

# Making the long code shorter, with applications to the Unique Games Conjecture

Boaz Barak\*    Parikshit Gopalan†    Johan Håstad‡    Raghu Meka§  
 Prasad Raghavendra¶    David Steurer||

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## Abstract

The *long code* is a central tool in hardness of approximation, especially in questions related to the unique games conjecture. We construct a new code that is exponentially more efficient, but can still be used in many of these applications. Using the new code we obtain exponential improvements over several known results, including the following:

1. For any  $\varepsilon > 0$ , we show the existence of an  $n$  vertex graph  $G$  where every set of  $o(n)$  vertices has expansion  $1 - \varepsilon$ , but  $G$ 's adjacency matrix has more than  $\exp(\log^\delta n)$  eigenvalues larger than  $1 - \varepsilon$ , where  $\delta$  depends only on  $\varepsilon$ . This answers an open question of Arora, Barak and Steurer (FOCS 2010) who asked whether one can improve over the noise graph on the Boolean hypercube that has  $\text{poly}(\log n)$  such eigenvalues.
2. A gadget that reduces unique games instances with linear constraints modulo  $K$  into instances with alphabet  $k$  with a blowup of  $K^{\text{poly}(\log(K))}$ , improving over the previously known gadget with blowup of  $2^{\Omega(K)}$ .
3. An  $n$  variable integrality gap for Unique Games that survives  $\exp(\text{poly}(\log \log n))$  rounds of the SDP + Sherali Adams hierarchy, improving on the previously known bound of  $\text{poly}(\log \log n)$ .

We show a connection between the local testability of linear codes and small set expansion in certain related Cayley graphs, and use this connection to derandomize the noise graph on the Boolean hypercube.

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\*Microsoft Research New England, Cambridge MA.

†Microsoft Research-Silicon Valley.

‡Royal Institute of Technology, Stockholm, Sweden.

§IAS, Princeton. Work done in part while visiting Microsoft Research, Silicon Valley.

¶Georgia Institute of Technology, Atlanta, GA.

||Microsoft Research New England, Cambridge MA.

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# 1 Introduction

Khot’s *Unique Games Conjecture* [Kho02] (UGC) has been the focus of intense research effort in the last few years. The conjecture posits the hardness of approximation for a certain constraint satisfaction problem, and shows promise to settle many open questions in theory of approximation algorithms. Specifically, an instance  $\Gamma$  of the UNIQUE GAMES problem with  $n$  variables and alphabet  $\Sigma$  is described by a collection of constraints of the form  $(x, y, \pi)$  where  $\pi$  is a permutation over  $\Sigma$ . An *assignment* to  $\Gamma$  is a mapping  $f$  from  $[n]$  to  $\Sigma$ , and  $f$ ’s value is the fraction of constraints  $(x, y, \pi)$  such that  $f(y) = \pi(f(x))$ . The Unique Games Conjecture is that for any  $\varepsilon > 0$ , there is some finite  $\Sigma$  such that it is **NP** hard to distinguish between the case that a UNIQUE GAMES instance  $\Gamma$  with alphabet  $\Sigma$  has an assignment satisfying  $1 - \varepsilon$  fraction of the constraints, and the case that every assignment satisfies at most  $\varepsilon$  fraction of  $\Gamma$ ’s constraint.

Many works have been devoted to studying the plausibility of the UGC, as well as exploring its implications and obtaining unconditional results motivated by this effort. Tantalizingly, at the moment we have very little evidence for the truth of this conjecture. One obvious reason to believe the UGC is that no algorithm is known to contradict it, though that of course may have more to do with our proof techniques for algorithm analysis than actual computational difficulty. Thus perhaps the strongest evidence for the conjecture comes from results showing particular instances on which certain natural algorithms will fail to solve the problem. However, even those integrality gaps are quantitatively rather weak. For example, while Arora, Barak and Steurer [ABS10] showed a subexponential upper bound on an algorithm for the UNIQUE GAMES and the related SMALL-SET EXPANSION problem, the hardest known instances for their algorithm only required quasipolynomial time [Kol10]. Similarly (and related to this), known integrality gaps for UNIQUE GAMES and related problems do not rule out their solution by an  $O(\log n)$ -round semidefinite hierarchy, an algorithm that can be implemented in quasipolynomial (or perhaps even polynomial [BRS11]) time.

The *long code* has been a central tool in many of these works. This is the set of “dictator” functions mapping  $\mathbb{F}_2^N$  to  $\mathbb{F}_2$  that have the form  $x_1 \dots x_N \mapsto x_i$  for some  $i$ . Many hardness reductions (especially from UNIQUE GAMES) and constructions of integrality gap instances use the long code as a tool. However, this is also the source of their inefficiency, as the long code is indeed quite long. Specifically, it has only  $N$  codewords but dimension  $2^N$ , which leads to exponential blowup in many of these applications. In this work, we introduce a different code, which we call the “short code”, that is exponentially more efficient, and can be used in the long code’s place in many of these applications, leading to significant quantitative improvements. In particular, we use our code to show instances on which the [ABS10] algorithm, as well as certain semidefinite hierarchies, require almost sub-exponential time, thus considerably strengthening the known evidence in support of the Unique Games Conjecture. Moreover, our results open up possibilities for *qualitative* improvements as well, in particular suggesting a new approach to prove the Unique Games Conjecture via an efficient alphabet reduction.

## 1.1 Our results

At the heart of the long code’s applications lie its connection with the *noisy Hypercube*. This is the weighted graph  $H_{N,\varepsilon}$  whose vertices are elements in  $\mathbb{F}_2^N$  where a random neighbor of  $x \in \mathbb{F}_2^N$  is obtained by flipping each bit of  $x$  independently with probability  $\varepsilon$ .<sup>1</sup> It is not too hard to show that the codewords of the long code correspond to the top eigenvectors of the noisy hypercube which also give the minimal bisections of the graph, cutting only an  $\varepsilon$  fraction of edges. In addition, several converse results are known, showing that bisections (and more general functions) cutting few edges are close to these top eigenvectors (or *dictatorships*) in some sense. (One such result is the “Majority is Stablest” Theorem of [MOO05].) The inefficiency of the longcode is manifested in the fact that the number of vertices of the noisy cube is exponential in the number  $N$  of its top eigenvectors.

**The short code.** Another way to describe the long code is that it encodes  $x \in \mathbb{F}_2^n$  by a binary vector  $v_x$  of length  $2^{2^n}$  where  $v_x(f) = f(x)$  for every function  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$ . This view also accounts for the name “long code”, since one can see that this is the longest possible encoding of  $x$  without having repeated coordinates. For every subset  $\mathcal{D}$  of functions mapping  $\mathbb{F}_2^n$  to  $\mathbb{F}_2$ , we define the  *$\mathcal{D}$ -short code* to be the code that encodes  $x$  by a vector  $v_x$  of length  $|\mathcal{D}|$  where  $v_x(f) = f(x)$  for every  $f \in \mathcal{D}$ . Note that this is a very general definition that encapsulates any code without repeated coordinates. For  $d \in \mathbb{N}$ , we define the  *$d$ -short code* to be the  $\mathcal{D}$ -short code where  $\mathcal{D}$  is the set of all polynomials over  $\mathbb{F}_2^n$  of degree at most  $d$ . Note that the 1-short code is the Hadamard code, while the  $n$ -short code is the long code. We use the name “short code” to denote the  $d$  short code for  $d = O(1)$ . Note that the short code has  $2^n$  codewords and dimension roughly  $2^{n^d}$ , and hence only quasipolynomial blowup, as opposed to the exponential blowup of the long code. Our main contribution is a construction of a “derandomized” noisy cube, which is a small subgraph of the noisy cube that enjoys the same relations to the short code (including a “Majority is Stablest” theorem) as the original noisy cube has to the long code. As a result, in many applications one can use the short code and the derandomized cube in place of the long code and the noisy cube, obtaining an exponential advantage. Using this approach we obtain the following results:

**Small set expanders with many large eigenvalues.** Our first application, and the motivation to this work, is a question of Arora, Barak and Steurer [ABS10]: How many eigenvectors with eigenvalue at least  $1 - \varepsilon$  can an  $n$ -vertex *small set expander* graph have? We say a graph is a small set expander (SSE) if all sufficiently small subsets of vertices have, say, at least 0.9 fraction of their neighbors outside the set. [ABS10] showed an upper bound of  $n^{O(\varepsilon)}$  on the number of large (i.e., greater than  $1 - \varepsilon$ ) eigenvalues of a small set expander. Arora et al. then observed that the subspace enumeration algorithm of [KT07, Kol10] for approximating small set expansion in an input graph takes time at most exponential in this number, which they then use to give an algorithm with similar

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<sup>1</sup>This graph is closely related and has similar properties to the unweighted graph where we connect  $x$  and  $y$  if their Hamming distance is at most  $\varepsilon N$ .

running time for the UNIQUE GAMES problem. Up to this work, the best lower bound was  $\text{polylog}(n)$ , with the example being the noisy cube, and hence as far as we knew the algorithm of [ABS10] could solve the small set expansion problem in quasipolynomial time, which in turn might have had significant implications for the UNIQUE GAMES problem as well. Our derandomized noisy cube yields an example with an almost polynomial number of large eigenvalues:

**Theorem 1.** *For every  $\varepsilon > 0$ , there is an  $n$ -vertex small set expander graph with  $2^{(\log n)^{\Omega(1)}}$  eigenvectors with corresponding eigenvalues at least  $1 - \varepsilon$ .*

Theorem 1 actually follows from a more general result connecting locally testable codes to small set expanders, which we instantiate with the Reed Muller code. See Section 2 for details.

**Efficient integrality gaps.** There is a standard semidefinite program (SDP) relaxation for the UNIQUE GAMES problem, known as the “basic SDP” [KV05, RS09]. Several works have shown upper and lower bounds on the approximation guarantees of this relaxation, and for constant alphabet size, the relation between the alphabet size and approximation guarantee is completely understood [CMM06]. However, for unbounded alphabet, there was still a big gap in our understanding of the relation between the approximation guarantee and the number of variables. Gupta and Talwar [GT06] showed that if the relaxation’s value is  $1 - \varepsilon$ , there is an assignment satisfying  $1 - O(\varepsilon \log n)$  fraction of constraints. On the other hand, Khot and Vishnoi [KV05] gave an integrality gap instance where the relaxation’s value was  $1 - 1/\text{poly}(\log \log n)^2$  but the objective value (maximum fraction of constraints satisfied by any assignment) was  $o(1)$ . It was a natural question whether this could be improved (e.g., see [Lee11]), and indeed our short code allows us to obtain an almost exponential improvement:

**Theorem 2.** *There is an  $n$ -variable instance of UNIQUE GAMES with objective value  $o(1)$  but for which the standard semidefinite programming (SDP) relaxation has value at least  $1 - 1/\text{qpolylog}(n)$ .<sup>3</sup>*

**Integrality gaps for SDP hierarchies.** Our best evidence for the hardness of the Unique Games Conjecture comes from integrality gap instances for semidefinite programming *hierarchies*. These are strengthened versions of the basic SDP where one obtains tighter relaxations by augmenting them with additional constraints, we refer to [CT10] for a good overview of SDP hierarchies. These hierarchies are generally parameterized by a number  $r$  (often called the *number of rounds*), where the first round corresponds to the Basic SDP, and the  $n^{\text{th}}$  round (where  $n$  is the instance size) corresponds to the exponential brute force algorithm that always computes an optimal answer. Generally, the  $r^{\text{th}}$ -round of each such hierarchy can be evaluated in  $n^{O(r)}$  time (though in

<sup>2</sup>Throughout, for any function  $f$ ,  $\text{poly}(f(n))$  denotes a function  $g$  satisfying  $g(n) = f(n)^{\Omega(1)}$ .

<sup>3</sup>For functions  $f, g : \mathbb{N} \rightarrow [0, \infty)$  we write  $f = \text{qpoly}(g)$  if  $f = \exp(\text{polylog}(g))$ . That is, if there are constants  $C > c > 0$  such that for all sufficiently large  $n$ ,  $\exp((\log g(n))^c) \leq f(n) \leq \exp((\log g(n))^C)$ . (Note that we allow  $c < 1$ , and so  $f = \text{qpoly}(g)$  does not imply that  $f > g$ .) Similarly, we define  $\text{qpolylog}(g) = \text{poly}(\log g)$  and write  $f = \text{qqpoly}(g)$  if  $f = \exp(\exp(\text{poly}(\log \log g)))$ .

some cases  $n^{O(1)}2^{O(r)}$  time suffices [BRS11]). In this paper we consider two versions of these hierarchies— the SA hierarchy and the weaker LH hierarchy. Loosely speaking, the  $r^{\text{th}}$  round of the SA hierarchy adds the constraints of the  $r^{\text{th}}$  round of the Sherali-Adams linear programming hierarchy (see [SA90]) to the Basic SDP; the  $r^{\text{th}}$  round of the LH hierarchy augments the Basic SDP with the constraints that and subset of  $r$  vectors from the vector solutions embeds isometrically into the  $\ell_1$  metric. (See Section 8 and [RS09] for more details.)

Barak, Raghavendra and Steurer [BRS11] (see also [GS11]) showed that for every  $\varepsilon > 0$ ,  $n^\varepsilon$  rounds of the SA hierarchy yields a non-trivial improvement over the basic SDP. The unique games conjecture predicts that this is optimal, in the sense that  $n^{o(1)}$  rounds of any hierarchy should not improve the worst-case approximation ratio above the basic SDP.<sup>4</sup> However, this prediction is far from being verified, with the best lower bounds given by [RS09] (see also [KS09]) who showed instances that require  $\log^{\Omega(1)} n$  rounds for the LH hierarchy, and  $(\log \log n)^{\Omega(1)}$  rounds for the SA hierarchy. Moreover, these instances are *known* to be solvable in quasipolynomial time [Kol10] and in fact via  $\text{polylog}(n)$  rounds of the SA hierarchy [BRS11]. Thus prior work gave no evidence that the unique games problem cannot be solved in quasipolynomial time. In this work we obtain almost-exponentially more efficient integrality gaps, resisting  $\text{qpoly}(\log n)$  rounds of the SA hierarchy and  $\text{qpoly}(n)$  rounds of the LH hierarchy. The latter is the first superlogarithmic SDP hierarchy lower bound for UNIQUE GAMES for any SDP hierarchy considered in the literature.

**Theorem 3.** *For every  $\varepsilon > 0$  there is some  $k = k(\varepsilon)$ , such that for every  $n$  there is an  $n$  variable instance  $\Gamma$  of UNIQUE GAMES with alphabet size  $k$  such that the objective value of  $\Gamma$  is at most  $\varepsilon$ , but the value on  $\Gamma$  of both  $\text{qpoly}(\log n)$  rounds of the SA hierarchy and  $\text{qpoly}(n)$  rounds of the LH hierarchy is at least  $1 - \varepsilon$ .*

A corollary of the above theorem is a construction of an  $n$ -point metric of negative type such that all sets of size up to some  $k = \text{qpoly}(n)$  embed isometrically into  $\ell_1$  but the whole metric requires  $\text{qpolylog}(n)$  distortion to embed into  $\ell_1$ . We remark that Theorem 3 actually yields a stronger result than stated here— as a function of  $k$ , our results (as was the case with the previous ones) obtain close to optimal gap between the objective value and the SDP value of these hierarchies; in particular we show that in the above number of rounds one cannot improve on the approximation factor of the Geomans-Williamson algorithm for Max Cut. It is a fascinating open question whether these results can be extended to the stronger Lasserre hierarchy. Some very recent results of Barak, Harrow, Kelner, Steurer and Zhou [BHK<sup>+</sup>11] (obtained subsequent to this work), indicate that new ideas may be needed to do this, since the UNIQUE GAMES instances constructed here and in prior works are not integrality gaps for some absolute constant rounds of the Lasserre hierarchy.

**Alphabet reduction gadget.** Khot, Kindler, Mossel and O’Donnell [KKMO04] used the long code to show an “alphabet reduction” gadget for unique games. They show how

<sup>4</sup>This is under the widely believed assumption that  $\text{NP} \not\subseteq \text{Dtime}(\exp(n^{o(1)}))$ .

to reduce a unique game instance with some large alphabet  $K$  to an instance with arbitrarily small alphabet. (In particular, they showed how one can reduce arbitrary unique games instances into binary alphabet instances, which turns out to be equivalent to the *Max Cut* problem.) However, quantitatively their result was rather inefficient, incurring an exponential in  $K$  blowup of the instance. By replacing the long code with our “short code”, we obtain a more efficient gadget, incurring only a *quasipolynomial* blowup. One caveat is that, because the short code doesn’t support arbitrary permutations, this reduction only works for unique games instances whose constraints are affine functions over  $\mathbb{F}_2^k$  where  $k = \log K$ ; however this class of unique games seems sufficiently rich for many applications.<sup>5</sup>

**Theorem 4.** *For every  $\varepsilon$  there are  $k, \delta$ , and a reduction that for every  $\ell$  maps any  $n$ -variable UNIQUE GAMES instance  $\Gamma$  whose constraints are affine permutations over alphabet  $\mathbb{F}_2^\ell$  into an  $n \cdot \exp(\text{poly}(\ell, k))$ -variable UNIQUE GAMES instance  $\Gamma'$  of alphabet  $k$ , such that if the objective value of  $\Gamma$  is larger than  $1 - \delta$ , then the objective value of  $\Gamma'$  is larger than  $1 - \varepsilon$ , and if the objective value of  $\Gamma$  is smaller than  $\delta$ , then the objective value of  $\Gamma'$  is smaller than  $\varepsilon$ .*

Once again, our quantitative results are stronger than stated here, and as in [KKMO04], we obtain nearly optimal relation between the alphabet size  $k$  and the soundness and completeness thresholds. In particular for  $k = 2$  our results match the parameters of the Max Cut algorithm of Geomans and Williamson. Our alphabet reduction gadget suggests a new approach to proving the unique games conjecture by using it as an “inner PCP”. For example, one could first show hardness of unique games with very large alphabet (polynomial or even subexponential in the number of variables) and then applying alphabet reduction. At the very least, coming up with plausible hard instances for unique games should be easier with a large alphabet.

**Remark 1.1.** The long code is also used as a tool in applications that do not involve the unique games conjecture. On a high level, there are two properties that make the long code useful in hardness of approximation: **(i)** it has a 2 query test obtained from the noisy hypercube and **(ii)** it has many symmetries, and in particular one can read off any function of  $x$  from the  $x^{\text{th}}$  codeword. Our short code preserves property **(i)** but (as is necessary for a more efficient code) does not preserve property **(ii)**, as one can only read off low degree polynomials of  $x$  (also it is only symmetric under affine transformations). We note that if one does not care about property **(i)** and is happy with a 3 query test, then it’s often possible to use the Hadamard code which is more efficient than the short code (indeed it’s essentially equal to the  $d$ -short code for  $d = 1$ ). Thus, at least in the context of hardness of approximation, it seems that the applications the short code will be most useful are those where property **(i)** is the crucial one.

Despite the name “short code”, our code is not the shortest possible code. While in our applications, dimension linear in the number of codewords is necessary (e.g., one can’t have a graph with more eigenvalues than vertices), it’s not clear that the dimension

<sup>5</sup>For example, because the multiplicative group of the field  $\mathbb{F}_{2^n}$  is cyclic, one can represent constraints of the form  $x_i - x_j = c_{i,j} \pmod{2^n - 1}$  as linear constraints over  $\mathbb{F}_2^n$  (i.e., constraints of the form  $x_i = C_{i,j} x_j$  where  $C_{i,j}$  is an invertible linear map over  $\mathbb{F}_2^n$ ).

needs to be polynomial. It is a very interesting open question to find shorter codes that can still be used in the above applications.

## 2 Our techniques

To explain our techniques we focus on our first application— the construction of a small set expander with many eigenvalues close to 1. The best way to view this construction is as a derandomization of the noisy hypercube, and so it will be useful to recall why the noisy hypercube itself is a small set expander.

Recall that the  $\varepsilon$ -noisy hypercube is the graph  $H_{N,\varepsilon}$  whose vertex set is  $\{\pm 1\}^N$  where we sample a neighbor of  $x$  by flipping each bit independently with probability  $\varepsilon$ . The eigenvectors in  $H_{N,\varepsilon}$  are given by the parity functions  $\chi_\alpha(x) = \prod_{i \in \alpha} x_i$  for subsets  $\alpha \subseteq [N]$  and the corresponding eigenvalues are  $\lambda_\alpha = (1 - 2\varepsilon)^{|\alpha|}$ . Thus  $\lambda_\alpha$  only depends on the degree  $|\alpha|$  of  $\chi_\alpha$ . In particular, the “dictator” functions  $\chi_{\{i\}}(x) = x_i$  have eigenvalue  $1 - 2\varepsilon$  and they correspond to balanced cuts (where vertices are partitioned based on the value of  $x_i$ ) with edge expansion  $\varepsilon$ . As  $\alpha$  increases,  $\lambda_\alpha$  decreases, becoming a constant around  $|\alpha| = O(1/\varepsilon)$ .

