

# Randomness Extraction in $AC^0$ and with Small Locality

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## Abstract

We study two variants of seeded randomness extractors. The first one, as studied by Goldreich et al. [8], is seeded extractors that can be computed by  $AC^0$  circuits. The second one, as introduced by Bogdanov and Guo [3], is (strong) extractor families that consist of sparse transformations, i.e., functions that have a small number of overall input-output dependencies (called *sparse extractor families*). In this paper we focus on the stronger condition where any function in the family can be computed by local functions. The parameters here are the length of the source  $n$ , the min-entropy  $k = k(n)$ , the seed length  $d = d(n)$ , the output length  $m = m(n)$ , the error  $\epsilon = \epsilon(n)$ , and the locality of functions  $\ell = \ell(n)$ .

In the  $AC^0$  extractor case, our main results substantially improve the positive results in [8], where for  $k \geq n/\text{poly}(\log n)$  a seed length of  $O(m)$  is required to extract  $m$  bits with error  $1/\text{poly}(n)$ . We give constructions of strong seeded extractors for  $k = \delta n \geq n/\text{poly}(\log n)$ , with seed length  $d = O(\log n)$ , output length  $m = k^{\Omega(1)}$ , and error any  $1/\text{poly}(n)$ . We can then boost the output length to  $\Omega(\delta k)$  with seed length  $d = O(\log n)$ , or to  $(1 - \gamma)k$  for any constant  $0 < \gamma < 1$  with  $d = O(\frac{1}{\delta} \log n)$ . In the special case where  $\delta$  is a constant and  $\epsilon = 1/\text{poly}(n)$ , our parameters are essentially optimal. In addition, we can reduce the error to  $2^{-\text{poly}(\log n)}$  at the price of increasing the seed length to  $d = \text{poly}(\log n)$ .

In the case of sparse extractor families, Bogdanov and Guo [3] gave constructions for any min-entropy  $k$  with locality at least  $O(n/k \log(m/\epsilon) \log(n/m))$ , but the family size is quite large, i.e.,  $2^{nm}$ . Equivalently, this means the seed length is at least  $nm$ . In this paper we significantly reduce the seed length. For  $k \geq n/\text{poly}(\log n)$  and error  $1/\text{poly}(n)$ , our  $AC^0$  extractor with output  $k^{\Omega(1)}$  also has small locality  $\ell = \text{poly}(\log n)$ , and the seed length is only  $O(\log n)$ . We then show that for  $k \geq n/\text{poly}(\log n)$  and  $\epsilon \geq 2^{-k^{\Omega(1)}}$ , we can use our error reduction techniques to get a strong seeded extractor with seed length  $d = O(\log n + \frac{\log^2(1/\epsilon)}{\log n})$ , output length  $m = k^{\Omega(1)}$  and locality  $\log^2(1/\epsilon) \text{poly}(\log n)$ . Finally, for min-entropy  $k = \Omega(\log^2 n)$  and error  $\epsilon \geq 2^{-k^{\Omega(1)}}$ , we give a strong seeded extractor with seed length  $d = O(k)$ ,  $m = (1 - \gamma)k$  and locality  $\frac{n}{k} \log^2(1/\epsilon) (\log n) \text{poly}(\log k)$ . As an intermediate tool for this extractor, we construct a condenser that condenses an  $(n, k)$ -source into a  $(10k, \Omega(k))$ -source with seed length  $d = O(k)$ , error  $2^{-\Omega(k)}$  and locality  $\Theta(\frac{n}{k} \log n)$ .

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# 1 Introduction

Randomness extractors are functions that transform biased random sources into almost uniform random bits. Throughout this paper, we model biased random sources by the standard model of general weak random sources, which are probability distributions over  $n$ -bit strings with a certain amount of min-entropy  $k$ . Such sources are referred to as  $(n, k)$ -sources. In this case, it is well known that no deterministic extractors can exist for one single weak random source even if  $k = n - 1$ ; therefore seeded randomness extractors were introduced in [17], which allow the extractors to have a short uniform random seed (say length  $O(\log n)$ ). In typical situations, we require the extractor to be *strong* in the sense that the output is close to uniform even given the seed.

Since their introduction, seeded randomness extractors have become fundamental objects in pseudorandomness, and have found numerous applications in derandomization, complexity theory, cryptography and many other areas in theoretical computer science. In addition, through a long line of research, we now have explicit constructions of seeded randomness extractors with almost optimal parameters (e.g., [9]).

While in general “explicit constructions” means constructions that can be computed in polynomial time of the input size, some of the known constructions are actually more explicit than that. These include for example extractors based on universal hashing [4], and Trevisan’s extractor [21], which can be computed by highly uniform constant-depth circuits of polynomial size with parity gates. Motivated by this, Goldreich et al. [8] studied the problem of constructing randomness extractors in  $AC^0$  (i.e., constant depth circuits of polynomial size). From the complexity aspect, this also helps us better understand the computational power of the class  $AC^0$ . We continue with their study in this paper.

In a similar flavor, one can also consider randomness extractors that can be computed by local functions, i.e., where every output bit only depends on a small number (say  $\ell$ ) of input bits. However, one can easily see that in this case, just fixing at most  $\ell$  bits of the weak source will cause the extractor to fail (at least in the strong extractor case). To get around this, Bogdanov and Guo [3] introduced the notion of sparse extractor families. These are a family of functions such that each function has a small number of overall input-output dependencies, while taking a random function from the family serves as a randomness extractor. Such extractors can be used generally in situations where hashing is used and preserving small input-output dependencies is need. As an example, the authors in [3] used such extractors to obtain a transformation of non-uniform one-way functions into non-uniform pseudorandom generators that preserve output locality. We recall the definition of such extractors in [3].

**Definition 1.1.** [3] (*sparse extractor family*) An extractor family for  $(n, k)$ -sources with error  $\epsilon$  is a distribution  $H$  on functions  $\{0, 1\}^n \times \{0, 1\}^s \rightarrow \{0, 1\}^m$  such that for any  $(n, k)$ -source  $X$ , we have

$$|(H, H(X, U_s)) - (H, U_m)| \leq \epsilon.$$

The extractor family is *strong* if  $s = 0$ . Moreover, the family is *t-sparse* if for any function in the family, the number of input-output pairs  $(i, j)$  such that the  $j$ 'th output bit depends on the  $i$ 'th input bit is at most  $t$ . The family is  $\ell$ -*local* if for any function in the family, any output bit depends on at most  $\ell$  input bits.

In this paper, we continue the study of such extractors under the stronger condition of the family being  $\ell$ -local (instead of just being sparse). Furthermore, we will focus on the case of strong extractor families. Note that a strong extractor family is equivalent to a strong seeded extractor, since the randomness used to choose a function from the family can be included in the seed. Formally, we define such extractors as follows.

**Definition 1.2.** A seeded extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is a strong  $(k, \epsilon)$ -extractor family with locality  $\ell$ , if  $\text{Ext}$  satisfies the following two conditions.

- For any  $(n, k)$ -source  $X$  and independent uniform seed  $R \in \{0, 1\}^d$ , we have

$$|(\text{Ext}(X, R), R) - U_{m+d}| \leq \epsilon.$$

- For any fixing of the seed  $R = r$ , we have that  $\text{Ext}(x, r)$  is computable by  $\ell$ -local functions, i.e., each output bit depends on at most  $\ell$  bits of  $x$ .

It can be seen that our definition of extractor families with small locality is a stronger notion than sparse extractor families. Indeed, an extractor family with  $m$  output bits and locality  $\ell$  is automatically an  $\ell m$ -sparse extractor family, while the other direction may not hold.

**Comparing with  $t$ -local extractors in [22]** It is worthwhile to compare our definition of a strong extractor family with small locality to the definition of  $t$ -local extractors by Vadhan [22]. For a  $t$ -local extractor, one requires that for any fixing of the seed  $r$ , the outputs of the function  $\text{Ext}(x, r)$  as a whole depend on only  $t$  bits of  $x$ . In contrast, in our definition we only require that each output bit of the function  $\text{Ext}(x, r)$  depends on at most  $\ell$  bits of  $x$ , while as a whole the output bits can depend on more than  $\ell$  bits of  $x$ .

Of course, the definition of  $t$ -local extractors is stronger than ours, since any  $t$ -local extractor also has locality at most  $\ell$  according to our definition. However, the construction of  $t$ -local extractors in [22], which uses the sample-then-extract approach, only works for large min-entropy (at least  $k > \sqrt{n}$ ); while our goal here is to construct strong extractor families even for very small min-entropy. Furthermore, by a lower bound in [22], the parameter  $t$  in local-extractors is at least  $\Omega(nm/k)$ , which is larger than the output length  $m$ . Although this is inevitable for local extractors, we can construct strong extractor families with long output and small locality (i.e.,  $\ell \ll m$ ).

## 1.1 Prior Work and Results

As mentioned before, Goldreich et al. [8] studied the problem of constructing randomness extractors in  $\text{AC}^0$ . They showed that in the strong extractor case, even extracting a single bit is impossible if  $k < n/\text{poly}(\log n)$ . When  $k \geq n/\text{poly}(\log n)$ , they showed how to extract  $\Omega(\log n)$  bits using  $O(\log n)$  bits of seed, or more generally how to extract  $m < k/2$  bits using  $O(m)$  bits of seed. Note that in this case the seed length is longer than the output length.<sup>1</sup> In the non-strong extractor case, they showed that extracting  $r + \Omega(r)$  bits is impossible if  $k < n/\text{poly}(\log n)$ ; while if  $k \geq n/\text{poly}(\log n)$  one can extract  $(1+c)r$  bits for some constant  $c > 0$ , using  $r$  bits of seed. All of the above positive results have error  $1/\text{poly}(n)$ . Therefore, a natural and main open problem left in [8] is to see if one can construct randomness extractors in  $\text{AC}^0$  with shorter seed and longer output. Specifically, [8] asks if one can extract more than  $\text{poly}(\log n)r$  bits in  $\text{AC}^0$  using a seed length  $r = \Omega(\log n)$ , when  $k \geq n/\text{poly}(\log n)$ . In [8] the authors conjectured that the answer is negative.

We now turn to sparse extractor families. The authors in [3] gave a construction of a strong extractor family for all entropy  $k$  with output length  $m \leq k$ , error  $\epsilon$ , and sparsity  $O(n \log(m/\epsilon) \log(n/m))$ , which roughly corresponds to locality  $O(n/m \log(m/\epsilon) \log(n/m)) \geq O(n/k \log(m/\epsilon) \log(n/m)) \geq O(n/k \log(n/\epsilon))$  whenever  $k \leq n/2$ . They also showed that such sparsity is necessary when  $n^{0.99} \leq m \leq n/6$  and  $\epsilon$  is a constant. However, the main drawback of the construction in [3] is that the family size is quite large. Indeed the family size is  $2^{nm}$ , which corresponds to a seed length of at least  $nm$  (in fact, since the distribution  $H$  is not uniform, it will take even more random bits to sample from the family). Therefore, a main open problem left in [3] is to reduce the size of the family (or, equivalently, the seed length).

De and Trevisan [5], using similar techniques as ours, also obtained a strong extractor for  $(n, k)$  sources with  $k = \delta n$  for any constant  $\delta$  with seed length  $d = O(\log n)$  such that for any fixing of the seed, each bit of the extractor's output only depends on  $\text{poly}(\log n)$  bits of the source. Their extractor outputs  $k^{\Omega(1)}$  bits,

<sup>1</sup>They also showed how to extract  $\text{poly}(\log n)$  bits using  $O(\log n)$  bits, but the error of the extractor becomes  $1/\text{poly}(\log n)$ .

but the error is only  $n^{-\alpha}$  for some small constant  $0 < \alpha < 1$ . Our results apply to a much wider setting of parameters. Indeed, as we shall see in the following, we can handle min-entropy as small as  $k = \Omega(\log^2 n)$  and error as small as  $2^{-k^{\Omega(1)}}$ .

## 1.2 Our Results

As our first contribution, we show that the authors' conjecture about seeded  $\text{AC}^0$  extractors in [8] is false. We give explicit constructions of *strong* seeded extractors in  $\text{AC}^0$  with much better parameters. This in particular answers open problems 8.1 and 8.2 in [8]. To start with, we have the following theorem.

**Theorem 1.3.** *For any constant  $c \in \mathbb{N}$ , any  $k = \Omega(n/\log^c n)$  and any  $\epsilon = 1/\text{poly}(n)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  that can be computed by an  $\text{AC}^0$  circuit of depth  $c + 10$ , where  $d = O(\log n)$ ,  $m = k^{\Omega(1)}$  and the extractor family has locality  $O(\log^{c+5} n)$ .*

Note that the depth of the circuit is almost optimal, within an additive  $O(1)$  factor of the lower bound given in [8]. In addition, our construction is also a family with locality only  $\text{poly}(\log n)$ . Note that the seed length  $d = O(\log n)$  is (asymptotically) optimal, while the locality beats the one obtained in [3] (which is  $O(n/m \log(m/\epsilon) \log(n/m)) = n^{\Omega(1)}$ ) and is within a  $\log^4 n$  factor to  $O(n/k \log(n/\epsilon))$ .

Our result also improves that of De and Trevisan [5], even in the high min-entropy case, as our error can be any  $1/\text{poly}(n)$  instead of just  $n^{-\alpha}$  for some constant  $0 < \alpha < 1$ . Moreover, our seed length remains  $O(\log n)$  even for  $k = n/\text{poly}(\log n)$ , while in this case the seed length of the extractor in [5] becomes  $\text{poly}(\log n)$ .

Next, we can boost our construction to extract almost all the entropy. Specifically, we have

**Theorem 1.4.** *For any constant  $c \in \mathbb{N}$ , any  $k = \delta n = \Omega(n/\log^c n)$ , and any  $\epsilon = 1/\text{poly}(n)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  that can be computed by an  $\text{AC}^0$  circuit of depth  $O(c) + O(1)$  with either of the following parameters.*

1.  $m = \Omega(\delta k)$  and  $d = O(\log n)$ .
2.  $m = (1 - \gamma)k$  for any constant  $0 < \gamma < 1$  and  $d = O(\frac{1}{\delta} \log n)$ .

Note that if  $\delta$  is a constant, then we can extract  $(1 - \gamma)k$  bits with seed length  $O(\log n)$  and error  $\epsilon = 1/\text{poly}(n)$ , which is essentially optimal. In the case where  $k = n/\text{poly}(\log n)$ , we can either use  $O(\log n)$  bits to extract  $k/\text{poly}(\log n)$  bits or use  $\text{poly}(\log n)$  bits to extract  $(1 - \gamma)k$  bits.

By increasing the seed length, we can achieve even smaller error with extractors in  $\text{AC}^0$ . Specifically, we have the following theorem.

**Theorem 1.5.** *For any constants  $c_1, c_2 \in \mathbb{N}$ ,  $\gamma \in (0, 1)$ , any  $k = \Omega(n/\log^{c_1} n)$ , and any  $\epsilon = 2^{-\log^{c_2} n}$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  that can be computed by an  $\text{AC}^0$  circuit of depth  $O(c_1 + c_2) + O(1)$ , where  $d = \text{poly}(\log n)$  and  $m = (1 - \gamma)k$ .*

Unfortunately the above two theorems do not preserve small locality as in Theorem 1.3, because our output length boosting step does not preserve locality. However, we can still reduce the error of Theorem 1.3 while keeping the locality small. Specifically, we have the following theorem.

