From Affine to Two-Source Extractors via Approximate Duality

Eli Ben-Sasson∗ Noga Zewi∗

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Abstract

Two-source and affine extractors and dispersers are fundamental objects studied in the context of derandomization. This paper shows how to construct two-source extractors and dispersers for arbitrarily small min-entropy rate in a black-box manner from affine extractors with sufficiently good parameters. Our analysis relies on the study of approximate duality, a concept related to the polynomial Freiman-Ruzsa conjecture (PFR) from additive combinatorics.

Two black-box constructions of two-source extractors from affine ones are presented. Both constructions work for min-entropy rate \( \rho < \frac{1}{2} \). One of them can potentially reach arbitrarily small min-entropy rate provided the affine extractor used to construct it outputs, on affine sources of min-entropy rate \( \frac{1}{2} \), a relatively large number of output bits and has sufficiently small error. This shows that for purposes of constructing better two-source extractors, minimizing the error of affine extractors is more important than decreasing their min-entropy rate.

Our results are obtained by first showing that each of our constructions yields a two-source disperser for a certain min-entropy rate \( \rho < \frac{1}{2} \) and then using a general extractor-to-disperser reduction that applies to a large family of constructions. This reduction says that any two-source disperser for min-entropy rate \( \rho \) coming from this family is also a two-source extractor for min-entropy rate \( \rho + \epsilon \) for arbitrarily small \( \epsilon > 0 \).

The extractor-to-disperser reduction arises from studying approximate duality, a notion related to additive combinatorics. The duality measure of two sets \( A, B \subseteq \mathbb{F}_2^n \) aims to quantify how “close” these sets are to being dual and is defined as

\[
\mu^\perp(A, B) = \left| \mathbb{E}_{a \in A, b \in B} \left[ (-1)^{\sum_{i=1}^n a_ib_i} \right] \right|.
\]

Notice that \( \mu^\perp(A, B) = 1 \) implies that \( A \) is contained in an affine shift of \( B^\perp \) — the space dual to the \( \mathbb{F}_2 \)-span of \( B \). We study what can be said of \( A, B \) when their duality measure is large but strictly smaller than 1 and show that \( A, B \) contain subsets \( A', B' \) of nontrivial size for which \( \mu^\perp(A', B') = 1 \) and consequently \( A' \) is contained in an affine shift of \( (B')^\perp \). Surprisingly, the PFR implies that such \( A', B' \) exist even if the duality measure is exponentially small in \( n \), and this implication leads to two-source extractors with exponentially small error.

∗Department of Computer Science, Technion — Israel Institute of Technology, Haifa, Israel. eli,nogaz@cs.technion.ac.il. The research leading to these results has received funding from the European Community’s Seventh Framework Programme (FP7/2007-2013) under grant agreement number 240258.
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1 Introduction

This paper shows a new connection between two objects that have been studied in recent years in the context of derandomization — two-source and affine extractors. First, we show two constructions that convert in a black-box manner any affine extractor for min-entropy rate below half into a two-source disperser for min-entropy rate below half. One of our constructions can reach arbitrarily small min-entropy rate as long as the affine extractor has sufficiently small min-entropy loss. Second, we bound the error on sources of slightly larger min-entropy rate using bounds on approximate duality, a new notion related to additive combinatorics to which a large portion of this paper is devoted. Third, we propose an approximate duality conjecture (ADC), show it is implied by the polynomial Freiman-Ruzsa conjecture (PFR) and implies a weak version of PFR, and use ADC to obtain exponentially small bounds on the error of our two-source extractors.

1.1 Extractors and dispersers for affine and two independent sources

**Two-source extractors, dispersers and bipartite Ramsey graphs**  Randomness extractors, or, simply, extractors, deal with the task of extracting uniformly random bits from weak sources of randomness. (See the survey of [Shaltiel, 2002] for an introduction to this topic.) The gold-standard measure for the randomness of a source $X$, i.e., a distribution over $\{0, 1\}^n$, is its min-entropy which is defined to be the largest $k$ such that for every $x \in \{0, 1\}^n$ the probability assigned to $x$ by $X$ is at most $2^{-k}$. (It is useful to think of $X$ as uniformly distributed over an arbitrary subset of $\{0, 1\}^n$ of size precisely $2^k$.) A function $f : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^m$ is said to be a two-source extractor for min-entropy $k$ with error $\epsilon$ if for every pair of independent sources $X, Y$ that each have min-entropy at least $k$, the statistical distance between the uniform distribution on $m$ bits and the distribution $f(X, Y)$ is at most $\epsilon$. A two-source disperser is a one-output-bit ($m = 1$) two-source extractor with a nontrivial (but possibly large) bound on the error of the form $\epsilon < 1$. In other words, a two-source disperser for min-entropy $k$ is a function $f$ that is non constant on $S \times T$ for every pair of subsets $S, T$ of size at least $2^k$. Viewing $f$ as the indicator function of the edge-set of a bipartite graph $G_f$ on vertex sets of size $2^n$, the graph $G_f$ is known as a $2^k$-bipartite Ramsey graph because the subgraph induced by any pair of sets of vertices of size at least $2^k$ is neither complete, nor empty.

[Erdős, 1947] inaugurated the use of the probabilistic method in combinatorics and showed among other things that a random function $f$ is with high probability a two-source disperser for min-entropy $\log n + O(1)$. However, up until a few years ago the best known construction of two-source dispersers (and extractors) required min-entropy at least $n/2$. This lower bound of half on the min-entropy rate — defined as the ratio between the min-entropy ($k$) and $n$ — was first broken by [Pudlák and Rödl, 2004] for the case of two-source dispersers. They constructed two-source dispersers for min-entropy rate $\frac{1}{2} - o(1)$. Later on, following the seminal work of [Barak, Impagliazzo, and Wigderson, 2006a], which brought tools from additive combinatorics to bear on the construction of extractors, [Barak et al., 2005] reduced the min-entropy for dispersers down to $\delta n$ for any $\delta > 0$. In the meanwhile [Bourgain, 2005] used more tools from additive combinatorics and constructed a two-source extractor for min-entropy rate $\frac{1}{2} - \epsilon_0$ for some constant $\epsilon_0 > 0$, and this construction remains to this date the best in terms of its min-entropy rate. (If the sum of min-entropies of both sources is considered, [Raz, 2005] showed a construction that requires one source to have min-entropy rate just above half but the other source can have its min-entropy be as small as $O(\log n)$.) Finally, [Barak et al., 2006b] constructed what remains the state of the art for two-source dispersers, achieving min-entropy $n^{\delta}$ for any $\delta > 0$. Regarding conditional results, ones that depend on unproven conjectures, [Zuckerman, 1991, Section 6.3] showed that the Paley Conjecture from number theory implies two-source

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1The original statement of [Erdős, 1947] was in terms of non-bipartite Ramsey graphs, but the proof method holds non-the-less for the case of bipartite Ramsey graphs, which are equivalent to two-source dispersers.
extractors for very small min-entropy rate and Tauman Kalai et al. [2009] constructed two-source extractors based on cryptographic assumptions.

**Affine extractors** An affine extractor for min-entropy $k$ is a function $g : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m$, where $\mathbb{F}_2$ denotes the two-element finite field, such that for every random variable $X$ distributed uniformly on a $k$-dimensional affine subspace $A$ of $\mathbb{F}_2^n$, the random variable $g(X)$ is close to being uniformly distributed on $\mathbb{F}_2^m$. A one-output-bit ($m = 1$) function that is nonconstant on every $k$-dimensional affine subspace is called an affine disperser for min-entropy $k$.

The probabilistic method can be used to show that affine extractors exist for min-entropy as small as $\log n + O(1)$ but up until recently explicit constructions were known only for min-entropy rate above half. This bound was broken by Barak et al. [2005] for the case of dispersers, they obtained dispersers for min-entropy rate $\delta$ for any $\delta > 0$. Bourgain [2007] used new bounds on exponential sums resulting from additive-combinatorics to construct affine extractors for similar min-entropy rates that achieve exponentially small error (cf. Yehudayoff [2009], Li [2010] for improvements and alternative constructions along this line). Gabizon and Raz [2008] showed constructions of affine extractors for $X$ distributed uniformly on affine spaces of dimension as small as 1 when the field $\mathbb{F}_2$ is replaced with a sufficiently large field $\mathbb{F}$, and the minimal required field-size was reduced by DeVos and Gabizon [2009]. Finally, Ben-Sasson and Kopparty [2009] showed constructions of affine dispersers (over $\mathbb{F}_2$) for sublinear min-entropy as small as $n^{1/5}$.

### 1.2 A pair of bilinear-composed two-source constructions

In this paper we analyze two families of two-source constructions. In both families we start with a function $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m$ that we assume to be a “good” affine extractor for min-entropy rate $\delta$ (reserving $\rho$ for denoting the min-entropy rate of the two-source extractor built from $f$). By a “good” affine extractor we mean that for all affine sources $X$ of min-entropy $\delta n$ and $y \in \mathbb{F}_2^m$ we have $\Pr[f(X) = y] \leq 2^{1-m}$. (The use of this particular error measure is explained in Remark 2.2.) A parameter of crucial importance to our work is the min-entropy loss rate $\lambda = 1 - \frac{m}{\delta n}$ which measures how much entropy is lost when going from $X$ to $f(X)$. To see that $\lambda$ does indeed measure entropy loss notice that in the extreme case of $\lambda = 0$ we have $m = \delta n$ which means that $f$ recovers almost all the entropy of $X$. As explained later on in Section 6 affine extractors with min-entropy loss rate strictly smaller than 1 are known to exist (cf. Theorem 2.3).

Our two-source constructions are described next. For the second one we use $f^{-1}(z)$ to denote the set of preimages of $z \in \mathbb{F}_2^m$ and assume the existence of $z$ with at least $2^{n-m}$ preimages (such $z$ exists by the pigeonhole principle).

**Concatenated two-source construction** This construction takes two $n$-bit inputs $x, y$ and is computed by

(i) concatenating $f(x)$ to $x$, (ii) concatenating $f(y)$ to $y$ and (iii) outputting the binary inner-product of the two (concatenated) strings. The binary inner-product of $z, z' \in \mathbb{F}_2^k$ is denoted by $\langle z, z' \rangle$ and defined by $\sum_{i=1}^k z_i \cdot z'_i$ where all arithmetic operations are in $\mathbb{F}_2$.

**Preimage two-source construction** Let $F$ be a one-to-one mapping of $\mathbb{F}_2^{n-m}$ to $f^{-1}(z)$. On a pair of $(n-m)$-bit inputs $x, y$ output the inner-product of $F(x)$ and $F(y)$.

Our first pair of main results is that the two constructions are two-source dispersers for min-entropy rates that depend only on the parameters of the affine extractor mentioned above. The concatenated one is a two-source disperser for min-entropy rate that is roughly

$$\rho_{\text{concatenated}} = \frac{1 - \delta(1 - \lambda)}{2}$$
as long as $\delta < \frac{1}{2}$ (see Theorem 2.9 for an exact statement). For the preimage one, assuming $\delta = \frac{1}{2}$, we get (in Theorem 2.11) a two-source extractor for min-entropy rate

$$\rho_{\text{preimage}} = \frac{\lambda}{1 + \lambda}.$$ 

Notice that both constructions easily give dispersers for min-entropy rate $\rho < \frac{1}{2}$. For the preimage construction all we need is nontrivial loss rate (i.e., $\lambda < 1$) for min-entropy rate $\delta = \frac{1}{2}$. For the concatenated one we also need $\delta$ to be strictly smaller than $\frac{1}{2}$. As commented earlier, several constructions of affine extractors obtain these parameters. The dependence of the preimage construction on min-entropy loss rate is particularly striking and motivates the future study of the loss rate parameter of affine extractors for min-entropy rate $\frac{1}{2}$.

Both constructions come from a larger family which we call bilinear-composed two-source constructions: We first apply a one-to-one function to each of $x$ and $y$ separately to obtain $x', y'$ and then apply a full-rank bilinear map (the binary inner-product function) to $x', y'$. We point out this common denominator of the two constructions because our second main result says that any bilinear-composed two-source disperser for min-entropy rate $\rho$ has bounded error on sources of min-entropy rate $\rho + \gamma$ for any $\gamma > 0$. We give two bounds on the error in this case. The first one bounds the error by a non-trivial constant $1 - \gamma'$ where $\gamma' > 0$ depends only on $\gamma$ and the parameters of the affine extractor. This result is stated in Lemma 2.13 and Theorem 2.14. The second bound says that the error is at most $2^{-cn}$ for an absolute constant $c > 0$ (see Lemma 2.18 and Theorem 2.19). This bound assumes the approximate duality conjecture (discussed next), a natural conjecture which is implied by, and implies a weak (though unproven) version of, the polynomial Freiman Ruzsa conjecture from additive combinatorics.

**Comparison of the two constructions** Special cases of both constructions, which used specific functions $f$ not necessarily known to be affine extractors, have been studied in the context of two-source dispersers and extractors — Bourgain [2007] used certain concatenated constructions and Pudlák and Rödl [2004] used preimage ones. Each construction has its advantages. The concatenated one is more efficient from a computational perspective whereas the preimage one can potentially reach arbitrarily small min-entropy rate. Let us elaborate on these two points.

Assuming $f$ is explicit, i.e., can be computed in time $n^O(1)$, inspection reveals that the concatenated construction is also explicit. The preimage one is not necessarily explicit, because $F$ is not necessarily explicit even if $f$ is. It is nonetheless semi-explicit — it can be computed in time $2^n \cdot \text{poly}(n)$ which is far better than what can be done when using exhaustive search to look for a two-source extractor, this takes time $2^{2n}$.

