

# Limits of Minimum Circuit Size Problem as Oracle

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### Abstract

The Minimum Circuit Size Problem (MCSP) is known to be hard for statistical zero knowledge via a BPP-reduction (Allender and Das, 2014), whereas establishing NP-hardness of MCSP via a polynomial-time many-one reduction is difficult (Murray and Williams, 2015) in the sense that it implies  $ZPP \neq EXP$ , which is a major open problem in computational complexity.

In this paper, we provide strong evidences that current techniques cannot establish NP-hardness of MCSP, even under polynomial-time Turing reductions or randomized reductions: Specifically, we introduce the notion of *black-box reduction* to MCSP, which captures all the currently known reductions. We say that a reduction to MCSP is black-box if the reduction can be generalized to a reduction to MCSP<sup>A</sup> for any oracle A, where MCSP<sup>A</sup> denotes an oracle version of MCSP. We prove that essentially no language is reducible to MCSP via a polynomial-time Turing reduction in a black-box way. We also show that the class of languages reducible to MCSP via a black-box randomized reduction that makes at most one query is contained in AM  $\cap$  coAM. Thus, NP-hardness of MCSP cannot be established via such black-box reductions unless the polynomial hierarchy collapses.

We also extend the previous results to the case of more general reductions: We prove that establishing NP-hardness of MCSP via a polynomial-time *nonadaptive* reduction implies  $ZPP \neq EXP$ , and that establishing NP-hardness of *approximating circuit complexity* via a polynomial-time *Turing* reduction also implies  $ZPP \neq EXP$ . Along the way, we prove that approximating Levin's Kolmogorov complexity is *provably* not EXP-hard under polynomial-time Turing reductions, which is of independent interest.

### 1 Introduction

The Minimum Circuit Size Problem (MCSP) asks, given a truth-table  $T \in \{0,1\}^{2^n}$  and a sizeparameter s, whether there exists a circuit on n variables of size at most s whose truth-table is T. It is easy to see that MCSP is in NP. However, although MCSP is widely believed to be intractable, it is not known to be NP-hard.

In the seminal paper by Kabanets and Cai [14], on one hand, they exhibited evidences that MCSP is intractable; namely, they proved that factoring Blum integers can be solved faster than any known algorithms, assuming that  $MCSP \in P$ . On the other hand, they also proved that establishing NP-hardness of MCSP is difficult: if MCSP is NP-hard under a certain type of restricted polynomial-time reductions, then some circuit lower bounds hold (and, in particular,  $EXP \not\subseteq P/poly$ ); thus, establishing NP-hardness of MCSP (under the restricted reductions) is at least as difficult as proving

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 $\mathsf{EXP} \not\subseteq \mathsf{P}/\mathsf{poly}$ . To summarize, MCSP is "harder" than factoring Blum integer, whereas establishing NP-hardness is difficult.

These two sides have been significantly pushed forward. On the positive side on hardness of MCSP, Allender, Buhrman, Koucký, van Melkebeek and Ronneburger [1] proved cryptographic problems, such as the discrete logarithm problem and integer factoring, can be solved in BPP<sup>MCSP</sup>. Allender and Das [2] strengthened these results by showing that every problem in statistical zero knowledge is in Promise-BPP<sup>MCSP</sup>.

The negative side on hardness of MCSP was considerably strengthened by Murray and Williams [17]. They showed that, if MCSP is NP-hard under polynomial-time many-one reductions, then  $\mathsf{EXP} \neq \mathsf{NP} \cap \mathsf{P}/\mathsf{poly}$  (and, in particular,  $\mathsf{EXP} \neq \mathsf{ZPP}$ ), which is one of central open problems in computational complexity. Thus, it is difficult to establish NP-hardness of MCSP under (general) polynomial-time many-one reductions. Moreover, they showed that, under local reductions (*i.e.*, that cannot look at a whole input), MCSP is *provably* not hard even for PARITY. Allender, Holden, and Kabanets [4] showed similar results for an oracle version of MCSP (denoted by MCSP<sup>A</sup> for an oracle A).

Thus, the current status of our understanding of MCSP is as follows: under the restricted reductions (*i.e.*, local reductions), MCSP is not "hard" at all; under polynomial-time many-one reductions, it is difficult to establish NP-hardness of MCSP; nevertheless, BPP-Turing reductions to MCSP are powerful enough to solve every problem in statistical zero knowledge.

Therefore, it is very interesting to investigate whether one can push the positive side further, or else the negative side can be pushed: More specifically, can we prove NP-hardness of MCSP under more general reductions, such as polynomial-time Turing reductions or BPP-Turing reductions? Can we extend the results of Murray and Williams [17] (as well as [4]) into more general reductions?

#### 1.1 Black-box Reductions

In this paper, we mainly push the negative side further. We give upper bounds on classes of languages reducible to MCSP in a *black-box* manner: We say that a reduction to MCSP is blackbox if the reduction can be generalized to  $MCSP^A$  for an arbitrary oracle A. In other words, the reduction exploits only properties common to  $MCSP^A$  for any oracle A (instead of unrelativizing properties of MCSP).

In fact, all the known efficient reductions to MCSP are black-box in our sense. The main ingredient used by almost all the reductions [1, 2] is the construction from a one-way function to a pseudorandom generator by Håstad, Impagliazzo, Levin, and Luby [12]: Specifically, since the output of a pseudorandom generator is efficiently computable, the output regarded as a truth-table has significantly low circuit complexity, compared to that of a truth-table chosen from a uniform distribution. Thus, MCSP constitutes a statistical test that distinguishes a pseudorandom distribution from a uniform distribution, which enables us to break a one-way function on average, thanks to [12]. This argument exploits only the fact that MCSP constitutes a statistical test, and hence such reductions are black-box.

Recently, new types of reductions to MCSP that do not rely on breaking a one-way function have been developed by Allender, Grochow, and Moore [3]. Based on new ideas, they showed that a certain graph isomorphism problem is reducible to MCSP via a randomized reduction with zero-sided error. We will see that their reductions are also black-box.

A high-level reason why these reductions are black-box is as follows: We are prone to rely on the fact that a randomly chosen truth-table requires high circuit complexity, because it is in general difficult to obtain a circuit lower bound on an explicit function. The fact that many truth-tables require high circuit complexity remains unchanged for any oracle version  $MCSP^A$ , and hence a reduction that only exploits this fact is inevitably black-box.

We provide strong evidences that NP-hardness of MCSP cannot be shown via such black-box reductions. For deterministic reductions, we prove that essentially nothing is reducible to MCSP in a black-box way.

**Theorem 1.** No language outside P can reduce to MCSP even under polynomial-time Turing reductions in a black-box way: that is,

$$\bigcap_{A} \mathsf{P}^{\mathsf{MCSP}^{A}} = \mathsf{P}.$$

This result explains why (nontrivial) deterministic reductions to MCSP are not known at all.

In the case of randomized reductions, we prove:

**Theorem 2.** If a language L is reducible to MCSP via a black-box randomized reduction with negligible error that makes at most one query, then  $L \in AM \cap coAM$ : that is,

$$\bigcap_{A} \mathsf{BPP}^{\mathsf{MCSP}^{A}[1]} \subseteq \mathsf{AM} \cap \mathsf{coAM}.$$

In particular,  $\bigcap_A \mathsf{BPP}^{\mathsf{MCSP}^A[1]}$  does not contain NP unless NP  $\subseteq$  coAM (and in particular the polynomial hierarchy collapses [7]).

### **1.2** Reductions to MCSP Imply Separations of Complexity Classes

We also extend the results of Murray and Williams [17] into the case of polynomial-time nonadaptive reductions and polynomial-time Turing reductions. In the former case, we prove that the same (in fact, slightly stronger) consequence can be obtained:

**Theorem 3.** It holds that  $P_{||}^{MCSP} \cap P/poly \neq EXP$  (unconditionally). As a consequence, if MCSP is NP-hard via a polynomial-time nonadaptive reduction, then  $P_{||}^{NP} \cap P/poly \neq EXP$ .

Our proof is based on the firm links between circuit complexity and resource-bounded Kolmogorov complexity, which were established by a line of work [1, 5]. In fact, the proof is so simple that we can include a proof sketch here: Allender, Koucký, Ronneburger and Roy [5] showed that Levin's Kolmogorov complexity [16] (denoted by Kt) is polynomially related to circuit complexity if and only if  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ ; thus, assuming that  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ , circuit complexity is essentially equal to Kt-complexity. Moreover, it is well-known that  $\mathsf{EXP} \neq \mathsf{P}_{||}^{\mathrm{Kt}}$  (since a polynomial-time algorithm cannot output any strings of high Kt-complexity). Thus, assuming that  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ , we also have  $\mathsf{EXP} \neq \mathsf{P}_{||}^{\mathsf{MCSP}}$ . This implies that  $\mathsf{EXP} \neq \mathsf{P}_{||}^{\mathsf{MCSP}} \cap \mathsf{P}/\mathsf{poly}$  (as otherwise we may assume  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ ). Therefore, at the core of the proof of the unconditional separation in Theorem 3 is  $\mathsf{EXP} \neq \mathsf{P}_{||}^{\mathsf{Kt}}$ .

Now we would like to extend the argument above into the case of polynomial-time Turing reductions. Unfortunately, we could not prove  $\mathsf{EXP} \neq \mathsf{P}^{\mathrm{Kt}}$  (and this is an open problem since [1]). Nevertheless, we prove that a promise problem of approximating Kt within additive error  $\omega(\log n)$  is not  $\mathsf{EXP}$ -hard under polynomial-time Turing reductions, which is of independent interest:

**Theorem 4.** For any nondecreasing function  $g(n) = \omega(\log n)$ , let  $\operatorname{Gap}_g \operatorname{Kt}$  denote a promise problem that asks for approximating  $\operatorname{Kt}(x)$  within additive error g(|x|) on input x. Then,  $\mathsf{EXP} \neq \mathsf{P}^{\operatorname{Gap}_g \operatorname{Kt}}$ .

We note that, for a fixed exponential time  $t(n) \ge 2^{n^2}$ , Buhrman and Mayordomo [8] proved that  $K^t$  is not EXP-hard under polynomial-time Turing reductions. Here,  $K^t$  denotes resource-bounded Kolmogorov complexity such that a universal Turing machine that outputs x is required to run in time t(|x|).

Now we can translate the property of Kt-complexity into that of MCSP, under the assumption that  $EXP \subseteq P/poly$ . As a consequence, we obtain:

**Theorem 5.** Let  $\operatorname{Gap}^k \operatorname{MCSP}$  be a promise problem that asks for approximating the logarithm of circuit complexity within a factor of k. Then, there exists a constant  $k \ge 1$  such that  $\mathsf{EXP} \ne \mathsf{P}^{\operatorname{Gap}^k \operatorname{MCSP}} \cap \mathsf{P}/\mathsf{poly}$ . In particular, if a language L is reducible to  $\operatorname{Gap}^k \operatorname{MCSP}$  via a polynomial-time Turing reduction for all  $k \ge 1$ , then  $\mathsf{P}^L \cap \mathsf{P}/\mathsf{poly} \ne \mathsf{EXP}$ .

In particular, establishing NP-hardness of  $\operatorname{Gap}^k \operatorname{MCSP}$  via a polynomial-time Turing reduction requires separating  $\mathsf{P}^{\mathsf{NP}} \cap \mathsf{P}/\mathsf{poly}$  from EXP.

Interestingly, as observed in [5], the BPP-reductions of [1, 2] are extremely robust in terms of approximation. Specifically:

**Theorem 6** (Analogous to [5, Theorem 19]). For all  $k \ge 1$ , every language in statistical zero knowledge is reducible to Gap<sup>k</sup>MCSP via a BPP-reduction.