**Theorem 1.6.** *There exists a constant  $\alpha \in (0, 1)$  such that for any  $k \geq \frac{n}{\text{poly}(\log n)}$  and  $\epsilon \geq 2^{-k^\alpha}$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , with  $d = O(\log n + \frac{\log^2(1/\epsilon)}{\log n})$ ,  $m = k^{\Theta(1)}$  and locality  $\log^2(1/\epsilon)\text{poly}(\log n)$ .*

Finally, we consider strong extractor families with small locality for min-entropy  $k$  as small as  $\log^2 n$ . Our approach is to first condense it into another weak source with constant entropy rate. For this purpose we introduce the following definition of a (strong) randomness condenser with small locality.

**Definition 1.7.** A function  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^{n_1}$  is a strong  $(n, k, n_1, k_1, \epsilon)$ -condenser if for every  $(n, k)$ -source  $X$  and independent uniform seed  $R \in \{0, 1\}^d$ ,  $R \circ \text{Cond}(X, R)$  is  $\epsilon$ -close to  $R \circ D$ , where  $D$  is a distribution on  $\{0, 1\}^{n_1}$  such that for any  $r \in \{0, 1\}^d$ , we have that  $D|_{R=r}$  is an  $(n_1, k_1)$ -source. We say the condenser family has locality  $\ell$  if for every fixing of  $R = r$ , the function  $\text{Cond}(\cdot, r)$  can be computed by an  $\ell$ -local function.

We now have the following theorem.

**Theorem 1.8.** For any  $k \geq \log^2 n$ , there exists a strong  $(n, k, t = 10k, 0.08k, \epsilon)$ -condenser  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^t$  with  $d = O(k)$ ,  $\epsilon = 2^{-\Omega(k)}$  and locality  $\Theta(\frac{n}{k} \log n)$ .

Combining the condenser with our previous extractors, we get strong extractor families with small locality for any min-entropy  $k \geq \log^2 n$ . Specifically, we have

**Theorem 1.9.** There exists a constant  $\alpha \in (0, 1)$  such that for any  $k \geq \log^2 n$ , any constant  $\gamma \in (0, 1)$  and any  $\epsilon \geq 2^{-k^\alpha}$ , there exists a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , where  $d = O(k)$ ,  $m = (1 - \gamma)k$  and the extractor family has locality  $\frac{n}{k} \log^2(1/\epsilon)(\log n) \text{poly}(\log k)$ .

In the above two extractors, our seed length is still much better than that of [3]. However, our locality becomes slightly worse, i.e., the dependence on  $\epsilon$  changes from  $\log(1/\epsilon)$  to  $\log^2(1/\epsilon)$ . Whether one can improve this is an interesting open problem.

### 1.3 Overview of the Constructions and Techniques

To get strong randomness extractors in  $\text{AC}^0$ , we will extensively use the following two facts: the parity and inner product over  $\text{poly}(\log n)$  bits can be computed by  $\text{AC}^0$  circuits of size  $\text{poly}(n)$ ; in addition, any Boolean function on  $O(\log n)$  bits can be computed by a depth-2  $\text{AC}^0$  circuit of size  $\text{poly}(n)$ .

#### 1.3.1 Basic construction

All our constructions are based on a basic construction of a strong extractor in  $\text{AC}^0$  for any  $k \geq \frac{n}{\text{poly}(\log n)}$  with seed length  $d = O(\log n)$  and error  $\epsilon = n^{-\Omega(1)}$ . This construction is a modification of the Impagliazzo-Widgerson pseudorandom generator [11], interpreted as a randomness extractor in the general framework found by Trevisan [21]. In the IW-generator, first one takes a Boolean function on  $n$  bits that is worst case hard for circuits of size  $2^{\Omega(n)}$ , and uses a series of hardness amplification steps to get another function that cannot be predicted with advantage more than  $2^{-\Omega(n)}$  by circuits of size  $2^{\Omega(n)}$ . One can now use the Nisan-Widgerson generator [16] together with this new function to get a pseudorandom generator that stretches  $O(\log n)$  random bits to  $n$  bits that fool any polynomial size circuit. Note that in the final step the hard function is applied on only  $O(\log n)$  bits, so one can think of the initial Boolean function to be on  $\log n$  bits.

Trevisan [21] showed that given an  $(n, k)$ -source  $X$ , if one regards the  $n$  bits of  $X$  as the truth table of the initial Boolean function on  $\log n$  bits and apply the IW-generator, then by setting parameters appropriately (e.g., set output length to be  $n^\alpha$ ) one gets an extractor. The reason is that if the function is not an extractor, then one can “reconstruct” part of the source  $X$ . More specifically, by the same argument of the IW-generator, one can show that any  $x \in \text{supp}(X)$  that makes the output of the extractor to fail a certain statistical test  $T$ , can be computed by a small size circuit (when viewing  $x$  as the truth table of the function) with  $T$  gates. Since the total number of such circuits is small, the number of such bad elements in  $\text{supp}(X)$  is also small. This extractor can work for min-entropy  $k \geq n^\alpha$ .

However, this extractor itself is not in  $AC^0$  (which should be no surprise since it can handle min-entropy  $k \geq n^\alpha$ ). Thus, at least one of the steps in the construction of the IW-generator/extractor is not in  $AC^0$ . In more details, the construction has four steps, with the first three steps used for hardness amplification and the last step applying the NW-generator. In hardness amplification, the first step is developed by Babai et al. [2] to obtain a mild average-case hard function from a worst-case hard function; the second step involves a constant number of sub steps, with each sub step amplifying the hardness by using Impagliazzo's hard core set theorem [13], and eventually obtain a function with constant hardness; the third step is developed by Impagliazzo and Wigderson [11], which uses a derandomized direct-product generator to obtain a function that can only be predicted with exponentially small advantage. By carefully examining each step one can see that the only step not in  $AC^0$  is actually the first step of hardness amplification. Indeed, all the other steps of hardness amplification are essentially doing the same thing: obtaining a function  $f'$  on  $O(\log n)$  bits from another function  $f$  on  $O(\log n)$  bits, where the output of  $f'$  is obtained by taking the inner product over two  $O(\log n)$  bit strings  $s$  and  $r$ . In addition,  $s$  is obtained directly from part of the input of  $f'$ , while  $r$  is obtained by using the other part of the input of  $f'$  to generate  $O(\log n)$  inputs to  $f$  and concatenate the outputs. All of these can be done in  $AC^0$ , assuming  $f$  is in  $AC^0$  (note that  $f$  here depends on  $X$ ).

We therefore modify the IW-generator by removing the first step of hardness amplification, and start with the second step of hardness amplification with the source  $X$  as the truth table of the initial Boolean function. Thus the initial function  $f$  can be computed by using the  $\log n$  input bits to select a bit from  $X$ , which can be done in  $AC^0$ . Therefore the final Boolean function  $f'$  can be computed in  $AC^0$ . The last step of the construction, which applies the NW-generator, is just computing  $f'$  on several blocks of size  $O(\log n)$ , which certainly is in  $AC^0$ . This gives our basic extractor in  $AC^0$ .

The analysis is again similar to Trevisan's argument [21]. However, since we have removed the first step of hardness amplification, now for any  $x \in \text{supp}(X)$  that makes the output of the extractor to fail a certain statistical test  $T$ , we cannot obtain a small circuit that *exactly computes*  $x$ . On the other hand, we can obtain a small circuit that can *approximate*  $x$  well, i.e., can compute  $x$  correctly on  $1 - \gamma$  fraction of inputs for some  $\gamma = 1/\text{poly}(\log n)$ . We then argue that the total number of strings within relative distance  $\gamma$  to the outputs of the circuit is bounded, and therefore combining the total number of possible circuits we can again get a bound on the number of such bad elements in  $\text{supp}(X)$ . A careful analysis shows that our extractor works for any min-entropy  $k \geq n/\text{poly}(\log n)$ . However, to keep the circuit size small we have to set the output length to be small enough, i.e.,  $n^\alpha$  and set the error to be large enough, i.e.,  $n^{-\beta}$ . Note that in each hardness amplification step the output of  $f'$  only depends on  $O(\log n)$  outputs from  $f$ , thus our extractor also enjoys the property of small locality, i.e.,  $\text{poly}(\log n)$  since the construction only has a constant number of hardness amplification steps.

### 1.3.2 Error reduction

We now describe how we reduce the error of the extractor. We will use techniques similar to that of Raz et al. [18], in which the authors showed a general way to reduce the error of strong seeded extractors. However, the reduction in Raz et al. [18] does not preserve the  $AC^0$  property or small locality, thus we cannot directly use it. Nevertheless, we will still use a lemma from [18], which roughly says the following: given any strong seeded  $(k, \epsilon)$ -extractor  $\text{Ext}$  with seed length  $d$  and output length  $m$ , then for any  $x \in \{0, 1\}^n$  there exists a set  $G_x \subset \{0, 1\}^d$  of density  $1 - O(\epsilon)$ , such that if  $X$  is a source with entropy slightly larger than  $k$ , then the distribution  $\text{Ext}(X, G_x)$  is very close to having min-entropy  $m - O(1)$ . Here  $\text{Ext}(X, G_x)$  is the distribution obtained by first sampling  $x$  according to  $X$ , then sampling  $r$  uniformly in  $G_x$  and outputting  $\text{Ext}(x, r)$ .

Suppose now we want to achieve an error of any  $1/\text{poly}(n)$ . Giving this lemma, we can apply our basic  $AC^0$  extractor with error  $\epsilon = n^{-\beta}$  for some  $t$  times, each time with fresh random seed, and then concatenate the outputs. By the above lemma, the concatenation is roughly  $(O(\epsilon))^t$ -close to a source such that one of the output has min-entropy  $m - O(1)$  (i.e., a somewhere high min-entropy source). By choosing  $t$  to be a

large enough constant the  $(O(\epsilon))^t$  can be smaller than any  $1/\text{poly}(n)$ . We now describe how to extract from the somewhere high min-entropy source with error smaller than any  $1/\text{poly}(n)$ .

Assume that we have an  $\text{AC}^0$  extractor  $\text{Ext}'$  that can extract from  $(m, m - \sqrt{m})$ -sources with error any  $\epsilon' = 1/\text{poly}(n)$  and output length  $m^{1/3}$ . Then we can extract from the somewhere high min-entropy source as follows. We use  $\text{Ext}'$  to extract from each row of the source with fresh random seed, and then compute the XOR of the outputs. We claim the output is  $(2^{-m^{\Omega(1)}} + \epsilon')$ -close to uniform. To see this, assume without loss of generality that the  $i$ 'th row has min-entropy  $m - O(1)$ . We can now fix the outputs of all the other rows, which has a total size of  $tm^{1/3} \ll \sqrt{m}$  as long as  $t$  is small. Thus, even after the fixing, with probability  $1 - 2^{-m^{\Omega(1)}}$ , we have that the  $i$ 'th row has min-entropy at least  $m - \sqrt{m}$ . By applying  $\text{Ext}'$  we know that the XOR of the outputs is close to uniform.

What remains is the extractor  $\text{Ext}'$ . To construct it we divide the source with length  $m$  sequentially into  $m^{1/3}$  blocks of length  $m^{2/3}$ . Since the source has min-entropy  $m - \sqrt{m}$ , this forms a block source such that each block roughly has min-entropy at least  $m^{2/3} - \sqrt{m}$  conditioned on the fixing of all previous ones. We can now take a strong extractor  $\text{Ext}''$  in  $\text{AC}^0$  with seed length  $O(\log n)$  and use the same seed to extract from all the blocks, and concatenate the outputs. It suffices to have this extractor output one bit for each block. Such  $\text{AC}^0$  extractors are easy to construct since each block has high min-entropy rate (i.e.,  $1 - o(1)$ ). For example, we can use the extractors given by Goldreich et al. [8].

It is straightforward to check that our construction is in  $\text{AC}^0$ , as long as the final step of computing the XOR of  $t$  outputs can be done in  $\text{AC}^0$ . For error  $1/\text{poly}(n)$ , it suffices to take  $t$  to be a constant and the whole construction is in  $\text{AC}^0$ , with seed length  $O(\log n)$ . We can even take  $t$  to be  $\text{poly}(\log n)$ , which will give us error  $2^{-\text{poly}(\log n)}$  and the construction is still in  $\text{AC}^0$ ; although we need to change  $\text{Ext}''$  a little bit and the seed length now becomes  $\text{poly}(\log n)$ . In addition, our error reduction step also preserves small locality.

### 1.3.3 Increasing output length

The error reduction step reduces the output length from  $m$  to  $m^{1/3}$ , which is still  $n^{\Omega(1)}$ . We can increase the output length by using a standard boosting technique as that developed by Nisan and Zuckerman [17, 27]. Specifically, we first use random bits to sample from the source for several times (using a sampler in  $\text{AC}^0$ ), and the outputs will form a block source. We then apply our  $\text{AC}^0$  extractor on the block source backwards, and use the output of one block as the seed to extract from the previous block. When doing this we divide the seed into blocks each with the same length as the seed of the  $\text{AC}^0$  extractor, apply the  $\text{AC}^0$  extractor using each block as the seed, and then concatenate the outputs. This way each time the output will increase by a factor of  $n^{\Omega(1)}$ . Thus after a constant number of times it will become say  $\Omega(k)$ . Since each step is computable in  $\text{AC}^0$ , the whole construction is still in  $\text{AC}^0$ . Unfortunately, this step does not preserve small locality.

### 1.3.4 Extractors with small locality for low entropy

To get strong extractor families with small locality for min-entropy  $k = \Omega(\log^2 n)$ , we adapt the techniques in [3]. There the authors constructed a strong extractor family with small sparsity by randomly sampling an  $m \times n$  matrix  $M$  and outputting  $MX$ , where  $X$  is the  $(n, k)$ -source. Each entry in  $M$  is independently sampled according to a Bernoulli distribution, and thus the family size is  $2^{nm}$ . We derandomize this construction by sampling the second row to the last row using a random walk on an expander graph, starting from the first row. For the first row, we observe that the process of generating the entries and doing inner product with  $X$  can be realized by read-once small space computation, thus we can sample the first row using the output of a pseudorandom generator for space bounded computation (e.g., Nisan's generator [15]). We show that this gives us a very good condenser with small locality, i.e., Theorem 1.8. Combining the condenser with our previous extractors we then obtain strong extractor families with small locality.

## 1.4 Organization of this Paper

The rest of the paper is organized as follows. In Section 2 we review some basic definitions and the relevant background. In Section 3 we describe our construction of a basic extractor in  $AC^0$ , and with small locality. Section 4 describes the error reduction techniques for  $AC^0$  extractors. In Section 5 we show how to increase the output length of  $AC^0$  extractors. Section 6 deals with error reduction for extractor families with small locality. In Section 7 we give our condenser and extractor with small locality for low entropy sources. Finally, while our work improves previous works in many aspects, there are also many natural and interesting open problems left. We conclude with some of the open problems in Section 8.

