

Almost quadratic gap between partition complexity and query/communication complexity

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Abstract

We show nearly quadratic separations between two pairs of complexity measures:

- We show that there is a Boolean function f with $D(f) = \Omega((D^{sc}(f))^{2-o(1)})$ where $D(f)$ is the deterministic query complexity of f and D^{sc} is the subcube partition complexity of f ;
- As a consequence, we obtain that there is $f(x, y)$ such that $D^{cc}(f) = \Omega(\log^{2-o(1)} \chi(f))$ where $D^{cc}(f)$ is the deterministic 2-party communication complexity of f (in the standard 2-party model of communication) and $\chi(f)$ is the partition number of f .

Both of those separations are nearly optimal: it is well known that $D(f) = O((D^{sc}(f))^2)$ and $D^{cc}(f) = O(\log^2 \chi(f))$.

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1 Introduction

Both query complexity and communication complexity of a function f can be lower bounded by an appropriate measure of complexity on partitions of the input set with the property that f is constant on every part of the partition.

In the communication complexity setting, the *partition number* of $f : X \times Y \rightarrow \{0, 1\}$ (denoted by $\chi(f)$) is the smallest number of rectangles $X_i \times Y_i$ in a partition of $X \times Y$ with the property that f is constant (either 0 or 1) on every $X_i \times Y_i$. If a deterministic communication protocol communicates k bits, it specifies a partition into 2^k rectangles. Therefore [Yao79], $D^{cc}(f) \geq \log \chi(f)$ where $D^{cc}(f)$ is the deterministic communication complexity of f in the standard two-party model.

The corresponding notion in query complexity is the *subcube partition complexity* $D^{sc}(f)$ of a function $f : \{0, 1\}^n \rightarrow \{0, 1\}$ [FKW02, KRDS15]. It is defined as the smallest k for which $\{0, 1\}^n$ can be partitioned into subcubes S_i so that each S_i defined by fixing at most k variables and, on each S_i , f is a constant. Again, it is easy to see that $D^{sc}(f)$ is a lower bound for the deterministic decision tree complexity $D(f)$ (since any deterministic decision tree defines a partition of $\{0, 1\}^n$).

Although we do not consider randomized complexity in this paper, we note that both of these measures have randomized counterparts [FKW02, JK10, JLV14] which provide lower bounds for randomized communication complexity and randomized query complexity.

We study the question: how tight are the partition lower bounds?

For communication complexity, Aho et al. [AUY83] showed that $D^{cc}(f) = O(\log^2 \chi(f))$, by observing that $D(f)$ is upper-bounded by the square of the non-deterministic communication complexity which, in turn, is at most $\log \chi(f)$. Since then, it was an open problem to determine whether any of these two bounds is tight.

For query complexity, it is well known that $D(f) = O(C^2(f))$ (where $C(f)$ is the standard certificate complexity without the unambiguity requirement) [BdW02]. Since $C(f) \leq D^{sc}(f)$, we have $D(f) = O((D^{sc}(f))^2)$. On the other hand, Savicky [Sav02] has constructed a function f with $D(f) = \Omega((D^{sc}(f))^{1.128\dots})$.

Recently, Göös, Pitassi and Watson [GPW15] made progress on these longstanding open questions, by constructing a function f with $D(f) = \tilde{\Omega}((D^{sc}(f))^{1.5})$ and showing that a separation between $D(f)$ and $D^{sc}(f)$ can be “lifted” from query complexity to the communication complexity. Thus, $D^{cc}(f) = \tilde{\Omega}(\log^{1.5} \chi(f))$

We improve this result by constructing f with $D(f) = \Omega((D^{sc}(f))^{2-o(1)})$ (which almost matches the upper bound of $D(f) = O((D^{sc}(f))^2)$). This also implies a similar separation between $D^{cc}(f)$ and $\log \chi(f)$, via the lifting result of [GPW15].

Our construction is based on the *cheat-sheet* method that was very recently developed by Aaronson, Ben-David and Kothari [ABK15] to give better separations between query complexity in different models of computation and related complexity measures. (In particular, they used cheat sheets to give the first superquadratic separation between quantum and randomized query complexities, for a total Boolean function.)

The cheat-sheet method takes a function f and produces a new function f_{CS} consisting of a composition of several copies of f , together with “cheat-sheets” that allow a quick verification of values of f , given a pointer to the right cheat sheet. In section 3, we observe that cheat-sheet functions have the property that $f_{CS}^{-1}(1)$ can be partitioned into subcubes of dimensions that can be substantially smaller than $D^{sc}(f)$.

This property does not immediately imply a better separation between D and D^{sc} , because

the cheat-sheet construction does not give a similar partition for $f_{CS}^{-1}(0)$. However, we can compose the cheat-sheet construction with several rebalancing steps which rebalance the complexity of partitions for $f_{CS}^{-1}(0)$ and $f_{CS}^{-1}(1)$. Repeating this composed construction many times gives $D(f) = \Omega((D^{sc}(f))^{2-o(1)})$.

2 Preliminaries

We use $[n]$ to denote $\{1, 2, \dots, n\}$ and $\log n$ to denote $\log_2 n$. We use the big- \tilde{O} notation which is a counterpart of big- O notation that hides polylogarithmic factors:

- $f(n) = \tilde{O}(g(n))$ if $f(n) = O(g(n) \log^c g(n))$ for some constant c and
- $f(n) = \tilde{\Omega}(g(n))$ if $f(n) = \Omega(\frac{g(n)}{\log^c g(n)})$ for some constant c .

2.1 Complexity measures for Boolean functions

We study the complexity of Boolean functions $f : \{0, 1\}^n \rightarrow \{0, 1\}$ (or, more generally, functions $f : \Sigma_1 \times \Sigma_2 \times \dots \times \Sigma_n \rightarrow \{0, 1\}$ where Σ_i are finite sets of arbitrary size). We denote the input variables by x_1, \dots, x_n . Then, the function is $f(x_1, \dots, x_n)$. Often, we use x as a shortcut for (x_1, \dots, x_n) and $f(x)$ as a shortcut for $f(x_1, \dots, x_n)$.

AND_n denotes the function $AND_n(x_1, \dots, x_n)$ which is 1 if $x_1 = \dots = x_n = 1$ and 0 otherwise. OR_n denotes the function $OR_n(x_1, \dots, x_n)$ which is 1 if $x_i = 1$ for at least one i and 0 otherwise.

For functions $f : \{0, 1\}^n \rightarrow \{0, 1\}$ and $g : \Sigma_1 \times \dots \times \Sigma_k \rightarrow \{0, 1\}$, their composition is the function $f \circ g^n : (\Sigma_1 \times \dots \times \Sigma_k)^n \rightarrow \{0, 1\}$ defined by

$$f \circ g^n(x_{11}, \dots, x_{1k}, \dots, x_{n1}, \dots, x_{nk}) = f(g(x^{(1)}), \dots, g(x^{(n)}))$$

where $x^{(i)}$ denotes (x_{i1}, \dots, x_{ik}) .

