

# Noncommutative Valiant's Classes: Structure and Complete Problems

V. Arvind\*      Pushkar S Joglekar†      S. Raja‡

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## Abstract

In this paper we explore the noncommutative analogues,  $VP_{nc}$  and  $VNP_{nc}$ , of Valiant's algebraic complexity classes and show some striking connections to classical formal language theory. Our main results are the following:

- We show that Dyck polynomials (defined from the Dyck languages of formal language theory) are complete for the class  $VP_{nc}$  under  $\leq_{abp}$  reductions. Likewise, it turns out that PAL (Palindrome polynomials defined from palindromes) are complete for the class  $VSKEW_{nc}$  (defined by polynomial-size skew circuits) under  $\leq_{abp}$  reductions. The proof of these results is by suitably adapting the classical Chomsky-Schützenberger theorem showing that Dyck languages are the hardest CFLs.
- Next, we consider the class  $VNP_{nc}$ . It is known [HWY10a] that, assuming the sum-of-squares conjecture, the noncommutative polynomial  $\sum_{w \in \{x_0, x_1\}^n} ww$  requires exponential size circuits. We unconditionally show that  $\sum_{w \in \{x_0, x_1\}^n} ww$  is not  $VNP_{nc}$ -complete under the projection reducibility. As a consequence, assuming the sum-of-squares conjecture, we exhibit a strictly infinite hierarchy of p-families under projections inside  $VNP_{nc}$  (analogous to Ladner's theorem [Lad75]). In the final section we discuss some new  $VNP_{nc}$ -complete problems under  $\leq_{abp}$ -reductions.
- Inside  $VP_{nc}$  too we show there is a strict hierarchy of p-families (based on the nesting depth of Dyck polynomials) under the  $\leq_{abp}$  reducibility.

## 1 Introduction

Proving superpolynomial size lower bounds for arithmetic circuits that compute the permanent polynomial  $PER_n$  is a central open problem in computational complexity theory. This problem has a rich history in the field, starting with the work of Strassen on matrix multiplication [Str69].

In the late 1970's, Valiant, in his seminal work [Val79], defined the arithmetic analogues of P and NP: namely VP and VNP. Informally, VP consists of multivariate (commutative) polynomials over a field  $\mathbb{F}$  that have polynomial size circuits. The class VNP, which corresponds to NP (in fact  $\#P$  to be precise) has a more technical definition which we will give later.

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\*Institute of Mathematical Sciences, Chennai, India, email: [arvind@imsc.res.in](mailto:arvind@imsc.res.in)

†Vishwakarma Institute of Technology, Pune, India, email: [joglekar.pushkar@gmail.com](mailto:joglekar.pushkar@gmail.com)

‡Institute of Mathematical Sciences, Chennai, India, email: [rajas@imsc.res.in](mailto:rajas@imsc.res.in)

Valiant showed that  $\text{PER}_n$  is VNP-complete w.r.t projection reductions. Thus,  $\text{VP} \neq \text{VNP}$  iff  $\text{PER}_n$  requires superpolynomial in  $n$  size arithmetic circuits.

In 1990 paper [Nis91], Nisan explored the same question for *noncommutative* polynomials. The noncommutative polynomial ring  $\mathbb{F}\langle x_1, \dots, x_n \rangle$  consists of  $\mathbb{F}$ -linear combinations of words (we call them monomials) over the alphabet  $X = \{x_1, \dots, x_n\}$ .

We can analogously define noncommutative arithmetic circuits for polynomials in  $\mathbb{F}\langle X \rangle$  where the inputs to multiplication gates are ordered from left to right. A natural definition of the noncommutative  $\text{PER}_n$  is

$$\text{PER}_n = \sum_{\sigma \in S_n} x_{1,\sigma(1)} x_{2,\sigma(2)} \cdots x_{n,\sigma(n)}$$

over  $X = \{x_{ij}\}_{1 \leq i, j \leq n}$ .

Can we show that  $\text{PER}_n$  requires superpolynomial size noncommutative arithmetic circuits? One would expect this problem to be easier than in the commutative setting. Indeed, for the model of noncommutative algebraic branching programs (ABPs), Nisan [Nis91] showed exponential lower bounds for  $\text{PER}_n$  (and even the determinant polynomial  $\text{DET}_n$ ). Unlike in the commutative world, where ABPs are nearly as powerful as arithmetic circuits, in the noncommutative setting, Nisan [Nis91] could show an exponential separation between noncommutative circuits and noncommutative ABPs. However, showing that  $\text{PER}_n$  requires superpolynomial size noncommutative arithmetic circuits remains an open problem.

Analogous to  $\text{VP}$  and  $\text{VNP}$ , the classes  $\text{VP}_{nc}$  and  $\text{VNP}_{nc}$  can be defined, as has been done by Hrubes et al [HWY10b]. In [HWY10b] they have shown that  $\text{PER}_n$  is  $\text{VNP}_{nc}$ -complete w.r.t projections (the Valiant [Val79] notion which allows variables or scalars to be substituted for variables).

The purpose of our paper is to study the structure of the classes  $\text{VP}_{nc}$  and  $\text{VNP}_{nc}$  and its connections to formal language classes. Our main results show a rich structure within  $\text{VNP}_{nc}$  and  $\text{VP}_{nc}$  which nicely corresponds to properties of regular languages and context-free languages.

## 1.1 Main results of the paper

We begin with some formal definitions needed to summarize our main results. Detailed definitions are given in the next section.

**Definition 1.** A sequence  $f = (f_n)$  of noncommutative multivariate polynomials over a field  $\mathbb{F}$  is called a polynomial family (abbreviated as *p-family* henceforth) if both the number of variables in  $f_n$  and the degree of  $f_n$  are bounded by  $n^c$  for some constant  $c > 0$ .

**Definition 2.**

- The class  $\text{VBP}_{nc}$  consists of *p-families*  $f = (f_n)$  such that each  $f_n$  has an algebraic branching program (ABP) of size bounded by  $n^b$  for some  $b > 0$  depending on  $f$ .
- The class  $\text{VP}_{nc}$  consists of *p-families*  $f = (f_n)$  such that each  $f_n$  has an arithmetic circuit of size bounded by  $n^b$  for some  $b > 0$  depending on  $f$ .
- A *p-family*  $f = (f_n)$  is in the class  $\text{VNP}_{nc}$  if there exists a *p-family*  $g = (g_n) \in \text{VP}_{nc}$  such that for some polynomial  $p(n)$

$$f_n(x_1, \dots, x_{q(n)}) = \sum_{y_1, \dots, y_r \in \{0,1\}} g_{p(n)}(x_1, \dots, x_m, y_1, \dots, y_r).$$

where  $q(n)$  is number of variables in  $f_n$ .

We note that the class  $\text{VBP}_{nc}$  is defined through polynomial-size algebraic branching programs (ABPs) which intuitively correspond to polynomial sized finite automata. In fact noncommutative ABPs are also studied in the literature as multiplicity automata [BBB<sup>+</sup>00], and Nisan’s rank lower bound argument [Nis91] is related to the rank of Hankel matrices in formal language theory [BR11]. Moreover, arithmetic circuits can be seen as acyclic context-free grammars (CFGs) (if the coefficients come from the Boolean ring instead of a field).

It turns out, as we will see in this paper, the analogy goes further and shows up in the internal structure of  $\text{VNP}_{nc}$  and  $\text{VP}_{nc}$ .

1. We prove that the Dyck polynomials are complete for  $\text{VP}_{nc}$  w.r.t  $\leq_{abp}$  reductions. The proof is really an arithmetized version of the Chomsky-Schützenberger theorem [CS63] showing that the Dyck languages are the hardest CFLs.
2. On the same lines we show that the Palindrome polynomials  $PAL_n = \sum_{w \in \{x_0, x_1\}^n} w.w^R$  are complete for  $\text{VSKEW}_{nc}$ , again by adapting the proof of the Chomsky-Schützenberger theorem.
3. Within  $\text{VP}_{nc}$  we obtain a proper hierarchy w.r.t  $\leq_{abp}$ -reductions corresponding to the Dyck polynomials of bounded nesting depth. This roughly corresponds to the noncommutative VNC hierarchy within  $\text{VP}_{nc}$ .
4. We examine the structure within  $\text{VNP}_{nc}$  assuming the sum-of-squares conjecture, under which Hrubes et al [HWY10a] have shown that the p-family  $\{ID_d = \sum_{w \in \{x_0, x_1\}^d} w.w\}_d \notin \text{VP}_{nc}$ . We prove the following results about  $\text{VNP}_{nc} \setminus \text{VP}_{nc}$ .
  - (a) We prove a transfer theorem which essentially shows that if  $f$  is a  $\text{VNP}_{nc}$ -complete p-family under projections then an appropriately defined commutative version  $f^{(c)}$  of  $f$  is complete under projections for the commutative VNP class.
  - (b) Assuming the sum-of-squares conjecture we show that the polynomial  $ID_d$  is neither in  $\text{VP}_{nc}$  nor  $\text{VNP}_{nc}$ -complete w.r.t  $\leq_{proj}$  reductions. This is analogous to Ladner’s well-known theorem [Lad75].
  - (c) It also turns out that under the sum-of-squares conjecture we have an infinite hierarchy w.r.t  $\leq_{proj}$  reductions between  $\text{VP}_{nc}$  and  $\text{VNP}_{nc}$  and also incomparable p-families.

Table 1.1 summarizes the results in this paper.

## 2 Preliminaries

A *noncommutative arithmetic circuit*  $C$  over a field  $\mathbb{F}$  is a directed acyclic graph such that each in-degree 0 node of the graph is labelled with an element from  $X \cup \mathbb{F}$ , where  $X$  is the set of indeterminates. Each internal node has fanin two and is labeled by either (+) or (×) – meaning a + or × gate, respectively. Furthermore, each × gate has a designated left child and a designated right child. Each gate of the circuit inductively computes a polynomial in  $\mathbb{F}\langle X \rangle$ : the polynomials computed at the input nodes are the labels; the polynomial computed at a + gate (resp. × gate) is the sum (resp. product in left-to-right order) of the polynomials

<b>P-family</b>	<b>Complexity Result</b>	<b>Remarks</b>
$D_k, k \geq 2$	- $VP_{nc}$ -Complete (Theorem 12) - $VSKEW_{nc}$ -hard (Theorem 27)	w.r.t $\leq_{abp}$ -reductions
$PAL_d$	$VSKEW_{nc}$ -Complete (Theorem 14)	w.r.t. $\leq_{abp}$ -reductions
$ID_d$	-not $VNP_{nc}$ -Complete (Theorem 21) -not $VP_{nc}$ -hard (Theorem 23) -not in $VP_{nc}$ [HWY10a]	$\leq_{proj}, \leq_{iproj}$ -reductions $\leq_{proj}, \leq_{iproj}$ -reductions assuming $SOS_k$ conjecture
$PER^{*,\lambda}$	$VNP_{nc}$ -Complete (Theorem 33)	w.r.t. $\leq_{abp}$ -reductions
$ID_n^*$	$VNP_{nc}$ -Complete (Theorem 31)	w.r.t. $\leq_{abp}$ -reductions

Table 1: Summary of Results

computed at its children. The circuit  $C$  computes the polynomial at the designated output node.