These two results exhibit a striking contrast between BPP-reductions and polynomial-time Turing reductions: BPP-reductions enables us to base hardness of approximating circuit complexity on hardness of statistical zero knowledge, whereas derandomizing the BPP-reduction requires a separation of complexity classes.

#### 1.3 Related Work

Ko [15] showed the existence of a relativized world where MCSP is an NP-intermediate problem: MCSP is neither in coNP nor is NP-complete under polynomial-time Turing reductions. Specifically, he constructed an oracle A such that  $MCSP^A \notin coNP^A$  and  $NP^A \not\subseteq P^{MCSP^A,A}$ .

Here, we clarify the difference between this relativization result and a black-box reduction: In the relativization, the Turing reduction is allowed to have oracle access to A itself (in addition to oracle access to  $\mathsf{MCSP}^A$ ), and the class NP also has oracle access to A. In contrast, we only allow a black-box reduction to have oracle access to  $\mathsf{MCSP}^A$ , but not grant oracle access to A itself. Thus, these two computational models are different, and the techniques that we use is also different.

#### Organization

The rest of the paper is organized as follows. In Section 2, we introduce some notation and the definition of circuit complexity. In Section 3, we observe that the known reductions to MCSP are black-box. We prove Theorems 1 and 2 in Sections 4 and 5, respectively. In Section 6, we extend the results of Murray and Williams [17] into the case of more general reductions.

## 2 Preliminaries

Since we need to specify an exact definition of circuit complexity in order to discuss some subtle details, we specify how to encode two strings into one string:

**Definition.** For two strings  $x, y \in \{0, 1\}^*$ , define the pairing function as  $\langle x, y \rangle := 1^{|x|} 0xy$ .

We often write (x, y) instead of  $(\langle x, y \rangle)$ . We also abbreviate  $\langle x, \langle y, z \rangle \rangle$  as  $\langle x, y, z \rangle$ . Note that  $|\langle x, y \rangle| = 2|x| + |y| + 1$ .

An oracle A is a subset of strings (*i.e.*,  $A \subseteq \{0,1\}^*$ ). We identify a subset A of strings with its characteristic function  $A: \{0,1\}^* \to \{0,1\}$ . When we use diagonalization arguments, it is convenient to have the notion of *finite oracle*:

- **Definition.** 1. We say that  $A_0$  is a finite oracle if  $A_0: \{0,1\}^* \to \{0,1,\bot\}$  and  $A_0(x) = \bot$  for all but finitely many strings  $x \in \{0,1\}^*$ , where  $\bot$  means "undefined."
  - 2. For an oracle  $A \subseteq \{0,1\}^*$  and a finite oracle  $A_0$ , we say that A is consistent with  $A_0$  if  $A(x) = A_0(x)$  for any  $x \in \{0,1\}^*$  such that  $A_0(x) \neq \bot$ .
  - 3. Similarly, for  $l \in \mathbb{N}$ , we say that A and  $A_0$  are consistent up to length l if it holds that A(x) = 1 if and only if  $A_0(x) = 1$  for all strings  $x \in \{0, 1\}^*$  of length at most l.

For a nonnegative integer  $n \in \mathbb{N}$ , we write  $[n] := \{1, \dots, n\}$ . For a string  $x \in \{0, 1\}^n$  and  $i \in [n]$ , we denote by  $x_i$  the *i*th bit of x. We also denote by  $\underline{i}_n$  an integer i padded to length n. More specifically:

**Definition.** For  $n \in \mathbb{N}$  and  $i \in [2^n]$ , let  $\underline{i}_n$  denote the *i*th string of  $\{0,1\}^n$  in the lexicographic order.

For a set R, we write  $r \in_R R$  to indicate that r is a random sample from the uniform distribution on R. For a distribution  $\mathcal{D}$ , we write  $r \sim \mathcal{D}$  to indicate that r is a random sample from  $\mathcal{D}$ .

### 2.1 Definition of Circuit Size

Throughout this paper, we regard the description length of a circuit as its size. Thus, it is convenient to define the size of a circuit in terms of Kolmogorov complexity.

**Definition.** Let U be a Turing machine. The Kolmogorov complexity  $K_U(x)$  of a string  $x \in \{0, 1\}^*$  with respect to U is defined as  $K_U(x) := \min\{ |d| | U(d) = x \}.$ 

While we follow this standard definition, we use Kolmogorov complexity in a somewhat nonstandard way for discussing circuit complexity. We assume that a string x for which we consider its Kolmogorov complexity is a truth-table of a Boolean function. Thus, |x| is  $2^n$  for some  $n \in \mathbb{N}$ . We use an circuit interpreter for U instead of a universal Turing machine. In particular, from some technical reason, we will use throughout this paper, a specific interpreter I that is defined below.

We first fix our standard (oracle) circuit interpreter. We assume any standard way to encode circuits by binary strings. Note that a circuit may be an oracle circuit that can use oracle gate outputting A(z) for a given input z to the gate when a circuit is used with oracle A. Let  $I_0$  denote a circuit interpreter for this encoding: that is, for any oracle A and a given description d of an oracle circuit C, the interpreter  $I_0^A(d)$  yields the truth-table of  $C^A$ . (Thus,  $|I_0^A(d)| = 2^n$  for some n and  $I_0^A(d) = C^A(\underline{1}_n) \cdots C^A(\underline{2}_n^n)$ .)

We will use the following facts that the standard circuit interpreter  $I_0^A$  should have:

- 1.  $I_0^A(d)$  is computable in time a polynomial in |d| and  $|I_0^A(d)|$ , given oracle access to A.
- 2. For all but finitely many truth-tables  $T \in \{0, 1\}^*$  (where |T| is a power of 2), there exists a circuit description of size less than  $|T|^2$ : that is,  $K_{I_0}(T) < |T|^2$ .
- 3. Any oracle circuit C whose description length is at most m cannot query to an oracle any string of length greater than m. Thus, the output of  $C^A$  only depends on the memberships in A of strings of length at most m.

We modify the standard circuit interpreter  $I_0$  so that we can describe some type of circuits succinctly. For any  $n \in \mathbb{N}$  and  $d \in \{0,1\}^*$ , let  $C_{n,d}^A(x)$  be an oracle circuit that computes A(x,d) $(i.e., A(\langle x, d \rangle))$  for a given input  $x \in \{0,1\}^n$ , by using a single oracle gate with input  $\langle x, d \rangle$ .

**Definition.** Define an interpreter  $I^A$  as follows:

$$I^{A}(0d) := I_{0}^{A}(d),$$
  

$$I^{A}(1^{n}, d) := I_{0}^{A}(C_{n,d}^{A}) = A(\underline{1}_{n}, d)A(\underline{2}_{n}, d) \cdots A(\underline{2}_{n}^{n}, d),$$

- for any  $n \ge 1$  and  $d \in \{0,1\}^*$ . For the other strings d (e.g., d = 1101), leave  $I^A(d)$  undefined. For  $A = \emptyset$ , we write I instead of  $I^{\emptyset}$ .
- **Remark.** 1. Recall that  $\langle 1^n, d \rangle = 1^n 01^n d$ ; hence  $I^A$  is well-defined. Also, the definition of  $I^A$  ensures that the description length of a circuit  $C_{n,d}^A$  is at most  $|\langle 1^n, d \rangle| = 2n + |d| + 1$ , which is exactly equal to the length of a query  $\langle \underline{i}_n, d \rangle$  to oracle A.
  - 2. The definition of our interpreter may appear to be slightly strange, but for  $A = \emptyset$ , we have  $K_I(x) = K_{I_0}(x) + 1$  for any  $x \in \{0,1\}^* \setminus \{0\}^*$ . Moreover, all of the previous work that we are aware of holds under our encoding scheme.

We define the minimum oracle circuit size problem  $MCSP^A$  by using  $I^A$  as a circuit interpreter:

**Definition.** The minimum oracle circuit size problem  $MCSP^A$  relative to an oracle  $A \subseteq \{0,1\}^*$  takes a truth-table  $T \in \{0,1\}^*$  and a size-parameter  $s \in \mathbb{N}$ , and decides if  $K_{I^A}(T) \leq s$ .

# 3 Why Are the Known Reductions Black-box?

In this section, we argue that the known reductions to MCSP are black-box. We observe that the existing reductions only exploit (as a circuit lower bound) the fact that many truth-tables require high (unrelativized) circuit complexity. Indeed, in the case of the reductions [1, 2] that rely on breaking a one-way function, the following holds:

**Theorem 7** (Allender and Das [2]; see also [5, 1]). Let  $\epsilon \in (0,1)$  be a constant and let B be an oracle of polynomial density such that  $K_I(x) \ge |x|^{\epsilon}$  for any  $x \in B$ . Then, every language in statistical zero knowledge is reducible to B via a BPP-reduction.

Here, we say that an oracle B is of polynomial density if there exists a polynomial p such that  $\Pr_{x \in R^{\{0,1\}^n}}[x \in B] \ge 1/p(n)$  for any  $n \in \mathbb{N}$ .

It is easy to see that such an oracle B can be computed, given oracle access to MCSP: indeed, define  $B := \{x \in \{0,1\}^* | K_I(x) \ge |x|^{1/2}\}$ ; it is obvious that  $B \in \mathsf{P}^{\mathsf{MCSP}}$ ; moreover, since there are

at most  $2^{\sqrt{n}+1}$  strings that have circuit complexity at most  $\sqrt{n}$  for any  $n \in \mathbb{N}$ , almost all strings of length n are in B. Therefore, every language in statistical zero knowledge is reducible to MCSP via a BPP-reduction.

This argument is still valid in the case of an oracle version  $\mathsf{MCSP}^A$ : indeed, we may define an oracle  $B_A$  as  $\{x \in \{0,1\}^* | K_{IA}(x) \ge |x|^{1/2}\}$  ( $\in \mathsf{P}^{\mathsf{MCSP}^A}$ ); since  $K_I(x) \ge K_{IA}(x)$  for any  $x \in \{0,1\}^*$ , the hypothesis of the theorem remains satisfied.

Next, we show that a black-box one-query reduction to MCSP allows us to convert a randomized algorithm with two-sided error into a randomized algorithm with zero-sided error. Moreover, the error probability is negligible.

**Theorem 8** (Kabanets and Cai [14]).  $\mathsf{BPP} \subseteq \bigcap_A \mathsf{ZPP}^{\mathsf{MCSP}^A[1]}$ .

Proof Sketch. Pick a truth-table T uniformly at random. By making a query to  $\mathsf{MCSP}^A$ , check if  $\mathsf{K}_{I^A}(T) = n^{\Omega(1)}$ . (Note that this also implies that  $\mathsf{K}_I(T) = n^{\Omega(1)}$ .) Now, if we successfully found a truth-table T that requires high circuit complexity, then we can use the pseudorandom generator by Impagliazzo and Wigderson [13] to derandomize a BPP computation. See [14] for the details.

Finally, we observe that the new reductions by Allender, Grochow, and Moore [3] are black-box. In fact, their reductions are not known to work under a usual definition of circuit size; instead, they presented reductions to a minimum circuit size problem, where "circuit size" here refers to KT-complexity. Let us recall KT-complexity briefly:

**Definition** (KT-complexity [1]). Fix a universal (oracle) Turing machine U. For an oracle A, the  $KT^{A}$ -complexity of a string x is defined as

$$\mathrm{KT}^{A}(x) := \min\{ |d| + t \mid U^{A,d}(i) = x_i \text{ in } t \text{ steps for all } i \in [|x| + 1] \}$$

Here,  $x_{|x|+1}$  is defined as  $\perp$  (a stop symbol).