We consider the complexity of Boolean functions in the decision tree (query) model. More information on this model can be found in the survey by Buhrman and de Wolf [BdW02]. A summary of recent results (that appeared after [BdW02] was published) can be found in [ABK15].

Deterministic query complexity. A *deterministic query algorithm* or *deterministic decision tree* is a deterministic algorithm \mathcal{A} which accesses the input (x_1, \dots, x_n) by querying the input variables x_i . We say that \mathcal{A} computes a function $f(x_1, \dots, x_n)$ if, for any $(x_1, \dots, x_n) \in \{0, 1\}^n$, the output of \mathcal{A} is equal to $f(x_1, \dots, x_n)$. The *deterministic query complexity* of f , $D(f)$, is the smallest k such that there exists a deterministic query algorithm \mathcal{A} that computes $f(x_1, \dots, x_n)$ and, on every (x_1, \dots, x_n) , queries at most k input variables x_i .

Partial assignments. A *partial assignment* in $\Sigma_1 \times \Sigma_2 \times \dots \times \Sigma_n$, where Σ_i are finite alphabets, is a function $a : I_a \rightarrow \bigcup_{i=1}^n \Sigma_i$, where $I_a \subset [n]$, satisfying $a(i) \in \Sigma_i$ for all $i \in I_a$. We say that an assignment a fixes a variable x_i if $i \in I_a$. The length of a partial assignment is the size of the set I_a . A string $x \in \Sigma_1 \times \Sigma_2 \times \dots \times \Sigma_n$ is *consistent* with the assignment a if $x_i = a(i)$ for all $i \in I_a$; then we denote $x \sim a$. Every partial assignment defines an associated *subcube*, which is the set of all strings consistent with that assignment:

$$S_C = \{x \in \Sigma_1 \times \Sigma_2 \times \dots \times \Sigma_n \mid x \sim a\}.$$

In the other direction, for every subcube there is a unique partial assignment that defines it.

Certificate complexity. For $b \in \{0, 1\}$, a b -certificate for a function $f : \Sigma_1 \times \Sigma_2 \times \dots \times \Sigma_n \rightarrow \{0, 1\}$ is a partial assignment a such that $f(x) = b$ for all $x \sim a$. The b -certificate complexity of f (denoted by $C_b(f)$) is the smallest number k such that, for every $x : f(x) = b$, there exists a b -certificate a of length at most k with $x \sim a$. The certificate complexity of f (denoted by $C(f)$) is the maximum of $C_0(f)$, $C_1(f)$.

Equivalently, we can say that $C_b(f)$ is the smallest number k such that the set $f^{-1}(b)$ can be written as a union of subcubes S_C corresponding to partial assignments of length at most k .

Unambiguous certificate complexity. The *unambiguous b -certificate complexity* of f (denoted by $UP_b(f)$) is the smallest number k such that we can choose a collection of b -certificates a_1, \dots, a_m of length at most k with the property that, for every $x : f(x) = b$, there is exactly one a_i with $x \sim a_i$. Equivalently, $UP_b(f)$ is the smallest number for which the set $f^{-1}(b)$ can be written as a disjoint union of subcubes defined by fixing at most k variables.

The *deterministic subcube partition complexity* of f (denoted by $D^{sc}(f)$ [KRDS15]) is defined as maximum of $UP_0(f)$, $UP_1(f)$ and is the smallest k for which $\Sigma_1 \times \Sigma_2 \times \dots \times \Sigma_n$ can be written as a disjoint union of subcubes obtained by fixing at most k variables, with f being constant on every subcube. $D^{sc}(f)$ is also known as *two-sided unambiguous certificate complexity* [GPW15] and has been studied under several other names (from *non-overlapping cover size* [BOH90] to *non-intersecting complexity* [Bel06]).

2.2 Technical lemmas

Let $f(x_1, \dots, x_n, y_1, \dots, y_k) : \{0, 1\}^n \times [N]^k \rightarrow \{0, 1\}$ be a function with some $\{0, 1\}$ -valued variables and some variables which take values in a larger set $[N]$.

We can 'Booleinize' f in a following way. Let $d = \lceil \log N \rceil$. We fix a mapping h from $\{0, 1\}^d$ onto $[N]$ and define $\tilde{f} : \{0, 1\}^{n+kd} \rightarrow \{0, 1\}$ with variables $x_i, i \in [n]$ and $y_{ij}, i \in [k], j \in [d]$ by

$$\tilde{f}(x_1, \dots, x_n, y_{11}, \dots, y_{kd}) = f(x_1, \dots, x_n, h(y_{11}, \dots, y_{1d}), \dots, h(y_{k1}, \dots, y_{kd})).$$

Similarly to equation (3) in [GPW15], we have

Lemma 1. For any measure $m \in \{D, UP_0, UP_1, C_0, C_1\}$,

$$m(\tilde{f}) \leq m(f) \cdot \lceil \log N \rceil.$$

Moreover, $D(f) \leq D(\tilde{f})$.

Proof. In appendix A. □

A second technical result that we use is about combining partitions into subcubes for several sets $\Sigma_i^{n_i}$ into a partition for $\Sigma_1^{n_1} \times \Sigma_2^{n_2} \times \dots \times \Sigma_m^{n_m}$.

Lemma 2. Suppose that $\Sigma_1, \dots, \Sigma_m$ are finite alphabets and $n_1, \dots, n_m \in \mathbb{N}$. Suppose that for each $i \in [m]$ there is a set $K_i \subset \Sigma_i^{n_i}$ which can be partitioned into disjoint subcubes defined by assignments of length at most d_i .

Then the set $K_1 \times K_2 \times \dots \times K_m \subset \Sigma_1^{n_1} \times \Sigma_2^{n_2} \times \dots \times \Sigma_m^{n_m}$ can be partitioned into disjoint subcubes defined by assignments of length at most $d_1 + \dots + d_m$.

Proof. In appendix A. □

2.3 Communication complexity

In the standard model of communication complexity [Yao79], we have a function $f(x, y) : X \times Y \rightarrow \{0, 1\}$, with x given to one party (Alice) and y given to another party (Bob). *Deterministic communication complexity* of f , $D^{cc}(f)$ is the smallest k such that there is a protocol for Alice and Bob that computes $f(x, y)$ and, for any x and y , the amount of bits communicated between Alice and Bob is at most k .

The counterpart of $D^{sc}(f)$ for communication complexity is the partition number $\chi(f)$, defined as the smallest k such that $X \times Y$ can be partitioned into $X_i \times Y_i$ for $i \in [k]$ so that each $(x, y) \in X \times Y$ belongs to exactly one of $X_i \times Y_i$ and, for each $i \in [k]$, $f(x, y)$ is the same for all $(x, y) \in X_i \times Y_i$.

3 Results

Theorem 3. *There is a sequence of functions f with $D(f) = \Omega((D^{sc}(f))^{2-o(1)})$.*

Proof sketch. We describe the main steps of the proof of Theorem 3 (with each step described in more detail in the next subsection or in the appendix). We use the *cheat-sheet* construction of Aaronson, Ben-David and Kothari [ABK15] which transforms a given function f into a new function $f_{CS}^{t,c}$ in a following way.