## 2 Preliminaries

For any  $i \in \mathbb{N}$ , we use  $\langle i \rangle$  to denote the binary string representing  $i$ . Let  $\langle \cdot, \cdot \rangle$  denote the inner product of two binary strings having the same length. Let  $|\cdot|$  denote the length of the input string. Let  $w(\cdot)$  denote the weight of the input binary string. For any strings  $x_1$  and  $x_2$ , let  $x_1 \circ x_2$  denote the concatenation of  $x_1$  and  $x_2$ . For any strings  $x_1, x_2, \dots, x_t$ , let  $\bigcirc_{i=1}^t x_i$  denote  $x_1 \circ x_2 \circ \dots \circ x_t$ .

Let  $\text{supp}(\cdot)$  denote the support of the input random variable.

**Definition 2.1** (Weak Random Source, Block Source). *The min-entropy of a random variable  $X$  is*

$$H_\infty(X) = \min_{x \in \text{supp}(X)} \{-\log \Pr(X = x)\}.$$

We say a random variable  $X$  is an  $(n, k)$ -source if the length of  $X$  is  $n$  and  $H_\infty(X) \geq k$ . We say  $X = \bigcirc_{i=1}^m X_i$  is an  $((n_1, k_1), (n_2, k_2), \dots, (n_m, k_m))$ -block source if  $\forall i \in [m], \forall x \in \text{supp}(\bigcirc_{j=1}^{i-1} X_j), X_i|_{\bigcirc_{j=1}^{i-1} X_j=x}$  is an  $(n_i, k_i)$ -source.

For simplicity, if  $n_1, n_2, \dots, n_m$  are clear from the context, then we simply say that the block source  $X$  is a  $(k_1, k_2, \dots, k_m)$ -block source.

We say an  $(n, k)$ -source  $X$  is a flat  $(n, k)$ -source if  $\forall a \in \text{supp}(X), \Pr[X = a] = 2^{-k}$ . In this paper,  $X$  is usually a random binary string with finite length. So  $\text{supp}(X)$  includes all the binary strings of that length such that  $\forall x \in \text{supp}(X), \Pr[X = x] > 0$ .

We use  $U$  to denote the uniform distribution. In the following, we do not always claim the length of  $U$ , but its length can be figured out from the context.

**Definition 2.2** (Statistical Distance). *The statistical distance between two random variables  $X$  and  $Y$ , where  $|X| = |Y|$ , is  $\text{SD}(X, Y)$  which is defined as follows.*

$$\text{SD}(X, Y) = 1/2 \sum_{a \in \{0,1\}^{|X|}} |\Pr[X = a] - \Pr[Y = a]|$$

**Lemma 2.3** (Properties of Statistical Distance [1]). *Statistical distance has the following properties.*

1. (Triangle Inequality) For any random variables  $X, Y, Z$ , such that  $|X| = |Y| = |Z|$ , we have

$$\text{SD}(X, Y) \leq \text{SD}(X, Z) + \text{SD}(Y, Z).$$

2. For any  $n, m \in \mathbb{N}^+$ , any deterministic function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^m$  and any random variables  $X, Y$  over  $\{0, 1\}^n$ ,  $\text{SD}(f(X), f(Y)) \leq \text{SD}(X, Y)$ .

**Definition 2.4** (Extractor). A  $(k, \epsilon)$ -extractor is a function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  with the following property. For every  $(n, k)$ -source  $X$ , the distribution  $\text{Ext}(X, U)$  is within statistical distance  $\epsilon$  from uniform distributions over  $\{0, 1\}^m$ .

A strong  $(k, \epsilon)$ -extractor is a function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  with the following property. For every  $(n, k)$ -source  $X$ , the distribution  $U \circ \text{Ext}(X, U)$  is within statistical distance  $\epsilon$  from uniform distributions over  $\{0, 1\}^{d+m}$ . The entropy loss of the extractor is  $k - m$ .

The existence of extractors can be proved using the probabilistic method. The result is stated as follows.

**Theorem 2.5** ([24]). For any  $n, k \in \mathbb{N}$  and  $\epsilon > 0$ , there exists a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  such that  $d = \log(n - k) + 2 \log(1/\epsilon) + O(1)$ ,  $m = k - 2 \log(1/\epsilon) + O(1)$ .

In addition, researchers have found explicit extractors with almost optimal parameters, for example we have the following theorem.

**Theorem 2.6** ([9]). For every constant  $\alpha > 0$ , every  $n, k \in \mathbb{N}$  and  $\epsilon > 0$ , there exist an explicit construction of strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  with  $d = O(\log \frac{n}{\epsilon})$ ,  $m \geq (1 - \alpha)k$ .

We also use the following version of Trevisan's extractor [21].

**Theorem 2.7** (Trevisan's Extractor [21]). For any constant  $\gamma \in (0, 1]$ , let  $k = n^\gamma$ . For any  $\epsilon \in (0, 2^{-k/12})$ , there exists an explicit construction of  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  such that  $d = O((\log n/\epsilon)^2/\log n)$ ,  $m \in [36, k/2)$ .

For block sources, randomness extraction can be done in parallel, using the same seed for each block.

**Lemma 2.8** (Block Source Extraction). For any  $t \in \mathbb{N}^+$ , let  $X = \bigcirc_{i=1}^t X_i$  be any  $(k_1, k_2, \dots, k_t)$ -block source where for each  $i \in [t]$ ,  $|X_i| = n_i$ . For every  $i \in [t]$ , let  $\text{Ext}_i : \{0, 1\}^{n_i} \times \{0, 1\}^d \rightarrow \{0, 1\}^{m_i}$  be a strong  $(k_i, \epsilon_i)$ -extractor. Then the distribution  $R \circ \text{Ext}_1(X_1, R) \circ \text{Ext}_2(X_2, R) \circ \dots \circ \text{Ext}_t(X_t, R)$  is  $\sum_{i \in [t]} \epsilon_i$ -close to uniform, where  $R$  is uniformly sampled from  $\{0, 1\}^d$ , and independent of  $X$ .

*Proof.* We use induction. If the source has only 1 block, then the statement is true by the definition of strong extractors.

Assume for  $(t - 1)$  blocks, the statement is true. We view  $\text{Ext}_1(X_1, R) \circ \text{Ext}_2(X_2, R) \circ \dots \circ \text{Ext}_t(X_t, R)$  as  $Y \circ \text{Ext}_t(X_t, R)$ . Here  $Y = \text{Ext}_1(X_1, R) \circ \text{Ext}_2(X_2, R) \circ \dots \circ \text{Ext}_{t-1}(X_{t-1}, R)$ . Let  $U_1, U_2$  be two independent uniform distributions, where  $|U_1| = |Y| = m$  and  $|U_2| = m_t$ . Then

$$\begin{aligned} & \text{SD}(R \circ Y \circ \text{Ext}_t(X_t, R), R \circ U_1 \circ U_2) \\ & \leq \text{SD}(R \circ Y \circ \text{Ext}_t(X_t, R), R \circ U_1 \circ Z) + \text{SD}(R \circ U_1 \circ Z, R \circ U_1 \circ U_2). \end{aligned} \quad (1)$$

Here  $Z$  is the random variable such that  $\forall r \in \{0, 1\}^d, \forall y \in \{0, 1\}^m, Z|_{R=r, U_1=y}$  has the same distribution as  $\text{Ext}_t(X_t, R)|_{R=r, Y=y}$ .

First we give the upper bound of  $\text{SD}(R \circ Y \circ \text{Ext}_t(X_t, R), R \circ U_1 \circ Z)$ .

$$\begin{aligned} & \text{SD}(R \circ Y \circ \text{Ext}_t(X_t, R), R \circ U_1 \circ Z) \\ & = \frac{1}{2} \sum_{r \in \{0, 1\}^d} \sum_{y \in \{0, 1\}^m} \sum_{z \in \{0, 1\}^{m_t}} |\Pr[R = r] \Pr[Y = y|_{R=r}] \Pr[\text{Ext}_t(X_t, R) = z|_{R=r, Y=y}] \\ & \quad - \Pr[R = r] \Pr[U_1 = y] \Pr[Z = z|_{R=r, U_1=y}]| \\ & = \frac{1}{2} \sum_{r \in \{0, 1\}^d} \sum_{y \in \{0, 1\}^m} \sum_{z \in \{0, 1\}^{m_t}} \Pr[R = r] \Pr[Z = z|_{R=r, U_1=y}] |\Pr[Y = y|_{R=r}] - \Pr[U_1 = y]| \end{aligned} \quad (2)$$

$$\begin{aligned}
&= \frac{1}{2} \sum_{r \in \{0,1\}^d} \sum_{y \in \{0,1\}^m} \Pr[R = r] |\Pr[Y = y|_{R=r}] - \Pr[U_1 = y]| \sum_{z \in \{0,1\}^{mt}} \Pr[Z = z|_{R=r, U_1=y}] \\
&= \frac{1}{2} \sum_{r \in \{0,1\}^d} \sum_{y \in \{0,1\}^m} \Pr[R = r] |\Pr[Y = y|_{R=r}] - \Pr[U_1 = y]| \\
&= \text{SD}(R \circ Y, R \circ U) \\
&\leq \sum_{i=1}^{t-1} \epsilon_i
\end{aligned}$$

Next we give the upper bound of  $\text{SD}(R \circ U_1 \circ Z, R \circ U_1 \circ U_2)$ .

$$\begin{aligned}
&\text{SD}(R \circ U_1 \circ Z, R \circ U_1 \circ U_2) \tag{3} \\
&= \frac{1}{2} \sum_{r \in \{0,1\}^r} \sum_{u \in \{0,1\}^m} \sum_{z \in \{0,1\}^{mt}} |\Pr[R = r] \Pr[U_1 = u] \Pr[Z = z|_{R=r, U_1=u}] \\
&\quad - \Pr[R = r] \Pr[U_1 = u] \Pr[U_2 = z]| \\
&= \frac{1}{2} \sum_{r \in \{0,1\}^r} \sum_{u \in \{0,1\}^m} \sum_{z \in \{0,1\}^{mt}} \Pr[R = r] \Pr[U_1 = u] |\Pr[Z = z|_{R=r, U_1=u}] - \Pr[U_2 = z]| \\
&= \frac{1}{2} \sum_{u \in \{0,1\}^m} \sum_{r \in \{0,1\}^r} \sum_{z \in \{0,1\}^{mt}} \Pr[R = r] \Pr[U_1 = u] |\Pr[Z = z|_{R=r, U_1=u}] - \Pr[U_2 = z]| \\
&= \frac{1}{2} \sum_{u \in \{0,1\}^m} \Pr[U_1 = u] \sum_{r \in \{0,1\}^r} \sum_{z \in \{0,1\}^{mt}} \Pr[R = r] |\Pr[Z = z|_{R=r, U_1=u}] - \Pr[U_2 = z]| \\
&= \frac{1}{2} \sum_{u \in \{0,1\}^m} \Pr[U_1 = u] \sum_{r \in \{0,1\}^r} \sum_{z \in \{0,1\}^{mt}} \Pr[R = r] |\Pr[\text{Ext}_t(X_t, R) = z|_{R=r, Y=u}] - \Pr[U_2 = z]| \\
&= \sum_{u \in \{0,1\}^m} \Pr[U_1 = u] \text{SD}(R \circ \text{Ext}_t(X_t, R)|_{Y=u}, R \circ U_2) \\
&\leq \sum_{u \in \{0,1\}^m} \Pr[U_1 = u] \epsilon_t \\
&= \epsilon_t
\end{aligned}$$

So  $\text{SD}(R \circ Y \circ \text{Ext}_t(X_t, R), R \circ U_1 \circ U_2) \leq \sum_{i=1}^t \epsilon_i$ . This proves the lemma.  $\square$

For any circuit  $C$ , the size of  $C$  is denoted as  $\text{size}(C)$ . The depth of  $C$  is denoted as  $\text{depth}(C)$ .

**Definition 2.9** ( $\text{AC}^0$ ).  $\text{AC}^0$  is the complexity class which consists of all families of circuits having constant depth and polynomial size. The gates in those circuits are NOT gates, AND gates and OR gates where AND gates and OR gates have unbounded fan-in.

**Lemma 2.10.** The following are some well known properties of  $\text{AC}^0$  circuits.

1. Any boolean function  $f : \{0, 1\}^{l=\Theta(\log n)} \rightarrow \{0, 1\}$  can be computed by an  $\text{AC}^0$  circuit of size  $\text{poly}(n)$  and depth 2. In fact, it can be represented by either a CNF or a DNF.

2. For every  $c \in \mathbb{N}$ , every integer  $l = \Theta(\log^c n)$ , the inner product function  $\langle \cdot, \cdot \rangle : \{0, 1\}^l \times \{0, 1\}^l \rightarrow \{0, 1\}$  can be computed by an  $\text{AC}^0$  circuit of size  $\text{poly}(n)$  and depth  $c + 1$ .

*Proof.* For the first property, for an input string  $u \in \{0, 1\}^l$ ,

$$f(u) = \bigvee_{j=0}^{2^l-1} (I_{u=\langle j \rangle} \wedge f(\langle j \rangle)) = \bigwedge_{j=0}^{2^l-1} (I_{u \neq \langle j \rangle} \vee f(\langle j \rangle)).$$

Here  $I_e$  is the indicator function such that  $I_e = 1$  if  $e$  is true and  $I_e = 0$  otherwise. We know that  $I_{u=\langle j \rangle}$  can be represented as a boolean formula with only AND and NOT gates, checking whether  $u = \langle j \rangle$  bit by bit. Similarly  $I_{u \neq \langle j \rangle}$  can be represented as a boolean formula with only OR and NOT gates by taking the negation of  $I_{u=\langle j \rangle}$ . So the computation of obtaining  $f(u)$  can be represented by a CNF/DNF. Thus it can be realized by a circuit of depth 2 by merging the gates of adjacent levels.

For the second property, assume we are computing  $\langle s, x \rangle$ . Consider a  $c$ -step algorithm which is as follows.

In the first step, we divide  $s$  into blocks  $s_1, s_2, \dots, s_t$ , where  $|s_i| = l' = \Theta(\log n), \forall i \in [t]$ . Also we divide  $x$  into blocks  $x_1, x_2, \dots, x_t$ , where  $|x_i| = l', \forall i \in [t]$ . Then we compute  $\langle s_i, x_i \rangle$  for each  $i \in [t]$  and provide them as the input bits for the next step. As each block has  $\Theta(\log n)$  bits, this step can be done by a circuit of depth 2 according to the first property.

For the  $j$ th step where  $j = 2, \dots, c$ , we divide the input bits into blocks where each block has size  $l'$ . We compute the parity of the bits in each block and pass them to the next step as the inputs for the next step.

For the last step, if  $l'$  is large enough, there will be only 1 block and the parity of this block is  $\langle s, x \rangle$ .

For the  $j$ th step, where  $j = 2, \dots, c$ , as the size of each block is  $\Theta(\log n)$ , we only need a circuit of depth 2 and polynomial size to compute the parity of each block according to the first property. The computation for all the blocks can be done in parallel. Thus the  $j$ th step can be computed by a circuit of depth 2 and polynomial size.

As a result, this algorithm can be realized by a circuit of depth  $2c$  and polynomial size. By merging the gates of adjacent depths, we can have a circuit of depth  $c + 1$  and polynomial size to compute  $\langle s, x \rangle$ .  $\square$

**Definition 2.11.** A boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  is  $\delta$ -hard on uniform distributions for circuit size  $g$ , if for any circuit  $C$  with at most  $g$  gates ( $\text{size}(C) \leq g$ ), we have  $\Pr_{x \leftarrow U}[C(x) = f(x)] < 1 - \delta$ .

