

Randomisation and Derandomisation in Descriptive Complexity Theory

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We study probabilistic complexity classes and questions of derandomisation from a logical point of view. For each logic L we introduce a new logic BPL, *bounded error probabilistic* L, which is defined from L in a similar way as the complexity class BPP, bounded error probabilistic polynomial time, is defined from P.

Our main focus lies on questions of derandomisation, and we prove that there is a query which is definable in BPFO, the probabilistic version of firstorder logic, but not in $C^{\omega}_{\infty\omega}$, finite variable infinitary logic with counting. This implies that many of the standard logics of finite model theory, like transitive closure logic and fixed-point logic, both with and without counting, cannot be derandomised. We prove similar results for ordered structures and structures with an addition relation, showing that certain uniform variants of AC^0 (bounded-depth polynomial sized circuits) cannot be derandomised. These results are in contrast to the general belief that most standard complexity classes can be derandomised.

Finally, we note that BPIFP+C, the probabilistic version of fixed-point logic with counting, captures the complexity class BPP, even on unordered structures.

1 Introduction

The relation between different modes of computation — deterministic, nondeterministic, randomised — is a central topic of computational complexity theory. The P vs. NP problem falls under this topic, and so does a second very important problem, the relation between randomised and deterministic polynomial time. In technical terms, this is the question of whether P = BPP, where BPP is the class of all problems that can be solved by a randomised polynomial time algorithm with two-sided errors and bounded error probability. This question differs from the question of whether P = NP in that most complexity theorists seem to believe that the classes P and BPP are indeed equal. This belief is supported by deep results due to Nisan and Wigderson [32] and Impagliazzo and Wigderson [22], which link the derandomisation question to the existence of one-way functions and to circuit lower bounds. Similar derandomisation questions are studied for other complexity classes such as logarithmic space, and it is believed that derandomisation is possible for these classes as well.

Descriptive complexity theory gives logical descriptions of complexity classes and thus enables us to translate complexity theoretic questions into the realm of logic. While logical descriptions are known for most natural deterministic and nondeterministic time and space complexity classes, probabilistic classes such as BPP have received very little attention in descriptive complexity theory yet. In this paper, we study probabilistic complexity classes and questions of derandomisation from a logical point of view. For each logic L we introduce a new logic BPL, *bounded error probabilistic* L, which is defined from L in a similar way as BPP is defined from P. The randomness is introduced to the logic by letting formulas of vocabulary $\tau \cup \rho$. We also introduce variants RL, co-RL with one-sided bounded error and PL with unbounded error, corresponding to other well known complexity classes, but focus on BPL in this conference paper.

Our main technical results are concerned with questions of derandomisation. By this we mean upper bounds on the expressive power of randomised logics in terms of classical logics. Trivially, BPL is at least as expressive as L, and if the two logics are equally expressive, then we say that BPL derandomisable. More generally, if L' is a (deterministic) logic that is at least as expressive as BPL, then we say that BPL derandomisable within L'. We prove that BPFO, bounded error probabilistic first-order logic, is not derandomisable within $C_{\infty\omega}^{\omega}$, finite variable infinitary logic with counting. This implies that many of the standard logics of finite model theory, like transitive closure logic and fixed-point logic, both with and without counting, cannot be derandomised. Note that these results are in contrast to the general belief that most standard complexity classes can be derandomised.

We then investigate whether BPFO can be derandomised on classes of structures with built-in relations, such as ordered structures and arithmetic structures. We prove that BPFO cannot be derandomised within MSO, monadic second-order logic, on structures with built-in order. Furthermore, BPFO cannot be derandomised on structures with built-in order and addition. Interestingly and nontrivially, BPFO can be derandomised within MSO on structures with built-in order and addition. Behle and Lange [6] showed that the expressive power of FO on classes of ordered structures with certain predefined relation symbols corresponds to uniform subclasses of AC^0 , the class of problems decidable by circuit families of bounded depth, unbounded fan-in and polynomial size. In fact, for any set \mathcal{R} of built-in relations they show that $\mathsf{FO}[\mathcal{R}]$ captures $\mathsf{FO}[\mathcal{R}]$ -uniform AC^{0} . Arguably the most intensively studied uniformity condition on AC^{0} is *dloqtime*uniform AC^0 , which corresponds to $FO[+, \times]$, first-order logic with built-in arithmetic (Barrington et al. [4]). The question of whether dlogtime-uniform BPAC^0 can be derandomised is still open, but there is a conditional derandomisation by Viola [40]. There are less uniform variants of BPAC⁰ that can be proved to be derandomisable by standard arguments in the style of Adleman [1]. We prove that the more uniform FO[+]-uniform AC^0 is not derandomisable. This raises the question of how weak uniformity must be for derandomisation to be possible.

In the last section of this paper, we turn to more standard questions of descriptive complexity theory. We prove that BPIFP+C, the probabilistic version of fixed-point logic with counting, captures the complexity class BPP, even on unordered structures. For ordered structures, this result is a direct consequence of the Immerman-Vardi Theorem [20, 39], and for arbitrary structures it follows from the observation that we can define a random order with high probability in BPIFP+C. Still, the result is surprising at first sight because of its similarity with the open question of whether there is a logic capturing P, and because it is believed that P = BPP. The caveat is that the logic BPIFP+C does not have an effective syntax and thus is not a "logic" according to Gurevich's [18] definition underlying the question for a logic that captures P. Nevertheless, we believe that BPIFP+C gives a completely adequate description of the complexity class BPP, because the definition of BPP is inherently ineffective as well (as opposed to the definition of P in terms of the decidable set of polynomially clocked Turing machines). We obtain similar descriptions of other probabilistic complexity classes. For example, randomised logspace is captured by the randomised version of deterministic transitive closure logic with counting.

Related work

As mentioned earlier, probabilistic complexity classes such as BPP have received very little attention in descriptive complexity theory. There is an unpublished paper due to Kaye [23] that gives a logical characterisation of BPP on ordered structures. Müller [31] and Montoya (unpublished) study a logical BP-operator in the context of parameterised complexity theory. What comes closest to our work "in spirit" and also in some technical aspects is Hella, Kolaitis, and Luosto's work on *almost everywhere equivalence* [19], which may be viewed as a logical account of average case complexity in a similar sense that our work gives a logical account of randomised complexity. There is a another logical approach to computational complexity, known as implicit computational complexity, which is quite different from descriptive complexity theory. Mitchell, Mitchell, and Scedrov [29] give a logical characterisation of BPP by a higher-order typed programming language in this context.

Outside of descriptive complexity theory and finite model theory, probabilistic logics have received wide attention in mathematical logic and computer science, particularly in artificial intelligence and also in database theory. However, all this work has little in common with ours, both on a conceptual and on a technical level. A few pointers to the literature are [3, 8, 14, 24].

Let us emphasise that the main purpose of this paper is not the definition of new probabilistic logics, but an investigation of these logics in a complexity theoretic context.

2 Preliminaries

2.1 Structures and queries

A vocabulary is a finite set τ of relation symbols of fixed arities. A τ -structure A consists of a finite set V(A), the universe of the structure, and, for all $R \in \tau$, a relation R(A) on A whose arity matches that of R. Thus we only consider finite and relational structures. Let σ, τ be vocabularies with $\sigma \subseteq \tau$. Then the σ -restriction of a τ -structure B is the σ -structure $B|_{\sigma}$ with universe $V(B|_{\sigma}) := V(B)$ and relations $R(B|_{\sigma}) := R(B)$ for all $R \in \sigma$. A τ -expansion of a σ -structure A is a τ -structure B such that $B|_{\sigma} = A$. For every class C of structures, $C[\tau]$ denotes the class of all τ -structures in C. A renaming of a vocabulary τ is a bijective mapping r from τ to a vocabulary τ' such that for all $R \in \tau$ the relation symbol $r(R) \in \tau'$ has the same arity as R. If $r : \tau \to \tau'$ is a renaming and A is a τ -structure then A^r is the τ' -structure with $V(A^r) := V(A)$ and $r(R)(A^r) := R(A)$ for all $R \in \tau$.