A *noncommutative algebraic branching program* ABP ([Nis91], [RS05]) is a layered directed acyclic graph (DAG) with one in-degree zero vertex  $s$  called the *source*, and one out-degree zero vertex  $t$ , called the *sink*. The vertices of the DAG are partitioned into layers  $0, 1, \dots, d$ , and edges go only from level  $i$  to level  $i + 1$  for each  $i$ . The source is the only vertex at level 0 and the sink is the only vertex at level  $d$ . Each edge is labeled with a linear form in the variables  $X$ . The size of the ABP is the number of vertices.

For any  $s$ -to- $t$  directed path  $\gamma = e_1, e_2, \dots, e_d$ , where  $e_i$  is the edge from level  $i - 1$  to level  $i$ , let  $\ell_i$  denote the linear form labeling edge  $e_i$ . Let  $f_\gamma = \ell_1 \cdot \ell_2 \cdots \ell_d$  be the product of the linear forms in that order. Then the ABP computes the degree  $d$  polynomial  $f \in \mathbb{F}\langle X \rangle$  defined as

$$f = \sum_{\gamma \in \mathcal{P}} f_\gamma,$$

where  $\mathcal{P}$  is the set of all directed paths from  $s$  to  $t$ .

## 2.1 Polynomials

We now define some p-families that are important for the paper.

### Identity Polynomials:

We define the p-family  $ID = (ID_n)$  which corresponds to the familiar context-sensitive language  $\{ww \mid w \in \Sigma^*\}$ .

$$ID_n = \sum_{w \in \{x_0, x_1\}^n} ww.$$

We will also consider some variants of this p-family in the paper.

### Palindrome Polynomials:

The p-family  $PAL = (PAL_n)$  corresponds to the context-free language of palindromes.

$$PAL_n = \sum_{w \in \{x_0, x_1\}^n} w.w^R.$$

## Dyck Polynomials:

Let  $X_i = \{(1,)_1, \dots, (i,)_i\}$  for a fixed  $i \in \mathbb{N}$ . We define the polynomial  $D_{i,n}$  over the variable set  $X_i$  to be sum of all strings in  $X_i^{2n}$  which are well balanced (for all the  $i$  bracket types). The  $D_{i,n}$  are Dyck polynomials of degree  $2n$  over  $i$  different parenthesis. The corresponding p-family is denoted  $D_i = (D_{i,n})$ .

## 3 The Reducibilities

In the paper we consider three different notions of reducibility for our completeness results and for exploring the structure of the classes  $\text{VNP}_{nc}$ ,  $\text{VP}_{nc}$  and  $\text{VSKEW}_{nc}$ .

### The projection reducibility

The projection is essentially Valiant's notion of reduction for which he showed VNP-completeness for  $\text{PER}_n$  and other p-families in his seminal work [Val79]. Let  $f = (f_n)$  and  $g = (g_n)$  be two noncommutative p-families over a field  $\mathbb{F}$ , where  $\forall n f_n \in \mathbb{F}\langle X_n \rangle$  and  $g_n \in \mathbb{F}\langle Y_n \rangle$ . We say  $f \leq_{proj} g$  if there are a polynomial  $p(n)$  and a substitution map  $\phi : Y_{p(n)} \rightarrow X_n \cup \mathbb{F}$  such that  $\forall n f(X_n) = g(\phi(Y_{p(n)}))$ .

As shown in [HWY10b] by using Valiant's original proof, the noncommutative  $\text{PER}_n$  p-family is  $\text{VNP}_{nc}$ -complete for  $\leq_{proj}$ -reducibility.

### The indexed-projection reducibility

The indexed-projection is specific to the noncommutative setting. We say  $f \leq_{iproj} g$  for p-families  $f = (f_n)$  and  $g = (g_n)$ , where  $\text{deg}(f_n) = d_n$ ,  $\text{deg}(g_n) = d'_n$ ,  $f_n \in \mathbb{F}\langle X_n \rangle$ , and  $g_n \in \mathbb{F}\langle Y_n \rangle$ , if there are a polynomial  $p(n)$  and indexed projection map

$$\phi : [d'_{p(n)}] \times Y_{p(n)} \rightarrow X_n \cup \mathbb{F},$$

such that on substituting  $\phi(i, y)$  for variable  $y \in Y_{p(n)}$  occurring in the  $i^{\text{th}}$  position in a monomial of  $g_{p(n)}$  we get polynomial  $f_n$ .

Clearly,  $\leq_{iproj}$  is more powerful than  $\leq_{proj}$  and we will show separations in this section.

### The abp-reducibility

The  $\leq_{abp}$  reducibility is the most general notion that we will consider. It essentially amounts to matrix substitutions for variables, where the matrices have scalar or variable (we allow even constant-degree monomial) entries. In terms of complexity classes we have:  $\text{VBP}_{nc} \subsetneq \text{VSKEW}_{nc} \subsetneq \text{VP}_{nc} \subseteq \text{VNP}_{nc}$ . And  $\leq_{abp}$ -reductions correspond to the computational power of the class  $\text{VBP}_{nc}$ .

Formally, let  $f_n \in \mathbb{F}\langle X_n \rangle$  and  $g_n \in \mathbb{F}\langle Y_n \rangle$  as before. We say  $f \leq_{abp} g$  if there are polynomials  $p(n), q(n)$  and the substitution map  $\phi : Y_{p(n)} \rightarrow M_{q(n)}(X_n \cup \mathbb{F})$  where  $M_{q(n)}(X_n \cup \mathbb{F})$  stands for  $q(n) \times q(n)$  matrices with entries from  $X_n \cup \mathbb{F}$ , with the property that  $f(X_n)$  is the  $(1, q(n))$ -th entry of  $g(\phi(Y_{p(n)}))$ .

**Proposition 3.** *Let  $f, g, h \in \mathbb{F}\langle X \rangle$  such that  $f \leq_{abp} g$  and  $g \leq_{abp} h$  then  $f \leq_{abp} h$ .*

**Proposition 4.** *Let  $f, g \in \mathbb{F}\langle X \rangle$  and  $f \leq_{abp} g$ . Then if  $g$  has polynomial size ABP or a noncommutative arithmetic circuit or a noncommutative skew circuit then  $f$  has polynomial size ABP, a noncommutative arithmetic circuit, a noncommutative skew circuit respectively.*

### 3.1 Hadamard product of polynomials

We describe ideas from [AJS09] that are useful for the present paper in connection with showing  $\leq_{abp}$  reductions between p-families. Consider an ABP  $P$  computing a noncommutative polynomial  $g \in \mathbb{F}\langle X \rangle$ . Suppose the ABP  $P$  has  $q$  nodes with source  $s$  and sink  $t$ .

For each variable  $x \in X$  we define a  $q \times q$  matrix  $M_x$ , whose  $(i, j)^{th}$  entry  $M_x(i, j)$  is the coefficient of variable  $x$  in the linear form labeling the directed edge  $(i, j)$  in the ABP  $P$ .<sup>1</sup>

Consider a degree  $d$  polynomial  $f \in \mathbb{F}\langle X \rangle$ , where  $X = \{x_1, \dots, x_n\}$ . For each monomial  $w = x_{j_1} \cdots x_{j_k}$  we define the corresponding matrix product  $M_w = M_{x_{j_1}} \cdots M_{x_{j_k}}$ . When each indeterminate  $x \in X$  is substituted by the corresponding matrix  $M_x$  then the polynomial  $f \in \mathbb{F}\langle X \rangle$  evaluates to the matrix

$$\sum_{f(w) \neq 0} f(w)M_w,$$

where  $f(w)$  is the coefficient of monomial  $w$  in the polynomial  $f$ .

**Theorem 5.** [AJS09] *Let  $C$  be a noncommutative arithmetic circuit computing a polynomial  $f \in \mathbb{F}\langle x_1, x_2, \dots, x_n \rangle$ . Let  $P$  be an ABP (with  $q$  nodes, source node  $s$  and sink node  $t$ ) computing a polynomial  $g \in \mathbb{F}\langle x_1, x_2, \dots, x_n \rangle$ . Then the  $(s, t)^{th}$  entry of the matrix  $f(M_{x_1}, M_{x_2}, \dots, M_{x_n})$  is the polynomial*

$$\sum_w f(w)g(w)w.$$

where  $f(w), g(w)$  are coefficients of monomial  $w$  in  $f$  and  $g$  respectively. Hence there is a circuit of size polynomial in  $n$ , size of  $C$  and size of  $P$  that computes the Hadamard product polynomial  $\sum_w f(w)g(w)w$ .

**Remark 6.** *A specific case of interest is when the ABP  $P$  is a deterministic finite automaton with start state  $s$  and sink  $t$ . In that case the polynomial  $g$  is the sum of all monomials that are accepted by the automaton (since it is acyclic, it accepts only finitely many). Let  $W$  denote the set of monomials accepted by the automaton  $P$ . Then the  $(s, t)^{th}$  entry of the matrix  $f(M_{x_1}, M_{x_2}, \dots, M_{x_n})$  is the polynomial*

$$\sum_{w \in W} f(w)w.$$

**Remark 7.** *It is useful to combine the construction described in the previous remark with substitution maps. As above, let the ABP  $P$  be a deterministic finite substitution automaton with  $q$  states accepting monomials of degree at most  $d$  over variables  $X$  with start state  $s$  and accept state  $t$ . The substitutions are defined as follows:*

For  $1 \leq i, j \leq q$ ,  $\psi_{ij} : X \rightarrow Y^*$  is a substitution map mapping variables in  $X$  to monomials over  $Y$ , where  $q$  is the number of nodes in the ABP  $P$ . For each  $x \in X$  define the matrix  $M'_x$  as follows:

$$M'_x(i, j) = \psi_{ij}(x), 1 \leq i, j \leq q.$$

<sup>1</sup>If  $(i, j)$  is not an edge in the ABP then the coefficient of  $x$  is taken as 0.

For every monomial  $w = x_{j_1}x_{j_2}\dots x_{j_d}$  accepted by  $P$ , there is a unique  $s$ -to- $t$  path  $\gamma = (s, i_1), (i_1, i_2), \dots, (i_{d-1}, t)$  along which it accepts. This defines the substitution map  $\psi$ :

$$\psi(w) = \psi_{s, i_1}(x_{j_1})\psi_{i_1, i_2}(x_{j_2}) \dots \psi_{i_{d-1}, t}(x_{j_d})$$

so that  $\psi(w) \in Y^*$ .

