were constructed can be considered as close to uniform (see further discussion in Section 4.3).

However, the other parameters were more problematic. Regarding the second parameter (i.e. the "naturalness" of the decision problems), the  $\mathcal{NP}$  decision problems that were shown to have  $\text{dist } \mathcal{NP}$ -complete versions were somewhat "unnatural", and consisted of Bounded Halting, some Tiling problems and other "non-popular" problems. In particular, none of the twenty-one problems in Karp's paper [8] (such as SAT, CLIQUE, Hamiltonian Cycle etc.) was shown to have a complete distributional version. The fourth parameter, i.e. the variety of complete problems, was the most problematic. In fact, to date, only a few  $\text{dist } \mathcal{NP}$ -complete problems were found [9, 4, 10, 5]. Improving these two parameters was raised as an open problem in, e.g., [1].

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<sup>2</sup>To be more precise, they have proved that if some  $\mathcal{NP}$  decision problem cannot be solved efficiently on the average with respect to some P-samplable distribution, then there exists a  $\text{dist } \mathcal{NP}$  problem that cannot be solved efficiently on the average, where "efficiently" refers here to probabilistic polynomial time. Thus, they have linked hardness with respect to P-computable distributions, to hardness with respect to an (apparently) much wider family of distributions.

## 1.2 Our contribution

In this work we address the aforementioned shortcomings by showing a simple sufficient condition for an  $\mathcal{NP}$ -complete decision problem to have a distributional version that is  $\text{dist}\mathcal{NP}$ -complete. Apparently all known  $\mathcal{NP}$ -complete decision problems meet this condition (this condition refers to some natural paddability property). Thus, we provide a *wide variety of natural*  $\text{dist}\mathcal{NP}$ -complete problems. Combined with Impagliazzo and Levin's result [7], this makes the theory of average-case completeness strong with respect to all four parameters discussed above<sup>3</sup>.

Our technique is based on the identification and construction of a restricted type of Karp-reductions that "preserve order" in some (natural) sense. If such an order preserving reduction exists from some (decisional part of a)  $\text{dist}\mathcal{NP}$ -complete problem to some problem in  $\mathcal{NP}$ , then the later has a probability distribution that when coupled with it, forms a  $\text{dist}\mathcal{NP}$ -complete decision problem. The aforementioned order preserving reduction is related to the paddability property mentioned in the previous paragraph.

Let us demonstrate, informally, the high-level ideas of our technique on SAT. Assume some standard encoding for SAT (we will freely identify a formula and its representation). Let  $(C, \mu)$  be some  $\text{dist}\mathcal{NP}$ -complete distributional problem (so, in particular,  $C \in \mathcal{NP}$  and  $\mu$  is P-computable), and let  $h$  be a reduction from  $C$  to SAT such that  $|x| \geq |y|$  if and only if  $|h(x)| \geq |h(y)|$  (we will show in Section 3 how to obtain such reductions). We define a new Karp-reduction  $f$  such that  $f(w)$  "encodes", in some explicit form,  $w$  itself into the formula  $h(w)$ . For example, let  $e_0 = (x_0 \vee \neg x_0)$  and  $e_1 = (x_1 \vee \neg x_1)$ , and assume that the encoding of  $e_1$  is lexicographically larger than that of  $e_0$  and that the lengths of  $e_0$  and  $e_1$  are equal. Now define

$$f(w) = e_{w_1} \wedge e_{w_2} \wedge \dots \wedge e_{w_{|w|}} \wedge h(w) \quad (1)$$

where  $w_i$  is the  $i$ -th bit of  $w$ . Note that the "encoding" of  $w$  in the left part of the formula ensures that  $f$  has the following properties:

- Invertibility: given  $f(w)$  one can compute  $w$ .
- Monotonicity: if  $w'$  is lexicographically larger than  $w''$  then  $f(w')$  is lexicographically larger than  $f(w'')$ .
- Preserving satisfiability:  $f(w)$  preserves the truth value of  $h(w)$  (since  $e_0$  and  $e_1$  are tautologies).

Thus,  $f$  is an "order preserving" reduction of  $C$  to SAT.

Let us see how such order preserving reductions (which are defined between *standard* decision problems) are related to AP-reductions (which are defined between *distributional* problems). We couple SAT with the following probability distribution  $\eta$ :

$$\eta(x) = \begin{cases} \mu(f^{-1}(x)) & \text{if } x \in \text{image}(f) \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

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<sup>3</sup>We mention that by a result of Ben-David et al.[1] (and since Impagliazzo and Levin's aforementioned result [7] was shown for search problems too), the results mentioned here, although stated in terms of decision problems, imply similar results for search problems.

Then the reduction  $f$  is a AP-reduction from  $(C, \mu)$  to  $(\text{SAT}, \eta)$ , because it maps each instance of  $C$  to an instance of SAT that occurs with exactly the same probability. Since  $(C, \mu)$  is  $\text{dist } \mathcal{NP}$ -complete, and AP-reductions are transitive, it follows that  $(\text{SAT}, \eta)$  is  $\text{dist } \mathcal{NP}$ -hard (under AP-reductions). Furthermore, because of the special properties of  $f$ , and since  $\mu$  is P-computable, then so is  $\eta$ . Loosely speaking, since  $f$  is monotonous, in order to compute the accumulative probability of  $w$  under  $\eta$ , it suffices to compute the accumulative probability of its inverse under  $\mu$ ; and since  $f$  is invertible, we can compute this inverse. It follows that  $(\text{SAT}, \eta)$  is in  $\text{dist } \mathcal{NP}$ , and therefore  $(\text{SAT}, \eta)$  is  $\text{dist } \mathcal{NP}$ -complete. For more details and a complete proof see Section 3.