**Definition 2.12 (Graphs).** Let  $G = (V, E)$  be a graph. Let  $A$  be the adjacency matrix of  $G$ . Let  $\lambda(G)$  be the second largest eigenvalue of  $A$ . We say  $G$  is  $d$ -regular, if the degree of  $G$  is  $d$ . When  $G$  is clear in the context, we simply denote  $\lambda(G)$  as  $\lambda$ .

### 3 The Basic Construction of Extractors in $\text{AC}^0$

Our basic construction is based on the general idea of I-W generator [11]. In [21], Trevisan showed that I-W generator is an extractor if we regard the string  $x$  drawn from the input  $(n, k)$ -source  $X$  as the truth table of a function  $f_x$  s.t.  $f_x(\langle i \rangle), i \in [n]$  outputs the  $i$ th bit of  $x$ .

The construction of I-W generator involves a process of hardness amplifications from a worst-case hard function to an average-case hard function. There are mainly 3 amplification steps. Viola [25] summarizes these results in details, and we review them again. The first step is established by Babai et al. [2], which is an amplification from worst-case hardness to mildly average-case hardness.

**Lemma 3.1 ([2]).** If there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  which is 0-hard for circuit size  $g = 2^{\Omega(l)}$  then there is a boolean function  $f' : \{0, 1\}^{\Theta(l)} \rightarrow \{0, 1\}$  that is  $1/\text{poly}(l)$ -hard for circuit size  $g' = 2^{\Omega(l)}$ .

The second step is an amplification from mildly average-case hardness to constant average-case hardness, established by Impagliazzo [13].

**Lemma 3.2** ([13]). *1. If there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  that is  $\delta$ -hard for circuit size  $g$  where  $\delta < 1/(16l)$ , then there is a boolean function  $f' : \{0, 1\}^{3l} \rightarrow \{0, 1\}$  that is  $0.05\delta l$ -hard for circuit size  $g' = \delta^{O(1)}l^{-O(1)}g$ .*

$$f'(s, r) = \langle s, f(a_1) \circ f(a_2) \circ \dots \circ f(a_l) \rangle$$

Here  $|s| = l$ ,  $|r| = 2l$  and  $|a_i| = l, \forall i \in [l]$ . Regarding  $r$  as a uniform random string,  $a_1, \dots, a_l$  are generated as pairwise independent random strings from the seed  $r$ .

2. If there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  that is  $\delta$ -hard for circuit size  $g$  where  $\delta < 1$  is a constant, then there is a boolean function  $f' : \{0, 1\}^{3l} \rightarrow \{0, 1\}$  that is  $1/2 - O(l^{-2/3})$ -hard for circuit size  $g' = l^{-O(1)}g$ , where

$$f'(s, r) = \langle s, f(a_1) \circ f(a_2) \circ \dots \circ f(a_l) \rangle.$$

Here  $|s| = l$ ,  $|r| = 2l$  and  $|a_i| = l, \forall i \in [l]$ . Regarding  $r$  as a uniform random string,  $a_1, \dots, a_l$  are generated as pairwise independent random strings from the seed  $r$ .

The first part of this lemma can be applied for a constant number of times to get a function having constant average-case hardness. After that the second part is usually applied for only once to get a function with constant average-case hardness such that the constant is large enough (at least  $1/3$ ).

The third step is an amplification from constant average-case hardness to even stronger average-case hardness, developed by Impagliazzo and Wigderson [11]. Their construction uses the following Nisan-Wigderson Generator [16] which is widely used in hardness amplification.

**Definition 3.3** ( $(n, m, k, l)$ -design and Nisan-Wigderson Generator [16]). *A system of sets  $S_1, S_2, \dots, S_m \subseteq [n]$  is an  $(n, m, k, l)$ -design, if  $\forall i \in [m], |S_i| = l$  and  $\forall i, j \in [m], i \neq j, |S_i \cap S_j| \leq k$ .*

Let  $\mathcal{S} = \{S_1, S_2, \dots, S_m\}$  be an  $(n, m, k, l)$  design and  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  be a boolean function. The Nisan-Wigderson Generator is defined as  $NW_{f, \mathcal{S}}(u) = f(u|_{S_1}) \circ f(u|_{S_2}) \circ \dots \circ f(u|_{S_m})$ . Here  $u|_{S_i} = u_{i_1} \circ u_{i_2} \circ \dots \circ u_{i_m}$  assuming  $S_i = \{i_1, \dots, i_m\}$ .

Nisan and Wigderson [16] showed that the  $(n, m, k, l)$ -design can be constructed efficiently.

**Lemma 3.4** (Implicit in [16]). *For any  $\alpha \in (0, 1)$ , for any large enough  $l \in \mathbb{N}$ , for any  $m < \exp\{\frac{\alpha l}{4}\}$ , there exists a  $(n, m, \alpha l, l)$ -design where  $n = \lfloor \frac{10l}{\alpha} \rfloor$ . This design can be computed in time polynomial of  $2^n$ .*

As we need the parameters to be concrete (while in [16] they use big- $O$  notations), we prove it again.

*Proof.* Our algorithm will construct these  $S_i$ s one by one. For  $S_1$ , we can choose an arbitrary subset of  $[n]$  of size  $\alpha l$ .

First of all,  $S_1$  can be constructed by choosing  $l$  elements from  $[n]$ .

Assume we have constructed  $S_1, \dots, S_{i-1}$ , now we construct  $S_i$ . We first prove that  $S_i$  exists. Consider a random subset of size  $l$  from  $[n]$ . Let  $H_{i,j} = |S_i \cap S_j|$ . We know that  $\mathbf{E}H_{i,j} = l^2/n$ . As  $n = \lfloor \frac{10l}{\alpha} \rfloor \in [\frac{10l}{\alpha} - 1, \frac{10l}{\alpha}]$ ,  $\mathbf{E}H_{i,j} \in [\frac{\alpha l}{10}, \frac{\alpha l}{10} + 1]$ .

So  $\Pr[H_{i,j} \geq \alpha l] \leq \Pr[H_{i,j} \geq (1+9)(\mathbf{E}H_{i,j} - 1)]$

By the Chernoff bound,

$$\Pr[H_{i,j} \geq 10(\mathbf{E}H_{i,j} - 1)] \leq \Pr[H_{i,j} \geq 9\mathbf{E}H_{i,j}] \leq \exp\{-\frac{8\mathbf{E}H_{i,j}}{3}\} \leq \exp\{-\frac{4}{15}\alpha l\} \leq \exp\{-\frac{\alpha l}{4}\}$$

By the union bound,

$$\Pr[\forall j = 1, \dots, i-1, H_{i,j} \leq \alpha l] \geq 1 - m \exp\{-\frac{\alpha l}{4}\} > 0.$$

This proves that there exists a proper  $S_i$ . As there are  $n$  bits totally, we can find it in time polynomial of  $2^n$ .  $\square$

The following is the third step of hardness amplification.

**Lemma 3.5** (Implicit in [11]). *For any  $\gamma \in (0, 1/30)$ , if there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  that is  $1/3$ -hard for circuit size  $g = 2^{\gamma l}$ , then there is a boolean function  $f' : \{0, 1\}^{l'=\Theta(l)} \rightarrow \{0, 1\}$  that is  $(1/2 - \epsilon)$ -hard for circuit size  $g' = \Theta(g^{1/4}\epsilon^{2l-2})$  where  $\epsilon \geq (500l)^{1/3}g^{-1/12}$ .*

$$f'(a, s, v_1, w) = \langle s, f(a|_{S_1} \oplus v_1) \circ f(a|_{S_2} \oplus v_2) \circ \dots \circ f(a|_{S_l} \oplus v_l) \rangle$$

Here  $(S_1, \dots, S_l)$  is an  $(|a|, l, \gamma l/4, l)$ -design where  $|a| = \lfloor \frac{40l}{\gamma} \rfloor$ . The vectors  $v_1, \dots, v_l$  are obtained by a random walk on an expander graph, starting at  $v_1$  and walking according to  $w$  where  $|v_1| = l, |w| = \Theta(l)$ . The length of  $s$  is  $l$ . So  $l' = |a| + |s| + |v_1| + |w| = \Theta(l)$ .

The proof of Lemma 3.5 is in the Appendix.

The construction of the Impagliazzo Wigderson Generator [11] is as follows. Given the input  $x \leftarrow X$ , let  $f : \{0, 1\}^{\log n} \rightarrow \{0, 1\}$  be such that  $f(\langle a \rangle) = x_a, \forall a \in [n]$ . Then we run the 3 amplification steps, Lemma 3.1, Lemma 3.2 (part I for a constant number of times, part 2 for once) and Lemma 3.5 sequentially to get function  $f'$  from  $f$ . The generator  $\text{IW}(x, u) = \text{NW}_{f', \mathcal{S}}(u)$ . As pointed out by Trevisan [21], the function  $\text{IW}$  is a  $(k, \epsilon)$ -extractor. Let's call it the  $\text{IW}$ -Extractor. It is implicit in [21] that the output length of the  $\text{IW}$ -Extractor is  $k^\alpha$  and the statistical distance of  $\text{IW}(X, U)$  from uniform distributions is  $\epsilon = 1/k^\beta$  for some  $0 < \alpha, \beta < 1$ . This can be verified by a detailed analysis of the  $\text{IW}$ -Extractor.

However, this construction is not in  $\text{AC}^0$  because the first amplification step is not in  $\text{AC}^0$ .

Our basic construction is an adjustment of the  $\text{IW}$ -Extractor.

**Construction 3.6.** *For any  $c_2 \in \mathbb{N}^+$  such that  $c_2 \geq 2$  and any  $k = \Theta(n/\log^{c_2-2} n)$ , let  $X$  be an  $(n, k)$ -source. We construct a strong  $(k, 2\epsilon)$  extractor  $\text{Ext}_0 : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  where  $\epsilon = 1/n^\beta$ ,  $\beta = 1/600$ ,  $d = O(\log n)$ ,  $m = k^{\Theta(1)}$ . Let  $U$  be the uniform distribution of length  $d$ .*

1. Draw  $x$  from  $X$  and  $u$  from  $U$ . Let  $f_1 : \{0, 1\}^{l_1} \rightarrow \{0, 1\}$  be a boolean function such that  $\forall i \in [2^{l_1}]$ ,  $f_1(\langle i \rangle) = x_i$  where  $l_1 = \log n$ .
2. Run amplification step of Lemma 3.2 part I for  $c_2$  times and run amplification step of Lemma 3.2 part 2 once to get function  $f_2 : \{0, 1\}^{l_2} \rightarrow \{0, 1\}$  from  $f_1$  where  $l_2 = 3^{c_2+1}l_1 = \Theta(\log n)$ .
3. Run amplification step Lemma 3.5 to get function  $f_3 : \{0, 1\}^{l_3} \rightarrow \{0, 1\}$  from  $f_2$  where  $l_3 = \Theta(\log n)$ .
4. Construct function  $\text{Ext}_0$  such that  $\text{Ext}_0(x, u) = \text{NW}_{f_3, \mathcal{S}}(u)$ .

Here  $\mathcal{S} = \{S_1, S_2, \dots, S_m\}$  is a  $(d, m, \theta l_3, l_3)$ -design with  $\theta = l_1/(900l_3)$ ,  $d = \lfloor 10l_3/\theta \rfloor$ ,  $m = \lfloor 2^{\frac{\theta l_3}{4}} \rfloor = \lfloor n^{\frac{1}{3600}} \rfloor$ .

**Lemma 3.7.** *In Construction 3.6,  $\text{Ext}_0$  is a strong  $(k, 2\epsilon)$  extractor.*

The proof follows from the ‘‘Bad Set’’ argument given by Trevisan [21]. In Trevisan [21] the argument is not explicit for strong extractors. Here our argument is explicit for proving that our construction gives a strong extractor.

*Proof.* We will prove that for every  $(n, k)$ -source  $X$  and for every  $A : \{0, 1\}^{d+m} \rightarrow \{0, 1\}$  the following holds.

$$|\Pr[A(U_s \circ \text{Ext}_0(X, U_s)) = 1] - \Pr[A(U) = 1]| \leq 2\epsilon$$

Here  $U_s$  is the uniform distribution over  $\{0, 1\}^d$  and  $U$  is the uniform distribution over  $\{0, 1\}^{d+m}$ .

For every flat  $(n, k)$ -source  $X$ , and for every (fixed) function  $A$ , let's focus on a set  $B \subseteq \{0, 1\}^n$  such that  $\forall x \in \text{supp}(X)$ , if  $x \in B$ , then

$$|\Pr[A(U_s \circ \text{Ext}_0(x, U_s)) = 1] - \Pr[A(U) = 1]| > \epsilon.$$

According to Nisan and Wigderson [16], we have the following lemma.

**Lemma 3.8** (Implicit in [16] [21]). *If there exists an  $A$ -gate such that*

$$|\Pr[A(U_s \circ \text{Ext}_0(x, U_s)) = 1] - \Pr[A(U) = 1]| > \epsilon,$$

*then there is a circuit  $C_3$  of size  $O(2^{\theta l_3} m)$ , using  $A$ -gates, that can compute  $f_3$  correctly for  $1/2 + \epsilon/m$  fraction of inputs.*

*Here  $A$ -gate is a special gate that can compute the function  $A$ .*

By Lemma 3.8, there is a circuit  $C_3$  of size  $O(m2^{\theta l_3}) = O(2^{\frac{5\theta l_3}{4}}) = O(n^{1/720})$ , using  $A$ -gates, that can compute  $f_3$  correctly for  $1/2 + \epsilon/m \geq 1/2 + 1/n^{1/400}$  fraction of inputs.

By Lemma 3.5, there is a circuit  $C_2$ , with  $A$ -gates, of size at most  $\Theta(n^{\frac{1}{30}})$  which can compute  $f_2$  correctly for at least  $2/3$  fraction of inputs.

According to Lemma 3.2 and our settings, there is a circuit  $C_1$ , with  $A$ -gates, of size  $n^{\frac{1}{30}} \text{poly} \log n$  which can compute  $f_1$  correctly for at least  $1 - 1/(c_1 \log^{c_2} n)$  fraction of inputs for some constant  $c_1 > 0$ .