Let  $W$  denote the set of monomials accepted by the automaton  $P$ . Then the  $(s, t)^{th}$  entry of the matrix  $f(M'_{x_1}, M'_{x_2}, \dots, M'_{x_n})$  is the polynomial

$$\sum_{w \in W} f(w)\psi(w).$$

From the above considerations it is clear that if  $f \in \mathbb{F}\langle X \rangle$  has a polynomial-size circuit and  $P$  is a polynomial-size automaton then  $\sum_{w \in W} f(w)\psi(w)$  has a polynomial-size circuit.

## Comparing the reducibilities

**Proposition 8.** For noncommutative  $p$ -families  $f = (f_n)$  and  $g = (g_n)$  we have,

1.  $f \leq_{proj} g \Rightarrow f \leq_{iproj} g$
2.  $f \leq_{iproj} g \Rightarrow f \leq_{abp} g$

**Theorem 9.** There are noncommutative  $p$ -families  $f = (f_n)$  and  $g = (g_n)$  such that  $g \leq_{abp} f$  but  $f \not\leq_{iproj} g$  and  $g \not\leq_{iproj} f$ .

*Proof.* We define the  $p$ -families as follows:  $g_n, f_n \in \mathbb{F}\langle x_1, x_2, \dots, x_n, y_1, \dots, y_n \rangle$  where  $f_n = \prod_{i \in [n]} (x_i + y_i)$  and  $g_n = x_1x_2 \dots x_n + y_1y_2 \dots y_n$ . A key fact which is easy to check is that  $g_n$  is irreducible for all  $n$ , and  $f_n$  is a product of linear forms obviously. More crucially,  $g_n$  has only two monomials for all  $n$ , whereas  $f_n$  has  $2^n$  nonzero monomials.

Now, if  $f \leq_{iproj} g$  then for some polynomial  $p(n)$  and substitution map  $\phi$  we will have  $g(\phi(X_{p(n)})) = f(X_n)$  where  $X_n = \{x_1, \dots, x_n, y_1, \dots, y_n\}$  and  $X_{p(n)} = \{x_1, \dots, x_{p(n)}, y_1, \dots, y_{p(n)}\}$ . However, the substitution map cannot increase the number of monomials in  $g(\phi(X_{p(n)}))$  whereas  $f(X_n)$  has  $2^n$  monomials. Hence  $f \not\leq_{iproj} g$ .

Also,  $g \not\leq_{iproj} f$  because for all  $n$ ,  $g_n$  is irreducible and  $f_n$  is a product of linear forms over  $\mathbb{F}$ .

Now, we claim  $g \leq_{abp} f$ , where the abp-reduction is defined by the following matrix substitutions which are given by the following DFA with start state  $s$  and final state  $t$ :

- In start state  $s$ , reading  $x_1$  go to state 1 and reading  $y_1$  go to state  $1'$ .
- In state  $i$ , reading  $x_{i+1}$  go to state  $i + 1$ ,  $i < n - 1$ .
- In state  $i'$ , reading  $y_{i+1}$  go to state  $(i + 1)'$ ,  $i' < n - 1$ .
- In state  $n - 1$ , reading  $x_n$  go to state  $t$ .
- In state  $(n - 1)'$ , reading  $y_n$  go to state  $t$ .

For each variable in  $\{x_1, \dots, x_n, y_1, \dots, y_n\}$  we substitute matrices of dimension  $2n \times 2n$ , corresponding to the above DFA, in the polynomial  $f$  to obtain polynomial  $g$ . ■

**Theorem 10.** *There are p-families  $f$  and  $g$  s.t  $f \leq_{iproj} g$  but  $f \not\leq_{proj} g$ .*

*Proof.* Let  $f = \prod_{i \in [n]} (x_i + y_i)$  and  $g = \prod_{i \in [n]} (z_0 + z_1)$ . Clearly,  $f \leq_{iproj} g$  where the indexed projection will substitute  $x_i$  for  $z_0$  and  $y_i$  for  $z_1$  in the  $i$ -th linear factor  $(z_0 + z_1)$  of  $g$ . However, the usual  $\leq_{proj}$  reduction cannot increase the number of variables in  $g$  from two. Hence  $f \not\leq_{proj} g$ .  $\blacksquare$

## 4 Dyck Polynomials are $VP_{nc}$ -complete

### 4.1 $VP_{nc}$ -Completeness

In this section we exhibit a *natural* p-family which is  $\leq_{abp}$ -complete for the complexity class  $VP_{nc}$ . We show that any homogeneous degree  $d$  polynomial  $f \in \mathbb{F}\langle x_1, x_2, \dots, x_n \rangle$  computed by a non-commutative arithmetic circuit of size  $poly(n, d)$  is *abp*-reducible to the polynomials  $D_k$  for  $k \geq 2$ , where  $D_k$  refers to the Dyck polynomial over  $k$  different types of brackets. Our main Theorem in this section can be seen as an algebraic analogue of the Chomsky-Schützenberger representation theorem [CS63] (also see [DSW94, pg. 306]), which says that every context-free language is a homomorphic image of intersection of a language of balanced parenthesis strings over suitable number of different types of parentheses and a regular language. More precisely,

**Theorem 11** (Chomsky-Schützenberger). *A language  $L$  over alphabet  $\Sigma$  is context free iff there exist*

1. *a matched alphabet  $P \cup \bar{P}$  ( $P$  is set of  $k$  different types of opening parentheses  $P = \{(1, (2, \dots, (k\}$  and  $\bar{P}$  is the corresponding set of matched closing parentheses  $\bar{P} = \{)1, )2, \dots, )k\}$ ),*
2. *a regular language  $R$  over  $P \cup \bar{P}$ ,*
3. *and a homomorphism  $h : (P \cup \bar{P})^* \mapsto \Sigma^*$*

*such that  $L = h(D \cap R)$ , where  $D$  is the set of all balanced parentheses strings over  $P \cup \bar{P}$ .*

We now show that the p-family  $\{D_{k,d}\}_{d \geq 0}$  is  $VP_{nc}$ -complete for  $\leq_{abp}$  reductions, where the p-family  $\{D_{k,d}\}_{d \geq 0}$ , denoted  $D_k$ , is over set of  $2k$  distinct variables  $\{(i, )_i | 1 \leq i \leq k\}$  where  $(i$  and  $)_i$  are matching parenthesis pairs. The polynomial  $D_{k,d}$  consists of the sum of all monomials  $m$  which are well formed parenthesis strings of degree  $d$  over variables in  $X_k$ .

$$D_{k,d} = \sum_{m \in W_{k,d}} m$$

where  $W_{k,d}$  is set of well formed parenthesis strings of degree  $d$  over  $X_k$ . The theorem we prove in this section is the following.

**Theorem 12.** *The Dyck polynomial  $D_2 = \{D_{2,d}\}_{d \geq 0}$  is  $VP_{nc}$ -complete under  $\leq_{abp}$ -reductions and hence  $D_k = \{D_{k,d}\}_{d \geq 0}$  for  $k \geq 2$  is  $VP_{nc}$ -complete under  $\leq_{abp}$ -reductions.*

*Proof.* Let  $\{C_n\}_{n \geq 0}$  be a polynomial sized polynomial degree circuit family computing polynomials (by abuse of notation, also denoted by)  $C_n$  in  $\mathbb{F}\langle x_1, \dots, x_n \rangle$ . Let  $s(n)$  and  $d(n)$  be polynomials bounding the size and degree of  $C_n$ , respectively. For each  $n$  we will construct

a collection of  $2t(n)$  many matrices  $M_1, M'_1, \dots, M_{t(n)}, M'_{t(n)}$  whose entries are either field elements or monomials in variables  $\{x_1, \dots, x_n\}$  for a suitably large polynomial bound  $t(n)$ . These matrices have the property that polynomial  $D_{t(n),q(n)}$ , in which we substitute  $M_i$  for  $(_i$  and  $M'_i$  for  $)_i$ , evaluates to a matrix  $M = D_{t,q}(M_1, M'_1, \dots, M_{t(n)}, M'_{t(n)})$  whose top right corner entry is precisely the polynomial  $C_n$ .

The idea underlying this construction is from the proof of the Chomsky-Schützenberger theorem (ours is an arithmetic version of it) : the matrices  $M_1, M'_1, \dots, M_t, M'_t$  actually correspond to the transitions of a deterministic finite state substitution automaton which will transform monomials of  $D_{t(n),q(n)}$  into monomials of  $C_n$  so that  $M$ 's top right entry (corresponding to the accept state) contains the polynomial  $C_n$ . We now give a structured description of the reduction.

1. Firstly, we do not directly work with the circuit  $C_n$  because we need to introduce a parsing structure to the monomials of  $C_n$ . We also need to make the circuit constant-free by introducing new variables (we will substitute back the constants for the new variables in the matrices). To this end, we will carry out the following modifications to the circuit  $C_n$ :
  - (a) For each product gate  $f = gh$  in the circuit, we convert it to the product gate computing  $f = (_fg)_f h$ , where  $(_f$  and  $)_f$  are new variables.
  - (b) We replace each input constant  $a$  of the circuit  $C_n$  by a degree-3 monomial  $(_a z_a)_a$ , where  $(_a, )_a, z_a$  are new variables.

Let  $C'_n$  denote the resulting arithmetic circuit after the above transformations applied to the gates. The new circuit  $C'_n$  computes a polynomial over  $\mathbb{F}\langle X' \rangle$  where

$$\begin{aligned} X' &= X \cup \{(_g, )_g \mid g \text{ is a } \times \text{ gate in } C_n\} \\ &\cup \{(_a, )_a \mid a \text{ is a constant in } C_n\} \\ &\cup \{z_a \mid a \text{ is a constant appearing in } C_n\}. \end{aligned}$$

We make a further substitution: we replace every variable  $y \in X$  by the degree-2 monomial  $[_y]_y$  and every variable  $z_a$  for constants  $a$  appearing in  $C_n$  by  $[_{z_a}]_{z_a}$  to obtain the arithmetic circuit  $C''_n$ .

With these substitutions it is clear, by abuse of notation, that  $(C''_n)$  is a p-family. Furthermore, by construction  $C''_n$  is a polynomial whose monomials are certain properly balanced parenthesis strings over the above parentheses set. It is not homogeneous, but clearly its degree bounded by a polynomial in  $(s(n) + d(n))$ . Furthermore,  $C_n \leq_{abp} C''_n$  because we can recover  $C_n$  by substituting 1 for the parenthesis and  $y$  for the term  $[_y]_y$  and the scalar  $a$  for  $[_{z_a}]_{z_a}$ .

2. The next step is the crucial part of the proof. We describe the reduction from  $C''_n$  to  $D_{t(n)}$  for suitably chosen  $t(n)$ . Indeed,  $t(n)$  is already the number of parentheses type used by  $C''_n$ , along with some additional parenthesis. Let the degree of polynomial  $C''_n$  be  $2r$ . Thus, monomials of  $C''_n$  are of even degree bounded by  $2r$ . We introduce  $r + 1$  new parenthesis types  $\{_j, \}_j, 0 \leq j \leq r$  (to be used as prefix padding in order to get

homogeneity) and consider the polynomial  $D_{t(n),q(n)}$  where  $q(n) = 2r + 2$  and  $t(n)$  is  $(r + 1)$  plus the number of parenthesis types occurring in  $C''_n$ .