We let \leq , + and \times be distinguished relation symbols of arity two, three and three, respectively. Whenever any of these relations symbols appear in a vocabulary τ , we demand that they be interpreted by a linear order and ternary addition and multiplication relations, respectively, in all τ -structures. To be precise, let [a, b] be the set $\{a, a + 1, \ldots, b\}$ for $a \leq b \in \mathbb{N}$, and denote by \mathcal{N}_n the $\{\leq, +, \times\}$ -structure with

$$V(\mathcal{N}_n) = [0, n-1], \qquad \leq (\mathcal{N}_n) = \{(a, b) \mid a \leq b\} \text{ and} \\ +(\mathcal{N}_n) = \{(a, b, c) \mid a + b = c\}, \qquad \times (\mathcal{N}_n) = \{(a, b, c) \mid a \cdot b = c\}.$$

We demand $A|_{\{\leqslant,+,\times\}\cap\tau} \cong (\mathcal{N}_{|A|})|_{\{\leqslant,+,\times\}\cap\tau}$ for all τ -structures A. We call structures whose vocabulary contains any of these relation symbols *ordered*, *additive* and *multiplicative*, respectively. We say that a formula $\varphi(x)$ with exactly one free variable x defines an *element* if in every structure it is satisfied by exactly one element. Since we may identify the elements of an ordered structure uniquely with natural numbers it makes sense to say, e.g., that " $\varphi(x)$ defines a prime number" or " $\varphi(x)$ defines a number $\leq \log^{O(1)} |A|$ ", and we will sometimes do so.

A k-ary τ -global relation is a mapping \mathcal{R} that associates a k-ary relation $\mathcal{R}(A)$ with each τ -structure A. A 0-ary τ -global relation is usually called a Boolean τ -global relation. We identify the two 0-ary relations \emptyset and $\{()\}$, where () denotes the empty tuple, with the truth values false and true, respectively, and we identify the Boolean τ -global relation \mathcal{R} with the class of all τ -structures A with $\mathcal{R}(A) =$ true. A k-ary τ -query is a k-ary τ -global relation \mathcal{Q} preserved under isomorphism, that is, if f is an isomorphism from a τ -structure A to a τ -structure B then for all $\vec{a} \in V(A)^k$ it holds that $\vec{a} \in \mathcal{Q}(A) \iff$ $f(\vec{a}) \in \mathcal{Q}(B)$.

2.2 Logics

A logic L has a syntax that assigns a set $L[\tau]$ of L-formulas of vocabulary τ with each vocabulary τ and a semantics that associates a τ -global relation $Q_{\varphi}^{L[\tau]}$ with every formula $\varphi \in L[\tau]$ such that for all vocabularies σ, τ, τ' the following three conditions are satisfied:

- (i) For all $\varphi \in \mathsf{L}[\tau]$ the global relation $\mathcal{Q}_{\varphi}^{\mathsf{L}[\tau]}$ is a τ -query.
- (ii) If $\sigma \subseteq \tau$ then $\mathsf{L}[\sigma] \subseteq \mathsf{L}[\tau]$, and for all formulas $\varphi \in \mathsf{L}[\sigma]$ and all τ -structures A it holds that $\mathcal{Q}_{\varphi}^{\mathsf{L}[\sigma]}(A|_{\sigma}) = \mathcal{Q}_{\varphi}^{\mathsf{L}[\tau]}(A)$.
- (iii) If $r : \tau \to \tau'$ is a renaming, then for every formula $\varphi \in \mathsf{L}[\tau]$ there is a formula $\varphi^r \in \mathsf{L}[\tau']$ such that for all τ -structures A it holds that $\mathcal{Q}_{\varphi}^{\mathsf{L}[\tau]}(A) = \mathcal{Q}_{\varphi^r}^{\mathsf{L}[\tau']}(A^r)$.

Condition (ii) justifies dropping the vocabulary τ in the notation for the queries and just write $\mathcal{Q}_{\varphi}^{\mathsf{L}}$. For a τ -structure A and a tuple \vec{a} whose length matches the arity of $\mathcal{Q}_{\varphi}^{\mathsf{L}}$, we usually write $A \models_{\mathsf{L}} \varphi[\vec{a}]$ instead of $\vec{a} \in \mathcal{Q}_{\varphi}^{\mathsf{L}}(A)$. If $\mathcal{Q}_{\varphi}^{\mathsf{L}}$ is a k-ary query, then we call φ a k-ary formula, and if $\mathcal{Q}_{\varphi}^{\mathsf{L}}$ is Boolean, then we call φ a sentence. Instead of $A \models_{\mathsf{L}} \varphi[()]$ we just write $A \models_{\mathsf{L}} \varphi$ and say that A satisfies φ . We omit the index L if L is clear from the context.

A query Q is definable in a logic L if there is an L-formula φ such that $Q = Q_{\varphi}^{\mathsf{L}}$. Two formulas $\varphi_1, \varphi_2 \in \mathsf{L}[\tau]$ are equivalent (we write $\varphi_1 \equiv \varphi_2$) if they define the same query. We say that a logic L_1 is weaker than a logic L_2 (we write $\mathsf{L}_1 \leq \mathsf{L}_2$) if every query definable in L_1 is also definable in L_2 . Similarly, we define it for L_1 and L_2 to be equivalent (we write $\mathsf{L}_1 \equiv \mathsf{L}_2$) and for L_1 to be strictly weaker than L_2 (we write $\mathsf{L}_1 \leq \mathsf{L}_2$). The logics L_1 and L_2 are incomparable if neither $\mathsf{L}_1 \leq \mathsf{L}_2$ nor $\mathsf{L}_2 \leq \mathsf{L}_1$.

Remark 1. Our notion of logic is very minimalistic, usually logics are required to meet additional conditions (see [10] for a thorough discussion). In particular, we do not require the syntax of a logic to be effective. Indeed, the main logics studied in this paper have an undecidable syntax. Our definition is in the tradition of abstract model theory (cf. [5]); proof theorists tend to have a different view on what constitutes a logic.

We assume that the reader has heard of the standard logics studied in finite model theory, specifically first-order logic FO, second-order logic SO and its fragments Σ_k^1 , monadic second-order logic MSO, transitive closure logic TC and its deterministic variant DTC, least, inflationary, and partial fixed-point logic LFP, IFP, and PFP, and finite variable infinitary logic $L_{\infty\omega}^{\omega}$. For all these logics except LFP there are also counting versions, which we denote by FO+C, TC+C, ..., PFP+C and $C_{\infty\omega}^{\omega}$, respectively. Only familiarity with first-order logic is required to follow most of the technical arguments in this paper. The other logics are more or less treated as "black boxes". We will say a bit more about some of them when they occur later. The following diagram shows how the logics compare in expressive power:

Furthermore, MSO is strictly stronger than FO and incomparable with all other logics displayed in (1).

2.3 Complexity theory

We assume that the reader is familiar with the basics of computational complexity theory and in particular the standard complexity classes such as P and NP. Let us briefly review the class BPP, bounded error probabilistic polynomial time, and other probabilistic complexity classes: A language $L \subseteq \Sigma^*$ is in BPP if there is a polynomial time algorithm M, expecting as input a string $x \in \Sigma^*$ and a string $r \in \{0, 1\}^*$ of "random bits", and a polynomial p such that for every $x \in \Sigma^*$ the following two conditions are satisfied:

- (i) If $x \in L$, then $\Pr_{r \in \{0,1\}^{p(|x|)}} (M \text{ accepts } (x,r)) \ge \frac{2}{3}$.
- (ii) If $x \notin L$, then $\Pr_{r \in \{0,1\}^{p(|x|)}} (M \text{ accepts } (x,r)) \leq \frac{1}{3}$.

In both conditions, the probabilities range over strings $r \in \{0, 1\}^{p(|x|)}$ chosen uniformly at random. The choice of the error bounds 1/3 and 2/3 in (i) and (ii) is somewhat arbitrary, they can be replaced by any constants α, β with $0 < \alpha < \beta < 1$ without changing the complexity class. (To reduce the error probability of an algorithm we simply repeat it several times with independently chosen random bits r.)

Hence BPP is the class of all problems that can be solved by a randomised polynomial time algorithm with bounded error probabilities. RP is the class of all problems that can be solved by a randomised polynomial time algorithm with bounded one-sided error on the positive side (the bound 1/3 in (ii) is replaced by 0), and co-RP is the class of all problems that can be solved by a randomised polynomial time algorithm with bounded one-sided error on the negative side (the bound 2/3 in (i) is replaced by 1). Finally, PP is the class we obtain if we replace the lower bound $\geq 2/3$ in (i) by > 1/2 and the upper bound $\leq 1/3$ in (ii) by $\leq 1/2$. Note that PP is not a realistic model of "efficient randomised computation", because there is no easy way of deciding whether an algorithm accepts or rejects its input. Indeed, by Toda's Theorem [38], the class P^{PP} contains the full polynomial hierarchy. By the Sipser-Gács Theorem (see [26]), BPP is contained in the second level of the polynomial hierarchy. More precisely, BPP $\subseteq \Sigma_2^p \cap \Pi_2^p$. It is an open question whether BPP \subseteq NP. However, as pointed out in the introduction, there are good reasons to believe that BPP = P.