When it comes to min-entropy rate, the preimage construction has two advantages over the concatenated one. First, it only requires an affine extractor for min-entropy rate $\delta = \frac{1}{2}$ whereas the concatenated one needs $\delta < \frac{1}{2}$. More significantly, as the min-entropy loss rate $\lambda$ approaches zero so does the min-entropy rate of the preimage-extractor — it is roughly $\lambda/(1 + \lambda)$ — but the concatenated construction does not go below min-entropy $\frac{1}{4}$ even if we assume that $f$ has no min-entropy loss ($\lambda = 0$).

**1.3 Proof overview**

Our proofs can be broken into two parts. First we show that each of our constructions is a two-source disperser for a certain min-entropy rate $\rho$ that depends only on the parameters of the affine extractor we started with. Then we use approximate duality to bound the bias on sources of min-entropy rate slightly larger than $\rho$. We now elaborate on these two parts, focusing on the second and harder part.
To prove that our constructions are two-source dispersers consider \( S, T \subset \{0,1\}^n, |S|, |T| > 2^m \) and recall that our constructions are bilinear-composed, meaning that we first apply a certain function \( h : \mathbb{F}_2^n \to \mathbb{F}_2^m \) to each input individually. Let \( h(S) = \{ h(s) \mid s \in S \} \) and define \( h(T) \) similarly. Our main observation in this part is that the dimension of each of \( \text{span} \left( h(S) \right), \text{span} \left( h(T) \right) \) is greater than \( m/2 \), and this immediately shows that the function \( E(X, Y) = \langle h(X), h(Y) \rangle \) is not constant on \( S \times T \). This part of our proofs is inspired by [Pudlák and Rödl 2004, Bourgain 2007], which applied similar reasoning to particular functions (cf. [Rao 2007]), and our argument can be viewed as a generalization of these works to the case of arbitrary affine extractors.

To bound the error of bilinear-composed constructions we study the notion of approximate duality, elaborated upon next, and use it to show that every bilinear-composed two-source disperser for min-entropy rate \( \rho \) is a two-source extractor for min-entropy rate \( \rho + \gamma \) for any \( \gamma > 0 \). Two sets \( A, B \subseteq \mathbb{F}_2^n \) are said to be dual to each other if and only if \( \langle a, b \rangle = 0 \) for all \( a \in A, b \in B \). We define the duality measure of \( A, B \) in \([1]\) as an estimate of how “close” this pair is to being dual.

\[
\mu^\perp(A, B) \triangleq \left| \mathbb{E}_{a \in A, b \in B} \left[ (-1)^{\langle a, b \rangle} \right] \right|. \tag{1}
\]

It can be verified that if \( \mu^\perp(A, B) = 1 \) then \( A \) is contained in an affine shift of \( B^\perp \) which is the space dual to the linear span of \( B \). The question we study is what happens when \( \mu^\perp(A, B) \) is large though strictly less than 1. We postulate that \( A, B \) contain pretty large subsets that have a duality measure of 1 and prove (in Lemma 2.12) that this indeed holds when \( \mu^\perp(A, B) > 1 - \epsilon \) for sufficiently small \( \epsilon > 0 \). The approximate duality conjecture (ADC, Conjecture 2.16) says that a similar statement should hold even when \( \mu^\perp(A, B) \) is exponentially small in \( n \) and before we justify our belief in this conjecture by relating it to the PFR let us see how approximate duality comes up in the analysis of the error of two-source bilinear-composed extractors.

Suppose that the bilinear-composed construction \( E(x, y) = \langle h(x), h(y) \rangle \) is known to be a two-source disperser for min-entropy rate \( \rho \), assuming \( h \) maps \( \mathbb{F}_2^n \) to \( \mathbb{F}_2^m \). To prove that \( E \) is an extractor assume by way of contradiction that there exist \( S, T \subseteq \mathbb{F}_2^n, |S|, |T| > 2^{(\rho + \gamma)n} \) on which \( E \) is very biased. Letting \( \tilde{S} \subseteq \mathbb{F}_2^n \) be the set \( \tilde{S} = h(S) \) and defining \( \tilde{T} \) analogously, our assumption is that \( \mu^\perp(\tilde{S}, \tilde{T}) \) is very large. Approximate duality statements like Lemma 2.12 and the ADC imply the existence of large sets \( \tilde{S} \subseteq \tilde{S} \) and \( \tilde{T} \subseteq \tilde{T} \) that have a duality measure of 1 and this implies that \( E \) is constant on the pair of large sets \( S' = h^{-1}(\tilde{S}), T' = h^{-1}(\tilde{T}) \) which contradicts our assumption that \( E \) is a two-source disperser. We now discuss approximate duality in the context of additive combinatorics.

**Approximate duality and the polynomial Freiman Ruzsa conjecture.** The question addressed by the Freiman-Ruzsa Theorem [Freiman 1973, Ruzsa 1999] is the following\(^2\): Start by recalling that \( A \) is a subspace of \( \mathbb{F}_2^n \) if and only if \( A \) does not expand under addition, by which we mean that \( |A + A| = |A| \) where \( A + A = \{ a + a' \mid a, a' \in A \} \). Now suppose \( A \subseteq \mathbb{F}_2^n \) behaves “approximately” like a subspace, i.e., \( |A + A| \leq K |A| \) (think of \( K \ll |A| \)). Can we conclude that \( A \) is “close” to a subspace, meaning it contains a large subset \( A' \) that is itself a large fraction of a subspace \( H \) of \( \mathbb{F}_2^n \)? The Freiman-Ruzsa theorem gives a positive answer to this question, showing that both fractions \( |A|/|H| \) and \( |A \cap H|/|A| \) can be bounded from below by \( 2^{-\text{poly}(K)} \), the best lower bound on these ratios to date is of the form \( K^{-\text{O}(K)} \) \([\text{Green and Tao 2009}]\). The polynomial Freiman-Ruzsa conjecture (PFR) postulates that these ratios can be bounded from below by a polynomial, instead of exponential, function in \( K \) of the form \( K^{-\text{O}(1)} \).

\(^2\)We describe the Freiman-Ruzsa Theorem for linear spaces over \( \mathbb{F}_2 \), the case most relevant to our study, whereas the Freiman-Ruzsa Theorem applies to arbitrary subsets of groups. See \([\text{Green 2005}]\) and references within for more information.
The question of approximate duality has a similar flavor: If two sets behave “approximately” like dual sets, do they contain large subsets that are strictly dual? Stated this way it seems natural to explore the connection between approximate duality and PFR, which is what we do later on in the paper. We show that PFR implies ADC and, in the reverse direction, ADC implies a “weak” form of PFR that, although weaker than the PFR, is stronger than what is currently known. Interesting avenues for future research are to pin down the exact versions of PFR and ADC that are equivalent (assuming they exist) and to study the ADC as a means to obtain a possibly weaker, though better than currently known, version of PFR.

1.4 Open questions

From two-source to affine extractors The question of possible connections between two-source and affine extractors was first raised by Barak et al. [2005] in Section 1.4, where they say about their affine dispersers:

“Note that the new results here are quantitatively the same as our 2-source results . . . The techniques are related as well, but at this point this fact may be surprising — there seem to be little resemblance between the models, and indeed there seem to be no reductions between them in either direction. The similarity in techniques may simply be a byproduct of the fact that we were working on them in parallel, . . . however it would be interesting to find any tighter connections between the two models.”

Our results address this question only in one direction, that of constructing two-source extractors out of affine ones. The reverse direction, that of constructing in a black-box manner affine extractors from two-source ones, remains wide open. This is somewhat perplexing because we would have guessed that the two-source-to-affine part should be easier. Counting the set of distinct sources that are uniformly distributed over sets of size $2^m$ we see there are \( \left( \frac{2^n}{2^m} \right)^2 \approx 2^n - 2^m \) of them, and this is much larger than the size of the set of affine sources, of which there are at most $2^n$. All things considered it should be easier to go from extractors that work against a large set of sources to ones that work against a smaller set. We leave the problem of constructing affine extractors and dispersers from two-source ones as an interesting question for future research.

Decreasing min-entropy loss rate of affine extractors So far most work on affine extractors and dispersers has focused on reducing the min-entropy rate and significant progress has been made along this line, as surveyed in the previous section. But the question of minimizing the min-entropy loss rate of affine extractors has received much less attention. Our work shows that at least as far as two-source constructions are concerned, it is the min-entropy loss rate that should be minimized while the min-entropy rate can be set to be a pretty large constant, like $\frac{1}{2}$. It would be interesting to see if, for instance, affine extractors for small min-entropy rate and the tools used to analyze them could be converted into constructions for large min-entropy rate (like $\frac{1}{2}$) but with very small min-entropy loss rate.

Affine extractors as fundamental building blocks of extractors In recent years we have seen a number of interesting constructions of extractors for structured sources of randomness, including “bit-fixing”, “samplable” and “low-degree” sources, to name a few [Chor et al., 1985, Gabizon et al., 2006, Trevisan and Vadhan, 2000, Kamp and Zuckerman, 2007, Dvir, 2009, Dvir et al., 2009]. Our work suggests exploring the use of affine extractors in constructing extractors for these structured sources of randomness. A related
question is to construct “seeded” extractors out of affine ones. Arguably, these structured sources of randomness show very little resemblance with affine sources. But a similar objection could have been made just as well with respect to using affine extractors for building two-source ones.

2 Main results

We start by defining the main objects of study in this paper — affine and two-source extractors (and dispersers) — and to do so introduce a bit of notation.

We identify \( \{0, 1\} \) with the two-element field \( \mathbb{F}_2 \) and \( \{0, 1\}^n \) with \( \mathbb{F}_2^n \). Given \( x = (x_1, \ldots, x_n) \in \mathbb{F}_2^n \) and \( x' = (x'_1, \ldots, x'_m) \in \mathbb{F}_2^m \), let \( x \circ x' \) denote their concatenation, i.e., \( (x \circ x') = (x_1, \ldots, x_n, x'_1, \ldots, x'_m) \). For two sequences \( x, y \in \mathbb{F}_2^k \) let \( \langle x, y \rangle \) denote the \( \mathbb{F}_2 \)-bilinear form \( \langle x, y \rangle = \sum_{i=1}^k x_i \cdot y_i \), commonly referred to as the inner-product function. For \( A \subset \mathbb{F}_2^n \) let \( A^\perp \) denote the space that is dual to \( \text{span}(A) \), i.e., \( A^\perp = \{ b \in \mathbb{F}_2^n \mid \langle a, b \rangle = 0 \text{ for all } a \in A \} \). A source over \( n \) bits is a distribution \( X \) over \( \mathbb{F}_2^n \). The min-entropy of \( X \) is denoted by \( H_\infty(X) \) and the min-entropy rate of \( X \) is \( h_\infty(X) = H_\infty(X)/n \). If \( X \) is distributed uniformly over an affine subspace of \( \mathbb{F}_2^n \) of dimension \( d \) we call \( X \) a \( d \)-dimensional affine source. For a function \( f : \mathbb{F}_2^n \to \mathbb{F}_2^m \) we denote by \( f(X) \) the distribution induced on \( \mathbb{F}_2^m \) by \( f(X) \) and by \( \text{supp}(f(X)) \) the subset of \( \mathbb{F}_2^n \) on which the distribution \( f(X) \) is supported. We denote by \( f^{-1}(x) \) the set of preimages of the string \( x \) under the function \( f \). For \( A \subseteq \mathbb{F}_2^n \) we denote by \( f(A) \) the image of \( A \) under \( f \), i.e., \( f(A) = \{ f(a) \mid a \in A \} \). Throughout the paper we reserve the letter \( E \) to denote various extractors, and \( E \) denotes expectation.

**Definition 2.1** (Extractor and disperser). Let \( S \) be a set of \( N \)-bit sources. A \([N, m, S, \epsilon] \)-extractor is a function \( f : \mathbb{F}_2^N \to \mathbb{F}_2^m \) satisfying for every source \( S \in \mathcal{S} \) and \( y \in \mathbb{F}_2^m \)

\[
\left| \Pr[f(S) = y] - 2^{-m} \right| \leq \epsilon.
\]

The function \( f \) is called an \([N, m, S] \)-disperser if \( f \) is nonconstant on every source \( S \in \mathcal{S} \). An alternative definition is to say that the random variable \( f(S) \) is of size greater than 1 for every \( S \in \mathcal{S} \).

We call \( N \) the source length, \( m \) is the output length, and \( \epsilon \) is the bias error, or simply error of the extractor. We shall be interested in extractors for two special kinds of sources:

- **Two-source extractors and dispersers:** When \( N = 2n \) and \( S \) is the set of product distributions \( S = X \times Y \) where both \( X \) and \( Y \) have min-entropy rate greater than \( \rho \), we refer to \( f : \mathbb{F}_2^N \to \mathbb{F}_2^m \) as a \([n, m, \rho, \epsilon] \)-two source extractor, or \([n, m, \rho] \)-two source disperser.

- **Affine extractors and dispersers:** When \( S \) is the set of uniform distributions on affine subspaces of \( \mathbb{F}_2^N \) of dimension greater than \( \rho N \), we refer to \( f \) as a \([N, m, \rho, \epsilon] \)-affine extractor, or \([N, m, \rho] \)-affine disperser.

A parameter that will have great importance later on is the entropy loss rate defined as

\[
\lambda = \max_{S \in \mathcal{S}} \frac{1 - H_\infty(f(S))}{H_\infty(S)}.
\]

(2)

This rate measures how much relative min-entropy is lost when applying \( f \) to a source \( S \) in \( \mathcal{S} \), and smaller \( \lambda \) corresponds to better extractors, ones that retain a larger min-entropy rate.
Remark 2.2 (Bias error). We use a nonstandard measure of error in our definition of extractors, typical definitions use statistical distance between \( f(S) \) and the uniform distribution over \( \mathbb{F}_2^n \) as the error parameter. The reason for this nonstandard choice is that it will be relatively easy to analyze our constructions using this measure. For instance, we shall argue (Section 3) that existing affine extractors, which are typically stated as one-output bit extractors, can be easily converted into \( m \)-output bit extractors with a relatively small loss in bias error. And using similar reasoning we will also show how to get multi-output bit two-source extractors out of our constructions (cf. Lemma 2.20). Notice that if \( f \) is an extractor with output length \( m \) and bias error \( \epsilon \) then \( f \) has “standard”, statistical distance, error at most \( \epsilon 2^m \).