Definition 4. Suppose that Σ is a finite alphabet and $f : \Sigma^n \rightarrow \{0, 1\}$ satisfies $C(f) \leq c \leq n$. Let $t \in \mathbb{N}$ be fixed. A function $f_{CS}^{t,c} : \Sigma^{tn} \times [n]^{2^t c} \rightarrow \{0, 1\}$ for an input (x, y) , $x \in \Sigma^{tn}$, $y \in [n]^{2^t c}$ is defined as follows:

- the input x is interpreted as a $t \times n$ matrix with entries $x_{p,q} \in \Sigma$, $p \in [t]$, $q \in [n]$;
- for $p \in [t]$, the p th row of x is denoted by $x^{(p)} = (x_{p,1}, x_{p,2}, \dots, x_{p,n}) \in \Sigma^n$ and is interpreted as an input to the function f , with the value of function denoted $b_p = f(x^{(p)}) \in \{0, 1\}$, ;
- the input y is interpreted as a three-dimensional array of size $2^t \times t \times c$ with entries $y_{p,q,r} \in [n]$, $p \in [2^t]$, $q \in [t]$, $r \in [c]$;
- we denote $\hat{p} = 1 + \sum_{i=1}^t b_i 2^{i-1} \in [2^t]$;
- the function $f_{CS}^{t,c}$ is defined to be 1 for the input (x, y) iff for each $q \in [t]$ the following properties simultaneously hold:

1. the c numbers $y_{\hat{p},q,1}, \dots, y_{\hat{p},q,c}$ are pairwise distinct, and
2. the assignment $a^q : \{y_{\hat{p},q,1}, \dots, y_{\hat{p},q,c}\} \rightarrow \Sigma$, defined by

$$a^q(y_{\hat{p},q,r}) = x_{q,y_{\hat{p},q,r}}, \quad \text{for all } r \in [c],$$

is a b_q -certificate for f .

The function $f_{CS}^{t,c}$ can be computed by computing $f(x^{(p)})$ for all $p \in [t]$, determining \hat{p} and verifying whether the two properties hold. Alternatively, if someone guessed \hat{p} , he could verify that $b_p = f(x^{(p)})$ for all $p \in [t]$ by just checking that the certificates a^q (which could be substantially faster than computing $f(x^{(p)})$). This is the origin of the term “cheat sheet” [ABK15] - we can view

$y_{\hat{p},q,r}$ for $q \in [t]$, $r \in [c]$ as a cheat-sheet that allows to check $b_p = f(x^{(p)})$ without running a full computation of f .

This construction has the following effect on the complexity measures $D(f)$, $C(f)$, $UP_0(f)$ and $UP_1(f)$:

Lemma 5. *Assume that f and c satisfy the conditions of Definition 4 and $t \geq 2 \log(tD(f))$. Then, we have:*

1. $\frac{t}{2}D(f) \leq D(f_{CS}^{t,c}) \leq tD(f) + tc$;
2. $UP_1(f_{CS}^{t,c}) \leq 2tc$;
3. $UP_0(f_{CS}^{t,c}) \leq tD^{sc}(f) + 2tc$;
4. $C(f_{CS}^{t,c}) \leq 3tc$.

Proof. In section 3.1. □

We note that some of variables for the resulting function $f_{CS}^{t,c}$ take values in $[n]$, even if the original f is Boolean. To obtain a Boolean function as a result, we “Booleanize” $f_{CS}^{t,c}$ as described in Lemma 1.

For our purposes, the advantage of Lemma 5 is that $UP_1(f_{CS}^{t,c})$ for the resulting function $f_{CS}^{t,c}$ may be substantially smaller than $UP_1(f)$ (because $UP_1(f_{CS}^{t,c})$ depends on $C(f)$ which can be smaller than $UP_1(f)$)!

However, going from f to f_{CS} does not decrease UP_0 (because $UP_0(f_{CS}^{t,c})$ depends on $D^{sc}(f)$). To deal with that, we combine the cheat-sheet construction with two other steps which rebalance UP_0 and UP_1 . These two steps are composition with AND and OR which have the following effect on the complexity measures $D(f)$, $C(f)$, $UP_0(f)$ and $UP_1(f)$:

Lemma 6. *Suppose that $g : \{0, 1\}^N \rightarrow \{0, 1\}$. Let $f_{and} = AND_n \circ g^n$ and $f_{or} = OR_n \circ g^n$. Then*

$$\begin{aligned}
D(f_{and}) &= D(f_{or}) = nD(g); \\
C_0(f_{or}) &= nC_0(g), \quad C_1(f_{or}) = C_1(g); \\
C_0(f_{and}) &= C_0(g), \quad C_1(f_{and}) = nC_1(g); \\
UP_0(f_{or}) &\leq nUP_0(g), \quad UP_1(f_{or}) \leq (n-1)UP_0(g) + UP_1(g); \\
UP_0(f_{and}) &\leq (n-1)UP_1(g) + UP_0(g), \quad UP_1(f_{and}) \leq nUP_1(g).
\end{aligned}$$

Proof. In appendix B. □

By combining Lemmas 1, 5 and 6, we get

Lemma 7. *Let $f : \{0, 1\}^N \rightarrow \{0, 1\}$ be fixed.*

Suppose that $t, c, n \in \mathbb{N}$ satisfy $\max\{nC_0(f), C_1(f)\} \leq c$ and $t \geq 2 \log(tD(f))$. Consider the function

$$f' : \{0, 1\}^{tNn^2 + 2^t tcn \lceil \log(Nn) \rceil} \rightarrow \{0, 1\}$$

defined as follows:

$$f' = AND_n \circ \tilde{h}_{CS}^n,$$

where \tilde{h}_{CS} is the boolean function associated to $h_{\text{CS}}^{t,c}$ and $h : \{0, 1\}^{Nn} \rightarrow \{0, 1\}$ is defined as

$$h := OR_n \circ f^n.$$

Then the following estimates hold:

1. $0.5tn^2D(f) \leq D(f') \leq tn(c + nD(f))\lceil \log(Nn) \rceil$;
2. $D^{\text{sc}}(f') \leq tn(2c + D^{\text{sc}}(f))\lceil \log(Nn) \rceil$;
3. $C_0(f') \leq 3tc\lceil \log(Nn) \rceil$;
4. $C_1(f') \leq 3tcn\lceil \log(Nn) \rceil$.

Proof. In appendix B. □

Applying Lemma 7 results in a function f' for which $D(f')$ is roughly $n^2D(f)$ but $D^{\text{sc}}(f)$ is roughly $nD^{\text{sc}}(f)$. We use Lemma 7 repeatedly to construct a sequence of functions $f^{(1)}, f^{(2)}, \dots$ in which $f^{(1)} = AND_n$ and each next function $f^{(m+1)}$ is equal to the function f' obtained by applying Lemma 7 to $f^{(m)} = f$. The complexity of those functions is described by the next Lemma.

