# Comparator Circuits over Finite Bounded Posets 

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#### Abstract

Comparator circuit model was originally introduced in [5] (and further studied in [2]) to capture problems which are not known to be P-complete but still not known to admit efficient parallel algorithms. The class CC is the complexity class of problems many-one logspace reducible to the Comparator Circuit Value Problem and we know that NLOG $\subseteq C C \subseteq$. Cook et al [2] showed that CC is also the class of languages decided by polynomial size comparator circuits.

We study generalizations of the comparator circuit model that work over fixed finite bounded posets. We observe that there are universal comparator circuits even over arbitrary fixed finite bounded posets. Building on this, we show the following :


- Comparator circuits of polynomial size over fixed finite distributive lattices characterizes CC. When the circuit is restricted to be skew, they characterize LOG. Noting that (uniform) polynomial sized Boolean circuits (resp. skew) characterize P (resp. NLOG), this indicates a comparison between P vs CC and NLOG vs LOG problems.
- Complementing this, we show that comparator circuits of polynomial size over arbitrary fixed finite lattices exactly characterizes P and that when the comparator circuit is skew they characterize NLOG. This provides an additional comparison between $P$ vs CC and NLOG vs LOG problems. The lattice that we design to prove this result is non-distributive and cannot be embedded into any distributive lattice preserving meets and joins.
- In addition, we show a characterization of the class NP by a family of polynomial sized comparator circuits over fixed finite bounded posets. As an aside, we consider generalizations of Boolean formulae over arbitrary lattices. We show that Spira's theorem [6] can be extended to this setting as well and show that polynomial sized Boolean formulae over finite fixed lattices capture exactly $N C^{1}$.
These results generalize the results in 2 regarding the power of comparator circuits. Our techniques involve design of comparator circuits and finite posets. We then use known results from lattice theory to show that the posets that we obtain can be embedded into appropriate lattices. Our results gives new methods to establish CC upper bound for problems also indicate potential new approaches towards the problems P vs CC and NLOG vs LOG using lattice theoretic methods.

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## 1 Introduction

Completeness for the class P for a problem, is usually considered to be an evidence that it is hard to design an efficient parallel algorithm for the problem. However, there are many computational problems in the class P , which are not known to be P -complete, yet designing efficient parallel algorithms for them has remained elusive. Some of the classical examples of such problems include lex-least maximal matching problem and stable marriage problem (5).

Attempting to capture the exact bottleneck of computation in these problems using a variant of Boolean circuit model, Mayr and Subramanian [5] (see also [2]) studied the comparator circuit model. A comparator circuit is a sorting network working over the values 0 and 1 . A comparator gate has 2 inputs and 2 outputs. The first output is the AND of the two inputs and the second output is the OR of the two inputs. A comparator circuit is a circuit that has only comparator gates. In particular, fan-out gates are not allowed. We can assume wlog that NOT gates are used only at the input level. A graphical representation of a comparator circuit is shown in Figure 1. In this representation, we draw a set of parallel lines. Each line carries a logical value which is updated by gates incident on that line. Each gate is represented by a directed arrow from one line (Say $i$ ) to another (Say $j$ ) and the gate updates the values of lines as follows. The value of line $i(j)$ is set to the OR (resp. AND) of values previously on lines $i$ and $j$. The gates are evaluated from left to right. The output of the circuit is the final value of a line designated as the output line. We define the model formally in section 2 .

In order to study the complexity theoretic significance of comparator circuits, the corresponding circuit value problem was explored in [5]. That is, given a comparator circuit and an input, test if the output wire carries a 1 or not. The class CC is defined in [5] as the class of languages that are logspace many-one reducible to the comparator circuit value problem. They also observed that the class CC is contained in P. Feder's algorithm (described in [8]) for directed reachability proves that the class CC contains NLOG as a subclass. These are the best containments currently known about the complexity class CC.

There has been a recent spurt of activity in the characterization of CC. Cook et al. 2] showed that the class CC is robust even if the complexity of the many-one reduction to the comparator circuit value problem is varied from $\mathrm{AC}^{0}$ to NLOG. They also gave a characterization of the class CC in terms of a computational model (comparator circuit families). Their main contribution in this regard is the introduction of a universal comparator circuit that can simulate the computation of a comparator circuit given as input (to the universal circuit). Comparison of CC with the class NC has interesting implications to the corresponding computational restrictions. For example, hardness for the class CC is conjectured to be evidence that the problem is not efficiently parallelizable. This intuition was further strengthened by Cook et al. [2] by showing that there are oracle sets relative to which CC and NC are incomparable (NC is the class of all languages efficiently solvable by parallel algorithms). In addition, it is conjectured in [2] that the classes NC, SC and CC are pairwise incomparable.

Our Results \& Techniques: In this paper, we study the computational power of comparator circuits working over arbitrary fixed finite bounded posets (and sub-families of the family of all
finite bounded posets). Informally, instead of 0 and 1, the values used while computation could be any element from the poset and the AND and OR gates compute (non-deterministically) maximal lower bounds and minimal upper bounds over the poset respectively. We define this model formally in section 3. We obtain the following results:

- There exist Universal Comparator Circuits for comparator circuits irrespective of the underlying bounded poset. (Proposition 3, Section 3)
- Comparator circuits of polynomial size over fixed finite distributive lattices capture the class CC. (Theorem4. Section 4). This leads to a new way to show that a problem is in the class CC. That is, by designing a comparator circuit over a fixed finite lattice and then showing that the lattice is distributive. There are lattice theoretic techniques known (cf. $M_{3}-N_{5}$ Theorem [3]) for showing that a lattice is distributive, this generalization might be independent interest.
- Going beyond distributivity, we show that comparator circuits of polynomial size over fixed finite lattices characterize the class $P$. (Theorem 55, Section 4). In particular, we design a fixed finite poset $P$ over which, for any language $L \in \mathrm{P}$, there is a polynomial size comparator circuit family over $P$ computing $L$. During computation, we only use lubs and glbs that exist in the poset $P$. This enables us to use Dedekind-MacNeille completion (DM completion) theorem to construct a fixed finite lattice completing the poset $P$ while preserving the lubs and glbs of all pairs of elements and that lattice can be used to perform all computations in P. A potential drawback of the lattice thus obtained is that the complexity class captured by comparator circuits over it may vary depending on the element in the lattice used as the accepting element. By using standard tools from lattice theory, we derive that there is a fixed constant $i \geq 3$, such that comparator circuit over $\Pi_{i}$ (where $\Pi_{i}$ is the $i^{t h}$ partition lattice see section 2 for a definition) with polynomial size can compute all functions in P. Moreover, we show that comparator circuits over the lattice $\Pi_{i}$ captures P irrespective of the accepting element used.

However, both partition lattice for $i \geq 3$ and the lattice given by DM completion are nondistributive. Exploring the possibility of another completion of the poset $P$ into a distributive lattice that preserves existing lubs and glbs (which will show $P=C C$ ), we arrive at the following negative result : the poset $P$ cannot be completed into any distributive lattice while preserving all existing lubs and glbs. (Theorem 6).
It is conceivable that the class P could be captured by a family of distributive lattices, while no finite fixed lattice capturing P can be distributive. Motivated by this, we also present an alternative proof of the main theorem using growing posets of much simpler structure (See section (A). However, we argue that this poset family also cannot be completed into a family of distributive lattices while preserving all existing lubs and glbs.

- Going beyond lattice structure, we show that comparator circuits over fixed finite bounded posets capture the class NP. (Theorem 7. Section 5). Here, we crucially use the fact that posets that are not lattices could have elements that does not have unique minimal upper bounds. Hence, any completion of this poset into a lattice will fail to capture NP, unless $P=N P$.
- Restricting the structure of the comparator circuit, we obtain an exact characterization of the class LOG using skew comparator circuits (Theorem8). Noting that the polynomial sized
skew Boolean circuits characterize exactly the class NLOG, this leads to a comparison between CC vs P and LOG vs NLOG problem : both problems address the power of polynomial size Boolean circuits vs comparator circuits in general and skew circuits respectively.
- We further study generalizations of skew comparator circuits to arbitrary lattices. When the lattice is distributive, it follows that the circuits capture exactly LOG. Complementing this, we show that are fixed finite fixed lattices $P$ over which, the skew comparator circuits characterize exactly NLOG.(Theorem 9). This brings in a second comparison between CC vs P and NLOG vs LOG problems - both problems address the power of polynomial size comparator circuits over arbitrary lattices vs distributive lattices in the general and skew comparator circuits case respectively.
- We study generalizations of Boolean formulas to arbitrary lattices where the $A N D$ and $O R$ gates compute the $\wedge$ and $\vee$ of the lattices. We generalize Spira's theorem [6] to this setting and show that polynomial sized Boolean formulae over finite fixed lattices capture exactly $\mathrm{NC}^{1}$ (Theorem 10).