2.4 Descriptive complexity

It is common in descriptive complexity theory to view complexity classes as classes of Boolean queries, rather than classes of formal languages. This allows it to compare logics with complexity classes. The translation between queries and languages is carried out as follows: Let τ be a vocabulary, and assume that $\leq \notin \tau$. With each ordered $(\tau \cup \{\leq\})$ -structure B we can associate a binary string $s(B) \in \{0,1\}^*$ in a canonical way. Then with each class $\mathcal{C} \subseteq \mathcal{O}[\tau \cup \{\leq\}]$ of ordered τ structures we associate the language $L(\mathcal{C}) := \{s(B) \mid B \in \mathcal{C}\} \subseteq \{0,1\}^*$. For a Boolean τ -query \mathcal{Q} , let $\mathcal{Q}_{\leq} := \{B \in \mathcal{O}[\tau \cup \leq] \mid B|_{\tau} \in \mathcal{Q}\}$ be the class of all ordered $(\tau \cup \{\leq\})$ -expansions of structures in \mathcal{Q} . We say that \mathcal{Q} is decidable in a complexity class K if the language $L(\mathcal{Q}_{\leq})$ is contained in K. We say that a logic L captures K if for all Boolean queries \mathcal{Q} it holds that \mathcal{Q} is definable in L if and only if \mathcal{Q} is decidable in K. We say that L is contained in K if all Boolean queries definable in L are decidable in K.

Remark 2. Just like our notion of "logic", our notion of a logic "capturing" a complexity class is very minimalistic, but completely sufficient for our purposes. For a deeper discussion of logics capturing complexity classes we refer the reader to one of the textbooks [11, 17, 21, 27].

3 Randomised logics

Throughout this section, let τ and ρ be disjoint vocabularies. Relations over ρ will be "random", and we will reserve the letter R for relation symbols from ρ . We are interested in random $(\tau \cup \rho)$ -expansions of τ -structures. For a τ -structure A, by $\mathcal{X}(A, \rho)$ we denote the class of all $(\tau \cup \rho)$ -expansions of A. We view $\mathcal{X}(A, \rho)$ as a probability space with the uniform distribution. Note that we can "construct" a random $X \in \mathcal{X}(A, \rho)$ by deciding independently for all k-ary $R \in \rho$ and all tuples $\vec{a} \in V(A)^k$ with probability 1/2 whether $\vec{a} \in R(X)$. Hence if $\rho = \{R_1, \ldots, R_k\}$, where R_i is r_i -ary, then a random $X \in \mathcal{X}(A, \rho)$ can be described by random bitstring of length $\sum_{i=1}^k n^{r_i}$, where n := |V(A)|. We are mainly interested in the probabilities

$$\Pr_{X \in \mathcal{X}(A,\rho)}(X \models \varphi)$$

that a random $(\tau \cup \rho)$ -expansion of a τ -structure A satisfies a sentence φ of vocabulary $\tau \cup \rho$ of some logic.

Definition 3. Let L be a logic and $0 \le \alpha \le \beta \le 1$.

1. A formula $\varphi \in \mathsf{L}[\tau \cup \rho]$ that defines a k-ary query has an $(\alpha, \beta]$ -gap if for all τ -structures A and all $\vec{a} \in V(A)^k$ it holds that

$$\Pr_{X \in \mathcal{X}(A,\rho)}(X \models \varphi[\vec{a}]) \le \alpha \quad \text{or} \quad \Pr_{X \in \mathcal{X}(A,\rho)}(X \models \varphi[\vec{a}]) > \beta.$$

2. The logic $\mathsf{P}_{(\alpha,\beta]}\mathsf{L}$ is defined as follows: For each vocabulary τ ,

$$\mathsf{P}_{(\alpha,\beta]}\mathsf{L}[\tau] := \bigcup_{\rho} \big\{ \varphi \in \mathsf{L}[\tau \cup \rho] \mid \varphi \text{ has an } (\alpha,\beta]\text{-gap} \big\},$$

where the union ranges over all vocabularies ρ disjoint from τ . To define the semantics, let $\varphi \in \mathsf{P}_{(\alpha,\beta]}\mathsf{L}[\tau]$. Let k, ρ such that $\varphi \in \mathsf{L}[\tau \cup \rho]$ and φ is k-ary. Then for all τ -structures A,

$$\mathcal{Q}_{\varphi}^{\mathsf{P}_{(\alpha,\beta]}\mathsf{L}}(A) := \left\{ \vec{a} \in V(A)^k \mid \Pr_{X \in \mathcal{X}(A,\rho)}(X \models_{\mathsf{L}} \varphi[\vec{a}]) > \beta \right\}$$

It is easy to see that for every logic L and all α, β with $0 \le \alpha \le \beta \le 1$ the logic $\mathsf{P}_{(\alpha,\beta]}\mathsf{L}$ satisfies conditions (i)–(iii) from Subsection 2.2 and hence is indeed a well-defined logic. We let

$$\mathsf{PL} := \mathsf{P}_{(1/2,1/2]}\mathsf{L}$$
 and $\mathsf{RL} := \mathsf{P}_{(0,2/3]}\mathsf{L}$ and $\mathsf{BPL} := \mathsf{P}_{(1/3,2/3]}\mathsf{L}$.

We can also define a logic $\mathsf{P}_{[\alpha,\beta)}\mathsf{L}$ and let $\mathsf{co}\mathsf{-RL} := \mathsf{P}_{[1/3,1)}\mathsf{L}$. The following lemma shows that for reasonable L the strength of the logic $\mathsf{P}_{(\alpha,\beta]}\mathsf{L}$ does not depend on the exact choice of the parameters α, β . This justifies the arbitrary choice of the constants 1/3, 2/3 in the definitions of RL and BPL.

Lemma 4. Let L be a logic that is closed under conjunctions and disjunctions. Then for all α, β with $0 < \alpha < \beta < 1$ it holds that $\mathsf{P}_{(0,\beta]}\mathsf{L} \equiv \mathsf{RL}$ and $\mathsf{P}_{(\alpha,\beta]}\mathsf{L} \equiv \mathsf{BPL}$.

Proof. Let τ an $\rho = \{R_1, \ldots, R_k\}$ be disjoint relational vocabularies and let $\varphi \in L[\tau \cup \rho]$. For any $n \ge 1$ we define a new vocabulary

$$\rho^{(n)} := \{ R_j^{(i)} \mid 1 \le i \le n, 1 \le j \le k \},\$$

where the arity of $R_j^{(i)}$ is that of $R_j \in \rho$. Using the renaming property with the renaming

$$r^{(i)}: (\tau \cup \rho) \to (\tau \cup \rho^{(n)})$$

that leaves τ fixed and maps $R_j \in \rho$ to $R_j^{(i)}$ we get sentences $\varphi^{(i)}$, which are the sentence φ with every occurence of R_j replaced by $R_j^{(i)}$. Since L is closed under conjunctions and disjunctions, for every $0 < l \leq n$ there is an $L[\tau \cup \rho^{(n)}]$ -sentence

$$\varphi^{(n,l)} := \bigvee_{\substack{I \subseteq [n] \\ |I| = l}} \bigwedge_{i \in I} \varphi^{(i)}$$

which is satisfied iff at least l of the $\varphi^{(i)}$ are satisfied. Notice that the $\varphi^{(i)}$ use distinct random relations, so they are satisfied indepently of each other.

Clearly, if $\Pr(X \models \varphi) = 0$ then also $\Pr(X \models \varphi^{(n,l)}) = 0$, because we assumed $l \ge 1$. On the other hand, if $\Pr(X \models \varphi) > \beta$ for some $\beta \in (0, 1)$, then

$$\Pr(X \models \varphi^{(n,1)}) = 1 - (1 - \Pr(X \models \varphi))^n \tag{2}$$

$$> 1 - (1 - \beta)^n,$$
 (3)

and this bound can be made arbitrarily close to 1 by choosing n sufficiently large. This proves the claim about RL.

For BPL, notice that if φ has an $(\alpha, \beta]$ -gap for some any $0 < \alpha < \beta < 1$, then for any $0 < \alpha' < \beta' < 1$ there is an $n \in \mathbb{N}$ such that

$$\varphi^{(n,\lceil \frac{\beta-\alpha}{2}\rceil)}$$

has an $(\alpha', \beta']$ -gap. In fact, the Chernoff bound (see, e.g., [30]) gives very sharp estimates on n in terms of α , β , α' and β' , though we only need the mere existence of such an nhere.