The reduction will map all degree  $2j$  monomials in  $C''_n$  to monomials in  $D_{t,q}$  of the form  $m' = \{1\}_1\{2\}_2 \dots \{r-j\}_{r-j}\{0\}_0 m$  where  $m$  is a degree  $2j$  monomial over the other parentheses types. Now  $m'$  is of degree  $2r + 2$  for all choices of  $j$  and it is clear that monomials which were distinct before the reduction remains distinct after the reduction.

Now the matrices of the automaton have to effect substitutions in order to convert these  $m'$  into a monomial of  $C''_n$  of degree  $2j$ . The strings accepted by this automaton is of the form  $uv$ , where  $u = \{1\}_1\{2\}_2 \dots \{i-1\}_{i-1}\{0\}_0$ ,  $0 \leq i \leq r + 1$  and  $v$  is a well-balanced string over remaining parentheses type. This automaton is essentially the one defined in the proof of the Chomsky-Schützenberger theorem. We outline its description. The automaton runs only on monomials of  $D_{t,q}$  and hence can be seen as a layered DAG with exactly  $q(n)$  layers.

- (a) The start state of the automaton is  $(\hat{s}, 0)$ . The automaton first looks for prefix  $\{1\}_1\{2\}_2 \dots \{r-j\}_{r-j}\{0\}_0$ . As it reads these variables, one by one, it steps through states  $(\hat{s}, i)$ , substitutes 1 for each of them, and reaches state  $(s, 2(r - j + 1))$  when it reads  $\}_0$ , where  $s$  is the name of the output gate of circuit  $C''_n$ . If any of  $\{l, \}_l$ ,  $l \in [r] \cup \{0\}$  occur later they are substituted by 0 (to kill that monomial).
- (b) The automaton will substitute  $[x]_x$  by  $x$  (if  $[x]$  is not immediately followed by  $]_x$  then it substitutes 0 for  $[x]$ ). Similarly, the automaton substitutes  $[a]_a$  by  $a$  (if  $[a]$  is not followed by  $]_a$  then it substitutes 0 for it).
- (c) Now, we describe the crucial transitions of the automaton continuing from state  $(s, 2(r - j + 1))$ , where  $s$  is the output gate of circuit  $C''_n$ . The transitions are defined using the structure of the circuit  $C''_n$ . At this point the automaton is looking for a degree  $2j$  monomial. Let  $D < 2r + 2$ . We have the following transitions:
  - i.  $(\hat{s}, 2j) \rightarrow \{j+1\}_{j+1}(\hat{s}, 2(j + 1))$ , where  $0 \leq j < r$
  - ii.  $(\hat{s}, 2(r - j)) \rightarrow \{0\}_0(s, 2(r - j + 1))$ , where  $0 \leq j \leq r$  and  $s$  is the output gate in the circuit  $C''_n$ .
  - iii.  $(g, D) \rightarrow (g(g_l, D + 1))$ , where  $g$  is an internal product gate in circuit  $C''_n$  and  $g_l$  is its left child.
  - iv. Include the transition  $(g, D) \rightarrow (h(h_l, D + 1))$ , if  $g$  is an internal  $+$  gate in circuit  $C''_n$ ,  $h$  is an internal product gate such that there is a directed path of  $+$  gates from  $h$  to  $g$ . As before,  $h_l$  denotes the left child of  $h$ .
  - v. For each input variable, say  $z$ , in the circuit  $C''_n$  and for each product gate  $g$  in the circuit  $C''_n$ , the automaton includes the transition  $(h, D) \rightarrow [z]_z)_g(g_r, D + 3)$ , if  $D + 3 < 2r + 2$ , where  $g_r$  is the right child of the internal product gate  $g$ , and  $h$  stands for any internal gate in  $C''_n$ .  
If  $D + 3 = 2r + 2$  then the automaton instead includes the transition  $(h, D) \rightarrow [z]_z)_g(t, 2r + 2)$ , where  $(t, 2r + 2)$  is the unique accepting state of the automaton.

Note that the interpretation of the transition

$$(h, D) \rightarrow [z]_z)_g(g_r, D + 3)$$

is as follows: The automaton reads the degree-3 monomial  $[z]_z)_g$  and goes from state  $(h, D)$  to  $(g_r, D + 3)$ .

We now describe the matrices that we substitute for each parenthesis. Let  $M_p$  be the matrix we substitute for parenthesis  $p$  its whose rows and columns are labelled by nodes of the ABP.

We define the matrix  $M_p$  for parenthesis  $p$  as follows:

$$m_{u,v} = M_p[u, v] = \begin{cases} 1 & \text{if } p \in U \text{ and } \exists e = (u, v) \in E(A) \text{ and label of } e \text{ is } p \\ z & \text{if } p = ]_z \text{ and } \exists e = (u, v) \in E(A) \text{ and label of } e \text{ is } p \end{cases}$$

where  $z$  denotes a variable in the circuit  $C_n''$  and  $E(A)$  is the edge set of the automaton  $A$  and

$$\begin{aligned} U &= \{[z \mid z \text{ is a variable in } C_n''\} \\ &\cup \{(i, )_i \mid i \in [s']\} \\ &\cup \{\{j, \}_j \mid j \in [r] \cup \{0\}\} \end{aligned}$$

where  $s'$  denotes the number of product gates in the circuit  $C_n$ .

It is clear that after substituting these matrices for the variables in the polynomial  $D_k$ , where  $k$  denotes the number of parenthesis types in  $C_n''$ , the top right corner entry of the resulting matrix is polynomial computed by the given circuit  $C$ . It is easy to see that  $D_2 \leq_{abp} D_k$  for all  $k > 2$ . Furthermore, we can show for any  $k > 2$  that  $D_k \leq_{abp} D_2$ , by suitably encoding different types of brackets into two types. Thus, it follows that the p-family  $D_k$ , for any  $k \geq 2$ , is  $VP_{nc}$ -complete under  $\leq_{abp}$ -reductions.  $\blacksquare$

**Remark 13.** We note that  $D_1 \leq_{abp} \text{PAL} \leq_{abp} D_2$  and  $D_2 \not\leq_{abp} \text{PAL} \not\leq_{abp} D_1$ . To see this the first one, observe that we have a DFA (of growing size) for  $D_1$ . Hence  $D_1$  is in  $VBP_{nc}$  which trivially implies that  $D_1$  is  $\leq_{abp}$ -reducible to  $\text{PAL}$ . As  $\text{PAL}$  is not in  $VBP_{nc}$  [Nis91], it follows that  $\text{PAL} \not\leq_{abp} D_1$ . We show in theorem 27 that  $D_2$  is not  $\leq_{abp}$ -reducible to  $\text{PAL}$ .

## 5 Palindrome Polynomials are $VSKEW_{nc}$ -complete

**Theorem 14.** The p-family  $\text{PAL}$  is  $VSKEW_{nc}$ -complete for  $\leq_{abp}$  reductions.

*Proof.* The proof is along the same lines as that of Theorem 12. We will show for any p-family in  $VSKEW_{nc}$  is  $\leq_{abp}$ -reducible to  $\text{PAL}$ .

Let  $\{C_n\}_{n \geq 0}$  be a polynomial sized skew circuit family of polynomial degree  $d(n)$  computing polynomials (by abuse of notation, also denoted by)  $C_n$  in  $\mathbb{F}\langle x_1, \dots, x_n \rangle$ . Let  $s(n)$  and  $d(n)$  be polynomials bounding the size and degree of  $C_n$ , respectively. We will construct a collection of  $2t(n)$  matrices  $M_1, M'_1, \dots, M_{t(n)}, M'_{t(n)}$  whose entries are either field elements or monomials in variables  $\{x_{1,L}, x_{1,R}, \dots, x_{n,L}, x_{n,R}\}$  for a suitably large polynomial bound  $t(n)$ . These matrices have the property that polynomial  $\text{PAL}_{t(n)}$ , in which we substitute  $M_i$  for  $x_{i,L}$  and  $M'_i$  for  $x_{i,R}$ , evaluates to a matrix  $M = \text{PAL}_t(M_1, M'_1, \dots, M_{t(n)}, M'_{t(n)})$  whose top right corner entry is precisely the polynomial  $C_n$ .

As in the proof of Theorem 12, the basic idea is from the Chomsky-Schützenberger theorem: the matrices  $M_1, M'_1, \dots, M_t, M'_t$  will correspond to the transitions of a deterministic finite state (substitution) automaton which will transform monomials of  $\text{PAL}_{t(n)}$  into monomials of  $C_n$  so that  $M$ 's top right entry (corresponding to the accept state) contains the polynomial  $C_n$ . We now give a structured description of the reduction.

W.l.o.g we can assume the skew circuit  $C_n$  is homogeneous. At the input level, we replace variables  $x$  by  $x_L x_R$ .

1. Firstly, we do not directly work with the circuit  $C_n$  because we need to introduce a parsing structure to the monomials of  $C_n$ . We also need to make the circuit constant-free by introducing new variables (we will substitute back the constants for the new variables in the matrices). To this end, we will carry out the following transformations:
  - (a) For each left-skew product gate  $f = xh$  in the circuit  $C_n$  (similarly for the right-skew gate  $f = hx$ ), where  $x$  is an input variable and  $h$  a gate in the circuit, let  $e = (h, f)$  denote the directed edge in the circuit  $C_n$  (seen as a directed acyclic graph). We convert it to the gates

$$\begin{aligned} f' &= hx_{(e,h,R)} \\ f'' &= x_{(e,h,L)}f', \end{aligned}$$

where  $x_{(e,h,L)}, x_{(e,h,R)}$  are fresh variables.

- (b) For each product gate  $f = ah$  in the circuit  $C_n$  for  $a \in \mathbb{F}$  and  $e = (h, f)$  is the edge in the circuit we convert it to gates

$$\begin{aligned} f' &= ha_{(e,h,R)} \\ f'' &= a_{(e,h,L)}f' \end{aligned}$$

where  $a_{(e,h,L)}, a_{(e,h,R)}$  are fresh variables.

Let  $C'_n$  denote the resulting circuit. It computes a polynomial over  $\mathbb{F}\langle X' \rangle$  where the variable set  $X'$  is:

$$\begin{aligned} X' &= \{x_{(e,h,R)}, x_{(e,h,L)} \mid x \in X, e \in E\} \\ &\cup \{a_{(e,h,L)}, a_{(e,h,R)} \mid a \text{ is a constant appearing in the edge } e \in E\}. \end{aligned}$$

Here  $E$  is set of all edges  $e$  in the given circuit  $C_n$ .