Thus, we observe that as the comparator circuit is allowed to compute over progressively general structures (From distributive lattices to arbitrary lattices to posets), the model captures classes of problems that are progressively harder to parallelize (From CC to P to NP). The table below indicates the results (the known results are indicated by the respective citations).

| Lattices $\Longrightarrow$ | Boolean | Distributive | General | Posets |
| :--- | :---: | :---: | :---: | :---: |
| poly-sized | CC(see [2]) | CC | P | NP |
| Skew, poly-sized | LOG | LOG | NLOG | - |
| Formulas | NC $^{1}$ (see [6]) | NC $^{1}$ | NC $^{1}$ |  |

The main technical contribution in our proofs is the design of posets and the corresponding comparator circuits for capturing complexity classes. We then use known ideas from lattice and order theory in order to derive lattices to which the constructed posets can be embedded.

## 2 Preliminaries

The standard definitions in complexity theory used in this paper can be found in standard textbooks [1]. All reductions in this paper are computable in logspace unless mentioned otherwise. By (standard) Boolean circuits, we mean circuits over the basis $\{\vee, \wedge\}$ where NOT gates are only allowed at the input level. In this section, we define comparator circuits, certain restrictions on comparator circuits and complexity classes based on those restrictions.

A comparator circuit has a set of $n$ lines $\left\{w_{1}, \ldots, w_{n}\right\}$ and an ordered list of gates $\left(w_{i}, w_{j}\right)$. Each line can be fed as input a value that is either (Boolean) 0 or 1 . We define $\operatorname{val}\left(w_{i}\right)$ to be the value of the line $w_{i}$. Each gate $\left(w_{i}, w_{j}\right)$ updates the $\operatorname{val}\left(w_{i}\right)$ to $\operatorname{val}\left(w_{i}\right) \wedge \operatorname{val}\left(w_{j}\right)$ and $\operatorname{val}\left(w_{j}\right)$ to $\operatorname{val}\left(w_{i}\right) \vee \operatorname{val}\left(w_{j}\right)$ in order. After all gates have updated the values, the value of the line $w_{1}$ is the output of the circuit.

The Comparator Circuit Value problem is given $(C, x)$ as input find the output of the comparator circuit $C$ when fed $x$ as input. We can think of $C$ being encoded according to the above definition of comparator circuits. We call this the ordered list representation as the gates are presented as an ordered list. Mayr and Subramanian [5] defined the complexity class CC as the
set of all languages logspace reducible to the Comparator Circuit Value problem. Cook et al. [2] characterized the class $C C$ as languages computed by $\mathrm{AC}^{0}$-uniform families of annotated comparator circuits. In an annotated comparator circuit the initial value of a line could be an input variable $x_{i}$ or its complement $\overline{x_{i}}$. In a family of annotated comparator circuits for a language L , the $n^{\text {th }}$ comparator circuit in the family has exactly $n$ input variables $\left(x_{1}, \ldots, x_{n}\right)$ and the circuit computes $\mathrm{L} \cap\{0,1\}^{n}$.

Skew Comparator Circuits: We now define skewness in comparator circuits. To begin with, we present an alternate definition of comparator circuits that is closer to the definition of standard Boolean circuits. A comparator gate is a 2 -input, 2-output gate that takes $a$ and $b$ as inputs and outputs $a \wedge b$ and $a \vee b$. Then the comparator circuit is simply a circuit (in the usual sense) that consists of only comparator gates (In particular, fan-out gates are not allowed). Using this definition, we can encode comparator circuits by using DAGs as we encode standard Boolean circuits. It is easy to see that given a comparator circuit encoded as an ordered list of gates, we can obtain the DAG encoding the comparator circuit in logspace. Using this definition, we can talk about wires in the comparator circuit.

We say that an AND gate in a comparator gate is used if the AND output wire of that comparator gate is used in the circuit. An AND gate in the circuit is called skew if and only if at least one input to that gate is the constant 0 or the constant 1 or (in the case of annotated circuits) an input bit $x_{i}$ or $\overline{x_{i}}$ for some $i$.

A comparator circuit is called a skew comparator circuit if and only if all used AND gates in the circuit are skew. The complexity class SkewCC consists of all languages that can be decided by polysize skew comparator circuit families. We define SkewCCVP to be the circuit evaluation problem for skew comparator circuits. Note that given the ordered list representation of a comparator circuit, it is easy to check whether an AND gate is used or not. For ex., if the $i^{\text {th }}$ gate is $\left(w_{1}, w_{2}\right)$, then the AND output of this gate is unused iff there is no element in the list of gates with $w_{1}$ as a member at a position greater that $i$ in the list.

The circuit family is LOG-uniform if and only if there exists a TM M that outputs the $n^{\text {th }}$ circuit in the family in $O(\log (n))$ space given $1^{n}$ as input. All circuits in this paper are LOG-uniform unless mentioned otherwise.

Lattice and Order Theory: We include some basic definitions and terminology from standard lattice and order theory that are required later in the paper. A more detailed treatment can be found in standard textbooks 3 .

A set $P$ along with a reflexive, anti-symmetric and transitive relation denoted by $\leq_{P}$ is called a poset. An element $m \in P$ is called the greatest element if $x \leq m$ for all $x$ in $P$. An element $m \in P$ is called the least element if $m \leq x$ for all $x$ in $P$. A poset is called bounded if it has a greatest and a least element. Note that any finite poset can be converted into a finite bounded poset by adding two new elements 0 and 1 and adding the relations $m \leq 1$ and $0 \leq m$ for every element $m$ in the poset. Minimal upper bounds of two elements $x, y$ in $P$, denoted by $x \vee y$, is the set of all $m \in P$ such that $x \leq m, y \leq m$ and there exists no $m^{\prime}$ distinct from $m$ such that $x \leq m^{\prime}, y \leq m^{\prime}$ and $m^{\prime} \leq m$. Maximal lower bounds of two elements $x, y$ in $P$, denoted by $x \wedge y$, is the set of all $m \in P$ such that $m \leq x, m \leq y$ and there exists no $m^{\prime}$ distinct from $m$ such that $m^{\prime} \leq x, m^{\prime} \leq y$ and $m \leq m^{\prime}$. A poset $P$ is called a lattice if every pair of elements $x$ and $y$ has a unique maximal lower bound and a unique minimal upper bound. In a lattice, the minimal upper bound (maximal
lower bound) of two elements is also known as the join (meet). Since minimal upper bound and maximal lower bound are unique in a lattice, we drop the set notation when describing them. i.e., instead of writing $a \vee b=\{x\}$, we simply write $a \vee b=x$. A lattice $L$ is called distributive if for every elements $a, b, c \in L$ we have $a \vee(b \wedge c)=(a \vee b) \wedge(a \vee c)$. An order embedding of a poset $P$ into a poset $P^{\prime}$ is a function $f: P \mapsto P^{\prime}$ such that $f(x) \leq_{P^{\prime}} f(y) \Longleftrightarrow x \leq_{P} y$. We say that the lattice $L$ is a sub-lattice of $L^{\prime}$ if $L \subseteq L^{\prime}$ and $L$ is also a lattice under the meet and join operations inherited from $L^{\prime}$. In this case, we say that $L^{\prime}$ embeds $L$.

We now state some technical theorems from the theory which we crucially use. The following theorem shows that given a poset one can find a lattice that contains the poset.

Theorem 1 (Dedekind-Macneille Completion 3). For any poset $P$, there always exist a smallest lattice $L$ that order embeds $P$. This lattice $L$ is called the Dedekind-MacNeille completion of $P$.