Next we give an upper bound on the size of  $B$ .  $\forall x \in B$ , assume we have a circuit of size  $S = n^{1/30} \text{poly}(\log n)$ , using  $A$ -gates, that can compute at least  $1 - 1/(c_1 \log^{c_2} n)$  fraction of bits of  $x$ . The total number of circuits, with  $A$ -gates, of size  $S$  is at most  $2^{\Theta(mS \log S)} = 2^{n^{1/15} \text{poly}(\log n)}$ , as  $A$  is fixed and has fan-in  $m + d = O(m)$ . Each one of them corresponds to at most  $\sum_{i=0}^{n/(c_1 \log^{c_2} n)} \binom{n}{i} \leq (e \cdot c_1 \log^{c_2} n)^{n/(c_1 \log^{c_2} n)} = 2^{O(n/(\log^{c_2-1} n))}$  number of  $x$ . So

$$|B| \leq 2^{n^{1/15} \text{poly}(\log n)} 2^{O(n/(\log^{c_2-1} n))} = 2^{O(n/(\log^{c_2-1} n))}.$$

As  $X$  is an  $(n, k)$ -source with  $k = \Theta(n/\log^{c_2-2} n)$ ,

$$\Pr[X \in B] \leq |B| \cdot 2^{-k} \leq \epsilon.$$

Then we know,

$$\begin{aligned} & |\Pr[A(U_s \circ \text{Ext}(X, U_s)) = 1] - \Pr[A(U) = 1]| \\ &= \sum_{x \in B} \Pr[X = x] |\Pr[A(U_s \circ \text{Ext}(x, U_s)) = 1] - \Pr[A(U) = 1]| \\ & \quad + \sum_{x \notin B} \Pr[X = x] |\Pr[A(U_s \circ \text{Ext}(x, U_s)) = 1] - \Pr[A(U) = 1]| \\ & \leq 2\epsilon. \end{aligned} \tag{4}$$

□

**Lemma 3.9.** *The seed length of construction 3.6 is  $\Theta(\log n)$ .*

*Proof.* We know that  $l_1 = \log n, l_2 = 3^{c_2+1}l_1 = \Theta(\log n), l_3 = \Theta(\log n)$ . Also  $\mathcal{S}$  is a  $(\lceil 10l_3/c \rceil = \Theta(l_3), m, cl_3, l_3)$ -design. So  $d = \lceil 10l_3/c \rceil = \Theta(l_3) = \Theta(\log n)$ .  $\square$

**Lemma 3.10.** *The function  $\text{Ext}_0$  in Construction 3.6 is in  $\text{AC}^0$ . The circuit depth is  $c_2 + 5$ . The locality is  $\Theta(\log^{c_2+2} n) = \text{poly}(\log n)$ .*

*Proof.* First we prove that the locality is  $\Theta(\log^{c_2+2} n)$ .

By the construction of  $f_1$ , we know  $f_1(\langle i \rangle)$  is equal to the  $i$ th bit of  $x$ .

Fix the seed  $u$ . According to Lemma 3.2 part 1, if we apply the amplification once to get  $f'$  from  $f$ , then  $f'(s, r)$  depends on  $f(w_1), f(w_2), \dots, f(w_l)$ , as

$$f'(s, r) = \langle s, f(w_1) \circ f(w_2) \circ \dots \circ f(w_l) \rangle.$$

Here  $l = O(\log n)$  is equal to the input length of  $f$ .

The construction in Lemma 3.2 part 2 is the same as that of Lemma 3.2 part 1. As a result, if apply Lemma 3.2 part 1 for  $c_2$  times and Lemma 3.2 part 2 for 1 time to get  $f_2$  from  $f_1$ , the output of  $f_2$  depends on  $\Theta(\log^{c_2+1} n)$  bits of the input  $x$ .

According to Lemma 3.5, the output of  $f_3$  depends on  $f_2(a|_{S_1} \oplus v_1), f_2(a|_{S_2} \oplus v_2), \dots, f_2(a|_{S_l} \oplus v_l)$ , as

$$f_3(a, s, v_1, w) = \langle s, f_2(a|_{S_1} \oplus v_1) \circ f_2(a|_{S_2} \oplus v_2) \circ \dots \circ f_2(a|_{S_l} \oplus v_l) \rangle$$

So the output of  $f_3$  depends on  $O(\log^{c_2+2} n)$  bits of the  $x$ .

So the overall locality is  $O(\log^{c_2+2} n) = \text{poly} \log n$ .

Next we prove that the construction is in  $\text{AC}^0$ .

The input of  $\text{Ext}_0$  has two parts,  $x$  and  $u$ . Combining all the hardness amplification steps and the NW generator, we can see that essentially  $u$  is used for two purposes: to select some  $t = \Theta(\log^{c_2+2}(n))$  bits (denote it as  $x'$ ) from  $x$  (i.e., provide  $t$  indices  $u'_1, \dots, u'_t$  in  $[n]$ ), and to provide a vector  $s'$  of length  $t$ , finally taking the inner product of  $x'$  and the vector  $s'$ . Here although for each amplification step we do an inner product operation, the overall procedure can be realized by doing only one inner product operation.

Since  $u$  has  $O(\log n)$  bits,  $s'$  can be computed from  $u$  by using a circuit of depth 2, according to Lemma 2.10 part 1.

Next we show that selecting  $x'$  from  $x$  using the indices can be computed by CNF/DNFs, of polynomial size, with inputs being  $x$  and the indices. The indices,  $u'_i, i \in [t]$ , are decided by  $u$ . Let's assume  $\forall i \in [t], u'_i = h_i(u)$  for some deterministic functions  $h_i, i \in [t]$ . As  $|u| = O(\log n)$ , the indices can be computed by CNF/DNFs of polynomial size. Also  $\forall i \in [t], f(u'_i)$  can be represented by a CNF/DNF when  $u'_i$  is given. This is because

$$f(u'_i) = \bigvee_{j=0}^{|x|} (I_{u'_i=j} \wedge x_j) = \bigwedge_{j=0}^{|x|} (I_{u'_i \neq j} \vee x_j).$$

Here  $I_e$  is the indicator function such that  $I_e = 1$  if  $e$  is true and  $I_e = 0$  otherwise. We know that  $I_{u'_i=j}$  can be represented by a boolean formula with only AND and NOT gates, checking whether  $u'_i = j$  bit by bit. Similarly  $I_{u'_i \neq j}$  can be represented by a boolean formula with only OR and NOT gates, taking the negation of  $I_{u'_i=j}$ . As a result, this step can be computed by a circuit of depth 2.

So the computation of obtaining  $x'$  can be realized by a circuit of depth 3 by merging the gates between adjacent depths.

Finally we can take the inner product of two vectors  $x'$  and  $s'$  of length  $t = \Theta(\log^{c_2+2}(n))$ . By Lemma 2.10 part 2, we know that this computation can be represented by a poly-size circuit of depth  $c_2 + 3$ .

The two parts of computation can be merged together to be a circuit of depth  $c_2 + 5$ , as we can merge the last depth of the circuit obtaining  $x'$  and the first depth of the circuit computing the inner product. The

size of the circuit is polynomial in  $n$  as both obtaining  $x'$  and the inner product operation can be realized by poly-size circuits. □

According to Lemma 3, Lemma 3.9, Lemma 3.10, we have the following theorem.

**Theorem 3.11.** *For any  $c \in \mathbb{N}$ , any  $k = \Theta(n/\log^c n)$ , there exists an explicit strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  of depth  $c + 7$ , where  $\epsilon = n^{-1/600}$ ,  $d = \Theta(\log n)$ ,  $m = \lfloor n^{\frac{1}{3600}} \rfloor$  and the locality is  $\Theta(\log^{c+4} n) = \text{poly log } n$ .*

We call this extractor the Basic- $\text{AC}^0$ -Extractor.

## 4 Error Reduction for $\text{AC}^0$ Extractors

### 4.1 For Polynomially Small Error

According to Theorem 3.11, for any  $k = \frac{n}{\text{poly}(\log n)}$ , we have a  $(k, \epsilon)$ -extractor in  $\text{AC}^0$ , with  $\epsilon = 1/n^\beta$  where  $\beta$  is a constant. In this subsection, we will reduce the error parameter  $\epsilon$  to give an explicit  $(k, \epsilon)$ -extractor in  $\text{AC}^0$  such that  $\epsilon$  can be any  $1/\text{poly}(n)$ .

The first tool we will use is the  $\text{AC}^0$  extractor given by Goldreich et al. [8]. Although it's output length is only  $O(\log n)$ , the error parameter can be any  $1/\text{poly}(n)$ .

**Lemma 4.1** (Theorem 3.1 of Goldreich et al. [8]). *For every  $k = \delta n = n/\text{poly}(\log n)$  and every  $\epsilon = 1/\text{poly}(n)$ , there exist an explicit construction of a strong extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^{\Theta(\log n)}$  which is in  $\text{AC}^0$  of depth  $4 + \lceil \frac{\log(n/k(n))}{\log \log n} \rceil$ .*

*If  $\delta \in (0, 1]$  is a constant, the locality of this extractor is  $\Theta(\log n)$ .*

The construction of Theorem 4.1 is a classic sample-then-extract procedure following from Vadhan [23]. First they developed a sampling method in  $\text{AC}^0$ , then on input  $X$  they sample a source of length  $\text{poly}(\log n)$  with entropy rate  $O(\delta)$ . At last they use the extractor in [7] to finish the extraction.

Another tool we will be relying on is the error reduction method for extractors, given by Raz et al. [18]. They give an error reduction method for poly-time extractors and we will adapt it to the  $\text{AC}^0$  settings.

**Lemma 4.2** ( $G_x$  Property [18]). *Let  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a  $(k, \epsilon)$ -extractor with  $\epsilon < 1/4$ . Let  $X$  be any  $(n, k + t)$ -source. For every  $x \in \{0, 1\}^n$ , there exists a set  $G_x$  such that the following holds.*

- For every  $x \in \{0, 1\}^n$ ,  $G_x \subset \{0, 1\}^d$  and  $|G_x|/2^d = 1 - 2\epsilon$ .
- $\text{Ext}(X, G_x)$  is within distance at most  $2^{-t}$  from an  $(m, m - O(1))$ -source. Here  $\text{Ext}(X, G_x)$  is obtained by first sampling  $x$  according to  $X$ , then choosing  $r$  uniformly from  $G_x$ , and outputting  $\text{Ext}(x, r)$ . We also denote  $\text{Ext}(X, G_x)$  as  $\text{Ext}(X, U)|_{U \in G_x}$ .

Raz et al. [18] showed the following result.

**Lemma 4.3** ([18]). *Let  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a  $(k, \epsilon)$ -extractor. Consider  $\text{Ext}' : \{0, 1\}^n \times \{0, 1\}^{2d} \rightarrow \{0, 1\}^{2m}$  which is constructed in the following way.*

$$\text{Ext}'(x, u) = \text{Ext}(x, u_1) \circ \text{Ext}(x, u_2)$$

Here  $u = u_1 \circ u_2$ .

*For any  $t \leq n - k$ , let  $X$  be an  $(n, k + t)$ -source. Let  $U$  be the uniform distribution of length  $2d$ . With probability at least  $1 - O(\epsilon^2)$ ,  $\text{Ext}'(X, U)$  is  $2^{-t}$ -close to having entropy  $m - O(1)$ .*

**Remark 4.4.** Here we briefly explain the result in lemma 4.3. The distribution of  $Y = \text{Ext}'(X, U_1 \circ U_2)$  is the convex combination of  $Y|_{U_1 \in G_X, U_2 \in G_X}$ ,  $Y|_{U_1 \notin G_X, U_2 \in G_X}$ ,  $Y|_{U_1 \in G_X, U_2 \notin G_X}$  and  $Y|_{U_1 \notin G_X, U_2 \notin G_X}$ . That is

$$Y = I_{U_1 \in G_X, U_2 \in G_X} Y|_{U_1 \in G_X, U_2 \in G_X} + I_{U_1 \notin G_X, U_2 \in G_X} Y|_{U_1 \notin G_X, U_2 \in G_X} \\ + I_{U_1 \in G_X, U_2 \notin G_X} Y|_{U_1 \in G_X, U_2 \notin G_X} + I_{U_1 \notin G_X, U_2 \notin G_X} Y|_{U_1 \notin G_X, U_2 \notin G_X}. \quad (5)$$

Also we know that  $\Pr[I_{U_1 \notin G_X, U_2 \notin G_X} = 1] = O(\epsilon^2)$ . As a result, according to Lemma 4.2, this lemma follows.

Informally speaking, this means that if view  $Y = \text{Ext}'(X, U) = Y_1 \circ Y_2$ , then with high probability either  $Y_1$  or  $Y_2$  is  $2^t$ -close to having entropy  $m - O(1)$ .

We adapt this lemma by doing the extraction for any  $t \in \mathbb{N}^+$  times instead of 2 times. We have the following result.

**Lemma 4.5.** Let  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a  $(k, \epsilon)$ -extractor. For any  $t \in \mathbb{N}^+$ , consider  $\text{Ext}' : \{0, 1\}^n \times \{0, 1\}^{td} \rightarrow \{0, 1\}^{tm}$  which is constructed in the following way.

$$\text{Ext}'(x, u) = \text{Ext}(x, u_1) \circ \text{Ext}(x, u_2) \circ \cdots \circ \text{Ext}(x, u_t)$$

Here  $u = u_1 \circ u_2 \circ \cdots \circ u_t$ .

For any  $a \leq n - k$ , let  $X$  be an  $(n, k + a)$ -source. Let  $U = \bigcirc_{i=1}^t U_i$  be the uniform distribution such that  $\forall i \in [t], |U_i| = d$ .

1. For  $S \subseteq [t]$ , let  $I_{S,X}$  be the indicator such that  $I_{S,X} = 1$  if  $\forall i \in S, U_i \in G_X, \forall j \notin S, U_j \notin G_X$  and  $I_{S,X} = 0$  otherwise. Here  $G_X$  is defined according to 4.2. The distribution of  $\text{Ext}'(X, U)$  is a convex combination of the distributions of  $\text{Ext}'(X, U)|_{I_{S,X}=1, S \subseteq [t]}$ . That is

$$U \circ \text{Ext}'(X, U) = \sum_{S \subseteq [t]} I_{S,X} U \circ \text{Ext}'(X, U)|_{I_{S,X}=1}$$

2. For every  $S \subseteq [t_1], S \neq \emptyset$ , there exists an  $i^* \in [t_1]$  such that  $\text{Ext}(X, U_{i^*})|_{I_{S,X}=1}$  is  $2^{-a}$ -close to having entropy  $m - O(1)$ .

*Proof.* The first assertion is proved as the follows. By the definition of  $G_x$  of Lemma 4.2, for each fixed  $x \in \text{supp}(X)$ ,  $\sum_{S \subseteq [t]} I_{S,x} = 1$  as for each  $i, U_i \in G_x$  either happens or not. Also  $I_{S,X}$  is a convex combination of  $I_{S,x}, \forall x \in \text{supp}(X)$ . So  $\sum_{S \subseteq [t]} I_{S,X} = \sum_{S \subseteq [t]} \sum_{x \in \text{supp}(X)} I_{S,x} I_{X=x} = 1$ . As a result, the assertion follows.

The second assertion is proved as the follows. For every  $S \subseteq [t_1], S \neq \emptyset$ , by the definition of  $I_{S,X}$ , there exists an  $i^* \in [t_1], U_{i^*} \in G_X$ . By Lemma 4.2,  $\text{Ext}(X, U_{i^*})|_{U_{i^*} \in G_X} = \text{Ext}(X, U_{i^*})|_{I_{S,X}=1}$  is  $2^{-a}$ -close to having entropy  $m - O(1)$ . □

Finally we consider the following construction of an error reduction procedure.

**Construction 4.6** (Error Reduction). For any  $c, c_0 \in \mathbb{N}$ , let  $k$  be any  $\Theta(n / \log^c n)$  and  $\epsilon$  be any  $\Theta(1/n^{c_0})$ . Let  $X$  be an  $(n, k)$ -source. We construct a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  where  $d = O(\log n), m = k^{\Theta(1)}$ .