Lemma 8. *Let $m \in \mathbb{N}$. Then there are positive integers a_0, a_1, a_2, a_3 s.t. for all integers $n \geq 2$ there exists $N \in \mathbb{N}$ and a function $f^{(m)} : \{0, 1\}^N \rightarrow \{0, 1\}$ satisfying*

$$\begin{aligned} N &\leq a_0 n^{9m} \log^{10m-10}(n), \\ a_1 n^{2m-1} \log^{m-1}(n) &\leq D(f^{(m)}) \leq a_2 n^{2m-1} \log^{2m-2}(n), \\ D^{\text{sc}}(f^{(m)}) &\leq a_3 n^m \log^{2m-2}(n), \\ C_0(f^{(m)}) &\leq a_3 n^{m-1} \log^{2m-2}(n), \\ C_1(f^{(m)}) &\leq a_3 n^m \log^{2m-2}(n). \end{aligned}$$

Proof. In appendix B. □

Theorem 3 now follows immediately from Lemma 8 which implies that for every $m \in \mathbb{N}$ we have a family of functions satisfying

$$D^{\text{sc}}(f) = \tilde{O}(n^m), \quad D(f) = \tilde{\Omega}(n^{2m-1}).$$

Then, $D(f) = \tilde{\Omega}((D^{\text{sc}}(f))^{2-\frac{1}{m}})$. Since this construction works for every $m \in \mathbb{N}$, we get that $D(f) = \Omega((D^{\text{sc}}(f))^{2-o(1)})$ for an appropriately chosen sequence of functions f . □

Communication complexity implications. The standard strategy for transferring results from the domain of query complexity to communication complexity is to compose a function $f : \{0, 1\}^n \rightarrow \{0, 1\}$ with a function $g : X \times Y \rightarrow \{0, 1\}$, obtaining the function

$$f \circ g^n(x_1, \dots, x_n, y_1, \dots, y_n) = f(g(x_1, y_1), \dots, g(x_n, y_n)).$$

We can then define $x = (x_1, \dots, x_n)$ as Alice's input and $y = (y_1, \dots, y_n)$ as Bob's input. Querying the i^{th} variable then corresponds to computing $g(x_i, y_i)$ and, if we have a query algorithm which makes $D(f)$ queries, we can obtain a communication protocol for $f \circ g^n$ with a communication that is approximately $D(f)D^{\text{cc}}(g)$.

Building on an earlier work by Raz and McKenzie [RM99], Göös, Pitassi and Watson [GPW15] have shown

Theorem 9. [GPW15] For any n , there is a function $g : X \times Y$ such that, for any $f : \{0, 1\}^n \rightarrow \{0, 1\}$, we have

1. $D^{cc}(f \circ g^n) = \Theta(D(f) \log n)$ and
2. $\log \chi(f \circ g^n) = O(D^{sc}(f) \log n)$.

In this theorem, $D^{cc}(f \circ g^n) = O(D(f) \log n)$ and $\log \chi(f \circ g^n) = O(D^{sc}(f) \log n)$ follow easily by replacing queries to variables x_i with computations of g . The part of the theorem that is not obvious (and is quite difficult technically) is that one also has $D^{cc}(f \circ g^n) = \Omega(D(f) \log n)$, i.e. communication protocols for $f \circ g^n$ cannot be better than the ones obtained from deterministic query algorithms for f .

By combining Theorems 3 and 9, we immediately obtain

Corollary 10. There exists h with $D^{cc}(h) = \Omega(\log^{2-o(1)} \chi(h))$.

3.1 Proof of Lemma 5

Proof of Lemma 5. For brevity, we now denote $f_{CS}^{t,c}$ as simply f_{CS} . As before, the first tn variables are indexed by pairs (p, q) , where $p \in [t]$, $q \in [n]$; the remaining $2^t tc$ variables are indexed by triples (p, q, r) , where $p \in [2^t]$, $q \in [t]$, $r \in [c]$. We denote $x^{(p)} = (x_{p,1}, x_{p,2}, \dots, x_{p,n}) \in \Sigma^n$ for each $p \in [t]$.

Lower bound on deterministic complexity. Let \mathcal{A} be an adversarial strategy of setting the variables x_1, \dots, x_n in the function $f(x_1, \dots, x_n)$ that forces an algorithm to make at least $D(f)$ queries. We use t copies of this strategy $\mathcal{A}_1, \dots, \mathcal{A}_t$, with \mathcal{A}_p setting the values of $x_{p,1}, \dots, x_{p,n}$ in $f(x_{p,1}, \dots, x_{p,n})$. The overall adversarial strategy is

1. If a variable $x_{p,q}$ is queried and its value has not been set yet, use \mathcal{A}_p to choose its value;
2. If a variable $y_{p,q,r}$ is queried and its value has not been set yet:
 - (a) Let b be the q^{th} bit of p .
 - (b) Choose a certificate a that certifies $f(x_1, \dots, x_n) = a$ and contains all variables x_i with indices $i = y_{p,q,r'}$ for which we have already chosen values. ‘ If possible, choose a so that, in addition to those requirements, a is also consistent with the values among $x_{q,1}, \dots, x_{q,n}$ that we have already fixed.
 - (c) Set $y_{p,q,r}$ be an index of a variable that is fixed by a but is not among $y_{p,q,r'}$ that have already been chosen.
 - (d) If $x_{q,y_{p,q,r}}$ is not already fixed, fix it using the adversarial strategy \mathcal{A}_q .

If less than $\frac{tD(f)}{2}$ queries are made, less than $\frac{t}{2}$ of $f(x^{(p)})$ for $p \in [t]$ have been fixed. Thus, more than $\frac{t}{2}$ of $f(x^{(p)})$ are not fixed yet. This means that there are more than $2^{\frac{t}{2}} > tD(f)$ possible choices for \hat{p} that are consistent with the answers to queries that have been made so far. Since less than $\frac{tD(f)}{2}$ queries have been made, one of these choices has the property that no $y_{\hat{p},q,r}$ has been queried for any q and r .

We now set the remaining variables $x_{p,q}$ so that $f(x^{(p)})$ equals the p^{th} bit of \hat{p} . Since no $y_{\hat{p},q,r}$ has been queried, we are free to set them so that the correct requirements are satisfied for every q ($y_{\hat{p},q,r}$ are all distinct and $a^q(y_{\hat{p},q,r}) = x_{q,y_{\hat{p},q,r}}$) or so that they are not satisfied. Depending on that, we can have $f_{CS} = 0$ or $f_{CS} = 1$.

Upper bound on deterministic complexity. We first use $tD(f)$ queries to compute $f(x_{p,1}, \dots, x_{p,n})$ for all $p \in [t]$. Once we know $f(x^{(p)})$ for all $p \in [t]$, we can calculate \hat{p} from Definition 4. We then use tc queries to query $y_{\hat{p},q,r}$ for all $q \in [t]$ and $r \in [c]$ and tc queries to query $x_{q,y_{\hat{p},q,r}}$ for all $q \in [t]$ and $r \in [c]$. Then, we can check all the conditions of Definition 4.