We have just demonstrated that since such an "order preserving" Karp-reduction exists between (the decisional part of) some  $\text{dist } \mathcal{NP}$ -complete problem and SAT, the later has a distributional version that is  $\text{dist } \mathcal{NP}$ -complete. Moreover, we note that the construction of the Karp-reduction exploited only the properties of the target problem, SAT. More specifically, the construction used a technique called "padding", introduced by Berman and Hartmanis [6], in order to encode  $w$  into  $h(w)$ . This "paddability" property is a property of decision problems, rather than of reductions. Using this paddability property one can prove similar results for other  $\mathcal{NP}$ -complete problems.

Hence, essentially we "reduced" the problem of showing that an  $\mathcal{NP}$ -complete decision problem has a  $\text{dist } \mathcal{NP}$ -complete version to the problem of proving some paddability properties for this decision problem. Although we do not know whether these paddability properties hold for every decision problem in  $\mathcal{NP}$  (and showing that they do is at least as hard as proving  $\mathcal{P} \neq \mathcal{NP}$ ), they are very easy to verify for any known problem. In particular, we have verified that these properties hold for the famous twenty-one problems treated in Karp's seminal paper [8]. See further discussion in Section 4.1

**Reflection** Let us take a second look at the probability distribution of the complete distributional version of SAT defined in Equation 2. We claim that this probability distribution has a "simple" structure that, in some sense, is close to uniform. We elaborate. The complete problem guaranteed by Levin [9] has a probability distribution that is "close to uniform" in a very strong sense. For simplicity, let us assume we take a complete problem with uniform probability distribution. Combining Equations 1 and 2, the left side of  $\eta$  is uniform over encodings of all strings (under some simple encoding). Thus, it can be regarded as "close to uniform". The right side is determined by the left, and can be computed in polynomial time from it. Thus, the structure of the resulted probability distribution is a simple structure. (See further discussion in Section 4.3). Applying our technique to any other  $\mathcal{NP}$  decision problem will result in a similarly structured distribution.

**Organization** In section 2 we give some definitions that will be used throughout this paper. In Section 3 we provide a rigorous presentation of our results, by first showing a sufficient condition for an  $\mathcal{NP}$ -complete decision problem to have a distributional version that is  $\text{dist } \mathcal{NP}$ -complete, and then, using this sufficient condition to show that some well-known  $\mathcal{NP}$ -complete decision problems have distributional versions that are  $\text{dist } \mathcal{NP}$ -complete. In Section 4 we discuss some related issues concerning our results.

## 2 Preliminaries

### 2.1 Strings and functions over strings

For a string  $x$ , we denote by  $|x|$  the length of  $x$ , and by  $x_1, x_2, \dots, x_{|x|}$  the bits of  $x$ . Throughout this paper, the symbol " $<$ ", when applied between strings, will denote the standard lexicographical order over all strings (i.e.,  $|y| = |y'| \Rightarrow x_0y < x_1y'$ , and  $|x| < |x'| \Rightarrow x < x'$ ). Given a string  $x$ , the strings  $x - 1$  and  $x + 1$  denote, respectively, the strings preceding and succeeding  $x$ .

Given an instance of a decision problem, its *characteristic* refers to the value of the characteristic function for this instance (i.e., it equals 1 if the problem contains this instance and 0 otherwise).

**Definition 2.1 (P-invertible function)** *A function  $f$  is P-invertible if it is 1-1, and there is a polynomial-time algorithm that given  $x$  returns  $f^{-1}(x)$  if it is defined, and a failure symbol  $\perp$  otherwise.*

**Definition 2.2 (length-regular function)** *A function  $f$  is length-regular if for every  $x, y \in \{0, 1\}^*$ , it holds that  $|x| \leq |y|$  if and only if  $|f(x)| \leq |f(y)|$ .*

Note that a function  $f$  is length-regular if and only if it satisfies the following two conditions: (1)  $|x| = |y|$  if and only if  $|f(x)| = |f(y)|$  and (2)  $|x| > |y|$  if and only if  $|f(x)| > |f(y)|$ .

**Definition 2.3 (semi-monotonous function)** *A function  $f$  is semi-monotonous if for every  $x, y \in \{0, 1\}^*$  such that  $|x| = |y|$  it holds that  $x < y$  if and only if  $f(x) < f(y)$ .*

While a semi-monotonous function is only monotonous within lengths (that is, the function, when restricted to each length is monotonous), a function that is semi-monotonous and length-regular is monotonous over *all* strings (because in particular, for a length-regular function  $f$ , it holds that  $|x| > |y|$  implies  $|f(x)| > |f(y)|$ ).

### 2.2 Notions from average case complexity theory

We state here the basic definitions from average case complexity theory that will be used throughout this paper. These are the original definitions used by Levin in [9]. For a comprehensive survey on average case complexity, see Goldreich [3].