- Let  $\text{Ext}_0 : \{0, 1\}^{n_0} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0)$ -extractor following from Theorem 3.11 where  $k_0 = k - \Delta_1, \Delta_1 = \log(n/\epsilon), \epsilon_0 = n^{-\Theta(1)}, d_0 = O(\log n), m_0 = k^{\Theta(1)}$ .

- Let  $\text{Ext}_1 : \{0, 1\}^{n_1=m_0/t_2} \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{m_1}$  be a  $(k_1, \epsilon_1)$ -extractor following from Lemma 4.1 where  $k_1 = 0.9n_1$ ,  $\epsilon_1 = \epsilon/n$ ,  $d_1 = O(\log n)$ ,  $m_1 = \Theta(\log n)$ .
- Let  $t_1$  be such that  $(2\epsilon_0)^{t_1} \leq 0.1\epsilon$ . (We only consider the case that  $\epsilon < \epsilon_0$ . If  $\epsilon \geq \epsilon_0$ , we set  $\text{Ext}$  to be  $\text{Ext}_0$ .)
- Let  $t_2 = m_0^{1/3}$ .

Our construction is as follows.

1. Let  $R_1, R_2, \dots, R_{t_1}$  be independent uniform distributions such that for every  $i \in [t_1]$  the length of  $R_i$  is  $d_0$ . Get  $Y_1 = \text{Ext}_0(X, R_1), \dots, Y_{t_1} = \text{Ext}_0(X, R_{t_1})$ .
2. Get  $Y = Y_1 \circ Y_2 \circ Y_3 \circ \dots \circ Y_{t_1}$ .
3. For each  $i \in [t_1]$ , let  $Y_i = Y_{i,1} \circ Y_{i,2} \circ \dots \circ Y_{i,t_2}$  such that for every  $j \in [t_2]$ ,  $Y_{i,j}$  has length  $n_1 = m_0/t_2$ . Let  $S_1, S_2, \dots, S_{t_1}$  be independent uniform distributions, each having length  $d_1$ . Get  $Z_{i,j} = \text{Ext}_1(Y_{i,j}, S_i), \forall i \in [t_1], j \in [t_2]$ . Let  $Z_i = Z_{i,1} \circ Z_{i,2} \circ \dots \circ Z_{i,t_2}$ .
4. Let  $R = \bigcirc_i R_i, S = \bigcirc_i S_i$ . We get  $\text{Ext}(X, U) = Z = \bigoplus_i^{t_1} Z_i$  where  $U = R \circ S$ .

**Lemma 4.7.** Construction 4.6 gives a strong  $(k, \epsilon)$ -extractor.

**Lemma 4.8** (Chain Rule of Min-Entropy [24]). Let  $(X, Y)$  be a jointly distributed random variable with entropy  $k$ . The length of  $X$  is  $l$ . For every  $\epsilon > 0$ , with probability at least  $1 - \epsilon$  over  $x \leftarrow X$ ,  $Y|_{X=x}$  has entropy  $k - l - \log(1/\epsilon)$ .

Also there exists another source  $(X, Y')$  such that  $\forall x \in \{0, 1\}^l$ ,  $Y'|_{X=x}$  has entropy  $k - l - \log(1/\epsilon)$  and  $\text{SD}((X, Y), (X, Y')) \leq \epsilon$ .

**Lemma 4.9.** Let  $X = X_1 \circ \dots \circ X_t$  be an  $(n, n - \Delta)$ -source where for each  $i \in [t]$ ,  $|X_i| = n_1 = \omega(\Delta)$ .

Let  $k_1 = n_1 - \Delta - \log(1/\epsilon_0)$  where  $\epsilon_0$  can be as small as  $1/2^{0.9n_1}$ .

Let  $\text{Ext}_1 : \{0, 1\}^{n_1} \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{m_1}$  be a strong  $(k_1, \epsilon_1)$ -extractor.

Let  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be constructed as the following,

$$\text{Ext}(X, U_s) = \text{Ext}_1(X_1, U_s) \circ \dots \circ \text{Ext}_1(X_t, U_s).$$

Then  $\text{Ext}$  is a strong  $(n - \Delta, \epsilon)$ -extractor where  $\epsilon = \text{SD}(U_s \circ \text{Ext}(X, U_s), U) \leq t(\epsilon_0 + \epsilon_1)$ .

*Proof.* We prove by induction over the block index  $i$ .

For simplicity, let  $\tilde{X}_i = X_1 \circ \dots \circ X_i$  for every  $i$ . We slightly abuse the notation  $\text{Ext}$  here so that  $\text{Ext}(\tilde{X}_i, U_s) = \text{Ext}_1(X_1, U_s) \circ \dots \circ \text{Ext}_1(X_i, U_s)$  denotes the extraction for the first  $i$  blocks.

For the first block, we know  $H_\infty(X_1) = n_1 - \Delta$ . According to the definition of  $\text{Ext}_1$ ,

$$\text{SD}(U_s \circ \text{Ext}_1(X_1, U_s), U) \leq \epsilon_1 \leq (\epsilon_0 + \epsilon_1).$$

Assume for the first  $i - 1$  blocks,  $\text{SD}(U_s \circ \text{Ext}_1(\tilde{X}_{i-1}, U_s), U) \leq (i - 1)(\epsilon_0 + \epsilon_1)$ . Consider  $\tilde{X}_i$ . By Lemma 4.8, we know that there exists  $X'_i$  such that  $\text{SD}(\tilde{X}_i, \tilde{X}_{i-1} \circ X'_i) \leq \epsilon_0$ , where  $X'_i$  is such that  $\forall \tilde{x}_{i-1} \in \text{supp}(\tilde{X}_{i-1}), H_\infty(X'_i | \tilde{X}_{i-1} = \tilde{x}_{i-1}) \geq n_1 - \Delta - \log(1/\epsilon_0)$ . So according to Lemma 2.3 part 2, as  $U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X_i, U_s)$  is a convex combination of  $u \circ \text{Ext}(\tilde{X}_{i-1}, u) \circ \text{Ext}_1(X_i, u), \forall u \in \text{supp}(U_s)$  and  $U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X'_i, U_s)$  is a convex combination of  $u \circ \text{Ext}(\tilde{X}_{i-1}, u) \circ \text{Ext}_1(X'_i, u), \forall u \in \text{supp}(U_s)$ , we have

$$\text{SD}(U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X_i, U_s), U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X'_i, U_s)) \leq \text{SD}(\tilde{X}_i, \tilde{X}_{i-1} \circ X'_i) \leq \epsilon_0.$$

According to the assumption, Lemma 2.8 and the triangle inequality of Lemma 2.3, we have the following.

$$\begin{aligned}
& \text{SD}(U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X_i, U_s), U) \\
& \leq \text{SD}(U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X_i, U_s), U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X'_i, U_s)) \\
& \quad + \text{SD}(U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X'_i, U_s), U) \\
& \leq \epsilon_0 + (i-1)(\epsilon_0 + \epsilon_1) + \epsilon_1 \\
& = i(\epsilon_0 + \epsilon_1)
\end{aligned} \tag{6}$$

The first inequality is due to the triangle property of Lemma 2.3. For the second inequality, first we have already shown that  $\text{SD}(U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X_i, U_s), U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X'_i, U_s)) \leq \epsilon_0$ . Second, as  $\tilde{X}_{i-1} \circ X'_i$  is a  $((i-1)n_1 - \Delta, n_1 - \Delta - \log(1/\epsilon_0))$ -block source, by our assumption and Lemma 2.8,  $\text{SD}(U_s \circ \text{Ext}(\tilde{X}_{i-1}, U_s) \circ \text{Ext}_1(X'_i, U_s), U) \leq (i-1)(\epsilon_0 + \epsilon_1) + \epsilon_1$ . This proves the induction step.

As a result,  $\text{SD}(U_s \circ \text{Ext}(X, U_s), U) \leq (\epsilon_0 + \epsilon_1)t$ .  $\square$

**Lemma 4.10.** *In Construction 4.6, the output length of Ext is  $m = \Theta(m_0^{1/3} \log n) = \Theta(n^{10800} \log n)$ .*

*Proof.* The output length is equal to  $t_2 \times m_1 = m_0^{1/3} \Theta(\log n) = \Theta(n^{1/10800} \log n)$   $\square$

Next we prove Lemma 4.7.

*Proof of Lemma 4.7.* By Lemma 4.5,

$$\begin{aligned}
& R \circ Y \\
& = \sum_{T \subseteq [t_1]} I_{T,X}(R \circ Y|_{I_{T,X}=1}) \\
& = I_{\emptyset,X}(R \circ Y|_{I_{\emptyset,X}=1}) + (1 - I_{\emptyset,X})(R \circ Y|_{I_{\emptyset,X}=0}) \\
& = I_{\emptyset,X}(R \circ Y|_{I_{\emptyset,X}=1}) + \sum_{T \subseteq [t_1], T \neq \emptyset} I_{T,X}(R \circ Y|_{I_{T,X}=1})
\end{aligned} \tag{7}$$

where  $I_{T,X}$  is the indicator such that  $I_{T,X} = 1$ , if  $\forall i \in T, R_i \in G_X, \forall i \notin T, R_i \notin G_X$  and  $I_{T,X} = 0$ , otherwise. The  $G_X$  here is defined by Lemma 4.2 on  $X$  and  $\text{Ext}_0$ .

Fixing a set  $T \subseteq [t_1], T \neq \emptyset$ , by Lemma 4.5, there exists an  $i^* \in [t_1]$  such that  $R_{i^*} \in G_X$  and  $R \circ Y|_{I_{T,X}=1}$  is  $2^{-\Delta_1}$ -close to

$$R' \circ A \circ W \circ B = \bigcirc_i R'_i \circ A \circ W \circ B$$

where  $W = Y_{i^*}|_{R_i \in G_X}$  has entropy at least  $m_0 - O(1)$ . Here  $A, B$  and  $R'_i, i = 1, 2, \dots, t$  are some random variables where  $A = (i^* - 1)m_1, |B| = (t - i^*)m_1$  and  $\forall i \in [t], |R'_i| = d_1$ . In fact,  $R' = R|_{I_{T,X}=1}$ .

According to our construction, next step we view  $A \circ W \circ B$  as having  $t_1 t_2$  blocks of block size  $n_1$ . We apply the extractor  $\text{Ext}_1$  on each block. Although for all blocks the extractions are conducted simultaneously, we can still view the procedure as first extracting  $A$  and  $B$ , then extracting  $W$ . Assume for  $A$ , after extraction by using seed  $S_A$ , it outputs  $A'$ . Also for  $B$ , after extraction by using seed  $S_B$ , it outputs  $B'$ . So after extracting  $A$  and  $B$ , we get  $A' \circ W \circ B'$ . The length of  $A' \circ B'$  is at most  $t_1 m_0 m_1 / n_1 = t_1 t_2 m_1 = \Theta(t_1 t_2 \log n)$ , as  $n_1 = m_0 / t_2$ .

We know that  $n_1 = m_0 / t_2 = m_0^{2/3} \geq 10 t_1 t_2 m_1 = m_0^{1/3} \text{poly}(\log n)$ . Also according to Lemma 4.8,  $R' \circ S_A \circ S_B \circ A' \circ W \circ B'$  is  $\epsilon'$ -close to  $R' \circ S_A \circ S_B \circ A' \circ W' \circ B'$  such that for every  $r' \in$

$\text{supp}(R'), a \in \text{supp}(A'), b \in \text{supp}(B'), s_A \in \text{supp}(S_A), s_B \in \text{supp}(S_B)$ , conditioned on  $R' = r', S_A = s_A, S_B = s_B, A' = a, B' = b$ ,  $W'$  has entropy at least  $n_1 - O(\log n) - t_1 t_2 m_1 - \log(1/\epsilon') = n_1 - \Delta_2$  where  $\Delta_2 = O(\log n) + t_1 t_2 m_1 + \log(1/\epsilon') = O(m_0^{1/3} \log n)$ . Here  $\epsilon'$  can be as small as  $2^{-k^{\Omega(1)}}$ . That is

$$\begin{aligned} & \forall r' \in \text{supp}(R'), a \in \text{supp}(A'), b \in \text{supp}(B'), s_A \in \text{supp}(S_A), s_B \in \text{supp}(S_B), \\ & H_\infty(W'|_{R'=r', S_A=s_A, S_B=s_B, A'=a, B'=b}) \geq n_1 - \Delta_2. \end{aligned} \quad (8)$$

Let  $\text{Ext}'_1(W', S_{i^*}) = \bigcirc_{i \in [t_2]} \text{Ext}_1(W'_i, S_{i^*})$  where  $W' = \bigcirc_{i \in [t_2]} W'_i$  and  $\forall i \in [t_2], |W'_i| = n_1$ . By Lemma 4.9, as  $k_1 = 0.9n_1 \leq n_1 - \Delta_2$ ,  $S_{i^*} \circ \text{Ext}'_1(W', S_{i^*})|_{R'=r', S_A=s_A, S_B=s_B, A'=a, B'=b}$  is  $(\epsilon'_0 + \epsilon_1)t_2$ -close to uniform distributions where  $\epsilon'_0$  can be as small as  $2^{-k^{\Omega(1)}}$ .