3.1 First observations

We start by observing that the syntax of BPFO and thus of most other logics BPL is undecidable. This follows easily from Trakhtenbrot's Theorem (see [11] for similar undecidability proofs):

Observation 5. For all α, β with $0 \le \alpha < \beta < 1$ and all vocabularies τ containing at least one at least binary relation symbol, the set $\mathsf{BP}_{(\alpha,\beta)}\mathsf{FO}[\tau]$ is undecidable.

For each n, let S_n be the \emptyset -structure with universe $V(S_n) := \{1, \ldots, n\}$. Recall the 0-1-law for first order logic [13, 16]. In our terminology, it says that for each vocabulary ρ and each sentence $\varphi \in \mathsf{FO}[\rho]$ it holds that

$$\lim_{n \to \infty} \Pr_{X \in \mathcal{X}(S_n, \rho)}(X \models \varphi) \in \{0, 1\}$$

(in particular, this limit exists). There is also an appropriate asymptotic law for formulas with free variables. This implies that on structures with empty vocabulary, PFO (and in particular BPFO) has the same expressive power as FO. As there is also a 0-1-law for the logic $L^{\omega}_{\omega\omega}$ [25], we actually get the following stronger statement:

Observation 6. Every formula $\varphi \in \mathsf{PL}^{\omega}_{\infty\omega}[\emptyset]$ is equivalent to a formula $\varphi' \in \mathsf{FO}[\emptyset]$.

As FO+C is strictly stronger than FO even on structures of empty vocabulary, this observation implies that there are queries definable in FO+C, but not in $(B)PL_{\infty\omega}^{\omega}$.

Furthermore, the Sipser-Gács Theorem [26] that $\mathsf{BPP} \subseteq \Sigma_2^p \cap \Pi_2^p$, the fact that the fragment Σ_2^1 of second-order logic captures Σ_2^p [12, 37], and the observation that $\mathsf{BPFO} \leq \mathsf{BPP}$ imply the following:

Observation 7.
$$\mathsf{BPFO} \leq \Sigma_2^1$$
.

We will use Lautemann's proof of the Sipser-Gács Theorem in section 5 in the context of monadic second-order logic.

We close this section by observing that randomised logics *without* probability gaps are considerably more powerful than their non-randomised counterparts:

Observation 8. Let \mathcal{K} be a class of finite structures such that there is a first-order formula $\varphi_c(x)$ defining a single element in each structure of \mathcal{K} . Then every Σ_1^1 -query on \mathcal{K} can be axiomatised in PFO.

Proof. Let φ be a Σ_1^1 -query on \mathcal{K} , i.e., φ is of the form $\exists X_1 \cdots \exists X_k \psi$, where the X_i are relation variables and ψ is first-order. We replace each of the X_i by a random relation R_i of the same arity to get a new sentence φ' and introduce an extra unary random relation R_0 . Then φ is equivalent to the PFO-sentence

$$\exists x (R_0 x \land \varphi_c(x)) \lor \varphi',$$

because the first part is satisfied with probability exactly 1/2.

Toda's Theorem [38] that the polynomial hierarchy is contained in P^{PP} suggests that, in fact, every second-order query is definable in PFO . However, Toda's proof does not carry over easily to the PFO -case. Observation 6 suggests that some technical condition such as defineability of an element of the structure is necessary to separate PFO from FO at all. One example of such a class \mathcal{K} is the class of all ordered structures, with $\varphi_c(x)$ defining the minimum element.

4 Separation results for **BPFO**

In this section we study the expressive power of the randomised logics RFO, co-RFO, and BPFO. Our main results are the following:

- RFO is not contained in $C^{\omega}_{\infty\omega}$
- BPFO is not contained in MSO on ordered structures
- RFO is stronger than FO on additive structures

A forteriori, the first and the third result also hold with BPFO instead of RFO, and the constructions used in their proofs also admit co-RFO-axiomatisations.

It turns out that we need three rather different queries to get these separation results. For the first two queries this is obvious, because *every* query on ordered structures is axiomatisable in $C^{\omega}_{\infty\omega}$. The third query (on additive structures) is readily seen to be axiomatisable in MSO. In fact, in Section 5 we show the following:

• Any BPFO-axiomatisable query on additive structures can be axiomatised in MSO.

4.1 RFO is not contained in $C^{\omega}_{\infty\omega}$

Formulas of the logic $C_{\infty\omega}^{\omega}$ may contain arbitrary (not necessarily finite) conjunctions and disjunctions, but only finitely many variables, and counting quantifiers of the form $\exists^{\geq n} x \ \varphi$ ("there exists at least $n \ x$ such that φ "). For example, the class of finite structures of even cardinality can be axiomatised in this logic by the sentence

$$\bigvee_{k\geq 0} \left(\exists^{\geq 2k} x \, x \doteq x \right) \land \neg \left(\exists^{\geq 2k+1} x \, x \doteq x \right).$$

Theorem 9. There is a class TCFI of structures that is definable in RFO and co-RFO, but not in $C_{\infty\omega}^{\omega}$.

Recall that by Observation 6 there also is a class of structures definable in $FO+C \leq C_{\infty\omega}^{\omega}$, but not in BPFO.

Our proof of Theorem 9 is based on a well-known construction due to Cai, Fürer, and Immerman [7], who gave an example of a Boolean query in P that is not definable in $C^{\omega}_{\infty\omega}$. We modify their construction in a way reminiscent to a proof by Dawar, Hella, and Kolaitis [9] for results on implicit definability in first-order logic, and obtain a query \mathcal{TCFI} definable in (co-)RFO, but not in $C^{\omega}_{\infty\omega}$. Just like in Cai, Fürer and Immerman's original proof, the reason why $C^{\omega}_{\infty\omega}$ can not axiomatise our query \mathcal{TCFI} is its inability to choose one out of a pair of two elements. Using a random binary relation this can – with high probability – be done in FO.

We first review the construction of [7] and then show how to modify it to suit our needs. Given a graph G = (V, E), Cai et al. construct a new graph G', replacing all vertices and edges of G with certain gadgets. We shall call graphs G' resulting in this fashion *CFI-graphs*, and will from now on restrict ourselves to connected 3-regular graphs G and CFI-graphs resulting from these.



Figure 1: The gadgets for CFI-graphs. Dashed ellipses indicate groups of equivalent vertices. Vertex labels are not part of the actual structure.

The construction is as follows: For each vertex in G, we place a copy of the gadget shown on the left of Figure 1 in G'. It has a group of four nodes (henceforth called *centre nodes*) plus three pairs of nodes, which are to be thought of as ends of the three edges incident with that node. For the time being, we think of the pairs as ordered from 1 to 3 and distinguish between the two nodes in each pair, say one of them is the *a*-node, the other one being the *b* node. Each of the four centre nodes is connected to one node from each pair, and each of them to an even number of *a*'s. To illustrate this, the centre nodes are labelled with the even subsets of $\{1, 2, 3\}$.

For each edge in G, we connect the *a*- and *b*-nodes in the corresponding pairs as shown on the right of Figure 1. We say an edge is "twisted" if the *a*-node of one pair is connected to the *b*-node of the other and vice versa. This completes our construction of G'. For definiteness, when we speak of an *edge group* we mean an equivalence class of size two, and by a *centre group* we mean one of size four. An *edget* is a pair of edge groups which form an edge gadget as on the right of Figure 1. Figure 2 shows the result of applying this construction to a small subgraph (a vertex with its three neighbours).

Without the a- and b-labels, we cannot decide which of the edges have been twisted. In fact there are only two isomorphism classes of CFI-graphs derived from G, namely those with an even number of edges twisted and those with an odd number (we call the latter ones *twisted* CFI-graphs). This relies on the fact that isomorphisms of the gadget on the left of Figure 1 are exactly those permutations swapping an even number of a's and b's. Since we assume G to be connected, we can twist edges along a path between two nodes adjacent to twisted edges, reducing the number of twisted edges by two.

Now, for every $C^{\omega}_{\infty\omega}$ -sentence φ , if the original graph G is complicated enough, the two isomorphism classes can not be told apart by φ [7]. In P, on the other hand, twisted CFI-graphs can easily be recognised: Choose exactly one node from each edge group and label this one a and the other one b. A centre node is connected to an even number of a's if and only if all four nodes in its centre group are. In this case we call the centre group even, otherwise we call it odd. Then a CFI-graph is twisted if and only if

(no. of odd centre groups + no. of twisted edgets) is odd.



Figure 2: The CFI-graph construction for a part of a graph. Edge and nodes labels are not part of the actual graph.