Unambiguous 1-certificate complexity.

We show $UP_1(f_{CS}) \leq 2tc$ by giving a mapping from 1-inputs (x, y) to 1-certificates a , with the property that, for any two 1-inputs (x, y) and (x', y') , the corresponding 1-certificates a and a' are either the same or contradict one another in some variable. Then, for every 1-input (x, y) there is exactly one certificate a with $(x, y) \sim a$.

To map a 1-input (x, y) to a 1-certificate a , we do the following:

1. Let $b_i = f(x^{(i)})$, for each $i \in [t]$, and $\hat{p} = 1 + \sum_{i=1}^t b_i 2^{i-1}$. Since $f_{CS}(x, y) = 1$, the c numbers $y_{\hat{p},i,1}, \dots, y_{\hat{p},i,c}$ are distinct and the assignment $a_i : \{y_{\hat{p},i,1}, \dots, y_{\hat{p},i,c}\} \rightarrow \Sigma$, defined by

$$a_i(y_{\hat{p},i,r}) = x_{i,y_{\hat{p},i,r}}, \quad \text{for all } r \in [c],$$

is a valid b_i -certificate for f . We define that a must fix all variables fixed by a_1, \dots, a_t in the same way (i.e., a fixes $x_{i,j}$ with indices $j = y_{\hat{p},i,r}$, for all $i \in [t]$ and $r \in [c]$).

2. We define that a also fixes the variables $y_{\hat{p},q,r}$, $q \in [t]$, $r \in [c]$, to the values that they have in the input (x, y) .

In each stage tc variables are fixed. Thus, the length of the resulting partial assignment a is $2tc$. Notice that a is a 1-certificate for f_{CS} , since the t assignments a^1, \dots, a^t uniquely determine \hat{p} , and all variables $y_{\hat{p},q,r}$ are fixed to valid values, ensuring that $f_{CS} = 1$.

We now show that, for any two different 1-certificates a, a' constructed through this process, there is no input (x, y) that satisfies both of them. If a and a' differ in the part that is fixed in the first stage, there are two possible cases:

1. There exists $j \in [t]$ such that a_j is 0-certificate for f , whereas a'_j is a 1-certificate for f (or vice-versa). Then there must be no $x^{(j)}$ that satisfies both of them.
2. For every $q \in [t]$, a_q and a'_q are both b_q -certificates, for the same $b_q \in \{0, 1\}$ but there exists $j \in [t]$ such that a_j differs from a'_j . In this case a_1, \dots, a_t and a'_1, \dots, a'_t determine the same value \hat{p} .

Since a_j and a'_j are different certificates that fix the same variables (namely, they both fix $x_{j,l}$ with indices $l = y_{\hat{p},j,r}$, $r \in [c]$), they must fix at least one variable to different values. Then, no $x^{(j)}$ can satisfy both a_j and a'_j .

If a and a' are the same in the part fixed in the 1st stage, the values of the variables that we fix in the 1st stage uniquely determine \hat{p} and, hence, the indices of variables that are fixed in the 2nd stage. Hence, the only way how a and a' could differ in the part fixed in the 2nd stage is if the same variable $y_{\hat{p},q,r}$ is fixed to different values in a and a' . This means that there is no (x, y) that satisfies both a and a' .

Unambiguous 0-certificate complexity.

Similarly to the previous case, we give a mapping from 0-inputs (x, y) to 0-certificates a , with the property that, for any two 0-inputs (x, y) and (x', y') , the corresponding 0-certificates a and a' are either the same or contradict one another in some variable. To map a 0-input (x, y) to a 0-certificate a , we do the following:

1. We fix a partition of $\{0, 1\}^n$ into subcubes corresponding to assignments of length at most $D^{sc}(f)$ with the property that f is constant on every subcube. For each $p \in [t]$, $(x_{p,1}, \dots, x_{p,n})$ belongs to some subcube in this partition. Let a_p be the certificate that corresponds to this subcube. We define that a must fix all variables fixed by a_1, \dots, a_t in the same way.
2. Let $\hat{p} = 1 + \sum_{i=1}^t b_i 2^{i-1}$ where $b_i = f(x^{(i)})$. (We note that a_1, \dots, a_t determine the values of $f(x^{(1)}), \dots, f(x^{(t)})$. Thus, \hat{p} is determined by the variables that we fixed at the previous stage.) We define that a also fixes the variables $y_{\hat{p},q,r}$ to the values that they have in the input (x, y) .
3. If there is $q \in [t]$ such that the set $\{y_{\hat{p},q,1}, \dots, y_{\hat{p},q,c}\}$ is not equal to the set of variables fixed in some b_q -certificate (this includes the case when these c numbers are not distinct), the values of variables fixed by a imply that $f_{CS}(x, y) = 0$. In this case, we stop.
4. Otherwise, there must be $q' \in [t]$ such that the set $\{y_{\hat{p},q',1}, \dots, y_{\hat{p},q',c}\}$ is equal to the set of variables fixed in some $b_{q'}$ -certificate but the actual assignment $x_{y_{\hat{p},q',1}}, \dots, x_{y_{\hat{p},q',c}}$ is not a valid $b_{q'}$ -certificate.

In this case, we define that a also fixes $x_{y_{\hat{p},q,r}}$ for all $q \in [t], r \in [c]$ to the values that they have in the input (x, y) . Since this involves fixing $x_{y_{\hat{p},q',1}}, \dots, x_{y_{\hat{p},q',c}}$ which do not constitute a valid $b_{q'}$ -certificate, this implies $f_{CS}(x, y) = 0$.

The first stage fixes at most $tD^{sc}(f)$ variables, the second stage fixes tc variables and the last stage also fixes tc variables (some of which might have already been fixed in the 1st stage). Thus, the length of the resulting 0-certificate a is at most $tD^{sc}(f) + 2tc$.

We now show that, for any two different 0-certificates a, a' constructed through this process, there is no input (x, y) that satisfies both of them. If a and a' differ in the part that is fixed in the first stage, there exists $j \in [t]$ such that a_j differs from a'_j . Since a_j, a'_j correspond to different subcubes in a partition, there must be no $x^{(j)}$ that satisfies both of them.

If a and a' are the same in the part fixed in the 1st stage, the values of the variables that we fix in the 1st stage uniquely determine \hat{p} and, hence, the indices of variables that are fixed in the 2nd stage. Hence, the only way how a and a' could differ in the part fixed in the 2nd stage is if the same variable $y_{\hat{p},q,r}$ is fixed to different values in a and a' . This means that there is no (x, y) that satisfies both a and a' .

If a and a' are the same in the part fixed in the first two stages, the values of the variables that we fix in these stages uniquely determine the indices of variables that are fixed in the last stage and the same argument applies.

Certificate complexity. Since b -certificate complexity is no larger than unambiguous b -certificate complexity, we immediately conclude that

$$C_1(f_{CS}) \leq UP_1(f_{CS}) \leq 2tc.$$

We show $C_0(f_{CS}) \leq 3tc$ by giving a mapping from 0-inputs (x, y) to 0-certificates a (now different certificates are not required to contradict one another). Then, the collection of all 0-certificates a to which some (x, y) is mapped covers $f_{CS}^{-1}(0)$ (possibly with overlaps).