Given  $f : \{\pm 1\}^N \rightarrow \{0, 1\}$  which is the indicator of a set  $S$ , its Fourier expansion  $f(x) = \sum_\alpha \hat{f}(\alpha) \chi_\alpha(x)$  can be viewed as expressing the vector  $f$  in the eigenvector basis. The edge expansion of  $S$  is determined by the distribution of its Fourier mass; sets where most of the Fourier mass is on large sets will expand well. Given this connection, small-set expansion follows from the fact that the indicator functions of small sets have most of their mass concentrated on large Fourier coefficients. More precisely a set  $S$  of measure  $\mu$  has most of its Fourier mass on coefficients of degree  $\Omega(\log(1/\mu))$ . This follows from the so-called (2,4)-hypercontractive inequality for low-degree polynomials— that for every degree  $d$  polynomial  $f$ ,

$$\mathbb{E}_{x \in \{\pm 1\}^N} [f(x)^4] \leq C \mathbb{E}_{x \in \{\pm 1\}^N} [f(x)^2]^2 \quad (2.1)$$

for some  $C$  depending only on  $d$ . (See Section 4.1 for the proof, though some intuition can be obtained by noting that if  $f$  is a characteristic function of a set  $S$  of measure  $\mu = o(1)$  then  $\mathbb{E}[f^2]^2 = \mu^2$  and  $\mathbb{E}[f^4] = \mu$  and hence Equation (2.1) shows that  $f$  cannot be an  $O(1)$ -degree polynomial.)

By a “derandomized hypercube” we mean a graph on much fewer vertices that still (approximately) preserves the above properties of the noisy hypercube. Specifically we want to find a very small subset  $\mathcal{D}$  of  $\{\pm 1\}^N$  and a subgraph  $G$  of  $H_{N,\varepsilon}$  whose vertex set is  $\mathcal{D}$  such that **(i)**  $G$  will have similar eigenvalue profile to  $H_{N,\varepsilon}$ , and in particular have  $N$  eigenvalues close to 1 and **(ii)**  $G$  will be a small set expander. To get the parameters we are looking for, we’ll need to have the size of  $\mathcal{D}$  be at most  $\text{qpoly}(N)$ .

A natural candidate is to take  $\mathcal{D}$  to be a random set, but it is not hard to show that this will not work. A better candidate might be a linear subspace  $\mathcal{D} \subseteq \mathbb{F}_2^N$  that looks suitably pseudorandom. We show that in fact it suffices to choose a subspace  $\mathcal{D}$  whose dual  $\mathcal{C} = \mathcal{D}^\perp$  is a sufficiently good locally testable code. (We identify  $\mathbb{F}_2^N$  with  $\{\pm 1\}^N$  via the usual map  $(b_1, \dots, b_N) \mapsto ((-1)^{b_1}, \dots, (-1)^{b_N})$ .)



Our construction requires an asymptotic family of  $[N, K, D]_2$  linear codes  $C \subseteq \mathbb{F}_2^N$  where the distance  $D$  tends to infinity. The code should have a  $\varepsilon N$ -query local tester which when given a received word  $\alpha \in \mathbb{F}_2^N$  samples a codeword  $q$  of weight at most  $\varepsilon N$  from a distribution  $\mathcal{T}$  on  $C^\perp$  and accepts if  $\langle \alpha, q \rangle = 1$ . The test clearly accepts codewords in  $C$ , we also require it to reject words that are distance at least  $D/10$  from every codeword in  $C$  with probability 0.49. Given such a locally testable code  $C$ , we consider the Cayley graph<sup>6</sup>  $G$  whose vertices are the codewords of the dual code  $\mathcal{D} = C^\perp$  while the (appropriately weighted) edges correspond to the distribution  $\mathcal{T}$ . That is, a vertex of  $G$  is a codeword  $x \in \mathcal{D}$ , while a random neighbor of  $x$  is obtained by picking a random  $q$  from  $\mathcal{T}$  and moving to  $x + q$ .

Because  $\mathcal{D}$  is a subspace, it is easy to show that the eigenvectors of  $G$  are linear functions of the form  $\chi_\alpha(x)$  for  $x, \alpha \in \mathbb{F}_2^N$  (where if  $\alpha \oplus \alpha' \in C$  then  $\chi_\alpha$  and  $\chi_{\alpha'}$  are identical on  $G$ 's vertices). Moreover, from the way we designed the graph, for every  $\alpha \in \mathbb{F}_2^N$ , the corresponding eigenvalue  $\lambda_\alpha$  is equal to  $\mathbb{E}_{q \in \mathcal{T}} [(-1)^{\langle \alpha, q \rangle}] = 1 - 2 \mathbb{P}_{\mathcal{T}}[\text{Test rejects } \alpha]$ . This connection between the spectrum of  $G$  and the local testability of  $C$  allows us to invoke machinery from coding theory in our analysis.

From this one can deduce that the eigenvalue spectrum of  $G$  does indeed resemble the hypercube in the range close to 1. In particular each  $\chi_{(i)}(x) = x_i$  is a distinct eigenvector with eigenvalue  $1 - 2\varepsilon$ , and gives a bad cut in  $G$  (where vertices are partitioned based on the value of  $x_i$ ). On the other hand for any eigenvector  $\chi$  of  $G$ , choose  $\alpha$  of minimal weight such that  $\chi = \chi_\alpha$ . Now if  $|\alpha| > D/10$  this means that the distance of  $\alpha$  from  $C$  is at least  $D/10$ , which using the testing property implies that  $\lambda_\alpha \leq 1 - 2 \cdot 0.49 = 0.02$ .

If we can show that indicator functions of small sets have most of their Fourier mass on such eigenvectors (with small eigenvalue), that will imply that small sets have good expansion. For small subsets of the hypercube, recall that this is proved using (2,4)-hypercontractivity for low-degree polynomials. The key observation is that the inequality

$$\mathbb{E}_{x \in \mathcal{D}} [f(x)^4] \leq C \mathbb{E}_{x \in \mathcal{D}} [f(x)^2]^2 \quad (2.2)$$

still holds for all polynomials  $f$  of degree  $d < D/4$ . This is because the distance of  $C$  is  $D$ , hence the distribution of a random  $x$  in  $\mathcal{D}$  is  $D$ -wise independent, which means that the expectation of any polynomial of degree at most  $D$  is equal over such  $x$  and over a uniform  $x$  in  $\{\pm 1\}^N$ . Thus (2.2) follows from (2.1), completing our proof.

We instantiate this approach with using for  $C$  the Reed Muller code consisting of polynomials in  $n$  variables over  $\mathbb{F}_2$  of degree  $n-d-1$ . This is a code of distance  $D = 2^{d-1}$ . We note that the degree  $n-d-1$  and hence the rate of the code  $C$  are very high. The graph is over the codewords of  $\mathcal{D} = C^\perp$  that is itself the Reed Muller code of polynomials over  $\mathbb{F}_2^n$  of degree  $d$ . Our basic tester consists of selecting a random minimum weight codeword of  $\mathcal{D}$ .<sup>7</sup> Thus our graph  $\mathcal{G}$  has as its vertices the  $d$  degree polynomials over  $\mathbb{F}_2^n$  with an edge between every polynomials  $p, q$  such that  $p - q$  is a product of  $d$  linearly-independent affine functions (as those are the minimal weight codewords in the Reed

<sup>6</sup>Cayley graph are usually defined to be unweighted graph. However, the definition can be generalized straightforwardly to weighted graphs.

<sup>7</sup>For many applications we amplify the success of this tester by selecting a sum of  $t$  random such words, this corresponds to taking some power of the basic graph  $\mathcal{G}$  described.

Muller code). We use the optimal analysis of Bhattacharyya, Kopparty, Schoenebeck, Sudan and Zuckerman [BKS<sup>+</sup>10] to argue about the local testability of  $C$  which is a high degree Reed Muller code. We should note that this test is very closely related to the Gowers uniformity test that was first analyzed in the work of Kaufman et al. [AKK<sup>+</sup>05], but our application requires the stronger result from [BKS<sup>+</sup>10].

## 2.1 Other applications

We now briefly outline how we use the above tools to obtain more efficient versions of several other constructions such as alphabet reduction gadgets and integrality gaps for unique games and other problems.

**Efficient integrality gaps for Unique Games.** To begin with, the graph we construct can be used to prove Theorem 2. That is, a construction of an  $M$  variable instance  $\Gamma$  of unique games where every assignment can satisfy at most a very small (say  $1/100$ ) fraction of the constraints, but for which the standard semidefinite programming (SDP) relaxation has value of at least  $1 - 1/\text{qpoly}(\log M)$ . The basic idea is to simply take the graph  $\mathcal{G}$  we constructed above, and turn it into an instance of unique games by considering it to be the *label extended graph* of some unique games instance. We now elaborate a bit below, leaving the full details to Section 7. Recall that a UNIQUE GAMES instance  $\Gamma$  with  $M$  variables and alphabet  $\Sigma$  is described by a collection of constraints of the form  $(x, y, \pi)$  where  $\pi$  is a permutation over  $\Sigma$ . An *assignment* to  $\Gamma$  is a mapping  $f$  from  $[M]$  to  $\Sigma$ , and  $f$ 's value is the fraction of constraints  $(x, y, \pi)$  such that  $f(y) = \pi(f(x))$ . The *label extended graph* corresponding to  $\Gamma$  is the graph  $G_\Gamma$  over vertices  $[M] \times \Sigma$  where for every constraint of the form  $(x, y, \pi)$  and  $\sigma \in \Sigma$  we add an edge between  $(x, \sigma)$  and  $(y, \pi(\sigma))$ . It is not hard to see that an assignment of value  $1 - \varepsilon$  corresponds to a subset  $S$  containing exactly  $M$  of  $G_\Gamma$ 's vertices with small expansion (i.e.,  $\varepsilon$  fraction of the edges from  $S$  leave the set). Thus if  $G_\Gamma$  is an expander for sets of measure  $1/|\Sigma|$  in  $G_\Gamma$  then there is no nearly satisfying assignment for the unique games instance  $\Gamma$ . In our case, our graph  $\mathcal{G}$  has the degree  $d$  polynomials over  $\mathbb{F}_2^n$  as its vertices, and we transform it into a unique game instance whose variables correspond to degree  $d$  polynomials *without linear terms*. The alphabet  $\Sigma$  consists of all linear functions over  $\mathbb{F}_2^n$ . We ensure that the graph  $\mathcal{G}$  is the label extended graph of  $\Gamma$  by setting the permutations accordingly: given a polynomial  $p$  without a linear term, and a function  $q$  that is a product of  $d$  affine functions,<sup>8</sup> if we write  $q = q' + q''$  where  $q''$  is the linear part of  $q$ , then we add a constraint of the form  $(p, p + q', \pi)$  where  $\pi$  is the permutation that maps a linear function  $r$  into  $r + q''$ . Some not too difficult calculations show that the top eigenvectors of our graph  $\mathcal{G}$  yield a solution for the semidefinite program for  $\Gamma$  (if the top eigenvectors are  $f^1, \dots, f^K$ , our vector solution will associate with each vertex  $x$  the vector  $(f^1(x), \dots, f^K(x))$ ). By choosing carefully the parameters of the graph  $\mathcal{G}$ , the instance  $\Gamma$  will have SDP value  $1 - 1/\text{qpoly}(\log M)$  where  $M$  is the number of variables.

<sup>8</sup>Actually, to get better parameters, we take some power  $t$  of  $\mathcal{G}$ , meaning that we consider  $q$  that is a sum of  $t$  functions that are products of  $d$  affine functions.

**Derandomized Invariance Principle.** While hypercontractivity of low degree polynomials suffices for some applications of the long code, other applications require other theorems, and in particular the *invariance principle*, shown for the hypercube by Mossel, O’Donnell and Oleszkiewicz [MOO05]. Roughly speaking their invariance principle says that for “nice” functions  $f$  on the vertices of the  $N$ -dimensional noisy hypercube, the distribution of  $f(x)$  where  $x$  is a random vertex is close to the distribution of  $f(y)$  where  $y$  consists of  $N$  independent standard Gaussian random variables (appropriately extending  $f$  to act on  $\mathbb{R}^N$ ). To obtain more efficient version of these applications, we first show that the same holds even when  $x$  is a random vertex in our smaller subset of  $N$ -dimensional strings – the Reed–Muller codewords. Our central tool is a recent result by Meka and Zuckerman [MZ10] which derandomizes the invariance principle of Mossel et al. Our key insight is that taking a random Reed–Muller codeword can in fact be viewed as an instantiation of the Meka-Zuckerman generator, which involves splitting the input into blocks via a pairwise independent hash function, and using independent  $k$ -wise independent distributions in each block. This allows us to obtain a version of the “Majority is Stablest” theorem for our graph, which is the main corollary of the invariance principle that is used in applications of the longcode. See Section 5 for more details.

**Efficient alphabet reduction .** With the “Majority of Stablest” theorem in hand, proving Theorem 4 (efficient alphabet reduction for unique games), is fairly straightforward. The idea is to simply replace the noisy hypercube gadget used by [KKMO04] with our derandomized hypercube. This is essentially immediate in the case of alphabet reduction to binary alphabet (i.e., reduction to Max Cut) but requires a bit more work when reducing to a larger alphabet. See Section 6 for more details.

**Efficient hierarchy integrality gaps.** Our proof Theorem 3 again works by plugging in our short code / derandomized noisy hypercube in place of the long code in the previous integrality gap constructions [KV05, KS09, RS09]. Specifically, these constructions worked by starting with an integrality gap for unique games where the basic SDP yields  $1 - 1/r$ , and then composing it with an alphabet reduction gadget to obtain a new instance; Raghavendra and Steurer [RS09] showed that the composed instances resist  $\text{poly}(r)$  rounds of the SA hierarchy and  $\text{exp}(\text{poly}(r))$  rounds of the LH hierarchy. These constructions used the noisy cube twice— both to obtain the basic unique games gap instance, and to obtain the alphabet reduction gadget. We simply plug in our short code in both usages— using for the basic unique games instance the efficient version obtained in Theorem 2, and for the alphabet reduction gadget the efficient version obtained in Theorem 4. (Luckily, our unique games instance has affine constraints and so is compatible with our alphabet reduction gadget.) The result essentially follows in a blackbox way from the analysis of [RS09]. See Section 8 for details.

### 3 Preliminaries

Let  $G$  be a regular graph with vertex set  $V$ . For a subset  $S \subseteq V$  we define the *volume* of  $S$ , denoted  $\mu(S)$ , to be  $|S|/|V|$ . We define the *expansion* of  $S$ , denoted  $\Phi(S)$ , to be the probability over a random edge  $(u, v)$ , conditioned on  $u \in S$  that  $v \notin S$ . Equivalently (since  $G$  is regular),  $\Phi(S) = G(S, V \setminus S)/(\deg_G |S|)$  where  $\deg_G$  is the degree of the graph  $G$  and  $G(S, V \setminus S)$  is the number of edges going from  $S$  to  $V \setminus S$ . Throughout, we denote the normalized adjacency matrix of a graph  $G$  also by  $G$ , and refer to the spectrum of the adjacency matrix as the spectrum of the graph  $G$ . Note that by definition, every regular graph has maximum eigenvalue 1. In this paper, we use *expectation norms* for real-valued functions. That is, for a function  $f: S \rightarrow \mathbb{R}$  and  $p \geq 1$ , we let  $\|f\|_p := (\mathbb{E}_{x \in S} |f(x)|^p)^{1/p}$ .

Many of the unique games instances that appear in this work belong to a special subclass of unique games, namely  $\mathbb{F}_2^N$ -MAX-2LIN instances defined below.

**Definition 3.1.** Given a group  $\mathcal{H}$ , an  $\mathcal{H}$ -MAX-2LIN instance consists of a system of linear equations over the group  $\mathcal{H}$  where each equation is of the form  $x_i - x_j = c_{ij}$  for some  $c_{ij} \in \mathcal{H}$ .

**Locally Testable Codes.** Let  $C$  be an  $[N, K, D]_2$  code, that is,  $C$  is a  $K$ -dimensional linear subspace of  $\mathbb{F}_2^N$  with minimum distance  $D$  ( $= \min\{\text{wt}(x) : x \in C\}$ ). (In this paper, we are mostly interested in the extremely high rate regime when  $H = N - K$  is very small compared to  $N$  and are happy with  $D$  being some large constant.) Let  $\Delta(x, y) \in \{0, \dots, N\}$  denote Hamming distance between  $x, y \in \mathbb{F}_2^N$ . For  $\alpha \in \mathbb{F}_2^N$  and a code  $C$  we define

$$\Delta(\alpha, C) \stackrel{\text{def}}{=} \min_{c \in C} \Delta(\alpha, c).$$

**Definition 3.2.** We say a distribution  $\mathcal{T}$  over  $\mathbb{F}_2^N$  is a *canonical tester* for  $C$  if every vector in the support of the distribution  $\mathcal{T}$  is a codeword  $q \in C^\perp$ . The *query complexity* of  $\mathcal{T}$  is the maximum weight of a vector in its support. The tester's *soundness curve*  $s_{\mathcal{T}}: \mathbb{N} \rightarrow [0, 1]$  is defined as

$$s_{\mathcal{T}}(k) \stackrel{\text{def}}{=} \min_{\substack{\alpha \in \mathbb{F}_2^N \\ \Delta(\alpha, C) \geq k}} \mathbb{P}_{q \sim \mathcal{T}} \{\langle \alpha, q \rangle = 1\}.$$

Similarly, we denote the *rejection probability* of  $\mathcal{T}$  for a vector  $\alpha \in \mathbb{F}_2^N$  by  $s_{\mathcal{T}}(\alpha) = \mathbb{P}_{q \sim \mathcal{T}} \{\langle \alpha, q \rangle = 1\}$ . We let the *query probability*  $\tau \in [0, 1]$  of a tester be the expected fraction of queried coordinates, that is,  $\tau = \mathbb{E}_{q \sim \mathcal{T}} \text{wt}(q)/N$ . We say that a tester  $\mathcal{T}$  with query probability  $\tau$  is *smooth* if for any coordinate  $i \in [N]$ ,  $\mathbb{P}_{q \sim \mathcal{T}} \{q_i = 1\} = \tau$  and we say it is *2-smooth* if in addition, for any two distinct coordinates  $i \neq j$ ,  $\mathbb{P}_{q \sim \mathcal{T}} \{q_i = q_j = 1\} = \tau^2$ .

If the tester  $\mathcal{T}$  is clear from the context, we will sometimes drop the subscript of the soundness curve / rejection probability  $s_{\mathcal{T}}$ . In the setting of this paper, we will consider testers with query probability slowly going to 0 (with  $N$ ). Further, given a canonical

tester  $\mathcal{T}$ , it is easy to amplify the probability of rejection by repeating the test and taking the XOR of the results.

Finally, the following simple lemma gives some estimates for rejection probabilities of vectors for smooth testers.

**Lemma 3.3.** *If  $\mathcal{T}$  is a smooth canonical tester with query probability  $\tau$ , then  $s_{\mathcal{T}}(\alpha) \leq \Delta(\alpha, C) \cdot \tau$  for every vector  $\alpha \in \mathbb{F}_2^N$ . Furthermore, if  $\mathcal{T}$  is 2-smooth, then  $s_{\mathcal{T}}(\alpha) \geq (1 - \gamma) \cdot \Delta(\alpha, C) \cdot \tau$  for every vector  $\alpha \in \mathbb{F}_2^N$  with  $\Delta(\alpha, C)\tau \leq \gamma$ .*

*Proof.* Fix  $\alpha \in \mathbb{F}_2^N$  and let  $k = \Delta(\alpha, C)$ . Without loss of generality, we may assume  $\text{wt}(\alpha) = k$ . By renaming coordinates, we may assume  $\alpha_1 = \dots = \alpha_k = 1$  and  $\alpha_{k+1} = \dots = \alpha_N = 0$ . Then,  $s_{\mathcal{T}}(\alpha) \leq \mathbb{P}_{q \sim \mathcal{T}} \{q_1 = 1\} + \dots + \mathbb{P}_{q \sim \mathcal{T}} \{q_k = 1\} = k \cdot \tau$ . On the other hand,

$$s_{\mathcal{T}}(\alpha) \geq \sum_{i=1}^k \mathbb{P}_{q \sim \mathcal{T}} \{q_i = 1\} - \sum_{0 \leq i < j \leq k} \mathbb{P}_{q \sim \mathcal{T}} \{q_i = q_j = 1\} \geq k\tau - k^2\tau^2 \geq (1 - \gamma) \cdot k\tau. \quad \square$$

We review the prerequisites for Majority is Stablest and Unique Games related results in the corresponding sections.

## 4 Small Set Expanders from Locally Testable Codes

In this section we first use some known properties of hypercontractive norms to give a sufficient condition for graphs to be small set expanders. We then describe a generic way to construct graphs satisfying this condition from locally testable codes, proving Theorem 1.