**Definition 2.4 (probability distribution function)** *A function  $\mu : \{0, 1\}^* \rightarrow [0, 1]$  is a probability distribution function if  $\mu(x) \geq 0$  for every  $x$  and  $\sum_{x \in \{0, 1\}^*} \mu(x) = 1$ . The accumulative probability function associated with  $\mu$  is denoted  $\bar{\mu}$  and defined by  $\bar{\mu}(x) = \sum_{x' \leq x} \mu(x')$ .*

**Definition 2.5 (P-computable probability distribution)** *A probability distribution function  $\mu$  is P-computable if there exists a polynomial time algorithm that given  $x$  outputs the binary expansion of  $\bar{\mu}(x) = \sum_{x' \leq x} \mu(x')$ .*

**Definition 2.6 (distributional problem)** *A distributional problem is a pair consisting of a decision problem and a probability distribution function. That is,  $(L, \mu)$  is the distributional problem of deciding membership in the set  $L$  with respect to the probability distribution  $\mu$ .*

**Definition 2.7** ( $\text{dist } \mathcal{NP}$ ) *The class  $\text{dist } \mathcal{NP}$  consists of all distributional problems  $(L, \mu)$  such that  $L \in \mathcal{NP}$  and  $\mu$  is  $P$ -computable.*

**Definition 2.8** (**average-case preserving reduction**) *A function  $f$  is an average-case preserving reduction (abbreviated AP-reduction) of the distributional problem  $(S, \mu_S)$  to the distributional problem  $(T, \mu_T)$  if  $f$  is a Karp-reduction (i.e. many-to-one polynomial-time reduction) from  $S$  to  $T$ , and in addition there exists a polynomial  $q$  such that for every  $y \in \{0, 1\}^*$ ,*

$$\mu_T(y) \geq \frac{1}{q(|y|)} \cdot \sum_{x \in f^{-1}(y)} \mu_S(x).$$

In the special case that  $f$  is 1-1, which is the case will be used throughout this paper, the last expression simplifies to the following: For every  $x$  it holds that

$$\mu_T(f(x)) \geq \frac{\mu_S(x)}{q(|x|)}.$$

Note that we use the fact that  $|f(x)|$  is polynomially related to  $|x|$ .

AP-reductions preserve "easiness on the average" with respect to various definitions. The reason is that the sum of the probabilities of the preimages of every instance (in the range of the reduction), is not much larger than the probability of the instance itself. Thus, an AP-reduction cannot map "typical" instances of the original problem to "rare" instances of the target problem, on which an "average-case algorithm" can perform exceptionally bad. We note that AP-reductions are also transitive.

**Definition 2.9** ( **$\text{dist } \mathcal{NP}$ -complete distributional problem**) *A distributional problem is  $\text{dist } \mathcal{NP}$ -complete if it is in  $\text{dist } \mathcal{NP}$  and every problem in  $\text{dist } \mathcal{NP}$  is AP-reducible to it.*

For sake of completeness, we state here the definition of  $\text{avg } \mathcal{P}$ . However, our results only refer to AP-reductions, and not to their particular effect on  $\text{avg } \mathcal{P}$ .

**Definition 2.10** ( $\text{avg } \mathcal{P}$ ) *The class  $\text{avg } \mathcal{P}$  consists of all distributional problems  $(L, \mu)$  such that there exists an algorithm  $A$  that decides  $L$  and a constant  $\lambda > 0$  such that*

$$\sum_{x \in \{0, 1\}^*} \mu(x) \cdot \frac{t_A(x)^\lambda}{|x|} < \infty$$

where  $t_A(x)$  denotes the running time of  $A$  on input  $x$ .

For a discussion on the motivation for this somewhat non-intuitive definition see Goldreich [2].

As mentioned above, it can be shown that if  $(T, \mu_T)$  is AP-reducible to  $(S, \mu_S)$  and  $(S, \mu_S) \in \text{avg } \mathcal{P}$  then  $(T, \mu_T) \in \text{avg } \mathcal{P}$  too. Thus, a  $\text{dist } \mathcal{NP}$ -complete problem is in  $\text{avg } \mathcal{P}$  if and only if  $\text{dist } \mathcal{NP} \subseteq \text{avg } \mathcal{P}$ . But, as mentioned above, AP-reductions preserve other definitions of "easiness on the average" too.

### 3 Main Results

We show here a sufficient condition for the existence of a  $\text{dist } \mathcal{NP}$ -complete version for an  $\mathcal{NP}$ -complete decision problem. We then show that some famous  $\mathcal{NP}$ -complete decision problems meet this condition. By doing so we wish to claim that *all* known  $\mathcal{NP}$ -complete decision problems meet this condition (or, at least have some reasonable encoding such that they do). For a discussion on the generality of our results, see Section 4.1

Our sufficient condition will enable us to prove completeness results also with respect to slightly different definitional variants, like those of Goldreich [3] (which deal with probability ensembles rather than one probability distribution over all strings). This condition will be very easy to verify for all known  $\mathcal{NP}$ -complete decision problems. For more details on these alternative definitions, see Section 4.2.

#### 3.1 The general technique

Our first technical tool is the following notion of paddability. Its purpose is to transform general reductions into length-regular ones, by "padding-up" instances to specified lengths.

**Definition 3.1 (regular-padding)** *A decision problem  $L$  is regular-paddable if there exists some strictly increasing function  $q$  and a padding function  $S : 1^* \times \Sigma^* \mapsto \Sigma^*$  such that:*

- *$S$  is polynomial-time computable.*
- **Preserving characteristic:** *For every  $x$  and every  $n$  it holds that  $S(1^n, x) \in L$  if and only if  $x \in L$ .*
- **Length-regular<sup>4</sup>:** *For every  $x$  and every  $n$  such that  $n \geq |x|$ , it holds that  $|S(1^n, x)| = q(n)$ .*

We call  $q$  the *stretch measure* of  $S$ . The first parameter of  $S$  determines the length to which the string is to be padded. The following holds:

**Lemma 3.2** *If some decision problem is regular-paddable, then every Karp-reduction to it can be made length-regular.*

**Proof:** We show this by "pumping up" the lengths of all mapped strings. Let  $L$  be regular-paddable via  $S$ . Given a Karp-reduction  $f$  to  $L$ , we choose a strictly increasing polynomial  $r$  such that  $r(|x|) \geq |f(x)|$  for every  $x$ , and define  $f'(x) = S(1^{r(|x|)}, f(x))$ . One can easily verify that  $f'$  is length-regular.  $\square$

Our main technical tool is the following notion of paddability.