We aim for a (co-)RFO-sentence which defines exactly the twisted connected 3-regular CFI-graphs. In view of the above P-algorithm, we are done if we can

- express connectedness of the graph,
- count modulo two and
- choose one representative from each centre group, edge group and edget.

For counting modulo two and to get representatives for centre groups and edgets, we augment the structures with a Boolean algebra in the following way:Let τ be the vocabulary $\{E, \sim, <, \sqsubseteq, P, O\}$, with unary P and O, and binary E, \sim , < and \sqsubseteq . Let CFI be the class of structures A such that

- E defines a 3-regular, connected CFI-graph on $V(A) \setminus P(A)$,
- $(P(A), \sqsubseteq)$ is a Boolean algebra \mathfrak{B} , and O is true exactly for its members of even cardinality
- < defines a linear order on the set of atoms of \mathfrak{B} (and no other element of A is <-related to any other).
- \sim defines an equivalence relation, where each equivalence class

- either contains one atom and the nodes of one edget
- or consists of a single non-atom of \mathfrak{B} .

Theorem 10. The class CFI is definable in FO. The subclass TCFI of twisted CFIgraphs is definable in BPFO but not in $C_{\infty\omega}^{\omega}$.

Proof. That CFI is definable is easy to establish, the only subtlety being that \mathfrak{B} allows us to quantify over sets of centre groups, which makes connectedness expressible.

The proof that \mathcal{TCFI} is not definable in $C_{\infty\omega}^{\omega}$ is the same as in [7]; it is unaffected by the additional structure. Note that because the atoms are ordered, the Boolean algebra is rigid, i.e., it has no non-trivial automorphism, therefore the isomorphism group of a CFI-graph is not changed by adding the Boolean algebra.

It remains to show that twistedness can be defined in BPFO. We pick one vertex from each edge group by viewing a random binary relation R as assigning an m-bit number to each vertex, where m is the number of atoms in the Boolean algebra. From each pair, we choose the vertex with the smaller number, expressed by

$$\xi(x) := \exists y \Big(x \sim y \land \exists z \big(\alpha(z) \land \neg Rxz \land Ryz \land \forall w (w < z \to (Rxw \leftrightarrow Ryw)) \big) \Big),$$

where $\alpha(x)$ is an FO-formula satisfied exactly by the atoms of the Boolean algebra. It is easy to see that if the random relation R assigns a different set of atoms to the two vertices in each edge group, then ξ succeeds in picking exactly one vertex from each edge group, and twistedness can then be checked by looking at the *O*-predicate of the element of \mathfrak{B} which contains exactly the atoms equivalent to twisted centre groups or twisted edgets.

To prove that the resulting formula has a large probability gap, we need to establish a high probability of success only for structures in the class $C\mathcal{FI}$, because this class is FO-definable. But in such structures, the probability that the two nodes of an edge group are assigned the same number is 2^{-m} , so by a union bound the probability that we successfully pick one node from each group is close to one. Furthermore, we can check in FO whether there is an edge group whose members we can not distinguish, and choose to invariably reject or accept in these cases, resulting in an RFO or co-RFO sentence, respectively.

4.2 BPFO on ordered structures is not contained in MSO

In the presence of a linear order, any query becomes axiomatisable in $L^{\omega}_{\infty\omega}$, and the query \mathcal{TCFI} becomes axiomatisable even in FO. However, randomisation adds expressive power to FO also on ordered structures:

Theorem 11. There is a class \mathcal{B} of ordered structures that is definable in BPFO, but not in MSO.

Remember that monadic second-order logic MSO is the fragment of second-order logic that allows quantification over individual elements and sets of elements.

Let $\sigma_{EP\leq} := \{\leq, E, P\}$, with binary relations \leq and E, and a unary predicate P. We define two classes \mathcal{B}' , \mathcal{B} of $\sigma_{EP\leq}$ -structures (cf. Figure 4.2): \mathcal{B}' is the class of all $\sigma_{eP\leq}$ -structures A for which

 \mathcal{B}' is the class of all $\sigma_{EP\leq}$ -structures A for which



Figure 3: The structures in \mathcal{B} contain a Boolean algebra and a perfectly matched set.

- 1. E defines a perfect matching on the set M := P(A)
- 2. the set $N := V(A) \setminus P(A)$ forms a Boolean algebra with the relation E and
- 3. no $x \in N$ and $y \in M$ are *E*-related
- 4. \leq defines a linear order on the whole structure, which puts the *M* before the *N* and orders *M* in such a way that matched elements are always successive.

It is easy to see that the class \mathcal{B}' is definable in FO. \mathcal{B} is the subclass of \mathcal{B}' whose elements satisfy the additional condition

$$2^{|M|} \ge |N|^2 \,. \tag{4}$$

We will prove that \mathcal{B} is definable in BPFO, but not in MSO. To prove that \mathcal{B} is definable in BPFO, we will use the following lemma:

Lemma 12 (Birthday Paradox). Let $m, n \ge 1$ and let $F : [n] \to [m]$ be a random function drawn uniformly from the set of all such functions.

1. For any $\epsilon_1 > 0$ and $c > 2 \ln \frac{1}{\epsilon_1}$ there is an $n_c \ge 1$ such that if $n > n_c$ and $m \le \frac{n^2}{c}$ we have

$$\Pr(F \text{ is injective}) \leq \epsilon_1$$

2. For any $\epsilon_2 > 0$, if $m \ge \frac{n^2}{2\epsilon_2}$, then

$$\Pr(F \text{ is injective}) \ge 1 - \epsilon_2$$

Proof. For the first part, we note that

$$\Pr(F \text{ injective}) = \prod_{i=0}^{n-1} \left(1 - \frac{i}{m}\right) \le \prod_{i=0}^{n-1} \exp\left(-\frac{i}{m}\right) = \exp\left(-\frac{n(n-1)}{2m}\right).$$

For the second part, note that

$$\Pr(F \text{ not injective}) = \Pr\left(F(i) = F(j) \text{ for all } i < j\right) \le \sum_{i < j} \frac{1}{m} = \binom{n}{2} \frac{1}{m} \le \frac{n^2}{2m}.$$



Figure 4: The Birthday Paradox with $\epsilon_1 = 0.2$, $\epsilon_2 = 0.5$ and c = 4. Here, p denotes $\Pr(F \text{ injective})$.

Proof of Theorem 11. To see that \mathcal{B} is not definable in MSO, we use two simple and well-known facts about MSO. The first is that for every $q \ge 0$ there are natural numbers p, m such that for all $k \ge 0$, a plain linear order of length m is indistinguishable from the linear order of length $m + k \cdot p$ by MSO-sentences of quantifier rank at most q. The same fact also holds for linear orders with a perfect matching on successive elements, because such a matching is definable in MSO anyway. The second fact we use is a version of the Feferman-Vaught Theorem. Suppose that we have a linearly ordered structure of the form $A \cup B$, and the two parts A, B are disjoint and not related except by the linear order, which puts A completely before B. Let $q \ge 0$ and A' another linearly ordered structure that is indistinguishable from A by all MSO-sentences of quantifier rank at most q. Then the structure $A' \cup B$ is indistinguishable from $A \cup B$ by all MSO-sentences of quantifier rank at most q. If we put these two facts together, we see that for every $q \ge 0$ there are p, m such that for all k, n the structure $A' \in \mathcal{B}$ with parts M, N of sizes m, n, respectively, is indistinguishable from the structure A' with parts of sizes $m + k \cdot p$ and n. We can easily choose k, n in such a way that $A \notin \mathcal{B}$ and $A' \in \mathcal{B}$.

It remains to prove that \mathcal{B} is definable in BPFO. Consider the sentence

$$\varphi_{\rm inj} := \forall x \forall y \Big(x \doteq y \lor Px \lor Py \lor \exists z \big(Pz \land \neg (Rxz \leftrightarrow Ryz) \big) \Big),$$

which states that the random binary relation R, considered as a function

$$f: N \to \operatorname{Pow}(M), \quad x \mapsto \{y \in M \mid Rxy\}$$

from N to subsets of M, is injective. By the definition of R, the function f is drawn uniformly from the set of all such functions. If we fix |N|, the probability for f to be injective increases monotonically with |M|. Furthermore, for every structure in \mathcal{B}' , the size of N and M are a power of two and an even number, respectively. Thus either

$$2^{|M|} \le \frac{1}{4} |N|^2$$
 or $2^{|M|} \ge |N|^2$,

and this factor of 4 translates into a probability gap for φ_{inj} in all sufficiently large structures in \mathcal{B}' , by Lemma 12 with $\epsilon_1 = 0.2$, $\epsilon_2 = 0.5$ and c = 4. The remaining finitely many structures in \mathcal{B}' can be dealt with separately.