To map a 0-input (x, y) to a 0-certificate a , we do the following:

1. Let a_p , for each $p \in [t]$, be a $f(x^{(p)})$ -certificate that is satisfied by $x^{(p)} = (x_{p,1}, \dots, x_{p,n})$. We define that a must fix all variables fixed by a_1, \dots, a_t in the same way.

2. Let $\hat{p} = 1 + \sum_{i=1}^t b_i 2^{i-1}$ where $b_i = f(x^{(i)})$. (Notice that \hat{p} is determined by the variables that we fixed at the previous stage.) We define that a also fixes the variables $y_{\hat{p},q,r}$ to the values that they have in the input (x, y) .
3. If there is $q \in [t]$ such that the set $\{y_{\hat{p},q,1}, \dots, y_{\hat{p},q,c}\}$ is not equal to the set of variables fixed in some b_q -certificate, the values of variables fixed by a imply that $f_{\text{CS}}(x, y) = 0$. In this case, we stop.
4. Otherwise, there must be $q' \in [t]$ such that the set $\{y_{\hat{p},q',1}, \dots, y_{\hat{p},q',c}\}$ is equal to the set of variables fixed in some $b_{q'}$ -certificate but the actual assignment $x_{y_{\hat{p},q',1}}, \dots, x_{y_{\hat{p},q',c}}$ is not a valid $b_{q'}$ -certificate.

In this case, we define that a also fixes $x_{y_{\hat{p},q,r}}$ for all $q \in [t], r \in [c]$ to the values that they have in the input (x, y) . Since this involves fixing $x_{y_{\hat{p},q',1}}, \dots, x_{y_{\hat{p},q',c}}$ which do not constitute a valid $b_{q'}$ -certificate, this implies $f_{\text{CS}}(x, y) = 0$.

The first stage fixes at most tc variables, the second stage fixes tc variables and the last stage also fixes tc variables (some of which might have already been fixed in the 1st stage). Thus, the length of the resulting 0-certificate a is at most $3tc$. We conclude that $C_0(f_{\text{CS}}) \leq 3tc$ and also

$$C(f_{\text{CS}}) \leq 3tc.$$

□

4 Conclusions

A deterministic query algorithm induces a partition of the Boolean hypercube $\{0, 1\}^n$ into subcubes that correspond to different computational paths that the algorithm can take. If \mathcal{A} makes at most k queries, each subcube is defined by values of at most k input variables.

It is well known that one can also go in the opposite direction, with a quadratic loss. Given a partition of $\{0, 1\}^n$ into subcubes S_i defined by fixing at most k input variables with a function f constant on every S_i , one can construct a query algorithm that computes f with at most k^2 queries [JLV14].

In this paper, we show that this transformation from partitions to algorithms is close to being optimal, by exhibiting a function f with a corresponding partition for which any deterministic query algorithm requires $\Omega(k^{2-o(1)})$ queries. Together with the “lifting theorem” of [GPW15], this implies a similar result for communication complexity: there is a communication problem f for which the input set can be partitioned into 2^k rectangles with f constant on every rectangle but any deterministic communication protocol needs to communicate $\Omega(k^{2-o(1)})$ bits.

An immediate open question is whether randomized or quantum algorithms (protocols) still require $\Omega(k^{2-o(1)})$ queries (bits). It looks plausible that the lower bound for deterministic query complexity $D(f)$ for our construction can be adapted to randomized query complexity, with a constant factor loss every time when we iterate our construction. If this is indeed the case, we would get a similar lower bound for randomized query algorithms. With randomized communication protocols, the situation is more difficult because the $D^{cc}(f \circ g^n) = \Theta(D(f) \log n)$ result of [GPW15] has no randomized counterpart [GJPW15].

In the quantum case, our composed function f no longer requires $\Omega(k^{2-o(1)})$ queries because one could use Grover’s quantum search algorithm [Gro96] to evaluate AND_n and OR_n . Using

this approach, we can show that the function $f^{(m)}$ of Lemma 8 can be computed with $O(n^{m-\frac{1}{2}})$ quantum queries which is less than our bound on $D^{sc}(f)$. Generally, it seems that we do not know functions f for which quantum query complexity $Q(f)$ is asymptotically larger than $D^{sc}(f)$.

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Appendix

A Proofs of technical lemmas

Proof of Lemma 1. If we have a query algorithm for f , we can replace each query querying a variable y_i by $d = \lceil \log N \rceil$ queries querying variables y_{i1}, \dots, y_{id} (and a computation of $h(y_{i1}, \dots, y_{id})$) and obtain an algorithm for \tilde{f} . Conversely, we can transform an algorithm computing \tilde{f} into an algorithm computing f by replacing a query to y_{ij} by a query to y_i .

For certificate complexity measures (C_a and UP_a , $a \in \{0, 1\}$), let

$$x = (x_1, \dots, x_n, h(y_{11}, \dots, y_{1d}), \dots, h(y_{k1}, \dots, y_{kd}))$$

be the input to f corresponding to an input $\tilde{x} = (x_1, \dots, x_n, y_{11}, \dots, y_{kd})$ to \tilde{f} . We can transform a certificate for the function f on the input x into a certificate for the function \tilde{f} on the input \tilde{x} by replacing each variable y_i with $d = \lceil \log N \rceil$ variables y_{i1}, \dots, y_{id} . This gives $C_a(\tilde{f}) \leq C_a(f) \cdot \lceil \log N \rceil$.

If the certificates for f with which we start are unambiguous, then, for any two different certificates I_1, I_2 , there is a variable in which they differ. If I_1 and I_2 differ in one of x_i 's, the corresponding certificates for \tilde{f} differ in the same x_i . If I_1 and I_2 differ in one of y_i 's, the corresponding certificates for \tilde{f} differ in at least one of y_{ij} for the same i and some $j \in [d]$. This gives $UP_a(\tilde{f}) \leq UP_a(f) \cdot \lceil \log N \rceil$. \square

Proof of Lemma 2. For each $i \in [m]$ we can express K_i as

$$K_i = \bigcup_{j=1}^{t_i} S_{i,j},$$

where $S_{i,j} \subset \Sigma_i^{n_i}$ are subcubes and for all $j, j' \in [t_i]$ with $j \neq j'$ the subcubes are disjoint, i.e., $S_{i,j} \cap S_{i,j'} = \emptyset$. Let $a_{i,j} : I_{i,j} \rightarrow \Sigma_i$, $I_{i,j} \subset [n_i]$, $|I_{i,j}| \leq d_i$, be the partial assignment, associated to the subcube $S_{i,j}$, $j \in [t_i]$, $i \in [m]$.