It is known that  $\mathrm{KT}^A$ -complexity is polynomially related to circuit complexity relative to A; hence, we may regard  $\mathrm{KT}^A$  as a version of circuit complexity. In order to capture KT-complexity by our notation, we define a circuit interpreter  $I_0^A$  as follows: On input  $1^t 0d$ , run the universal Turing machine  $U^{A,d}(i)$  for each  $i \geq 1$  one by one in time at most t. Let n be the minimum i such that  $U^{A,d}(i)$  outputs  $\perp$ . Output the concatenation of  $U^{A,d}(1), \dots, U^{A,d}(n-1)$ . This definition ensures that  $\mathrm{K}_{I_0^A}(x) = \mathrm{KT}^A(x) + 1$ , and that  $\mathrm{K}_{I^A}(x) \leq \mathrm{K}_{I_0^A}(x) + 1 = \mathrm{KT}^A(x) + 2$ .

For this particular interpreter  $I^A$ , we prove:

**Theorem 9** (Allender, Grochow, and Moore [3]). For any oracle A, the rigid graph isomorphism problem is reducible to  $MCSP^A$  via a one-query BPP-reduction.

*Proof Sketch.* We only observe why their reduction still works for  $MCSP^A$ , where A denotes an arbitrary oracle A. See [3] for the details.

Given two graphs  $(G_0, G_1)$ , they constructed a string x' whose length is a power of 2 and a threshold  $\theta$  that satisfy the following: If the graphs are isomorphic, then  $\operatorname{KT}(x') \ll \theta$  with probability 1. If the graphs are rigid and not isomorphic, then x' contains information about a uniformly chosen random string of length at least  $\theta$ , and hence  $\operatorname{KT}(x') \geq \operatorname{K}_U(x') \gg \theta$  with high probability. (Here,  $\operatorname{K}_U(x')$  denotes the *time-unbounded* Kolmogorov complexity.) Now consider an arbitrary oracle A. We claim that the rigid graph isomorphism problem reduces to checking if  $(x', \theta) \in \mathsf{MCSP}^A$ . Suppose that the graphs are isomorphic; in this case, we have  $K_{IA}(x') \leq \mathrm{KT}^A(x') + 2 \leq \mathrm{KT}(x') + 2 \ll \theta$ . On the other hand, suppose that the graphs are rigid and not isomorphic. Since x' contains information about a uniformly chosen random string, an information-theoretic argument shows that  $K_{UA}(x') \gg \theta$  with high probability (even relative to A). By the universality of U, we have  $K_{UA}(x') \leq K_{IA}(x') + O(1)$ . Therefore,  $K_{IA}(x') \geq K_{UA}(x') - O(1) \gg \theta$ .

To summarize, on one hand, relativization does not increase circuit complexity ( $K_{IA}(x') \leq K_I(x')$ ); on the other hand, we are prone to rely on the fact that a uniformly chosen random string requires high circuit complexity, which remains true for any MCSP<sup>A</sup>.

### 4 Limits of Black-box Turing Reductions to MCSP

We show upper bounds for classes of languages that reduce to MCSP in a *black-box* manner (*i.e.*, in a way that one does not use a property of MCSP rather than that of a relativized version  $MCSP^A$ ). For example, we consider a situation where a language L is reducible to  $MCSP^A$  for any A via a polynomial-time Turing reduction; more precisely, for every A, there exists a polynomial-time Turing reduction from L to  $MCSP^A$ , *i.e.*,  $L \in \bigcap_A P^{MCSP^A}$ . That is, only properties common to  $MCSP^A$  for any oracle A are used to show that L is in  $P^{MCSP^A}$ . We would like to show that L is relatively easy in such situations.

In fact, we can indeed show that any language L in  $\bigcap_A \mathsf{P}^{\mathsf{MCSP}^A}$  is in  $\mathsf{P}$ .

**Theorem 10.** Let  $L \subseteq \{0,1\}^*$  be a language such that for any oracle A, there exists a polynomialtime Turing reduction from L to  $\mathsf{MCSP}^A$ . Then L is in  $\mathsf{P}$ . In short,  $\bigcap_A \mathsf{P}^{\mathsf{MCSP}^A} = \mathsf{P}$ .

At the core of a proof of Theorem 10 is the following lemma:

**Lemma 11.** Let  $L \subseteq \{0,1\}^*$  be a language and  $A_0$  be an arbitrary finite oracle. Suppose that there exists a polynomial-time oracle Turing machine M such that  $M^{\mathsf{MCSP}^A}(x) = L(x)$  for any  $x \in \{0,1\}^*$  and any oracle A consistent with  $A_0$ . Then,  $L \in \mathsf{P}$ .

The main difference between this lemma and the theorem is that the order of the quantifiers is swapped. In the theorem, a polynomial-time oracle machine that witnesses  $L \in \mathsf{P}^{\mathsf{MCSP}^A}$  is allowed to depend on A, whereas, in the lemma, a specific machine M is required to compute L with respect to every oracle A.

Before proving the lemma, we show that it implies the theorem by a simple diagonalization.

Proof of Theorem 10. We prove the contraposition: Assuming  $L \notin \mathsf{P}$ , the aim is to construct an oracle A such that  $L \notin \mathsf{P}^A$ . Such an oracle  $A = \bigcup_e B_e$  is constructed in stages. Let all the polynomial-time oracle Turing machine be  $\{M_1, M_2, \cdots\}$ .

At stage e, we construct a finite oracle  $B_e$ . At stage 0, set  $B_0(y) := \bot$  for all  $y \in \{0,1\}^*$ . At stage  $e \ge 1$ , we apply Lemma 11 for  $M = M_e$  and  $A_0 = B_{e-1}$ : by the assumption that  $L \notin \mathsf{P}$ , there exist some string  $x_e$  and some oracle  $B_e$  consistent with  $B_{e-1}$  such that  $M_e^{\mathsf{MCSP}^{B_e}}(x_e) \neq L(x_e)$ . We may assume that  $B_e$  is a finite oracle: since the computation of  $M_e^{\mathsf{MCSP}^{B_e}}$  on input  $x_e$  makes a finite number of queries to  $\mathsf{MCSP}^{B_e}$ , and the answers of the queries also depend on a finite portion of  $B_e$ . Define an oracle A as the union of all the oracles  $B_e$  whose  $\bot$  is replaced by 0. Since A is consistent with  $B_e$ , it holds that  $M_e^{\mathsf{MCSP}^{B_e}}(x_e) = M_e^{\mathsf{MCSP}^A}(x_e)$  for each  $e \ge 1$ . By the definition of  $x_e$ , we have  $M_e^{\mathsf{MCSP}^{B_e}}(x_e) \neq L(x_e)$ . Therefore,  $M_e^{\mathsf{MCSP}^A}(x_e) \neq L(x_e)$  holds for any e, and hence  $L \notin \mathsf{P}^{\mathsf{MCSP}^A}$ .

Now we give a proof of Lemma 11. The idea is to encode into A a string that is asked by a polynomial-time oracle machine; thereby we force any string that is queried by the machine to have circuit complexity at most  $O(\log n)$ , relative to the oracle A. Assuming that the circuit complexity of a string is at most  $O(\log n)$ , one can compute the circuit complexity by an exhaustive search. Note that the circuit complexity here refers to the description length of a circuit, and hence there are at most  $n^{O(1)}$  circuits of circuit complexity at most  $O(\log n)$ .

Let us turn to a formal proof. Let M be a polynomial-time oracle machine that computes L relative to  $\mathsf{MCSP}^A$  in time  $n^c$  for some c, where A denotes an arbitrary oracle consistent with  $A_0$ . We define a polynomial-time machine  $M_0$  that simulates M without using  $\mathsf{MCSP}^A$  as follows: On input  $x \in \{0,1\}^*$  of length n, simulate M on input x, and accept if and only if M accepts. If M makes a query (T, s), then we try to compute the circuit complexity  $\mathsf{K}_{I^{A_0}}(T)$  of the truth-table T relative to a finite oracle  $A_0$ , by an exhaustive search up to size at most  $4c \log n$ . (More specifically, we compute the shortest description d of length at most  $4c \log n$  such that  $I^{A_0}(d) = T$ , where we regard  $A_0 \subseteq \{0,1\}^*$  as an oracle by replacing  $\perp$  by 0 in finite oracle  $A_0$ .) If the circuit complexity  $\mathsf{K}_{I^{A_0}}(T)$  has turned out to be greater than  $4c \log n$ , then define  $s' := 4c \log n$ ; otherwise define  $s' := \mathsf{K}_{I^{A_0}}(T)$  ( $\leq 4c \log n$ ). (*i.e.*,  $s' := \min\{4c \log n, \mathsf{K}_{I^{A_0}}(T)\}$ .) Answer "Yes" to the query if and only if  $s' \leq s$ .

It is easy to see that  $M_0$  is indeed a polynomial-time machine, since there are only  $2^{O(\log n)}$  circuits of size at most  $O(\log n)$ . Thus, it is sufficient to prove the following:

**Claim 12.** For all sufficiently large n and all inputs x of length n, there exists an oracle A consistent with  $A_0$  such that  $M_0(x) = M^{\mathsf{MCSP}^A}(x)$ .

Since  $M^{\mathsf{MCSP}^A}(x) = L(x)$  by the hypothesis, this claim implies that  $L \in \mathsf{P}$ .

Proof of Claim 12. Fix n sufficiently large and an input  $x \in \{0,1\}^n$ . For  $i \in [n^c]$ , let  $T_i$  be the truth-table in the *i*th query that M makes on the computation path simulated by  $M_0$  on input x.

We define oracle A as follows: For any string  $q \in \{0,1\}^*$  of length less than  $4c \log n$ , define A(q) = 1 if and only if  $A_0(q) = 1$ . For strings of length  $4c \log n$ , we encode  $T_i$  into oracle A so that the circuit complexity of  $T_i$  relative to A is at most  $4c \log n$ : Specifically, we would like to define a description  $d_i$  of length  $4c \log n$  so that  $I^A(d_i) = T_i$ . To this end, let  $a_i := \log |T_i|$  and define  $d_i := \langle 1^{a_i}, \underline{i}_{k_i} \rangle$ , where  $k_i \in \mathbb{N}$  is defined so that  $|d_i| = 2a_i + 1 + k_i = 4c \log n$ . Here,  $\underline{i}_{k_i}$  is well-defined: indeed, we have  $a_i = \log |T_i| \leq c \log n$ , which implies that  $k_i := 4c \log n - 2a_i - 1 \geq c \log n$ , and thus  $i \leq 2^{c \log n} \leq 2^{k_i}$ . Now define  $A(\underline{j}_{a_i}, \underline{i}_{k_i}) := T_{ij}$  for each  $j \in [2^{a_i}]$ . By the definition of  $I^A$ , the truth-table  $T_i$  can be described succinctly:  $I^A(d_i) = A(\underline{1}_{a_i}, \underline{i}_{k_i}) \cdots A(\underline{2^{a_i}}_{a_i}, \underline{i}_{k_i}) = T_i$ ; thus, the circuit complexity  $K_{I^A}(T_i)$  of  $T_i$  is at most  $|d_i| = 4c \log n$ .