One crucial property of Dedekind-MacNeille completion is that it preserves all meets and joins that exist in the poset. i.e., if $a$ and $b$ are two elements in the poset and $a \vee b=x$ in the poset, then we have $f(a) \vee f(b)=f(x)$ in the Dedekind-MacNeille completion of the poset, where $f$ is the embedding function that maps elements in $P$ to elements in $L$.

We now state a very important theorem that concerns the structure of distributive lattices.
Theorem 2 (Birkhoff's Representation Theorem[3). The elements of any finite distributive lattice can be represented as finite sets, in such a way that the join and meet operations over the finite distributive lattice correspond to unions and intersections of the finite sets used to represent those elements.

The $n^{\text {th }}$ partition lattice for $n \geq 2$, denoted $\Pi_{n}$, is the lattice where elements are partitions of the set $\{1, \ldots, n\}$ ordered by refinement. Equivalently, the elements are equivalence relations on the set $\{1, \ldots, n\}$ where the glb is the intersection and lub is the transitive closure of the union.

Theorem 3 (Pudlák, Tůma[7). For any finite lattice L, there exists an $i$ such that $L$ can be embedded as a sublattice in $\Pi_{i}$.

We can describe elements of the partition lattice $\Pi_{n}$ by using undirected graphs on the vertex set $\{1, \ldots, n\}$. Given an undirected graph $G=(\{1, \ldots, n\}, E)$, the corresponding element $A_{G} \in \Pi_{n}$ is the equivalence relation $A_{G}=\{(i, j): j$ is reachable from $i$ in $G\}$. Figure 2 shows the lattice $\Pi_{4}$ and two undirected graphs representing two different elements in $\Pi_{4}$.

Some Relations in Partition Lattices: In this section, we prove the existence of a certain formula over partition lattices. The following statements hold ${ }^{1}$ for any partition lattice $\Pi_{i}$ where $i \geq 2$.

Proposition 1. For any $A, B \in \Pi_{i}$ such that $A \notin B$, there exists a formula $\operatorname{DIST}_{A, B}$ on $\Pi_{i}$ such that $\operatorname{DIST}_{A, B}(x)=1$ if $x=A$ and strictly less than 1 if $x=B$.
Proof. There are two cases to consider. Case when $[A>B]$ Let $P \in \Pi_{i}$ be the element corresponding to a path with exactly one vertex from each partition in $A$. We define $\operatorname{DIST}_{A, B}(x)=x \vee P$. Case when $[A \ngtr B]$ Let $e_{1}, \ldots, e_{m}$ be the edges in $B \backslash A$ and let $B_{i}$ denote the element in the partition lattice that correspond to the undirected graph having only the edge $e_{i}$. Let $g(x)=x \vee B_{1} \vee \ldots \vee B_{m}$. We have $g(A)>g(B)=B$. Then define $\operatorname{DIST}_{A, B}(x)=\operatorname{DIST}_{g(A), B}(g(x))$.

[^1]

Figure 2: The lattice $\Pi_{4}$ and the undirected graph representation of $13 / 24$ and 123/4

Proposition 2. For any $A \in \Pi_{i}$, there exists a formula $\mathrm{GE}_{A}(x)$ that is 1 iff $x \geq A$. In addition, there exists a formula $\mathrm{GE}^{\prime}{ }_{A}(x)$ that evaluates to 1 if $x \geq A$ and evaluates to 0 otherwise.

Proof. For the first part, simply define the formula $\mathrm{GE}_{A}(x)=\bigwedge_{B \nsucceq A} \operatorname{DIST}_{A, B}(x)$ when $A \neq 0$. Define $\mathrm{GE}_{0}$ as identically 1.

For the second part, consider the formula $f_{Z}$ that is defined if and only if $Z \neq 1$ and it maps 1 to 1 and $Z$ to 0 (the images of the rest of the elements in the lattice can be arbitrary). Let $Z$ have $k \geq 2$ partitions. Let $e_{1}, \ldots, e_{m}$ be the edges of the complete $k$-partite graph on these $k$ partitions. Let $B_{1}, \ldots, B_{m}$ be lattice elements such that $B_{i}$ corresponds to the undirected graph that contains only the edge $e_{i} . f_{Z}(x)=\bigvee_{i=1}^{m}\left(x \wedge B_{i}\right)$. Now to complete the second part, define the formula $\mathrm{GE}^{\prime}(x)=\bigwedge_{B<1} f_{B}\left(\mathrm{GE}_{A}(x)\right)\left(\mathrm{GE}_{0}^{\prime}\right.$ is identically 1$)$.

## 3 Generalization to Finite Bounded Posets and Universal Circuits

In this section, we consider comparator circuit models over arbitrary fixed finite bounded posets instead of the Boolean lattice on 2 elements. We then prove the existence of universal circuits for these models. The existence of these generalized universal comparator circuits imply that the classes characterized by comparator circuit families over fixed finite bounded posets also have canonical complete problems - The comparator circuit evaluation problem over the same fixed finite bounded poset.

Definition 1 (Comparator Circuits over Fixed Finite Bounded Posets). A comparator circuit family over a finite bounded poset $P$ with an accepting elemen ${ }^{2} a \in P$ is a family of circuits $C=\left\{C_{n}\right\}_{n \geq 0}$ where $C_{n}=(W, G, f)$ where $f: W \mapsto(P \cup\{(i, g): 1 \leq i \leq n$ and $g: \Sigma \mapsto P\})$ is

[^2]a comparator circuit. Here $W=\left\{w_{1}, \ldots, w_{m}\right\}$ is a set of lines and $G$ is an ordered list of gates $\left(w_{i}, w_{j}\right)$.

On input $x \in \Sigma^{n}$, we define the output of the comparator circuit $C_{n}$ as follows. Each line is initially assigned a value according to $f$ as follows. We denote the value of the line $w_{i}$ by val $\left(w_{i}\right)$. If $f(w) \in P$, then the value is the element $f(w)$. Otherwise $f(w)=(i, g)$ and the initial value is given by $g\left(x_{i}\right)$. A gate $\left(w_{i}, w_{j}\right)$ (non-deterministically) updates the value of the line $w_{i}$ into $\operatorname{val}\left(w_{i}\right) \wedge \operatorname{val}\left(w_{j}\right)$ and the value of the line $w_{j}$ into $\operatorname{val}\left(w_{i}\right) \vee \operatorname{val}\left(w_{j}\right)$. The values of lines are updated by each gate in $G$ in order and the circuit accepts $x$ if and only if val $\left(w_{1}\right)=a$ at the end of the computation for some sequence of non-deterministic choices.

Let $\Sigma$ be any finite alphabet. A comparator circuit family $C$ over a bounded poset $P$ with an accepting element $a \in P$ decides $\mathbf{L} \subseteq \Sigma^{*}$ if and only if $C_{|x|}(x)=a$ if and only if $x \in \mathbf{L} \forall x \in \Sigma^{*}$.

Note that we can generalize any circuit model that uses only AND and OR gates to work over arbitrary bounded posets. However, as we will see in this paper, the most interesting case is comparator circuits over arbitrary bounded posets as they lead to new characterizations of complexity classes other than CC.

We first prove that a universal comparator circuit exists even for comparator circuit model working over arbitrary finite fixed posets.

Proposition 3. For any bounded poset $P$, there exists a universal comparator circuit $U_{n, m}$ over $P$ that when given $(C, x)$ as input, where $C$ is a comparator circuit over $P$ with $n$ lines and $m$ gates, simulates the computation of $C$. That is, $U_{n, m}$ has a non-deterministic path that outputs $a \in P$ if and only if $C$ has such a path, for any $a \in P$. Moreover, the size of $U_{n, m}$ is poly $(n, m)$.

Proof. We simply observe that the construction for a universal circuit for the class CC in [2] generalizes to arbitrary bounded posets. The gadget shown in Figure 3 enables/disables the gate $g=(y, x)$ depending on the "enable" input $e$. Here the input $e$ and $\bar{e}$ are complements of each other. i.e., if $e=0(e=1)$, then $\bar{e}=1(\bar{e}=0$ resp $)$ where 0 and 1 are the least and greatest elements of $P$ respectively. If the enable input is 1 , then gate $g$ is active. If the enable input is 0 , then the gate $g$ acts as a pass-through gate. i.e., the lines labelled $x$ and $y$ retain their original values.