### 4.1 Subspace hypercontractivity and small set expansion

Let  $\mathcal{V}$  be a subspace of the set of functions from  $V$  to  $\mathbb{R}$  for some finite set  $V$ . We denote by  $P_{\mathcal{V}}$  the projection operator to the space  $\mathcal{V}$ . For  $p, q \geq 1$ , we define

$$\|\mathcal{V}\|_{p \rightarrow q} \stackrel{\text{def}}{=} \max_{f: V \rightarrow \mathbb{R}} \frac{\|P_{\mathcal{V}}f\|_q}{\|f\|_p}.$$

We now relate this notion to small set expansion. We first show that a subspace  $\mathcal{V}$  with bounded  $(4/3) \rightarrow 2$  norm cannot contain the characteristic function of a small set:

**Lemma 4.1.** *Let  $f : V \rightarrow \{0, 1\}$  such that  $\mu = \mathbb{E}_{x \in V}[f(x)]$  then  $\|P_{\mathcal{V}}f\|_2^2 \leq \|\mathcal{V}\|_{4/3 \rightarrow 2}^2 \mu^{3/2}$ .*

*Proof.* This is by direct calculation

$$\|P_{\mathcal{V}}f\|_2^2 \leq \|\mathcal{V}\|_{4/3 \rightarrow 2}^2 \|f\|_{4/3}^2 = \|\mathcal{V}\|_{4/3 \rightarrow 2}^2 \mu^{(3/4) \cdot 2}$$

□

Note that if  $\|\mathcal{V}\|_{4/3 \rightarrow 2} = O(1)$  and  $\mu = o(1)$ , then  $\|P_{\mathcal{V}}f\|_2^2 = o(\|f\|_2^2)$ , meaning the projection of  $f$  onto  $V$  is small. It is often easier to work with the  $2 \rightarrow 4$  norm instead of the  $4/3 \rightarrow 2$  norm. The following lemma allows us to use a bound on the former to bound the latter:

**Lemma 4.2.**

$$\|\mathcal{V}\|_{4/3 \rightarrow 2} \leq \|\mathcal{V}\|_{2 \rightarrow 4}$$

*Proof.* Let  $f : V \rightarrow \mathbb{R}$  and let  $f' = P_{\mathcal{V}}f$ . We know that

$$\begin{aligned} \mathbb{E}[f'^2] &= \mathbb{E}[f' \cdot f] \quad (\text{since } f' \text{ is the projection of } f) \\ &\leq \mathbb{E}[f'^4]^{1/4} \mathbb{E}[f^{4/3}]^{3/4} \quad (\text{by Hölder's inequality}) \\ &= \mathbb{E}[(P_{\mathcal{V}}f')^4]^{1/4} \mathbb{E}[f^{4/3}]^{3/4} \quad (\text{projection is idempotent}) \\ &\leq \|\mathcal{V}\|_{2 \rightarrow 4} \mathbb{E}[(f')^2]^{1/2} \mathbb{E}[f^{4/3}]^{3/4}. \end{aligned}$$

Dividing by  $\|f\|_2 = \mathbb{E}[f^2]^{1/2}$  yields the result.  $\square$

We now conclude that graphs for which the top eigenspace has bounded  $2 \rightarrow 4$  norm are small set expanders. The lemma can be viewed qualitatively as a generalization of one direction of the classical Cheeger's inequality relating combinatorial expansion to eigenvalue gap [Che70].

**Lemma 4.3.** *Let  $G = (V, E)$  be regular graph, and  $\mathcal{V}$  be the span of the eigenvectors of  $G$  with eigenvalue larger than  $\lambda$ . Then, for every  $S \subseteq V$ ,*

$$\Phi(S) \geq 1 - \lambda - \|\mathcal{V}\|_{2 \rightarrow 4}^2 \sqrt{\mu(S)}$$

*Proof.* Let  $f$  be the characteristic function of  $S$ , and write  $f = f' + f''$  where  $f' = P_{\mathcal{V}}f$  (and so  $f'' = f - f'$  is the projection to the eigenvectors with value at most  $\lambda$ ). Let  $\mu = \mu(S)$ . We know that

$$\Phi(S) = 1 - \langle f, Gf \rangle / \|f\|_2^2 = 1 - \langle f, Gf \rangle / \mu \quad (4.1)$$

By Lemma 4.1, and Lemma 4.2,

$$\langle f, Gf \rangle = \langle f', Gf' \rangle + \langle f'', Gf'' \rangle \leq \|f'\|_2^2 + \lambda \|f''\|_2^2 \leq \|\mathcal{V}\|_{4/3 \rightarrow 2}^2 \mu^{3/2} + \lambda \mu \leq \|\mathcal{V}\|_{2 \rightarrow 4}^2 \mu^{3/2} + \lambda \mu.$$

Plugging this into (4.1) yields the result.  $\square$

## 4.2 Cayley graphs on codes

Motivated by the previous section, we now construct a graph for which the projection operator on to the top eigenspace is hypercontractive, i.e., has small  $2 \rightarrow 4$  norm, while also having high rank.

Let  $C \subseteq \mathbb{F}_2^N$  be an  $[N, K, D]_2$  code. The graph we construct will be a Cayley graph with vertices indexed by  $C^\perp$  and edges drawn according to a canonical local tester  $\mathcal{T}$  for  $C$ . Let  $\text{Cay}(C^\perp, \mathcal{T})$  denote the (weighted) Cayley graph with vertex set  $C^\perp$  and

edges generated by  $\mathcal{T}$ . We describe the graph more precisely by specifying the neighbor distribution for a random walk on the graph. For a vertex  $p \in C^\perp$ , a random neighbor has the form  $p + q$  with  $q$  sampled from the tester  $\mathcal{T}$ . (Since the group  $C^\perp$  has characteristic 2, the graph  $\text{Cay}(C^\perp, \mathcal{T})$  is symmetric for every tester  $\mathcal{T}$ .)

We will argue that if the tester  $\mathcal{T}$  has small query complexity and good soundness, then the graph  $\text{Cay}(C^\perp, \mathcal{T})$  has many large eigenvalues while being a small-set expander.

**Theorem 4.4.** *Let  $C$  be an  $[N, K, D]_2$  linear code that has a canonical tester  $\mathcal{T}$  with query complexity  $\varepsilon N$  and soundness curve  $s(\cdot)$  and let  $k < D/5$ . The graph  $\text{Cay}(C^\perp, \mathcal{T})$  has  $2^{N-K} = 2^H$  vertices with at least  $N/2$  eigenvalues larger than  $1 - 4\varepsilon$ . All subsets  $S$  of  $C^\perp$  have expansion at least*

$$\Phi(S) \geq 2s(k) - 3^k \sqrt{\mu(S)}$$

By XORing the results of multiple tests, one can let the soundness  $s(k)$  tend to  $1/2$ . Hence, if  $s(k)$  is significantly larger than  $\varepsilon$  (for appropriate  $k$ ), one can obtain a graph with many large eigenvalues such that small enough sets have near-perfect expansion.

**Eigenfunctions and Eigenvalues.** We identify the graph  $G = \text{Cay}(C^\perp, \mathcal{T})$  by its normalized adjacency matrix. For every vector  $\alpha \in \mathbb{F}_2^N$ , the character  $\chi_\alpha: C^\perp \rightarrow \{\pm 1\}$  with  $\chi_\alpha(p) = (-1)^{\langle \alpha, p \rangle}$  is an eigenfunction of  $G$ . If two vectors  $\alpha, \beta \in \mathbb{F}_2^N$  belong to the same coset of  $C$ , they define the same character over  $C^\perp$  since  $\langle \alpha + \beta, p \rangle = 0$  for all  $p \in C^\perp$ , while if  $\alpha + \beta \notin C$  then  $\langle \chi_\alpha, \chi_\beta \rangle = 0$ . Thus, the set of characters of  $C^\perp$  corresponds canonically to the quotient space  $\mathbb{F}_2^N/C$ . If we fix a single representative  $\alpha$  for every coset in  $\mathbb{F}_2^N/C$ , we have exactly  $2^{N-K} = 2^H$  distinct, mutually orthogonal characters. We define the degree of a character as follows:

$$\deg(\chi_\alpha) = \min_{c \in C} \text{wt}(\alpha + c) = \Delta(\alpha, C). \quad (4.2)$$

Note that if  $\deg(\chi_\alpha) < D/2$ , then the minimum weight representative in  $\alpha + C$  is unique. (This uniqueness will allow us later to define low-degree influences of functions, see Section 5.)

We let  $\lambda_\alpha$  denote the eigenvalue corresponding to character  $\chi_\alpha$ . The following observation connects the soundness of the canonical tester to the spectrum of  $G$ :

**Lemma 4.5.** *For any  $\alpha \in \mathbb{F}_2^N$ ,  $\lambda_\alpha = 1 - 2s(\alpha)$ .*

*Proof.* From standard facts about Cayley graphs, it follows that

$$\lambda_\alpha = \mathbb{E}_{q \in \mathcal{T}} [\chi_\alpha(q)] = \mathbb{E}_{q \in \mathcal{T}} [(-1)^{\alpha \cdot q}] = 1 - 2 \mathbb{P}_{q \in \mathcal{T}} [\alpha \cdot q = 1] = 1 - 2s(\alpha). \quad (4.3)$$

□

We use this to show that many *dictator cuts* in  $G$  which correspond to characters with degree 1 have eigenvalues close to 1. We let  $\lambda_i, \chi_i$  denote  $\lambda_{\{i\}}, \chi_{\{i\}}$ . As noted before, for  $D > 2$  these are distinct characters.

**Corollary 4.6.** *We have  $\lambda_i \geq 1 - 4\varepsilon$  for at least  $N/2$  coordinates  $[i] \in N$ .*

*Proof.* We have  $\lambda_i = 1 - 2 \mathbb{P}_{q \in \mathcal{T}}[q_i = 1]$ . Since  $\text{wt}(q) \leq \varepsilon N$  for every  $q \in \mathcal{T}$ ,

$$\sum_{i=1}^N \mathbb{P}_{q \in \mathcal{T}}[q_i = 1] \leq \varepsilon N.$$

So we can have  $\mathbb{P}_{q \in \mathcal{T}}[q_i = 1] \geq 2\varepsilon$  for at most  $N/2$  coordinates.  $\square$

Another immediate consequence of Lemma 4.5 is that large degree characters have small eigenvalues.

**Corollary 4.7.** *If  $\deg(\chi_\alpha) \geq k$ , then  $\lambda_\alpha \leq 1 - 2s(k)$ .*

**Subspace Hypercontractivity.** Given a function  $f: C^\perp \rightarrow \mathbb{R}$  we can write it (uniquely) as a linear combination of the characters  $\{\chi_\alpha\}_{\alpha \in \mathbb{F}_2^N/C}$

$$f(p) = \sum_{\alpha \in \mathbb{F}_2^N/C} \hat{f}(\alpha) \chi_\alpha(p),$$

where  $\hat{f}(\alpha) = \langle \chi_\alpha, f \rangle$  is the *Fourier transform* of  $f$  (over the abelian group  $C^\perp$ ).

We define the *degree* of  $f$ , denoted  $\deg(f)$  to be  $\max_{\alpha: \hat{f}(\alpha) \neq 0} \deg(\chi_\alpha)$ . Note that  $\deg(f + g) \leq \max\{\deg(f), \deg(g)\}$  and  $\deg(fg) \leq \deg(f) + \deg(g)$ . The following crucial observation follows immediately from the fact that  $C$  has minimum distance  $D$ .

**Fact 4.8.** *The uniform distribution on  $C^\perp$  is  $(D - 1)$  wise independent. That is, for any  $\alpha \in \mathbb{F}_2^N$  such that  $1 \leq \text{wt}(\alpha) < D$  we have  $\mathbb{E}_{p \in C^\perp}[\chi_\alpha(p)] = 0$ .*

This fact has the following corollary:

**Lemma 4.9.** *Let  $\ell < (D - 1)/4$  and let  $\mathcal{V}$  be the subspace of functions with degree at most  $\ell$ . Then  $\|\mathcal{V}\|_{2 \rightarrow 4} \leq 3^{\ell/2}$ .*

*Proof.* The proof follows from the following two facts:

1. This bound on the  $2 \rightarrow 4$  norm is known to hold for true low degree polynomials under the uniform distribution on the hypercube by the Bonami-Beckner-Gross inequality [O'D08].
2. The expectation of polynomials of degree up to  $4\ell < D - 1$  are the same under the uniform distribution and a  $D - 1$ -wise independent distribution.

Given  $f: \mathbb{R}^n \rightarrow \mathbb{R}$ , let  $f^\ell$  denote its projection onto the space  $\mathcal{V}$  spanned by characters where  $\deg(\chi_\alpha) \leq \ell$ . We have

$$\begin{aligned} \|f^\ell\|_4^4 &= \mathbb{E}_{p \in C^\perp} [f^\ell(p)^4] = \mathbb{E}_{p \in \{0,1\}^N} [f^\ell(p)^4], \\ \|f\|_2^2 &\geq \|f^\ell\|_2^2 = \mathbb{E}_{p \in C^\perp} [f^\ell(p)^2] = \mathbb{E}_{p \in \{0,1\}^N} [f^\ell(p)^2]. \end{aligned}$$



By the  $2 \rightarrow 4$  hypercontractivity for degree  $\ell$  polynomials over  $\{0, 1\}^N$ ,

$$\mathbb{E}_{p \in \{0,1\}^N} [f^\ell(p)^4] \leq 9^\ell \mathbb{E}_{p \in \{0,1\}^N} [f^\ell(p)^2]^2.$$

So we conclude that

$$\mathbb{E}_{p \in C^\perp} [f^\ell(p)^4] \leq 9^\ell \mathbb{E}_{p \in C^\perp} [f^\ell(p)^2]^2 \leq 9^\ell \mathbb{E}_{p \in C^\perp} [f(p)^2]^2,$$

which implies that  $\|\mathcal{V}\|_{2 \rightarrow 4} \leq 3^{\ell/2}$ .  $\square$

Combining the above bound with Lemma 4.3 we get that, if the local tester rejects sufficiently far codewords with high probability, then the resulting graph is a small set expander:

**Corollary 4.10.** *For every vertex subset  $S$  in the graph  $\text{Cay}(C^\perp, \mathcal{T})$  and every  $k < D/5$ , we have*

$$\Phi(S) \geq 2s(k) - 3^k \mu(S)^{\frac{1}{2}}.$$

In particular, as  $s(k)$  tends to  $1/2$ , the expansion of small sets tends to 1. This corollary together with Corollary 4.6 completes the proof of Theorem 4.4.

### 4.3 A Canonical Tester for Reed Muller codes

We instantiate the construction from the previous section for the Reed Muller code. Let  $C = \text{RM}(n, n-d-1)$  be the Reed Muller code on  $n$  variables of degree  $n-d-1$ , which has  $N = 2^n$ ,  $H = \sum_{j \leq d} \binom{n}{j}$  and  $D = 2^{d+1}$ . Bhattacharyya, Kopparty, Schoenebeck, Sudan and Zuckerman [BKS<sup>+</sup>10] analyze the canonical tester  $\mathcal{T}_{\text{RM}}$  which samples a random minimum weight codeword from  $C^\perp$ . It is well known that the dual of  $\text{RM}(n, n-d-1)$  is exactly  $\text{RM}(n, d)$  and that the minimum weight codewords in  $\text{RM}(n, d)$  are products of  $d$  linearly independent affine forms. They have weight  $2^{n-d} = \varepsilon N$  where  $\varepsilon = 2^{-d}$ . Thus, our graph  $\text{Cay}_{\text{RM}} = \text{Cay}(\text{RM}_{n,d}, \mathcal{T}_{\text{RM}})$  has as its vertices the  $d$ -degree polynomials over  $\mathbb{F}_2^n$  with an edge between every pair of polynomials  $P, Q$  such that  $P - Q$  is equal to a minimum weight codeword, which are known to be products of  $d$  linearly independent affine forms.

**Theorem 4.11** ([BKS<sup>+</sup>10]). *There exists a constant  $\eta_0 > 0$  such that for all  $n, d$ , and  $k < \eta_0 2^d$  the tester  $\mathcal{T}_{\text{RM}}$  described above has soundness  $s(k) \geq (k/2) \cdot 2^{-d}$ .*

Theorem 4.11 allows us to estimate the eigenvalue profile of  $\text{Cay}_{\text{RM}}$  and shows that small sets have expansion close to  $O(\eta_0)$ . From here, we can get near perfect expansion by taking short random walks. To avoid cumbersome discretization issues we work with continuous time random walks on graphs instead of the usual discrete random walks.

**Definition 4.12.** For a graph  $G$  the continuous-time random walk on  $G$  with parameter  $t$  is described by the (stochastic) matrix  $G(t) = e^{-t(I-G)}$ .  $G(t)$  and  $G$  have the same eigenvectors and the eigenvalues of  $G(t)$  are  $\{e^{-t(1-\mu_i)}\}$ , where  $\{\mu_i\}$  is the spectrum of  $G$ .

We will view  $\text{Cay}_{\text{RM}}(t)$  as a weighted graph. We show that its eigenvalue profile is close to that of the noisy cube, this stronger statement will be useful later.

**Lemma 4.13.** *Let  $t = \varepsilon 2^{d+1}$  for  $\varepsilon > 0$  and  $\rho = e^{-\varepsilon}$ . Let  $\{\lambda_\alpha\}$  denote the eigenvalues of  $\text{Cay}_{\text{RM}}(t)$ .*

- If  $\deg(\chi_\alpha) = k$ ,  $\lambda_\alpha \leq \max(\rho^{k/2}, \rho^{\mu_0 2^d})$  where  $\mu_0$  is an absolute constant.
- For all  $\delta < \delta_0$  for some constant  $\delta_0$ , if  $\deg(\chi_\alpha) = k < \delta^2 2^{d+1}$ ,  $|\lambda_\alpha - \rho^k| \leq \delta$ .

*Proof.* Let  $\{\mu_\alpha\}$  be the eigenvalues of  $\text{Cay}_{\text{RM}}$  corresponding to the character  $\chi_\alpha$  so that  $\lambda_\alpha = e^{-t(1-\mu_\alpha)}$ . Let  $\tau = 2^{-(d+1)}$ . Since the canonical tester  $\overline{\mathcal{T}}_{\text{RM}}$  for  $C$  is 2-smooth, by [Lemma 3.3](#),  $\mu_\alpha = 1 - k\tau \pm k^2\tau^2$ . Hence,  $\lambda_\alpha = e^{-t(1-\mu_\alpha)} = e^{-\varepsilon k(1 \pm k\tau)} = \rho^k e^{-\varepsilon k^2\tau}$ .

For  $k \leq 2^d$ ,  $1 - \mu_\alpha = k\tau \pm k^2\tau^2 \geq k\tau/2$ . Therefore, if  $\deg(\chi_\alpha) \leq 2^d$ ,  $\lambda_\alpha = e^{-\varepsilon 2^{d+1}(1-\mu_\alpha)} \leq e^{-\varepsilon 2^{d+1}k\tau/2} = \rho^{k/2}$ . For  $k > 2^d$ , by [Corollary 4.7](#),  $\mu_\alpha < 1 - 2s(k) < C_0$  for a universal constant  $C_0 < 1$ . Therefore,  $|\lambda_\alpha| < e^{-\varepsilon 2^{d+1}(1-C_0)} = \rho^{(1-C_0)2^{d+1}} < \rho^{\mu_0 2^d}$  for  $\mu_0 < (1 - C_0)/2$ .

We now prove the second bound. If  $\varepsilon k^2\tau < \delta/10$ , we have  $\lambda_\alpha = \rho^{-k}(1 \pm \delta)$  which implies  $|\lambda_\alpha - \rho^k| \leq \delta$ . Otherwise, if  $\varepsilon k^2\tau \geq \delta/10$ , our assumption  $k < \delta^2 2^{d+1}$  implies  $\varepsilon k > 1/(10\delta)$ , hence  $\varepsilon^{-\varepsilon k} \leq e^{-\frac{1}{10\delta}} \leq \delta/4$  for all  $\delta < \delta_0$ . For  $k \leq 2^d$ ,  $(1 - \mu_\alpha) = k\tau \pm k^2\tau^2 \geq k\tau/2$ . Hence  $\lambda_\alpha \leq e^{-tk\tau/2} \leq e^{-\frac{1}{20\delta}} \leq \delta/4$  for all  $\delta < \delta_0$ . In this case, we get  $|\lambda_\alpha - \rho^k| \leq |\lambda_\alpha| + |\rho^k| \leq \delta/2$ . □

Since the eigenvectors stay the same,  $\text{Cay}_{\text{RM}}(t)$  inherits the hypercontractive properties of  $\text{Cay}_{\text{RM}}$ . In particular, by [Lemma 4.9](#),  $\|\mathcal{V}\|_{2 \rightarrow 4} \leq 3^{\ell/2}$  where  $\mathcal{V}$  denotes polynomials of degree  $\ell \leq \frac{D-1}{4}$ . Combining [Lemmas 4.3](#) and [4.13](#), we obtain a graph with small set expansion and many large eigenvalues.