4.3 RFO is stronger than FO on additive structures

Recall that an additive structure is one whose vocabulary contains a ternary relation +, such that $A|_+$ is isomorphic to $([0, |A| - 1], \{(a, b, c) \mid a + b = c\})$.

Theorem 13. There is a class A of additive structures that is definable in RFO and co-RFO, but not in FO.

Our proof uses the following result:

Theorem 14 (Lynch [28]). For every $k \in \mathbb{N}$ there is an infinite set $A_k \subseteq \mathbb{N}$ and a $d_k \in \mathbb{N}$ such that for all finite $Q_0, Q_1 \subseteq A_k$ with $|Q_0| = |Q_1|$ or $|Q_0|, |Q_1| > d_k$ the structures $(\mathbb{N}, +, Q_0)$ and $(\mathbb{N}, +, Q_1)$ satisfy exactly the same FO-sentences of quantifier rank at most k.

Here $(\mathbb{N}, +, Q_i)$ denotes a $\{+, P\}$ -structure with ternary + and unary P, where + is interpreted as above and P is interpreted by Q_i . For a finite set $M \subseteq \mathbb{N}$ we denote by max M the maximum element of M. By relativising quantifiers to the maximum element satisfying P, we immediately get the following corollary:

Corollary 15. Let k, A_k , d_k , Q_0 and Q_1 be as above. Then the (finite) structures $([0, \max Q_0], +, Q_0)$ and $([0, \max Q_1], +, Q_1)$ satisfy exactly the same FO-sentences of quantifier rank at most k.

We call a set $Q \subseteq \mathbb{N}$ sparse if $|Q \cap \{n, \ldots, 3n\}| \leq 1$ for all $n \geq 0$. Note that if Q is sparse and finite, then $|Q| \leq \log_3(\max Q) + 1$. It is easy to see that there is an $\mathsf{FO}[\{+, P\}]$ -sentence φ_{sparse} such that

$$([0, \max Q], +, Q) \models \varphi_{\text{sparse}} \quad \Leftrightarrow \quad Q \text{ is sparse}$$

for all finite $Q \subseteq \mathbb{N}$.

Proof of Theorem 13. We define the following class of additive $\{+, P\}$ -structures:

 $\mathcal{A} = \{ ([0, \max Q], +, Q) \mid Q \text{ is finite, sparse and } |Q| \text{ is even} \},\$

with + defined as usual. It follows immediately from Corollary 15 that \mathcal{A} is not definable in FO.

It remains to prove that \mathcal{A} is definable in (co-)RFO. We consider a binary random relation R on $\mathcal{Q} = ([0, \max Q], +, Q)$ for some finite $Q \subseteq \mathbb{N}$.

Each element $a \in [0, \max Q]$ defines a subset of Q, namely the set of $b \in Q$ for which $(a, b) \in R(Q)$ holds. If Q is a sparse set, it has

$$2^{|Q|} \le 2^{\log_3(\max Q) + 1} \le \frac{\max Q}{2\ln(\max Q)}$$

many subsets, and by standard estimates on the coupon collector's problem (see, e.g., [30]; or use a union-bound argument), if max Q is large enough, with high probability every subset of Q is defined by some element of $[0, \max Q]$. We may check in FO whether this is actually the case. If so, we use the random relation R and the linear order induced by + to check whether Q is even. Otherwise we reject (accept) to get an RFO- (co-RFO-)sentence.

5 BPFO is contained in MSO on additive structures

In this section, we prove our first and only nontrivial derandomisation result. It complements the result of Section 4.2 by saying that, on additive structures, every BPFOsentence is equivalent to an MSO-sentence.

Theorem 16. Let τ be a finite relational vocabulary containing a ternay relation + and let φ be a BPFO[τ]-sentence. Then there exists an MSO-sentence ψ such that on additive structures A

$$A \models \varphi \quad \Leftrightarrow \quad A \models \psi.$$

We first use Nisan's pseudorandom generator for constant depth circuits [33] to reduce the number of random bits to $\log^{O(1)} n$; throughout this section, n will denote the size of the input structure. We then derandomise the resulting formula following Lautemann's argument in [26].

In MSO[+], one can define a multiplication relation (see [36, Lemma 5.4]) and thus quantify over pairs of elements in $[0, \sqrt{n}]$. We only need the existence of such a pairing function, a slightly weaker form of which is made precise in the following lemma:

Lemma 17 (Pairing Lemma). There are MSO[+]-formulas $\varphi_p(x)$ and $\varphi_{\langle \cdot, \cdot, \cdot \rangle}(x, y, z, w)$ such that on additive structures A

• $\varphi_p(x)$ defines a number p satisfying

$$\frac{\sqrt{|A|}}{2} \le p \le \sqrt{|A|}.$$

Moreover, p is a prime number.

• For every b, c < p there is a unique m such that $\varphi_{\langle \cdot, \cdot, \cdot \rangle}(0, b, c, m)$ is satisfied. Furthermore, for every m there is a unique tuple $(a, b, c) \in [0, p - 1]^3$ such that $\varphi_{\langle \cdot, \cdot, \cdot \rangle}(a, b, c, m)$ is satisfied. Henceforth we write $m = \langle a, b, c \rangle$ for this.

Proof. In MSO[+], we may define a formulas $\varphi_{X=\langle x \rangle}(X, x)$ and $\varphi_{divides}(x, y)$ stating that X is the set of multiples of x and x divides y, respectively. We may thus check whether x is a prime number. Furthermore, we may define the set of powers of a prime number x: It is the largest set containing only numbers whose only prime divisor is x.

Then p is the largest prime number whose set of powers contains at least one element other that 0 and itself. Any number $m \in [0, p^2 - 1]$ may be written as m = bp + c with $b, c \in [0, p - 1]$. Both b and c are defineable in MSO[+]; notice that b is the largest divisor of m - c smaller than p, or 0 if m < p. For $m \ge p^2$ we define $m = \langle a, b, c \rangle$ with $a \in \{1, 2, 3\}$ and $m - ap^2 = \langle 0, b, c \rangle$. Whenever we write p in this section, we mean the p defined by the φ_p above. The Pairing Lemma allows us to quantify over binary relations on $[0, p-1] \cong \mathbb{F}_p$. In particular, we may define addition and multiplication modulo p, i.e., there are $\mathsf{MSO}[+]$ -formulas $\varphi_+(x, y, z)$ and $\varphi_{\times}(x, y, z)$ such that for $a, b, c \in \mathbb{F}_p$,

$$A \models \varphi_+(a, b, c) \quad \Leftrightarrow \quad a + b \equiv c \pmod{p}$$

and

$$A \models \varphi_{\times}(a, b, c) \quad \Leftrightarrow \quad a \cdot b \equiv c \pmod{p}$$

For the proof of Theorem 16 we may assume that the BPFO-sentence φ contains only one random relation, say R of arity r. We first apply a result by Nisan [33] to reduce the number of random bits:

Lemma 18. For every $r, d \in \mathbb{N}$ and $\epsilon > 0$ there are MSO[+]-formulas $\varphi_l(x)$ and $\varphi_{prg}(S, x_1, \ldots, x_r)$, where S is a set variable, such that

- φ_l defines a number $l \leq \log^{O(1)} n$ and
- if φ is an FO[$\tau \cup \{R\}$]-sentence of quantifier rank $\leq d$, where τ is some finite relational vocabulary and R is of arity r, then

$$\left|\Pr_{X\in\mathcal{X}(A,\{R\})}(X\models\varphi) - \Pr_{S\subseteq[l]}(A\models\varphi'(S))\right| < \epsilon,$$

where φ' is the MSO[+]-formula obtained from φ by replacing every occurrence of $R\vec{x}$ by $\varphi_{prg}(S, \vec{x})$.

Proof. For any fixed structure A of size n we may construct a polynomial-sized circuit $C_{\varphi,A}$ of depth $\leq d$ which describes the behaviour of φ on $(\tau \cup \{R\})$ -extensions of A. The circuit has n^r inputs indexed by the elements of $V(A)^r$, and an input vector \vec{x} denotes the $(\tau \cup \{R\})$ -extension $B_{\vec{x}}$ of A given by

$$\vec{a} \in R(B_{\vec{x}})$$
 iff $x_{\vec{a}} = 1$.

Then $C_{\varphi,A}(\vec{x})$ evaluates to 1 iff $B_{\vec{x}} \models \varphi$.