Clearly,  $(C'_n)$  is a p-family, and  $C'_n$  is a polynomial whose nonzero monomials  $m$  are palindrome monomials in the following sense: in a monomial  $m$  of degree  $2d$ , for all  $i \in [d]$  and for any edge  $e$  and gate  $g$  at position  $i$  we have variable  $x_{(e,g,L)}$  and at position  $2d - i + 1$  we have variable  $x_{(e,g,R)}$ .

We also have the reduction  $(C_n) \leq_{abp} (C'_n)$  because we can recover  $C_n$  from  $C'_n$  by substituting  $x$  for either  $x_{e,h,L}$  or  $x_{e,h,R}$  (and 1 for the other variable) and the scalar  $a$  for either  $a_{e,h,L}$  or  $a_{e,h,R}$  (and 1 for the other variable). Notice that the number of variables in  $C'_n$  and the degree of  $C'_n$  are polynomially bounded by a suitable function of  $n$  (but we are not specifying it for ease of notation).

2. Let the degree of polynomial  $C'_n$  be  $2r$ . Thus monomials of  $C'_n$  are of even degree bounded by  $2r$ . Like in Theorem 12, we will introduce  $r+1$  new variable pairs  $y_{j,L}, y_{j,R}$ ,  $0 \leq j \leq r$  (to be used as prefix and suffix padding in order to get homogeneity). The

reduction will map a degree  $2j$  monomial  $m$  in  $C'_n$  to monomial  $m'$  in  $\text{PAL}_{r+1}$  of the following form:

$$m' = (y_{1,L}y_{2,L} \cdots y_{r-j,L}y_{0,L})m(y_{0,R}y_{r-j,R} \cdots y_{2,R}y_{1,R})$$

Now,  $m'$  is of degree  $2r+2$  for all choices of  $j$  and it is clear that monomials which were distinct before the reduction remains distinct after the reduction. Let  $C''_n$  denote this resulting new circuit.

3. Like in Theorem 12, we construct automaton A from this modified circuit  $C''_n$ . We construct automaton which (apart from accepting many non-palindrome monomials) accepts only palindrome monomials  $ww^R$  such that the first half  $w$  is “compatible” with the circuit structure of  $C''_n$  (and monomials whose first half is non-compatible are not accepted by the automaton A). Now the matrices of the automaton have only to effect substitutions in a careful manner to convert these  $m'$  into a monomial of  $C''_n$  of degree  $2j$ . The automaton is a layered DAG with exactly  $2r+2$  layers.

- (a) The start state of the automaton is  $(\hat{s}, 0)$ . The automaton first looks for a prefix  $(y_{1,L}y_{2,L} \cdots y_{r-j,L}y_{0,L})$ . As it reads these variables, one by one, it steps through states  $(\hat{s}, i)$ , substitutes 1 for each of them, and reaches state  $(s, (r-j+1))$  when it reads  $y_{0,L}$ , where  $s$  is the name of the output gate of circuit  $C''_n$ . If any of  $y_{l,L}$ ,  $l \in [r] \cup \{0\}$  occur later they are substituted by 0 (to kill that monomial).
- (b) Now we describe the transitions of the automaton continuing from state  $(s, (r-j+1))$ . Here the automaton has to use the structure of the circuit  $C''_n$  to define further transitions. At this point the automaton is looking for a degree  $2j$  monomial. Let  $D < 2r+2$ . We have the following transitions:
  - i.  $(\hat{s}, j) \rightarrow y_{(j+1,L)}(\hat{s}, j+1)$ , where  $0 \leq j < r$  (as already described above).
  - ii.  $(\hat{s}, j) \rightarrow y_{(0,L)}(s, j+1)$ , where  $0 \leq j \leq r$  and  $s$  is the output gate in the circuit  $C''_n$ .
  - iii. In state  $(s, j+1)$  if the automaton reads variable  $x_{e,g,L}$  (or variable  $a_{e,g,L}$ ) then it moves to state  $(g, j+2)$  if the gate  $g$  is a left-skew multiplication occurring in the circuit  $C''_n$ , and the directed path from  $g$  to  $s$  in the circuit has only  $+$  gates or right-skew multiplication gates in it. Formally, the transition made is:

$$(s, j+1) \rightarrow x_{(e,g,L)}(g, j+2).$$

We have a similar transition when the automaton reads variable  $a_{e,g,L}$ .

- iv. In general, when the automaton is in state  $(g, D)$  for a left-skew multiplication gate  $g$  in the circuit and it reads variable  $x_{e,h,L}$  (or  $a_{e,h,L}$ ) then it moves to state  $(h, D+1)$  if the gate  $h$  is left-skew occurring in the circuit, and the directed path from  $h$  to  $g$  has only  $+$  gates or right-skew multiplication gates in it. Formally, the transition made is:

$$(g, D) \rightarrow x_{(e,h,L)}(h, D+1).$$

We have a similar transition for variable  $a_{e,h,L}$ .

- v. Proceeding thus, when the automaton reaches a state  $(g, r + 1)$  for some left-skew multiplication gate it makes only transitions of the form:

$$(g, D) \rightarrow x_{(e,h,R)}(t, D + 1),$$

for all variables  $x_{e,h,R}$  and for all  $D < 2r + 2$ . The state  $(t, 2r + 2)$  is the unique accepting state of the automaton.

Transitions (i-iv) reads the first half of any input monomial which are compatible with the structure of the circuit  $C_n''$ . By construction of the transitions in (i-iv) the following claim holds.

**Claim 15.** *The DFA defined above accepts a palindrome string  $wv \in (X')^{2r+2}$  iff the palindrome  $wv$  is a nonzero monomial in the polynomial computed by  $C_n''$ .*

4. We can convert this automaton into a homogeneous ABP  $A$  computing the homogeneous polynomial of degree  $2r + 2$ . We now describe matrices we substitute for each variable. Let  $M_z$  be the matrix we substitute for a variable  $z$  where rows and columns of  $M_z$  are labelled by nodes of the ABP.

We set entries of the matrix  $M_z$  for a variable  $z$  as follows:

- If the variable  $z = a_{(e,h,L)}$  where  $a$  is a scalar appearing on the edge  $e$  in the circuit  $C_n$ , then we set  $m_{u,v} = M_z[u, v] = a$  iff the automaton reaches the state  $v$  from the state  $u$  when it reads  $z$ .
- Else, if the variable  $z = a_{(e,h,R)}$  where  $a$  is a scalar appearing on the edge  $e$  in the circuit  $C_n$ , then we set  $m_{u,v} = M_z[u, v] = 1$  iff the automaton reaches the state  $v$  from the state  $u$  when it reads  $z$ .
- Else, if  $z = x_{(e,g,L)}$ , where  $x \in X$ ,  $e$  is an edge in the circuit  $C_n$ ,  $g$  is some gate in  $C_n$ , then
  - If the actual variable for  $z$  occurs as left multiplication on the edge  $e$ , then we set  $m_{u,v} = x$  iff the automaton reaches the state  $v$  from the state  $u$  when it reads  $z$ .
  - Else, if  $m_{u,v} = 1$  (i.e., the actual variable for  $z$  occurs as right multiplication )
- Else, if  $z = x_{(e,g,R)}$ , where  $x \in X$ ,  $e$  is an edge in the circuit  $C_n$ ,  $g$  is some gate in  $C_n$ , then
  - If the actual variable for  $z$  occurs as right multiplication on the edge  $e$ , then we set  $m_{u,v} = x$  iff the automaton reaches the state  $v$  from the state  $u$  when it reads  $z$ .
  - Else, if  $m_{u,v} = 1$  (i.e., the actual variable for  $z$  occurs as left multiplication )
- Else, if the variable  $z = y_{(j,L)}$  or  $z = y_{(j,R)}$ ,  $0 \leq j \leq r$  then we set  $m_{u,v} = M_z[u, v] = 1$  iff the automaton reaches the state  $v$  from the state  $u$  when it reads  $z$ .
- Else, we set  $m_{u,v} = 0$ .

It is clear that on substituting these matrices for the variables in  $\text{PAL}_{r+1}$ , we get the polynomial computed by the given circuit  $C_n$  in the top right corner entry of the resulting matrix. This completes the proof. ■

## 6 A Ladner's Theorem analogue for $\text{VNP}_{nc}$

In this section we explore the structure of  $\text{VNP}_{nc}$  assuming the sum-of-squares conjecture. The sum-of-squares conjecture implies that the p-family  $ID$  (which is in  $\text{VNP}_{nc}$ ) is not in  $\text{VP}_{nc}$  [HWY10a]. In particular, the conjecture implies that  $\text{VP}_{nc} \neq \text{VNP}_{nc}$ . A natural question that arises is whether this conjecture implies that there are p-families in  $\text{VNP}_{nc} \setminus \text{VP}_{nc}$  that are not  $\text{VNP}_{nc}$ -complete.

This is similar in spirit to the well-known Ladner's Theorem that shows, assuming  $\text{P} \neq \text{NP}$ , that there is an infinite hierarchy of polynomial degrees between  $\text{P}$  and  $\text{NP}$ -complete. For commutative Valiant's classes, the existence of  $\text{VNP}$ -intermediate p-families is investigated by Bürgisser [Bür99]. The results there require an additional assumption about counting classes in the boolean setting.

**Conjecture 16** ( $\text{SOS}_k$  Conjecture). *Consider the question of expressing the biquadratic polynomial*

$$\text{SOS}_k(x_1, \dots, x_k, y_1, \dots, y_k) = \left( \sum_{i \in [k]} x_i^2 \right) \left( \sum_{i \in [k]} y_i^2 \right)$$

as a sum of squares  $(\sum_{i \in [s]} f_i^2)$ , where  $f_i$  are all homogeneous bilinear polynomials with the minimum  $s$ .

The  $\text{SOS}_k$  conjecture states that over the field of complex numbers  $\mathbb{C}$ , for all  $k$  we have the lower bound  $s = \Omega(k^{1+\epsilon})$ .

In [HWY10a], it is shown that the  $\text{SOS}_k$ -conjecture implies that the p-family  $ID = \{ID_d\}_{d \geq 0}$  where  $ID_d(x_0, x_1) = \sum_{w \in \{x_0, x_1\}^d} ww$  is not in  $\text{VP}_{nc}$ . In fact, they prove exponential circuit size lower bounds for  $ID_d$  assuming the conjecture. We need the following definition.

**Definition 17** ( $\text{VNP}_{nc}$ -intermediate). *We say that a noncommutative p-family  $f = (f_n)_{n \geq 0}$  is  $\text{VNP}_{nc}$ -intermediate if  $f \notin \text{VP}_{nc}$  and  $f$  is not  $\text{VNP}_{nc}$ -complete w.r.t.  $\leq_{\text{iproj}}$  reductions.*

In this section, we show the  $\text{SOS}_k$  conjecture actually yields much more inside  $\text{VNP}_{nc}$ . We prove the following results.