Let $J = [t_1] \times [t_2] \times \dots \times [t_m]$ and denote $\mathbf{j} = (j_1, \dots, j_m) \in J$. For each $k \in [m]$ denote $N_k = n_1 + n_2 + \dots + n_k$. Define a set $S_{\mathbf{j}}$ for each $\mathbf{j} \in J$ as

$$S_{\mathbf{j}} = S_{1,j_1} \times S_{2,j_2} \times \dots \times S_{m,j_m}.$$

Fix any $\mathbf{j} \in J$. Clearly, $S_{\mathbf{j}} \subset \Sigma_1^{n_1} \times \Sigma_2^{n_2} \times \dots \times \Sigma_m^{n_m}$.

Denote $I + k = \{i + k \mid i \in I\}$ for a set $I \subset \mathbb{R}$ and $k \in \mathbb{R}$. Notice that $S_{\mathbf{j}}$ is a subcube, with the associated partial assignment $A_{\mathbf{j}} : I_{\mathbf{j}} \rightarrow \bigcup_{i \in [m]} \Sigma_i$, where the set $I \subset [N_m]$ is defined as

$$I = I_{1,j_1} \cup (I_{2,j_2} + N_1) \cup (I_{3,j_3} + N_2) \cup \dots \cup (I_{m,j_m} + N_{m-1})$$

and

$$A_{\mathbf{j}}(i) = \begin{cases} a_{1,j_1}(i), & i \in I_{1,j_1} \subset [N_1], \\ a_{2,j_2}(i - N_1), & i \in I_{2,j_2} + N_1 \subset [N_1 + 1 .. N_2], \\ \dots \\ a_{k,j_k}(i - N_{k-1}), & i \in I_{k,j_k} + N_{k-1} \subset [N_{k-1} + 1 .. N_k], \\ \dots \\ a_{m,j_m}(i - N_{m-1}), & i \in I_{m,j_m} + N_{m-1} \subset [N_{m-1} + 1 .. N_m]. \end{cases}$$

We also observe that the length of the assignment $A_{\mathbf{j}}$ defining $S_{\mathbf{j}}$ is

$$|I_{\mathbf{j}}| = |I_{1,j_1}| + |I_{2,j_2}| + \dots + |I_{m,j_m}| \leq d_1 + d_2 + \dots + d_m.$$

Finally, $S_{\mathbf{j}}$, $\mathbf{j} \in J$ define a partition of the whole space $\Sigma_1^{n_1} \times \Sigma_2^{n_2} \times \dots \times \Sigma_m^{n_m}$ into disjoint subcubes. To see that, fix any $x = (x_1, \dots, x_m)$ with $x_i \in \Sigma_i^{n_i}$, $i \in [m]$. Since $S_{i,j}$, $j \in [t_i]$, partition the space $\Sigma_i^{n_i}$, there is a unique $j_i \in [t_i]$ such that $x_i \in \Sigma_i^{n_i}$, for all $i \in [m]$. But then there is a unique $\mathbf{j} = (j_1, \dots, j_m) \in J$ such that $x \in S_{\mathbf{j}}$. \square

B Proofs of Lemmas 6-8

Proof of Lemma 6. The equalities $D(f_{and}) = D(f_{or}) = nD(g)$ immediately follow from [Tal13, Lemma 3.1]. The equalities $C_0(f_{or}) = nC_0(g)$ and $C_1(f_{or}) = C_1(g)$ have been shown in [GSS13, Proposition 31].

Since $f_{and} = \neg OR_n \circ (\neg g)^n$, also $C_0(f_{and}) = C_0(g)$ and $C_1(f_{and}) = nC_1(g)$ follow from [GSS13, Proposition 31]. It remains to show the upper bounds on $UP_0(f_{or})$ and $UP_1(f_{or})$ (the proof for f_{and} is similar).

Let $UP_0(g) = u_0$ and $UP_1(g) = u_1$. Then, for each $b \in \{0, 1\}$, $g^{-1}(b)$ can be partitioned into disjoint subcubes defined by assignments of length at most u_b .

For an input $x \in \{0, 1\}^{Nn}$, let $x = (x^{(1)}, \dots, x^{(n)})$, $x^{(i)} \in \{0, 1\}^N$. We have $f_{or}(x) = 0$ iff $g(\tilde{x}^{(k)}) = 0$ for all $k \in [n]$. Hence

$$f_{or}^{-1}(0) = (g^{-1}(0))^n.$$

By Lemma 2, $f_{or}^{-1}(0)$ can be partitioned into disjoint subcubes defined by assignments of length at most nu_0 . Thus, $UP_0(f_{or}) \leq nUP_0(g)$.

For $f_{or}^{-1}(1)$, we have

$$f_{or}^{-1}(1) = \bigcup_{j=1}^n K_j, K_j = (g^{-1}(0))^{j-1} \times g^{-1}(1) \times \{0, 1\}^{(n-j)N} \subset \{0, 1\}^{Nn}.$$

We note that the sets K_j are disjoint (since each K_j consists of all $x \in \{0, 1\}^{Nn}$ for which j is the smallest index with $g(x^{(j)}) = 1$). By Lemma 2, $(g^{-1}(0))^{j-1} \times g^{-1}(1)$ can be partitioned into disjoint subcubes defined by assignments of length at most $(j-1)u_0 + u_1$. This induces a partition of K_j into subcubes defined by the same assignments.

Hence, $f_{or}^{-1}(1)$ can be partitioned into disjoint subcubes defined by assignments of length at most $(n-1)u_0 + u_1$. That is, $UP_1(f_{or}) \leq (n-1)UP_0(g) + UP_1(g)$.

In particular, this implies that $D^{sc}(f_{or}) \leq nD^{sc}(g)$; note that this also follows from [KRDS15, Proposition 3]. \square

Proof of Lemma 7. By Lemma 6,

$$\begin{aligned} D(h) &= D(OR_n) \cdot D(f) = nD(f), \\ D^{sc}(h) &\leq D^{sc}(OR_n) \cdot D^{sc}(f) = nD^{sc}(f), \\ C_0(h) &= nC_0(f) \leq c, \\ C_1(h) &= C_1(f) \leq c. \end{aligned}$$

By Lemma 5,

$$\begin{aligned}
D(h_{\text{CS}}^{t,c}) &\geq 0.5D(h) = 0.5tnD(f), \\
D(h_{\text{CS}}^{t,c}) &\leq tD(h) + tc = tnD(f) + tc, \\
UP_1(h_{\text{CS}}^{t,c}) &\leq 2tc, \\
UP_0(h_{\text{CS}}^{t,c}) &\leq tD^{\text{sc}}(h) + 2tc \leq tnD^{\text{sc}}(f) + 2tc, \\
C(h_{\text{CS}}^{t,c}) &\leq 3tc.
\end{aligned}$$

By Lemma 1,

$$\begin{aligned}
0.5tnD(f) &\leq D(\tilde{h}_{\text{CS}}) \leq t(nD(f) + c)\lceil \log(Nn) \rceil, \\
UP_1(\tilde{h}_{\text{CS}}) &\leq 2tc\lceil \log(Nn) \rceil, \\
UP_0(\tilde{h}_{\text{CS}}) &\leq (tnD^{\text{sc}}(f) + 2tc)\lceil \log(Nn) \rceil, \\
C(\tilde{h}_{\text{CS}}) &\leq 3tc\lceil \log(Nn) \rceil.
\end{aligned}$$