**Theorem 4.14.** *For any  $\varepsilon, \eta > 0$ , there exists a graph  $G$  with  $2^{(\log |G|)^{\frac{1}{d}}}$  eigenvalues larger than  $1 - \varepsilon$  for  $d = \log(1/\varepsilon) + \log \log(1/\eta) + O(1)$  and where every set  $S \subseteq G$  has expansion*

$$\Phi(S) \geq 1 - \eta - 3^{\frac{c_1}{\varepsilon} \log(1/\eta)} \sqrt{\mu(S)}$$

for some constant  $c_1$ .

*Proof.* Let  $\mu_0, \delta_0$  be constants from previous lemma. Fix  $\ell = \frac{c_1}{\varepsilon} \log(\frac{1}{\eta})$  so that  $e^{-\varepsilon \ell/2} = \eta$  and  $d = \log(\ell) + c_2$  so that  $\ell \leq \min(\mu_0 2^{d+1}, 2^d/5)$ . Consider the graph  $\text{Cay}_{\text{RM}}(t)$  of the continuous random walk on  $\text{Cay}_{\text{RM}}$  where  $t = \varepsilon 2^{d+1}$  as in [Lemma 4.13](#). Note that the graph has  $|G| = \sum_{j \leq d} \binom{N}{j}$  vertices. Let  $\{\mu_\alpha\}$  be the spectrum of  $\text{Cay}_{\text{RM}}$  and  $\lambda_\alpha$  be the spectrum of  $\text{Cay}_{\text{RM}}(t)$ .

Then, for every  $\alpha \in \mathbb{F}_2^N/C$ ,  $\deg(\chi_\alpha) = 1$ , we have  $s_\tau(\alpha) \geq 2^{-d}$ . Hence  $\mu_\alpha \geq 1 - 2^{-d+1}$ ,  $\lambda_\alpha \geq e^{-t2^{-d+1}} = e^{-4\varepsilon}$ . Therefore, there are at least  $N = 2^{(\log |G|)^{1/d}}$  eigenvalues which are larger than  $1 - 4\varepsilon$ .

Since  $\ell < \mu_0 2^{d+1}$ , by [Lemma 4.13](#) if  $\deg(\chi_\alpha) > \ell$ ,  $\lambda_\alpha \leq \eta$ . Let  $\mathcal{V}$  be the subspace spanned by characters of degree at most  $\ell$ . Since  $\ell < 2^d/5$  by [Lemma 4.9](#),  $\|\mathcal{V}\|_{2 \rightarrow 4} \leq 3^{\ell/2}$ . Therefore, by [Lemma 4.3](#), for any set  $S \subseteq G$  with  $\mu(S) \leq \delta$ ,

$$\Phi(S) \geq 1 - \eta - 3^{\frac{c_1}{\varepsilon} \log(1/\eta)} \sqrt{\mu(S)}.$$

□

**Remark 4.15** (Coding application). The fact that our graph is a Cayley graph over  $\mathbb{F}_2^n$  has a potentially interesting implication for coding theory. By looking at the set of edge labels as the rows of a generating matrix for a code, we know that large Fourier coefficients corresponds to low weight codewords, and hence we get a code of dimension  $m = \binom{n}{d}$  that has an almost exponential (i.e.  $2^n$ ) number of codewords of low weight, but yet has small *generalized Hamming distance* in the sense that every subspace of codimension  $\omega(1)$  contains a codeword of fractional Hamming weight  $1 - o(1)$ . In particular by setting  $d$  to be a function slowly tending to infinity we can get a linear code for which correcting from an  $o(1)$  fraction of *corruption* errors requires an almost exponential list size, but for which one can correct a fraction approaching 1 of *erasure* errors using a list of constant size. (The code obtained by taking all edges of our graph has an almost exponential blowup, but this can be reduced by subsampling the edges.)

## 5 Majority is Stablest over Codes

In this section we show an analogue of the “Majority is Stablest” result of Mossel et al. for the RM graph we constructed in the previous section; this will help us replace the noisy cube with the RM graph in various unique games gadgets.

We first review some definitions. For a function  $f : \{\pm 1\}^N \rightarrow \mathbb{R}$  and  $\ell > 0$ , define

$$\text{Inf}_i^{\leq \ell}(f) = \sum_{\alpha \in \{0,1\}^N, |\alpha| \leq \ell, \alpha_i = 1} |\hat{f}(\alpha)|^2.$$

For  $\rho > 0$ , let  $\Gamma_\rho : [0, 1] \rightarrow [0, 1]$  be the Gaussian noise stability curve defined as follows. For  $\mu \in [0, 1]$ , let  $t \in \mathbb{R}$  be such that  $\mathbb{P}_{g \leftarrow \mathcal{N}(0,1)}[g < t] = \mu$ . Then,  $\Gamma_\rho(\mu) = \mathbb{P}_{X,Y}[X \leq t, Y \leq t]$ , where  $(X, Y) \in \mathbb{R}^2$  is a two-dimensional mean zero Gaussian random vector with covariance matrix  $\begin{pmatrix} 1 & \rho \\ \rho & 1 \end{pmatrix}$ . We refer the reader to Appendix B in Mossel et al. [MOO05] for a more detailed discussion on  $\Gamma_\rho$ .

Let  $P(x) = \sum_{I \subseteq [N]} a_I \prod_{i \in I} x_i$  be a  $N$ -variate multilinear polynomial  $P : \mathbb{R}^N \rightarrow \mathbb{R}$ . Define  $\|P\|^2 = \sum_I a_I^2$  and we say  $P$  is  $\varepsilon$ -regular if for every  $i \in [N]$ ,  $\sum_{i \ni I} a_I^2 \leq \varepsilon^2 \cdot \|P\|^2$ .

Throughout this section, we let  $T_{\rho,N}$  (we omit  $N$  when the dimension is clear) denote the noisy hypercube graph with second largest eigenvalue  $\rho$ .

### 5.1 Majority is stablest and Invariance

The following theorem shows that, in the context of noise stability, a *regular* function on the hypercube behaves like a function on Gaussian space.

**Theorem 5.1** (Majority is stablest, [MOO05]). *Let  $f : \{\pm 1\}^N \rightarrow [0, 1]$  be a function with  $\mathbb{E} f = \mu$ . Suppose  $\text{Inf}_i^{\leq 10 \log(1/\tau)}(f) \leq \tau$  for all  $i \in [N]$ . Then,*

$$\langle f, T_\rho f \rangle \leq \Gamma_\rho(\mu) + \frac{10 \log \log(1/\tau)}{(1-\rho) \log(1/\tau)},$$

where  $T_\rho$  is the Boolean noise graph with second largest eigenvalue  $\rho$  and  $\Gamma_\rho$  is the Gaussian noise stability curve.

We will need the following ingredient of the proof of [Theorem 5.1](#) from [\[MOO05\]](#). For  $a, b \in \mathbb{R}$ , let  $\zeta_{[a,b]}: \mathbb{R} \rightarrow \mathbb{R}_+$  be the functional  $\zeta_{[a,b]}(x) = \max\{a - x, x - b, 0\}^2$ . For a real-valued random variable  $X$ , the expectation  $\mathbb{E} \zeta(X)$  is the  $L_2^2$ -distance of  $X$  to the set of  $[a, b]$ -valued random variables (over the same probability space as  $X$ ). We will be interested in the case  $a = 0$  and  $b = 1$ . For this case, we abbreviate  $\zeta = \zeta_{[0,1]}$ .

**Theorem 5.2** (Invariance Principle, [\[MOO05, Theorem 3.19\]](#)). *Let  $P$  be an  $\tau$ -regular  $N$ -variate real multilinear polynomial with degree at most  $\ell$  and  $\|P\|^2 \leq 1$ . Then,*

$$\left| \mathbb{E}_{x \in \{\pm 1\}^N} \zeta \circ P(x) - \mathbb{E}_{y \sim \mathcal{N}(0,1)^N} \zeta \circ P(y) \right| \leq 2^{O(\ell)} \sqrt{\tau}.$$

We will need the following corollary of that can handle functions that are not  $[0, 1]$ -valued as in the theorem but just close to  $[0, 1]$ -valued functions.

**Corollary 5.3.** *Let  $f: \{\pm 1\}^N \rightarrow \mathbb{R}$  be a function with  $\mathbb{E} f = \mu$  and  $\mathbb{E} \zeta \circ f \leq \tau$ . Suppose  $\text{Inf}_i f \leq \tau^{30 \log(1/\tau)}$  for all  $i \in [N]$ . Then,*

$$\langle f, T_\rho f \rangle \leq \Gamma_\rho(\mu) + \frac{40 \log \log(1/\tau)}{(1-\rho) \log(1/\tau)},$$

where  $T_\rho$  is the Boolean noise graph with second largest eigenvalue  $\rho$  and  $\Gamma_\rho$  is the Gaussian noise stability curve. (Here, we assume that  $\tau$  is small enough.)

*Proof.* Let  $f'$  be the closest  $[0, 1]$ -valued function to  $f$ . Since  $\|f - f'\| \leq \sqrt{\tau}$ , it follows that  $\text{Inf}_i^{\leq 20 \log(1/\tau)} f' \leq \tau + O(\sqrt{\tau}) \ll \tau^{1/3}$  and  $\mathbb{E} f' \leq \mathbb{E} f + \sqrt{\tau}$ . Since  $\langle f, T_\rho f \rangle \leq \langle f', T_\rho f' \rangle + O(\sqrt{\tau})$ , the corollary follows by applying [Theorem 5.1](#) to the function  $f'$ . (Here, we also use that fact that  $\Gamma_\rho(\mu + \sqrt{\tau}) \leq \Gamma_\rho(\mu) + 2\sqrt{\tau}$ . See Lemma B.3 in [\[MOO05\]](#).)  $\square$

We remark that although we specialize to Reed–Muller codes in this section, most of the arguments generalize appropriately to arbitrary codes with good canonical testers modulo a conjecture about bounded independence distributions fooling low-degree polynomial threshold functions. We briefly discuss this in [Section 5.3](#).

To state our version of “Majority is Stablest” we first extend the notion of influences to functions over Reed–Muller codes. For  $n, d \in \mathbb{N}$ ,  $N = 2^n$ , let  $C \subseteq \mathbb{F}_2^N$  be the Reed–Muller code  $\text{RM}(n, n - d - 1)$  and let  $C^\perp \subseteq \mathbb{F}_2^N$  be its dual  $\text{RM}(n, d)$ . For the rest of this section we assume that a set of representatives corresponding to the minimum weight codeword in each coset is chosen for the coset space  $\mathbb{F}_2^N/C$ .

**Definition 5.4.** For a function  $f: C^\perp \rightarrow \mathbb{R}$  and  $i \in [N]$ ,  $\ell > 0$ , the  $\ell$ -degree influence of coordinate  $i$  in  $f$  is defined by

$$\text{Inf}_i^{\leq \ell}(f) = \sum_{\substack{\alpha \in \mathbb{F}_2^N/C, \\ |\alpha| \leq \ell, \alpha_i = 1}} \hat{f}(\alpha)^2.$$

(Recall that the Fourier coefficient  $\hat{f}(\alpha) = \mathbb{E}_{x \in C^\perp}[\chi_\alpha(x)]$ .) As all  $\alpha$ 's with weight less than half the distance of  $C$  fall into different cosets of  $C$ , for  $\ell < D/2$ , the above expression simplifies to

$$\text{Inf}_i^{\leq \ell}(f) = \sum_{\alpha \in \mathbb{F}_2^N, |\alpha| \leq \ell, \alpha_i = 1} \hat{f}(\alpha)^2.$$

The sum of  $\ell$ -degree influences of a function  $f$  can be bounded as below.

**Lemma 5.5.** *For a function  $f : C^\perp \rightarrow \mathbb{R}$  and  $\ell < D/2$*

$$\sum_{i \in [N]} \text{Inf}_i^{\leq \ell}(f) \leq \ell \mathbb{V}[f]$$

where  $\mathbb{V}[f] = \mathbb{E}[f^2] - (\mathbb{E}[f])^2$  denotes the variance of  $f$ .

*Proof.* The lemma is an easy consequence from the definition of  $\text{Inf}_i^{\leq \ell}(f)$  and the fact that  $\mathbb{V}[f] = \sum_{\alpha \neq 0} \hat{f}(\alpha)^2$ . We include the proof for the sake of completeness.

$$\begin{aligned} \sum_{i \in [N]} \text{Inf}_i^{\leq \ell}(f) &= \sum_{i \in [N]} \sum_{\alpha \in \mathbb{F}_2^N, |\alpha| \leq \ell, \alpha_i = 1} \hat{f}(\alpha)^2 \\ &= \sum_{\alpha \in \mathbb{F}_2^N, |\alpha| \leq \ell, \alpha \neq 0} |\alpha| \hat{f}(\alpha)^2 \\ &\leq \ell \sum_{\alpha \in \mathbb{F}_2^N, |\alpha| \leq \ell, \alpha \neq 0} \hat{f}(\alpha)^2 \leq \ell \mathbb{V}[f] \end{aligned}$$

□

We are now ready to state the main result of this section generalizing the Majority is Stablest result to Reed-Muller codes. Let  $\mathcal{T}_{\text{RM}}$  be the canonical tester for  $C$  as defined in [Section 4.3](#).

**Theorem 5.6.** *There exist universal constants  $c, C$  such that the following holds. Let  $G$  be a continuous-time random walk on the RM graph  $\text{Cay}(C^\perp, \mathcal{T}_{\text{RM}})$  with parameter  $t = \varepsilon 2^{d+1}$ . Let  $f : C^\perp \rightarrow [0, 1]$  be a function on  $C^\perp$  with  $\mathbb{E}_{x \in C^\perp}[f(x)] = \mu$  and  $\max_{i \in [N]} \text{Inf}_i^{\leq 30 \log(1/\tau)}(f) < \tau$ . Then, for  $d > C \log(1/\tau)$ ,*

$$\langle f, Gf \rangle \leq \Gamma_\rho(\mu) + \frac{c \log \log(1/\tau)}{(1 - \rho) \log(1/\tau)}, \quad (5.1)$$

where  $\rho = e^{-\varepsilon}$  and  $\Gamma_\rho : \mathbb{R} \rightarrow \mathbb{R}$  is the noise stability curve of Gaussian space.

The proof of the theorem proceeds in three steps. We first show that the eigenvalue profile of the graph  $G$  is close to the eigenvalue profile of the Boolean noise graph (see [Lemma 4.13](#)). We then show an invariance principle for low-degree polynomials (and as a corollary for *smoothed functions*) showing that they have similar behaviour under the uniform distribution over the hypercube and the uniform distribution over the appropriate Reed-Muller code. Finally, we use the invariance principle to translate the

majority is stablest result in the hypercube setting to the Reed–Muller code. The above approach is similar to that of Mossel et al., who translate a majority is stablest result in the Gaussian space to the hypercube using a similar invariance principle.

We first state the invariance principle that we use below (see the next subsection for the proof). Recall the definition of the functional  $\zeta: \mathbb{R} \rightarrow \mathbb{R}$  from [Section 5.1](#).

**Theorem 5.7.** *Let  $N = 2^n$  and  $d \geq 4 \log(1/\tau)$ . Let  $P: \mathbb{R}^N \rightarrow \mathbb{R}$  be a  $\tau$ -regular polynomial of degree at most  $\ell$ . Then, for  $x \in_u \{\pm 1\}^N$ ,  $z \in_u \text{RM}(n, d)$ ,*

$$|\mathbb{E}[\zeta \circ P(x)] - \mathbb{E}[\zeta \circ P(z)]| \leq 2^{c_1 \ell} \sqrt{\tau},$$

for a universal constant  $c_1 > 0$ .

The (somewhat technical) proof of [Theorem 5.6](#) from the above invariance principle closely follows the argument of Mossel et al. and is deferred to the appendix – see [Section A](#).

## 5.2 Invariance Principles over Reed–Muller Codes

The various invariance principles of Mossel et al. [[MOO05](#)] are essentially equivalent (upto some polynomial loss in error estimates) to saying that for any low-degree regular polynomial  $P$ , the polynomial threshold function (PTF)  $\text{sign}(P(\cdot))$  cannot distinguish between the uniform distribution over the hypercube and the standard multivariate Gaussian distribution  $\mathcal{N}(0, 1)^N$ .

**Theorem 5.8.** *Let  $P: \mathbb{R}^N \rightarrow \mathbb{R}$  be a  $\varepsilon$ -regular polynomial of degree at most  $\ell$ . Then, for any  $x \sim \{\pm 1\}^N$ ,  $y \leftarrow \mathcal{N}(0, 1)^N$ ,*

$$|\mathbb{E}[\text{sign}(P(x))] - \mathbb{E}[\text{sign}(P(y))]| \leq O(\ell \varepsilon^{1/(2\ell+1)}).$$

Ideally, we would like a similar invariance principle to hold even when  $x$  is chosen uniformly from the codes of the earlier sections instead of being uniform over the hypercube. Such an invariance principle will allow us to analyze alphabet reductions and integrality gaps based on graphs considered in earlier sections (e.g., the RM graph). We obtain such generic invariance principles applicable to all codes modulo certain plausible conjectures on low-degree polynomials being fooled by bounded independence.

For the explicit example of Reed–Muller code we bypass the conjectures and directly show an invariance principle by proving that the uniform distribution over the Reed–Muller code fools low-degree PTFs. To do so, we will use the specific structure of the Reed–Muller code along with the pseudorandom generator (PRG) for PTFs of Meka and Zuckerman [[MZ10](#)]. Specifically, we show that the uniform distribution over RM can be seen as an instantiation of the PRG of [[MZ10](#)] and then use the latter’s analysis as a blackbox. Call a smooth function  $\psi: \mathbb{R} \rightarrow \mathbb{R}$   $B$ -nice if  $|\psi^{(4)}(t)| \leq B$  for every  $t \in \mathbb{R}$ .

**Theorem 5.9.** *Let  $N = 2^n$  and  $d \geq \log \ell + 2 \log(1/\varepsilon) + 2$ . Let  $P: \mathbb{R}^N \rightarrow \mathbb{R}$  be a  $\varepsilon$ -regular multi-linear polynomial of degree at most  $\ell$ . Let  $x \leftarrow \mathcal{N}(0, 1)^N$ ,  $z \sim \text{RM}(n, d)$ . Then, for every 1-nice function  $\psi: \mathbb{R} \rightarrow \mathbb{R}$ ,*

$$|\mathbb{E}[\psi(P(x))] - \mathbb{E}[\psi(P(z))]| \leq \ell^2 9^\ell \varepsilon^2.$$

To prove the theorem, we first discuss the PRG construction of [MZ10]. Let  $t = 1/\varepsilon^2$  and  $M = N/t$ . Let  $\mathcal{H} : [N] \rightarrow [t]$  be a family of almost pairwise independent hash functions<sup>9</sup> and let  $\mathcal{D} \equiv \mathcal{D}_{4\ell}$  be a  $(4\ell)$ -wise independent distribution over  $\{\pm 1\}^m$ . The PRG of [MZ10],  $G_{\mathcal{H}, \mathcal{D}}$ , can now be defined by the following algorithm:

1. Choose a random  $h \in \mathcal{H}$  and partition  $[N]$  into  $t$  blocks  $B_1, \dots, B_t$ , with  $B_j = \{i : h(i) = j\}$ .
2. Choose independent samples  $x_1, \dots, x_t \leftarrow \mathcal{D}$  and let  $y \in \{\pm 1\}^N$  be chosen according to an arbitrary distribution independent of  $x_1, \dots, x_t$ .
3. Output<sup>10</sup>

$$z' \in \{\pm 1\}^N, \text{ with } z'_i = z_i \cdot y_i \text{ for } i \in [N], \text{ where } z_{|B_j} = x_j \text{ for } j \in [t]. \quad (5.2)$$

Meka and Zuckerman show that  $G_{\mathcal{H}, \mathcal{D}}$  as above fool (arbitrary) low-degree polynomials. Below we state their result for regular PTFs which suffices for our purposes and gives better quantitative bounds.

**Theorem 5.10.** [Lemma 5.10 in [MZ09]] *Let  $P : \mathbb{R}^N \rightarrow \mathbb{R}$  be a  $\varepsilon$ -regular multilinear polynomial of degree at most  $\ell$ . Then, for  $x \in_u \{\pm 1\}^N$ , and  $y \in \{\pm 1\}^N$  generated according to  $G_{\mathcal{H}, \mathcal{D}}$ ,*

$$|\mathbb{E}[\psi(P(x))] - \mathbb{E}[\psi(P(y))]| \leq \frac{1}{3} \ell^2 9^\ell \varepsilon^2.$$

We next show that the uniform distribution over  $\text{RM}(n, d)$  for a sufficiently high  $d$  is equivalent to  $G_{\mathcal{H}, \mathcal{D}}$  as above, for an appropriately chosen hash family  $\mathcal{H}$  and  $(4\ell)$ -wise independent distribution  $\mathcal{D}$ . Below we identify  $[N]$  with  $\mathbb{F}_2^n$  and  $[t]$  with  $\mathbb{F}_2^c$ , for  $c = 2 \log(1/\varepsilon)$ .