Now to simulate a single gate in the circuit $C$, the universal circuit uses $n(n-1)$ such gadgets where $n$ is the number of lines in $C$. The inputs $e$ and $\bar{e}$ for each gadget is set according to $C$. The circuit $C$ can be simulated using $n(n-1) m$ gates where $m$ is the number of gates in $C$.

Definition 2. We define the complexity class ( $\mathrm{P}, \mathrm{a}$ ) - CC as the set of all languages accepted by poly-size comparator circuit families over the finite bounded poset $P$ with accepting element $a \in P$.

If the complexity class does not change with the accepting element, i.e., $(P, a)-C C=$ $(\mathrm{P}, \mathrm{b})-\mathrm{CC}$ for any $a, b \in P$, we simply write $\mathrm{P}-\mathrm{CC}$ to refer to the complexity class ( $\mathrm{P}, \mathrm{a})^{-} \mathrm{CC}$.

We note that for any bounded poset $P$ with at least 2 elements, we can simulate a Boolean lattice by using 0 (least element) and some $a>$ 0 in $P$. Therefore, we have $\mathrm{CC} \subseteq(\mathrm{P}, \mathrm{a})-\mathrm{CC}$.


Figure 3: Conditional Comparator Gadget
Definition 3. For any finite bounded poset $P$ and any $a \in P$, the comparator circuit evalua-
tion problem ( $\mathrm{P}, \mathrm{a}$ )-CCVP is defined as the set of all tuples $(C, x)$ such that $C$ on input $x$ has a non-deterministic path where it outputs $a \in P$ where $C$ is a comparator circuit over $P$.

The following proposition is a generalization of the corresponding theorem for Boolean comparator circuits in [2].
Proposition 4. The language ( $\mathrm{P}, \mathrm{a}$ ) - CCVP is complete for $(\mathrm{P}, \mathrm{a})-\mathrm{CC}$ for all finite bounded posets $P$ and any $a \in P$.

Proof. The problem ( $\mathrm{P}, \mathrm{a})^{-}$CCVP is trivially hard for the class $(P, a)-C C$. Let $L \in(P, a)-C C$ via a logspace-uniform circuit family $\left\{C_{n}\right\}$. Now given $x$ as input, we output the tuple $\left(C_{n}, x\right)$ by running the uniformity algorithm.

The fact that $(P, a)-C C V P \in(P, a)-C C$ follows from Proposition 3. The input to the $(P, a)-$ CCVP problem is encoded by a binary string of the form $1^{n} 01^{m} 0\{0,1\}^{n(n-1) m+n}$. Here the last $n(n-1) m$ bits of the string can be viewed as $m$ blocks of $n(n-1)$ bits where the $i^{\text {th }}$ block has exactly one set bit, say $(k, j)$ where $k \neq j$, and it encodes the fact that the $i^{\text {th }}$ gate is from line $k$ to line $j$. The $n$ bits prior to these bits encode the initial values of $n$ lines. This encoding is logspaceequivalent to the ordered list representation. Call strings of this form $(n, m)$-valid. A given $N$-bit string can be valid for at most one ( $n, m$ ) pair. Given a string, it can be checked in logspace whether it is $(n, m)$-valid once $n$ and $m$ are fixed. Let $V_{n, m}$ be a logspace uniform comparator circuit over the $0-1$ lattice that takes an $N$ bit string as input and outputs 1 iff the input is an ( $n, m$ )-valid string. Let $N=2 n+m+2+n(n-1) m$ be the total length of the input. The uniformity machine on input $N$ writes out the description of $\bigvee_{(n, m)} U_{n, m} \wedge V_{n, m}$ over all ( $n, m$ ) pairs satisfying $N=2 n+m+2+n(n-1) m$.

## 4 Comparator Circuits over Lattices

First, we show that comparator circuits over distributive lattices is simply the class CC.
Theorem 4. Let $L$ be any finite distributive lattice and $a \in L$ be an arbitrary element. Then $\mathrm{CC}=(\mathrm{L}, \mathrm{a})-\mathrm{CC}$.

Proof. By Birkhoff's representation theorem, every finite distributive lattice of $k$ elements is isomorphic to a lattice where each element is some subset of $[k$ (ordered by inclusion) and the join and meet operations in the original finite distributive lattice correspond to set union and set intersection operations in the new lattice. We will use this to simulate a circuit over an arbitrary finite distributive lattice $L$ of size $k$ using a circuit over the $0-1$ lattice. Each line $w$ in the original circuit is replaced by $k$ lines $w_{1}, \ldots, w_{k}$. The invariant maintained is that whenever a line in the original circuit carries $a \in L$, these $k$ lines carry the characteristic vector of the set corresponding to the element $a$. Now a gate $(w, x)$ in the original circuit is replaced by $k$ gates $\left(w_{1}, x_{1}\right), \ldots,\left(w_{k}, x_{k}\right)$ in the new circuit. The correctness follows from the fact that meet and join operations in the original circuit correspond to set union and set intersection which in turn correspond to AND and OR operations of the characteristic vectors.

Now we consider comparator circuits over fixed finite lattices. Note that when characterizing the class P in terms of Boolean circuits, the fan-out of gates is required to be at least 2. In fact, Mayr and Subramanian's 5 primary motivation while introducing the class CC was to study fanout restricted circuits. We show that if the comparator circuit is given the freedom to compute
over any lattice (as opposed to the Boolean lattice on 2 elements), then the fan-out restriction is irrelevant.

The following lemma describes a fixed finite lattice over which comparator circuits capture P. However, it is not clear whether the class captured by comparator circuits over this lattice is independent of the accepting element. In Theorem55, we show that there exists a lattice that captures P irrespective of the accepting element.

Lemma 1. Let $L$ be the lattice in Figure 5. Then $\mathrm{P}=$ (L, 1)-CC (Note that 1 is not the maximum element in the lattice).

Proof. We will reduce the problem MCVP which is com-


Figure 4: The poset for simulating $P$ plete for P to the comparator circuit value problem over the finite lattice given in Figure 5. Let $(C, x)$ be the input
to MCVP. For each wire in $C$, we add a line to our comparator circuit. The initial value of the lines that correspond to the input wires of $C$ are set to 0 or 1 of the poset shown in Figure 4 according to whether they are 0 or 1 in $x$. The comparator circuit simulates $C$ in a level by level fashion maintaining the invariant that the lines carry 0 or 1 depending on whether they carry 0 or 1 in $C$. We will show how our comparator circuit simulates a level 1 OR gate of fan-out 2. The proof then follows by an easy induction.

Since $0 \leq_{P} 1$ an AND (OR) gate in $C$ can be simulated by a meet (join) operation in $P$. The gadget shown in Figure 6 is used to implement the fan-out operation. The idea is that the first gate in the gadget implements the AND/OR operation and the rest of the gates in this gadget "copies" the result of this operation into the lines $o_{1}$ and $o_{2}$ that correspond to the two output wires of the gate. The reader can verify that the elements of $P$ satisfy the following meet and join identities. Figure 6 shows how one could use the following identities to copy the output of $a \vee b$ into two lines (labelled $o_{1}$ and $o_{2}$ ).

The identity $0 \vee 1=1$ is used to implement the Boolean AND/OR operation. This is used by the first gate in Figure 6. Once the required value is computed. We add a gate between the line carrying the result of the AND/OR operation and a line with value $x$. As the following identities show, this makes two "copies" of the result of the Boolean operation. $0 \vee x=0^{\prime}, 1 \vee x=1^{\prime}$, $0 \wedge x=0^{\prime \prime}, 1 \wedge x=1^{\prime \prime}$

Now, the following identities can be used to convert the first copy ( $0^{\prime}$ or $1^{\prime}$ ) into the original value (0 or 1 ). $0^{\prime} \wedge y=0^{\prime 0}, 1^{\prime} \wedge y=1^{\prime 0}, 0^{\prime \circ} \vee z=0^{\prime 00}, 1^{\prime \circ} \vee z=1^{\prime 00}, 0^{\prime 00} \wedge w=0,1^{\prime 00} \wedge w=1$

Similarly, the following identities can be used to convert the second copy ( $0^{\prime \prime}$ or $1^{\prime \prime}$ ) into the original value ( 0 or 1 ). $0^{\prime \prime} \vee p=0^{\circ}, 1^{\prime \prime} \vee p=1^{\circ}, 0^{\circ} \wedge w=0,1^{\circ} \wedge w=1$

The lattice in Figure 5 is simply the Dedekind-MacNeille completion of $P$. Since the DedekindMacNeille completion preserves all existing meets and joins, the same computation can also be performed by this lattice.