Nisan [33] gave a pseudorandom generator for such circuits which hinges on the following lemma:

Lemma 19 (restated from [33, Lemma 2.2]). Let $\{C_n\}$ be a family of circuits of depth d and polynomial size, let $m = m(n) = (\log n)^{d+3}$, l = l(n) and suppose for each n the sets $A_1^{(n)}, \ldots, A_n^{(n)} \subseteq [l]$ satisfy

- $\left|A_{i}^{(n)}\right| = m \text{ for all } 1 \leq i \leq n \text{ and}$
- $\left|A_i^{(n)} \cap A_j^{(n)}\right| \le \log n \text{ for all } 1 \le i \ne j \le n.$



Figure 5: Nisan's pseudo-random bit generator. The sets $A_i \subseteq \{1, \ldots, l\}$ form a partial-(log n, m)-design, i.e., they satisfy $|A_i| = m$ and $|A_i \cap A_j| \le \log n$ for all $1 \le i \ne j \le n$.

Then

$$\left|\Pr(C_n(\vec{x})=0) - \Pr(C_n(\oplus_{i \in A_1} y_i, \dots, \oplus_{i \in A_n} y_i)=0)\right| \le \frac{1}{n^c}$$

for any $c \in \mathbb{N}$ and large enough n. Here, the first probability is taken uniformly over all strings $\vec{x} \in \{0,1\}^n$, whereas the second is taken uniformly over all strings $\vec{y} \in \{0,1\}^l$.

The resulting pseudorandom generator is depicted in Figure 5. Families of sets $A_i^{(n)}$ satisfying the above conditions are called *partial*-(log n, m)-designs. Nisan gives a construction with $l = m^2 = \log^{O(1)} n$, which drastically reduces the size of the probability space, i.e., the number of random bits needed. We now show how his construction can be defined in MSO[+].

On [0, p-1], we may define a formula $\varphi_{\log}(x, y)$ which is satisfied iff $x = \lceil \log_2 y \rceil$. Using this and the fact that

$$2\lceil \log p \rceil - 1 \le \lceil \log n \rceil \le 2\lceil \log p \rceil + 2,$$

we let $\varphi_m(x)$ and $\varphi_l(x)$ be two formulas defining natural numbers m and l such that

• m is a prime number between $(r^2 \lceil \log n \rceil)^{d+3}$ and $2(r^2 (\lceil \log n \rceil + 3)^{d+3})^{d+3}$

•
$$l = m^2$$

Using the pairing function $\varphi_{\langle \cdot, \cdot, \cdot \rangle}$ we may assume that R is a 3r-ary relation which we only need to define for elements in \mathbb{F}_p . That is, we define $\varphi_{prg}(S, x_1, \ldots, x_r)$ by

$$\exists z_1 \cdots \exists z_{3r} x_1 = \langle z_1, z_2, z_3 \rangle \land \ldots \land x_r = \langle z_{3r-2}, z_{3r-1}, z_{3r} \rangle \land \varphi_{\mathrm{prg}}'(S, z_1, \ldots, z_{3r})$$

The formula $\varphi'_{\text{prg}}(S, \vec{z})$ takes the parity of a subset of S indexed by \vec{z} :

$$\varphi'_{\text{prg}}(S, \vec{z}) := "|S \cap \psi(A; \vec{z})|$$
 is even",

where $\psi(x, \vec{z})$ is an MSO[+]-formula and $\psi(A; \vec{z}) := \{x \mid A \models \psi(x, \vec{z})\}$; evenness may be expressed in MSO on ordered structures. By Lemma 19, we are done if we can define a formula $\psi(x, \vec{z})$ such that

- (i) $\psi(A; \vec{z}) \subseteq [l]$ for all $\vec{z} \in \mathbb{F}_p^{3r}$,
- (ii) $|\psi(A; \vec{z})| = m$ for all $\vec{z} \in \mathbb{F}_p^{3r}$, and
- (iii) $|\psi(A; \vec{z_1}) \cap \psi(A; \vec{z_2})| \le \log n \text{ for all } \vec{z_1} \ne \vec{z_2} \in \mathbb{F}_p^{3r},$

which means the sets $\psi(A; \vec{z})$ form a partial- $(\log n, m)$ -design. We use the same construction as Nisan: We interpret the tuple \vec{z} as a polynomial $f_{\vec{z}} \in \mathbb{F}_m[\xi]$ of degree $\leq \log n$. The set $\psi(A; \vec{z})$ is then the graph of this polynomial, namely

$$\psi(A; \vec{z}) = \{ (\xi, f_{\vec{z}}(\xi)) \mid \xi \in \mathbb{F}_m \} \subseteq \mathbb{F}_m^2,$$

and we identify \mathbb{F}_m^2 with [l]. We first encode the coefficients of $f_{\vec{z}}$ into a set variable X as follows: Consider the binary representations

$$z_i = \sum_{j \ge 0} z_{i,j} 2^j \quad \text{with } z_{i,j} \in \{0,1\}$$

of the z_i . We can define an MSO[+]-sentence $\varphi_{pack}(\vec{z}, X)$ which holds iff X, interpreted as a binary relation over \mathbb{F}_p , holds exactly for pairs (a, b) with

$$0 \le a \le \lceil \log p \rceil$$
 and $b = \sum_{1 \le i \le 3r} z_{i,a} 2^{i-1}$.

Thus for each $0 \le a \le \lceil \log p \rceil$ there is exactly one b = b(a) with $(a, b) \in X$, and all bs are between 0 and 2^{3r} , and thus in \mathbb{F}_m if n is large enough. We may now define an $\mathsf{MSO}[+]$ -sentence $\varphi_{\mathrm{eval}}(X, u, v)$ which, for these Xs, holds iff

$$v = f_{\vec{y}}(u) = \sum_{0 \le a < \lceil \log p \rceil} b(a)u^a,$$

with addition and multiplication according to \mathbb{F}_m . Putting these ingredients together, we define

$$\psi(x, \vec{z}) = \exists X \exists u \exists v \text{ ``} 0 \leq u, v < m \text{''} \land \varphi_{\text{pack}}(\vec{z}, X) \land \varphi_{\text{eval}}(X, u, v) \land \text{``} x = u \cdot m + v \text{''},$$

which is easily verified to satisfy conditions (i) to (iii) above.

So far we have reduced the number of random bits from n^r to $l = \log^{O(1)} n$, and these are conveniently packed into into the first l bits of a single set variable S. We may now follow Lautemann's proof [26] to derandomise this sentence. Proof of Theorem 16. After applying Lemma 18 we are left with $\mathsf{MSO}[+]$ -sentences φ_l and φ' such that φ_l defines a number $l \leq \log^{O(1)} n$ and φ' has a free set variable S. We may assume that for all additive structures A,

either
$$\Pr_{S \subseteq [l]}(A \models \varphi'(S)) < \frac{1}{l}$$
 or $\Pr_{S \subseteq [l]}(A \models \varphi'(S)) > 1 - \frac{1}{l}$, (5)

because otherwise we may use independent repetition and majority vote to obtain these bounds. To be precise, let $\chi(S, i, j)$ be defined by

$$\chi(S, i, j) := (0 \le i < l) \land (0 \le j < l) \land \exists z (z \doteq i \cdot l + j \land Sz).$$

That is, we divide the first l^2 bits of S into l blocks of l bits each, and let $\chi(S, i, j)$ select the *i*-th bit of the *j*-th block. We replace each occurence of Sx in φ' by $\chi(S, i, x)$ to obtain a formula $\tilde{\varphi}'(S, i)$. Because l is of order $\log^{O(1)} n$, we may quantify over pairs of elements of [0, l - 1], which allows us to express the formula

 $\bar{\varphi}'(S) = \tilde{\varphi}'(S,i)$ holds for at least half of the $i \in [0, l-1]$ "

in MSO[+], e.g., by stating that there exists a matching M on [0, l-1] such that

- if $\{i, j\} \in M$, then exactly one of $\tilde{\varphi}'(S, i)$ and $\tilde{\varphi}'(S, j)$ holds and
- all $i \in [0, l-1]$ for which $\tilde{\varphi}'(S, i)$ does not hold are matched by M.

Then $\bar{\varphi}'$ uses $l^2 = \log^{O(1)} n$ many bits of S, and by the Chernoff bound on the tails of the binomial distribution it satisfies (5), even with l replaced by l^2 (details can be found in [2, sec. 7.4]).