**Definition 3.3 (monotonous padding)** *A decision problem  $L$  is monotonously-paddable if there exists a padding function  $E : \Sigma^* \times \Sigma^* \mapsto \Sigma^*$  and a decoding function  $D : \mathbb{N} \times \Sigma^* \mapsto \Sigma^*$  such that:*

- *$E$  and  $D$  are polynomial-time computable.*

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<sup>4</sup>Since this condition indeed resembles Definition 2.2, we allowed ourself this abuse of the term here and in the following definition.



- **Preserving characteristic:** For every  $p, x \in \{0, 1\}^*$  it holds that  $E(p, x) \in L$  if and only if  $x \in L$ .
- **Semi-monotonous:** If  $p < p'$ ,  $|p| = |p'|$  and  $|x| = |x'|$  then  $E(p, x) < E(p', x')$ .
- **Length-regular:** If  $|x| = |x'|$  and  $|p| = |p'|$  then  $|E(p, x)| = |E(p', x')|$ , and if  $|x| < |x'|$  and  $|p| \leq |p'|$  then  $|E(p, x)| < |E(p', x')|$ .
- **Decoding:** For every  $x, p \in \{0, 1\}^*$  it holds that  $D(|p|, E(p, x)) = p$ , and  $D(k, w) = \perp$  if there is no  $x$  and  $p$  such that  $|p| = k$  and  $E(p, x) = w$ .

Loosely speaking, the first parameter for  $D$  defines the part of the string to be regarded as the "padding". Note that  $D$  is well-defined, that is, if  $D(k, w) \neq \perp$  then there exists a unique  $p$  such that  $D(k, w) = p$  (i.e. a unique  $p \in \{0, 1\}^k$  such that there exists  $x \in \{0, 1\}^*$  such that  $E(p, x) = w$ ). Although Definition 3.3 may seem somewhat cumbersome, the following holds:

**Fact 3.4** *If the function  $E$  is defined such that  $E(p, x) = e_{p_1} e_{p_2} \dots e_{p_{|p|}} g(x)$ , where:*

- $|e_0| = |e_1|$  and  $e_0 < e_1$ .
- The function  $g(x)$  is length-regular.
- $E(p, x) \in L$  if and only if  $x \in L$ .

then  $E$  is a monotonous padding function for  $L$ .

In the example of SAT (used in the introduction), the function  $g$  is the identity function, but generally, the encoding does not necessarily only add some prefix to the string, it can also change the string in some simple way (for example, in the example of SAT the function  $g$  could also change the indexes of the variables in the original formula).

It is easy to see that famous  $\mathcal{NP}$ -complete decision problems are both regular-paddable and monotonously-paddable. For details see Sections 3.2, 3.3 and 3.4.

**Theorem 3.5** *If  $L$  is  $\mathcal{NP}$ -complete, regular-paddable and monotonously-paddable then there is a distribution that when coupled with  $L$  forms a  $\text{dist}\mathcal{NP}$ -complete problem.*

**Proof:** We use the following result of Levin [9]:

**Theorem 3.6** *There exists a  $\text{dist}\mathcal{NP}$ -complete distributional problem.*

Let  $(C, \mu)$  be a  $\text{dist}\mathcal{NP}$ -complete distributional problem (where  $C \in \mathcal{NP}$  and  $\mu$  is P-computable), let  $h$  be a Karp-reduction from  $C$  to  $L$ , and let  $E, D$  be as in Definitions 3.1 and 3.3. In order for  $E$  to "work properly" (that is, to yield a length-regular, semi-monotonous reduction), it has to be composed (in the appropriate manner), with a length-regular Karp-reduction. Thus, using Lemma 3.2, we transform  $h$  to a length-regular Karp-reduction  $h'$  of  $C$  to  $L$ . We then define  $f(x) = E(x, h'(x))$ . We notice that  $f$  enjoys the following properties:

- $f$  is a Karp-reduction from  $C$  to  $L$  (since  $E$  preserves characteristic).

- $f$  is length-regular (since  $h'$  and  $E$  are both length-regular).
- $f$  is semi-monotonous (since  $h'$  is length-regular and  $E$  is semi-monotonous).
- $f$  is P-invertible (see next).

P-invertibility is evidenced by the following algorithm: Given  $y$  it first tries to find a number  $k$  such that  $|f(0^k)| = |y|$  (this can be done, e.g., by computing  $|f(0)|, |f(0^2)|, \dots, |f(0^{|y|})|$ , capitalizing on  $|f(x)| \geq |x|$ , which follows from length-regularity). If no such  $k$  exists it returns  $\perp$ . Else, it computes  $x = D(k, y)$ . If  $x = \perp$ , the algorithm also returns  $\perp$ . Otherwise, it computes  $f(x)$ . If the result equals  $y$  it returns  $x$ , else it returns  $\perp$ .