Finally, again by Lemma 6,

$$\begin{aligned}
D(f') &= nD(\tilde{h}_{\text{CS}}), \\
C_0(f') &= C_0(\tilde{h}_{\text{CS}}), \\
C_1(f') &= nC_1(\tilde{h}_{\text{CS}}), \\
UP_1(f') &\leq nUP_1(\tilde{h}_{\text{CS}}), \\
UP_0(f') &\leq (n-1)UP_1(\tilde{h}_{\text{CS}}) + UP_0(\tilde{h}_{\text{CS}}).
\end{aligned}$$

Consequently, we have the following estimates:

$$\begin{aligned}
0.5tn^2D(f) &\leq D(f') \leq tn(nD(f) + c)D(f)\lceil \log(Nn) \rceil, \\
C_0(f') &\leq 3tc\lceil \log(Nn) \rceil, \\
C_1(f') &\leq 3tcn\lceil \log(Nn) \rceil, \\
UP_1(f') &\leq 2tcn\lceil \log(Nn) \rceil, \\
UP_0(f') &\leq (2tcn + tnD^{\text{sc}}(f))\lceil \log(Nn) \rceil.
\end{aligned}$$

The last two inequalities imply $D^{\text{sc}}(f') = \max\{UP_1(f'), UP_0(f')\} \leq (2tcn + tnD^{\text{sc}}(f))\lceil \log(Nn) \rceil$, concluding the proof. \square

Proof of Lemma 8. The proof is by induction on m . When $m = 1$, take $a_0 = a_1 = a_2 = a_3 = 1$. Then for any $n \geq 2$ one can choose $N = n$, $f^{(1)} = AND_n$.

Suppose that for $m = b$ there are constants a_0, a_1, a_2, a_3 s.t. for all $n \geq 2$ there is $f^{(m)} : \{0, 1\}^N \rightarrow \{0, 1\}$, satisfying the given constraints. We argue that for $m = b + 1$ there are positive integers

$$\begin{aligned}
a'_0 &= 4(a_2 + 4b)a_0 + 128a_2^4a_3(a_2 + 4b)(a_0 + 19b - 10), \\
a'_1 &= 2(2b - 1)a_1, \\
a'_2 &= 4(a_2 + a_3)(a_2 + 4b)(a_0 + 19b - 10), \\
a'_3 &= 12a_3(a_2 + 4b)(a_0 + 19b - 10)
\end{aligned}$$

s.t. for all $n \geq 2$ there is $N' \in \mathbb{N}$ and $f' : \{0, 1\}^{N'} \rightarrow \{0, 1\}$, satisfying the necessary properties.

We fix $n \geq 2$. Let $f^{(b)}$ be given by the inductive hypothesis. Let $f^{(b+1)} = f'$ where f' is obtained by applying Lemma 7 to $f = f^{(b)}$, with parameters $t = \lceil 4 \log(a_2 n^{2b-1} \log^{2b-2}(n)) \rceil + 4$ and $c = \max\{nC_0(f^{(b)}), C_1(f^{(b)})\}$. Notice that for all $D \geq 2$ setting $t \geq 4 \log(D) + 4$ yields $t \geq 2 \log(tD)$. Hence the chosen value of t satisfies $t \geq 2 \log(tD(f^{(b)}))$ and we have $f^{(b+1)} : \{0, 1\}^{N'} \rightarrow \{0, 1\}$, where

$$N' = tNn^2 + 2^t tcn \lceil \log(Nn) \rceil,$$

and, by Lemma 7,

$$\begin{aligned} 0.5tn^2D(f^{(b)}) &\leq D(f^{(b+1)}) \leq tn(c + nD(f^{(b)})) \lceil \log(Nn) \rceil; \\ D^{sc}(f^{(b+1)}) &\leq tn(2c + D^{sc}(f^{(b)})) \lceil \log(Nn) \rceil; \\ C_0(f^{(b+1)}) &\leq 3tc \lceil \log(Nn) \rceil; \\ C_1(f^{(b+1)}) &\leq 3tcn \lceil \log(Nn) \rceil. \end{aligned}$$

Notice that

$$\begin{aligned} \lceil \log(Nn) \rceil &\leq (a_0 + 19b - 10) \log(n); \\ t = 4 + \lceil 4 \log(a_2 n^{2b-1} \log^{2b-2}(n)) \rceil &\leq 4(a_2 + 4b) \log(n); \\ t > 4 \log(a_2 n^{2b-1} \log^{2b-2}(n)) &\geq 4(2b - 1) \log(n); \\ 2^t &\leq 32a_2^4 n^{8b-4} \log^{8b-8}(n); \\ c &\leq a_3 n^b \log^{2b-2}(n); \\ 2c + D^{sc}(f^{(b)}) &\leq 3a_3 n^b \log^{2b-2}(n); \\ c + nD(f^{(b)}) &\leq (a_2 + a_3) n^{2b} \log^{2b-2}(n) \end{aligned}$$

We conclude that

$$\begin{aligned} N' &\leq 4(a_2 + 4b) \log(n) a_0 n^{9b+2} \log^{10b-10}(n) + \\ &\quad 32a_2^4 n^{8b-4} \log^{8b-8}(n) 4(a_2 + 4b) \log(n) a_3 n^b \log^{2b-2}(n) n(a_0 + 19b - 10) \log(n) = \\ &\quad 4(a_2 + 4b) a_0 n^{9b+2} \log^{10b-9}(n) + 128a_2^4 a_3 (a_2 + 4b) (a_0 + 19b - 10) n^{9b-3} \log^{10b-8}(n) \leq \\ &\quad a'_0 n^{9b+9} \log^{10b}(n). \end{aligned}$$

Similarly,

$$\begin{aligned} D(f^{(b+1)}) &\leq 4(a_2 + 4b) \log(n) n (a_2 + a_3) n^{2b} \log^{2b-2}(n) (a_0 + 19b - 10) \log(n) = a'_2 n^{2b+1} \log^{2b}(n), \\ D(f^{(b+1)}) &\geq 2(2b - 1) \log(n) n^2 a_1 n^{2b-1} \log^{b-1}(n) = a'_1 n^{2b+1} \log^b(n), \\ D^{sc}(f^{(b+1)}) &\leq 12(a_2 + 4b) \log(n) n a_3 n^b \log^{2b-2}(n) (a_0 + 19b - 10) \log(n) = a'_3 n^{b+1} \log^{2b}(n), \\ C_0(f^{(b+1)}) &\leq 12(a_2 + 4b) \log(n) a_3 n^b \log^{2b-2}(n) (a_0 + 19b - 10) \log(n) = a'_3 n^b \log^{2b}(n), \\ C_1(f^{(b+1)}) &\leq 12(a_2 + 4b) \log(n) a_3 n^{b+1} \log^{2b-2}(n) (a_0 + 11b - 10) \log(n) = a'_3 n^{b+1} \log^{2b}(n), \end{aligned}$$

completing the inductive step. □