It remains to show that, for each query  $(T_i, s)$  that M makes on the computation path simulated by  $M_0$ , circuit complexity s' (= min{  $4c \log n, K_{I^{A_0}}(T)$ }) calculated by  $M_0$  coincides with  $K_{I^A}(T_i)$ ; note that this implies that  $M_0(x) = M^{\mathsf{MCSP}^A}(x)$ , because the computation path simulated by  $M_0$ coincides with that of M relative to  $\mathsf{MCSP}^A$ . Suppose that  $K_{I^{A_0}}(T_i) < 4c \log n$  (*i.e.*,  $s' = K_{I^{A_0}}(T_i)$ ). In this case, there exists some description d of length less than  $4c \log n$  such that  $I^{A_0}(d) = T_i$ . Since the circuit described by d cannot make any query of length greater than |d|, it holds that  $I^{A_0}(d) = I^A(d)$  (note that A and  $A_0$  are consistent up to length  $4c \log n - 1$ ). Thus  $K_{I^A}(T_i) \leq K_{I^{A_0}}(T_i) < 4c \log n$ . Similarly, we have  $K_{I^{A_0}}(T_i) \leq K_{I^A}(T_i)$ , and hence  $K_{I^A}(T_i) = K_{I^{A_0}}(T_i) = s'$ . Now suppose that  $K_{I^{A_0}}(T_i) \geq 4c \log n$ . In this case, we have  $s' = 4c \log n$  and  $K_{I^A}(T_i) \leq 4c \log n$ . Assume, by way of contradiction, that  $K_{I^A}(T_i) < 4c \log n$ . By the same argument above, it must be the case that  $K_{I^A}(T_i) \geq K_{I^{A_0}}(T_i) \geq 4c \log n$ , which is a contradiction.

This completes the proof of Lemma 11.

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### 5 Limits of Black-box Randomized Reductions to MCSP

In this section, we discuss the limits of a randomized reduction to MCSP that can be generalized to a reduction to  $MCSP^A$  for an arbitrary oracle A. Our focus is a randomized reduction with negligible two-sided error that can make at most one query:

**Definition.** Let  $L, B \subseteq \{0, 1\}^*$  be a language and an oracle, respectively. We say that L reduces to B via a one-query BPP-reduction and write  $L \in BPP^{B[1]}$  if there exist polynomial-time machines M, Q and a negligible function  $\epsilon$  such that, for any  $x \in \{0, 1\}^*$ ,

$$\Pr_{\mathbf{r} \in \{0,1\}^{|x|^{O(1)}}}[M(x,r,B(Q(x,r))) = L(x)] \ge 1 - \epsilon(|x|).$$

Here, we say that a function  $\epsilon$  is negligible if for all polynomial p, for all sufficiently large  $n \in \mathbb{N}$ , the function is bounded by the inverse of p: that is,  $\epsilon(n) < \frac{1}{p(n)}$ .

Note that we require the error probability to be negligible. Since the number of queries is restricted to one, we cannot apply the standard error-reduction argument; hence, this definition may be stronger than a definition whose error probability is a constant. We leave as an open problem improving our result to the case when the error probability is a constant.

We prove that there is no language outside  $AM \cap coAM$  that can reduce to  $MCSP^A$  for an arbitrary oracle A via a one-query randomized reduction:

**Theorem 13.** Let  $L \subseteq \{0,1\}^*$  be a language such that for any oracle A, there exists a one-query BPP-reduction from L to  $MCSP^A$ . Then L is in  $AM \cap coAM$ . In short,

$$\bigcap_{A} \mathsf{BPP}^{\mathsf{MCSP}^{A}[1]} \subseteq \mathsf{AM} \cap \mathsf{coAM}.$$

As with Theorem 10, we first swap the order of quantifiers. However, in order to swap the order of quantifiers, we need to enumerate all the negligible functions, which is not countably many; thus, we sidestep this by requiring that the error probability is an inverse polynomial 1/q in the running time of machines M and Q. Also, since a one-query BPP-reduction is closed under complement, we only have to show that the target language is in AM.

**Lemma 14.** There exists some universal polynomial q (specified later) that satisfies the following: Let  $L, A_0$  be a language and a finite oracle, respectively. Suppose that there exist a polynomial pand Turing machines M, Q such that M and Q run in time p(n) and

$$\Pr_{\mathbf{r} \in \{0,1\}^{p(n)}}[M(x, r, \mathsf{MCSP}^A(Q(x, r))) = L(x)] \ge 1 - \frac{1}{q(p(n))}$$

for any  $x \in \{0,1\}^*$  of length n and any oracle  $A \subseteq \{0,1\}^*$  consistent with  $A_0$ . Then, we have  $L \in AM$ .

We prove that Lemma 14 implies Theorem 13:

Proof of Theorem 13. We prove the contraposition: Assuming  $L \notin AM$ , we will construct an oracle A such that  $L \notin BPP^{MCSP^{A}[1]}$  by diagonalization.

Enumerate all the tuples  $\{(M_e, Q_e, c_e)\}_{e \geq 1}$ , where  $M_e$  and  $Q_e$  are polynomial-time machines and  $c_e \in \mathbb{N}$ . We assume that, for each tuple  $(M_e, Q_e, c_e)$ , there exist infinitely many  $e' \in \mathbb{N}$  such that  $(M_e, Q_e, c_e) = (M_{e'}, Q_{e'}, c_{e'})$ .

At stage  $e \ge 1$ , we construct a finite oracle  $B_e$  that fools a one-query BPP reduction  $(M_e, Q_e)$  that runs in time  $n^{c_e}$ : If  $M_e$  or  $Q_e$  does not run in time  $n^{c_e}$ , then we define  $B_e := B_{e-1}$ . Otherwise, we can apply the contraposition of Lemma 14 to  $M_e$  and  $Q_e$ : there exist some input  $x_e$  and some oracle  $B_e$  consistent with  $B_{e-1}$  such that  $\Pr[M_e(x_e, r, \mathsf{MCSP}^{B_e}(Q_e(x_e, r))) = L(x_e)] < 1 - \frac{1}{q(n^{c_e})}$ . We can make  $B_e$  a finite oracle, since  $M_e$  depends on only a finite portion of  $B_e$ . This completes stage e. Define A as the union of all the oracles  $B_e$  whose  $\bot$  is replaced by 0.

We claim that  $L \notin \mathsf{BPP}^{\mathsf{MCSP}^{A}[1]}$ . Assume otherwise. Then there exist a constant c > 1, a negligible function  $\epsilon$ , and machines M and Q that run in time  $n^{c}$  such that

$$\Pr_{r}[M(x, r, \mathsf{MCSP}^{A}(Q(x, r))) = L(x)] \ge 1 - \epsilon(|x|)$$
(1)

for all  $x \in \{0,1\}^*$ . Fix a sufficiently large  $n_0 \in \mathbb{N}$  such that  $\epsilon(n) < \frac{1}{q(n^{c+1})}$  for all  $n \ge n_0$ . Let M' be the Turing machine<sup>1</sup> that, on input x, outputs a hardwired answer L(x) if  $|x| \le n_0$ , and simulates M otherwise. Note that the running time of M' is at most  $n^{c+1}$ .

By the construction above, there exists  $e \ge n_0$  such that  $(M_e, Q_e, c_e) = (M', Q, c+1)$ . By the definition of  $x_e$ , we have  $\Pr_r[M'(x_e, r, \mathsf{MCSP}^A(Q(x_e, r))) = L(x_e)] < 1 - \frac{1}{q(|x_e|^{c+1})}$ . Moreover, since M' outputs a correct answer with probability 1 on input x of length at most  $n_0$ , it holds that  $|x_e| > n_0$ ; thus, we have  $\epsilon(|x_e|) < \frac{1}{q(|x_e|^{c+1})}$ ; in addition, the machine M' behaves in the same way with M. Hence, the success probability of (M, Q) on input  $x_e$  is equal to that of (M', Q) on input  $x_e$ , which is bounded above by  $1 - \frac{1}{q(|x_e|^{c+1})} < 1 - \epsilon(|x_e|)$ . This contradicts (1).

Now we outline the proof of Lemma 14.

We will first show that we may assume that all the queries that Q makes have a truth-table of a fixed length  $2^t$  and a fixed size-parameter s for some  $t, s \in \mathbb{N}$ . There is no loss of generality in assuming this because there are only polynomially many possibilities: the number of all the possible lengths of a truth-table and size-parameters is at most  $n^c$  for some c. Moreover, we may fix how to use the answer of a query: specifically, for a random choice r, define  $f: \{0, 1\} \rightarrow \{0, 1\}$ (which has 4 possible choices) so that f(b) = M(x, r, b). (For example, f(b) = b means that Maccepts if and only if the query is a positive instance of MCSP<sup>A</sup>.)

We classify the set of random choices r into  $R_{f,t,s}$  according to these parameters (f,t,s). If  $x \in L$ , then there must exist some (f,t,s) such that  $f(\mathsf{MCSP}^A(Q(x,r))) = 1$  with high probability over the choice of  $r \in_R R_{f,t,s}$ . On the other hand, if  $x \notin L$ , then any (f,t,s) must satisfy  $f(\mathsf{MCSP}^A(Q(x,r))) = 0$  with high probability. Therefore, it is sufficient to prove that, for a specific (f,t,s), there exists an AM protocol that checks if  $f(\mathsf{MCSP}^A(Q(x,r))) = 1$  with high probability conditioning on  $r \in R_{f,t,s}$ .

<sup>&</sup>lt;sup>1</sup> M' can be implemented by a Turing machine as follows: Read the first  $n_0 + 1$  bits of the input (if any). If the input length is at most  $n_0$ , then output the hardwired answer. Otherwise, move the head of the input tape to the initial position, and continue the computation of M. This implementation costs at most  $2n_0$  additional steps.

Let us assume that f(b) = b for simplicity. Then, it is sufficient to estimate the probability

$$P_{f,t,s} := \Pr_{r \in {_RR_{f,t,s}}} [f(\mathsf{MCSP}^A(Q(x,r))) = 1] = \Pr_{r \in {_RR_{f,t,s}}} [Q(x,r) \in \mathsf{MCSP}^A]$$

by an AM protocol. If the probability  $P_{f,t,s}$  is close to 1, then the distribution induced by Q(x,r) concentrates on a limited number of instances: indeed, since there are at most  $2^{s+1}$  positive instances in MCSP<sup>A</sup> for a size-parameter s, the query Q(x,r) must be one of such instances with probability at least  $P_{f,t,s}$ . Conversely, suppose that the query distribution Q(x,r) concentrates on a limited number of instances  $\{(T_1, s), (T_2, s), \cdots\}$ ; we may encode  $T_i$  into an oracle A and force these instances to be positive (*i.e.*,  $(T_i, s) \in MCSP^A$ ); as a result, the probability  $P_{f,t,s}$  is not small (since the instances  $(T_i, s)$  are positive). Therefore, the task reduces to checking whether the query distribution concentrates on a limited number of instances.

To this end, we will use the heavy samples protocol [6]. We say that an instance (T, s) is  $\beta$ -heavy if the probability that (T, s) is queried (*i.e.*, (T, s) = Q(x, r)) is at least  $\beta$ . The heavy samples protocol allows us to estimate the probability that Q(x, r) is  $\beta$ -heavy.

**Lemma 15** (The heavy samples protocol; Trevisan and Bogdanov [6]). Let  $\mathcal{D} = \{\mathcal{D}_n\}_{n \in \mathbb{N}}$  be a polynomial-time samplable distribution. There exist a universal constant c ( $c = 2^{11}$  will do) and an AM  $\cap$  coAM protocol that solves the following promise problem: Given input  $1^n$  and a threshold  $\beta \in [0, 1]$ , accept if  $\Pr_{y \sim \mathcal{D}_n}[y \text{ is } c\beta\text{-heavy}] \geq \frac{3}{4}$ , and reject if  $\Pr_{y \sim \mathcal{D}_n}[y \text{ is } \beta\text{-heavy}] \leq \frac{1}{4}$ .

Since the problem setting is slightly different from that of [6], we include a proof of this lemma based on the lower and upper bound protocols in Appendix A.

Now we give a formal proof of Lemma 14. For the proof, we need to show an AM protocol deciding whether  $x \in L$ ; we will show the protocol by a sequence of claims.

We begin with clarifying our setting and introducing some notation. Let p(n) be a polynomial that is an upper bound of the running time of M and Q. Fix a sufficiently large  $n \in \mathbb{N}$  and an input  $x \in \{0, 1\}^n$ .