Note that since  $f$  is length-regular and semi-monotonous,  $f$  is monotonous over all strings. Next, we couple the decision problem  $L$  with the following probability distribution  $\eta$ :

$$\eta(y) = \begin{cases} \mu(f^{-1}(y)) & \text{if } y \in \text{image}(f) \\ 0 & \text{otherwise} \end{cases}$$

It is straightforward that indeed  $\eta$  is a probability distribution function. We claim that the reduction  $f$  is a AP-reduction from  $(C, \mu)$  to the distributional problem  $(L, \eta)$ , and that  $\eta$  is P-computable. Since AP-reductions are transitive, the theorem follows. The first claim is straightforward, since every instance of  $C$  is mapped to an instance of  $L$  of exactly the same probability (i.e.,  $\mu(x) = \eta(f(x))$ ). To see the second claim, recall that  $\mu$  is P-computable, and note that the accumulative probability function induced by  $\eta$ , denoted  $\bar{\eta}$ , satisfies:

$$\bar{\eta}(y) = \bar{\mu}(x) \text{ where } x \text{ is the largest string such that } f(x) \leq y. \quad (3)$$

where  $\bar{\mu}$  is the accumulative probability function induced by  $\mu$ . We elaborate. Suppose, as an intermediate step, that we wish to compute  $\eta(x)$  rather than  $\bar{\eta}(x)$ . Then we can simply compute  $y = f^{-1}(x)$  (which can be done since  $f$  is P-invertible), then if  $y = \perp$  we output 0, otherwise we output  $\mu(y)$ . Hence, the mere fact that  $f$  is P-invertible is sufficient to compute  $\eta(x)$ . Turning to the task of computing  $\bar{\eta}$ , we notice that since  $f$  is also monotonous (over all strings), for any string  $x$  in  $\text{image}(f)$ , it holds that  $\bar{\eta}(x) = \bar{\mu}(f^{-1}(x))$ . For any other string, its accumulative probability is equal to that of the largest string in  $\text{image}(f)$  that is smaller than it (since all strings between them occur with probability 0). Equation 3 follows.

The string  $\max(\{x | f(x) \leq y\})$  can be computed in polynomial time, since the reduction  $f$  is monotonous. An algorithm for computing this string can first compute  $x = f^{-1}(y)$ . If  $x \neq \perp$  it outputs  $x$ , else it performs a binary search to find the string  $x'$  such that  $f(x') < y$  and  $f(x'+1) > y$ , and outputs  $x'$ .  $\square$

Using Theorem 3.5 we now turn to prove that some  $\mathcal{NP}$ -complete decision problems have  $\text{dist } \mathcal{NP}$ -complete distributional versions. We have verified that all twenty-one  $\mathcal{NP}$ -complete decision problems that are treated in Karp's paper [8] do meet the sufficient condition of Theorem 3.5. In the rest of this section we describe three of them. The first one is SAT, which we chose since it is the most canonical  $\mathcal{NP}$ -complete decision problem. We then show that CLIQUE meets this condition, as an example of a typical graph problem. Finally we provide a proof that the same is true for HAM, the problem of Hamiltonian cycle, since this proof is a little less straightforward than the other problems in Karp's paper. We believe that these three examples in particular, and

the fact that same results hold for all  $\mathcal{NP}$ -complete decision problems in Karp's paper, give strong evidence that the results hold for all known  $\mathcal{NP}$ -complete decision problems (see further discussion in Section 4.1, as well as further evidence for our claim).

### 3.2 SAT, revisited

The following theorem can be proved using Theorem 3.5.

**Theorem 3.7** *SAT has a distributional version that is  $\text{dist } \mathcal{NP}$ -complete.*

To show that SAT meets the hypotheses of Theorem 3.5 one can use similar ideas to those presented in the introduction. We just have to assume some assumptions on the standard encoding of SAT (e.g. that the encoding acts on each clause, and each variable in the clause, in a context-free manner).

We choose two strings  $e_0, e_1$  such that both are encodings of CNF clauses such that:

1. Both clauses are satisfiable.
2.  $e_0 < e_1$ .
3.  $|e_0| = |e_1|$ .

We first sketch the ideas used to show SAT is regular-paddable. In order to "stretch" some formula  $\phi$  we "pad-up"  $\phi$  by prefixing it with a series of  $e_0$ 's. We then "shift" the variables in the original  $\phi$  by raising their index, such that the variables in  $\phi$  are disjoint to the ones in the added prefix. Since the added clauses are satisfiable, and consists of disjoint variables to the initial  $\phi$ , the padding function does not affect the characteristic of  $\phi$ .<sup>5</sup>

Following the ideas in the introduction, by using  $e_0$  and  $e_1$  to encode 0's and 1's, one can show that SAT is also monotonously-paddable. If it is required that instances of SAT do not have multiple occurrences of the same clause, then this requirement can be met by allocating sufficient amount of variables for the padding (i.e., using for the padding variables that are disjoint to the ones used in the original formula), and using different variables for each clause in the padding.

We did not define here rigorously the encoding of SAT (e.g., how is a variable encoded, how is a clause encoded, etc). Different encodings will yield different padding functions. However, Theorem 3.7 can be proved under any reasonable encoding of SAT.

### 3.3 Clique

We consider the CLIQUE decision problem, consisting of all pairs of an undirected graph  $G$  and a natural number  $k$  such that there exists a complete induced subgraph of  $G$  of size  $k$ . We assume the graph is given as an incidence matrix (which can either be symmetric, or upper-triangular), that the first row of the matrix is encoded by the leftmost bits, and that  $k$  is represented as a  $\lceil \log(n) \rceil$ -bit number to the right of the matrix.

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<sup>5</sup>There are some small technicalities to be concerned, like assuring that  $|e_0|$  divides the difference between the desired length and the length of the initial formula. However, there are various ways of coping with such difficulties, e.g., by using various  $e_0$ 's with different lengths, and by "normalizing" the lengths of the variables in  $\phi$  (see next).