A number of explicit constructions of affine extractors have appeared in recent years [Bourgain 2007], [Gabizon and Raz 2008], [Ben-Sasson and Kopparty 2009], [DeVos and Gabizon 2009], [Li 2010]. The following one, due to Bourgain [2007] (see also Yehudayoff [2009], Li [2010]) achieves the largest amount of output bits — a linear number of them, together with an exponentially small bias error, which results in min-entropy loss rate that is strictly less than 1.

Theorem 2.3 (Bourgain’s affine extractor). For every \( \delta > 0 \) there exists \( \lambda_\delta < 1 \) that depends only on \( \delta \) such that there exists an explicit (as per Remark 2.7) family of \( [n, m = (1 - \lambda_\delta)\delta n, \delta, 2^{-\Omega(n)}] \)-affine extractors.

Notice that the min-entropy loss rate of the construction above is \( \lambda_\delta + (\delta n)^{-1} = \lambda_\delta + o(1) \).

Remark 4.4 (Multi-output bit affine extractors). The original statement in Bourgain [2007] gives a family of \([n, 1, \delta, 2^{-\Omega(n)}]\)-affine extractors, i.e., the output length is 1. It is nonetheless rather straightforward to obtain a linear number of output bits with essentially the same bias error (cf. Lemma 6.1).

2.1 Candidate two-source constructions

All results stated in this paper refer to the following two candidate constructions of two-source extractors.

Definition 2.5 (Concatenated construction). Given functions \( f, g : \mathbb{F}_2^2 \to \mathbb{F}_2^n \), the \((f, g)\)-concatenated construction is the function \( E_{f,g}^c : \mathbb{F}_2^n \times \mathbb{F}_2^m \to \mathbb{F}_2^n \) defined for \( x, y \in \mathbb{F}_2^n \) by

\[
E_{f,g}^c(x, y) = \langle (x \circ f(x)), (y \circ g(y)) \rangle.
\]

Definition 2.6 (Preimage construction). Given functions \( f, g : \mathbb{F}_2^2 \to \mathbb{F}_2^n \) let \( n' = n - m \). Let \( z, z' \in \mathbb{F}_2^n \) satisfy \( |f^{-1}(z)|, |g^{-1}(z')| \geq 2^n \). Let \( F : \mathbb{F}_2^n \to f^{-1}(z) \) and \( G : \mathbb{F}_2^n \to g^{-1}(z') \) be injective. The \((F, G)\)-preimage construction is the function \( E_{F,G}^p : \mathbb{F}_2^n \times \mathbb{F}_2^n \to \mathbb{F}_2^m \) defined for \( x, y \in \mathbb{F}_2^n \) by

\[
E_{F,G}^p(x, y) = \langle F(x), G(y) \rangle.
\]

Remark 2.7 (Explicitness). A family of functions \( \{ E_n : \mathbb{F}_2^n \to \mathbb{F}_2 \mid n \in \mathbb{N} \} \) is called explicit if there exists a polynomial time algorithm that on input \( x \in \mathbb{F}_2^n \) outputs \( E_n(x) \). The family is semi-explicit if it can be computed in time \( 2^n \cdot \text{poly}(n) \), or, in other words, the truth-table of \( E_n \) can be obtained in quasi-linear time in the size of this truth-table (which is \( 2^n \)). Assuming the functions \( f, g \) given in Definitions 2.5, 2.6 are explicit we see that the concatenated construction is also explicit. Regarding the preimage construction, it is certainly semi-explicit but not necessarily explicit — this depends on the explicitness of the injective functions \( F, G \).

\[^3\text{We call a function } t : \mathbb{N} \to \mathbb{N} \text{ quasi-linear if } t(n) = O(n \cdot \text{poly log } n).\]
Both constructions are instances of a family of functions we call $m$-bilinear according to the following definition. We will use this definition later on (in Lemma 2.18) to bound the error of our two-source extractors, assuming only the fact that they are two-source dispersers.

**Definition 2.8** ($m$-Bilinear two-source construction). A function $E : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$ is called an $m$-dimensional bilinear-composed two-source construction, or, for brevity, $m$-bilinear, if there exist two injective functions $f_1, f_2 : \{0, 1\}^n \rightarrow \{0, 1\}^m$ such that $E(x, y) = \langle f_1(x), f_2(y) \rangle$ for every $x, y \in \{0, 1\}^n$.

### 2.2 Two-source dispersers

Our first pair of results is that both the concatenated and preimage constructions are two-source dispersers, or bipartite Ramsey graphs, for min-entropy rate below half. The preimage construction can reach arbitrarily small min-entropy rate provided the entropy loss of the affine extractor is sufficiently small. For $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m$ and $m' \leq m$, the $m'$-bit projection of $f$ is obtained by taking $f'$ the first $m'$ bits of $f(x)$. Formally, if $f(x) = (y_1, \ldots, y_m)$ where $y_i \in \mathbb{F}_2$ then $f'(x) = (y_1, \ldots, y_{m'})$. To better understand the selection of parameters in the following Theorem we point out that if $f$ is an $[n, m, \delta n, m, 2^m]$-affine extractor, then for any $1 > \lambda' \geq \lambda$ and $m' = \delta(1 - \lambda')n$, the $m'$-bit projection of $f$ is an $[n, m', \delta, 2^{m'}]$-affine extractor.

**Theorem 2.9** (Concatenated two-source disperser from affine extractor). Suppose $f$ and $g$ are $[n, m = (1 - \lambda)\delta n, \delta, 2^{-m}]$-affine extractors for $\delta < \frac{1}{2}$ and $\lambda < 1$. Let $\lambda' = \max \{\lambda, \frac{1}{2} - \frac{1}{2\delta}\}$ (noticing $\lambda' < 1$) and $\rho = \frac{1 - \delta(1 - \lambda)}{2}$ (noticing $\rho < \frac{1}{2}$). Set $m' = \lceil \delta(1 - \lambda')n \rceil - 2$ and let $f', g'$ be $m'$-bit projections of $f, g$ respectively. Then $E_{f', g'}$ is a $[n, 1, \rho]$-two source disperser.

Combining Bourgain’s affine extractor for min-entropy rate $\frac{1}{5}$ and noticing that for such rate we have $\lambda' = \lambda$ in the previous theorem we get:

**Corollary 2.10** (A two-source disperser for min entropy rate below half). Take $f = g$ to be Bourgain’s $[n, m = (1 - \frac{1}{5})n/5, \frac{1}{5}, 2^{-m}]$-affine extractor, with min-entropy loss rate $\frac{3}{5} < 1$. Then the concatenated construction $E_{f', f}$ is a $[n, 1, \rho]$-two source disperser for min-entropy rate $\rho = \frac{2}{5} + \frac{3}{10} < \frac{1}{2}$.

Inspecting Theorem 2.9 we see that, even if we assume minimal loss $\lambda = 0$ and a min-entropy rate $\delta = \frac{1}{5}$ for the affine extractor which maximizes the min-entropy rate of the resulting concatenated two-source extractor, we end up with a two-source extractor for min-entropy rate $\frac{2}{5}$. This min-entropy rate barrier can be broken by the preimage construction. The following theorem says that if $f$ is an affine extractor for min-entropy rate half that has loss $\lambda$, then the preimage construction based on $f$ is a two-source disperser for min-entropy rate $\lambda/(1 + \lambda)$, a quantity that is strictly less than half for any loss rate strictly smaller than 1, and which approaches 0 for $\lambda \rightarrow 0$.

**Theorem 2.11** (Preimage two-source disperser from affine extractor). If $f$ and $g$ are $[n, m = (1 - \lambda)n/2, \frac{1}{2}, 2^{-m}]$-affine extractors and $F, G$ are as in Definition 2.6 then $E^p_{F,G}$ is a $[n', \frac{1}{2} + \lambda, 1, 1 + \lambda]$, two-source disperser.

We end this section by bounding the error of both dispersers presented above. These bounds follow from a version of the ADC that we prove in Section 4.1. This version requires $\mu^\perp(A, B)$ to be close to 1, i.e., $A, B$ have to be “nearly-dual".

---

4One can take a more general definition of an $m'$-bit projection as any function $f' : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^{m'}$ obtained by composing $f$ with $2^{-m'}$-to-1 mapping, such as a full-rank linear transformation $T : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^{m'}$ where $f'(x) = T(f(x))$. We stick with the definition above for simplicity.
Lemma 2.12 (Approximate-duality for nearly-dual sets). For every $\delta > 0$ there exists a constant $\epsilon > 0$ that depends only on $\delta$, such that if $A, B \subseteq \mathbb{F}_2^n$ satisfy $\mu^\bot(A, B) \geq 1 - \epsilon$ then there exist subsets $A' \subseteq A, \ |A'| \geq \frac{1}{2} |A|$ and $B' \subseteq B, \ |B'| \geq 2^{-\delta n} |B|$, such that $\mu^\bot(A', B') = 1$.

This lemma allows us to convert dispersers into extractors with a large, though nontrivial, bound on error:

Lemma 2.13 (Bounding the error of bilinear-composed two-source dispersers). For every $\rho, \gamma, \nu > 0$ there exists $\gamma' < 1/2$ such that the following holds for sufficiently large $n$. Every $\frac{n}{\rho}$-bilinear-composed $[n, 1, \rho]$-two-source disperser is a $[n, 1, \rho + \gamma, \gamma']$-two source extractor.

Combining this lemma with Theorems 2.9 and 2.11 gives the following corollary.

Theorem 2.14 (Nontrivial bounds on extractor error). For all $\gamma > 0$ there exists $\gamma' < 1/2$, depending only on $\gamma$ such that the following holds.

1. If $f, g$ are $[n, m = (1 - \lambda)n, \delta n, 2^{-m}]$-affine extractors, then $E^c_{f,g}$ defined in Theorem 2.9 is a $[n, 1, \rho + \gamma, \gamma']$-two source extractor for $\rho$ as defined in the same theorem.

2. If $f, g$ are $[n, m = (1 - \lambda)n/2, \frac{1}{2}, 2^{-m}]$-affine extractors and $F, G$ are as defined in Definition 2.6 then $E^c_{F,G}$ is a $[n' = \frac{1+\lambda}{1-\lambda} n, 1, \frac{\lambda}{1+\lambda} + \gamma, \gamma']$-two source extractor.

2.3 Two-source extractors assuming the polynomial Freiman Ruzsa Conjecture

Both constructions — concatenated and primage — are two-source extractors with exponentially small error if the following well-known conjecture from additive combinatorics is true.

Conjecture 2.15 (Polynomial Freiman-Ruzsa (PFR)). There exists an integer $r$ such that if $A \subseteq \mathbb{F}_2^n$ has $|A + A| \leq K |A|$, then $A$ may be covered by at most $K^r$ cosets of some subspace of size at most $K^r |A|$.

It will be easier to work with the following conjecture, which we later on show is implied by PFR. Recall the definition of the duality measure of two sets given in [1].

Conjecture 2.16 (Approximate Duality (ADC)). For every pair of constants $\alpha, \delta > 0$ there exist a constant $\zeta > 0$ and an integer $r$, both depending only on $\alpha$ and $\delta$ such that the following holds for sufficiently large $n$. If $A, B \subseteq \mathbb{F}_2^n$ satisfy $|A|, |B| > 2^{\alpha n}$ and $\mu^\bot(A, B) \geq 2^{-\zeta n}$, then there exists a pair of subsets $A' \subseteq A, \ |A'| \geq \frac{|A|}{2^{\delta n+1}}$ and $B' \subseteq B, \ |B'| \geq \left(\frac{\mu^\bot(A, B)}{2}\right)^r \cdot \frac{|B|}{2^{\delta n}}$ such that $\mu^\bot(A', B') = 1$.

Remark 2.17 (Exponential loss is necessary). It may seem that the factor $2^{-\delta n}$ appearing in the bound on the relative size of $A', B'$ can be avoided or perhaps replaced by a polynomial factor in $\mu^\bot(A, B)$. While there should be some room for improvement in the parameters of the conjecture, Shachar Lovett pointed out to us [personal communication] that a factor of $2^{-\Omega(\sqrt{n})}$ is unavoidable even for large values of $\mu^\bot(A, B)$: Take $n = 3k$ and let $S$ denote the subset of $k$-bit strings of Hamming weight $\gamma \sqrt{k}$ for constant $\gamma > 0$. Consider $A = \mathbb{F}_2^k \times S \times \{0^k\}, \ B = \{0^k\} \times S \times \mathbb{F}_2^k$. It is not hard to verify that $|A| = |B| \approx 2^{n/3}$ and $\mu^\bot(A, B) \geq \epsilon$, where $\epsilon > 0$ depends on $\gamma$ and can be set to be arbitrarily close to 1, but the largest equal-sized dual subsets of $A, B$ have size at most $2^{-\Omega(\sqrt{n})} \cdot |A|$ and $2^{-\Omega(\sqrt{n})} \cdot |B|$ respectively.
The ADC, which is implied by the PFR, allows us to argue that any \( m \)-bilinear two-source disperser is actually a two-source extractor, with exponentially small error, for roughly the same min-entropy as the disperser.

**Lemma 2.18** \((m\text{-bilinear two-source dispersers are extractors})\). Assuming ADC (Conjecture 2.16), for every \( \rho, \gamma, \nu > 0 \) there exists \( \zeta > 0 \) such that the following holds for sufficiently large \( n \): Every \( \frac{n}{\rho} \)-bilinear composed \( [n, 1, \rho] \)-two source disperser is a \( [n, 1, \rho + \gamma, 2^{-\zeta n}] \)-two-source extractor.