Let  $f: \{0,1\} \to \{0,1\}$  be a function, and  $t, s \in \mathbb{N}$ . We define  $R_{f,t,s} \subseteq \{0,1\}^{p(n)}$  as the set of all the random choices  $r \in \{0,1\}^{p(n)}$  such that M(x,r,b) = f(b) for all  $b \in \{0,1\}$  and (T,s) = Q(x,r)and  $|T| = 2^t$ . That is, f specifies how to use the answer from oracle  $\mathsf{MCSP}^A$ , and t and s specify the length of the truth-table and the size-parameter in the query, respectively. Let  $X := \{(f,t,s) \mid R_{f,t,s} \neq \emptyset\}$ . We may assume, without loss of generality, that  $s \leq p(n)^2$  as otherwise Q(x,r) is obviously a positive instance; hence,  $|X| \leq 2^2 \cdot \log p(n) \cdot p(n)^2 \leq 4p(n)^3$ .

Define  $P_{f,t,s} := \Pr_r[f(\mathsf{MCSP}^A(Q(x,r))) = 1 | r \in R_{f,t,s}]$  for  $(f,t,s) \in X$ . Let us divide the probability that M accepts x by conditioning on  $r \in R_{f,t,s}$ :

$$\Pr_{r}[M(x, r, \mathsf{MCSP}^{A}(Q(x, r)) = 1] = \sum_{(f, t, s) \in X} \Pr_{r}[r \in R_{f, t, s}] \cdot P_{f, t, s}.$$
(2)

Since there are polynomially many choices for (f, t, s), there must be some  $(f, t, s) \in X$  that can be used as a "witness" for  $x \in L$  in our AM protocol. Specifically, the following claim holds:

Claim 16. Let  $\delta(n) := \sqrt{1/q(p(n))}$  and  $\delta'(n) := 9p(n)^3 \delta(n)$ .

- 1. If  $x \in L$ , then there exists  $(f, t, s) \in X$  such that  $\Pr[r \in R_{f,t,s}] \ge 2\delta(n)$  and  $P_{f,t,s} \ge 1 \delta'(n)$ .
- 2. If  $x \notin L$ , then  $P_{f,t,s} \leq \delta(n)$  for all  $(f,t,s) \in X$  such that  $\Pr[r \in R_{f,t,s}] \geq \delta(n)$ .

Proof of Claim 16.

1. Suppose that  $x \in L$ ; then, the probability (2) is at least  $1 - \delta(n)^2$ . Assume, by way of contradiction, that  $P_{f,t,s} < 1 - \delta'(n)$  for all  $(f,t,s) \in X$  such that  $\Pr[r \in R_{f,t,s}] \ge 2\delta(n)$ . Then,

$$1 - \delta(n)^{2} \leq \sum_{(f,t,s)\in X} \Pr_{r}[r \in R_{f,t,s}] \cdot P_{f,t,s}$$

$$= \sum_{\substack{(f,t,s)\in X\\\Pr[r \in R_{f,t,s}] \geq 2\delta(n)}} \Pr_{r}[r \in R_{f,t,s}] \cdot P_{f,t,s} + \sum_{\substack{(f,t,s)\in X\\\Pr[r \in R_{f,t,s}] < 2\delta(n)}} \Pr_{r}[r \in R_{f,t,s}] \cdot P_{f,t,s}$$

$$\leq 1 - \delta'(n) + 2|X|\delta(n) \leq 1 - 9p(n)^{3}\delta(n) + 8p(n)^{3}\delta(n) = 1 - p(n)^{3}\delta(n).$$

Thus  $p(n)^3 \leq \delta(n) < 1$ , which is a contradiction.

2. Suppose that  $x \notin L$ ; then, the probability (2) is bounded above by  $\delta(n)^2$ . Thus, for all  $(f,t,s) \in X$  such that  $\Pr[r \in R_{f,t,s}] \geq \delta(n)$ ,

$$\delta(n)^2 \ge \sum_{(f,t,s)\in X} \Pr_r[r \in R_{f,t,s}] \cdot P_{f,t,s} \ge \delta(n) \cdot P_{f,t,s},$$

which implies that  $P_{f,t,s} \leq \delta(n)$ .

In our AM protocol, the prover first sends (f, t, s) to the verifier; an honest prover is supposed to send  $(f, t, s) \in X$  that satisfies the first condition in Claim 16 above. Then, what we need is to show a verifier of an AM protocol as stated in the following claim.

**Claim 17.** There exists a verifier V of an AM protocol such that, for a given  $x \in \{0,1\}^*$  and  $(f,t,s) \in X$ ,

- 1. if  $\Pr[r \in R_{f,t,s}] \geq 2\delta(n)$  and  $P_{f,t,s} \geq 1 \delta'(n)$ , then V accepts with high probability by communicating with some prover, and
- 2. if  $\Pr[r \in R_{f,t,s}] < \delta(n)$  or  $P_{f,t,s} \leq \delta(n)$ , then V rejects with high probability with any prover.

We explain below how to define this verifier V. Recall that  $1/\delta(n) = n^{O(1)}$ ; thus, it is easy to distinguish the case when  $\Pr[r \in R_{f,t,s}] \ge 2\delta(n)$  and the case when  $\Pr[r \in R_{f,t,s}] < \delta(n)$ , The following claim states this formally.

**Claim 18.** There exists a randomized polynomial-time algorithm that, given  $x \in \{0,1\}^*$  and  $(f,t,s) \in X$ ,

- 1. accepts with high probability if  $\Pr[r \in R_{f,t,s}] \ge 2\delta(n)$ , and
- 2. rejects with high probability if  $\Pr[r \in R_{f,t,s}] < \delta(n)$ .

Proof Sketch. Sample  $r_1, \dots, r_m \in_R \{0, 1\}^{p(n)}$  uniformly at random for  $m = O(n/\delta(n)^2)$ . Accept if and only if the number of *i*'s such that  $r_i \in R_{f,t,s}$  is at least  $1.5 \cdot \delta(n)m$ . By applying the Chernoff bound, this algorithm distinguishes the two cases with probability at least  $1 - 2^{-n}$ .

Therefore in our AM protocol, verifier V first use this algorithm to check whether  $\Pr[r \in R_{f,t,s}] \geq 2\delta(n)$  or  $\Pr[r \in R_{f,t,s}] < \delta(n)$ . If  $\Pr[r \in R_{f,t,s}] < \delta(n)$  is confirmed by the algorithm, then V can immediately reject (f, t, s) and halt. Thus, it remains to design a part where V determines whether  $P_{f,t,s} \geq 1 - \delta'(n)$  or  $P_{f,t,s} \leq \delta(n)$   $(\leq \delta'(n))$  holds, assuming that  $\Pr[r \in R_{f,t,s}] \geq \delta(n)$ . Note that this assumption implies that the uniform distribution on  $R_{f,t,s}$  can be sampled efficiently: indeed, sample  $r \in_R \{0,1\}^{p(n)}$  until we obtain an element r such that  $r \in R_{f,t,s}$ ; this sampling algorithm succeeds within  $O(1/\delta(n))$  steps in expectation.

Now our task is to define an AM protocol determining whether  $P_{f,t,s}$  is close to 1 or smaller than  $\delta'(n)$ , assuming that the query distribution Q(x,r) where  $r \in_R R_{f,t,s}$  can be sampled efficiently. Note that  $P_{f,t,s}$  may depend on x and MCSP<sup>A</sup>. We will show that the task above can be reduced to checking whether a certain concentration occurs, by defining A so that heavy queries become positive instances. Then we will check if such a concentration occurs by the heavy samples protocol of Lemma 15.

In order to reduce Claim 17 to the heavy samples protocol, we introduce some notation: Fix  $(f,t,s) \in X$ . Let us sort all the truth-tables  $\{T_1, \dots, T_{2^{2^t}}\} = \{0,1\}^{2^t}$  of length  $2^t$  in the order of heaviness: namely, let  $p_i := \Pr_{r \in RR_{f,t,s}}[Q(x,r) = (T_i,s)]$  and  $p_1 \ge p_2 \ge \dots \ge p_{2^{2^t}}$ . Let p(I) denote  $\sum_{i \in I} p_i$  for  $I \subseteq [2^{2^t}]$ . Define the set of  $\alpha$ -heavy indices (with respect to the distribution induced by Q(x,r) where  $r \in RR_{f,t,s}$ ) as  $I_{\alpha} := \{i \in [2^{2^t}] \mid p_i \ge \alpha\}$  for  $\alpha \ge 0$ . Note that  $p(I_{\alpha}) = \Pr_{r \in RR_{f,t,s}}[Q(x,r) \text{ is } \alpha\text{-heavy}]$ . We also define  $\Pr_{id,t,s} := \Pr_{r \in RR_{f,t,s}}[Q(x,r) \in \mathsf{MCSP}^A]$ .

We will show that the condition that  $P_{\mathrm{id},t,s}$  is close to 1 is (almost) characterized by the fact that the query distribution is concentrated on  $\{(T_i, s) \mid i \in I_\beta\}$ , namely the set of  $\beta$ -heavy instances for some threshold  $\beta > 0$ .

**Claim 19.** There exists an oracle A consistent with  $A_0$  up to length  $7 \log p(n)$  that satisfies the following: for any  $(f, t, s) \in X$  such that  $\Pr[r \in R_{f,t,s}] \ge \delta(n)$  and  $s > 7 \log p(n)$  hold,

- 1. if  $P_{id,t,s} \ge 1 \delta'(n)$ , then  $p(I_{c\beta}) \ge 1 3c\delta''(n)$ , and
- 2. if  $P_{\mathrm{id},t,s} \leq \delta'(n)$ , then  $p(I_{\beta}) \leq \delta''(n)$ .

Here, we define  $\delta''(n) := 2 p(n)^7 \delta'(n) = 18 p(n)^{10} \delta(n)$  and  $\beta := \delta''(n) 2^{-s}$ , and c denotes the universal constant in Lemma 15.

This claim allows us to apply the heavy samples protocol. Let us complete the proof of Claim 17 before proving Claim 19.

Proof of Claim 17. As explained above, it is sufficient to show that our verifier V can check whether  $P_{f,t,s} \geq 1 - \delta'(n)$  or  $P_{f,t,s} \leq \delta'(n)$ , assuming that  $\Pr[r \in R_{f,t,s}] \geq \delta(n)$ .

If  $f \equiv 1$  or  $f \equiv 0$ , then the task is trivial: in the former case,  $P_{f,t,s} = 1$  and hence V may immediately accept; in the latter case, V rejects.

If  $s \leq 7 \log p(n)$ , then we may decide whether  $Q(x, r) \in \mathsf{MCSP}^{A_0}$  or not by an exhaustive search in time  $2^{O(s)} = n^{O(1)}$ . Since A and  $A_0$  are consistent up to length  $7 \log p(n)$ , as in the proof of Lemma 11, it holds that  $Q(x, r) \in \mathsf{MCSP}^{A_0}$  if and only if  $Q(x, r) \in \mathsf{MCSP}^A$ . Therefore, we may estimate  $P_{f,t,s}$  by sampling  $r \in_R R_{f,t,s}$  and then decide whether  $Q(x,r) \in \mathsf{MCSP}^A$  by the exhaustive search.

Otherwise, we have  $s > 7 \log p(n)$  and hence Claim 19 can be applied. Now suppose that f(b) = b for any  $b \in \{0, 1\}$ . In this case, it holds that  $P_{f,t,s} = P_{\mathrm{id},t,s}$ ; thus Claim 19 states that, if  $P_{f,t,s} \ge 1 - \delta'(n)$  then  $p(I_{c\beta}) \ge 1 - 3c\delta''(n)$ , and if  $P_{f,t,s} \le \delta'(n)$  then  $p(I_{\beta}) \le \delta''(n)$ . Now we may apply the heavy samples protocol for the query distribution induced by Q(x,r) where  $r \in R R_{f,t,s}$ , in order to check whether  $p(I_{c\beta}) \ge 1 - 3c\delta''(n)$  or  $p(I_{\beta}) \le \delta''(n)$ : more specifically, V accepts in the former case by running the AM protocol of Lemma 15.