To see that for any lattice $L$ and any $a \in L,(\mathrm{~L}, \mathrm{a})-\mathrm{CC}$ is in P , observe that in poly-time we can evaluate the $n^{\text {th }}$ comparator circuit from the comparator circuit family for the language in (L, a)-CC.

In the following theorem, we show that if we consider a partition lattice embedding the lattice $L$, the complexity class remains unchanged even if we change the accepting element.


Figure 5: The lattice for simulating P


Figure 6: Copy $a \vee b$ into $o_{1}$ and $o_{2}$

Theorem 5. There exists a constant $i$ such that $\Pi_{i}-C C=P$.
Proof. We know that there exists a finite lattice $L$ and an $a, b \in L$ such that for any language $\mathrm{M} \in \mathrm{P}$ there exists a comparator circuit family over $L$ that decides M by using $a$ to accept and $b$ to reject. Also $b<a$. By Pudlak's theorem [7, we know that there exists a constant $i$ such that $L$ can be embedded in $\Pi_{i}$. It remains to show that the accepting element used does not change the complexity. In fact, we will show that for any $X, Y \in \Pi_{i}$ where $X \neq Y$, we can design a comparator circuit family over $\Pi_{i}$ that accepts M using $X$ and rejects using $Y$. Let $A$ and $B$ be the elements in $\Pi_{i}$ that $a$ and $b$ gets mapped to by this embedding $(B<A)$. Then there exists a circuit family $C$ over $\Pi_{i}$, deciding M , that accepts using $A$ and rejects using $B$. We will construct a circuit family $C^{\prime}$ over $\Pi_{i}$ from $C$ such that $C^{\prime}$ uses 1 to accept and 0 to reject. Here 1 and 0 are the maximum and minimum elements in $\Pi_{i}$. Now if we let $x$ be the output of a circuit in the circuit family $C$, we can construct $C^{\prime}$ by computing $\mathrm{GE}^{\prime}{ }_{A}(x)$. Similarly, we can construct a circuit family $C^{\prime \prime}$ that accepts using 0 and rejects using 1 by reducing the language M to $\overline{\mathrm{MCVP}}$ and then applying the construction in Lemma 1 and then computing $\mathrm{GE}_{A}^{\prime}(x)$ on the output of this circuit. The required circuit family is then the one computing $\left(X \wedge C^{\prime}\right) \vee\left(Y \wedge C^{\prime \prime}\right)$.

If we can show that there exists a finite distributive lattice such that the poset in Figure 4 can be embedded in that lattice while preserving all existing meets and joins, then $P=C C$. In the following theorem, we show that such an embedding is not possible.


Figure 7: The poset for simulating NP

Theorem 6. The poset in Figure 4 cannot be embedded into any distributive lattice while preserving all meets and joins.

Proof. We use proof by contradiction. Assume that such an embedding exists. Then by Birkhoff's representation theorem, the elements of the poset in Figure 4 can be labelled by finite sets such that lub and glb operations over the embedding distributive lattice correspond to union and intersection of these sets respectively. We will denote the set labelling each element of the poset in Figure 4 by the corresponding uppercase letter except that $0,1,0^{\prime}, 1^{\prime}, 0^{\prime \prime}$ and $1^{\prime \prime}$ are labelled by $A, B, A^{\prime}, B^{\prime}$, $A^{\prime \prime}$ and $B^{\prime \prime}$ respectively.

Let $\left\{x_{1}, \ldots, x_{k}\right\}=B \backslash A$. Since $A \subset B$, we have $k \geq 1$. Our first goal is to prove that all of these $x_{i}$ must be in $B^{\prime \prime}$ too. Since $B \subset W$, we have for all $i$ that $x_{i} \in W$. Now suppose for contradiction that there exists an $i$ such that $x_{i} \in P$, then we can conclude that $x_{i} \in A$ since $A=\left(A^{\prime \prime} \cup P\right) \cap W$. So for all $i$ we have $x_{i} \notin P$. Since $B=\left(B^{\prime \prime} \cup P\right) \cap W$ and $x_{i} \notin P$, we have $x_{i} \in B^{\prime \prime}$. But then for all $i$ we have $x_{i} \in A^{\prime}$ as $A^{\prime} \supset B^{\prime \prime}$. So $A^{\prime} \supseteq B$ which is a contradiction since $A^{\prime}$ and $B$ are incomparable.

## 5 Comparator Circuits over Bounded Posets

In this section, we consider the most general form of comparator circuits. i.e., we consider comparator circuits over fixed finite bounded posets. We show that the resulting complexity class is exactly the class NP.

Theorem 7. Let $P$ be any poset and let $a \in P$ be an arbitrary element in $P$, then $(P, a)-C C \subseteq N P$. Also, there exists a finite poset $P$ and an $a \in P$ such that $N P=(P, a)-C C$.


Figure 8: Nondeterministcally generate $x_{i}$ and $\overline{x_{i}}$

Proof. First we prove that there exists a poset $P$ and an accepting element $a \in P$ such that NP $\subseteq(\mathrm{P}, \mathrm{a})-\mathrm{CC}$. Let $P$ be the poset in Figure 7. We will reduce the NP-complete problem CSAT into ( $\mathrm{P}, \mathrm{a}$ )-CCVP. We can assume wlog that the circuit does not contain any NOT gates.

Note that the poset $P$ contains the poset in the proof of Theorem 5 . This is represented by the hexagon in Figure 7. The elements marked 0 and 1 inside this hexagon are the elements marked 0 and 1 in Figure 4 . This containment ensures that we can implement all operations that we used while simulating MCVP to be used here as well. Let $C$ be the input to the CSAT problem. The 0 and 1 values carried by wires will be represented by 0 and 1 in $P$ as in the proof of Theorem 5 , The non-trivial part is to simulate the input variables $x_{1}, \ldots, x_{n}$. These input variables to $C$ are handled by non-deterministically generating 0 or 1 (of $P$ ) on the lines corresponding to the wires attached to these input gates. We also have to ensure that when we non-deterministically generate the values of input variables, the values generated for $x_{i}$ and $\overline{x_{i}}$ are consistent. This is ensured by generating $x_{i}$ non-deterministically and then complementing the generated value to get $\overline{x_{i}}$. The fan-out operation is implemented as in the proof of Theorem 5.

Note that the minimal upper bounds for the elements Var and $n$ in the poset $P$ are $n_{0}$ and $n_{1}$. These values stand for a non-deterministically generated 0 and 1 resp. Now for each variable $x_{i}$ we take the minimal upper bound of these two elements in $P$ to non-deterministically generate the value of $x_{i}$. The only thing that remains to be done is to make the corresponding $\overline{x_{i}}$ variable consistent. i.e., when a 0 is generated non-deterministically for $x_{i}$, we have to ensure that all lines carrying $\bar{x}_{i}$ in that non-deterministic path carry the value 0 . The sequence of meet and join identities that we are going to describe can be used to implement this computation. Figure 8 shows how to generate $x_{i}$ and $\overline{x_{i}}$ consistently in a non-deterministic fashion using the identities given below.