1. That  $ID$  is a  $\text{VNP}_{nc}$ -intermediate polynomial assuming  $\text{SOS}_k$  conjecture.
2. There are infinitely many p-families  $f^{(i)}$ ,  $i = 1, 2, \dots$  in  $\text{VNP}_{nc}$  such that for all  $i$ ,  $f^{(i)} \leq_{\text{iproj}} f^{(i+1)}$  and  $f^{(i+1)} \not\leq_{\text{iproj}} f^{(i)}$ .

We do not have similar results for the stronger  $\leq_{\text{abp}}$  reducibility.

The proof of the first result is by using a simple "transfer" theorem which allows us to transfer a  $\text{VNP}_{nc}$ -complete p-family w.r.t  $\leq_{\text{iproj}}$  reductions to a commutative  $\text{VNP}$ -complete p-family w.r.t  $\leq_{\text{proj}}$  reductions.

**Definition 18.** *Let  $f = (f_n)$  be a p-family in  $\text{VNP}_{nc}$ , where each  $f_n$  is a homogeneous polynomial of degree  $d(n)$ . We define the commutative version  $f^{(c)} = (f_n^{(c)})$  as follows: Suppose  $f_n \in \mathbb{F}\langle X_n \rangle$ . Let  $Y_n = \bigcup_{1 \leq i \leq d(n)} X_{n,i}$  be a new variable set where  $X_{n,i} = \{x_{ji} | \forall x_j \in X_n\}$  is a copy of the variable set  $X_n$  for the  $i^{\text{th}}$  position. If the polynomial  $f_n = \sum \alpha_m m$  where  $\alpha_m \in \mathbb{F}$  and  $m \in X_n^{d(n)}$  is a monomial, the polynomial  $f_n^{(c)}$  is defined as  $f_n^{(c)} = \sum \alpha_m m'$ , where if  $m = x_{j_1} x_{j_2} \dots x_{j_d}$  then  $m' = x_{j_1,1} x_{j_2,2} \dots x_{j_d,d}$ .*

Clearly,  $f_n^{(c)} \in \mathbb{F}[X]$  and is a set-multilinear homogeneous polynomial of degree  $d(n)$ .

**Lemma 19.** *For any  $p$ -families  $f$  and  $g$ , if  $f \leq_{iproj} g$  then  $f^{(c)} \leq_{proj} g^{(c)}$ .*

*Proof.* Since  $f \leq_{iproj} g$ , for every  $n$  there is a polynomial  $p(n)$  and an indexed projection  $\phi_n : [d_{p(n)}] \times X_{p(n)} \rightarrow (Y_{ij})_{1 \leq i, j \leq n}$  s.t.  $f_n(Y_n) = g(\phi_n(X_{p(n)}))$  where  $d_{p(n)}$  is the degree of the polynomial  $g_{p(n)}$ . Define  $\phi'_n : \bigcup_{i \in [d(n)]} X_{p(n), i} \rightarrow Y_n$  as  $\phi'_n(x_{ji}) = \phi_n(i, x_j)$  for  $1 \leq i, j \leq n$ . Clearly,  $f^{(c)}$  is reducible to  $g^{(c)}$  via this projection reduction. This completes the proof. ■

The following theorem is a corollary of Lemma 19.

**Theorem 20** (Transfer theorem). *Let  $f = (f_n) \in \text{VNP}_{nc}$  be a homogeneous  $p$ -family that is  $\text{VNP}_{nc}$ -complete for  $\leq_{iproj}$ -reductions. Then  $f^{(c)} \in \text{VNP}$  is  $\text{VNP}$ -complete for  $\leq_{proj}$ -reductions.*

*Proof.* Since  $\text{PER} \leq_{iproj} f$ , by Lemma 19  $\text{PER}_d^{(c)} \leq_{proj} f^{(c)}$ . This completes the proof of the theorem. ■

**Theorem 21.** *The polynomial  $ID$  is not  $\text{VNP}_{nc}$ -complete under  $\leq_{iproj}$ -reductions.*

*Proof.* Suppose, to the contrary that  $ID$  is  $\text{VNP}_{nc}$ -complete w.r.t  $\leq_{iproj}$ -reductions. Then  $\text{PER} \leq_{iproj} ID$ . Define the noncommutative  $p$ -family  $ID' = (ID'_n)_{n \geq 0}$ , where  $ID'_n \in \mathbb{F}\langle X_n \rangle$  where  $X_n = \{x_{0,1}, x_{0,2}, \dots, x_{0,n}, x_{1,1}, x_{1,2}, \dots, x_{1,n}\}$  and

$$ID'_n = \sum_{z_i \in \{x_{0,i}, x_{1,i}\}, i \in [n]} z_1 z_2 \dots z_n z_1 \dots z_n.$$

Clearly,  $ID \leq_{iproj} ID'$ . Hence  $\text{PER} \leq_{iproj} ID'$ . Applying the transfer theorem (Theorem 20), we have that  $\text{PER} \leq_{proj} ID'^{(c)}$  in the commutative setting. However,  $ID'^{(c)} = \prod_{i \in [n]} (x_{0,i} x_{0,n+i} + x_{1,i} x_{1,n+i})$ . Thus,  $ID'^{(c)}$  is a reducible polynomial with factors of degree 2. Since  $\text{PER}_n$  is irreducible for all  $n$ , it follows that  $\text{PER}$  cannot be  $\leq_{proj}$  reducible to  $ID'$ . ■

Assuming the  $\text{SOS}_k$  conjecture, Theorem 21 implies that  $ID$  is a  $\text{VNP}_{nc}$ -intermediate polynomial.

**Corollary 22.** *Assuming  $\text{SOS}_k$  conjecture,  $ID \notin \text{VP}_{nc}$  and  $ID$  is not  $\text{VNP}_{nc}$ -complete under  $\leq_{iproj}$ -reductions.*

Now we will show that there are infinitely many  $p$ -families  $f^{(i)}$  such that  $f^{(i)} \leq_{iproj} f^{(i+1)}$  but for all  $i$   $f^{(i+1)} \not\leq_{iproj} f^{(i)}$ . For that we need the following observation that  $ID$  is not even  $\text{VP}_{nc}$ -hard w.r.t.  $\leq_{iproj}$ -reductions.

**Theorem 23.** *The  $p$ -family  $ID$  is not  $\text{VP}_{nc}$ -hard w.r.t  $\leq_{iproj}$ -reductions.*

*Proof.* We will prove that the Dyck  $p$ -family  $D_2$  is not  $\leq_{iproj}$ -reducible to  $ID$ . Suppose  $D_2 \leq_{iproj} ID$ . Since the reduction is an indexed projection it follows that the polynomial family  $\hat{D}_2$  defined below is also  $\leq_{iproj}$ -reducible to  $ID$  by essentially the same reduction.  $\hat{D}_2 = (\hat{D}_{2,n})$ , where  $\hat{D}_{2,n}$  is a homogeneous degree  $2n$  polynomial on variable set of size  $4n$   $\{(i, )_i, [i, ]_i | i \in [n]\}$  where  $(i, )_i$ ,  $[i, ]_i$  and  $]_i$  are variables that can occur only in  $i$ -th position. The polynomial  $\hat{D}_{2,n}$  is defined as an indexed projection of  $D_{2,n}$  obtained by replacing the  $i$ -th occurrence of a bracket  $b \in \{(\cdot), [\cdot], \cdot\}$  by its indexed version  $b_i \in \{(i, )_i, [i, ]_i\}$ . We observe that the  $p$ -families  $\hat{D}_2$  and  $D_2$  are  $\leq_{iproj}$ -reducible to each other.

Now, by assumption  $\hat{D}_2 \leq_{iproj} ID \leq_{iproj} ID'$  which means that, by the transfer theorem (Theorem 21), that the commutative version  $\hat{D}_2^{(c)} \leq_{proj} ID'^{(c)}$ . Now, we know for all  $n$  that  $ID_n'^{(c)} = \prod_{i \in [n]} (x_{0,i}x_{0,n+i} + x_{1,i}x_{1,n+i})$ . We show in the following claim that the commutative polynomials  $\hat{D}_{2,n}^{(c)}$  are irreducible which rules out  $\hat{D}_2^{(c)} \leq_{proj} ID'^{(c)}$ , and hence completes the proof by contradiction.

**Claim 24.** *The polynomial  $\hat{D}_{2,n}^{(c)}$  is irreducible for each  $n$ .*

*Proof of Claim:* Suppose  $\hat{D}_{2,n}^{(c)} = g.h$  is a nontrivial factorization. We will derive a contradiction. First, note that  $\hat{D}_{2,n}^{(c)}$  is set-multilinear of degree  $2n$  where the  $i$ -th location is allowed only variables from the set  $\{(i, )_i, [i, ]_i\}$ . Since  $\hat{D}_{2,n}^{(c)}$  is multilinear, it follows that both  $g$  and  $h$  are homogeneous multilinear and  $Var(g) \cap Var(h) = \emptyset$ , where  $Var(g), Var(h)$  are the variables sets of  $g$  and  $h$  respectively.

Thus, every nonzero monomial  $m$  of  $f$  has a unique factorization  $m = m_1 m_2$ , where  $m_1$  occurs in  $g$  and  $m_2$  in  $h$ . There are no cancellations of terms in the product  $gh$ . Hence, it also follows that both  $g$  and  $h$  are set-multilinear, where the set of locations  $[2n]$  is partitioned as  $S$  and  $[2n] \setminus S$  and the monomials of  $g$  are over variables in  $\{(i, )_i, [i, ]_i | i \in S\}$  and  $h$ 's monomials are over variables in  $\{(i, )_i, [i, ]_i | i \in [2n] \setminus S\}$ . Now, there are monomials  $m$  occurring in  $\hat{D}_{2,n}^{(c)}$  such that the projection of  $m$  onto positions in  $S$  does not give a string of matched brackets. Let  $m$  be any such monomial. Then we have the factorization  $m = m_1 m_2$ , where  $m_1$  and  $m_2$  are monomials that occur in  $g$  and  $h$  respectively. Let the monomial  $m'$  be obtained from  $m$  by swapping  $(i$  with  $[i$  and  $)_i$  with  $]_i$ . Then  $m' = m'_1 m'_2$ , where  $m'_1$  and  $m'_2$  occur in  $g$  and  $h$ , respectively.

Now, since there are no cancellations in the product  $gh$ , the monomial  $m'_1 m'_2$  (which is not a properly matched bracket string) must also occur in  $gh$  and hence in  $\hat{D}_{2,n}^{(c)}$ , which is a contradiction. This completes the proof of the claim and hence the theorem.  $\blacksquare$

We have shown that  $ID$  is  $VNP_{nc}$ -intermediate assuming  $SOS_k$  conjecture. On the other hand,  $D_2 \not\leq_{iproj} ID$  unconditionally. Our aim is to use  $D_2$  and  $ID$  to create an infinite collection  $f^{(i)}$  of  $p$ -families in  $VNP_{nc}$  such that  $f^{(i)} \leq_{iproj} f^{(i+1)}$  but  $f^{(i+1)} \not\leq_{iproj} f^{(i)}$ .