**Theorem 2.19** (Two-source extractors from affine ones). Assuming ADC, for every \( \delta, \lambda, \gamma > 0 \) there exists \( \zeta > 0 \) such that the following holds for sufficiently large \( n \):

1. If \( f, g \) are \( [n, m = (1-\lambda)n, \delta, 2^{-m}] \)-affine extractors then \( E_{f,g}' \) defined in Theorem 2.9 is a \( [n, 1, \rho + \gamma, 2^{-\zeta n}] \)-two source extractor for \( \rho \) defined in that theorem.

2. If \( f, g \) are \( [n, m = (1-\lambda)n/2, 1, 2^{-m}] \)-affine extractors and \( F, G \) are as defined in Definition 2.6 then \( E_{F,G}' \) is a \( [n', \frac{1+\lambda}{2}n, 1, \frac{1+\lambda}{2} + \gamma, 2^{-\zeta n}] \)-two source extractor.

We end by pointing out that since our constructions are \( \Omega(\rho n) \)-bilinear composed, and the error is exponentially small in \( \rho n \), we can use the following lemma to obtain two-source extractors that output a linear number of bits and obtains exponentially small error.

In what follows call a set of matrices \( M_1, \ldots, M_m \in \mathbb{F}_2^{n \times m} \) independent if they satisfy the following property: For every \( v_1, \ldots, v_m \in \mathbb{F}_2 \) not all zero, the matrix \( \sum_i v_i M_i \) has full rank. In Section 6 we explain how a collection of independent matrices can be obtained. There we also prove the following statement.

**Lemma 2.20** (Multi-output extractors). Let \( f, g : \mathbb{F}_2^n \to \mathbb{F}_2^n \) be such that the \( m \)-bilinear function

\[
E(x, y) = \langle f(x), g(y) \rangle
\]

is a \( [n, 1, \rho, \epsilon] \)-two source extractor. Then for \( t \leq m \), the function \( E : \mathbb{F}_2^n \times \mathbb{F}_2^n \to \mathbb{F}_2^t \) defined by

\[
E(x, y) = (\langle f(x), M_1 g(y) \rangle, \ldots, \langle f(x), M_t g(y) \rangle)
\]

is an \( [n, t, \rho, \epsilon] \)-two source extractor.

### 2.4 On the polynomial Freiman Ruzsa and approximate duality conjectures

We end the description of our main results by describing the relationship between the PFR and ADC. We have already said that the PFR conjecture implies the ADC one. To prove this implication it is crucial to us that the exponent \( r \) in the PFR conjecture be close to 1, i.e., that the polynomial Freiman Ruzsa” conjecture be nearly-linear. To achieve this, we are willing to assume not only that \( 2A \) is small but even that \( \ell A = \{ \sum_{i=1}^{\ell} a_i \mid a_i \in A \} \) is small for some constant \( \ell > 2 \). In other words, to prove the ADC what we really need is the *Nearly-linear Freiman Ruzsa (NLFR) conjecture*:

**Conjecture 2.21** (Nearly-linear Freiman-Ruzsa (NLFR)). For every \( \rho > 0 \) there exists an integer \( \ell \) which depends only on \( \rho \), such that if \( A \subset \mathbb{F}_2^n \) has \( |\ell A| \leq K |A| \), then \( A \) may be covered by at most \( K^\rho \) cosets of some subspace of size at most \( K |A| \).

In Section 5 we show that the NLFR and PFR are equivalent. (The implication NLFR \( \Rightarrow \) PFR is relatively easy but the other direction is nontrivial.) We also show that NLFR \( \Rightarrow \) ADC. Regarding the reverse direction, we show that the ADC implies the following weaker form of PFR:
**Conjecture 2.22** (Weak PFR for dense sets (wPFR)). *For every $1 > \alpha' > 0$ and $1 > \delta' > 0$, there exists an integer $r'$ which depends only on $\alpha'$ and $\delta'$, such that if $A \subset \mathbb{F}_2^n$ has $2^{\alpha'n} \leq |A| \leq 2(1-\alpha')^n$ and $|A + A| \leq K|A|$, then $A$ may be covered by at most $2^{\delta'n+1} \cdot K$ cosets of some subspace of size at most $2^{\delta'n}(2K)^r'|A|$.\]

The above conjecture differs from the standard PFR conjecture in two ways. First, in the above conjecture the set $A$ must be of high density, and the exponent $r'$ depends on the density of the set. Second, the number of cosets and the size of the subspace are multiplied by an exponential factor. However, this exponential factor can set to be arbitrarily small, at the cost of enlarging $r'$.

The relation between these conjectures can be summarized by:

$$(\text{PFR} \iff \text{NLFR}) \implies \text{ADC} \implies \text{wPFR}$$

The current state-of-affairs regarding PFR and ADC deserves further thought. It could be that ADC is equivalent to wPFR. The exponential loss necessary in the ADC (cf. Remark 2.17) may offer some support to this belief. And if the ADC is strictly weaker than PFR it may an easier conjecture to settle. We have shown here that it would imply better two-source extractors, and the wPFR implied by it could be sufficient for some of the other applications of the PFR (see Green [2005a] for a survey of some of them).

### 2.5 Organization of the rest of the paper

The proofs of our main results appear in the next two sections. In the next section we first prove that our constructions are two-source dispersers and then in Section 4 show that this also implies that they are extractors for roughly the same min-entropy. In Section 5 we discuss the relation between PFR, NLFR and ADC in more detail. Finally, in Section 6 we study the bias error of existing affine extractor and multi-output two-source extractors arising from bilinear-composed constructions.

## 3 From affine extractors to two-source dispersers

In this section we prove that plugging an affine extractor with sufficiently good parameters into our two-source constructions results in a two-source disperser for min-entropy rate that is related to the min-entropy rate and loss rate of the affine extractor.

### 3.1 Concatenated two-source disperser — Proof of Theorem 2.9

The main step in the proof is the following lemma. Before proving the lemma we show how it implies Theorem 2.9. For $S \subseteq \mathbb{F}_2^n$ we denote by $\dim(S)$ the dimension of $\text{span}(S)$.

**Lemma 3.1** (Affine extractors lead to dimension expansion). *Suppose $f$ is an $[n,m,\delta,2^{-m}]$-affine extractor. Then for every $S \subseteq \mathbb{F}_2^n$ of size greater than $2^{m+h_n}$, denoting \[ \overline{S} = \{ (x \circ f(x)) | x \in S \}, \]

we have \[ \dim(\overline{S}) \geq \lfloor \log |S| \rfloor + m - 1. \]
Proof of Theorem 2.9: Our setting of \( \lambda' = \max \{ \lambda, \frac{5}{3} - \frac{1}{38} \} \) and \( \rho = \frac{1-\delta(1-\lambda')}{2} \) implies that
\[
\rho \geq \delta(2-\lambda').
\] (5)

Given two \( n \)-bit sources \( X, Y \) of min-entropy rate greater than \( \rho \) let \( S, T \subseteq \mathbb{F}_2^n \) denote their respective supports. Recalling \( m' = \delta(1 - \lambda)n - 2 \) we conclude from (5) that
\[
|S|, |T| > 2^\rho m \geq 2^{\delta n + m'}.
\]
Letting \( \overline{S} = \{(x \circ f(x))|x \in S\} \) and \( \overline{T} = \{(y \circ g(y))|y \in T\} \), Lemma 3.1 implies
\[
\dim(\overline{S}), \dim(\overline{T}) > \rho m + m' - 1 \geq \frac{n + m'}{2}.
\]
The last inequality follows because \( \rho m > \frac{n-m'}{2} + 1 \). We conclude that \( \dim(\overline{S}) + \dim(\overline{T}) > m' + n + 1 \) which means that \( \text{span}(\overline{S}) \) is not contained in an affine coset of \( (\text{span}(\overline{T}))^\perp \). So \( \overline{S} \) is not contained in an affine coset of \( (\overline{T})^\perp \) and this shows that \( E_{f',g'}(S,T) \) is non-constant, thereby completing the proof. \( \square \)

And now we give the proof of Lemma 3.7

Proof of Lemma 3.7: Denote \( \dim(S) \) by \( s \) and \( \dim(\overline{S}) \) by \( s + r \), noticing \( s \geq m + \delta n \) and \( 0 \leq r \leq m \). To prove the lemma we will show
\[
s + r \geq \lfloor \log |S| \rfloor + m.
\]
Start with a basis for \( \text{span}(\overline{S}) \) and use Gaussian elimination to make the first \( r \) elements of this basis, denoted \( v_1, \ldots, v_r \), have their support in the last \( m \) bits. The \( s \) remaining basis elements can be partitioned into two sets, those whose last \( m \) bits lie in the span of \( v_1, \ldots, v_r \), and those whose last \( m \) bits do not lie in this span. Denote the former basis elements (whose last \( m \) bits lie in the span of \( v_1, \ldots, v_r \)) by \( u_1, \ldots, u_{s_0} \), and the latter ones by \( w_1, \ldots, w_{s_1} \). We further assume \( u_1, \ldots, u_{s_0} \) to have their support in the first \( n \) bits.

We have \( s_1 \leq m \) and \( s_0 + s_1 = s \). Let \( V = \text{span}(\{v_1, \ldots, v_r\}) \) and define \( U, W \) analogously. Let \( \pi_0 : \mathbb{F}_2^{n+m} \rightarrow \mathbb{F}_2^n \) be the linear operator which projects \( \mathbb{F}_2^{n+m} \) onto the last \( m \) bits\(^5\) and let \( \pi_1 : \mathbb{F}_2^{n+m} \rightarrow \mathbb{F}_2^n \) be the projection onto the first \( n \) bits.

The crucial observation is that, assuming \( \overline{S} \subseteq \text{span}(V \cup U \cup W) \), we see that \( f(S) \subseteq \pi_0(V + W) \). Furthermore, for each \( z = \pi_0(v + w) \in \pi_0(V + W) \) which is a possible output of \( f \) on \( S \) — there are at most \( 2^{r+s_1} \) such \( z \)'s — the set of preimages of \( z \) lies in the affine space \( \pi_1(w + U) \). This affine space has dimension \( s_0 = s - s_1 \geq s - m \) because \( s_1 \leq m \). By definition of \( m \) and the assumption on \( s \) we have \( s - m \geq \delta n \) which implies \( \dim(\pi_1(w + U)) \geq \delta n \). Assuming \( f \) is an \([n, m, \delta, 2^{-m}]\)-affine extractor we bound the size of the preimage of \( z \) within \( S \) by
\[
|f^{-1}(z) \cap S| \leq |f^{-1}(z) \cap \pi_1(w + U)| \leq 2^{s_0 - m + 1}.
\]
Summing up, the size of \( S \) is bounded by the size of \( f(S) \) times the size of the preimage set of each element of \( f(S) \), i.e.,
\[
|S| \leq 2^{r + s_1} \cdot 2^{s_0 - m + 1}.
\]
The proof is completed by taking logarithm of both sides and recalling \( s = s_0 + s_1 \). \( \square \)

\(^5\)Formally, letting \( \{e_1^{(t)}, \ldots, e_{n+1}^{(t)}\} \) denote the standard basis for \( \mathbb{F}_2^n \) and representing elements of \( \mathbb{F}_2^{n+m} \) in this basis for \( t = n + m \), we define \( \pi_0(\sum_{i=1}^{n+m} a_i e_i^{(n+m)}) = \sum_{i=n+1}^{n+m} a_i e_i^{(m)} \) and \( \pi_1(\sum_{i=1}^{n+m} a_i e_i^{(n+m)}) = \sum_{i=1}^{n+m} a_i e_i^{(n)} \).
3.2 Preimage two-source dispersers — Proof of Theorem 2.11

Proof of Theorem 2.11 Let \( m = \frac{1-\lambda}{2} n \) and recall \( n' = n - m = \frac{1+\lambda}{2} n \). Let \( z, z' \in \mathbb{F}_2^n \) be the strings from Definition 2.6, such that \( F \) is an injective mapping of \( \mathbb{F}_2^n \) into \( f^{-1}(z) \) and \( G \) is an injective mapping of \( \mathbb{F}_2^n \) into \( f^{-1}(z') \). Given two \( n' \)-bit sources \( X, Y \) of min-entropy rate greater than \( \frac{\lambda}{1+\lambda} \) let \( S, T \subseteq \mathbb{F}_2^n \) denote the respective supports of \( F(X), G(Y) \), noticing \( |S|, |T| > 2^{\frac{\lambda}{1+\lambda} n'} = 2^\frac{\lambda}{2} n \). We shall show that \( \dim(S), \dim(T) > \frac{n}{2} \), hence \( F(X), G(Y) \) are not contained in affine shifts of dual spaces, thereby completing our proof.

By symmetry it suffices to prove that \( S \) is not contained in any affine space of dimension \( n/2 \). Let \( A \) be such a space. By Definition 2.1 we get

\[
|A \cap S| \leq |A \cap f^{-1}(z)| \leq 2 \cdot 2^{-\frac{1-\lambda}{2} n} \cdot 2^n = 2^\frac{\lambda}{2} n.
\]

We conclude that \( S \not\subseteq A \) and since this holds for all affine spaces of dimension \( n/2 \) our proof is complete. \( \square \)

4 From two-source dispersers to extractors

In this Section we prove Lemma 2.13 which shows that any bilinear-composed two-source disperser is actually a two-source extractor with a nontrivial, alas large, bound on the bias error. This result is implied by the Approximate-Duality Lemma for nearly-dual sets (Lemma 2.12) which we prove first. Then in Section 4.2 we show that assuming ADC our two-source dispersers are actually extractors with exponentially small error. And now for the details.

4.1 Bounding disperser bias by approximate duality for nearly-dual sets

We start with the proof of the approximate duality for nearly-dual sets (Lemma 2.12) and then prove Lemma 2.13 which is implied by it. We shall need the notion of the spectrum of a set which we take from [Tai and Vu 2006, Chapter 4]. This concept will be used also later on in the proof of ADC \( \Rightarrow \) wPFR in Section 5.3.