The following identity enables us to non-deterministically generate a 0 or a 1 . Note that we are only generating $n_{0}$ and $n_{1}$ at this point. But we will later convert this into 0 or 1 that are used for implementing the Boolean operations.

$$
\operatorname{Var} \vee n=\left\{n_{0}, n_{1}\right\}
$$

Now we use the following identities to convert $n_{0}$ or $n_{1}$ into a 0 or a 1 respectively.

$$
\begin{aligned}
\ell \vee n_{0} & =n_{0}^{\prime} & \ell \vee n_{1} & =n_{1}^{\prime} \\
\ell^{\prime} \wedge n_{0}^{\prime} & =n_{0}^{\prime \prime} & \ell^{\prime} \wedge n_{1}^{\prime} & =n_{1}^{\prime \prime} \\
\ell^{\prime \prime} \vee n_{0}^{\prime \prime} & =0 & \ell^{\prime \prime} & \vee n_{1}^{\prime \prime}
\end{aligned}=1
$$

Note that the original $n_{0}$ or $n_{1}$ that was generated will be destroyed by the above sequence of operations (By doing $\ell \wedge n_{0}$ for ex.). Using the following identities, we ensure that the original value generated non-deterministically is restored.

$$
\begin{array}{rlrl}
\ell \wedge n_{0} & =c n_{0}^{\prime} & \ell \wedge n_{1} & =c n_{1}^{\prime} \\
r^{\prime} \vee c n_{0}^{\prime} & =c c n_{0}^{\prime} & r^{\prime} \vee c n_{1}^{\prime} & =c c n_{1}^{\prime} \\
r^{\prime \prime} \wedge c c n_{0}{ }^{\prime} & =c c c n_{0}{ }^{\prime} & r^{\prime \prime} \wedge c c n_{1}^{\prime} & =c c c n_{1}^{\prime} \\
r \vee c c n_{0}{ }^{\prime} & =n_{0} & r \vee c c n_{1}^{\prime} & =n_{1}
\end{array}
$$

Now we use the restored value along with the following identities to generate the value for the line carrying $\overline{x_{i}}$.

$$
\begin{aligned}
\bar{\ell} \vee n_{0} & =\overline{n_{0}} & \bar{\ell} \vee n_{1} & =\overline{n_{1}} \\
\bar{\ell}^{\prime} \wedge{\overline{n_{0}}} & ={\overline{n_{0}}}^{\prime} & \bar{\ell}^{\prime} \wedge{\overline{n_{1}}}^{\prime} & ={\overline{n_{1}}}^{\prime} \\
\bar{\ell}^{\prime \prime} \wedge{\overline{n_{0}}}^{\prime} & =1 & \bar{\ell}^{\prime \prime} \wedge{\overline{n_{1}}}^{\prime} & =0
\end{aligned}
$$

## 6 Skew Comparator Circuits

In this section, we study the skew comparator circuits defined in the preliminaries. We show that SkewCC is exactly the class LOG. Recall that the class NLOG can be characterized as the set of all languages computed by logpsace-uniform Boolean circuits with skewed AND gates. So the result in this section draws a parallel between the $P$ vs CC problem and the NLOG vs LOG problem. It immediately follows that SkewCC over distributive lattices also characterizes exactly LOG.

We begin by considering a canonical complete problem for the class LOG. The language DGAP1 consists of all tuples $(G, s, t)$ where $G=(V, E)$ is a directed graph where each vertex has out-degree at most one and $s, t \in V$ and there is a directed path from $s$ to $t$. We use a variant of DGAP1 problem in our setting. The variant (called DGAP1') is that the out-degree constraint is not applied to $s$. It is easy to see that DGAP1' is also in LOG. Indeed, for each neighbour $u$ of $s$, run the DGAP1 algorithm to check whether $t$ is reachable from $u$.

Theorem 8. SkewCC = LOG
Proof. ( $\subseteq$ ) Let $\mathrm{L} \in$ SkewCC. We will prove that $\mathrm{L} \in \mathrm{LOG}$ by reducing L to DGAP1'. The reduction is as follows. Observe that we can reduce the language $L$ to SkewCCVP by a logspace reduction
(Using the uniformity algorithm). Then we reduce SkewCCVP to DGAP1' as follows. Let $C$ be an instance of SkewCCVP. For each wire in $C$ add a vertex to the graph $G$. The vertex corresponding to the output wire is the destination vertex $t$. Add a source vertex $s$. The edges of $G$ are as follows. For each vertex $v$ that corresponds to an input wire of $C$ having value 1 , add the edge $(s, v)$ to the graph. Now consider a comparator gate $g$ in $C$ with input wires $e_{1}$ and $e_{2}$ and AND output wire $e_{3}$ and OR output wire $e_{4}$. There are two cases.

Gate $g$ has an AND output Assume wlog that $e_{2}$ is an input wire to $C$. If $e_{2}=1$, then add the edges $\left(e_{1}, e_{3}\right)$ and $\left(e_{2}, e_{4}\right)$ to $G$. If $e_{2}=0$, then add the edge $\left(e_{1}, e_{4}\right)$ to the graph $G$.

Gate $g$ does not have an AND output Add the edges $\left(e_{1}, e_{4}\right)$ and $\left(e_{2}, e_{4}\right)$.
It is clear that $G$ has an $s-t$ path if and only if $C$ outputs 1 . This follows from the observation that every vertex $v$ in $G$ where $v \neq s$ corresponds to a wire in $C$ and $v$ is reachable from $s$ if and only if the wire corresponding to $v$ carries the value 1. All vertices other than $s$ in $G$ has out-degree at most 1. Furthermore, the reduction can be implemented in logspace.
$(\supseteq)$ Let $\mathrm{L} \in \mathrm{LOG}$ and let $B$ be a poly-sized layered branching program deciding L . We will design a skew comparator circuit $C$ to simulate $B$. Let $s$ be a state in $B$ reading $x_{i}$ and let the edge labelled 1 be directed towards a state $t$ and let the edge labelled 0 be directed towards a state $u$. Then the gadget shown in Figure 9b simulates this part of the BP $B$ (We say that this gadget corresponds to the state $s$ ). The truth table for this gadget is shown in the Table 9a. This table assumes that the lines $t$ and $u$ carry the value 0 initially. The value of the line labelled $s$ will be 1 on input $x$ just before the gates in this gadget are evaluated if and only if the input $x$ reaches the state $s$ in $B$. It is clear that after all the gates in this gadget are evaluated, the value of the line labelled $t$ (or $u$ ) is 1 if and only if the input $x$ reaches $t$ (or $u$ resp.) in $B$. Now the circuit $C$ is as follows. For each state in $B$ introduce a line in $C$ and for each state in each layer from the first layer to the last layer, in that order, add the gates in the gadgets corresponding to these states in the same order to $C$. Note that the lines annotated $x_{i}$ and $\overline{x_{i}}$ in a gadget are only used in that gadget. When these values are required again, new annotated lines are used. The line corresponding to the accepting state is the output line. The initial value of lines corresponding to each state other than the start state of $B$ is 0 and the initial value of the line corresponding to the start state is 1 . Also the circuit is a skew circuit since all used AND gates in the gadget are skew. For establishing the correctness, we observe that the following claim holds. The circuit $C$ outputs 1 on input $x$ if and only if there is a path in $B$ from the start state to the accepting state on input $x$. To complete the correctness proof, we prove the following claim:

Claim 1. The circuit $C$ outputs 1 on input $x$ if and only if there is a path in $B$ from the start state to the accepting state on input $x$.

Proof. Let the $i^{\text {th }}$ block of $C$ include all the gadgets corresponding to all the states in layer $i$ of $B$.We will prove the more general claim that after all gates up to and including the $i^{\text {th }}$ block is evaluated, if we consider all the lines that correspond to states in the $(i+1)^{\text {th }}$ layer of $B$, the only line that will have a value 1 will correspond to the state on $(i+1)^{\text {th }}$ layer reached on input $x$. We will prove this by induction on the layer number.

Base case: $i=0 \quad$ Since there is only the start state in layer 1 and it is initialized to the value 1 , the base case is true.

Induction Assume that the claim is true for $i$. Let $s$ be the state in the $(i+1)^{\text {th }}$ layer that is reached by $x$ and let $t$ be the state in the $(i+2)^{\text {th }}$ that is reached by $x$. Now from the truth table in Table 9a it is clear that after the gadget for state $s$ is evaluated the value of line $t$ will become 1. Also, from the truth table, it is clear that the values of all the other lines that correspond to states in the $(i+2)^{\text {th }}$ layer remains 0 . Notice that all gates in block $i+1$ incident on $t$ are OR gates. So once the value of line $t$ becomes 1 , it remains so until block $i+2$.