**Theorem 3.8** CLIQUE has a distributional version that is  $\text{dist}\mathcal{NP}$ -complete.

**Proof:** It is straightforward to see that CLIQUE is regular-paddable. We simply add "dummy" nodes with degree 0 and leave  $k$  as is. Thus we can transform any input of size  $n^2 + \lceil \log(n) \rceil$  to an input of size  $m^2 + \lceil \log(m) \rceil$  for any  $m \geq n$ , and thus we can achieve a regular-padding function with stretch measure  $q(n) = n^2 + \lceil \log n \rceil$ .

We now show that CLIQUE is monotonously-paddable. The idea is as follows. Given a graph  $G = (V, E)$  where  $V = \{v_1, v_2, \dots, v_{|V|}\}$  to be padded with  $p$ , we first "shift" all vertices by raising their index by  $|p|$ , the number of bits we wish to encode (and of course change the edges accordingly). This "frees" the vertices indexed lower or equal to  $|p|$ . We then encode the bits of  $p$  by edges connected to  $v_1$ , such that each bit is encoded by the edge indexed as the bit's position, and such that the edge will appear if and only if the bit value is 1 (that is, the edge  $(v_1, v_i)$  will appear if and only if  $p_i = 1$ ). Thus, these edges will result in 0's and 1's in the first row of the incidence matrix of the graph. This will add a star-shaped subgraph (rooted at  $v_1$ ) to the original graph. We will ensure that this will not change the characteristic of the instance.

Formally, for  $M$ , an 0-1-matrix of size  $n \times n$  we define  $E(p, (M, k)) = (M', k)$  where  $M'$  is an 0-1-matrix of size  $(n + |p|) \times (n + |p|)$ , such that  $M'_{i+|p|, j+|p|} = M_{i, j}$  for  $1 \leq i, j \leq n$ , and  $M'_{1, j} = p_j$  for  $1 \leq j \leq |p|$  (where  $p_j$  is the  $j$ -th bit of  $p$ ). This, of course, may add to the graph cliques of size 2 (but does not add larger cliques). If  $k = 2$  and the graph did not have a clique of size 2 (i.e., the graph was edgeless), this could be a problem. To fix this, the padding function can check (in polynomial time) if indeed  $k = 2$  and the graph is edgeless. If this is the case, it simply changes  $k$  to 3 and we are done.

This transformation preserves the characteristic of the instance. Moreover, we have  $p$  encoded in the most trivial manner, i.e. bit-by-bit, as a prefix of the string  $E(p, x)$ . It is straightforward to see that  $E$  meets all the conditions of a monotonous padding function.<sup>6</sup>  $\square$

### 3.4 Hamiltonian Cycle

We consider the Hamiltonian Cycle decision problem, denoted HAM. The Hamiltonian Cycle decision problem consists of all undirected graphs that have a simple cycle that contains all nodes of the graph. We assume the graph is given as an incidence matrix (which can either be symmetric, or upper-triangular), and that the first row of the matrix is encoded at the beginning of the string.

**Theorem 3.9** HAM has a distributional version that is  $\text{dist}\mathcal{NP}$ -complete.

**Proof:** We first show that HAM is regular-paddable. We do so by showing that any graph over  $n$  nodes can be transformed in polynomial time into a graph over  $n + k$  nodes for any  $k \geq 2$  such that preserves Hamiltonianity (thus achieving regular-padding with stretch measure  $(n + 2)^2$ ). Given a

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<sup>6</sup>We note that any other reasonable encoding can be shown to yield the same result. For example, if  $k$  was encoded to the left of the matrix, the constructed reduction here would not be monotonous, since the "encoding row" would not be added at the beginning of the string. To fix this, the function  $E$  could fix  $k$  for every length of  $x$ , thus disabling its effect on the lexicographical order: Given an input  $(M, k)$  where  $M$  is an  $n \times n$  matrix, the reduction would transform it to a matrix  $M'$  of size  $2n \times 2n$ , then add a clique of size  $n - k$  to the original graph, using the added nodes, and connect all nodes of the added clique to all nodes of the original graph. The reduction would then generate the instance  $(M', n)$ , which has the same characteristic as  $(M, k)$ .

graph  $G = (V, E)$  where  $|V| = n$ , and  $k \geq 2$  we define  $G' = (V', E')$  where  $|V'| = n + k$ . Intuitively, we are going to "split"  $v_n$  (an arbitrary choice) into  $k + 1$  nodes, denoted  $v_n, v_{n+1}, \dots, v_{n+k}$ , and "force" any Hamiltonian cycle to regard them as one node, that is, any Hamiltonian cycle in the new graph will have to contain the sub-path<sup>7</sup>  $v_n, v_{n+1}, \dots, v_{n+k}$ . The idea is as follows: after adding the mentioned nodes to the graph, we connect them such as to form the path mentioned above. We then connect the last node,  $v_{n+k}$ , to all the nodes connected to  $v_n$ . Formally:

$$E' = E \cup \{(v_{n+i}, v_{n+i+1}) | 0 \leq i \leq k - 1\} \cup \{(u, v_{n+k}) | (u, v_n) \in E\}.$$

We show that indeed this transformation preserves Hamiltonianicity. For every Hamiltonian cycle  $x, v_n, y$  in  $G$  (where  $x$  and  $y$  are sub-paths), the path  $x, v_n, v_{n+1}, \dots, v_{n+k}, y$  is a Hamiltonian cycle in  $G'$ . On the other hand, for any Hamiltonian cycle in  $G'$ , in order to reach the nodes  $v_{n+1}, v_{n+2}, \dots, v_{n+k-1}$ , it has to be of the form  $x, v_n, v_{n+1}, \dots, v_{n+k}, y$ , which implies that  $x, v_n, y$  is a Hamiltonian cycle in  $G$ .