Definition 4.1 (Spectrum). For a set \( B \subseteq \mathbb{F}_2^n \) and \( \alpha \in [0, 1] \) let the \( \alpha \)-spectrum of \( B \) be the set

\[
\text{spec}_\alpha(B) := \left\{ x \in \mathbb{F}_2^n \mid \mathbb{E}_{b \in B} \left[ (-1)^{(x,b)} \right] \geq \alpha \right\}.
\]

Proof of Lemma 2.12 We assume without loss of generality that \( \mathbb{E}_{a \in A, b \in B} \left[ (-1)^{(a,b)} \right] > 0 \), the proof for the case in which \( \mathbb{E}_{a \in A, b \in B} \left[ (-1)^{(a,b)} \right] < 0 \) is similar. Let \( A' = A \cap \text{spec}_{1-2\epsilon}(B) \). The assumption \( \mu^1(A, B) \geq 1 - \epsilon \) together with Markov’s inequality shows \( |A'| \geq \frac{1}{2} |A| \).

The idea of the proof is as follows. The elements of \( A' \) partition \( \mathbb{F}_2^n \) into affine cosets of \( A'^\perp \). Let \( a_1, \ldots, a_d \in A' \) form a basis for \( \text{span}(A') \). We argue that since \( A' \subseteq \text{spec}_{1-2\epsilon}(B) \), most elements of \( B \) must belong to affine cosets \( H \) of \( A'^\perp \) for which \( \mu^1(H, \{a_1, \ldots, a_d\}) \) is large. Then we argue that there are not too many such cosets, and hence there exists an affine coset of \( A'^\perp \) which contains a large fraction of the elements in \( B \). This will imply the existence of a large set \( B' \) for which \( \mu^1(A', B') = 1 \). Details follow.

In what follows let \( H : (0, 1) \to (0, 1) \) denote the binary entropy function given by:

\[
H(p) = p \log \frac{1}{p} + (1-p) \log \frac{1}{1-p}
\]

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Choose $0 < \epsilon \leq \frac{1}{3}$ such that $H(\sqrt{2}\epsilon) < \delta$. Let $\alpha = \frac{d}{n}$ denote the fractional dimension of span $(A')$. For $b \in \mathbb{F}_2^n$ let $w(b)$ denote the fraction of zeros in the set $\{(b, a_i) \mid i \in \{1, 2, \ldots, d\}\}$, i.e.,

$$w(b) = \frac{|\{(b, a_i) = 0 \mid i \in \{1, 2, \ldots, d\}\}|}{d}.$$ 

Since $a_1, \ldots, a_d$ are all contained in $\text{spec}_{1-2\epsilon}(B)$ we have $E_{b \in B} [w(b)] \geq 1 - 2\epsilon$. From Markov’s inequality, this implies that at least $(1 - \sqrt{2\epsilon})$-fraction of $b$’s in $B$ have weight at least $1 - \sqrt{2\epsilon}$. We let $\tilde{B}$ denote the subset of $B$ which contains all elements in $B$ with weight at least $1 - \sqrt{2\epsilon}$.

Now observe that $a_1, a_2, \ldots, a_d$ partition $\mathbb{F}_2^n$ into $2^d = 2^{an}$ sets, where each set is an affine shift of $\{a_1, a_2, \ldots, a_d\}^\perp$ and all elements in the set have the same weight. In particular, for every $0 \leq t \leq \alpha n$, there are precisely $\binom{n}{t}$ sets of weight $1 - \frac{t}{an}$. Our main observation here is that since all the elements in $\tilde{B}$ are of very high weight, they cannot participate in too many different affine shifts, and in particular there exists one such affine shift which contains a large fraction of $b$’s in $\tilde{B}$. More precisely, we are forced to pick $\tilde{B}$ from sets of weight at least $1 - \sqrt{2\epsilon}$, and we have that the number of such sets is at most:

$$\sum_{0 \leq t \leq \sqrt{2\epsilon} an} \binom{an}{t} \leq 1 + \sqrt{2\epsilon} an \cdot \left(\frac{an}{2^{an}}\right) = 2\left(H(\sqrt{2\epsilon}) + o(1)\right) an \leq 2\left(H(\sqrt{2\epsilon}) + o(1)\right) n,$$

where the first inequality is due to our choice of $\epsilon \leq \frac{1}{3}$, which implies $\sqrt{2\epsilon} an \leq \frac{1}{3} an$. This in turn implies the existence of an affine shift of $\{a_1, a_2, \ldots, a_d\}^\perp$ which contains at least a $2^{-\left(H(\sqrt{2\epsilon}) + o(1)\right)n}$ fraction of $b$’s in $\tilde{B}$. Let $\hat{B}$ denote the subset of $\tilde{B}$ which is contained in this affine shift. Recalling we set $\delta > H(\sqrt{2\epsilon})$ we get for sufficiently large $n$

$$|\hat{B}| \geq 2^{-\left(H(\sqrt{2\epsilon}) + o(1)\right)n} \cdot |	ilde{B}| \geq 2^{-\left(H(\sqrt{2\epsilon}) + o(1)\right)n} \cdot |B| \geq 2 \cdot 2^{-\delta n} |B|.$$ 

We have almost concluded the proof. We have at hand a pretty large set $\hat{B}$ that is contained in $a + A' = \{a + a' \mid a' \in A'\}$ for some $a \in \mathbb{F}_2^n$. Partition $\hat{B}$ into $\hat{B}_0 = \{b \in \hat{B} \mid \langle b, a \rangle = 0\}$ and $\hat{B}_1 = \{b \in \hat{B} \mid \langle b, a \rangle = 1\}$. To complete the proof of the lemma take $B'$ to be the larger of $\hat{B}_0, \hat{B}_1$ and notice $|B'| \geq 2^{-\delta n} |B|, |A'| \geq \frac{1}{2} |A|$ and $\mu^\perp(B', A') = 1$. \hfill \Box

**Proof of Lemma 2.13** Let $\delta = \nu \gamma$ and set $\gamma' = \frac{1}{\nu \epsilon}$ where $\epsilon = \epsilon(\delta) > 0$ is the constant guaranteed by Lemma 2.12. We argue by way of contradiction. Let $X$ and $Y$ be two sources of min-entropy rate $> \rho + \gamma$ which we assume without loss of generality to be uniformly distributed over sets $A, B$ respectively, each of size greater than $2^{(\rho + \gamma)n} = 2^{\nu(\rho + \gamma)n}$, and for which the error of $E(X, Y)$ is greater than $\gamma'$.

Assuming $E$ is an $m$-bilinear composed two-source construction there exist bijective functions $f_1, f_2 : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$ such that $E(x, y) = (f_1(x), f_2(y))$. Let $\mathcal{A} = \{f_1(a) \mid a \in A\}$ and $\mathcal{B} = \{f_2(b) \mid b \in B\}$. Assuming the bias error of $E(X, Y)$ is greater than $\frac{1}{2^\epsilon}$, is equivalent to saying $\mu^\perp(\mathcal{A}, \mathcal{B}) > 1 - \epsilon$. Consequently, Lemma 2.12 implies the existence of subsets $A' \subseteq \mathcal{A}, |A'| \geq \frac{1}{2} |\mathcal{A}|$ and $B' \subseteq \mathcal{B}, |B'| \geq 2^{-\gamma mn} |\mathcal{B}| \geq 2^m$ such that $\mu^\perp(A', B') = 1$.

Let $\hat{A} := f_1^{-1}(A'), \hat{B} := f_2^{-1}(B')$. Then $\hat{A}$ and $\hat{B}$ are sets of size at least $2^m$ each, such that $|E(\hat{A}, \hat{B})| = 1$, contradiction. \hfill \Box

### 4.2 Exponentially small bounds on bias using the approximate duality conjecture

We now show that, assuming ADC, our two-source dispersers are extractors with exponentially small error.
Proof of Lemma 2.18. Let $E : \mathbb{F}_2^n \times \mathbb{F}_2^n \to \mathbb{F}_2$ be the $m$-bilinear $[n, 1, \rho]$ two-source disperser defined by $E(x, y) = \langle f_1(x), f_2(y) \rangle$ and recall $\nu = \frac{a}{m}$. Let $\xi'$ and $\rho$ be the constant and the integer guaranteed by Conjecture 2.16 for the constants $\alpha' = (\rho + \gamma)\nu$ and $\gamma = \frac{\nu}{\delta}$. and let $\zeta = \min\{\frac{e}{\nu}, \frac{\gamma}{\rho}\}$. Our proof goes by way of contradiction, along the lines of the proof of Lemma 2.13.

Let $X$ and $Y$ be two $n$-bit sources of min-entropy rate $> \rho + \gamma$, we assume without loss of generality these sources to be uniform distributions over sets $A, B$ respectively, each of size greater than $2^{(\rho+\gamma)n}$. Let $\overline{A} = \{f_1(a) \mid a \in A\}$ and $\overline{B} = \{f_2(b) \mid b \in B\}$. Notice $\overline{A}, \overline{B} \subseteq \mathbb{F}_2^n$ and $|\overline{A}|, |\overline{B}| \geq 2^{(\rho+\gamma)n} = 2^m$. Assume by way of contradiction that the error of $E(X, Y)$, which equals $\frac{1}{2} \mu^{\perp}(\overline{A}, \overline{B})$, is greater than $2^{-\zeta n} \geq 2^{-\zeta^m}$. Applying ADC to $\overline{A}, \overline{B}$ we conclude the existence of subsets $A', B' \subseteq \overline{A}, B' \subseteq \overline{B}$ such that $\mu^{\perp}(A', B') = 1$ and $A', B'$ are quite large,

$$|A'| \geq \frac{|\overline{A}|}{2^{\delta m+1}} > 2^m \quad \text{and} \quad |B'| \geq \frac{|\overline{B}|}{2^{\delta m+\rho(\zeta n+1)}} \geq \frac{|\overline{B}|}{2^{\gamma(\frac{1}{3}+\frac{1}{2})n+r}} \geq 2^{\rho n}.$$

The second inequality regarding $|B'|$ above follows from the definition of $\delta$ and $\zeta$ and the last inequality holds for sufficiently large $n$. But assuming $f_1, f_2$ are injective we deduce that $X', Y'$, which are uniformly distributed over $f_1^{-1}(A')$ and $f_2^{-1}(B')$, are a pair of $n$-bit sources of min-entropy rate greater than $\rho$ on which $E$ is constant, contradiction. \hfill \Box

5 On the approximate duality, nearly-linear, and polynomial Freiman Ruzsa conjectures

In this section we study the relation between the PFR, NLFR, and ADC. We shall prove the following relations between these conjectures:

$$(\text{PFR} \iff \text{NLFR}) \implies \text{ADC} \implies w\text{PFR}$$

We start by showing the equivalence of PFR and NLFR in the next two sections. Then, in Section 5.3 we move to the rightmost implication. We end in Section 5.4 with the most complicated proof, that of the middle implication (NLFR $\implies$ ADC).

5.1 The nearly-linear Freiman Ruzsa conjecture implies the polynomial one

The implication NLFR $\implies$ PFR is a relatively easy consequence of the following inequality of Plunnecke [1969], a new proof of which was found by Ruzsa [1989].

Theorem 5.1 (Plunnecke’s inequality). Let $A, B$ be finite sets in a commutative group, and suppose that $|A + B| \leq K|A|$. Then for arbitrary nonnegative integers $m, n$ we have:

$$|mB - nB| \leq K^{m+n}|A|$$

In Conjecture 2.21 (NLFR) choose $\rho = 1$ and let $\ell$ be the integer guarantied by this conjecture for $\rho = 1$. Assuming $|A + A| \leq K|A|$, Theorem 5.1 implies that $|\ell A| \leq K^{\ell}|A|$. So NLFR (Conjecture 2.21) implies that $A$ may be covered by at most $K^\ell$ cosets of some subspace of size at most $K^\ell|A|$. This shows NLFR $\implies$ PFR.
5.2 The polynomial Freiman Ruzsa conjecture implies the nearly-linear one

For this implication, as well as for proofs that appear later on, we will need Ruzsa’s covering lemma, appearing in [Tao and Vu, 2006] as Lemma 2.14.

**Lemma 5.2 (Ruzsa’s covering lemma).** Let $A, B$ be subsets of an abelian group such that $|A + B| \leq K|A|$. Then there is a set $X \subseteq B$, $|X| \leq K$, such that $B \subseteq A - A + X$.

This powerful lemma has a short and elegant proof, which we bring here for the sake of completeness.

**Proof of Lemma 5.2.** Pick a maximal set $X \subseteq B$ such that the sets $A + x$, $x \in X$, are pairwise disjoint. Since $\bigcup_{x \in X} (A + x) \subseteq A + B$, we have that $|A||X| \leq K|A|$, which implies that $|X| \leq K$. Suppose that $b \in B$. By maximality there must be some $x \in X$ such that $(A + b) \cap (A + x) \neq \emptyset$, which means that $b \in A - A + X$.

To show PFR $\Rightarrow$ NLFR let $\rho > 0$ be the constant stated in NLFR (Conjecture 2.21) and let $r$ be the constant guaranteed by PFR (Conjecture 2.15). Choose the integer $\ell$ referred to in NLFR to be the smallest power of 2 satisfying

$$\left(\frac{1}{1 + \rho/(4r)}\right)^{\log(\ell)} \leq \frac{\rho}{r}. \tag{6}$$

We say that a set $B$ expands under addition with respect to $A$ if

$$\frac{|B + B|}{|A|} \geq \left(\frac{|B|}{|A|}\right)^{1+\rho/(4r)}. \tag{7}$$

The idea of the proof is the following: for every integer $1 \leq t \leq \log(\ell)$ we check whether $B_t := 2^t A$ expands under addition with respect to $A$. The proof splits into two cases. The first is the case in which $B_t$ expands under addition with respect to $A$ for all $t$, namely the size of $B_{t+1} = B_t + B_t$ is large compared to the size of $B_t$ for all $t$. In this case we shall see that the size of $B_1 = 2A$ is very small compared to the size of $B_{\log(\ell)} = \ell A$. Applying PFR to the set $A$ we conclude that it can be covered by a few cosets of a small subspace. The second case is the case in which there exists some integer $t$ for which $B_t$ does not expand under addition with respect to $A$. In this case we have that $B_{t+1} = B_t + B_t$ is not too large compared to the size of $B_t$. Applying PFR to the set $B_t$ together with Ruzsa’s covering lemma we conclude that in this case too $A$ can be covered by a few cosets of a small subspace. Details follow.