As a result, we have the following.

$$\begin{aligned} & \text{SD}(U \circ \text{Ext}(X, U), U') \\ &= \text{SD}(R \circ S \circ \text{Ext}(X, U), R \circ S \circ \tilde{U}) \\ &= \text{SD}(I_{\emptyset, X}(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=1}), I_{\emptyset, X}(R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=1})) \\ & \quad + \text{SD}((1 - I_{\emptyset, X})(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=0}), (1 - I_{\emptyset, X})(R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=0})) \quad (9) \\ &= \Pr[I_{\emptyset, X} = 1] \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=1}, R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=1}) \\ &= (2\epsilon_0)^{t_1} \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=1}, R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=1}) \\ & \quad + \text{SD}((1 - I_{\emptyset, X})(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=0}), (1 - I_{\emptyset, X})(R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=0})) \end{aligned}$$

As

$$(2\epsilon_0)^{t_1} \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=1}, R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=1}) \leq (2\epsilon_0)^{t_1}$$

let's focus on  $\text{SD}((1 - I_{\emptyset, X})(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=0}), (1 - I_{\emptyset, X})(R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=0}))$ .

$$\begin{aligned} & \text{SD}((1 - I_{\emptyset, X})(R \circ S \circ \text{Ext}(X, U)|_{I_{\emptyset, X}=0}), (1 - I_{\emptyset, X})(R \circ S \circ \tilde{U}|_{I_{\emptyset, X}=0})) \\ &= \text{SD}\left(\sum_{T \subseteq [t_1], T \neq \emptyset} I_{T, X}(R \circ S \circ \text{Ext}(X, U)|_{I_{T, X}=1}), \sum_{T \subseteq [t_1], T \neq \emptyset} I_{T, X}(R \circ S \circ \tilde{U}|_{I_{T, X}=1})\right) \\ &= \sum_{T \subseteq [t_1], T \neq \emptyset} \Pr[I_{T, X} = 1] \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{T, X}=1}, R \circ S \circ \tilde{U}|_{I_{T, X}=1}) \\ &\leq \sum_{T \subseteq [t_1], T \neq \emptyset} \Pr[I_{T, X} = 1] (2^{-\Delta_1} + \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B'), R' \circ S \circ \tilde{U})) \quad (10) \\ &= (1 - (2\epsilon_0)^{t_1}) (2^{-\Delta_1} + \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B'), R' \circ S \circ \tilde{U})) \\ &\leq 2^{-\Delta_1} + \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B'), R' \circ S \circ \tilde{U}) \\ &\leq 2^{-\Delta_1} + \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B'), R' \circ S \circ (A' \oplus \text{Ext}'_1(W', S_{i^*}) \oplus B')) \\ & \quad + \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W', S_{i^*}) \oplus B'), R' \circ S \circ \tilde{U}) \\ &\leq 2^{-\Delta_1} + \epsilon' + \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W', S_{i^*}) \oplus B'), R' \circ S \circ \tilde{U}) \\ &\leq 2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2 \end{aligned}$$

Here  $U, U', \tilde{U}$  are uniform distributions. In the second equation,  $I_{\emptyset, X}$  is the indicator such that  $I_{\emptyset, X} = 1$  if  $\forall i \in [t_1], R_i \notin G_X$  where  $G_X$  is defined by Lemma 4.2 on  $X$  and  $\text{Ext}_0$ . For the first inequality, we need to show that

$$\text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{T, X}=1}, R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B')) \leq 2^{-\Delta_1}.$$

We know that for every  $s \in \text{supp}(S)$ , by Lemma 2.3 part 2,

$$\begin{aligned} & \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{T,X}=1, S=s}, R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B')|_{S=s}) \\ & \leq \text{SD}(R \circ Y|_{I_{T,X}=1}, R' \circ A \circ W \circ B) \\ & \leq 2^{-\Delta_1}. \end{aligned} \quad (11)$$

Here  $R \circ S \circ \text{Ext}(X, U)|_{I_{T,X}=1, S=s} = h(R \circ Y|_{I_{T,X}=1})$  for some deterministic function  $h$  as  $S = s$  is fixed. Also  $R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B')|_{S=s} = h(R' \circ A \circ W \circ B)$  for the same reason. As a result,

$$\begin{aligned} & \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{T,X}=1}, R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B')) \\ & = \sum_{s \in \text{supp}(S)} \Pr[S = s] \text{SD}(R \circ S \circ \text{Ext}(X, U)|_{I_{T,X}=1, S=s}, R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B')|_{S=s}) \\ & \leq 2^{-\Delta_1}. \end{aligned} \quad (12)$$

The third inequality holds by the triangle property of Lemma 2.3 part 1. The 4th inequality holds because by Lemma 2.3 part 2,

$$\begin{aligned} & \text{SD}(R' \circ S \circ (A' \oplus \text{Ext}'_1(W, S_{i^*}) \oplus B')|_{S=s}, R' \circ S \circ (A' \oplus \text{Ext}'_1(W', S_{i^*}) \oplus B')|_{S=s}) \\ & \leq \text{SD}(R' \circ S \circ A \circ W \circ B, R' \circ S \circ A \circ W' \circ B) \\ & \leq \epsilon'. \end{aligned} \quad (13)$$

As a result, the total error is at most

$$(2\epsilon_0)^{t_1} + (2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2)$$

We can set  $\epsilon' = 0.1\epsilon$ ,  $\epsilon'_0 = \epsilon/n$  so that  $(2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2) \leq 0.1\epsilon$ . As  $(2\epsilon_0)^{t_1} < 0.1\epsilon$ , we know  $\text{SD}(U \circ \text{Ext}(X, U), U') \leq \epsilon$ . □

**Lemma 4.11.** *In Construction 4.6, the function  $\text{Ext}$  can be realized by a circuit of depth  $c + 10$ . Its locality is  $\Theta(\log^{c+5} n) = \text{poly}(\log n)$ .*

*Proof.* According to Theorem 3.11 and Lemma 4.1, both  $\text{Ext}_0$  and  $\text{Ext}_1$  in our construction are in  $\text{AC}^0$ . For  $\text{Ext}_0$ , it can be realized by circuits of depth  $c + 5$ . For  $\text{Ext}_1$ , it can be realized by circuits of depth  $4 + \lceil \frac{\log(n_1/k_1)}{\log \log n_1} \rceil$ . As  $k_1 = \delta_1 n_1$  where  $\delta_1$  is a constant, the depth is in fact 5.

In the first and second steps of Construction 4.6, we only run  $\text{Ext}_0$  for  $t_1$  times in parallel. So the computation can be realized by circuits of depth  $c + 7$

For the third step, we run  $\text{Ext}_1$  for  $t_1 t_2$  times in parallel, which can be realized by circuits of depth 5.

The last step, according to Lemma 2.10, taking the XOR of a constant number of bits can be realized by circuits of depth 2. Each bit of  $Z$  is the XOR of  $t_1$  bits and all the bits of  $Z$  can be computed in parallel. So the computations in this step can be realized by circuits of depth 2.

Now we merge the three parts of circuits together. As the circuits between each parts can be merged by deleting one depth, our construction can be realized by circuits of depth

$$(c + 5) + 5 + 2 - 2 = c + 10.$$

For the locality, according to Theorem 3.11, the locality of  $\text{Ext}_0$  is  $\text{poly}(\log n)$ . According to Lemma 4.1, the locality of  $\text{Ext}_1$  is  $\Theta(\log n)$ . So each bit of  $Z$  is related with at most  $t_1 \times \Theta(\log n) \times \Theta(\log^{c+4} n) = \Theta(\log^{c+5} n) = \text{poly}(\log n)$  bits of  $X$ . So the locality is  $\Theta(\log^{c+5} n) = \text{poly}(\log n)$ . □

**Lemma 4.12.** In Construction 4.6,  $d = O(t_1(d_0 + d_1)) = O(\log n)$ .

*Proof.* In Construction 4.6, as

$$U = R \circ S = \bigcirc_i R_i \circ \bigcirc_i S_i,$$

$|U| = O(t_1 d_0 + t_1 d_1)$ . According to the settings of  $\text{Ext}_0$  and  $\text{Ext}_1$ , we know that  $d_0 = O(\log n)$  and  $d_1 = O(\log n)$ . Also we know that  $t_1 = O(1)$  as  $\epsilon_0 = n^{-\Theta(1)}$  and  $\epsilon = 1/\Theta(n^{\epsilon_0})$ . So  $d = O(\log n)$ .  $\square$

**Theorem 4.13.** For any constant  $c \in \mathbb{N}$ , any  $k = \Theta(n/\log^c n)$  and any  $\epsilon = 1/\text{poly}(n)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  of depth  $c + 10$  where  $d = O(\log n)$ ,  $m = \Theta(n^{1/10800} \log n) = k^{\Theta(1)}$  and the locality is  $\Theta(\log^{c+5} n) = \text{poly}(\log n)$ .

*Proof.* It follows from Construction 4.6, Lemma 4.7, Lemma 4.10, Lemma 4.11 and Lemma 4.12.  $\square$

## 4.2 For Super-Polynomially Small Error

By using poly-log length seeds, we can achieve even smaller errors. We mainly improve the sample-then-extract procedure.

We first analyze the sampling method which is well studied by Zuckerman [27], Vadhan [23], Goldreich et al. [8], etc.

**Definition 4.14** ([23]). A  $(\mu_1, \mu_2, \gamma)$ -averaging sampler is a function  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^t$  such that  $\forall f : [n] \rightarrow [0, 1]$ , if  $\mathbf{E}_{i \in [n]}[f(i)] \geq \mu_1$ , then

$$\Pr_{I \leftarrow \text{Samp}(U_r)} \left[ \frac{1}{t} \sum_{i \in I} f(i) < \mu_2 \right] \leq \gamma.$$

The  $t$  samples generated by the sampler must be distinct.

According to Vadhan [23], we have the following lemma.

**Lemma 4.15** (Sample a Source [23]). Let  $0 < 3\tau \leq \delta \leq 1$ . If  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^t$  is a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler for  $\mu_1 = (\delta - 2\tau)/\log(1/\tau)$  and  $\mu_2 = (\delta - 3\tau)/\log(1/\tau)$ , then for every  $(n, \delta n)$ -source  $X$ , we have  $\text{SD}(U \circ X_{\text{Samp}(U_r)}, U \circ W) \leq \gamma + 2^{-\Omega(\tau n)}$ . Here  $U$  is the uniform distribution over  $\{0, 1\}^r$ . For every  $a$  in  $\{0, 1\}^r$ , the random variable  $W|_{U=a}$  is a  $(t, (\delta - 3\tau)t)$ -source.

In fact, Zuckerman [27] has already given a very good sampler (oblivious sampler) construction. This construction is based on the existence of randomness extractors.

**Definition 4.16** ([27]). An  $(n, m, t, \gamma, \epsilon)$ -oblivious sampler is a deterministic function  $\text{Samp} : \{0, 1\}^n \rightarrow (\{0, 1\}^m)^t$  such that  $\forall f : \{0, 1\}^m \rightarrow [0, 1]$ ,

$$\Pr_{I \leftarrow \text{Samp}(U_r)} \left[ \left| \frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f \right| > \epsilon \right] \leq \gamma.$$

The following lemma explicitly gives a construction of oblivious samplers using extractors.

**Lemma 4.17** ([27]). If there is an explicit  $(k = \delta n, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , then there is an explicit  $(n, m, t = 2^d, \gamma = 2^{1-(1-\delta)n}, \epsilon)$ -oblivious sampler:

The sampler is constructed as follows. Given a seed  $x$  of length  $n$ , the  $t = 2^d$  samples are  $\text{Ext}(x, u)$ ,  $\forall u \in \{0, 1\}^d$ .

As a result, we can construct the following samplers.

**Lemma 4.18.** *For any  $a \in \mathbb{N}^+$ , let  $\gamma$  be any  $1/2^{\Theta(\log^a n)}$ .*

- *For any  $c \in \mathbb{N}$ , let  $\epsilon$  be any  $\Theta(1/\log^c n)$ . There exists an explicit  $(\Theta(\log^a n), \log n, t, \gamma, \epsilon)$ -oblivious sampler for any integer  $t \in [t_0, n]$  with  $t_0 = \text{poly}(\log n)$ .*
- *For any constant  $\alpha$  in  $(0, 1)$ , any  $c \in \mathbb{N}$ , any  $\mu = \Theta(1/\log^c n)$ , there exists an explicit  $(\mu, \alpha\mu, \gamma)$ -averaging sampler  $\text{Samp} : \{0, 1\}^{\Theta(\log^a n)} \rightarrow [n]^t$  in  $\text{AC}^0$  of circuit depth  $a + 2$ , for any integer  $t \in [t_0, n]$  with  $t_0 = \text{poly}(\log n)$ .*

*Specifically, if  $c = 0$ ,  $t$  can be any integer in  $[t_0, n]$  with  $t_0 = (\log n)^{\Theta(a)}$ .*

*Proof.* Let  $k = \log^a n$ . For any  $\epsilon = \Theta(1/\log^c n)$ , let's consider a  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^{n' = c_0 \log^a n} \times \{0, 1\}^d \rightarrow \{0, 1\}^{\log n}$  for some constant  $c_0$ , following Lemma 2.7. Here we make one modification. We replace the last  $d$  bits of the output with the seed. We can see in this way,  $\text{Ext}$  is still an extractor.

Here the entropy rate is  $\delta = 1/c_0$  which is a constant. According to Lemma 2.7, we know that,  $d$  can be  $\Theta(\frac{\log^2(n'/\epsilon)}{\log n'}) = \Theta(\log \log n)$ .

For the first assertion, according to Lemma 4.17, there exists an explicit construction of a  $(c \log^a n, \log n, t, \gamma, \epsilon)$ -oblivious sampler where  $\gamma = 2^{1-(1-2/c)(c \log^a n)}$ . As we can increase the seed length to  $\log n$  by padding uniform random bits,  $t$  can be any integer in  $[t_0, n]$  with  $t_0 = 2^{\Theta(\frac{\log^2(n'/\epsilon)}{\log n'})} = \text{poly}(\log n)$ . As  $c_0$  can be any large enough constant,  $\gamma$  can be  $1/2^{\Theta(\log^a n)}$ .

Next we prove the second assertion.

According to the definition of oblivious sampler, we know that  $\forall f : [n] \rightarrow [0, 1]$ ,

$$\Pr_{I \leftarrow \text{Samp}(U)} \left[ \left| \frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f \right| > \epsilon \right] \leq \gamma.$$

Next we consider the definition of averaging sampler.

Let  $(1 - \alpha)\mu = \epsilon$ . As  $\mu = \Theta(1/\log^c n)$ ,  $\epsilon = \Theta(1/\log^c n)$ . For any  $f : [n] \rightarrow [0, 1]$  such that  $\mu \leq \mathbf{E}f$ , we have the following inequalities, where  $\text{Samp}$  is a  $(c \log^a n, \log n, t, \gamma, \epsilon)$ -oblivious sampler.

$$\begin{aligned} & \Pr_{I \leftarrow \text{Samp}(U)} \left[ \frac{1}{t} \sum_{i \in I} f(i) < \alpha\mu \right] \\ &= \Pr_{I \leftarrow \text{Samp}(U)} \left[ \frac{1}{t} \sum_{i \in I} f(i) < \mu - \epsilon \right] \\ &= \Pr_{I \leftarrow \text{Samp}(U)} \left[ \mu - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon \right] \\ &\leq \Pr_{I \leftarrow \text{Samp}(U)} \left[ \mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon \right] \\ &\leq \Pr_{I \leftarrow \text{Samp}(U)} \left[ \left| \frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f \right| > \epsilon \right] \\ &\leq \gamma \end{aligned} \tag{14}$$

The first inequality holds because if the event that  $\mu - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon$  happens, then the event that  $\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon$  will happen, as  $\mu \leq \mathbf{E}f$ . The second inequality is because  $\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) \leq |\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i)|$ . So if  $\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon$  happens, then  $|\frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f| > \epsilon$  happens.

Also as we replace the last  $d$  bits of the output of our extractor with the seed, the samples are distinct according to the construction of Lemma 4.17.

According to the definition of averaging sampler, we know that this gives an explicit  $(\mu, \alpha\mu, \gamma)$ -averaging sampler.

According to the construction described in the proof of Lemma 4.17, the output of the sampler is computed by running the extractor following Lemma 2.7 for  $t$  times in parallel. So the circuit depth is equal to the circuit depth of the extractor Ext.

Let's recall the construction of the Trevisan's extractor Ext.

The encoding procedure is doing the multiplication of the encoding matrix and the input  $x$  of length  $n' = c \log^a n$ . By Lemma 2.10, this can be done by a circuit of depth  $a + 1$ .

The last step is the procedure of N-W generator. The selection procedure can be represented as a CNF/DNF, as the seed length for Ext is at most  $\Theta(\log n)$ . (Detailed proof is the same as the proof of Lemma 3.10.)

As a result, we need a circuit of depth  $a + 2$  to realize Samp.

For the special situation that  $c = 0$ , the seed length  $d$  for Ext can be  $\Theta(a \log \log n)$ . So  $t_0 = 2^d = (\log n)^{\Theta(a)}$ .  $\square$

After sampling, we give an extractor with smaller errors that can be applied on the samples. Specifically, we use leftover hash lemma.