*Proof of Theorem 5.9.* For simplicity, in the following discussion we view  $\text{RM}(n, d)$  as generating a vector in  $\mathbb{F}_2^N$  and show that the uniform distribution over  $\text{RM}(n, d)$  has the appropriate independence structure as required by Theorem 5.10, albeit with  $\{\pm 1\}$  replaced with  $\{0, 1\}$ . This does not effect the analysis of the generator.

Let  $c = 2 \log(1/\varepsilon)$  and let  $\mathcal{S}$  be the subspace of polynomials of the form

$$Q_1(x_1, \dots, x_n) = \sum_{a \in \{0, 1\}^c} \mathbf{1}(x_{[c]} = a) \cdot P_a(x_{c+1}, \dots, x_n),$$

where the polynomials  $P_a$  each have degree at most  $d - c$ . Note that we can sample a uniformly random element  $Q_1 \in \mathcal{S}$  by choosing independent, uniformly random degree at most  $d - c$  polynomials  $P_a : \mathbb{F}_2^{n-c} \rightarrow \mathbb{F}_2$  for  $a \in \{0, 1\}^c$  and setting  $Q_1$  as above. This is because, each collection  $(P_a)_{a \in \{0, 1\}^c}$  leads to a unique element of  $\mathcal{S}$  and together they cover all elements of  $\mathcal{S}$ .

<sup>9</sup>A hash family  $\mathcal{H}$  is almost pairwise independent if for every  $i \neq j \in [N]$ ,  $a, b \in [t]$ ,  $\mathbb{P}_{h \in_u \mathcal{H}}[h(i) = a \wedge h(j) = b] \leq (1 + \alpha)/t^2$  for  $\alpha = O(1)$ .

<sup>10</sup>The description we give here is slightly different from that of [MZ10] due to the presence of the string  $y$ . However, the analysis of [MZ10] works without any changes for this case as well.

Let  $\mathcal{S}'$  be a subspace of degree  $d$ ,  $n$ -variate polynomials such that  $\mathcal{S} \cap \mathcal{S}' = \{0\}$  and  $\mathcal{S}, \mathcal{S}'$  together span all degree  $d$  polynomials. Let  $\mathcal{A} : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  be the space of all affine transformations. For  $A \in \mathcal{A}$ , let  $h_A : [N] \rightarrow [t]$  be defined by  $h_A(x) = A(x)_{[c]}$  and let  $\mathcal{H} \equiv \{h_A : A \in \mathcal{A}\}$ . It is easy to see that for  $A \in_u \mathcal{A}$ , the hash functions  $h_A$  are almost pairwise independent. Observe that for  $Q_1 \in_u \mathcal{S}, Q_2 \in_u \mathcal{S}'$  and  $A \in_u \mathcal{A}$ , the polynomial  $Q(\cdot) = (Q_1 + Q_2)(A(\cdot))$  is uniformly distributed over all  $n$ -variate degree  $d$  polynomials.

Now, fix a polynomial  $Q_2 \in \mathcal{S}'$ . Then, for a random  $Q_1 \in_u \mathcal{S}$ , we have

$$Q(x) = \sum_{a \in \{0,1\}^c} \mathbf{1}(h_A(x) = a) \cdot P_a(u_{a+1}, \dots, u_n) + Q_2(u),$$

where  $u = Ax$  and the polynomials  $(P_a)_{a \in \{0,1\}^c}$ , are independent uniformly random polynomials of degree at most  $d - c$  in  $n - c$  variables. Let  $\mathcal{D}$  denote the distribution of  $(P'(u))_{u \in \mathbb{F}_2^{n-c}}$  for  $P'$  a uniformly random polynomial of degree at most  $d - c$  in  $(n - c)$  variables. Then, for every fixed  $A \in \mathcal{A}$  and  $Q_2 \in \mathcal{S}'$ , the distribution of the evaluations of  $Q$  restricted to different buckets  $B_a = \{x : h_A(x) = a\}$  are independent of one another. Moreover, within each bucket  $B_a$ , the evaluations vector  $(Q_1(x))_{x \in B_a}$  is distributed as  $\mathcal{D}$ , which is  $(2^{d-c} - 1)$ -wise independent.

Therefore, for every fixed  $Q_2 \in \mathcal{S}'$ , the distribution of  $z = (Q(x))_{x \in \mathbb{F}_2^n}$  is the same as the output of  $G_{\mathcal{H}, \mathcal{D}}$  as defined in Equation 5.2, where  $y = Q_2(A(x))$ . The theorem now follows from Theorem 5.10.  $\square$

The invariance principle of Theorem 5.9 combined with the appropriate choice of the smooth function  $\psi$  gives us the following corollaries.

*Proof of Theorem 5.7.* Follows from using Theorem 5.9 and an argument as in Theorem 3.19 of [MOO05] who get a similar conclusion for the hypercube starting from an invariance principle for the hypercube to the Gaussian space.  $\square$

**Corollary 5.11.** *Let  $N = 2^n$  and  $d \geq \log \ell + 2 \log(1/\varepsilon) + 2$ . Let  $P : \mathbb{R}^N \rightarrow \mathbb{R}$  be a  $\varepsilon$ -regular polynomial of degree at most  $\ell$ . Then, for  $x \in_u \{\pm 1\}^N, z \in_u \text{RM}(n, d)$ ,*

$$|\mathbb{E}[\text{sign}(P(x))] - \mathbb{E}[\text{sign}(P(z))]| \leq O(\ell \varepsilon^{1/(2\ell+1)}).$$

*Proof.* Follows from Theorem 5.9 and Lemma 5.8 in [MZ10].  $\square$

Finally a similar argument in the proof Theorem 5.9, using a minor modification of the full analysis of the PRG from [MZ10] (Theorem 5.17), shows that Reed–Muller codes with  $d = \Omega(\ell \log(1/\varepsilon))$  fool all degree  $\ell$  PTFs. We exclude the proof in this work as we do not need the more general statement in our applications

**Theorem 5.12.** *There exists a constant  $C > 0$  such that the following holds. Let  $N = 2^n$  and  $d = C\ell \log(1/\varepsilon)$ . Let  $P : \mathbb{R}^N \rightarrow \mathbb{R}$  be a multilinear polynomial of degree at most  $\ell$ . Then, for  $x \in_u \{\pm 1\}^N, z \in_u \text{RM}(n, d)$ ,*

$$|\mathbb{E}[\text{sign}(P(x))] - \mathbb{E}[\text{sign}(P(z))]| \leq \varepsilon.$$



### 5.3 Invariance Principles over Codes

Our main tool for proving that “majority is stablest” result over Reed–Muller codes, [Theorem 5.6](#), was the invariance principle [Theorem 5.7](#). We conjecture that similar results should hold for any linear code with sufficiently large dual distance so that the codewords have bounded independence. In particular, we conjecture that bounded independence fools arbitrary low-degree polynomial threshold functions (PTFs) over  $\{\pm 1\}^n$ .

The conjecture is known to be true for halfspaces [[DGJ<sup>+</sup>09](#)], degree two PTFs [[DKN10](#)] and for Gaussians with bounded independence [[Kan11](#)].

**Conjecture 5.13.** *For all  $d \in \mathbb{N}$  and  $\varepsilon > 0$ , there exists  $k = k(d, \varepsilon)$  such that the following holds: Let  $Q$  be an  $n$ -variate multilinear real polynomial with degree  $d$ . Let  $X$  be an  $k$ -wise independent distribution over  $\{\pm 1\}^n$  and let  $Y$  be the uniform distribution over  $\{\pm 1\}^n$ . Then,  $|\mathbb{E} \text{sign} \circ Q(X) - \mathbb{E} \text{sign} \circ Q(Y)| \leq \varepsilon$ .*

Finally, we remark that for the application to “majority is stablest” it suffices to show a weaker invariance principle applicable to the  $\zeta$  functional.

**Conjecture 5.14.** *For all  $d \in \mathbb{N}$  and  $\varepsilon > 0$ , there exists  $k = k(d, \varepsilon)$  and  $\eta = \eta(\varepsilon)$  such that the following holds: Let  $Q$  be an  $n$ -variate multilinear real polynomial with degree  $d$ . Let  $X$  be a  $k$ -wise independent distribution over  $\{\pm 1\}^n$  and let  $Y$  be the uniform distribution over  $\{\pm 1\}^n$ . Suppose that  $\mathbb{E} Q(X)^2 \leq 1$  and  $\mathbb{E} \zeta \circ Q(X) \leq \eta$ . Then,  $\mathbb{E} \zeta \circ Q(Y) \leq \varepsilon$ .*

We show in the appendix that [Conjecture 5.13](#) implies [Conjecture 5.14](#).

**Lemma 5.15.** *Let  $X$  be a  $20\ell$ -wise independent distribution over  $\{\pm 1\}^N$  that  $\varepsilon$ -fools every  $\tau$ -regular degree- $\ell$  PTF. Then, for every  $\tau$ -regular  $N$ -variate multilinear real polynomial  $Q$  with degree at most  $\ell$  and  $\mathbb{E} Q(X) \leq 1$ , we have for the uniform distribution  $Y$  over  $\{\pm 1\}^N$ ,*

$$\mathbb{E} \zeta \circ Q(Y) \leq \mathbb{E} \zeta \circ Q(X) + 2^{O(\ell)} \varepsilon^{0.9}.$$

## 6 Efficient Alphabet Reduction

The *long code* over a (non-binary) alphabet  $Q$  consists of the set of dictator functions  $\{f_1, \dots, f_N: Q^N \rightarrow Q\}$ , where  $f_i(x) = x_i$  for all  $x \in Q^N$ .

A natural 2-query test for this code was proposed by Khot et al. [[KKMO07](#)] and analyzed in Mossel et al. [[MOO05](#)]. The queries of the test are associated with the edges of the  $\varepsilon$ -noise graph on  $Q^N$ . In this graph, the weight of an edge  $(x, y)$  is its probability in the following sampling procedure: Sample  $x \in Q^N$  uniformly at random and resample each coordinate of  $x \in Q^N$  independently with probability  $\varepsilon$  to generate  $y \in Q^N$ .

In this section, we present a more efficient code that serves as an analogue for the long code over a non-binary alphabet. For  $n, d \in \mathbb{N}$ , let  $N = 2^n$  and let  $C \subseteq \mathbb{F}_2^N$  be the Reed–Muller code  $\text{RM}(n, n - d - 1)$  and let  $\mathcal{D} = C^\perp \in \mathbb{F}_2^N$  be its dual  $\text{RM}(n, d)$ . Let  $\mathcal{T} \subseteq \mathcal{D}$  denote the canonical test set for the code  $C$  as in [Section 4.3](#).

Let  $t \in \mathbb{N}$  and let  $Q = \mathbb{F}_2^t$ . We define the following distribution  $\mathcal{T}_t$  over  $\mathcal{D}^t$  (the  $t$ -fold direct sum of  $\mathcal{D}$ , a subspace of  $\mathbb{F}_2^{tN}$ ),

- Sample  $c$  from the test set  $\mathcal{T} \subseteq \mathcal{D}$ .
- Sample  $w = (w^{(1)}, \dots, w^{(t)})$  from  $\mathbb{F}_2^t$  at random.
- Sample  $z = (z^{(1)}, \dots, z^{(t)}) \in \mathcal{D}^t$  by setting

$$z^{(i)} = \begin{cases} c & \text{if } w^{(i)} = 1, \\ 0 & \text{if } w^{(i)} = 0. \end{cases}$$

Consider the continuous-time random walk on the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_t)$  with parameter  $\varepsilon \cdot 2^d$  (starting in point  $0 \in \mathcal{D}^t$ ). Let  $\mathcal{T}_{\varepsilon,t}$  be the distribution over  $\mathcal{D}^t$  corresponding to this random walk. The Cayley graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{\varepsilon,t})$  will serve us as an analogue of the  $\varepsilon$ -noise graph on  $Q^N$ .

**Spectrum.** In the following we will demonstrate that (part of) the spectrum of the Cayley graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$  corresponds to the spectrum of the  $\varepsilon$ -noise graph on  $Q^N$ . To this end, we recall the spectrum of the  $\varepsilon$ -noise graph on  $Q^N$ . First, we define a convenient basis for the functions on  $Q = \mathbb{F}_2^t$ . We will denote the coordinates of a vector  $\alpha \in Q = \mathbb{F}_2^t$  by  $\alpha = (\alpha^{(1)}, \dots, \alpha^{(t)})$ . The set of characters of  $\mathbb{F}_2^t$  is  $\{\chi_\alpha: \mathbb{F}_2^t \rightarrow \{\pm 1\} \mid \alpha \in \mathbb{F}_2^t\}$ , where

$$\chi_\alpha(x) = (-1)^{\sum_j \alpha^{(j)} x^{(j)}}.$$

Since the noise graph on  $Q^N$  is a Cayley graph over the abelian group  $\mathbb{F}_2^N$ , the characters of this group form a basis of eigenfunctions. For  $\beta = (\beta_1, \dots, \beta_N) \in Q^N$ , let  $\chi_\beta: Q^N \rightarrow \{\pm 1\}$  denote the character

$$\chi_\beta(x_1, \dots, x_N) = \prod_{i \in [N]} \chi_{\beta_i}(x_i).$$

The eigenvalue of  $\chi_\beta$  in the  $\varepsilon$ -noise graph on  $Q^N$   $(1 - \varepsilon)^{\text{wt}(\beta)}$  where  $\text{wt}(\beta) = |\{i \mid \beta_i \neq 0^t\}|$  is the Hamming weight of  $\beta$  as a length- $N$  string over alphabet  $Q$ . (In this section  $\text{wt}(\cdot)$  will always refer to the Hamming weight of strings over alphabet  $Q$ .)

The canonical eigenfunctions of  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_t)$  and  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$  are indexed by  $\beta \in Q^N/C^t$ . (Note that  $C^t$  is the orthogonal complement of  $\mathcal{D}^t$ .) Analogous to the definition in [Section 4.2](#), we define the degree of a character  $\chi_\beta: \mathcal{D}^t \rightarrow \{\pm 1\}$  for  $\beta \in Q^N/C^t$  as,

$$\deg(\chi_\beta) = \text{wt}(\beta) = \min_{\beta' \in \beta} \text{wt}(\beta'),$$

where  $\text{wt}(\beta') = |\{i \in [N] \mid \beta'_i \neq 0^t\}|$  is the Hamming weight of  $\beta'$  seen as a length- $N$  string over alphabet  $Q$ . (Here, the minimum is over all  $\beta' \in Q^N$  that lie in the same coset as  $\beta$  in  $Q^N/C^t$ .)

The following lemma is an analogue of [Lemmas 3.3](#) and [4.13](#) and shows that the eigenvalues of  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_t)$  are similar to the eigenvalues of the  $\varepsilon$ -noise graph.

**Lemma 6.1.** *Let  $\beta \in Q^N/C^t$ . The eigenvalue  $\lambda_\beta$  of the character  $\chi_\beta$  in the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_t)$  satisfies  $\lambda_\beta = 1 - \text{wt}(\beta)/2^d \pm O(\text{wt}(\beta)/2^d)^2$  and  $\lambda_\beta \leq 1 - \Omega(1/t) \cdot \min\{\text{wt}(\beta) \cdot 2^{-d}, 1\}$ .*

*Proof.* We will first prove an upper bound on  $\lambda_\beta$  for the case that  $\text{wt}(\beta) \gg 2^d$ . We write  $\beta = (\beta^{(1)}, \dots, \beta^{(t)})$  with  $\beta^{(i)} \in \mathbb{F}_2^N$ . Let  $z = (z^{(1)}, \dots, z^{(t)}) \in \mathcal{D}^t$  be a string drawn from the distribution  $\mathcal{T}_t$ . Note that  $z^{(i)} = w^{(i)} \cdot c$ , where  $w = (w^{(1)}, \dots, w^{(t)})$  and  $c$  are sampled as in the definition of  $\mathcal{T}_t$ . Since  $w$  is a random vector in  $\mathbb{F}_2^t$ , we can upper bound  $\lambda_\beta$ ,

$$\begin{aligned} \lambda_\beta &= \mathbb{E}_z (-1)^{\langle \beta, z \rangle} \\ &= 1 - 2 \mathbb{P}_{w \in \mathbb{F}_2^t, c \in \mathcal{T}} \left\{ \sum_{i=1}^t w^{(i)} \langle \beta^{(i)}, c \rangle = 1 \right\} \\ &= 1 - \mathbb{P}_{c \in \mathcal{T}} \left\{ \exists i. \langle \beta^{(i)}, c \rangle = 1 \right\} \\ &\leq 1 - \max_{i \in [t]} \mathbb{P}_{c \in \mathcal{T}} \left\{ \langle \beta^{(i)}, c \rangle = 1 \right\}. \end{aligned}$$

Without loss of generality, we may assume that  $\beta^{(t)}$  has Hamming weight (as a binary string) at least  $\text{wt}(\beta)/t$ . By [Theorem 4.11](#), if  $\text{wt}(\beta) > \eta 2^{-d}$  for sufficiently small  $\eta > 0$ , we can upper bound  $\lambda_\beta \leq 1 - \Omega(\eta/t)$ .

Next, we will estimate  $\lambda_\beta$  (from below and above) for  $\text{wt}(\beta) \ll 2^{-d}$ . Let  $I \subseteq [N]$  be the set of coordinates  $i \in [N]$  with  $\beta_i \neq 0^t$ . We claim,

$$\lambda_\beta = 1 - \mathbb{P}_c \{|I \cap \text{supp}(c)| = 1\} \pm O(1) \cdot \mathbb{P}_c \{|I \cap \text{supp}(c)| \geq 2\}.$$

We write  $\beta = (\beta_1, \dots, \beta_N)$  with  $\beta_i \in \mathbb{F}_2^t$ . Then,  $\langle \beta, z \rangle = \sum_{i \in [N]} c_i \langle w, \beta_i \rangle$ . We refine the event  $\langle \beta, z \rangle = 1$  according to the cardinality of  $I \cap \text{supp}(c)$ . If  $I \cap \text{supp}(c) = \emptyset$ , then  $\langle \beta, z \rangle = 0$ . On the other hand, conditioned on  $|I \cap \text{supp}(c)|$ , the event  $\langle \beta, z \rangle = 1$  is equivalent to the event  $\langle w, \beta_{i_0} \rangle = 1$  with  $\{i_0\} = I \cap \text{supp}(c)$ . Since  $\beta_{i_0} \neq 0^t$ , this event has (conditional) probability  $1/2$ . Hence,

$$\mathbb{P}_z \{\langle \beta, z \rangle = 1\} = \frac{1}{2} \mathbb{P}_{c \in \mathcal{T}} \{|I \cap \text{supp}(c)| = 1\} \pm \mathbb{P}_{c \in \mathcal{T}} \{|I \cap \text{supp}(c)| \geq 2\},$$

which implies the claimed estimate for  $\lambda_\beta$ .

It remains to estimate the distribution of  $|I \cap \text{supp}(c)|$ . The argument is similar to the proof of [Lemma 3.3](#). For every coordinate  $i \in [N]$ , we have  $\mathbb{P}_{c \in \mathcal{T}} \{c_i = 1\} = 2^{-d}$ . Thus,  $\mathbb{P} \{|I \cap \text{supp}(c)| = 1\} \leq |I| \cdot 2^{-d} = \text{wt}(\beta)/2^d$ . On the other hand, for any two distinct coordinates  $i \neq j \in [N]$ , we have  $\mathbb{P}_{c \in \mathcal{T}} \{c_i = c_j = 1\} = 2^{-2d}$ . Therefore,

$$\mathbb{P} \{|I \cap \text{supp}(c)| = 1\} \geq \sum_{i \in I} \mathbb{P} \{c_i = 1\} - \sum_{i < j \in I} \mathbb{P} \{c_i = c_j = 1\} \geq \text{wt}(\beta)/2^d - (\text{wt}(\beta)/2^d)^2.$$

Similarly,  $\mathbb{P} \{|I \cap \text{supp}(c)| \geq 2\} \leq (\text{wt}(\beta)/2^d)^2$ . We conclude that

$$\lambda_\beta = 1 - \text{wt}(\beta)/2^d \pm O(\text{wt}(\beta)/2^d)^2$$

(Note that the estimate is only meaningful when  $\text{wt}(\beta) \ll 2^d$ .) □

If the character  $\chi_\beta$  has eigenvalue  $\lambda_\beta$  in the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_t)$ , then it has eigenvalue  $e^{-\varepsilon(1-\lambda_\beta)/2^d}$  in  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$ . Similarly to [Lemma 4.13](#), the eigenvalue of a character  $\chi_\beta$  is close to  $e^{-\varepsilon \text{wt}(\beta)}$  in the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$ .