**Case I — All sets $B_t$ expand under addition with respect to $A$:** Equation (7) applied to $t = 1 \ldots \log \ell$ gives

$$\frac{|B_1|}{|A|} \leq \left(\frac{|B_2|}{|A|}\right)^{1/(1+\rho/(4r))} \leq \left(\frac{|B_3|}{|A|}\right)^{(1/1+\rho/(4r))} \leq \ldots \leq \left(\frac{|B_{\log(\ell)}|}{|A|}\right)^{(1/1+\rho/(4r))^{\log(\ell)}}.$$

The assumption $|\ell A| \leq K|A|$ gives

$$\frac{2A}{|A|} \leq \left(\frac{|\ell A|}{|A|}\right)^{(1/1+\rho/(4r))^{\log(\ell)}} \leq K(1+\rho/(4r))^{\log(\ell)} \leq K^{\rho/r}$$

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where the last inequality is due to our choice of $\ell$ in Equation (6).

We conclude that in this case $|2A| \leq K^{\rho|r|}|A|$. Applying PFR (Conjecture 2.15) we conclude that $A$ may be covered by $K^\rho$ cosets of some subspace of size at most $K^\rho|A|$, and this shows PFR $\Rightarrow$ NLFR with even better parameters than stated in NLFR (Conjecture 2.21).

**Case II — There exists $B_t$ which does not expand under addition with respect to $A$:** For this $t$ we have

$$|B_t + B_t| \leq \left( \frac{|B_t|}{|A|} \right)^{\rho/(4r)} |B_t|$$

(8)

Applying PFR (Conjecture 2.15) to the set $B_t$ we conclude that it may be covered by $\left( \frac{|B_t|}{|A|} \right)^{\rho/4}$ cosets of a subspace $L$ of size at most $\left( \frac{|B_t|}{|A|} \right)^{\rho/4} |B_t|$. By the pigeonhole principle there exists a set $\tilde{A} \subseteq B_t$ which is contained in an affine shift of $L$ — denote this shift by $a + L$ — such that

$$|\tilde{A}| \geq \left( \frac{|B_t|}{|A|} \right)^{-\rho/4} |B_t|$$

(9)

and

$$|L| \leq \left( \frac{|B_t|}{|A|} \right)^{\rho/4} |B_t| \leq \left( \frac{|B_{\log(t)}|}{|A|} \right)^{1+\rho/4} |A| = \left( \frac{|\ell A|}{|A|} \right)^{1+\rho/4} |A| \leq K^{1+\rho/4}|A|.$$  

(10)

The last inequality follows from our assumption that $|\ell A| \leq K|A|$. We shall apply Ruzsa’s Covering Lemma 5.2 with the sets $A$ and $\tilde{A}$, so we compute

$$|A + \tilde{A}| \leq |A + B_t| \quad \text{(since $\tilde{A} \subseteq B_t$)}$$

$$\leq |B_{t+1}| \quad \text{(since an affine shift of $A$ is contained in $B_t$)}$$

$$\leq \left( \frac{|B_t|}{|A|} \right)^{\rho/(4r)} |B_t| \quad \text{(by Equation (8))}$$

$$\leq \left( \frac{|B_t|}{|A|} \right)^{\rho/(4r)} \left( \frac{|B_t|}{|A|} \right)^{\rho/4} |\tilde{A}| \quad \text{(by Equation (9))}$$

$$\leq \left( \frac{|B_t|}{|A|} \right)^{\rho/2} |\tilde{A}| \leq \left( \frac{|\ell A|}{|A|} \right)^{\rho/2} |\tilde{A}| \leq K^{\rho/2} |\tilde{A}| \quad \text{(by the assumption $|\ell A| \leq K|A|$)}$$

Ruzsa’s covering Lemma 5.2 now implies the existence of a set $X \subseteq A$ of size at most $K^{\rho/2}$ such that

$$A \subseteq X + \tilde{A} - \tilde{A} \subseteq X + (a + L) - (a + L) = X + L$$

Concluding, in this case we have that $A$ may be covered by at most $K^{\rho/2}$ cosets of the subspace $L$, where $|L| \leq K^{1+\rho/4}|A|$ (Equation (10)). Finally, if we write $L$ as a direct sum of subspaces $L'$ and $L''$, where $L''$ is a subspace of size $K^{\rho/4}$, and let $X' = X + L''$, we get that $A$ may be covered by at most $K^{3\rho/4}$ cosets of the subspace $L'$, where $|L'| \leq K|A|$ (the cosets are of the form $x' + L'$ where $x' \in X'$).
5.3 The approximate duality conjecture implies the weak polynomial Freiman Ruzsa conjecture

To prove this implication we need to recall the definition of the spectrum of a set given in Definition 4.1. Our proof uses the following lemma from [10] (appearing there as Lemma 4.38) which shows that a set having a small sum set must have large spectrum:

**Lemma 5.3 (Small sumset forces large spectrum).** Let $A$ be a subset of a finite abelian group $Z$, and let $0 < \epsilon \leq 1$. Then we have the following lower bound on the sum set:

$$|A - A| \geq \frac{|A||Z|}{|A||\text{spec}_1(A)| + |Z|\epsilon^2}$$

We shall also need the following easy consequence of Ruzsa’s Covering Lemma 5.2:

**Lemma 5.4 (Covering).** Suppose that $A \subset \mathbb{F}_2^n$ is a subset with the property that $|A + A| \leq K|A|$. Suppose furthermore that there exists a subset $A'$ of $A$ of size at least $\frac{1}{K^2}|A|$, such that $|\text{span}(A')| \leq K_2|A|$. Then $A$ may be covered by at most $K K_1$ cosets of a subspace of size at most $K_2|A|$.

**Proof.** We apply Ruzsa’s covering lemma to the sets $A'$ and $A$:

$$|A + A'| \leq |A + A| \leq K|A| \leq K K_1|A'|$$

Hence Ruzsa’s covering lemma implies the existence of a subset $X$ of size at most $K K_1$ such that $A \subseteq X + A' - A'$. The proof is completed by noticing that $A' - A'$ is contained in a subspace of size at most $K_2|A|$. $\square$

The idea of the proof of ADC $\Rightarrow$ wPFR is as follows. Lemma 5.4 implies that it is enough to prove that if $A$ has a small sum set then there exists a large subset $A'$ of $A$ which has small span. Suppose that $A$ has a small sum set. Then Lemma 5.3 implies that $A$ has large spectrum, denote the spectrum set by $B$. Assuming the approximate-duality conjecture, we have that $A$ and $B$ contain large subsets $A', B'$ respectively which lie in affine shifts of dual subspaces. But this implies in turn that $\dim(A') \leq n - \dim(B')$, i.e. $A'$ has a small span, and setting the parameters correctly we arrive at the desired result. Now for the details and we start by setting our parameters.

Let $\alpha := \alpha'/2$, $\delta := \delta'$, and let $\zeta$ and $r$ be the constant and the integer guaranteed by Conjecture 2.16 for the constants $\alpha$ and $\delta$. We will show next that $|A|$ may be covered by at most $2\delta' n + 1 \cdot K$ cosets of a subspace of size at most $2\delta' n (2K)^{r'}$, where $r' := \max\left\{\frac{1}{\zeta}, \frac{4}{\alpha'}, r + 2\right\}$.

First we observe that without loss of generality we may assume that

$$K \leq \min\left\{2^{\alpha'/4}, 2^{\zeta n}\right\}$$

(11)

since otherwise from our choice of $r'$ we have that $K^{r'} \geq 2^n$, and hence the PFR conjecture holds trivially.

Next, in Lemma 5.3 set $\epsilon = 1/K$. Then from the lemma and the assumption that $|A + A| \leq K|A|$ we have:

$$K|A| \geq |A - A| \geq \frac{|A|^2}{|A||\text{spec}_{1/K}(A)| + 2^n K^{-2}}$$

And rearranging we obtain:

$$|\text{spec}_{1/K}(A)| \geq \frac{2^n}{|A|K} \cdot \frac{K - 1}{K} \geq \frac{2^n}{|A|K^2} \tag{12}$$
We would like to apply ADC (Conjecture [2.16]) to the sets $A$ and $\text{spec}_{1/K}(A)$. We obviously have that $\mu_{\perp}(A, \text{spec}_{1/K}(A)) \geq 1/K$, where $1/K \geq 2^{-\alpha n}$ (Equation (11)). Also, our choice of $K \leq 2^{\alpha n/4}$ in [11] and our assumption that $|A| \leq 2^{(1-\alpha')n}$, together with Equation (12) imply that

$$|\text{spec}_{1/K}(A)| \geq \frac{2^n}{|A|K^2} \geq \frac{2^n}{2(1-\alpha)n2\alpha n/4} = 2^{\alpha n/2} = 2^\alpha n$$

where the last equality is due to our choice of $\alpha$.

Our choice of $\alpha$, together with our assumption that $A$ is of size at least $2^\alpha n$, also imply that $A$ is of size at least $2^\alpha n$. Hence ADC (Conjecture [2.16]) implies the existence of subsets $A' \subseteq A$, $B' \subseteq \text{spec}_{1/K}(A)$ which lie in affine shifts of dual spaces such that

$$|A'| \geq \frac{|A|}{2^{\alpha n+1}}, \quad |B'| \geq \frac{|\text{spec}_{1/K}(A)|}{(2K)^r2^{\delta n}}.$$

respectively.

But this implies in turn that $\dim(A') + \dim(B') \leq n$, and consequently

$$|\text{span}(A')| \leq \frac{2^n}{|B'|} \leq \frac{(2K)^r2^{\delta n} \cdot 2^n}{|\text{spec}_{1/K}(A)|} \leq 2^{\delta n}(2K)^r2^{\delta n}$$

where the last inequality is due to Equation (12).

Set $K_1 = 2^{\delta n+1}$ and $K_2 = 2^{\delta n}(2K)^r$ recalling $r' > r + 2$. We have $|\text{span}(A')| \leq K_2|A|$ where $A'$ is a subset of $A$ of size at least $|A|/K_1$. Using Lemma 5.4 and recalling $\delta' = \delta$ we conclude $A$ can be covered by $\leq 2^{\delta n+1}$. $K$ cosets of $\text{span}(A')$ which is of size $\leq 2^{\delta n}(2K)^r|A|$ and this completes the proof of ADC $\Rightarrow$ wPFR.

5.4 The polynomial Freiman Ruzsa conjecture implies the approximate duality conjecture

Our proof of the implication $\text{PFR} \Rightarrow \text{ADC}$ uses the following lemma, which shows that whenever $\mu_{\perp}(A, B)$ is sufficiently large we can find a large set $A' \subset A$ and a set $B' \subset B$ that is contained in an affine shift of $A$ and, most importantly, the size of $B'$ is proportional to the size of the $\ell$-wise sum-set of $A'$. This last property is important because it allows us to make a “win-win” argument: Either $|\ell A'|$ is large in which case $B'$ is also large and we have proved the ADC, or $|\ell A'|$ is small and then NLFR implies that a large subset $A_1 \subset A'$ (which is a large subset of $A$) is even closer in size to its linear span, and we apply the lemma again with $A_1$ instead of $A$. Continuing in this way we construct a finite sequence $A_0 = A \supseteq A_1 \supseteq A_2 \supseteq \ldots$ such that $\frac{|A_{i+1}|}{|\text{span}(A_{i+1})|} \gg \frac{|A_i|}{|\text{span}(A_i)|}$. We prove that the last member of this sequence is a pretty large subset of $A$ and is almost the desired set we need.

We stress that the following lemma, although similar in spirit to the ADC, relies on no unproven assumptions. Its proof is deferred to the next subsection.

**Lemma 5.5** (ADC as function of sumset). For every $1 > \delta' > 0$, $1 > \alpha' > 0$, and non-negative integer $\ell$, there exist a constant $\zeta' > 0$ and an integer $k$, both depend only on $\delta'$, $\alpha'$, and $\ell$, such that the following holds for sufficiently large $n$. If $A, B \subseteq \mathbb{F}_2^n$ satisfy $|A| \geq 2^{\alpha' n}$ and $A \subseteq \text{spec}_1(B)$ (which implies $\mu_{\perp}(A, B) \geq \epsilon$) for $\epsilon \geq 2^{-\zeta' n}$, then there exist subsets $A' \subseteq A$ and $B' \subseteq B$ satisfying

1. $|A'| \geq |A|^{1-\delta'}$.
2. $|B'| \geq \epsilon^{2k} \frac{|\ell A'|^{1-\delta'}}{|\text{span}(A)|}|B|$.
3. The set $B'$ is contained in an affine coset of $A^\perp$.

To prove the implication NLFR $\Rightarrow$ ADC we need to set a few parameters that will be used later on. Choose small enough constants $\rho > 0$ and $\delta' > 0$ which satisfy $\delta^2/2 > \rho(1 + 2\delta' - \delta) + \delta'$ and $\delta/2 < (1 + \rho)(\delta - 2\delta') - \rho$. Choose $\ell$ to be a large enough integer so that Conjecture \[2.21\] holds with the constant $\rho$ with respect to $\ell$. Choose a constant $\alpha' > 0$ such that $\alpha' < \alpha - \delta$. Let $\zeta' > 0$ and $k$ be the constant and the integer guaranteed by Lemma \[5.5\] for the constants $\delta', \alpha'$ and the integer $\ell$, and suppose that $\epsilon \geq 2^{-\zeta' n}$.