Let $s$ be the number of states in $B$. Then the number of lines in $C$ is at most $3 s$ and the number of gates in $C$ is at most $4 s$. Since $B$ is poly-size, so is $C$.

| $s$ | $x_{i}$ | $\overline{x_{i}}$ | $t$ | $u$ |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 0 | 0 | 0 |
| 0 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 0 | 1 |

(a) Truth Table for the gadget for BPs

(b) The gadget for simulating BPs

Since the construction in Theorem 4 preserves skewness of the circuit, we have the following corollary.

Corollary 1. Let $L$ be any distributive lattice and let a be any element in $L$, then $(\mathrm{L}, \mathrm{a})-\mathrm{SkewCC}=$ LOG.

We now turn to skew comparator circuits working over arbitrary fixed finite lattices. We show that, over the arbitrary lattices, poly-size skew comparator circuit families capture the class NLOG.

Theorem 9. $\Pi_{\mathrm{i}}-$ SkewCC $=$ NLOG (Here the constant $i$ is the same as in Theorem 5)
Proof. First, we show that NLOG $\subseteq \Pi_{i}-$ SkewCC, The class NLOG can be defined as the set of all languages computable by logspace uniform Boolean circuits where all AND gates are skewed. Applying the reduction in Theorem 5 to a skew circuit, we get a skew comparator circuit over $\Pi_{i}$. In other words, the reduction in Theorem 5 preserves the skewness of the circuit.

To show that $\Pi_{i}-$ SkewCC $\subseteq$ NLOG, we describe an NLOG evaluation algorithm for the circuit value problem $\Pi_{i}-$ SkewCCVP. i.e., given a skewed comparator circuit $C$ over $\Pi_{i}$ and an $A \in \Pi_{i}$, we can decide in NLOG whether the circuit $C$ outputs $A$ or not. Consider the undirected graph representation $G$ of $A$ and let $V_{1}, \ldots V_{k}$ be the partitioning of the vertex set such that the graphs induced by $V_{1}, \ldots V_{k}$ are maximal cliques in the graph and all edges in $G$ are in one of these induced graphs. From now on, we consider values carried by wires in the circuit as undirected graphs representing some element in the partition lattice. Statements such as - the value of the wire $w$ contains the edge $\{i, j\}$ - should be interpreted using this equivalence.

We define the proof path for an edge $e$ (of the graph corresponding to an element in $\Pi_{i}$ ) in a circuit $C$ as a path from an input gate of $C$ to the output gate of $C$ satisfying the following properties.

- The value at the input gate contains $e$.
- For each AND gate in the path, the other input comes from an input gate and the value of that gate contains $e$.

It is easy to see that a proof path for $e$ in $C$ shows that the output element of $C$ contains the edge $e$. Also a proof path can be verified in logspace in a read-once fashion. We call a proof path partial if it does not start from the input gate.

We prove the following claim.
Claim 2. The circuit $C$ outputs a value greater than or equal to $A$ iff there exist spanning trees $T_{i}$ for $G\left[V_{i}\right]$ for each $i$ such that for each edge $e \in T_{i}$, there exists a proof path in $C$ for $e$.

Proof. (if) This direction is trivial.
(only if) Start with a partitioning $V_{1}^{\prime}, V_{1}^{\prime \prime}$ (which is a cut) of the vertex set $V_{1}$. We will show that there exists an edge $e$ crossing this cut that has a proof path in $C$. The output gate has value $A$. So there is an edge $e=\{a, b\}$ that crosses this cut and $e$ is in the value of the output wire. Now assume that we have a partial proof path for $e$ in $C$ such that $e$ crosses the cut. Now consider the gate from which the current partial proof path starts from. If it is an AND gate, then extend the partial path by including the input wire to the AND gate that does not come from an input gate (if there is no such wire, we are done). If it is an OR gate, then there exists a path $\left\{a, v_{1}\right\}, \ldots\left\{v_{j}, b\right\}$ such that each edge in this path is contained in the values of one of the input wires to the OR gate. Let $e^{\prime}$ be an edge in this path that crosses the cut. Extend the partial path to include the input wire to the OR gate whose value contains $e^{\prime}$. Now we have a partial proof path for $e^{\prime}$ in $C$ such that $e^{\prime}$ crosses the cut. Continuing this process, we will end up with a proof path for some edge that crosses the cut.

Apply this procedure recursively on $V_{1}^{\prime}$ and $V_{1}^{\prime \prime}$ to obtain valid paths for each edge in some spanning tree of $V_{1}$. Then do this for each $i$ from 1 to $k$ to obtain all required proof paths.

This claim gives an NLOG algorithm to check whether the output of $C$ is greater than or equal to $A$. Simply guess the spanning trees $T_{i}$ (which are constant sized) and then guess the proof paths which are verified in a read-once fashion. We can also check in NLOG whether the output if strictly greater than $A$ by guessing one additional edge $e$ that is not in $A$. Since NLOG $=$ coNLOG, we can also check in NLOG whether the output is $\ngtr A$. So to check whether the output is equal to $A$, check that the output is $\geq A$ and $\ngtr A$.

## $7 \quad$ Formulae over Lattices

It is well known that languages decided by poly-size formula families is the class $\mathrm{NC}^{1}$, the languages decided by log-depth Boolean circuits with bounded fan-in AND and OR gates. We can modify Definition 1 to define formulae over finite bounded posets. We denote by (L, a)-Formulae, where $L$ is a lattice and $a \in L$, the class of all languages decided by a poly-size formula family over $L$ using $a$ as the accepting element. In this section, we show that the languages computed by poly-sized formula families over any fixed finite lattice is the class $N C^{1}$. The proof for the Boolean case is by [6] and it works by depth reducing an arbitrary formula of poly size to a Boolean formula of poly size and $\log$ depth. The depth reduction is done by identifying a separator vertex in the tree and then evaluating the separated components (which are smaller circuits) in parallel. We show that a
similar argument can be extended to the case of finite lattices as well. Our main theorem in this section is the following.

Theorem 10. Let $L$ be any finite lattice and let a be an arbitrary element in $L$. We have $(\mathrm{L}, \mathrm{a})$-Formulae $=\mathrm{NC}^{1}$.

Proof. ( $\supseteq$ ) Any lattice with at least 2 elements contains the $0-1$ lattice as a sublattice. Also since $N C^{1}$ is closed under complementation, the class does not change even if the acceptor is 0 .
$(\subseteq)$ Let $F$ be a poly-size formula family over $L$. Let $i$ be such that $L$ can be embedded in $\Pi_{i}$. Let $F^{\prime}$ be the formula family over $\Pi_{i}$ that corresponds to $F$. We will now construct a log-depth poly-size formula family $F^{\prime \prime}$ that computes the same language as $F^{\prime}$. We will use $F^{\prime}$ to denote a formula in the family $F^{\prime}$. Let $v$ be the tree separator of the tree corresponding to $F^{\prime}$. For each $a_{i} \in \Pi_{i}$, we will construct two formulae. The first one, say $F_{1}^{v}$, computes the value at the root of $F^{\prime}$ assuming that value at $v$ is $a_{i}$ and the other, say $F_{2}^{v}$ computes the value at the node $v$ and applies $\mathrm{GE}^{\prime}{ }_{a_{i}}$ on that value. Then we compute the sub-formula $F_{1}^{v} \wedge F_{2}^{v}$. After that we take the lub over all such sub-formulae (one for each $a_{i}$ ). This construction is applied recursively on $F_{1}^{v}$ and $F_{2}^{v}$ to obtain a log-depth poly-size formula equivalent to $F$.

Suppose the correct value of the sub-formula of $F^{\prime}$ rooted at $v$ is $a_{i}$. Then the only sub-formulae $F_{1}^{v} \wedge F_{2}^{v}$ outputting a non-zero value are the ones corresponding to $a_{j} \leq a_{i}$. The non-zero value output by such a sub-formula is $b_{j}$, the value obtained at the root when the value of $v$ is $a_{j}$. But we know that $b_{i}$, the actual value of the original formula is greater than or equal to the value $b_{j}$ of any sub-formula by monotonicity of lub and glb. So the topmost lub will always output the correct value $b_{i}$.