**Lemma 6.2.** – If  $\text{wt}(\beta) \leq \delta^2 2^d$  for sufficiently small  $\delta$ , then the character  $\chi_\beta$  has eigenvalue  $e^{-\varepsilon \cdot \text{wt}(\beta)} \pm \delta$  in the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$ .

– For an absolute constant  $c_0$  and all  $\beta \in Q^N / \mathcal{D}^t$ ,  $\lambda_\beta \leq \max(\rho^{\text{wt}(\beta)/c_0 t}, \rho^{2^d/c_0 t})$ .

**Influences.** Let  $\beta \in Q^N / C^t$ . Suppose  $\text{wt}(\beta) < \text{wt}(C^t)/2$ . (Note that  $C^t \subseteq Q^N$  has the same minimum distance as  $C \subseteq \mathbb{F}_2^N$ .) In this case, we will identify  $\beta$  with the (unique) codeword of minimum weight in the equivalence class  $\beta \in Q^N / C^t$ .

**Definition 6.3.** For a function  $f: \mathcal{D}^t \rightarrow \mathbb{R}$ , a coordinate  $i \in [N]$ , and a degree bound  $\ell < \text{dist}(C^t)/2$ , we define the  $\ell$ -degree influence of coordinate  $i$  on  $f$  as

$$\text{Inf}_i^{\leq \ell}(f) = \sum_{\beta \in Q^N / C^t, \beta_i \neq 0^t, \text{wt}(\beta) \leq \ell} \hat{f}(\beta)^2.$$

(Here,  $\beta_i$  refers to the  $i$ -th coordinate of the unique minimum-weight representative of the equivalence class  $\beta$ .)

## 6.1 Majority is Stablest

In this section, we show an analogue of the majority is stablest theorem of [MOO05] on the  $\varepsilon$ -noise graph on  $Q^N$  just as Theorem 5.6 showed an analogue of the majority is stablest theorem over the Boolean noise graph.

**Theorem 6.4.** For every  $\varepsilon, \delta, t > 0$ , there exists  $L, d, \tau$  such that if  $G$  denotes the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$  constructed using Reed-Muller codes of degree  $d$ , then for every function  $f: \mathcal{D}^t \rightarrow [0, 1]$  with  $\max_{i \in [N]} \text{Inf}_i^{\leq L}(f) < \tau$ ,

$$\langle f, Gf \rangle \leq \Gamma_\rho(\mu) + \delta, \tag{6.1}$$

where  $\rho = e^{-\varepsilon}$ ,  $\mu = \mathbb{E}_{x \sim \mathcal{D}^t}[f(x)]$  and  $\Gamma_\rho: \mathbb{R} \rightarrow \mathbb{R}$  is the noise stability curve over Gaussian space.

Given the characterization of the spectrum of  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$  (Lemma 6.2), the proof of Theorem 6.4 is similar to that of Theorem 5.6. For the sake of completeness, we include a proof sketch in the appendix – see Section A.1. re.

## 6.2 2-Query Test

We will now describe a dictatorship test for functions on  $\mathcal{D}^t$ , analogous to the 2-query dictatorship test on  $\varepsilon$ -noise graph.

We are interested in functions  $f: \mathcal{D}^t \rightarrow Q$  where  $Q = \mathbb{F}_2^l$ . Note that  $v \in \mathcal{D}^t$  can also be thought of as  $v \in Q^N$ . For all  $\beta \in \mathbb{F}_2^N$ , the  $\beta^{\text{th}}$  dictator function  $\chi_\beta$  from  $\mathcal{D}^t \subseteq Q^N$  to  $Q$  is given by,

$$\chi_\beta(c) = c_\beta$$

Clearly, the dictator functions are linear functions over  $\mathcal{D}^t$ , i.e.,  $\chi_\beta(c+c') = \chi_\beta(c) + \chi_\beta(c')$ . This linearity is used to perform the 2-query test via *folding*. Note that for each  $\alpha \in Q$ ,

the constant function  $\alpha(x) = \alpha$  for all  $x \in \mathbb{F}_2^n$ , belongs to the code  $\mathcal{D}^t$ . We will *fold* the function by enforcing that for all  $\alpha \in Q$ ,  $f(c + \alpha) = f(c) + \alpha$  for all  $\alpha \in Q$ .

The details of the 2-query dictatorship test is described below.

<p><b>DICT</b>  Input: <math>f: \mathcal{D}^t \rightarrow Q</math></p> <p><b>Folding.</b> The function is assumed to satisfy <math>f(c + r) = f(c) + r</math> for every <math>c \in \mathcal{D}^t</math> and <math>r \in Q</math>. This is enforced by <i>folding</i> the table of the function <math>f</math>.</p> <ul style="list-style-type: none"> <li>– Sample a vertex <math>c \in \mathcal{D}^t</math>.</li> <li>– Sample a neighbour <math>c' \in \mathcal{D}^t</math> of the vertex <math>c</math> in the Cayley graph <math>\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})</math>.</li> <li>– Sample <math>r \in Q</math> uniformly at random.</li> <li>– Accept if <math>f(c + r) - r = f(c')</math></li> </ul>
--

Given a function  $f: \mathcal{D}^t \rightarrow Q$ , we can arithmetize the value of the test in terms of  $Q$  functions  $\{f_\alpha\}_{\alpha \in Q}$  that are defined as

$$f_\alpha(x) = \mathbb{I}[f(x) = \alpha].$$

Due to folding, we have  $f_\alpha(x) = f_{\alpha+r}(x+r)$  for all  $r \in Q$ . For each  $\alpha \in Q$ , the expectation of  $f_\alpha$  is given by,

$$\mathbb{E}_{c \in \mathcal{D}^t} f_\alpha(c) = \mathbb{P}_{c \in \mathcal{D}^t, r \in Q} [f(c + r) = \alpha] = \frac{1}{Q}.$$

where we used the fact that  $f$  is folded. The probability of acceptance of the 2-query test can be written in terms of the functions  $f_\alpha$  as follows:

$$\mathbb{P}[\text{Test accepts } f] = \sum_{\alpha \in Q} \mathbb{E}_{(c,c') \sim \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})} [f_{\alpha+r}(c+r) f_\alpha(c')] = \sum_{\alpha \in Q} \mathbb{E}_{(c,c') \sim \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})} [f_\alpha(c) f_\alpha(c')],$$

where  $(c, c') \sim \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$  denotes a uniformly random edge in the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$ .

**Theorem 6.5.** *The 2-query dictatorship test DICT described above satisfies the following completeness and soundness,*

- (Completeness) Every dictator function  $\chi_\beta(x) = x_i$  is accepted by the test with probability at least  $1 - \varepsilon$ .
- (Soundness) For every  $\delta > 0$ , there exists  $\tau, L$  such that if  $f$  satisfies  $\max_{i \in [N]} \text{Inf}_i^{\leq L}(f_\alpha) \leq \tau$  for all  $\alpha \in Q$  then  $f$  is accepted with probability at most

$$Q \cdot \Gamma_\rho\left(\frac{1}{Q}\right) + \delta,$$

where  $\rho = e^{-\varepsilon}$ .

**Completeness.** Recall that for a  $c \in C^\perp$  generated from distribution  $\mathcal{T}_\varepsilon$ , for each  $x \in \mathbb{F}_2^n$  (see Lemma 4.5)

$$\mathbb{P}_{c \sim \mathcal{T}_\varepsilon} [c(x) = 0] \geq 1 - O(\varepsilon).$$

It is easy to see that by construction, this property holds for the distribution  $\mathcal{T}_{t,\varepsilon}$  also, namely,

$$\mathbb{P}_{c \sim \mathcal{T}_{t,\varepsilon}} [c(x) = 0] \geq 1 - O(\varepsilon).$$

Hence for a random edge  $(c, c')$  in the Cayley graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$  and an  $\beta \in \mathbb{F}_2^n$ ,  $c(\beta) = c'(\beta)$  with probability  $1 - \varepsilon$ . Therefore, for each  $\beta \in \mathbb{F}_2^n$ , the  $\beta$ th dictator function satisfies the test with probability  $1 - O(\varepsilon)$ .

**Soundness.** The probability of acceptance of the 2-query test is given by,

$$\Pr[\text{Test accepts } f] = \sum_{\alpha \in Q} \mathbb{E}_{(c,c') \sim \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})} [f_\alpha(c) f_\alpha(c')]$$

By applying Theorem 5.6, there is an appropriate choice of  $L, \tau$  such that if  $\max_{i \in [N]} \text{Inf}_i^{\leq L}(f_\alpha) \leq \tau$  for all  $\alpha$  then the probability of acceptance can be bounded by

$$\mathbb{P}[\text{Test Accepts}] = \sum_{\alpha \in Q} \langle f_\alpha, G f_\alpha \rangle \leq Q \cdot \Gamma_\rho\left(\frac{1}{Q}\right) + \delta,$$

where  $\rho = e^{-\varepsilon}$  and  $G = \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t,\varepsilon})$ . The conclusion follows.

## 7 Efficient integrality gaps for unique games

In this section, we present constructions of SDP integrality gap instances starting from a code  $C$  along with a local tester. To this end, we make an additional assumption on the code  $C$ . Specifically, let us suppose there exists a subcode  $\mathcal{H}$  of  $\mathcal{D} = C^\perp$  with distance  $\frac{1}{2}$ . Formally, we show the following result.

**Theorem 7.1.** *Let  $C$  be an  $[N, K, D]_2$  linear code with a canonical tester  $\mathcal{T}$  as described in Definition 3.2. Furthermore, let  $\mathcal{H}$  be a subcode of  $\mathcal{D} = C^\perp$  with distance  $\frac{1}{2}$ . Then, there exists an instance of unique games, more specifically a  $\mathcal{H}$ -MAX-2LIN instance, whose vertices are  $\mathcal{D}$  ( $|\mathcal{D}| = 2^{N-K}$ ) and alphabet  $\mathcal{H}$  such that:*

- The optimum value of the natural SDP relaxation for unique games is at least  $\left(1 - \frac{2t}{N}\right)^2$  where  $t$  is the number of queries made by the canonical tester  $\mathcal{T}$ .
- No labelling satisfies more than

$$\min_{k \in [0, D/5]} \left( 1 - 2s(k) + \frac{3^k}{|\mathcal{H}|^{\frac{1}{2}}} \right)$$

fraction of constraints.

Instantiating the above theorem with the Reed–Muller code and its canonical tester we obtain the following explicit SDP integrality gap instance.

**Corollary 7.2.** *For every integer  $n$ ,  $\delta > 0$  there exists a  $\mathbb{F}_2^n$ -MAX-2LIN instance  $\Gamma$  on  $M = 2^{2^{\log^2 n}}$  vertices such that the optimum value of the SDP relaxation on  $\Gamma$  is  $1 - O\left(\frac{\log(1/\delta)}{n}\right) = 1 - O\left(\frac{\log(1/\delta)}{2^{(\log \log M)^{1/2}}}\right)$  while every labelling of  $\Gamma$  satisfies at most  $O(\delta)$  fraction of edges.*

*Proof.* Fix the code  $C$  to be the Reed–Muller code  $\text{RM}(n, n - \log n)$  of degree  $d = \log n$  over  $n$  variables. The block length of the code is  $N = 2^n$ , while the rate is  $K = 2^n - \sum_{i \leq d} \binom{n}{i} \leq 2^n - O(2^{\log^2 n})$ . This code contains the Hadamard code  $\mathcal{H}$  which is of relative distance  $\frac{1}{2}$ .

Let  $\mathcal{T}_{\text{RM}}$  denote the canonical Reed–Muller tester for  $\text{RM}(n, n - \log n)$ , and let  $\mathcal{T}_{\text{RM}}^{\oplus r}$  denote the XOR of  $r$ -independent tests. Let us fix  $r = 100 \log(1/\delta)$ , thus yielding a canonical tester making  $t = \log(1/\delta) \cdot 2^{n-d}$  queries. By the work of [BKS<sup>+</sup>10], this tester has a soundness of at least  $s(k) = \frac{1}{2} - (1 - k/2^{d+1})^r/2$ . With  $k = 2^d/10$ , the above soundness is at least  $s(k) \geq 1/2 - \delta/2$ . Using Theorem 7.1, the optimum value of the resulting  $\mathbb{F}_2^n$ -MAX-2LIN instance is at most  $\delta$ . On the other hand, the SDP value is at least

$$(1 - 2t/N)^2 = 1 - 100 \log(1/\delta) 2^{n-d}/2^n = 1 - O\left(\frac{\log 1/\delta}{2^d}\right) = 1 - \frac{\log(1/\delta)}{n}.$$

□

Starting from  $C$ , we construct an SDP integrality gap instance  $\Gamma(C, \mathcal{T})$  for unique games as described below.

The vertices of  $\Gamma_C$  are the codewords of  $\mathcal{D}$ . The alphabet of the unique games instance  $\Gamma(C, \mathcal{T})$  are the codewords in  $\mathcal{H}$ . The constraints of unique games instance  $\Gamma(C, \mathcal{T})$  are given by the tests of the following verifier. The input to the verifier is a labeling  $\ell : \mathcal{D} \rightarrow \mathcal{H}$ . Let us denote by  $R = |\mathcal{H}|$ . The verifier proceeds as follows:

- Sample codewords  $c \in \mathcal{D}$  and  $h, h' \in \mathcal{H}$  uniformly at random.
- Sample a codeword  $q \in \mathcal{D}$  from the tester  $\mathcal{T}$ .
- Test if

$$\ell(c + q + h) - \ell(c + h') = h - h'$$

**SDP Solution.** Here we construct SDP vectors that form a feasible solution to a natural SDP relaxation of unique games [KV05].

$$\text{Maximize } \mathbb{E}_{c \in \mathcal{D}, h, h' \in \mathcal{H}} \mathbb{E}_{q \in \mathcal{T}} \left[ \frac{1}{R} \sum_{\ell \in \mathcal{H}} \langle \mathbf{b}_{c+h', \ell+h'}, \mathbf{b}_{c+q+h, \ell+h} \rangle \right] \quad (7.1)$$

$$\text{Subject to } \langle \mathbf{b}_{c,h}, \mathbf{b}_{c,h'} \rangle = 0 \quad \forall c \in \mathcal{D}, h \neq h' \in \mathcal{H} \quad (7.2)$$

$$\langle \mathbf{b}_{c,h}, \mathbf{b}_{c',h'} \rangle \geq 0 \quad \forall c, c' \in \mathcal{D}, h, h' \in \mathcal{H}. \quad (7.3)$$

$$\sum_{\ell \in \mathcal{H}} \langle \mathbf{b}_{c,\ell}, \mathbf{b}_{c,\ell} \rangle = R \quad \forall c \in \mathcal{D} \quad (7.4)$$

For a vector  $c \in \mathbb{F}_2^m$ , we will use  $(-1)^c \in \mathbb{R}^m$  to denote the vector whose coordinates are given by  $(-1)_i^c = (-1)^{c_i}$ . For a pair of vectors  $c, c'$ , we have

$$\langle (-1)^c, (-1)^{c'} \rangle = 1 - 2\Delta(c, c').$$

For each vertex  $c \in \mathcal{D}$  associate vectors  $\{\mathbf{b}_{c,h} = (-1)^{c+h} \otimes (-1)^{c+h} | h \in \mathcal{H}\}$ . Notice that for a pair of vectors  $\mathbf{b}_{c,h}, \mathbf{b}_{c',h'}$  we have,

$$\langle \mathbf{b}_{c,h}, \mathbf{b}_{c',h'} \rangle = \langle (-1)^{c+h}, (-1)^{c'+h'} \rangle^2 = (1 - 2\Delta(c+h, c'+h'))^2.$$

Since the distance of the code  $\mathcal{H}$  is  $\frac{1}{2}$ , we have

$$\langle \mathbf{b}_{c,h}, \mathbf{b}_{c,h'} \rangle = (1 - 2\Delta(h, h'))^2 = \begin{cases} 1 & \text{if } h = h' \\ 0 & \text{if } h \neq h' \end{cases} \quad (7.5)$$

In other words, for every vertex  $c$ , the corresponding SDP vectors are orthonormal. The objective value of the SDP solution is given by,

$$\begin{aligned} \text{OBJ} &= \mathbb{E}_{c \in \mathcal{D}, h, h' \in \mathcal{H}} \mathbb{E}_{q \in \mathcal{T}} \left[ \frac{1}{R} \sum_{\ell \in \mathcal{H}} \langle \mathbf{b}_{c+h', \ell+h'}, \mathbf{b}_{c+q+h, \ell+h} \rangle \right] \\ &= \mathbb{E}_{c \in \mathcal{D}, h \in \mathcal{H}} \mathbb{E}_{q \in \mathcal{T}} \left[ \frac{1}{R} \sum_{\ell \in \mathcal{H}} (1 - 2\Delta(c+h'+\ell+h', c+q+h+\ell+h))^2 \right] \\ &= \mathbb{E}_{c \in \mathcal{D}, h \in \mathcal{H}} \mathbb{E}_{q \in \mathcal{T}} \left[ (1 - 2\Delta(0, q))^2 \right] \\ &\geq \left(1 - \frac{2t}{N}\right)^2 \end{aligned}$$

where  $t$  is the number of queries made by the canonical tester  $\mathcal{T}$  for  $\mathcal{C}$ .

**Soundness.** Let  $\ell : \mathcal{D} \rightarrow \mathcal{H}$  be an arbitrary labelling of the Unique Games instance  $\Gamma(\mathcal{C}, \mathcal{T})$ . For each  $p \in \mathcal{H}$ , define a function  $f_p : \mathcal{D} \rightarrow [0, 1]$  as follows,

$$f_p(c) = \mathbb{E}_{h \in \mathcal{H}} [\mathbb{I}[\ell(c+h) = p+h]].$$

The fraction of constraints satisfied by the labelling  $\ell$  is given by,

$$\text{OBJ} = \mathbb{E}_{c \in \mathcal{D}, h, h' \in \mathcal{H}} \mathbb{E}_{q \in \mathcal{T}} \left[ \sum_{p \in \mathcal{H}} \mathbb{I}[\ell(c+h') = p+h'] \cdot \mathbb{I}[\ell(c+q+h) = p+h] \right]$$



$$\begin{aligned}
&= \mathbb{E}_{c \in \mathcal{D}} \mathbb{E}_{q \in \mathcal{T}} \left[ \sum_{p \in \mathcal{H}} \mathbb{E}_{h' \in \mathcal{H}} \mathbb{I}[\ell(c + h') = p + h'] \cdot \mathbb{E}_{h \in \mathcal{H}} \mathbb{I}[\ell(c + q + h) = p + h] \right] \\
&= \mathbb{E}_{c \in \mathcal{D}} \mathbb{E}_{q \in \mathcal{T}} \left[ \sum_{p \in \mathcal{H}} f_p(c) f_p(c + q) \right] \tag{7.6}
\end{aligned}$$

$$= \sum_{p \in \mathcal{H}} \langle f_p, G f_p \rangle \tag{7.7}$$

where  $G = \text{Cay}(C^\perp, \mathcal{T})$  is the graph associated with the code  $C^\perp$  and tester  $\mathcal{T}$ .

The expectation of the function  $f_p$  is given by,

$$\begin{aligned}
\mathbb{E}_{c \in \mathcal{D}} f_p(c) &= \mathbb{P}_{c \in \mathcal{D}, h \in \mathcal{H}} [\ell(c + h) = p + h] \\
&= \mathbb{P}_{c \in \mathcal{D}, h \in \mathcal{H}} [\ell(c) = p + h] \text{ because } (c + h, h) \sim (c, h) \\
&= \frac{1}{|\mathcal{H}|} = \frac{1}{R}.
\end{aligned}$$

Since  $f_p$  is bounded in the range  $[0, 1]$  we have,

$$\langle f_p, f_p \rangle = \mathbb{E}_{c \in \mathcal{D}} [f_p(c)^2] \leq \mathbb{E}_{c \in \mathcal{D}} [f_p(c)] = \frac{1}{R}.$$

Applying [Corollary 4.10](#), we get that for each  $p$ ,

$$\langle f_p, G f_p \rangle \leq \frac{1}{R} \cdot \min_{k \in [0, \frac{D}{5}]} \left( 1 - 2s(k) + \frac{3^k}{R^{1/2}} \right).$$

Substituting the previous equation in to (7.7), we get that the fraction of constraints satisfied by  $\ell$  is at most

$$\min_{k \in [0, \frac{D}{5}]} \left( 1 - 2s(k) + \frac{3^k}{R^{1/2}} \right)$$

## 8 Hierarchy integrality gaps for Unique Games and Related Problems

This section is devoted to the construction of a integrality gap instance for a hierarchy of SDP relaxations to Unique Games. More specifically, we consider the  $\text{LH}_r$  and  $\text{SA}_r$  SDP hierarchies described in [RS09]. For these SDP hierarchies, we will demonstrate the following integrality gap constructions.