Now we describe how the sequence $A = A_0 \supseteq A_1 \supseteq \ldots$ is obtained. Start with the set $A_0 := A \cap \text{spec}_{\ell/2}(B)$, which, by Markov’s inequality is of size at least $|A|/2$. For $i = 0, 1, \ldots$ let $A_i' \subseteq A_i, B_i' \subseteq B$ be the subsets guaranteed by Lemma \[5.5\] with respect to $A_i, B$ and let

$$\sigma_i = \frac{\|\ell A_i'\|^{1-\delta'}}{|\text{span}(A_i)|}. \quad (13)$$

To obtain $A_{i+1}$ we use the following claim.

**Claim 5.6.** If $\sigma_i \leq 2^{-\delta n}$ and $|A_i| \geq 2^{\alpha' n}$ then assuming NLFR (Conjecture \[2.21\]) there exists $A_{i+1} \subseteq A_i$ satisfying

$$|A_{i+1}| \geq 2^{-(\delta^2/2)n} |A_i| \quad (14)$$

$$\frac{|A_{i+1}|}{|\text{span}(A_{i+1})|} \geq 2^{(\delta/2)n} \frac{|A_i|}{|\text{span}(A_i)|} \quad (15)$$

We pick $A_{i+1}$ to be the set guaranteed by the above claim, as long as $\sigma_i \leq 2^{-\delta n}$, and if $\sigma_i > 2^{-\delta n}$ we terminate the sequence by setting $A_i$ to be the last member of it.

Before proving the claim let us complete the proof of PFR $\Rightarrow$ ADC. Since the left hand side of Equation \[15\] is at most 1 and the right hand side of this equation is at least $2^{-n}$ we conclude that the sequence $A$ is finite and of length at most $2^{\delta n}$. Consequently, our assumption that $|A| \geq 2^{\alpha n}$ and \[15\] imply for sufficiently large $n$ that for all $A_i \in A$

$$|A_i| \geq 2^{-\delta n} |A|/2 \geq 2^{(\alpha-\delta)n-1} \geq 2^{\alpha' n}. \quad (16)$$

The last member in the sequence $A$, denote it by $A_t$, is a subset of $A$ of size at least $2^{-(\delta n+1)} |A|$. Applying Lemma \[5.5\] one final time with $A_t$ and $B$ and using the assumption $\sigma_i > 2^{-\delta n}$ we conclude the existence of $B' \subseteq B, |B'| > (\zeta) 2k 2^{-\delta n} |B|$ that is contained in an affine coset of $A_t$. So $A' = A_t$ and $B'$ are the two sets promised by ADC and this shows PFR $\Rightarrow$ ADC but for the proof of Claim \[5.6\] which appears next.

**Proof of Claim 5.6** Assuming $\sigma_i \leq 2^{-\delta n}$ we get from Equation \[13\]

$$|\ell A_i'| \leq 2^{-\delta n} \frac{|\text{span}(A_i)|}{|A_i|} |\ell A_i'|^{\delta'} = 2^{-\delta n} \frac{|\text{span}(A_i)|}{|A_i|} |\ell A_i'|^{\delta'} |A_i'|$$

$$\leq 2^{-\delta n} \frac{|\text{span}(A_i)|}{|A_i|^{1-\delta'}} |\ell A_i'|^{\delta'} |A_i'| \quad \text{(using first bullet of Lemma \[5.5\])}$$

$$= 2^{-\delta n} \frac{|\text{span}(A_i)|}{|A_i|} |\ell A_i'|^{\delta'} |A_i|^{\delta'} |A_i'| \leq 2^{-\delta(2\delta')n} \frac{|\text{span}(A_i)|}{|A_i|} |A_i'| \quad \text{(since $|\ell A_i'|, |A_i'| \leq 2^n$)}$$

So $|\ell A_i'| \leq K |A_i'|$ for

$$K = 2^{-(\delta-2\delta')n} \frac{|\text{span}(A_i)|}{|A_i|}. \quad (17)$$


Applying NLFR (Conjecture 2.21) to the $\ell$-wise sum of $A_i'$ with the above constant $K$ implies the existence of a subset $A_{i+1} \subseteq A_i'$, of size at least $K^{-\rho}|A_i'|$, such that

$$|\text{span} (A_{i+1})| \leq K|A_i'| \leq K^{1+\rho}|A_{i+1}|$$

To complete the proof we show that $A_{i+1}$ satisfies equations (14) and (15). For (14) compute

$$|A_{i+1}| \geq K^{-\rho}|A_i'| = 2^{-\rho(2\delta' - \delta)n} \left( \frac{|A_i|}{|\text{span} (A_i)|} \right) \rho |A_i'| \quad \text{(using Equation (17))}$$

$$\geq 2^{-\rho(1+2\delta'-\delta)n}|A_i'| \quad \text{(since } \frac{|A_i|}{|\text{span} (A_i)|} \geq 2^{-n})$$

$$\geq 2^{-\rho(1+2\delta'-\delta)n}|A_i'|^{1-\delta'} \quad \text{(by first bullet of Lemma 5.5)}$$

$$\geq 2^{-\rho(1+2\delta'-\delta)+\delta'n}|A_i| \quad \text{(since } |A_i| \leq 2^n)$$

$$\geq 2^{-\delta't/2n}|A_i| \quad \text{(by choice of } \delta' \text{ and } \rho')$$

And for (15) compute

$$\frac{|A_{i+1}|}{|\text{span} (A_{i+1})|} \geq K^{-(1+\rho)} \geq 2^{(1+\rho)(\delta-2\delta')}n \left( \frac{|A_i|}{|\text{span} (A_i)|} \right)^{1+\rho} \quad \text{(using Equation (17))}$$

$$\geq 2^{(1+\rho)(\delta-2\delta')-\rho}n \frac{|A_i|}{|\text{span} (A_i)|} \quad \text{(since } \frac{|A_i|}{|\text{span} (A_i)|} \geq 2^{-n})$$

$$\geq 2^{\delta'/2n} \frac{|A_i|}{|\text{span} (A_i)|} \quad \text{(by choice of } \delta' \text{ and } \rho')$$

This completes the proof of the claim. \hfill $\square$

### 5.5 Proof of Main Technical Lemma

In this section we prove the main technical lemma used in the proof of PFR $\Rightarrow$ ADC, Lemma 5.5. The proof breaks down to two lemmas stated next. We assume $E_{a\in A, b\in B} \left[ (-1)^{a,b} \right]$ is positive, the proof for the negative case is similar.

The proof consists of two main steps stated in Lemmas 5.7 and 5.8. The first can be seen as a version of the ADC which applies when $|\text{span} (A)|$ is not much larger than $|A|$.

**Lemma 5.7** (Approximate-duality for sets with small span). Given $B \subseteq \mathbb{F}_2^n$ and $A \subseteq \text{spec}_\epsilon (B)$, there exists a subset $B'$ of $B$ of size at least $\epsilon^2 \frac{|A|}{|\text{span} (A)|} |B|$ which is contained in an affine coset of $A^\perp$.

The second main step in the proof is to show if $\mu^+ (A, B) \geq \epsilon$, then assuming the PFR conjecture, there exists a large subset $\tilde{A} \subseteq \text{span} (A)$ for which $\mu^+ (\tilde{A}, B) \geq \epsilon^k$ for some constant $k$. By showing this we will be able to apply the above lemma also to sets that have large span relative to their size, by applying it to the sets $\tilde{A}$ and $B$. Recall the definition of the spectrum given in Definition 4.1. Our candidate set $\tilde{A}$ will be the set $\text{spec}_{\epsilon/\ell'} (B) \cap \text{span} (A)$ for a sufficiently large constant $\ell$. Obviously, we have that $\mu^+ (\tilde{A}, B) \geq \epsilon^\ell/2$. A lower bound on the on the size of this set is given by the following unconditional lemma:
Lemma 5.8. For every $1 > \delta' > 0$, $1 > \alpha' > 0$, and for every non-negative integer $\ell$, there exist a constant $\zeta' > 0$ and an integer $k$, both depend only on $\delta'$, $\alpha'$, and $\ell$, such that the following holds for sufficiently large $n$. Given $B \subseteq \mathbb{F}_2^n$ and $A \subseteq \text{spec}_e(B)$, $|A| \geq 2^{\alpha' n}$ where $\epsilon \geq 2^{-\zeta' n}$, there exists a subset $A'$ of $A$ of size at least $|A|^{1 - \delta'}$ such that

$$|\ell A'|^{1 - \delta'} \leq |\text{span}(A) \cap \text{spec}_{e,k}(B)|.$$  \hfill (18)

Given these two lemmas we can complete the proof of Lemma 5.5. Then we prove the two lemmas.

Proof of Lemma 5.5. Noticing the assumptions of Lemma 5.5 and Lemma 5.8 are the same, let $A'$ be the subset of $A$ which is of size at least $|A|^{1 - \delta'}$ and satisfies Equation (18). Notice $A'$ satisfies bullet 1 of Lemma 5.5.

Let $\hat{A} := \text{span}(A) \cap \text{spec}_{e,k}(B)$. Apply Lemma 5.7 to $\hat{A}, B$ and conclude the existence of $B' \subseteq B$ contained in an affine coset of $A^\perp$ which satisfies

$$|B'| \geq \epsilon^{2k} \frac{|\hat{A}|}{|\text{span}(\hat{A})|} \cdot |B| \geq \epsilon^{2k} \frac{|\ell A'|^{1 - \delta'}}{|\text{span}(\hat{A})|} \cdot |B|.$$  

The last inequality above uses Equation (18). To show that $B'$ satisfies bullets 2 and 3 of Lemma 5.5, notice $\hat{A} \supseteq A$ because $A \subseteq \text{spec}_e(B) \subseteq \text{spec}_{e,k}(B)$ which implies $\text{span}(\hat{A}) = \text{span}(A)$ and, consequently, $\hat{A}^\perp = A^\perp$. This completes the proof. \hfill $\square$

For the proof of Lemma 5.7 we shall use Fourier analysis and recall the standard notation for it. For a function $f : \mathbb{F}_2^n \rightarrow \mathbb{C}$ and $\alpha \in \mathbb{F}_2^n$ we denote by $\hat{f}(\alpha)$ the $\alpha$-coefficient of the Fourier expansion of $f$ over $\mathbb{F}_2^n$, defined by

$$\hat{f}(\alpha) = \mathbb{E}_{\beta \in \mathbb{F}_2^n} [f(\beta)(-1)^{\langle \beta, \alpha \rangle}].$$

We shall need Parseval’s equality which says that for a function $f : \mathbb{F}_2^n \rightarrow \mathbb{C}$,

$$\sum_{\alpha \in \mathbb{F}_2^n} (\hat{f}(\alpha))^2 = 2^{-n} \sum_{\beta \in \mathbb{F}_2^n} (f(\beta))^2.$$  \hfill (19)

Proof of Lemma 5.7. Let $d := \dim(A)$ and choose an arbitrary basis $a_1, a_2, \ldots, a_d$ of $A$. For every $\beta = (\beta_1, \beta_2, \ldots, \beta_d) \in \mathbb{F}_2^d$, we denote by $S_\beta$ the following coset of $A^\perp$:

$$S_\beta = \{ \gamma \in \mathbb{F}_2^n | \langle a_i, \gamma \rangle = \beta_i \text{ for all } i = 1, 2, \ldots, d \}$$

For every $\beta \in \mathbb{F}_2^d$ we denote the relative weight of $B$ inside $S_\beta$ by:

$$w(\beta) = Pr_{b \in B} [b \in S_\beta] = \frac{|B \cap S_\beta|}{|B|}.$$  

Our goal will be to find $\beta \in \mathbb{F}_2^d$ such that $w(\beta) \geq \epsilon^2 \frac{|A|}{|\text{span}(A)|}$, since in this case $B' := B \cap S_\beta$ is a subset of $B$ of size at least $\epsilon^2 \frac{|A|}{|\text{span}(A)|} |B|$ which is contained in an affine coset of $A^\perp$.

Our main observation is that for every $a \in A$, if we write $a = \sum_{i=1}^d \alpha_i a_i$, $\alpha = (\alpha_1, \alpha_2, \ldots, \alpha_d)$, then we have

$$\hat{w}(\alpha) = \mathbb{E}_{\beta \in \mathbb{F}_2^d} [w(\beta)(-1)^{\langle \beta, \alpha \rangle}] = 2^{-d} \sum_{\beta \in \mathbb{F}_2^d} w(\beta)(-1)^{\langle \beta, \alpha \rangle} = 2^{-d} \mathbb{E}_{\beta \in B} [(-1)^{\langle a, \beta \rangle}].$$  \hfill (20)
The equality above allows to prove the lemma by bounding the sum $\sum_{\alpha \in \tilde{A}} (\hat{w}(\alpha))^2$ from above and from below, where:

$$\tilde{A} = \left\{ \alpha = (\alpha_1, \alpha_2, \ldots, \alpha_d) \in \mathbb{F}_2^d \mid \sum_{i=1}^d \alpha_i a_i \in A \right\}$$

For obtaining the lower bound we use Equation (20) together with our assumption that $\mu^\perp(A, B) \geq \epsilon$, while for the upper bound we use Parseval’s equality together with the fact that $w$ is a distribution, i.e., $\sum_{\beta \in \mathbb{F}_2^d} w(\beta) = 1$. We start with bounding the sum $\sum_{\alpha \in \tilde{A}} (\hat{w}(\alpha))^2$ from above:

$$\sum_{\alpha \in \tilde{A}} (\hat{w}(\alpha))^2 \geq |\tilde{A}| \left( \mathbb{E}_{\alpha \in \tilde{A}} \hat{w}(\alpha) \right)^2 \quad \text{(by convexity)}$$

$$= |\tilde{A}| \left( 2^{-d} \mathbb{E}_{\alpha \in A} \mathbb{E}_{b \in B} (-1)^{\langle \alpha, b \rangle} \right)^2 \quad \text{(by Equation (20))}$$

$$= |A| 2^{-2d} (\mu^\perp(A, B))^2 \geq |A| 2^{-2d} \epsilon^2 \quad \text{(21)}$$

Next we bound the sum $\sum_{\alpha \in \tilde{A}} (\hat{w}(\alpha))^2$ from above:

$$\sum_{\alpha \in \tilde{A}} (\hat{w}(\alpha))^2 \leq \sum_{\alpha \in \mathbb{F}_2^d} (\hat{w}(\alpha))^2 = 2^{-d} \sum_{\beta \in \mathbb{F}_2^d} (w(\beta))^2 \quad \text{(by Parseval’s Equality)}$$

$$\leq 2^{-d} \max_{\beta \in \mathbb{F}_2^d} w(\beta) \sum_{\beta \in \mathbb{F}_2^d} w(\beta)$$

$$= 2^{-d} \max_{\beta \in \mathbb{F}_2^d} w(\beta) \quad \text{(because $\sum_{\beta \in \mathbb{F}_2^d} w(\beta) = 1$)} \quad \text{(22)}$$

Finally, the combination of equations (21) and (22) implies the existence of $\beta \in \mathbb{F}_2^d$ such that

$$w(\beta) \geq |A| 2^{-d} \epsilon^2 = \epsilon^2 \frac{|A|}{|\text{span}(A)|}$$

which finishes the proof of the lemma.