Similarly, if f(b) = 1-b, then we have  $P_{f,t,s} = 1-P_{id,t,s}$ . This implies the same condition except for flipping YES and NO: if  $P_{f,t,s} \ge 1 - \delta'(n)$  then  $p(I_{\beta}) \le \delta''(n)$ ; if  $P_{f,t,s} \le \delta'(n)$  then  $p(I_{c\beta}) \ge 1 - 3c\delta''(n)$ . Thus, we may apply the heavy samples protocol to check whether  $p(I_{\beta}) \le \delta''(n)$  or  $p(I_{c\beta}) \ge 1 - 3c\delta''(n)$ : specifically, V accepts in the former case by running the coAM protocol of Lemma 15.

Note that we may pick the polynomial q that specifies the error probability so that  $3c\delta''(n) \leq \frac{1}{4}$  (which allows us to use Lemma 15): indeed, if we define  $q(n) := O(n^{22})$  then we have  $\delta(n) = \sqrt{1/q(p(n))} = O(p(n)^{-11})$  and hence  $3c\delta''(n) = O(p(n)^{10}\delta(n)) = o(1)$ .

All that remains is to show Claim 19. The intuition is as follows: Suppose that the probability that a positive instance is queried is large (*i.e.*,  $P_{id,t,s} \ge 1 - \delta'(n)$ ). Since there are at most  $2^{s+1}$ truth-tables that have circuit complexity at most s, the query distribution must concentrate on such positive instances; thus  $p([2^{s+1}])$  is also large, which in particular implies that  $p(I_{c\beta})$  is large (since  $\beta$  is in fact chosen so that  $p(I_{\beta})$  is roughly equal to  $p([2^{s+1}])$ ).

Conversely, suppose that the query distribution concentrates on heavy instances  $\{(T_1, s), \ldots, (T_{2^k}, s)\}$  (*i.e.*,  $p([2^k])$  is large) for some k. In this case, we may encode the heavy truth-tables into the oracle A; thereby we can force these truth-tables to be positive instances, which implies that  $p([2^k]) \leq P_{id,t,s}$ ; hence  $P_{id,t,s}$  cannot be small. The details follow:

Proof of Claim 19. 1. Suppose that  $P_{id,t,s} \ge 1 - \delta'(n)$ . Then,

$$\begin{split} 1 - \delta'(n) &\leq \Pr_{r \in_R R_{f,t,s}}[Q(x,r) \in \mathsf{MCSP}^A] \\ &= \sum_{i: \; (T_i,s) \in \mathsf{MCSP}^A} p_i \leq \sum_{i=1}^{2^{s+1}} p_i = p([2^{s+1}]). \end{split}$$

where in the last inequality we used the fact that there are at most  $2^{s+1}$  positive instances in  $MCSP^A$ . Now,

$$1 - \delta'(n) \le p([2^{s+1}]) = p([2^{s+1}] \cap I_{c\beta}) + p([2^{s+1}] \setminus I_{c\beta}) \le p(I_{c\beta}) + 2^{s+1} \cdot c\beta,$$

which implies that  $p(I_{c\beta}) \ge 1 - 2^{s+1} \cdot c\beta - \delta'(n) \ge 1 - 2c\delta''(n) - \delta'(n) \ge 1 - 3c\delta''(n)$ .

2. Note that an oracle A can depend on input x, but A must not depend on a specific (f, t, s). Thus, we define A so that, for all  $(f, t, s) \in X$ , the heavy queries  $\{(T_1, s), \dots, (T_{2^k}, s)\}$ (with respect to the distribution induced by Q(x, r) where  $r \in_R R_{f,t,s}$ ) become positive instances. (Note that truth-tables  $T_i$  depend on (f, t, s).) For any string y of length at most  $7 \log p(n)$ , we define A(y) := 1 if and only if  $A_0(y) = 1$ , which ensures that A and  $A_0$  are consistent up to length  $7\log p(n)$ . For each  $(f,t,s) \in X$  such that  $s > 7\log p(n)$ , define  $k := s - 7\log p(n)$ ; for each  $i \in [2^k]$ , we would like to define A so that  $I^A(d) = T_i$  for some description d of length exactly equal to s. To this end, define  $d := \langle 1^t, \langle f, s, \underline{i}_{k_i} \rangle \rangle$  and  $A(\underline{j}_t, \langle f, s, \underline{i}_{k_i} \rangle) = T_{ij}$  for all  $j \in [2^t]$ , where  $k_i$  is chosen so that  $|d| = 2t + 2\log s + k_i + O(1) = s$ . Thus,  $k_i := s - 2t - 2\log s - O(1) \geq s - 7\log p(n) = k$ . This ensures that  $\underline{i}_{k_i}$  is well-defined. These imply that  $K_{I^A}(T_i) \leq s$ ; hence,  $(T_i, s) \in \mathsf{MCSP}^A$  for all  $i \in [2^k]$  and therefore  $p([2^k]) \leq P_{\mathrm{id},t,s}$ .

Now fix  $(f, t, s) \in X$  such that  $k = s - 7 \log p(n) > 0$  and  $P_{\mathrm{id},t,s} \leq \delta'(n)$  hold. Since k and s are close, it holds that

$$p([2^{s+1}]) = p(\{1, \cdots, 2^k\}) + p(\{2^k + 1, \cdots, 2 \cdot 2^k\}) + \dots + p(\{2^{s+1} - 2^k + 1 \cdots, 2^{s+1}\})$$
  
$$\leq 2^{s+1}/2^k \cdot p([2^k]) \leq 2^{s+1-k} \cdot P_{\mathrm{id},t,s} = 2p(n)^7 \cdot P_{\mathrm{id},t,s} \leq 2p(n)^7 \cdot \delta'(n) \leq \delta''(n).$$

We claim that this implies  $p(I_{\beta}) \leq \delta''(n)$ : Indeed, let  $j := \max I_{\beta}$ . If  $j > 2^s$ , then  $\delta''(n) \geq p([2^{s+1}]) \geq p([2^{s+1}] \cap I_{\beta}) = \beta \cdot \min\{2^{s+1}, j\} > \beta \cdot 2^s = \delta''(n)$ , which is a contradiction. Thus, we have  $j \leq 2^s$ , and hence  $p(I_{\beta}) \leq p([2^{s+1}]) \leq \delta''(n)$  as desired.

This completes the proof of Lemma 14.

### 6 Hardness of MCSP Implies Separations of Complexity Classes

In this section, we give a reinterpretation of the results of Murray and Williams [17] by using Levin's Kolmogorov complexity, and extend these results to the case of polynomial-time nonadaptive reductions and polynomial-time Turing reductions. Our proofs are based on the firm links between circuit complexity and resource-bounded Kolmogorov complexity, which have been established by a line of work [1, 5]. First, we introduce Levin's Kt-complexity.

**Definition** (Levin's Kolmogorov Complexity [16]). Fix an efficient universal Turing machine U. The Levin's Kolmogorov complexity Kt(x) of a string x is defined as

$$Kt(x) := \min\{ |d| + \log t \mid U(d) \text{ outputs } x \text{ in time } t \}.$$

The principal relationship that bases our proof is the fact that  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$  if and only if circuit complexity  $K_I$  is polynomially related to Levin's Kolmogorov complexity Kt.

**Lemma 20** (Allender, Koucký, Ronneburger and Roy [5]).  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$  if and only if there exists a polynomial poly in two variables such that  $K_I(x) \leq \mathsf{poly}(\mathsf{Kt}(x), \log |x|)$ .

Our proof strategy is as follows: Assume that  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ ; then, Levin's complexity and circuit complexity are essentially the same (in the sense that these are polynomially related to each other); hence, any "robust" property of Kt-complexity can be translated into a property of circuit complexity  $K_I$ .

#### 6.1 The Case of Nonadaptive Reductions

In the case of polynomial-time nonadaptive reductions, it is well known that  $\mathsf{P}_{||}^{\mathrm{Kt}} \neq \mathsf{EXP}$ .

**Proposition 21** (folklore).  $\mathsf{EXP}_{||}^{\mathrm{Kt}} = \mathsf{EXP}.$  (Here, Kt is identified with the oracle  $\{(x,s) \in \{0,1\}^* \times \mathbb{N} \mid \mathrm{Kt}(x) \leq s\}.$ )

Note that this implies  $\mathsf{P}^{\mathrm{Kt}}_{||} \neq \mathsf{EXP}$  by the time-hierarchy theorem.

*Proof.* Let M be any  $\mathsf{EXP}_{||}^{\mathrm{Kt}}$  machine. Given input  $x \in \{0,1\}^*$  of length n, let Q(x) be the set of queries (without size-parameter s) that M makes. Since M is a nonadaptive oracle machine, Q(x) can be computed in exponential time. Therefore, any query  $q \in Q(x)$  can be described by the input x and an index  $i \in [2^{n^{O(1)}}]$  in exponential time; hence,  $\mathrm{Kt}(q) \leq |x| + n^{O(1)} + \log 2^{n^{O(1)}} = n^{O(1)}$ .

Given the fact that  $\operatorname{Kt}(q) \leq n^{O(1)}$ , we may compute  $\operatorname{Kt}(q)$  by an exhaustive search in exponential time. Thus, by answering M's queries by the exhaustive search, we can compute M's output in exponential time.

Thus, under the assumption that  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ , we can translate the property of Kt into that of circuit complexity:

# **Theorem 22.** If $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ then $\mathsf{EXP}_{||}^{\mathsf{MCSP}} = \mathsf{EXP}_{||}$

*Proof Sketch.* Let (T, s) be any query of an  $\mathsf{EXP}_{||}^{\mathsf{MCSP}}$  machine. Since  $\mathsf{Kt}(T)$  is  $n^{O(1)}$ , the circuit complexity  $\mathsf{K}_I(T)$  of T is also bounded above by  $n^{O(1)}$  by Lemma 20. Thus, the circuit complexity of all the queries can be computed by an exhaustive search in time exponential in n.

This theorem allows us to obtain a nontrivial separation of  $\mathsf{P}_{||}^{\mathsf{MCSP}} \cap \mathsf{P}/\mathsf{poly}$  from  $\mathsf{EXP}$ 

Corollary 23.  $\mathsf{P}_{II}^{\mathsf{MCSP}} \cap \mathsf{P}/\mathsf{poly} \neq \mathsf{EXP}.$ 

*Proof.* Assume, by way of contradiction, that  $P_{||}^{MCSP} \cap P/poly = EXP$ . In particular,  $EXP \subseteq P/poly$ . Thus, by Theorem 22, we have  $EXP_{||}^{MCSP} = EXP$ . Therefore,  $EXP_{||}^{MCSP} = EXP = P_{||}^{MCSP}$ , which contradicts the (relativized) time hierarchy theorem [11].

This result exhibits a singular property of MCSP. In particular, reducing a language L to MCSP via a polynomial-time nonadaptive reduction implies a separation of  $\mathsf{P}_{||}^L \cap \mathsf{P}/\mathsf{poly}$  from EXP.

**Corollary 24.** If  $L \leq_{tt}^{p} \mathsf{MCSP}$ , then  $\mathsf{P}_{||}^{L} \cap \mathsf{P}/\mathsf{poly} \neq \mathsf{EXP}$ .

*Proof.* The hypothesis implies that  $\mathsf{P}_{||}^{L} \subseteq \mathsf{P}_{||}^{\mathsf{MCSP}}$ , and by the previous corollary it holds that  $\mathsf{EXP} \not\subseteq \mathsf{P}_{||}^{\mathsf{MCSP}} \cap \mathsf{P}/\mathsf{poly}$ , from which the result follows.