Let  $ID = (ID_n)$  where  $ID_n$  are degree  $2n$ , and  $D_2 = (D_{2,n})_{n \geq 0}$  where  $D_{2,n}$  are degree  $2n$ .

- Define  $f^{(1)} = ID$ .
- $f^{(2)} = (f_n^{(2)})$  where  $f_n^{(2)} = D_{2,n} ID_n$ .
- $f^{(i)} = (f_n^{(i)}) = (D_{2,n} ID_n \dots D_{2,n} ID_n)$ , where  $f_n^{(i)} = f_n^{(i-1)} D_{2,n} ID_n$  for all  $i$  and  $n$ .

Clearly,  $f^{(i)} \in VNP_{nc}$  for all  $i$ .

**Proposition 25.** *For every  $i$ ,  $f^{(i)} \leq_{iproj} f^{(i+1)}$ , where the  $f^{(i)}$  are the  $p$ -families defined above.*

*Proof.* We explain the easy proof for  $f^{(1)} \leq_{iproj} f^{(2)}$  which can be easily extended to all  $i$ . The indexed projection that gives a reduction from  $f_n^{(1)}$  to  $f_n^{(2)}$  will simply substitute 1 for the variables  $($  occurring in positions  $1 \leq i \leq n$ , and 1 for the variables  $)$  occurring in positions

$n + 1 \leq i \leq 2n$ . For all other occurrences of the variables of  $D_{2,n}$  the indexed projection substitutes 0. This substitution picks out the following unique degree- $2n$  monomial in  $D_{2,n}$

$$\underbrace{\left( \left( \left( \dots \left( \left( \right) \dots \right) \right) \right) \right)}_{n\text{-times}} \underbrace{\left( \left( \left( \dots \left( \left( \right) \dots \right) \right) \right) \right)}_{n\text{-times}}$$

in the polynomial  $D_{2,n}$  and gives it the value 1, and it zeros out the remaining monomials of  $D_{2,n}$ .

Finally, the indexed projection substitutes  $x$  for  $x$ , for each variable  $x$  occurring in the polynomial  $ID_n$ . ■

**Theorem 26.** *Assuming the  $SOS_k$ -conjecture, for every  $i$ , we have  $f^{(i+1)} \not\leq_{i\text{proj}} f^{(i)}$ .*

*Proof.* Suppose to the contrary that  $f^{(i+1)} \leq_{i\text{proj}} f^{(i)}$ . Then there is a polynomial  $p(n)$  and indexed projection map  $\phi_n$  s.t  $f_{p(n)}^{(i)}(\phi_n(X_{p(n)}^{(i)})) = f_n^{(i+1)}(X_n^{(i+1)})$ , where  $X_{p(n)}^{(i)} = \text{Var}(f_{p(n)}^{(i)})$  and  $X_n^{(i+1)} = \text{Var}(f_n^{(i+1)})$ . Now, we will derive a contradiction from this. We have:

- $f_{p(n)}^{(i)} = \underbrace{D_{2,p(n)}ID_{p(n)} \dots D_{2,p(n)}ID_{p(n)}}_{i\text{-times}}$
- $f_n^{(i+1)} = \underbrace{D_{2,n}ID_n \dots D_{2,n}ID_n}_{(i+1)\text{-times}}$

Since  $ID_n \not\leq_{i\text{proj}} D_{2,n}$  (by [HWY10a] assuming  $SOS_k$ -conjecture), we have  $D_{2,n}ID_n \not\leq_{i\text{proj}} D_{2,p(n)}$  and  $D_{2,n}ID_n \not\leq_{i\text{proj}} ID_{2,p(n)}$  because of irreducibility of  $\hat{D}_{2,n}^{(c)}$  (as shown in Theorem 21). Hence  $D_{2,n}ID_n$  must get mapped by the projection  $\phi_n$  to the product  $D_{2,p(n)}ID_{p(n)}$  or  $ID_{p(n)}D_{2,p(n)}$ , overlapping both factors. But  $f_n^{(i+1)}$  has  $(i + 1)$  such factors  $D_{2,n}ID_n$ . Hence, at least one of these factors  $D_{2,n}ID_n$  must map wholly to  $ID_{p(n)}$  or  $D_{2,p(n)}$  by the indexed projection  $\phi_n$ . If  $D_{2,n}ID_n$  maps to  $ID_{p(n)}$  that contradicts Theorem 23. If  $D_{2,n}ID_n$  maps to  $D_{2,p(n)}$  then  $ID_n$  must be in  $\text{VP}_{nc}$ , which is not true assuming the  $SOS_k$  conjecture. ■

## 7 Inside $\text{VP}_{nc}$

We first show that  $D_2$  is strictly harder than PAL w.r.t  $\leq_{abp}$ -reductions.

**Theorem 27.** *PAL  $\leq_{abp}$   $D_2$  but  $D_2 \not\leq_{abp}$  PAL.*

*Proof.* As PAL has polynomial size circuit, clearly  $\text{PAL} \leq_{abp} D_2$  since  $D_2$  is  $\text{VP}_{nc}$ -complete. For clarity, we give a direct reduction below. Consider  $\text{PAL}_n = \sum_{w \in \{x_0, x_1\}^n} w \cdot w^R$  and  $D_{2,n}$ . The idea is to encode monomial  $ww^R$  by encoding  $x_0$  as ( and  $x_1$  as [ for position  $i \in [n]$  and  $x_0$  as ) and  $x_1$  as ] for position  $i \in [n + 1, 2n]$ . We can easily design an automaton with  $O(n)$  states that replaces ( in  $i$ -th position by  $x_0$  and [ in  $i$ -th position by  $x_1$  for  $i \in [n]$  and if it sees a closing bracket in any positions  $i \in [n]$  it replaces it by 0. Similarly, the position from  $n + 1, \dots, 2n$  are handled by replacing ) in  $i$ -th position by  $x_0$  and ] in  $i$ -th position by  $x_1$  and anything else by 0. The matrices defining these substitutions give the desired  $\text{abp}$ -reduction, which we explain now.

As in Theorem 12, we convert this automaton into a ABP  $A$  computing the homogeneous polynomial of degree  $2n$ . We now describe matrices we substitute for each parenthesis. Let

$M_p$  be the matrix we substitute for parenthesis  $p$  whose rows and columns are labelled by nodes of this ABP  $A$ .

We define the matrix  $M_p$  for parenthesis  $p$  as follows:

$$m_{u,v} = M_p[u, v] = \begin{cases} x_0 & \text{if } p \in \{(\, , \,)\} \text{ and } \exists e = (u, v) \in E(A) \text{ and label of } e \text{ is in } \{(\, , \,)\} \\ x_1 & \text{if } p \in \{[\, ]\} \text{ and } \exists e = (u, v) \in E(A) \text{ and label of } e \text{ is in } \{[\, ]\} \end{cases}$$

where  $E(A)$  is the edge set of the automaton  $A$ .

We now turn to the converse problem. In fact, we only need to observe that  $\text{PAL}^2$  is also  $\leq_{abp}$ -reducible to  $D_2$ , where  $\text{PAL}^2$  is the square of the Palindrome polynomial. I.e.  $\text{PAL}^2 = (\text{PAL}_n \text{PAL}_n)_{n \geq 0}$ . We can easily reduce  $\text{PAL}_n \text{PAL}_n$  to  $D_{2,2n}$  by repeating the automaton construction giving  $\text{PAL}_n$  from  $D_{2,n}$  twice. The automaton will zero out all monomials of  $D_{2,2n}$  except those of the form  $u_1.u_2$  where  $u_1$  has an equal number of ( and ) and equal number of [ and ] and similarly  $u_2$ .

Furthermore, while reading  $u_1$  the automaton will do exactly as the reductions of  $\text{PAL}_n$  to  $D_{2,n}$  and also for  $u_2$  the same. This will yield the polynomial  $\text{PAL}_n \text{PAL}_n$ . Hence  $\text{PAL}^2 \leq_{abp} D_2$ . However,  $\text{PAL}^2 \not\leq_{abp} \text{PAL}$  because, as shown in [LMS15], skew circuits computing  $\text{PAL}^2$  require exponential size. This completes the proof sketch.  $\blacksquare$

## 7.1 Dyck depth hierarchy inside $\text{VP}_{nc}$

We now show that the nesting depth of Dyck polynomials can be used to obtain a strict hierarchy of p-families within  $\text{VP}_{nc}$ . This hierarchy roughly corresponds to the  $\text{VNC}_{nc}$  hierarchy.

**Definition 28.** A p-family  $f = (f_n)$  is in  $\text{VNC}_{nc}^i$  if there is a family of circuits  $(C_n)$  for  $f$  such that each  $C_n$  is of polynomial size and degree, and is of  $\log^i n$  depth.

The classes  $\text{VNC}_{nc}^i, i = 1, 2, \dots$  are contained in  $\text{VP}_{nc}$ . Furthermore, it is easy to show using Nisan's rank argument that  $\text{VNC}_{nc}^i, i = 1, 2, \dots$  form a strict hierarchy.<sup>2</sup>

It turns out that Dyck polynomials of nesting depth  $\log^{i+1} n$  are hard for  $\text{VNC}_{nc}^i$  w.r.t.  $\leq_{abp}$  reductions. Indeed, this follows from inspection of the proof of Theorem 12.

**Definition 29** (Nesting depth). The nesting depth of a string in  $D_2$  is defined as follows:

- $()$  and  $[\ ]$  have depth 1.
- If  $u_1$  has depth  $d_1$  and  $u_2$  has depth  $d_2$ ,  $u_1 u_2$  has depth  $\max\{d_1, d_2\}$  and  $(u_1), [u_1]$  have depth  $d_1 + 1$ .

Let  $W_{2,n}^{(k)}$  denote the set of all monomials in  $D_{2,n}$  of depth at most  $k$  and degree  $2n$ . We define the polynomial  $D_{2,n}^{(k)} = \sum_{u \in W_{2,n}^{(k)}} u$  and denote the corresponding p-family as  $D_2^{(k)}$ . In this definition we allow  $k$  to be a function  $k(n)$  of  $n$ , where  $D_2^{(k)} = (D_{2,n}^{(k)})_{n \geq 0}$ .

**Theorem 30.** Let  $k_1 = \omega(\log n)$  and  $k_2(n) \geq \omega(k_1(n))$  for all  $n$ . Then  $D_2^{k_2} \not\leq_{abp} D_2^{k_1}$  but  $D_2^{k_1} \leq_{abp} D_2^{k_2}$ .

<sup>2</sup>Palindromes of length  $\log^{i+1} n$  have circuits of depth  $\log^{i+1} n$  and polynomial in  $\log^{i+1} n$  size. However, circuits of depth  $\log^i n$  for it require superpolynomial size.