**Theorem 8.1.** *For every  $\varepsilon, \delta > 0$ , there exists an  $\mathbb{F}_2^t$ -MAX-2LIN instance  $I$  for some positive integer  $t$ , such that no labelling satisfies more than  $\delta$  fraction of edges of  $\Gamma$  while there exists an SDP solution such that,*

- the SDP solution is feasible for  $\text{LH}_R$  with  $R = \exp(\exp(\Omega(\log \log^{1/2} N)))$ .
- the SDP solution is feasible for  $\text{SA}_R$  with  $R = \exp(\Omega(\log \log^{1/2} N))$ .

- the SDP solution has value  $1 - O(\varepsilon)$ .

where  $N$  is the number of vertices in the instance  $I$ .

**Remark 8.2.** Composing the above SDP integrality gap with Unique games based hardness reductions yields corresponding gap instances for several classes of problems like constraint satisfaction problems (CSPs) and ordering CSPs like maximum acyclic subgraph. Specifically, up to  $\exp(\exp(\Omega(\log \log^{1/2} N)))$  rounds of LH hierarchy or the  $\exp(\Omega(\log \log^{1/2} N))$  rounds of the SA hierarchy can be shown to have the same SDP integrality gap as the simple SDP relaxation for every CSP. For the sake of brevity, we omit a formal statement of this result here.

Towards showing [Theorem 8.1](#), we follow the approach outlined in [\[RS09\]](#). At a high-level, the idea is to start with an integrality gap instance  $\Gamma$  for a simple SDP relaxation for unique games over a large alphabet. The instance  $\Gamma$  is reduced to an instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$  of unique games over a smaller alphabet using a reduction similar to Khot et al. [\[KKMO07\]](#). Moreover, the SDP solution to the simple SDP relaxation of  $\Gamma$  can be translated to a solution for several rounds of SDP hierarchy for  $\Psi_{\varepsilon, Q, d}(\Gamma)$ .

Let  $\Gamma$  be an instance of  $\mathbb{F}_2^n$ -MAX-2LIN over a set of vertices  $V(\Gamma)$  and edges  $E(\Gamma)$ . On every edge  $(u, v) \in E(\Gamma)$ , there is a constraint of the form  $u - v = \alpha_{uv}$  for some  $\alpha \in \mathbb{F}_2^n$ . We will reduce  $\Gamma$  to an instance of  $Q$ -MAX-2LIN instance using the two query test described in [Section 6](#).

**Translations.** Notice that Reed-Muller codes are invariant under translation of its coordinates. Therefore, the code  $\mathcal{D}^t$  and the test distributions  $\mathcal{T}_{t, \varepsilon}$  are both invariant under translation. Formally, for an  $\alpha \in \mathbb{F}_2^n$ , the translation operator  $T_\alpha: Q^N \rightarrow Q^N$  is defined by

$$(T_\alpha \circ c)_\beta = c_{\beta + \alpha} \quad \forall c \in Q^N, \beta \in \mathbb{F}_2^n.$$

Given a codeword  $c \in \mathcal{D}^t$ , we have  $T_\alpha \circ c \in \mathcal{D}^t$ .

We are now ready to describe the reduction from  $\Gamma$  to an instance of  $\mathbb{F}_2^n$ -MAX-2LIN.

The vertices of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  are  $V(\Gamma) \times \mathcal{D}^t$ . Let  $\ell: V(\Gamma) \times \mathcal{D}^t \rightarrow Q$  be a labelling of the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$ .

**Folding.** The labelling  $\ell$  is assumed to satisfy  $\ell(v, c + r) = \ell(v, c) + r$  for every vertex  $v \in V(\Gamma)$ ,  $c \in \mathcal{D}^t$  and  $r \in Q$ . This is enforced by “folding”.

The constraints of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  are given by the queries of the following verifier.

- Sample a vertex  $u \in V(\Gamma)$  uniformly at random. Sample two neighbours  $v_1, v_2 \in N(u)$  of  $u$  uniformly at random. Let the constraint on the edge  $(u, v_i)$  be  $v_i - u = \alpha_i$  for  $i \in \{1, 2\}$ .
- Sample an element  $c_1 \in \mathcal{D}^t$  uniformly at random, and sample a neighbour  $c_2 \in \mathcal{D}^t$  of  $c_1$  in the graph  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})$ .
- Sample an element  $r \in Q$  uniformly at random.
- Test if  $\ell(v_1, (T_{\alpha_1} \circ c_1) + r) - r = \ell(v_2, T_{\alpha_2} \circ c_2)$ .

**Soundness.**

**Lemma 8.3.** *For all sufficiently small constants  $\varepsilon, \delta > 0$  and all choices of  $Q = 2^t$ , there exists  $\gamma, d$  such that if no labelling of  $\Gamma$  satisfies more than  $\gamma$  fraction of edges, then every labelling of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  satisfies at most  $Q\Gamma_\rho(1/Q) + \delta$  fraction of constraints, where  $\rho = e^{-\varepsilon}$ .*

*Proof.* Let  $\ell : V \times \mathcal{D}^t \rightarrow Q$  be a labelling of the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$ . For each vertex  $v \in V(\Gamma)$ , let  $F^v : \mathcal{D}^t \rightarrow Q$  denote the labelling  $\ell$  restricted to the vertex  $v$ , i.e.,  $F^v(c) \stackrel{\text{def}}{=} \ell(v, c)$ . For each vertex  $v \in V(\Gamma)$  and  $q \in Q$  define  $f_q^v : \mathcal{D}^t \rightarrow [0, 1]$  as

$$f_q^v(c) \stackrel{\text{def}}{=} \mathbb{I}[F^v(c) = q].$$

Due to folding we have  $f_q^v(c) = f_{q+r}^v(c+r)$  for all  $r \in Q$ . Moreover, this implies that  $\mathbb{E}_{c \in \mathcal{D}^t} f_q^v = \frac{1}{Q}$ . Finally, for a vertex  $u \in V(\Gamma)$  and  $r \in Q$  define,

$$h_r^u(p) \stackrel{\text{def}}{=} \mathbb{E}_{v \in N(u)} f_r^v(T_{\alpha_{uv}} \circ p).$$

Clearly, for the functions  $h_r^u$  also we have,

$$\mathbb{E}_p h_r^u = \frac{1}{Q} \quad \forall u \in V(\Gamma), r \in Q \quad (8.1)$$

The probability of acceptance of the verifier can be arithmetized in terms of the functions  $h_r^u$ .

$$\begin{aligned} & \mathbb{P}[\text{verifier accepts}] \\ &= \mathbb{E}_{u \in V(\Gamma)} \mathbb{E}_{v_1, v_2 \in N(u)} \mathbb{E}_{c_1, c_2 \in \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})} \mathbb{E}_{r \in Q} \left[ \sum_{q \in Q} f_{q+r}^{v_1}(T_{\alpha_1} \circ c_1 + r) f_q^{v_2}(T_{\alpha_2} \circ c_2) \right] \\ &= \mathbb{E}_{u \in V(\Gamma)} \mathbb{E}_{v_1, v_2 \in N(u)} \mathbb{E}_{c_1, c_2 \in \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})} \left[ \sum_{q \in Q} f_q^{v_1}(T_{\alpha_1} \circ c_1) f_q^{v_2}(T_{\alpha_2} \circ c_2) \right] \quad (\text{folding}) \\ &= \mathbb{E}_{u \in V(\Gamma)} \mathbb{E}_{c_1, c_2 \in \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})} \left[ \sum_{q \in Q} \mathbb{E}_{v_1 \in N(u)} f_q^{v_1}(T_{\alpha_1} \circ c_1) \cdot \mathbb{E}_{v_2 \in N(u)} f_q^{v_2}(T_{\alpha_2} \circ c_2) \right] \\ &= \mathbb{E}_{u \in V(\Gamma)} \mathbb{E}_{c_1, c_2 \in \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})} \left[ \sum_{q \in Q} h_q^u(c_1) h_q^u(c_2) \right] \\ &= \mathbb{E}_{u \in V(\Gamma)} \left[ \sum_{q \in Q} \langle h_q^u, H h_q^u \rangle \right] \quad (\text{where } H = \text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})) \end{aligned}$$

Suppose the probability of acceptance of the verifier is at least  $Q \cdot \Gamma_\rho(1/Q) + \delta$ . By simple averaging, for at least  $\delta/2$  fraction of the vertices  $u \in V(\Gamma)$  we have,

$$\sum_{q \in Q} \langle h_q^u, Hh_q^u \rangle \geq Q\Gamma_\rho(1/Q) + \frac{\delta}{2}.$$

Let us refer to such a vertex  $u$  as being *good*.

Fix the parameters  $\tau, L, d$  to those obtained by applying [Theorem 6.4](#) with parameters  $\varepsilon, \delta/2Q$ . Recall that by [\(8.1\)](#), we have  $\mathbb{E}_{\mathcal{D}'}[h_q^u] = \frac{1}{Q}$ . Applying [Theorem 6.4](#), if for each  $q \in Q$ ,  $\max_{\alpha \in \mathbb{F}_2^n} \text{Inf}_\alpha^{\leq L}(h_q^u) \leq \tau$  then,

$$\sum_{q \in Q} \langle h_q^u, Gh_q^u \rangle \leq Q\Gamma_\rho(1/Q) + Q \cdot \frac{\delta}{2Q},$$

This implies that for each *good* vertex  $u$  there exists  $q, \alpha$  such that  $\text{Inf}_\alpha^{\leq L}(h_q^u) \geq \tau$ . We will use these influential coordinates to decode a labelling for the  $\mathbb{F}_2^n$ -MAX-2LIN instance  $\Gamma$ .

For each vertex  $v \in V(\Gamma)$  define the set of influential coordinates  $S_v$  as,

$$S_v = \{\alpha \in \mathbb{F}_2^n \mid \text{Inf}_\alpha^{\leq L}(h_q^v) \geq \tau/2 \text{ for some } q \in Q\} \cup \{\alpha \in \mathbb{F}_2^n \mid \text{Inf}_\alpha^{\leq L}(f_q^v) \geq \tau/2 \text{ for some } q \in Q\} \quad (8.2)$$

Using [Lemma A.1](#), for each of the functions  $h_q^v$  or  $f_q^v$ , there are at most  $2L/\tau$  coordinates with influence greater than  $\tau/2$ . Therefore, for each vertex  $v$  the set  $S_v$  is of size at most  $2 \cdot Q \cdot 2L/\tau = 4QL/\tau$ .

Define an assignment of labels  $A : V(\Gamma) \rightarrow \mathbb{F}_2^n$  as follows. For each vertex  $v$ , sample a random  $\alpha \in S_v$  and assign  $A(v) = \alpha$ .

Fix one good vertex  $u$ , and a corresponding  $q, \alpha$  such that  $\text{Inf}_\alpha^{\leq L}(h_q^u) \geq \tau$ . By definition of  $h_q^u$  this implies that

$$\text{Inf}_\alpha^{\leq L} \left( \mathbb{E}_{v \in N(u)} T_{\alpha_{uv}} \circ f_q^v \right) \geq \tau,$$

which by convexity of influences yields,

$$\tau \leq \mathbb{E}_{v \in N(u)} [\text{Inf}_\alpha^{\leq L}(T_{\alpha_{uv}} \circ f_q^v)] = \mathbb{E}_{v \in N(u)} [\text{Inf}_{\alpha - \alpha_{uv}}^{\leq L}(f_q^v)].$$

Hence, for at least a  $\tau/2$  fraction of the neighbours  $v \in N(u)$ , the coordinate  $\alpha - \alpha_{uv}$  has influence at least  $\tau/2$  on  $f_q^v$ . Therefore, for every good vertex  $u$ , for at least  $\tau/2$  fraction of its neighbours  $v \in N(u)$ , the edge  $(u, v)$  is satisfied by the labelling  $A$  with probability at least  $\frac{1}{|S_u|} \frac{1}{|S_v|} \geq \tau^2/16Q^2L^2$ . Since there are at least a  $\delta/2$ -fraction of good vertices  $u$ , the expected fraction of edges satisfied by the labelling  $A$  is at least  $\frac{\delta}{2} \cdot \frac{\tau}{2} \cdot \frac{\tau^2}{16Q^2L^2} = \frac{\delta\tau^3}{64Q^2L^2}$ .

By choosing the soundness  $\gamma$  of the outer unique game  $\Gamma$  to be lower than  $\frac{\delta\tau^3}{64Q^2L^2}$  yields a contradiction. This shows that the value of any labelling  $\ell$  to  $\Psi_{\varepsilon, Q, d}(\Gamma)$  is less than  $Q\Gamma_\rho(1/Q) + \delta$ .

□

**SDP Solution.** We will construct feasible solutions to certain strong SDP relaxations of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  by appealing to the work of [RS09]. The SDP hierarchies that we consider are referred to as the LH and SA hierarchies. Informally, the  $r^{\text{th}}$ -level LH relaxation ( $\text{LH}_r$ ) consists of the simple SDP relaxation for unique games augmented by local distributions  $\mu_S$  over integral assignments for every set  $S$  of at most  $r$  vertices. The local distribution  $\mu_S$  is required to be consistent with the inner products of the SDP vectors. Alternately, this SDP hierarchy can be thought of as, the simple SDP relaxation augmented by every valid constraint on at most  $r$  vertices.

The SA hierarchy is a somewhat stronger hierarchy that requires the local distributions  $\mu_S$  to be consistent with each other, namely,  $\mu_S$  and  $\mu_T$  agree on  $S \cap T$ . Alternately, the SA hierarchy corresponds to the simple SDP relaxation augmented with  $r$ -rounds of Sherali-Adams LP variables. We refer the reader to [RS09] for formal definitions of the SA and LH hierarchies.

**Lemma 8.4.** *Suppose  $\Gamma$  has an SDP solution that of value  $1 - \eta$ , then there exists an SDP solution to the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$  such that,*

- the SDP solution is feasible for  $\text{LH}_R$  with  $R = 2^{\Omega(\varepsilon/\eta^{1/4})}$ .
- the SDP solution is feasible for  $\text{SA}_R$  with  $R = \Omega(\varepsilon/\eta^{1/4})$ .
- the SDP solution has value  $1 - O(\varepsilon) - o_\eta(1)$  on  $\Psi_{\varepsilon, Q, d}(\Gamma)$ .

*Proof.* This lemma is a direct consequence of Theorem 9 from [RS09].

In [RS09], the authors start with an integrality gap instance  $\Gamma$  for the simple SDP for unique games, and then perform a traditional long code based reduction to obtain an instance  $\Phi_{\varepsilon, Q}(\Gamma)$ .

The crucial observation is the following.

**Observation 8.5.** *The vertices of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  are a subset of vertices of  $\Phi_{\varepsilon, Q}(\Gamma)$  – the instance obtained by the traditional  $Q$ -ary long code reduction on  $\Gamma$ .*

*Proof.* The vertices of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  are pairs of the form  $(v, c)$  where  $v \in V(\Gamma)$  and  $c \in \mathcal{D}^d$ . The codeword  $c \in \mathcal{D}^d$  can be thought of as a string of length  $N = 2^n$  over the alphabet  $Q = \mathbb{F}_2^t$ , namely,  $c \in Q^{2^n}$ . The vertices of the instance  $\Phi_{\varepsilon, Q}(\Gamma)$  obtained via a traditional  $Q$ -ary long code reduction is  $V(\Gamma) \times Q^{2^n}$ . Hence the observation follows.  $\square$

In [RS09], the authors construct an SDP solution for the instance  $\Phi_{\varepsilon, Q}(\Gamma)$  that is feasible for  $\text{LH}_R$  relaxation with  $R = 2^{\Omega(\varepsilon/\eta^{1/4})}$  and for  $\text{SA}_R$  relaxation with  $R = \Omega(\varepsilon/\eta^{1/4})$ . As noted in 8.5, the vertices of  $\Psi_{\varepsilon, Q}(\Gamma)$  are a subset of the vertices of  $\Phi_{\varepsilon, Q}(\Gamma)$ . Therefore, the same SDP solution constructed in [RS09] when restricted to the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$  yields a feasible solution for the corresponding  $\text{LH}_R$  and  $\text{SA}_R$  relaxations.

To finish the proof, we need to show that the value of the SDP solution from [RS09] is  $1 - 2\varepsilon - o_\eta(1)$ .

The traditional long code based reduction to get  $\Phi_{\varepsilon, Q}(\Gamma)$  uses the noise stability test as the inner gadget. Namely, to test if a function  $f : \mathbb{F}_Q^{2^n} \rightarrow \mathbb{F}_Q$  is a dictator function, the verifier picks  $x \in \mathbb{F}_Q^{2^n}$  uniformly at random, and rerandomizes each coordinate of  $x$

independently with probability  $\varepsilon$ , and then tests if  $f(x) = f(y)$ . Composing this noise stability test with the outer unique game  $\Gamma$  yields the instance  $\Phi_{\varepsilon, Q}(\Gamma)$ . The value of the SDP solution constructed for  $\Phi_{\varepsilon, Q}(\Gamma)$  in [RS09] depends only on the expected hamming distance between the queries  $x, y$ . More precisely, in Claim 2 of [RS09], the authors show that if the distribution on the queries  $(x, y) \in \mathbb{F}_Q^{2^n} \times \mathbb{F}_Q^{2^n}$  is chosen to be an arbitrary distribution NS over  $\mathbb{F}_Q^{2^n} \times \mathbb{F}_Q^{2^n}$ , the SDP objective value of the solution is given by

$$\mathbb{P}_{\{x, y\} \sim \text{NS}, \ell \in [2^n]} [x_\ell = y_\ell] - \varepsilon.$$

The instance  $\Psi_{\varepsilon, Q, d}$  is obtained by using the following distribution of  $x, y$  over  $\mathbb{F}_Q^{2^n} \times \mathbb{F}_Q^{2^n}$  – sample  $(c_1, c_2)$  an edge in  $\text{Cay}(\mathcal{D}^t, \mathcal{T}_{t, \varepsilon})$ .

By construction, for any coordinate  $\ell \in [2^n]$ ,  $\mathbb{P}[x_\ell = y_\ell] = 1 - O(\varepsilon)$ . Therefore, using Claim 2 of [RS09], the SDP objective value on the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$  is at least  $1 - O(2\varepsilon) - o_\eta(1)$ .

□

*Proof of Theorem 8.1.* Fix  $t = \lceil 10/\varepsilon \log(1/\delta) \rceil$  and  $Q = 2^t$ . By our choice of  $Q$ , we have  $Q\Gamma_{e^{-\varepsilon}}(1/Q) \leq \delta$  (see Appendix B in [MOO05] for such asymptotic bounds on  $\Gamma$ ).

Fix  $\gamma, d$  depending on  $\varepsilon, \delta$  and  $Q$  as dictated by Lemma 8.3. Let  $\Gamma$  be the Unique games instance obtained by Corollary 7.2 with the optimal integral value set to  $\gamma$ . In particular,  $\Gamma$  is a  $\mathbb{F}_2^n$ -MAX-2LIN instance that has  $M = 2^{2^{\log^2 n}}$  vertices. Its SDP optimum for the simple Unique games SDP relaxation is at least  $1 - O(C(\varepsilon, \delta)/n)$  ( $\eta = O(C(\varepsilon, \delta)/n)$ ) for some constant  $C(\varepsilon, \delta)$  depending on  $\varepsilon, \delta$ .

Now we apply the reduction to  $\mathbb{F}_2^n$ -MAX-2LIN outlined below to obtain an instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$ . The number of vertices of the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$  is  $|V(\Gamma)| \times |\mathcal{D}^t|$ . Note that the choice of the degree  $d$  is a constant (say  $d(\varepsilon, \delta)$ ) depending on  $\varepsilon, \delta$ . Hence, the number of points in  $\mathcal{D}^t$  is given by  $|\mathcal{D}^t| = 2^{O(n^{d(\varepsilon, \delta)})}$ . Therefore, the number of vertices of  $\Psi_{\varepsilon, Q, d}(\Gamma)$  is  $N = 2^{2^{\log^2 n}} \cdot 2^{O(n^{d(\varepsilon, \delta)})} = 2^{2^{O(\log^2 n)}}$ . Equivalently, we have  $n = 2^{\Omega(\log \log^{1/2} N)}$ .