We turn to show that HAM is monotonously-paddable. The idea is similar to the regular-padding described above. In order to pad some (incidence matrix of a) graph with the string  $p$ , we first add a path of length roughly  $|p|$  to the graph, similarly to the regular-padding described above. We then encode the bits of  $p$  by adding edges within the path. We do so such that the path will still have to be taken in the natural order of the nodes, and such that the added edges will be encoded in the prefix, i.e. first row, of the incidence matrix. In order to achieve the later goal, we "shift" the nodes of the graph by raising their indexes, thus enabling the added nodes to possess the smallest indexes, and then replace  $v_1$  by the path  $v_1, v_2, \dots, v_{|p|+3}$ , similarly to the construction of the regular-padding. We then encode the bits of the padding such that the edge  $(v_1, v_3)$  encodes the first bit, the edge  $(v_1, v_4)$  encodes the second bit and so on. We skip  $(v_1, v_2)$  since this edge is necessary in order for the mentioned path to exist. This construction ensures that the added path will still have to be taken in its natural order in any Hamiltonian cycle. We describe the construction formally.

For the  $n \times n$  incidence matrix  $M$  of the graph  $G = (V, E)$ , we define  $E(p, M) = M'$  where  $M'$  is the incidence matrix of size  $(n + |p| + 2) \times (n + |p| + 2)$  of the graph  $G' = (V', E')$  where  $|V'| = |V| + |p| + 2$  and

$$E' = \{(v_{i+|p|+2}, v_{j+|p|+2}) | (v_i, v_j) \in E\} \cup \{(v_1, v_{i+|p|+2}) | (v_1, v_i) \in E\} \cup \\ \{(v_i, v_{i+1}) | 1 \leq i \leq |p| + 2\} \cup \{(v_1, v_{i+2}) | p_i = 1\}$$

(where  $p_i$  is the  $i$ -th bit of  $p$ ). The first set in the union above is the original graph, with its nodes "shifted" by raising their indexes by  $|p| + 2$ . The second set connects  $v_1$  to all the nodes  $v_{|p|+3}$  is connected to (which are the nodes  $v_1$  of the original graph was connected to, with their indexes "shifted"). The third set forms the path  $v_1, v_2, \dots, v_{|p|+3}$ . Finally, the last set encodes  $p$  by edges connected to  $v_1$  (thus the bits representing them will be encoded in the first row of the matrix).

We observe that every node that was connected in the original graph to  $v_1$  is now connected to both  $v_1$  and  $v_{|p|+3}$ , and that  $v_1, v_2, \dots, v_{|p|+3}$  is a path in the new graph. Setting the bits in the diagonal all to zeros<sup>8</sup>, the resulted encoding of  $M'$  starts with '01', followed by the bits of  $p$ . Using

<sup>7</sup>Or its reverse. Since the graph is undirected, we regard the cycles as undirected too.

<sup>8</sup>The bits in the diagonal are meaningless.

similar argument to the one used to prove that the regular-padding preserves Hamiltonianicity, one can verify that indeed such transformation preserves Hamiltonianicity too (in particular, note that any Hamiltonian cycle in  $G'$ , in order to meet  $v_{|p|+2}$ , has to contain the sequence  $v_1, v_2, \dots, v_{|p|+3}$  or its reverse). Again, it is straightforward to see that  $E$  meets all the conditions of a monotonous padding function.  $\square$

We note that the similar decision problem of Parameterized Hamiltonian Cycle, which consists of all couples of a graph and a natural number  $k$  such that there is a simple cycle over  $k$  nodes in the graph, is easier to be shown to have a  $\text{dist}\mathcal{NP}$ -complete version. The same is true for the similar decision problem over *directed* graphs.

## 4 Conclusions

We discuss three issues related to the results presented in this work. In Section 4.1 we discuss the possibility of generalizing our results to all decision problems in  $\mathcal{NP}$ , and show that it seems that this cannot be achieved using our techniques. On the other hand, we provide further evidence to our claim that all *known*  $\mathcal{NPC}$  problems have distributional versions which are  $\text{dist}\mathcal{NP}$ -complete. In Section 4.2 we show that our results hold also with respect to alternative definitions. In particular, we show that the results hold when replacing the P-computable distributions with *simple probability ensembles* (defined by Goldreich [3]). Finally, in Section 4.3 we discuss the structure of the probability distributions underlying our complete problems, and suggest some directions for improving our results.

### 4.1 On the generality of our results

A natural question arises regarding our results. Since apparently, for all known  $\mathcal{NP}$ -complete decision problems we can prove that our result hold, can we expect to prove (using our techniques) that our result holds for *all*  $\mathcal{NP}$ -complete decision problems? Apparently the answer is negative. Recall that in order to prove that some  $\mathcal{NP}$ -complete decision problem has a distributional version that is  $\text{dist}\mathcal{NP}$ -complete, our technique involves proving that this problem is paddable in some natural sense. However, proving that all  $\mathcal{NP}$ -complete problems are paddable involves, in particular, proving that all  $\mathcal{NP}$ -complete problems are infinite. But such a proof would imply  $\mathcal{P} \neq \mathcal{NP}$  (because if  $\mathcal{P} = \mathcal{NP}$  then any non-empty finite set is  $\mathcal{NP}$ -complete). For this reason we cannot hope to do better than prove these results for all *known*  $\mathcal{NP}$ -complete decision problems.