*Proof.* Suppose  $D_2^{(k_2)} \leq_{abp} D_2^{(k_1)}$ . Then there are polynomials  $p(n)$  and  $q(n)$  such that there is a matrix substitution  $\phi_n$  for the variables  $X$  of  $D_{2,p(n)}^{(k_1)}$  with the property that

$$D_{2,p(n)}^{(k_1)}(\phi_n(X))(1, q(n)) = D_{2,n}^{(k_2)},$$

where  $\phi_n$  is a  $q(n) \times q(n)$  matrix substitution for each variable in  $X$ . Now, the polynomial  $D_{2,p(n)}^{(k_1)}$  has an ABP of size  $2^{k_1(n)}.poly(n)$  (this ABP can be constructed by keeping the stack content as part of the DFA state for stack size at most  $k_1(n)$ ). Combined with the matrix substitutions  $\phi_n$ , we obtain a  $2^{k_1(n)}.poly(n)$  size ABP for the polynomial  $D_{2,n}^{(k_2)}$ .

Furthermore, the reduction from PAL to  $D_2$  (Theorem 27) can be easily modified to show that  $PAL_{k_2} \leq D_{2,n}^{(k_2(n))}$  (the reduction will work only with the prefixes of length  $2k_2(n)$  of  $D_{2,n}^{(k_2(n))}$  and substitute rest by 1, if the prefix has same number of left and right brackets and 0 otherwise).

But by Nisan's [Nis91] rank argument  $PAL_{k_2}$  requires  $2^{\Omega(k_2)}$  size ABPs contradicting the above  $2^{k_1(n)}.poly(n)$  size ABP.

We now show the reduction  $D_2^{k_1} \leq_{abp} D_2^{k_2}$ . We design a DFA with  $O(n.k_1(n))$  states that takes strings  $u$  of length  $2n$  over  $\{(\cdot), [\cdot], \cdot\}$  with an equal number of  $(\cdot)$  and  $(\cdot)$  and an equal number of  $[\cdot]$  and  $[\cdot]$  s.t in every prefix  $s$  of  $u$ , the number of left brackets exceed the number of right brackets by at most  $k_1(n)$ .

Corresponding to this DFA we can create matrix substitutions which replace each variable  $x \in \{(\cdot), [\cdot], \cdot\}$  by itself if the string is accepted and otherwise, the  $(2n)$ -th variable by 0. Let  $\phi_n$  define this matrix substitution. Then  $D_{2,n}^{k_2(n)}\phi_n(X) = D_{2,n}^{k_1(n)}$ , where  $X = Var(D_{2,n}^{k_2(n)})$ . This completes the proof.  $\blacksquare$

## 8 More on $VNP_{nc}$ -Completeness

Apart from the polynomial family  $PER_d$ , we know from [AS10] that the polynomial family  $DET_d$  is  $VNP_{nc}$ -complete for  $\leq_{abp}$ -reductions. In this section we show some new  $VNP_{nc}$ -complete p-families w.r.t.  $\leq_{abp}$  reductions and raise some open questions. In Theorem 21 we saw that  $ID$  is not  $VNP_{nc}$ -complete w.r.t.  $\leq_{iproj}$  reductions. However, we do not know if  $ID$  is  $VNP_{nc}$ -complete w.r.t.  $\leq_{abp}$  reductions.

Motivated by this question we consider a generalized version of  $ID$  which we call  $ID^*$  defined as follows:

For each positive integer  $n$ , let  $W_n$  denote the set of all degree  $n$  monomials of the form  $x_{1,i_1} \dots x_{n,i_n}$ , over the variable set  $\{x_{ij} \mid 1 \leq i, j \leq n\}$ .

$$ID_n^* = \sum_{w \in W_n} \underbrace{ww \dots w}_{n^2\text{-times}}$$

**Theorem 31.**  $PER \leq_{abp} ID_n^*$ .

*Proof.* Consider the permanent polynomial  $PER_n$  defined on the variable set  $V_n = \{x_{ij} \mid 1 \leq i, j \leq n\}$ . We design a polynomial in  $n$  sized deterministic automaton  $A$  with the following properties:

1. It takes inputs  $w_1 w_2 \dots w_{n^2}$  over alphabet  $V_n$ , where each  $w_i$  is of length  $n$ .

2. It checks that each  $w_i$  is a monomial of the form  $w = X_{1i_1} \dots X_{ni_n}$ . I.e. the first index of the variables is strictly increasing from 1 to  $n$ .
3. For the  $i^{\text{th}}$  block  $w_i$ , since  $1 \leq i \leq n^2$ , we can consider the index  $i$  as a pair  $(j, k), 1 \leq j, k \leq n$ . While reading the  $i^{\text{th}}$  block  $w_i = X_{1i_1} \dots X_{ni_n}$  the automaton checks that  $i_j \neq i_k$  if  $j \neq k$ .

The automaton A can be easily realized as a DAG with  $n^3$  layers. The first layer has the start state  $s$  and the last layer has one accepting state  $t$  and one rejecting state  $t'$ . Transitions are only between adjacent layers, from  $i$  to  $i + 1$  for each  $i$ . Layers are grouped into blocks of size  $n$ . Let the blocks be  $B_1, B_2, \dots, B_{n^2}$ . In block  $B_i$ , the transitions of the automaton will check if  $i_j \neq i_k$  assuming  $j \neq k$ , where  $i = (j, k)$ . The automaton can have the indices  $j$  and  $k$  hardwired in the states corresponding to block  $B_i$  and easily check this condition. If for any block  $B_i$ , the indices  $i_j = i_k$  then the automaton stores this information in its state and in the end makes a transition to the rejecting state  $t'$ .

Finally, the matrices of the automaton have to effect substitutions in order to convert monomials of  $P$  into monomials of PER. The matrices will replace  $x_{ij}$  by the same variable  $x_{ij}$  in the first block  $B_1$  and by 1 in all subsequent blocks. The polynomial  $ID_n^*$  when evaluated on these matrices will have the permanent polynomial  $\text{PER}_n$  in the  $(s, t)^{\text{th}}$  entry of the resulting matrix. This completes the proof of the theorem.  $\blacksquare$

Let  $\chi : S_n \rightarrow \mathbb{F} \setminus \{0\}$  be any polynomial-time computable function assigning nonzero values to each permutation in  $S_n$ . We define a generalized permanent

$$\text{PER}_n^\chi = \sum_{\sigma \in S_n} \chi(\sigma) x_{1\sigma(1)} x_{2\sigma(2)} \dots x_{n\sigma(n)}.$$

Clearly  $\text{PER}^\chi = (\text{PER}_n^\chi)$  is a p-family that is in  $\text{VNP}_{nc}$ . For which functions  $\chi$  is  $\text{PER}^\chi$   $\text{VNP}_{nc}$ -complete? In other words, does the hardness of the noncommutative permanent depend only on the nonzero monomial set (and the coefficients are not important)? We give a partial answer to this question. Define

$$\text{PER}^* = \sum_{\sigma \in S_n} \underbrace{\overline{X}_\sigma \overline{X}_\sigma \dots \overline{X}_\sigma}_{n\text{-times}}, \text{ where } \overline{X}_\sigma \text{ is the monomial } x_{1\sigma(1)} \dots x_{n\sigma(n)}.$$

**Proposition 32.**  $\text{PER}^*$  is  $\text{VNP}_{nc}$ -complete.

The above proposition is easy to prove:  $\text{PER}^*$  is in  $\text{VNP}_{nc}$  because coefficients of each monomial is polynomial-time computable from the monomial [HWY10b]. Furthermore,  $\text{PER}$  is  $\leq_{\text{iproj}}$ -reducible to  $\text{PER}^*$  by substituting 1 for all except the first  $n$  variables in every monomial.

Now, consider the polynomial

$$\text{PER}^{*,\chi} = \sum_{\sigma \in S_n} \chi(\sigma) \underbrace{\overline{X}_\sigma \overline{X}_\sigma \dots \overline{X}_\sigma}_{n\text{-times}}.$$

We prove the following theorem about  $\text{PER}^\chi$  and  $\text{PER}^{*,\chi}$  under assumptions about the function  $\chi$ .

**Theorem 33.** *Suppose the function  $\chi$  is such that  $|\chi(S_n)| \leq p(n)$  for some polynomial  $p(n)$  and each  $n$ . Then*

- *If  $\chi$  is computable by a 1-way logspace Turing machine then  $\text{PER} \leq_{abp} \text{PER}^\chi$ .*
- *If  $\chi$  is computable by a logspace Turing machine then  $\text{PER} \leq_{abp} \text{PER}^{*,\chi}$ .*

*Proof.* We explain the second part of the theorem. The first part follows from the proof of the second. The idea is to construct an automaton from the given logspace machine such that for a given  $\sigma \in S_n$ , the automaton computes  $\frac{1}{\chi(\sigma)}$  in the field  $\mathbb{F}$ .

Let  $T$  be a logspace Turing machine which uses space  $s = O(\log n)$ , computing  $\chi$ . Thus, total running time of  $T$  is bounded by  $P(n)$ , where  $P(n)$  is some fixed polynomial in  $n$ . Since the range of  $\chi$  is  $p(n)$  bounded in size, we can encode in a state of the automaton the following:

- Input head position,
- Content of working tape, and
- Content of output tape.

The number of states is bounded by a polynomial in  $n$ . We can convert this log-space machine  $T$  on input  $\sigma$  into a one-way log-space machine  $T'$  on a modified input as follows:

- The input to  $T'$  is the concatenation of  $P(n)$  copies of  $\sigma$ . Thus the input to  $T'$  is of the form  $\sigma\sigma \dots \sigma$ , with  $P(n)$  many  $\sigma$ .
- At a step  $i$ ,  $T'$  reads from the  $i^{\text{th}}$  copy.

The difference between machine  $T'$  and  $T$  is that  $T'$  is a *1-way* logspace machine whose input head moves always to the right. For  $\sigma \in S_n$ , we can convert  $T'$  into a deterministic automaton with  $\text{poly}(n)$  many states as follows: there are only polynomially many instantaneous descriptions of  $T'$ . This consists of the input head position, the work tape contents and head position, and the current output string (which is a prefix of some element in the range  $\chi(S_n)$ ). When this automaton completes reading the input, suppose the state  $q$  contains the output element  $\alpha = \chi(\sigma)$ . The automaton has a transition from  $q$  to the unique final state  $t$  labeled by scalar  $1/\chi(\sigma)$ .

Finally, we can modify this automaton to work on the monomials  $\overline{X}_\sigma \overline{X}_\sigma \dots \overline{X}_\sigma$ , where it replaces all but the first block of variables by 1.

When the polynomial  $\text{PER}^{*,\chi}$  is evaluated on the matrices corresponding to the above automaton (with the substitutions), the  $(s, t)^{\text{th}}$  entry of the output matrix will be the permanent polynomial  $\text{PER}_n$ . ■

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