For the proof of Lemma 5.8 which follows next, we shall apply repeated squaring followed by the hypergraph version of the Balog-Szemerédi-Gowers (BSG) Theorem [Balog and Szemerédi, 1994, Gowers, 1998]. The BSG Theorem says that if the collision probability of $A + A$ is large, i.e., if $\Pr_{a,a',b,b' \in A} [a + a' = b + b'] > 1/K$, then there exists a subset $A' \subset A$ of size at least $|A|/K^c$ such that $|A' + A'| \leq K^c \cdot |A|$. The exponent $c$ appearing in the original theorem is too large for our purposes, we would like it to be close to 1. Fortunately, the proof of the hypergraph version of the BSG Theorem due to [Sudakov et al., 2005] has been worked out by Croot and Borenstein [2008] into a statement, quoted next, that gives very tight bounds on the exponent $c$. Informally, this theorem says that for every integer $\ell$ there exists an integer $k$ for which the following holds. If the collision probability of $k$-sums of $A$ is large, then there exists $A' \subset A$ that is nearly all of $A$ such that $|\ell A'|$ is very close to $|A|$, i.e., this $A'$ hardly expands under addition.
**Theorem 5.9** (BSG Theorem — Hypergraph version with small exponent). For every $1 > \delta > 0$ and $c > 1$, and non-negative integer $\ell$, there exist a constant $\zeta > 0$ and an integer $k$, both depend only on $\delta$, $c$, and $\ell$, such that the following holds. If $A$ is a sufficiently large subset of an additive abelian group which satisfies:

$$Pr_{a_1 \in A, a_2 \in A, \ldots, a_k \in A} \left[ \sum_{i=1}^{k} a_i \in S \right] \geq |A|^{-\zeta}, |S| \leq |A|^c$$

then there exists a subset $A'$ of $A$ of size at least $|A|^{1-\delta}$ such that:

$$|\ell A'|^{1-\delta} \leq |S|$$

**Proof of Lemma 5.8**. Let $\delta := \delta', c := 1/\alpha'$, and let $\zeta > 0$ and $k$ be the constant and the integer guaranteed by Theorem 5.9 for the constants $\delta, c$, and $\ell$.

We may assume that $k$ is even (if $k$ is odd replace it by $k + 1$ and the proof goes through). From our assumption that $\mu^1(A, B) \geq \epsilon$ and using convexity we get

$$\epsilon^k \leq \left( \mathbb{E}_{b \in B} \mathbb{E}_{a \in A} \left[ (-1)^{(a, b)} \right] \right)^k \leq \mathbb{E}_{b \in B} \left( \mathbb{E}_{a \in A} \left[ (-1)^{(a, b)} \right] \right)^k = \mathbb{E}_{b \in B} \mathbb{E}_{a_1, a_2, \ldots, a_k \in A} \left[ (-1)^{\sum_{i=1}^{k} a_i, b} \right].$$

Markov’s inequality implies

$$Pr_{a_1 \in A, a_2 \in A, \ldots, a_k \in A} \left[ \sum_{i=1}^{k} a_i \in \text{spec}_{\epsilon k / 2}(B) \cap \text{span}(A) \right] \geq \frac{\epsilon^k}{2}$$

Let $S := \text{spec}_{\epsilon k / 2}(B) \cap \text{span}(A)$. Let $\zeta' = \frac{\alpha' \zeta}{k}$, and suppose that $\epsilon \geq 2^{-\zeta' n}$. From our choice of $\epsilon$ and the assumption that $|A| \geq 2^{\alpha' n}$ we have

$$\epsilon^k \geq 2^{-\zeta' kn} \geq 2^{-\frac{\alpha' \zeta}{k} kn} \geq |A|^{-\zeta}$$

We conclude that

$$Pr_{a_1 \in A, a_2 \in A, \ldots, a_k \in A} \left[ \sum_{i=1}^{k} a_i \in S \right] \geq \frac{1}{2} |A|^{-\zeta}$$

and in addition

$$|S| \leq 2^n \leq |A|^c$$

Theorem 5.9 applies, and we conclude that there exists a subset $A'$ of $A$ of size at least $|A|^{1-\delta'}$ such that

$$|\ell A'|^{1-\delta'} \leq |S|.$$ 

This completes the proof of the lemma. \qed
On the bias error of multi-output affine and two-source extractors

In this section we explain our use of bias as the measure of error in the definition of extractors (Definition 2.1). In a nutshell, it yields cleaner and tighter analysis than we would have obtained using statistical distance as our measure of error. And it allows us to construct affine and (in Section 6.1) two-source extractors with multiple output bits with essentially no loss in (bias) error. Details follow.

All known affine extractors, i.e., those of [Bourgain 2007], Yehudayoff [2009], Ben-Sasson and Kopparty [2009], Gabizon and Raz [2008], DeVos and Gabizon [2009], Li [2010] have the following property. Each of them is defined as evaluating a certain $r$-variate polynomial $\mathcal{P}$ over a finite field $\mathbb{F}_m$, where $n = r \cdot m$. The $n$-input bits are viewed as describing an input $\beta = (\beta_1, \ldots, \beta_r) \in \mathbb{F}_m^r$. And each of these constructions shows a bound on the error of any nontrivial character applied to $\mathcal{P}(\beta)$. Recall that a nontrivial additive character $\chi_\alpha : \mathbb{F}_m^r \to \{-1, 1\}$ of $\mathbb{F}_m$ is a function of the form

$$\chi_\alpha(x) = (-1)^{\sum_{j=1}^m \alpha_j x_j},$$

where $(x_1, \ldots, x_m)$ is the representation of $x$ according to an arbitrary fixed $\mathbb{F}_2$-basis for $\mathbb{F}_m$. In other words, for each of the known constructions of affine extractors we have a result of the following form. For every nontrivial character $\chi_\alpha$ as above, and every $\mathbb{F}_2$-affine subspace $A$ of $(\mathbb{F}_m^2)^r$ of dimension at least $d$, we have

$$|\mathbb{E}_{x \in A}[\chi_\alpha(\mathcal{P}(x))]| \leq \epsilon.$$

We point out that Vazirani’s “XOR-lemma” shows that extractors with error bounds as above are also $\epsilon \cdot 2^{m/2}$-close to uniform in statistical distance. This can be converted back to a bound on bias of the form $\epsilon \cdot 2^{m/2}$. But using the lemma below we can deduce that the bias error is merely $\epsilon$, i.e., we lose literally nothing from outputting $m$ bits instead of a single bit.

Lemma 6.1 (Multi-output extractors). Let $\zeta$ be a distribution on $\mathbb{F}_2^m$ satisfying for every nontrivial additive character $\alpha_1, \ldots, \alpha_t \in \mathbb{F}_2^m$ and any $b_1, \ldots, b_t \in \mathbb{F}_2$, denoting by $S_b$ the affine space

$$S_b = \{x \in \mathbb{F}_2^m \mid \langle \alpha_1, x \rangle = b_1, \ldots, \langle \alpha_t, x \rangle = b_t \},$$

we have

$$2^{-t} - \epsilon < \zeta(S_b) < 2^{-t} + \epsilon.$$

Consequently, taking $t = m$ and $\alpha_1, \alpha_2, \ldots, \alpha_m$ to be the standard basis and noticing that in this case $\zeta(S_b) = \Pr_{X \sim \zeta}[X = b]$ we conclude the bias of $\zeta$ is at most $\epsilon$.

Proof of Lemma 6.1 Consider $\alpha \in \text{span}(\alpha_1, \ldots, \alpha_t)$ of the form $\alpha = \sum_{i=1}^t a_i \alpha_i$ where $a_i \in \mathbb{F}_2$. Let $a$ denote the vector $(a_1, \ldots, a_t)$. We have that

$$\langle \alpha, x \rangle = \sum_{i=1}^t a_i \cdot \langle \alpha_i, x \rangle.$$

Thus, for $c = (c_1, \ldots, c_t) \in \mathbb{F}_2^t$ and $x \in S_c$ we have $\langle \alpha, x \rangle = \langle a, c \rangle$ which implies

$$\mathbb{E}[\chi_\alpha(x)] = \sum_{c \in \mathbb{F}_2^t, (c,a) = 0} \zeta(S_c) - \sum_{c \in \mathbb{F}_2^t, (c,a) = 1} \zeta(S_c) \tag{24}$$

26
which, by \((23)\), implies that for any \(\alpha \in \text{span}(\alpha_1, \ldots, \alpha_t) \setminus \{0\}\) and \(\beta \in \mathbb{F}_2\) we have

\[
-\epsilon \leq \sum_{c \in \mathbb{F}_2^t, (c,a) = \beta} \zeta(S_c) - \sum_{c \in \mathbb{F}_2^t, (c,a) = 1 - \beta} \zeta(S_c) \leq \epsilon. \tag{25}
\]

For \(\alpha = 0\) we get from \((24)\)

\[
\sum_{c \in \mathbb{F}_2^t} \zeta(S_c) = 1, \tag{26}
\]

because every \(c\) satisfies \(\langle 0, c \rangle = 0\). Set \(\beta_a = (b, a)\). Consider the following sum:

\[
\sum_{a \in \mathbb{F}_2^t} \left( \sum_{c \in \mathbb{F}_2^t, (c,a) = \beta_a} \zeta(S_c) - \sum_{c \in \mathbb{F}_2^t, (c,a) = 1 - \beta_a} \zeta(S_c) \right). \tag{27}
\]

Using \((25)\) and \((26)\) we bound \((27)\) from above by \(1 + (2^t - 1) \cdot \epsilon\) (the first summand comes from \(\alpha = 0\) via \((26)\) and the remaining ones come from \(\alpha \neq 0\) via \((25)\)). Similarly, \((27)\) is bounded from below by \(1 - (2^t - 1) \cdot \epsilon\). Finally, we observe that \((27)\) is equal to \(2^t \cdot \zeta(S_b)\). The reason for this is that we have by definition \((b, a) = \beta_a\) for all \(a \in \mathbb{F}_2^t\), whereas for any fixed \(c \neq b\) we have \(\langle c, a \rangle = \beta_a\) if and only if \(\langle c, a \rangle = \langle b, a \rangle\) which happens iff \(\langle c - b, a \rangle = 0\). Since \(c \neq b\) (and both \(b\) and \(c\) are fixed) this latter event happens for precisely half of the \(a\)'s and thus the summand \(\zeta(S_c)\) appears in \((27)\) equally often positively as negatively and gets canceled.

We have shown that

\[
1 - (2^t - 1) \cdot \epsilon \leq 2^t \cdot \zeta(S_b) \leq 1 + (2^t - 1) \cdot \epsilon,
\]

and dividing this inequality by \(2^t\) completes the proof. \(\square\)

### 6.1 Increasing the output length of bilinear-composed extractors

In this section we prove Lemma \([2.20]\) and show how to obtain two source extractors with multiple output bits. Before doing so we briefly explain how a so-called collection of independent matrices can be obtained.

Let \(\mathbb{F}_{2^m}\) denote the finite field with \(2^m\) elements. It is well-known that elements of this field form a \(\mathbb{F}_2\)-linear space of dimension \(m\). Let \(\beta_1, \ldots, \beta_m \in \mathbb{F}_{2^m}\) be a basis for this space. Since multiplication by any \(\beta \in \mathbb{F}_{2^m} \setminus \{0\}\) is an invertible \(\mathbb{F}_2\)-linear transformation, let \(M_i\) be the matrix representing multiplication by \(\beta_i\) in our basis. It is now rather straightforward to verify that \(M_1, \ldots, M_m\) are independent according to our definition.

We now proceed to prove Lemma \([2.20]\).

**Proof of Lemma \([2.20]\)** Let \(\chi_\alpha : \mathbb{F}_2^t \to [-1, 1]\) be a nontrivial additive character. We have

\[
\chi_\alpha(E(x, y)) = (-1)^{\sum_{i=1}^t \alpha_i \langle f(x), M_i g(y) \rangle} = (-1)^{\langle f(x), M g(y) \rangle}
\]

where \(M = \sum_{i=1}^t \alpha_i M_i\). Since \(M\) has full rank and \(g\) is injective, we see that \(M \cdot g(Y)\) is a \(n\)-bit source of min-entropy rate \(> \rho\), which implies

\[
\|E_{X,Y} [\chi_\alpha(E(X, Y))]\| \leq \epsilon.
\]

Applying Lemma \([6.1]\) completes the proof. \(\square\)
References