- By Lemma 8.3, the optimal labelling to  $\Psi_{\varepsilon, Q, d}(\Gamma)$  satisfies at most  $Q\Gamma_{e^{-\varepsilon}}(1/Q) + \delta = O(\delta)$  fraction of constraints.
- By Lemma 8.4, there exists an SDP solution to the instance  $\Psi_{\varepsilon, Q, d}(\Gamma)$  with value  $1 - O(\varepsilon) - o_\eta(1)$ . Since  $\eta = O(C(\varepsilon, \delta)/n)$ , for large enough choice of  $n$ , the SDP value is at least  $1 - O(\varepsilon)$ .
- The SDP solution is feasible for  $\text{LH}_R$  for  $R = 2^{\Omega(\varepsilon/\eta^{1/4})} = 2^{c(\varepsilon, \delta)n^{1/4}} = \exp(\exp(\Omega(\log \log^{1/2} N)))$  rounds, where  $c(\varepsilon, \delta)$  is a constant depending on  $\varepsilon$  and  $\delta$ . Furthermore, the SDP solution is also feasible for  $\text{SA}_R$  for  $R = \Omega(\varepsilon/\eta^{1/4}) = c(\varepsilon, \delta)n^{1/4} = \exp(\Omega(\log \log^{1/2} N))$ .

□

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## A Missing Proofs

*Proof of Theorem 5.6.* Suppose  $d \geq C \log(1/\tau)$  for  $C$  to be chosen later and fix  $\gamma < 1/8$  for  $\gamma$  to be chosen later. Let  $\ell = \log(1/\tau)/4c_1 < \tau^2 2^{d+1}$ , where  $c_1$  is the constant from Theorem 5.7. For  $\alpha \in \mathbb{F}_2^N/C$ , let  $\lambda_\alpha$  be the eigenvalues of  $G$ . Then, by Lemma 4.13,

$$|\lambda_\alpha - \rho^k| < \tau, \text{ for } k \leq \ell, \quad |\lambda_\alpha| < \rho^{\ell/2}, \text{ for } k > \ell. \quad (\text{A.1})$$

Let  $g = G^\gamma f$  and  $G' = G^{1-2\gamma}$ . Then, the graph  $G'$  has the same eigenfunctions as  $G - \chi_\alpha$  for  $\alpha \in \mathbb{F}_2^N/C$  with eigenvalues  $\lambda'_\alpha = \lambda_\alpha^{1-2\gamma}$ . From the above equation, it is easy to check that, for  $\rho' = \rho^{1-2\gamma}$ ,

$$|\lambda'_\alpha - (\rho')^k| < \sqrt{\tau}, \text{ for } k \leq \ell, \quad |\lambda'_\alpha| < (\rho')^{\ell/2}, \text{ for } k > \ell. \quad (\text{A.2})$$

Further, as the eigenvalues of  $G$  are each at most 1, the coordinate influences of  $g$  are no larger than those of  $f$ .

Now, decompose  $g = g^{\leq \ell} + g^{> \ell}$  into a low-degree part  $g^{\leq \ell} = \sum_{\alpha \in \mathbb{F}_2^N, \text{wt}(\alpha) \leq \ell} \hat{g}(\alpha) \chi_\alpha$  and a high-degree part  $g^{> \ell} = \sum_{\alpha \in \mathbb{F}_2^N/C, \Delta(\alpha, C) > \ell} \hat{g}(\alpha) \chi_\alpha$ . Then,

$$\langle f, Gf \rangle = \langle g, G'g \rangle = \langle g^{\leq \ell}, G'g^{\leq \ell} \rangle + \langle g^{> \ell}, G'g^{> \ell} \rangle \leq \langle g^{\leq \ell}, G'g^{\leq \ell} \rangle + \mu \cdot \max_{\alpha \in \mathbb{F}_2^N/C, \Delta(\alpha, C) > \ell} \lambda'_\alpha.$$

Hence, using Equation (A.2) (and the crude bound  $\mu \leq 1$ ),

$$\langle f, Gf \rangle = \sum_{\alpha \in \mathbb{F}_2^N, \text{wt}(\alpha) \leq \ell} (\rho')^{\text{wt}(\alpha)} \hat{g}(\alpha)^2 + (\rho')^\ell + \sqrt{\tau}. \quad (\text{A.3})$$

Observe that  $g^{\leq \ell}$  is a multilinear polynomial of degree at most  $\ell$  and as the  $\ell$ -degree influences of  $g$  are at most  $\tau$ ,  $g^{\leq \ell}$  is  $\tau$ -regular.

Let  $S \subseteq \{\pm 1\}^N$  be the set of  $\{\pm 1\}$ -vectors corresponding to the Reed–Muller code  $C^\perp = \text{RM}(n, d)$ , that is, for every codeword  $c \in C^\perp$ , the set  $S$  contains the vector  $((-1)^{c_1}, \dots, (-1)^{c_N})$ . Then, as  $g$  is  $[0, 1]$ -valued on  $C^\perp$  and  $\zeta$  measures distance to bounded random variables, by Equation (A.1),

$$\begin{aligned} \mathbb{E}_{z \sim S} [\zeta \circ g^{\leq \ell}(z)] &\leq \mathbb{E}_{z \sim S} [(g(z) - g^{\leq \ell}(z))^2] = \mathbb{E}_{z \sim S} [(g^{> \ell}(z))^2] = \mathbb{E}_{z \sim S} [(G^\gamma f^{> \ell}(z))^2] \leq \\ &\max_{\alpha: |\alpha| > \ell} (\lambda'_\alpha)^2 \leq \rho^{\gamma \ell}. \end{aligned}$$

Hence, by Theorem 5.7 (recall that  $\ell = \log(1/\tau)/4c_1$ ),

$$\mathbb{E}_{x \sim \{\pm 1\}^N} [\zeta \circ g^{\leq \ell}(x)] \leq \mathbb{E}_{z \sim S} [\zeta \circ g^{\leq \ell}(z)] + 2^{O(\ell)} \sqrt{\tau} \leq \underbrace{\rho^{\gamma \ell} + \tau^{1/4}}_{\eta}.$$

Now, as  $C^\perp$  is  $\ell$ -wise independent ( $\ell < 2^{d+1}$ ),

$$\mathbb{E}_{x \sim \{\pm 1\}^N} [g^{\leq \ell}(x)] = \mathbb{E}_{z \sim S} [g^{\leq \ell}(z)] = \mathbb{E}_{z \sim S} [g(z)] \pm \mathbb{E}_{z \sim S} [(g^{> \ell}(z))^2]^{1/2} \leq \mu + \sqrt{\eta}.$$

Therefore, by [Corollary 5.3](#),

$$\langle g^{\leq \ell}, T_{\rho'} g^{\leq \ell} \rangle = \sum_{\alpha: \text{wt}(\alpha) \leq \ell} (\rho')^{\text{wt}(\alpha)} \hat{g}(\alpha)^2 \leq \Gamma_{\rho'}(\mu + \sqrt{\eta}) + \frac{O(\log \log(1/\eta))}{(1 - \rho') \log(1/\eta)}. \quad (\text{A.4})$$

Since  $\Gamma_{\rho'}(\mu + \sqrt{\eta}) \leq \Gamma_{\rho'}(\mu) + 2\sqrt{\eta}$  and  $\Gamma_{\rho}(\mu) \leq \Gamma_{\rho'}(\mu) + |\rho - \rho'|/(1 - \rho)$  (cf. Lemma B.3, Corollary B.5 in [[MOO05](#)]), it follows from Equations (A.3) and (A.4) that

$$\begin{aligned} \langle f, Gf \rangle &= \langle g, G'g \rangle \leq \Gamma_{\rho}(\mu) + O\left(\frac{|\rho - \rho'|}{1 - \rho}\right) + O(\sqrt{\eta}) + \frac{O(\log \log(1/\eta))}{(1 - \rho) \log(1/\eta)} + \rho^{(1-2\gamma)\ell} + \sqrt{\tau} \\ &= \Gamma_{\rho}(\mu) + O\left(\frac{\gamma \log(1/\rho)}{1 - \rho} + \rho^{\gamma\ell/2} + \tau^{1/8} + \frac{\log \log(1/\eta)}{(1 - \rho) \log(1/\eta)}\right). \end{aligned}$$

(Here we used the estimate  $|\rho - \rho'| = |\rho - \rho^{1-2\gamma}| = O(\gamma \log(1/\rho))$ .) By choosing  $d \geq C \log(1/\tau)$  and  $\gamma = CK \log \log(1/\tau)/(\log(1/\tau) \log(1/\rho))$  for an appropriately large constant  $C$ , the above expression simplifies to

$$\langle f, Gf \rangle \leq \Gamma_{\rho}(\mu) + \frac{O(\log \log(1/\tau))}{(1 - \rho) \log(1/\tau)}.$$

□

*Proof of [Lemma 5.15](#).* Since  $X$  fools  $\tau$ -regular degree- $\ell$  PTFS, we have for all  $u \geq 0$ ,

$$\left| \mathbb{P}\{\zeta \circ Q(X) > u\} - \mathbb{P}\{\zeta \circ Q(Y) > u\} \right| \leq O(\varepsilon).$$

By hypercontractivity and  $20\ell$ -wise independence of  $X$ ,

$$\mathbb{P}\{\zeta \circ Q(X) > u\} \leq \mathbb{P}\{|Q(X)| > \sqrt{u}\} \leq u^{-10} \mathbb{E} Q(X)^{20} \leq u^{-10} 2^{O(\ell)}.$$

Since  $\zeta \circ Q(X)$  is a non-negative random variable,

$$\mathbb{E} \zeta \circ Q(X) = \int \mathbb{P}\{\zeta \circ Q(X) > u\} du$$

Hence, we can bound its expectation

$$\begin{aligned} \mathbb{E} \zeta \circ Q(X) &= \int_{u \geq 0} \mathbb{P}\{\zeta \circ Q(X) > u\} du \\ &= \int_{0 \leq u \leq M} \mathbb{P}\{\zeta \circ Q(X) > u\} du \pm 2^{O(\ell)} \int_{u \geq M} u^{-10} du \\ &= \int_{0 \leq u \leq M} \mathbb{P}\{\zeta \circ Q(Y) > u\} du \pm O(\varepsilon M + 2^{O(\ell)}/M^9) \\ &= \mathbb{E} \zeta \circ Q(Y) \pm O(\varepsilon M + \ell^{O(\ell d)}/M^9). \end{aligned}$$

(In the last step, we used that  $\mathbb{P}\{\zeta \circ Q(Y) > u\} \leq u^{-10} 2^{O(\ell)}$ , a consequence of hypercontractivity.) Choosing  $M = 2^{O(\ell)}/\varepsilon^{0.1}$  (so that  $\varepsilon M = 2^{O(\ell)}/M^9$ ), we conclude that  $\mathbb{E} \zeta \circ Q = \mathbb{E} \zeta \circ Q \pm \varepsilon^{0.9} 2^{O(\ell)}$ . □

## A.1 Proofs from Section 6.1

The following lemma shows a bound on the sum of influences.

**Lemma A.1.** *For a function  $f: \mathcal{D}^t \rightarrow \mathbb{R}$  and  $\ell < \text{dist}(C^t)/2$ , the sum of  $\ell$ -degree influences of  $f$  is at most  $\sum_{i \in [N]} \text{Inf}_i^{\leq \ell}(f) \leq \ell \mathbb{V}[f]$ .*

*Proof.* The usual identity for the total (low-degree) influence holds,

$$\sum_{i \in [N]} \text{Inf}_i^{\leq \ell}(f) = \sum_{\beta \in Q^N/C^t, \text{wt}(\beta) \leq \ell} \text{wt}(\beta) \hat{f}(\beta)^2 \leq \ell \mathbb{V} f. \quad \square$$

Analogous to [Theorem 5.7](#), the following invariance principle can be shown for regular multilinear polynomials.

**Theorem A.2.** *Let  $N = 2^n$  and  $t$  be an integer. For every  $\tau, \ell > 0$ , there exists  $C$  such that for  $d > C \log(1/\tau)$  the following holds: if  $P: \mathbb{R}^{N^t} \rightarrow \mathbb{R}$  be a  $\tau$ -regular polynomial of degree at most  $\ell$  then, for  $x \in_u \{\pm 1\}^{N^t}$ ,  $z \in_u \text{RM}(n, d)^t$ ,*

$$|\mathbb{E}[\zeta \circ P(x)] - \mathbb{E}[\zeta \circ P(z)]| \leq 2^{c_1 \ell} \sqrt{\tau},$$

for a universal constant  $c_1 > 0$ .

The proof follows easily from the proof of [Theorems 5.9](#) and [5.7](#) and the fact that if  $\text{RM}(n, d)$  satisfies the properties of the PRG in [\[MZ10\]](#), then so does  $\text{RM}(n, d)^t$ . We omit the proof.

The work of Mossel et al. [\[MOO05\]](#) also obtains bounds on noise stability of functions over product spaces of large alphabets namely  $Q^N$ . The following corollary is a consequence of Theorem 4.4 in [\[MOO05\]](#). The proof is analogous to that of [Corollary 5.3](#) from [Theorem 5.1](#).

**Corollary A.3.** *Let  $f: Q^N \rightarrow \mathbb{R}$  be a function with  $\mathbb{E} f = \mu$  and  $\mathbb{E} \zeta \circ f \leq \tau$ . Suppose  $\text{Inf}_i f^{\leq 30 \log(1/\tau)/\log Q} \leq \tau$  for all  $i \in [N]$ . Then,*

$$\langle f, T_\rho f \rangle \leq \Gamma_\rho(\mu) + O\left(\frac{\log Q \log \log(1/\tau)}{(1-\rho) \log(1/\tau)}\right),$$

where  $T_\rho$  is the noise graph on  $Q^N$  with second largest eigenvalue  $\rho$  and  $\Gamma_\rho$  is the Gaussian noise stability curve. (Here, we assume that  $\tau$  is small enough.)

Now we are ready to present the proof of the majority is stablest theorem over  $\mathcal{D}^t$  ([Theorem 6.4](#)) using [Theorem A.2](#) and [Corollary A.3](#).

*Proof of [Theorem 6.4](#).* Let  $Q = 2^t$ . Fix  $d \geq C \log(1/\tau)$  for a sufficiently large constant  $C$  to be chosen later. Let  $\gamma < 1/8$  be a constant depending on  $\varepsilon, \delta$  whose value will be chosen later. Let  $\ell = \log(1/\tau)/4c_1 < \tau^2 2^{d+1}$ , where  $c_1$  is the constant from [Theorem A.2](#). For  $\alpha \in Q^N/C$ , let  $\lambda_\alpha$  be the eigenvalues of  $G$ . Then, by [Lemma 6.2](#),

$$|\lambda_\alpha - \rho^{\text{wt}(\alpha)}| < \tau, \text{ for } \text{wt}(\alpha) \leq \ell, \quad |\lambda_\alpha| < \rho^{\Omega(\ell/t)}, \text{ for } \text{wt}(\alpha) > \ell. \quad (\text{A.5})$$

Let  $g = G^\gamma f$  and  $G' = G^{1-2\gamma}$ . Then, the graph  $G'$  has the same eigenfunctions as  $G - \chi_\alpha$  for  $\alpha \in Q^N/C$  with eigenvalues  $\lambda'_\alpha = \lambda_\alpha^{1-2\gamma}$ . From the above equation, it is easy to check that, for  $\rho' = \rho^{1-2\gamma}$ ,

$$|\lambda'_\alpha - (\rho')^{\text{wt}(\alpha)}| < \sqrt{\tau}, \text{ for } \text{wt}(\alpha) \leq \ell, \quad |\lambda'_\alpha| < (\rho')^{\Omega(\ell/t)}, \text{ for } \text{wt}(\alpha) > \ell. \quad (\text{A.6})$$

Further, as the eigenvalues of  $G$  are each at most 1, the coordinate influences of  $g$  are no larger than those of  $f$ . Now, decompose  $g = g^{\leq \ell} + g^{> \ell}$  into a low-degree part  $g^{\leq \ell} = \sum_{\alpha \in Q^N, \text{wt}(\alpha) \leq \ell} \hat{g}(\alpha) \chi_\alpha$  and a high-degree part  $g^{> \ell} = \sum_{\alpha \in Q^N/C, \text{wt}(\alpha) > \ell} \hat{g}(\alpha) \chi_\alpha$ . Then,

$$\langle f, Gf \rangle = \langle g, G'g \rangle = \langle g^{\leq \ell}, G'g^{\leq \ell} \rangle + \langle g^{> \ell}, G'g^{> \ell} \rangle \leq \langle g^{\leq \ell}, G'g^{\leq \ell} \rangle + \mu \cdot \max_{\alpha \in Q^N/C, \text{deg}(\alpha) > \ell} \lambda'_\alpha.$$

Hence, using Equation (A.6) (and the crude bound  $\mu \leq 1$ ),

$$\langle f, Gf \rangle = \sum_{\alpha \in Q^N/C, \text{wt}(\alpha) \leq \ell} (\rho')^{\text{wt}(\alpha)} \hat{g}(\alpha)^2 + (\rho')^{\Omega(\ell/t)} + \sqrt{\tau}. \quad (\text{A.7})$$

Observe that  $g^{\leq \ell}$  is a multilinear polynomial of degree at most  $\ell \cdot t$ . Since the  $\ell$ -degree influences of  $g$  are at most  $\tau$ , it implies that the multilinear polynomial  $g^{\leq \ell}$  is  $\tau$ -regular.

Let  $S \subseteq \{\pm 1\}^{Nt}$  be the set of  $\{\pm 1\}$ -vectors corresponding to the Reed–Muller code  $\mathcal{D}^t$ , that is, for every codeword  $c = (c^{(1)}, c^{(2)}, \dots, c^{(t)}) \in \mathcal{D}^t$ , the set  $S$  contains the vector  $((-1)^{c_1^{(1)}}, \dots, (-1)^{c_j^{(j)}}, \dots, (-1)^{c_N^{(t)}})$ . Then, as  $g$  is  $[0, 1]$ -valued on  $\mathcal{D}^t$  and  $\zeta$  measures distance to bounded random variables, by Equation (A.5),

$$\begin{aligned} \mathbb{E}_{z \sim S} [\zeta \circ g^{\leq \ell}(z)] &\leq \mathbb{E}_{z \sim S} [(g(z) - g^{\leq \ell}(z))^2] = \mathbb{E}_{z \sim S} [(g^{> \ell}(z))^2] = \mathbb{E}_{z \sim S} [(G^\gamma f^{> \ell}(z))^2] \leq \\ &\max_{\alpha: \text{wt}(\alpha) > \ell} (\lambda'_\alpha)^2 \leq \rho^{\Omega(\gamma \ell/t)}. \end{aligned}$$

Hence, by Theorem A.2 (recall that  $\ell = \log(1/\tau)/4c_1$ ),

$$\mathbb{E}_{x \sim \{\pm 1\}^N} [\zeta \circ g^{\leq \ell}(x)] \leq \mathbb{E}_{z \sim S} [\zeta \circ g^{\leq \ell}(z)] + 2^{O(\ell)} \sqrt{\tau} \leq \underbrace{\rho^{\Omega(\gamma \ell)} + \tau^{1/4}}_{\eta:=}$$

Now, as  $\mathcal{D}^t$  is  $\ell$ -wise independent ( $\ell < 2^{d+1}$ ),

$$\mathbb{E}_{x \sim \{\pm 1\}^N} [g^{\leq \ell}(x)] = \mathbb{E}_{z \sim S} [g^{\leq \ell}(z)] = \mathbb{E}_{z \sim S} [g(z)] \pm \mathbb{E}_{z \sim S} [(g^{> \ell}(z))^2]^{1/2} \leq \mu + \sqrt{\eta}.$$

Therefore, by Corollary A.3,

$$\langle g^{\leq \ell}, T_{\rho'} g^{\leq \ell} \rangle = \sum_{\alpha: \text{wt}(\alpha) \leq \ell} (\rho')^{\text{wt}(\alpha)} \hat{g}(\alpha)^2 \leq \Gamma_{\rho'}(\mu + \sqrt{\eta}) + \frac{O(t \log \log(1/\tau))}{(1 - \rho') \log(1/\tau)}. \quad (\text{A.8})$$

Since  $\Gamma_{\rho'}(\mu + \sqrt{\eta}) \leq \Gamma_{\rho'}(\mu) + 2\sqrt{\eta}$  and  $\Gamma_\rho(\mu) \leq \Gamma_{\rho'}(\mu) + |\rho - \rho'|/(1 - \rho)$  (cf. Lemma B.3, Corollary B.5 in [MOO05]), it follows from Equations (A.7) and (A.8) that

$$\langle f, Gf \rangle = \langle g, G'g \rangle \leq \Gamma_\rho(\mu) + O\left(\frac{|\rho - \rho'|}{1 - \rho}\right) + O(\sqrt{\eta}) + \frac{O(t \log \log(1/\tau))}{(1 - \rho) \log(1/\tau)} + \rho^{\Omega((1-2\gamma)\ell/t)} + \sqrt{\tau}$$

By a sufficiently small choice of  $\tau$ , and fixing  $\ell = \log(1/\tau)/4c_1$  and  $\gamma = 100tc_1 \log \log(1/\tau)/(\log(1/\tau) \log(1/\rho))$  (so that  $\rho^{\Omega(\gamma \ell/t)} < 1/\log(1/\tau)$  and  $|\rho - \rho'| = O(\frac{t}{\log(1/\rho) \log(1/\tau)})$ ), the error term in the above expression can be made smaller than  $\delta$ .  $\square$