The final formula is log-depth, poly-size since the formulae $\mathrm{GE}^{\prime}{ }_{a}$ is constant depth. Now we can construct an $N C^{1}$ circuit from $F^{\prime \prime}$ by encoding each element in $\Pi_{i}$ in binary and replacing each gate in $F^{\prime \prime}$ by constant-sized circuits computing the lub and glb over $\Pi_{i}$.

## 8 Discussion and Conclusion

We studied the computational power of comparator circuits over bounded posets. We provide alternative characterizations of P, LOG, NLOG and NP in terms of comparator circuits.

A natural open problem that comes out of our approach is about a possible dichotomy between $P$ and CC with respect to lattice structure. More concretely, can we design comparator circuits over fixed lattices $M_{3}$ or $N_{5}$ (or powers of it) for all languages in P? Noting that existence of $M_{3}$ or $N_{5}$ as a sublattice is a necessary and sufficient condition for non-distributivity (by the $M_{3}-N_{5}$ theorem [3), if we manage to show that $\mathrm{M}_{3}-\mathrm{CC}=\left(\mathrm{N}_{5}, \mathrm{a}\right)-\mathrm{CC}=\mathrm{P}$ for some $a \in N_{5}$, this will show a dichotomy between $P$ and CC.

In the context of NLOG vs LOG, there are two open problems. Firstly, it will also be interesting to see if a dichotomy theorem holds, with respect to the lattice structure. Secondly, we note that the upper bound of NLOG for the case of skew comparator circuits over finite lattices, uses the embeddability of the partition lattices. The power of skew comparator circuits over general finite posets is unclear. It is not even clear if they compute only languages in $P$.

Cook et al. [2] proposed the question whether membership testing for CFLs are in CC. Our characterization of CC in terms of distributive lattices leads to a concrete approach towards proving this. Namely, designing a lattice to decide membership testing for CFLs and showing that this lattice is distributive.

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## A Comparator Circuits over Growing Lattices

We can generalize the comparator circuit model even further by allowing it to compute over lattices that grow with the size of the input. If the size of the lattice is polynomial in the size of the input and if the lattice can be computed by the uniformity machine, then the languages computed by these circuits are in the class P. However, since we have the freedom to change the lattice according to the size of the input, we may be able to capture the class $P$ using structurally simpler lattices. It is conceivable that the class $P$ could be captured by a family of distributive lattices, while no finite lattice capturing $P$ can be distributive.

In this section, we present a formal definition of comparator circuits over growing posets and then present a lattice family that capture the class P. Then we will show that, even for this simpler lattice, an embedding to a family of distributive lattices is not possible (Similar to Theorem 6).

Definition 4 (Comparator Circuits over Growing Bounded Posets). A comparator circuit family over a growing bounded poset family $P=\left\{P_{n}\right\}$ with accepting set $A=\left\{A_{n}\right\}$ where $A_{n} \subseteq P_{n}$ is a family of circuits $C=\left\{C_{n}\right\}_{n \geq 0}$ where $C_{n}=(W, G, f)$ where $f: W \mapsto\left(P_{n} \cup\{(i, g): 1 \leq i \leq\right.$ $n$ and $\left.g: \Sigma \mapsto P_{n}\right\}$ ) is a comparator circuit. Here $W=\left\{w_{1}, \ldots, w_{m}\right\}$ is a set of lines and $G$ is an ordered list of gates $\left(w_{i}, w_{j}\right)$.

On input $x \in \Sigma^{n}$, we define the output of the comparator circuit $C_{n}$ as follows. Each line is initially assigned a value according to $f$ as follows. We denote the value of the line $w_{i}$ by $\operatorname{val}\left(w_{i}\right)$. If $f(w) \in P_{n}$, then the value is the element $f(w)$. Otherwise $f(w)=(i, g)$ and the initial value is given by $g\left(x_{i}\right)$. A gate $\left(w_{i}, w_{j}\right)$ (non-deterministically) updates the value of the line $w_{i}$ into $\operatorname{val}\left(w_{i}\right) \wedge \operatorname{val}\left(w_{j}\right)$ and the value of the line $w_{j}$ into $\operatorname{val}\left(w_{i}\right) \vee \operatorname{val}\left(w_{j}\right)$. The values of lines are updated by each gate in $G$ in order and the circuit accepts $x$ iff $\operatorname{val}(w)=a \in A_{n}$ at the end of the computation for some sequence of non-deterministic choices.

Let $\Sigma$ be any finite alphabet. A comparator circuit family $C$ over a growing bounded poset family $P_{n}$ with an accepting $A_{n} \subseteq P_{n}$ decides $\mathrm{L} \subseteq \Sigma^{*}$ iff $C_{|x|}$ correctly decides whether $x \in \mathrm{~L}$ for all $x \in \Sigma^{*}$.

The circuit family is called P -uniform if there exists a TM that given $1^{n}$ as input runs in poly ( $n$ ) time and outputs $P_{n}, A_{n}$ and $C_{n}$.

First, we show a lattice family that captures $P$.
Theorem 11. The comparator circuit family over DM completions for the poset family in Figure 10 captures the class P .

Proof Sketch. We construct a comparator circuit over the poset family in Figure 10 from a layered circuit with NOT gates only at the input level. The elements $0^{i}$ and $1^{i}$ in the poset correspond to the logical values 0 and 1 at the $i^{\text {th }}$ level of the circuit. As in the proof of Lemma 1 , there is a sequence of lubs and glbs that creates two copies of the logical value at the $i^{\text {th }}$ level and then convert them to the corresponding value in the $(i+1)^{t h}$ level.

We can prove that the DM completion of the poset $P_{n}$ has at most $O\left(m^{11}\right)$ elements, where $m=\left|P_{n}\right|$. The elements of the DM completion of $P_{n}$ consists of ordered pairs $(A, B)$ where $A, B \subseteq P_{n}$ and $A=U P(B)$ and $B=D O W N(A)$. Here $U P(A)(D O W N(A))$ is the set of all elements in the poset that is greater (less) than or equal to all elements in $A$. Note that in the poset $P_{n}$, if $|A|>11$, then we have $D O W N(A)=\phi=B$ and then we have $A=P_{n}$. Therefore the DM completion has at most $O\left(m^{11}\right)$ elements. Also, there exists an algorithm that can compute this completion in poly $(n)$ time [4]. The P-uniformity of the comparator circuit family follows.


Figure 10: A growing poset family for simulating $P$

Now we prove that even this growing lattice family cannot be embedded into any distributive lattice.

Theorem 12. The poset in Figure 10 cannot be embedded in any distributive lattice.
Proof Sketch. The proof is similar to the proof of Theorem 6. We use the same labelling used in the proof of Theorem 6 .

We have $A^{2}=\left(A^{\prime \prime} \cup Y\right) \cap Z=A^{\prime} \cap Z$ and $B^{2}=\left(B^{\prime \prime} \cup Y\right) \cap Z$. Since $B^{2} \supset A^{2}$, we have $x \in B^{2} \backslash A^{2}$. So $x \in Z$ and $x \in\left(B^{\prime \prime} \cup Y\right) \backslash A^{\prime}$. Now if $x \in Y$, then $x \in A^{\prime \prime} \cup Y$ and so $x \in A^{2}$. But if $x \notin Y$, then $x \in B^{\prime \prime}$ which implies $x \in A^{\prime}$ which in turn implies $x \in A^{2}$. A contradiction.


[^0]:    *Supported by TCS PhD Fellowship

[^1]:    ${ }^{1}$ Since we have not seen them explicitly in the literature, we include the proofs in this paper.

[^2]:    ${ }^{2}$ In the definition of general Boolean circuits it is implicit that the element 1 is the accepting element. However, it does not make any difference even if we use 0 as the accepting element. This is because a Boolean circuit that accepts using 0 can be easily converted to one that accepts using 1 by complementing the output. This is not true for comparator circuits over bounded posets in general. Using different elements as accepting elements may change the power of the comparator circuit.