We give some specific remarks:

- **Remark.** 1. If MCSP is ZPP-hard under polynomial-time nonadaptive reductions, then  $ZPP \neq EXP$ , which is a notorious open problem.
  - 2. If MCSP is NP-complete under polynomial-time nonadaptive reductions, then  $\mathsf{P}_{||}^{\mathsf{NP}} \cap \mathsf{P}/\mathsf{poly} \neq \mathsf{EXP}$ . (The consequence is also a tiny improvement of Murray and Williams [17], who showed that  $\mathsf{NP} \cap \mathsf{P}/\mathsf{poly} \neq \mathsf{EXP}$  under the assumption that  $\mathsf{NP} \leq_m^p \mathsf{MCSP}$ .)

### 6.2 On Hardness of Approximating Kt-complexity and Circuit Complexity

Now we turn to the case of polynomial-time Turing reductions. We first introduce some definitions about promise problems:

- **Definition.** 1. A promise problem  $\Pi = (\Pi_Y, \Pi_N)$  is a pair of disjoint languages  $\Pi_Y$  and  $\Pi_N$ , where  $\Pi_Y$  is the set of YES instances and  $\Pi_N$  is the set of NO instances.
  - 2. We say that an oracle A satisfies the promise of  $\Pi = (\Pi_Y, \Pi_N)$  if, for any  $x \in \{0, 1\}^*$ , it holds that  $x \in \Pi_Y$  implies  $x \in A$ , and that  $x \in \Pi_N$  implies  $x \notin A$ .
  - 3. We say that a language L is reducible to a promise problem  $\Pi$  via a polynomial-time Turing reduction M and write  $L \leq_T^p \Pi$  if  $M^A(x) = L(x)$  for any  $x \in \{0,1\}^*$  and any oracle A that satisfies the promise of  $\Pi$ .

We show that approximating Kt-complexity within additive error  $g(n) = \omega(\log n)$  is not EXPcomplete under polynomial-time Turing reductions. We denote such a promise problem by  $\operatorname{Gap}_{a}$ Kt:

**Definition.** For a function  $g: \mathbb{N} \to \mathbb{N}$ , define a promise problem  $\operatorname{Gap}_{q}\operatorname{Kt} := (\Pi_{Y}, \Pi_{N})$  by

$$\Pi_Y := \{ (x, s) \in \{0, 1\}^* \times \mathbb{N} \mid \mathrm{Kt}(x) \le s \}, \Pi_N := \{ (x, s) \in \{0, 1\}^* \times \mathbb{N} \mid \mathrm{Kt}(x) > s + g(|x|) \}.$$

For this promise problem, we prove:

**Theorem 25.** For any nondecreasing function  $g(n) = \omega(\log n)$ , it holds that  $\mathsf{P}^{\operatorname{Gap}_g\operatorname{Kt}} \neq \mathsf{EXP}$ .

The proof is similar to a simplified proof in [1, Corollary 40] showing that resource-bounded Kolmogorov complexity  $K^t$  for a fixed exponential time  $t(n) \ge 2^{n^2}$  is not EXP-hard (originally proved by Buhrman and Mayordomo [8]).

*Proof.* We claim that every unary language in  $\mathsf{P}^{\operatorname{Gap}_g\operatorname{Kt}}$  can be solved in a fixed exponential time. By the time-hierarchy theorem, there exists a unary language in EXP that requires time complexity larger than the fixed exponential time, which implies that  $\mathsf{P}^{\operatorname{Gap}_g\operatorname{Kt}} \neq \mathsf{EXP}$ .

We first note that  $\operatorname{Kt}(x) \leq |x| + O(\log |x|)$  for any  $x \in \{0,1\}^*$ , since every string can be described by itself in polynomial time. Let l(n) be such a (nondecreasing) upper bound (*i.e.*,  $l(n) = n + O(\log n)$ ).

Let  $L \subseteq \{0\}^*$  be an arbitrary unary language in  $\mathsf{P}^{\operatorname{Gap}_g\operatorname{Kt}}$ , and M be a polynomial-time machine that witnesses  $L \in \mathsf{P}^{\operatorname{Gap}_g\operatorname{Kt}}$ .

We define a machine  $M_0$  that simulates M on input  $0^n$  (without oracle access to  $\operatorname{Gap}_g \operatorname{Kt}$ ): On input  $0^n$ ,  $M_0$  simulates M on the same input, and accepts if and only if M accepts. If the machine M makes a query  $(q, s) \in \{0, 1\}^* \times \mathbb{N}$  to a  $\operatorname{Gap}_g \operatorname{Kt}$  oracle, then we perform an exhaustive search up to Kt-complexity l(n), which allows us to compute  $\sigma_n(q) := \min\{\operatorname{Kt}(q), l(n)\}$ . (Namely, for each  $d \in \{0, 1\}^*$  of length at most l(n), run the universal Turing machine U on input d for time  $2^{l(n)-|d|}$ , which takes overall  $2^{l(n)}n^{O(1)}$  time.) We answer "Yes" to the query q if and only if  $\sigma_n(q) \leq s$ . The machine  $M_0$  runs in time  $2^{l(n)}n^{O(1)} \leq 2^{2n}$  (*i.e.*, a fixed exponential time). Hence, it remains to prove that, for each  $n \in \mathbb{N}$ , there exists an oracle A that satisfies the promise of  $\operatorname{Gap}_g \operatorname{Kt}$  such that  $M_0(0^n) = M^A(0^n)$ , which in particular implies that  $M_0(0^n) = L(0^n)$ . A crucial observation here is that each query that M makes on the computation path simulated by  $M_0$  can be described succinctly in terms of Kt-complexity: Specifically, fix an input  $0^n$  and define the set  $Q_n = \{(q_1, s_1), \dots, (q_m, s_m)\}$  of queries that M makes on the computation path simulated by  $M_0$ , where  $m = n^{O(1)}$  is the number of the queries. Then, the *i*th query  $(q_i, s_i)$ can be described by n and an index  $i \in [m]$  in time  $2^{l(n)}n^{O(1)}$ . Therefore, it holds that  $\text{Kt}(q_i) \leq O(\log n) + \log 2^{l(n)}n^{O(1)} = l(n) + O(\log n)$ . By the assumption, we have  $O(\log n) \leq g(n)$  for all large n; hence,  $\text{Kt}(q_i) \leq l(n) + g(n)$ . This means that the difference between  $\text{Kt}(q_i)$  and the threshold l(n) up to which we performed an exhaustive search is at most g(n).

Now, for each  $n \in \mathbb{N}$ , define an oracle A as follows:  $(q, s) \in A$  if and only if  $\sigma_n(q) \leq s$  for any  $(q, s) \in Q_n$ , and  $(q, s) \in A$  if and only if  $\operatorname{Kt}(q) \leq s$  for any  $(q, s) \notin Q_n$ . (Here,  $\sigma_n(q)$  denotes min{  $\operatorname{Kt}(q), l(n)$  }.) By this definition, it holds that  $M^A(0^n) = M_0(0^n)$ ; thus all that remains is to show that A satisfies the promise of  $\operatorname{Gap}_q \operatorname{Kt}$  (which implies that  $M^A(0^n) = L(0^n)$ ).

Namely, for all  $(q, s) \in Q_n$ , we would like to claim that  $(q, s) \in A$  holds if (q, s) is an YES instance of  $\operatorname{Gap}_g \operatorname{Kt}(i.e., \operatorname{Kt}(q) \leq s)$ , and that  $(q, s) \notin A$  holds if (q, s) is a NO instance of  $\operatorname{Gap}_g \operatorname{Kt}(i.e., \operatorname{Kt}(q) \geq s + g(|q|))$ . Note that if  $\operatorname{Kt}(q) \leq l(n)$  then  $\sigma_n(q) = \operatorname{Kt}(q)$ ; hence in this case, the claim is obviously satisfied. In what follows, we may assume that  $\operatorname{Kt}(q) > l(n)$  (and thus  $\sigma_n(q) = l(n)$ ). In particular, this implies that  $n \leq |q|$ : indeed, by the definition of l(n), we have  $\operatorname{Kt}(q) \leq l(|q|)$ , which implies  $l(n) < \operatorname{Kt}(q) \leq l(|q|)$ ; hence,  $n \leq |q|$  follows. Therefore,  $\operatorname{Kt}(q) \leq l(n) + g(n) \leq l(n) + g(|q|)$ . Now assume that  $\operatorname{Kt}(q) > s + g(|q|)$  (*i.e.*, (q, s) is a NO instance). This implies that  $\sigma_n(q) = l(n) \geq \operatorname{Kt}(q) - g(|q|) > s$ , and hence  $(q, s) \notin A$  as desired. On the other hand, if  $\operatorname{Kt}(q) \leq s$  (*i.e.*, (q, s) is an YES instance), then we have  $\sigma_n(q) \leq \operatorname{Kt}(q) \leq s$ , and hence  $(q, s) \in A$ .

Next, assuming that  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ , we translate the property of Kt-complexity into that of MCSP. However, since these two measures are just *polynomially* related, the narrow gap of Kt does not seem to be translated into a narrow gap of MCSP. Thus, we define  $\operatorname{Gap}^k \operatorname{MCSP}$  as a promise problem that asks for approximating the *logarithm* of circuit complexity within a factor of k:

**Definition.** For a constant  $k \ge 1$ , define a promise problem  $\operatorname{Gap}^k \operatorname{MCSP} := (\Pi_Y, \Pi_N)$  by

$$\Pi_Y := \{ (T, s) \in \{0, 1\}^* \times \mathbb{N} \mid \log \mathcal{K}_I(T) \le s \}, \\ \Pi_N := \{ (T, s) \in \{0, 1\}^* \times \mathbb{N} \mid \log \mathcal{K}_I(T) > ks \}.$$

We can apply the same proof idea to  $\operatorname{Gap}^k \operatorname{MCSP}$ . In fact, thanks to the fact that the gap between  $\Pi_Y$  and  $\Pi_N$  is wide, we can prove a somewhat strong consequence:

**Theorem 26.** If  $\mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ , then for any  $\epsilon > 0$ , there exists a constant  $k \ge 1$  such that  $\mathsf{P}^{\operatorname{Gap}^k\operatorname{MCSP}} \subseteq \mathsf{DTIME}(2^{n^{\epsilon}})$ . In particular,  $\mathsf{EXP} \neq \mathsf{P}^{\operatorname{Gap}^k\operatorname{MCSP}} \cap \mathsf{P}/\mathsf{poly}$  for some k.

*Proof.* Let us define an EXP-complete language  $B \subseteq \{0,1\}^*$  as all the tuples  $\langle Q, x, t \rangle$  such that the Turing machine Q accepts x in time t. Since  $B \in \mathsf{EXP} \subseteq \mathsf{P}/\mathsf{poly}$ , there exist some constant  $k_0 \in \mathbb{N}$  and some family of circuits  $\{C_m\}_{m \in \mathbb{N}}$  of size at most  $m^{k_0}$  that computes B on input length m.

Fix a small constant  $\epsilon > 0$ . Define  $k := (k_0 + 1)/\epsilon$ . Let  $L \in \mathsf{P}^{\operatorname{Gap}^k \operatorname{MCSP}}$  and M be a polynomial-time oracle machine that witnesses  $L \in \mathsf{P}^{\operatorname{Gap}^k \operatorname{MCSP}}$ .