We identify subsets of [l] with vectors in \mathbb{F}_2^l . Let $M \subseteq \mathbb{F}_2^l$ be the set of vectors for which $A \models \varphi'(S)$ holds. Equation (5) translates into

$$|M| < \frac{\left|\mathbb{F}_{2}^{l}\right|}{l}$$
 or $|M| > \left(1 - \frac{1}{l}\right) \left|\mathbb{F}_{2}^{l}\right|.$

For a vector $\vec{y} \in \mathbb{F}_2^l$ we define

$$\vec{y} \oplus M := \{ \vec{x} \oplus \vec{y} \mid \vec{x} \in M \}$$

to be the set M translated by \vec{y} . We claim the following:

(a) If $|M| < |\mathbb{F}_2^l|/l$, then for every choice of vectors $\vec{y}_1, \ldots, \vec{y}_l$ we have

$$\bigcup_{1 \le i \le l} (\vec{y}_i \oplus M) \neq \mathbb{F}_2^l$$

(b) If $|M| > (1 - 1/l) |\mathbb{F}_2^l|$, then there are vectors $\vec{y}_1, \ldots, \vec{y}_l$ such that

$$\bigcup_{1 \le i \le l} (\vec{y}_i \oplus M) = \mathbb{F}_2^l.$$

The first claim follows immediately from $|\vec{y} \oplus M| = |M|$. For (b), assume that we randomly choose the vectors \vec{y}_i independently and uniformly from \mathbb{F}_2^l . For any vector $\vec{x} \in \mathbb{F}_2^l$ we have

$$\Pr\left(\vec{x} \notin \bigcup(\vec{y}_i \oplus M)\right) = \prod_i \Pr(\vec{x} \notin \vec{y}_i \oplus M)$$
$$\leq \left(\frac{1}{l}\right)^l,$$

by the independence of the $\vec{y_i}$. But then the expected number of vectors not in $\bigcup (\vec{y_i} \oplus M)$ is

$$\mathbb{E}\left[\left|\mathbb{F}_{2}^{l} \setminus \bigcup(\vec{y_{i}} \oplus M)\right|\right] = \sum_{\vec{x} \in \mathbb{F}_{2}^{l}} \Pr\left(\vec{x} \notin \bigcup(\vec{y_{i}} \oplus M)\right)$$
$$\leq \frac{\left|\mathbb{F}_{2}^{l}\right|}{l^{l}} = \left(\frac{2}{l}\right)^{l} < 1,$$

so there must be a choice of \vec{y}_i s such that this number is zero, i.e., $\bigcup (\vec{y}_i \oplus M) = \mathbb{F}_2^l$.

Again using the formula $\chi(S, i, j)$, we can pack the vectors $\vec{y}_1, \ldots, \vec{y}_l$ into a single existentially quantified set variable and check that $\bigcup(\vec{y}_i \oplus M) = \mathbb{F}_2^l$ as follows:

$$\varphi'' = \exists Y \forall X \exists i \, \varphi'(X \oplus \chi(Y, i, \cdot)),$$

where $\varphi'(X \oplus \chi(Y, i, \cdot))$ is the formula $\varphi'(S)$ with every occurence of Sx replaced by

$$(Xx \land \chi(Y, i, x)) \lor (\neg Xx \land \neg \chi(Y, i, x)).$$

Claims (a) and (b) imply that

$$A \models \varphi'' \quad \Leftrightarrow \quad \Pr(A \models \varphi'(S)) > 1 - \frac{1}{l},$$

which completes the proof.

6 A logic capturing **BPP**

In this section, we prove that the logic BPIFP+C captures the complexity class BPP. Technically, the results of this section are closely related to results in [19].

Counting logics like FO+C and IFP+C are usually defined via two-sorted structures, which are equipped with an initial segment of the natural numbers of appropriate length. The expressive power of the resulting logic turns out to be rather robust under changes in the exact definition, see [34] for a detailed survey of this. However, we will only need the limited counting ability provided by the *Rescher quantifier*, which goes back to a unary majority quantifier defined in [35], see [34].

We let $FO(\mathcal{J})$ be the logic obtained from first-order logic by adjoining a generalised quantifier \mathcal{J} , the *Rescher quantifier*. For any two formulas $\varphi_1(\vec{x})$ and $\varphi_2(\vec{x})$, where \vec{x} is a k-tuple of variables, we form a new formula

$$\mathcal{J}\vec{x}.arphi_1(\vec{x})arphi_2(\vec{x}).$$

Its semantics is defined by

$$A \models \mathcal{J}\vec{x}.\varphi_1(\vec{x})\varphi_2(\vec{x}) \quad \text{iff} \\ \left| \{\vec{a} \in V(A)^k \mid A \models \varphi_1[\vec{a}]\} \right| \le \left| \{\vec{a} \in V(A)^k \mid A \models \varphi_2[\vec{a}]\} \right|.$$
(6)

The logic $\mathsf{IFP}(\mathcal{J})$ is defined similarly.

Lemma 20. Let R be a 6-ary relation symbol. There is a formula $\varphi_{\leq}(x, y) \in \mathsf{FO}(\mathcal{J})[\{R\}]$ such that

$$\lim_{n \to \infty} \Pr_{A \in X(S_n, \{R\})} \left(\{ (a, b) \mid A \models \varphi_{\leq}[a, b] \} \text{ is a linear order of } V(A) \right) = 1$$

(Recall that S_n is the \emptyset -structure with universe $\{1, \ldots, n\}$. Thus $X(S_n, \{R\})$ just denotes the set of all $\{R\}$ -structures with universe $\{1, \ldots, n\}$.)

Proof. We let

$$\varphi_{<}(x,y) := \mathcal{J}x_1 \dots x_5 Rxx_1 \dots x_5 Ryx_1 \dots x_5.$$

To see that $\varphi_{\leq}(x, y)$ defines an order with high probability, let A be a structure with universe $V(A) = \{1, \ldots, n\}$. For each $a \in V(A)$, let

$$X_a := \left| \{ \vec{a} \in V(A)^5 \mid A \models Ra\vec{a}. \} \right|$$

Then $A \models \varphi_{\leq}(a, b)$ iff $X_a \leq X_b$, and φ_{\leq} linearly orders A iff the X_a are pairwise distinct. But for $a \neq b \in V(A)$, the random variables X_a and X_b are independent and each is binomially distributed with parameters p = 1/2 and $m = n^5$, and thus

$$\Pr(X_a = X_b) = \sum_{k=0}^m \left(\frac{1}{2^m} \binom{m}{k}\right)^2 = \frac{1}{2^{2m}} \sum \binom{m}{k}^2$$
$$= \frac{1}{2^{2m}} \sum \binom{m}{k} \binom{m}{m-k} = \frac{1}{2^{2m}} \binom{2m}{m} = \Theta\left(\frac{1}{\sqrt{m}}\right),$$

where the final approximation can be found, for example, in [15]. The second part now follows by a union bound over the $\binom{n}{2} = \Theta(m^{2/5})$ pairs $a \neq b$.

Theorem 21. The logic $\mathsf{BPIFP}(\mathcal{J})$ captures BPP .

Proof. $\mathsf{BPIFP}(\mathcal{J})$ is contained in BPP , because a randomised polynomial time algorithm can interpret the random relations by using its random bits.

For the other direction, let \mathcal{Q} be a Boolean query in BPP. This means that there is a randomised polynomial time algorithm M that decides the query \mathcal{Q}_{\leq} of ordered expansions of structures in \mathcal{Q} . We may view the (polynomially many) random bits used by M as part of the input. Then it follows from the Immerman-Vardi Theorem that there is a BPIFP-sentence ψ_M defining \mathcal{Q}_{\leq} . Note that, by the definition of \mathcal{Q}_{\leq} , this sentence is order-invariant. We replace every occurrence of \leq in ψ_M by the formula $\varphi_{\leq}(x, y)$ of Lemma 20, which with high probability defines a linear order on the universe. \Box It is easy to see that $\mathsf{BPIFP}+\mathsf{C}$ is also contained in BPP and that $\mathsf{IFP}(\mathcal{J}) \leq \mathsf{IFP}+\mathsf{C}$. Thus we get the following corollary.

Corollary 22. $\mathsf{BPIFP}+\mathsf{C}=\mathsf{BPIFP}(\mathcal{J})$, and both capture BPP .

Remark 23. By similar arguments, we obtain logical characterisations of other randomised complexity classes. For example, $\mathsf{BPL} = \mathsf{BPDTC}(\mathcal{J}) = \mathsf{BPDTC}+\mathsf{C}$. (Here L does not denote a generic logic, but the complexity class logspace.)

Furthermore, it also follows from Lemma 20 that $\mathsf{BPL}^{\omega}_{\infty\omega}(\mathcal{J}) = \mathsf{BPC}^{\omega}_{\infty\omega}$. Actually, it follows that all queries are definable in $\mathsf{BPL}^{\omega}_{\infty\omega}(\mathcal{J})$.

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