The same phenomena occurs with respect to the *isomorphism conjecture* of Berman and Hartmanis [6]. This conjecture states that every two  $\mathcal{NP}$ -complete decision problems are related via a 1-1, onto, polynomial-time, and polynomial-time invertible Karp-reduction. Berman and Hartmanis showed that every two  $\mathcal{NP}$ -complete decision problems that are paddable in some simple manner, are related via such a reduction. They observed that the paddability condition holds for numerous  $\mathcal{NP}$ -complete decision problems and concluded that these problems are pairwise isomorphic. They conjectured that the same is true for *all*  $\mathcal{NP}$ -complete decision problems. Thus, both their result and ours build on some paddability properties that are very easy to verify for given  $\mathcal{NP}$ -complete decision problems, but that are very hard to prove for *all*  $\mathcal{NP}$ -complete decision problems.

The paddability condition required for Berman and Hartmanis’s result is slightly weaker than ours. Loosely speaking, they do not require monotonicity. However, typically their padding functions, as ours, encode bit by bit. Thus, ensuring that the padding is added at the beginning of the string, and that the encoding of 1 is larger than the encoding of 0, results in a monotonous padding function. We mention that to date, no counter-example to Berman and Hartmanis’s isomorphism conjecture was found. Furthermore, one can show that their paddability condition is not only sufficient, but is also necessary. That is: If a decision problem is isomorphic to SAT, then it is paddable in the sense they define<sup>9</sup>. Thus, the fact that no counter-example to Berman and Hartmanis’s isomorphism conjecture was found, implies that no non-paddable decision problem was found. We believe that, although our condition is slightly stronger than Berman and Hartmanis’s notion of paddability, this gives a strong evidence that all known  $\mathcal{N}\mathcal{P}\mathcal{C}$  problems meet our condition too.

## 4.2 The extension of our results to different definitions

An alternative to the definition of P-computable probability distributions is the notion of *simple probability ensembles* defined by Goldreich [3]. A probability ensemble  $\{X_n\}_{n \in \mathbb{N}}$  is a sequence of random variables such that  $X_n$  ranges over  $\{0, 1\}^n$ . Such an ensemble is *simple* if there exists a polynomial-time algorithm that for every  $n$  and every  $x \in \{0, 1\}^n$  outputs the value  $\sum_{x' \in \{0, 1\}^n, x' \leq x} \Pr(X_n = x')$ . That is, the condition refers to a sequence of finite probability distributions, rather than to one infinite probability distribution. The class  $\text{avg } \mathcal{P}$  can then be defined analogously using such probability ensembles, and the class  $\text{dist } \mathcal{N}\mathcal{P}$  can be defined analogously using simple probability ensembles rather than P-computable probability distributions. Under these definitions our results hold too. The reason is that any reduction  $f$  that is constructed using our technique is length-regular (and therefore, in particular, meets the condition  $|x| = |y|$  if and only if  $|f(x)| = |f(y)|$ ), and is also semi-monotonous.

A different notion of solvability can also be considered. While in our definition of  $\text{avg } \mathcal{P}$  we require that the algorithm solves the decision problem for all instances, one can consider a relaxation such that the algorithm runs in polynomial time, but solves ”almost all instances”, that is, for every polynomial  $p$  and for every  $n$  the probability that given an input of length  $n$  the algorithm errs on it is upper bounded by  $\frac{1}{p(n)}$ . (Note that in the former definition, the algorithm, although is permitted to run in super-polynomial time for a negligible fraction of the instances, has to be correct on all instances.) Since AP-reductions preserve ”easiness on the average” also under this definition of solvability, our results, which refer to AP-reductions, hold also under this definition.

## 4.3 On the simplicity of probability distributions

As stated in the introduction, we claim that average-case hardness results are of interest only when shown with respect to ”simple” probability distributions. Firstly, such distributions are more

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<sup>9</sup>Let  $f$  be a 1-1, onto, polynomial-time, and polynomial-time invertible Karp-reduction of  $L \in \mathcal{N}\mathcal{P}\mathcal{C}$  to SAT. Then in order to pad the instance  $x$  of the problem  $L$  with  $p$ , we first pad  $f(x)$  with  $p$  using the padding function of SAT. Denote the result by  $w$ . We then compute  $f^{-1}(w)$  to obtain the padded instance. In order to retrieve the padding from some string  $y$ , the decoding function first computes  $f(y)$ , and then uses the decoding function of the padding function of SAT to retrieve the padding from  $f(y)$ . Finally, one has to show that this padding function is also length-increasing. This can be achieved, e.g., by modifying the padding function of SAT to pad, instead of  $p$ , a longer string, such as  $p$  concatenated to itself a polynomial number of times.

likely to occur in "real life". Secondly, such distributions indicate that hard instances are not hard to generate, and therefore that the hardness of the complete problem is not a property of some "esoteric" instances, but rather is "inherent in the decision problem at large". The last claim becomes stronger when "simple" is regarded also as "close to uniform".

We believe that P-computable probability distributions can be regarded as fairly simple, since the definition of P-computable distributions is very restrictive, and impose an easily computable structure on the distribution. As indicated in the introduction, we argue that our distributions have simple structures beyond being P-computable, and can be viewed, in some sense, as "quasi-uniform". However, these are still not as simple as the (strictly) uniform distribution. A natural question that arises, is the following: Can one show similar results, but where the probability distributions constructed for the complete problems are taken from an even simpler family of distributions, and in particular, distributions that are "closer" to the uniform (such as the left side of our construction)? Such result will show hardness on the average with respect to "strictly simpler" probability distributions.

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