Define  $l(n) := n^{\epsilon}$ . As in the proof of Theorem 25, we define a machine  $M_0$  that simulates M (without oracle access to  $\operatorname{Gap}^k \operatorname{MCSP}$ ) as follows:  $M_0$  takes input  $x \in \{0,1\}^*$  of length n, simulates M on input x, and accepts if and only if M accepts. If M makes a query (T, s), then

answer to the query by an exhaustive search up to circuit size l(n). (Specifically, compute  $\sigma_x(T) := \min\{K_I(T), l(n)\}$  and answer "Yes" if and only if  $\sigma_x(T) \leq s$ .) The machine  $M_0$  runs in time  $2^{l(n)}n^{O(1)} \leq 2^{n^{2\epsilon}}$  for all large n.

Fix input  $x \in \{0,1\}^*$  of length n. Let  $Q_x = \{(T_1, s_1), \cdots, (T_{n^{O(1)}}, s_{n^{O(1)}})\}$  be the set of all the queries that M makes on the computation path simulated by  $M_0$ . We claim that for each  $(T_i, s_i) \in Q_x$ , the circuit complexity  $K_I(T_i)$  is relatively small: Indeed, each truth-table  $T_i$  in  $Q_x$  can be computed in time  $t(n) := 2^{n^{2\epsilon}}$ , by simulating M in the same way with  $M_0$ . Let Q be the Turing machine that takes as input  $x \in \{0,1\}^*$  of length n and indices  $i, j \in [n^{O(1)}]$ , and outputs  $T_{ij}$ . By the definition of B, it holds that  $B(Q, \langle x, i, j \rangle, t(n)) = Q(x, i, j) = T_{ij}$ . Also, by the definition of  $C_m$ , we have  $B(Q, \langle x, i, j \rangle, t(n)) = C_m(Q, \langle x, i, j \rangle, t(n))$  for  $m = |\langle Q, \langle x, i, j \rangle, t(n) \rangle|$ . Note that  $m = 4n + O(\log n) + \log t(n) \leq 5n$  for all large n. Now let us fix  $x \in \{0, 1\}^n$  and  $i \in [n^{O(1)}]$ : namely, define  $D_{x,i}(j) = C_m(Q, \langle x, i, j \rangle, t(n))$ ; then, the truth-table of  $D_{x,i}$  coincides with  $T_i$ . Therefore,

$$K_I(T_i) \le |D_{x,i}| \le |C_m| \le m^{k_0} \le (5n)^{k_0} \le n^{k\epsilon} = l(n)^k$$

for all large n. (Here,  $|C_m|$  denotes the circuit size of  $C_m$ .)

Now we claim that  $\sigma_x(T_i) = \min\{K_I(T_i), l(n)\}$  approximates  $K_I(T_i)$  for all  $(T_i, s_i) \in Q_x$ : specifically, we claim that  $\log \sigma_x(T_i) \leq \log K_I(T_i) < k \log \sigma_x(T_i)$ . If  $K_I(T_i) \leq l(n)$ , then  $\sigma_x(T_i) = K_I(T_i)$  and the claim is obvious. Now assume that  $K_I(T_i) > l(n)$ , which implies that  $\sigma_x(T_i) = l(n)$ . Thus we have  $\sigma_x(T_i) = l(n) < K_I(T_i) < l(n)^k = \sigma_x(T_i)^k$ .

From the inequalities above, for all but finitely many  $x \in \{0,1\}^*$ , it is easy to see that there exists an oracle A such that A satisfies the promise of  $\operatorname{Gap}^k \operatorname{MCSP}$  and  $M_0(x) = M^A(x) = L(x)$ .

As in Corollary 24, we obtain:

**Corollary 27.** If  $L \leq_T^p \operatorname{Gap}^k \operatorname{MCSP}$  for all  $k \geq 1$ , then  $\mathsf{P}^L \cap \mathsf{P}/\mathsf{poly} \neq \mathsf{EXP}$ .

*Proof.* The hypothesis implies that  $\mathsf{P}^L \subseteq \mathsf{P}^{\operatorname{Gap}^k \operatorname{MCSP}}$  for all  $k \geq 1$ , and Theorem 26 shows  $\mathsf{EXP} \not\subseteq \mathsf{P}^{\operatorname{Gap}^k \operatorname{MCSP}} \cap \mathsf{P}/\mathsf{poly}$  for some  $k \geq 1$ , from which the result follows.

- **Remark.** 1. As in the case of nonadaptive reductions, establishing NP-hardness of Gap<sup>k</sup>MCSP for all  $k \ge 1$  via a polynomial-time Turing reduction implies that  $\mathsf{P}^{\mathsf{NP}} \cap \mathsf{P}/\mathsf{poly} \ne \mathsf{EXP}$ .
  - 2. One interesting consequence is that if MCSP itself is reducible to  $\operatorname{Gap}^k \operatorname{MCSP}$  for all  $k \ge 1$  via a polynomial-time Turing reduction, then  $\mathsf{P}^{\mathsf{MCSP}} \cap \mathsf{P}/\mathsf{poly} \ne \mathsf{EXP}$ , which we do not know how to prove. Thus, establishing such "robustness" of MCSP via a polynomial-time Turing reduction is at least as hard as separating  $\mathsf{P}^{\mathsf{MCSP}} \cap \mathsf{P}/\mathsf{poly}$  from EXP.

Finally, we observe that every language in statistical zero knowledge is reducible to Gap<sup>k</sup>MCSP via a BPP-reduction. As observed in [5], hardness of statistical zero knowledge implies hardness of approximating the minimum circuit complexity of a truth-table T within a factor of  $|T|^{1-\epsilon}$  for any  $\epsilon \in (0, 1)$ . Similarly, it implies hardness of Gap<sup>k</sup>MCSP for all  $k \geq 1$  (*i.e.*, a problem of approximating the logarithm of the circuit complexity within an arbitrary constant factor).

**Theorem 6** (restated). For all  $k \ge 1$ , every language in statistical zero knowledge is reducible to  $\operatorname{Gap}^k \operatorname{MCSP}$  via a BPP-reduction.

*Proof.* Let A be an arbitrary oracle that satisfies the promise of  $\operatorname{Gap}^k \operatorname{MCSP}$ . Let  $s(n) := \frac{1}{2k} \log n$ . Define an oracle  $B := \{ x \in \{0,1\}^* \mid (x, s(|x|)) \notin A \}$ . It is sufficient to show that B satisfies the hypothesis of Theorem 7.

First, we claim that B does not contain any string of low circuit complexity. Suppose that  $x \in B$ . Then we have  $(x, s(|x|)) \notin A$ , which implies that (x, s(|x|)) is not an YES instance of Gap<sup>k</sup>MCSP. This means that  $\log K_I(x) > s(|x|)$ ; hence,  $K_I(x) > |x|^{1/2k}$ .

Next, we claim that the oracle B is of polynomial density. It is sufficient to prove that  $\{x \in \{0,1\}^* | K_I(x) > |x|^{1/2}\} \subseteq B$ : Indeed, suppose that  $K_I(x) > |x|^{1/2}$  for a string  $x \in \{0,1\}^*$ ; then we have  $\log K_I(x) > ks(|x|)$ , which implies that (x, s(|x|)) is a NO instance of Gap<sup>k</sup>MCSP; hence,  $x \in B$ .

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# A Heavy Samples Protocol

For completeness, we show how to use the lower bound protocol and the upper bound protocol in order to estimate the probability that a sampled element is heavy.

**Lemma 15** (The heavy samples protocol; Trevisan and Bogdanov [6] (restated)). Let  $\mathcal{D} = \{\mathcal{D}_n\}_{n \in \mathbb{N}}$ be a polynomial-time samplable distribution. There exist a universal constant c ( $c = 2^{11}$  will do) and an AM  $\cap$  coAM protocol that solves the following promise problem: Given input  $1^n$  and a threshold  $\beta \in [0, 1]$ , accept if  $\Pr_{y \sim \mathcal{D}_n}[y \text{ is } c\beta\text{-heavy}] \geq \frac{3}{4}$ , and reject if  $\Pr_{y \sim \mathcal{D}_n}[y \text{ is } \beta\text{-heavy}] \leq \frac{1}{4}$ .

Note that we may assume that  $\beta$  is a discrete value: indeed, since  $\mathcal{D}$  has a polynomial-time sampler, the probability that an element is sampled is a multiple of  $2^{-\operatorname{poly}(n)}$  for some polynomial  $\operatorname{poly}(n)$ .

*Proof.* Let S be a polynomial-time sampler of  $\mathcal{D}$ . Namely, given an input  $1^n$  and a random coin flip of length m, the probabilistic polynomial-time machine S outputs a sample of length n from  $\mathcal{D}_n$ .

First, we show an AM protocol by using the lower bound protocol. The AM protocol works as follows: Sample  $y \sim \mathcal{D}_n$ . Run the lower bound protocol to check whether  $|S_n^{-1}(y)| \geq 2^m \cdot c\beta$ or  $|S_n^{-1}(y)| \leq 2^m \cdot \beta$ , and accept if and only if the lower bound protocol succeeds. Here,  $S_n^{-1}(y)$ denotes  $\{r \in \{0,1\}^m \mid S(1^n,r)=y\}$ . The correctness follows from the following lemma:

**Lemma 28** (Lower bound protocol; Goldwasser and Sipser [10]). There exists an AM protocol such that, given an input  $1^n$ , a threshold  $s \in \mathbb{N}$  and a string  $y \in \{0, 1\}^n$ ,

- 1. if  $|S_n^{-1}(y)| \ge cs$ , then the verifier accepts with high probability for some prover, and
- 2. if  $|S_n^{-1}(y)| \leq s$ , then the verifier rejects with high probability for any prover.

We claim the correctness of the AM protocol. If  $\Pr_{y \sim \mathcal{D}_n}[y \text{ is } c\beta\text{-heavy }] \geq \frac{3}{4}$ , then with probability at least  $\frac{3}{4}$ , the sampled string  $y \sim \mathcal{D}_n$  is  $c\beta$ -heavy. Conditioning on this, the lower bound protocol accepts with high probability (say, with probability at least  $\frac{8}{9}$ ). Therefore, the overall acceptance probability is at least  $\frac{3}{4} \cdot \frac{8}{9} = \frac{2}{3}$ . A similar argument applies to the case when  $\Pr_{y \sim \mathcal{D}_n}[y \text{ is } \beta\text{-heavy }] \leq \frac{1}{4}$ .

Next, we show a coAM protocol by using the upper bound protocol. In order to apply the upper bound protocol for checking whether  $|S_n^{-1}(y)| \leq s$  for  $s = 2^m \beta$ , we need to sample a random element  $r \in_R S_n^{-1}(y)$  that is not known to a prover. Thus, we first sample a random element  $r \in_R \{0,1\}^m$  privately, and then define  $y := S(1^n, r)$ . (Note that y is distributed according to  $\mathcal{D}_n$ .) Run the upper bound protocol to check whether  $|S_n^{-1}(y)| \leq s$  or  $|S_n^{-1}(y)| \geq cs$ , and accept if and only if the upper bound protocol succeeds.

The correctness of the coAM protocol follows from the same argument as the AM protocol and the following lemma.

**Lemma 29** (Upper bound protocol; Fortnow [9]). There exists an AM protocol satisfying the following: Suppose that a verifier has a random element  $r \in_R S_n^{-1}(y)$  that is not known by a prover. Given an input  $1^n$ , a threshold  $s \in \mathbb{N}$ , and a string  $y \in \{0,1\}^n$ ,

- 1. if  $|S_n^{-1}(y)| \leq s$ , then the verifier accepts with probability at least  $\frac{15}{16}$  for some prover, and
- 2. if  $|S_n^{-1}(y)| \ge cs$ , then the verifier rejects with probability at least  $\frac{15}{16}$  for any prover.

Since a random element r is chosen uniformly at random, it is also distributed uniformly on  $S_n^{-1}(y)$ , conditioning on the event that  $S(1^n, r) = y$ . Thus, the hypothesis of the upper bound protocol is satisfied.

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