**Lemma 4.19** (Leftover Hash Lemma [12]). *Let  $X$  be an  $(n', k = \delta n')$ -source. For any  $\Delta > 0$ , let  $H$  be a universal family of hash functions mapping  $n'$  bits to  $m = k - 2\Delta$  bits. The distribution  $U \circ \text{Ext}(X, U)$  is at distance at most  $1/2^\Delta$  to uniform distribution where the function  $\text{Ext} : \{0, 1\}^{n'} \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  chooses the  $U$ 'th hash function  $h_U$  in  $H$  and outputs  $h_U(X)$ .*

*We use the following universal hash function family  $H = \{h_u, u \in \{0, 1\}^{n'}\}$ . For every  $u$ , the hash function  $h_u(x)$  equals to the last  $m$  bits of  $u \cdot x$  where  $u \cdot x$  is computed in  $\mathbb{F}_{2^{n'}}$ .*

*Specifically, for any constant  $a \in \mathbb{N}^+$ , for any  $n' = \Theta(\log^a n)$  then Ext can be computed by an  $\text{AC}^0$  circuit of depth  $a + 1$ .*

*Proof.* The proof in [12] has already shown that the universal hash function is a strong extractor. We only need to show that the hash functions can be computed in  $\text{AC}^0$ .

Given a seed  $u$ , we need to compute  $u \cdot x$  which is a multiplication in  $\mathbb{F}_{2^{n'}}$ . We claim that this can be done in  $\text{AC}^0$ . Note that since the multiplication is in  $\mathbb{F}_{2^{n'}}$ , it is also a bi-linear function when regarding the two inputs as two  $n'$ -bit strings. Thus, each output bit is essentially the inner product over some input bits.

This shows that each output bit of  $p \cdot q$  is an inner product of two vectors of  $n'$  dimension. As  $n' = \Theta(\log^a n)$ , by Lemma 2.10, this can be done in  $\text{AC}^0$  of depth  $a + 1$  and size  $\text{poly}(n)$ . All the output bits can be computed in parallel. So  $u \cdot x$  can be computed in  $\text{AC}^0$  of depth  $a + 1$  and size  $\text{poly}(n)$ .  $\square$

**Theorem 4.20.** *For any constant  $a \in \mathbb{N}^+$ , any constant  $\delta \in (0, 1]$  and any  $\epsilon = 1/2^{\Theta(\log^a n)}$ , there exists an explicit construction of a  $(k = \delta n, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  of depth  $\Theta(a)$ , where  $d = (\log n)^{\Theta(a)}$ ,  $m = \Theta(\log^a n)$  and the locality is  $(\log n)^{\Theta(a)}$ .*

*Proof.* We follow the sample-then-extract procedure.

Let  $\text{Samp} : \{0, 1\}^{r_s} \rightarrow \{0, 1\}^t$  be a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler following from Lemma 4.18. Let  $\tau = 0.1\delta$ ,  $\mu_1 = (\delta - 2\tau)/\log(1/\tau)$ ,  $\mu_2 = (\delta - 3\tau)/\log(1/\tau)$ ,  $\gamma = 0.8\epsilon$ . As a result,  $\mu_1$  is a constant and  $\mu_2 = \alpha\mu_1$  for some constant  $\alpha \in (0, 1)$ . For an  $(n, k)$ -source  $X$ , by Lemma 4.15, we have  $\text{SD}(R \circ X_{\text{Samp}(R)}, R \circ W) \leq \gamma + 2^{-\Omega(\tau n)}$ . Here  $R$  is a uniform random variable. For every  $r$  in  $\{0, 1\}^{r_s}$ , the random variable  $W|_{R=r}$  is a  $(t, (\delta - 3\tau)t)$ -source.

By Lemma 4.18,  $r_s = \Theta(\log^a n)$  and  $t$  can be any integer in  $[t_0, n]$  with  $t_0 = (\log n)^{\Theta(a)}$ .

Let  $t$  be such that  $(\delta - 3\tau)t \geq 10 \log^a n$ . Let  $m = 6 \log^a n$ . Let  $\text{Ext}_1 : \{0, 1\}^t \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^m$  be a  $((\delta - 3\tau)t, \epsilon_1 = 0.1\epsilon)$ -extractor following from Lemma 4.19. As a result,

$$\text{SD}(U \circ \text{Ext}_1(W, U), U') \leq \epsilon_1,$$

where  $U, U'$  are uniform distributions.

As a result, the sample-then-extract procedure gives an extractor of error

$$\gamma + 2^{-\Omega(\tau n)} + \epsilon_1 \leq 0.8\epsilon + 2^{-\Omega(\tau n)} + 0.1\epsilon.$$

As  $\tau$  is a constant,  $2^{-\Omega(\tau n)} \leq 0.1\epsilon$ .

Thus the error of the extractor is at most  $\epsilon$ .

The seed length is  $r_s + d_1 = \Theta(\log^a n + t) = (\log n)^{\Theta(a)}$ .

The locality is  $t = (\log n)^{\Theta(a)}$  because when the seed is fixed, we select  $t$  bits from  $X$  by sampling.

The sampler  $\text{Samp}$  is in  $\text{AC}^0$  of depth  $a + 1$ . The extractor  $\text{Ext}_1$  is in  $\text{AC}^0$  of depth  $\Theta(a)$ . So  $\text{Ext}$  is in  $\text{AC}^0$  of depth  $\Theta(a)$  □

In this way, we in fact have an extractor with a smaller error comparing to Lemma 4.1.

Next we give the construction for error reduction of super-polynomially small errors.

**Construction 4.21** (Error Reduction for Super-Polynomially Small Error). *For any constant  $a \in \mathbb{N}^+$ , any constant  $c \in \mathbb{N}$ , any  $k = \Theta(n / \log^c n)$  and any  $\epsilon = 1/2^{\Theta(\log^a n)}$ , let  $X$  be an  $(n, k)$ -source. We construct a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  with  $m = k^{\Omega(1)}$ .*

- Let  $\text{Ext}_0 : \{0, 1\}^{n_0=n} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0)$ -extractor following from Theorem 3.11 with  $k_0 \leq k - \Delta_1$ ,  $\Delta_1 = \log(n/\epsilon)$ ,  $\epsilon_0 = k^{-\Theta(1)}$ ,  $d_0 = \Theta(\log n)$ ,  $m_0 = k^{O(1)}$ .
- Let  $\text{Ext}_1 : \{0, 1\}^{n_1=m_0/t_2} \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{m_1}$  be a  $(k_1, \epsilon_1)$ -extractor following from Theorem 4.20 where  $k_1 = 0.9n_1$ ,  $\epsilon_1 = \epsilon/n$ ,  $d_1 = (\log n)^{\Theta(a)}$ ,  $m_1 = (\log n)^{\Theta(a)}$ .
- Let  $t_1$  be such that  $(2\epsilon_0)^{t_1} \leq 0.1\epsilon$ . (We only consider the case that  $\epsilon < \epsilon_0$ . If  $\epsilon \geq \epsilon_0$ , we set  $\text{Ext}$  to be  $\text{Ext}_0$ .)
- Let  $t_2 = m_0^{1/3}$ .

Our construction is as follows.

1. Let  $R_1, R_2, \dots, R_{t_1}$  be independent uniform distributions such that for every  $i \in [t_1]$  the length of  $R_i$  is  $d_0$ . Get  $Y_1 = \text{Ext}_0(X, R_1), \dots, Y_{t_1} = \text{Ext}_0(X, R_{t_1})$ .
2. Get  $Y = Y_1 \circ Y_2 \circ Y_3 \circ \dots \circ Y_{t_1}$ .
3. For each  $i \in [t_1]$ , let  $Y_i = Y_{i,1} \circ Y_{i,2} \circ \dots \circ Y_{i,t_2}$  such that for every  $j \in [t_2]$ ,  $Y_{i,j}$  has length  $n_1 = m_0/t_2$ . Let  $S_1, S_2, \dots, S_{t_1}$  be independent uniform distributions, each having length  $d_1$ . Get  $Z_{i,j} = \text{Ext}_1(Y_{i,j}, S_i), \forall i \in [t_1], j \in [t_2]$ . Let  $Z_i = Z_{i,1} \circ Z_{i,2} \circ \dots \circ Z_{i,t_2}$ .
4. Let  $R = \bigcirc_i R_i, S = \bigcirc_i S_i$ . We get  $\text{Ext}(X, U) = Z = \bigoplus_i^{t_1} Z_i$  where  $U = R \circ S$ .

**Theorem 4.22.** *For any constant  $a \in \mathbb{N}^+$ , any constant  $c \in \mathbb{N}$ , any  $k = \Theta(n / \log^c n)$ , any  $\epsilon = 1/2^{\Theta(\log^a n)}$  and any constant  $\gamma \in (0, 1)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  of depth  $\Theta(a + c)$ , where  $d = (\log n)^{\Theta(a)}$ ,  $m = n^{1/10800} (\log n)^{\Theta(a)} = k^{\Omega(1)}$  and the locality is  $(\log n)^{\Theta(a+c)}$ .*

*Proof Sketch.* The proof is almost the same as that of Theorem 4.13. We only need to make sure the parameters are correct.

There are two differences between Construction 4.21 and Construction 4.6. First, in Construction 4.21, the extractor  $\text{Ext}_1$  follows from Theorem 4.18. Second, the  $\epsilon$  in Construction 4.21 is super-polynomially small.

We first claim that  $\text{Ext}$  is a  $(k, \epsilon)$ -extractor. The proof strategy is the same as that of Lemma 4.7, except that the parameters need to be modified.

As  $(2\epsilon_0)^{t_1} \leq 0.1\epsilon$ , we can take  $t_1 = \Theta(\log^{a-1} n)$ . According to the proof of Lemma 4.7, the error of  $\text{Ext}$  is

$$(2\epsilon_0)^{t_1} + (2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2)$$

Here  $\epsilon', \epsilon'_0$  follow the same definitions as those in the proof of Lemma 4.7. As a result, we know that both  $\epsilon'$  and  $\epsilon'_0$  can be  $1/2^{k^{\Omega(1)}}$ .

Also we know that  $\Delta_1 = \log(n/\epsilon)$ ,  $\epsilon_1 = \epsilon/n$ ,  $t_2 = k^{O(1)}$ .

So we can set  $\epsilon', \epsilon'_0$  small enough so that  $2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2 \leq 0.1\epsilon$ .

As a result, the overall error will be at most  $\epsilon$ .

By the same proof as that of Lemma 4.10, we know that the output length is

$$m = t_2 \times m_1 = m_0^{1/3} (\log n)^{\Theta(a)} = k^{\Omega(1)}.$$

For the seed length, we know that according to the settings of  $\text{Ext}_0$  and  $\text{Ext}_1$ , as  $t_1 = \Theta(\log^{a-1} n)$ ,  $d = O(t_1 d_0 + t_1 d_1) = (\log n)^{\Theta(a)}$ .

As the locality of  $\text{Ext}_0$  is  $l_0 = (\log n)^{\Theta(c)}$ , and the locality of  $\text{Ext}_1$  is  $l_1 = (\log n)^{\Theta(a)}$ , the locality of  $\text{Ext}$  is  $t_1 \times l_1 \times l_0 = (\log n)^{\Theta(a+c)}$ .

According to our settings we know that  $\text{Ext}_0$  is in  $\text{AC}^0$  of depth  $\Theta(c)$  and  $\text{Ext}_1$  is in  $\text{AC}^0$  of depth  $\Theta(a)$ . Also we know that  $t_1 = \Theta(\log^{a-1} n)$ ,  $t_2 = m_0^{1/3}$ , so the XOR of  $t_1$  bits can be computed in  $\text{AC}^0$  of depth  $\Theta(a)$  and size  $\text{poly}(n)$  by Lemma 2.10. So  $\text{Ext}$  is in  $\text{AC}^0$  of depth  $\Theta(a+c)$ . □

## 5 Output Length Optimization for $\text{AC}^0$ Extractors

In this section, we show how to extract  $(1 - \gamma)k$  bits for any constant  $\gamma > 0$ .

### 5.1 Output Length OPT for Polynomially Small Error

By Theorem 4.13, we have a  $(k, \epsilon)$ -extractor in  $\text{AC}^0$  for any  $k = n/\text{poly}(\log n)$  and any  $\epsilon = 1/\text{poly}(n)$ .

According to Lemma 4.17, we can have the following lemma which gives an explicit construction of oblivious samplers and averaging samplers.

**Lemma 5.1.** *For any  $\gamma = 1/\text{poly}(n)$  and any  $\epsilon = 1/\text{poly}(\log n)$ , there exists an explicit  $(O(\log n), \log n, t, \gamma, \epsilon)$ -oblivious sampler for any integer  $t$  in  $[t_0, n]$  with  $t_0 = \text{poly}(\log n)$ .*

*Let  $\alpha \in (0, 1)$  be an arbitrary constant. For any  $\mu = 1/\text{poly}(\log n)$  and any  $\gamma = 1/\text{poly}(n)$ , there exists an explicit  $(\mu, \alpha\mu, \gamma)$ -averaging sampler  $\text{Samp} : \{0, 1\}^{O(\log n)} \rightarrow [n]^t$ , for any integer  $t$  in  $[t_0, n]$  with  $t_0 = \text{poly}(\log n)$ .*

*Proof.* Let  $k = 2 \log n$ . Consider a  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^{c \log n} \times \{0, 1\}^d \rightarrow \{0, 1\}^{\log n}$  for some constant  $c \in \mathbb{N}^+$ , following Lemma 2.6. Here we make one modification. We replace the last  $d$  bits of the output with the seed. We can see in this way,  $\text{Ext}$  is still an extractor.

The entropy rate is  $\delta = 2/c$ . By Lemma 2.6, we know that,  $d$  can be  $\Theta(\log(c \log n) + \log(1/\epsilon)) = \Theta(\log \log n)$ .

As a result, by Lemma 4.17, there exists an explicit  $(c \log n, \log n, t, \gamma, \epsilon)$ -oblivious sampler where  $t = 2^d = \text{poly}(\log n)$ ,  $\gamma = 2^{1-(1-2/c)(c \log n)}$ ,  $\epsilon = 1/\text{poly}(\log n)$ . For  $t$ , we claim that  $t$  can be any number in the range  $[t_0, n]$  with  $t_0 = \text{poly}(\log n)$ . This is because we can always add more bits in the seed (The total length of the seed can be added up to  $\log n$ ). As we do not require the extractor to be strong, we can always use the seed to replace the last  $d$  bits of the output. This shows that  $t$  can be any number in  $[t_0, n]$  with  $t_0 = \text{poly}(\log n)$ . Since  $c$  can be any large enough constant,  $\gamma$  can be any  $1/\text{poly}(n)$ .

According to the definition of the oblivious sampler, we know that  $\forall f : [n] \rightarrow [0, 1]$ ,

$$\Pr_{I \leftarrow \text{Samp}(U)} \left[ \left| \frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f \right| > \epsilon \right] \leq \gamma.$$

Next we consider the definition of the averaging sampler.

Let  $(1 - \alpha)\mu = \epsilon$ . As  $\mu = 1/\text{poly}(\log n)$ ,  $\epsilon = \text{poly}(\log n)$ . For any  $f : [n] \rightarrow [0, 1]$  such that  $\mu \leq \mathbf{E}f$ , we have the following inequalities.

$$\begin{aligned} & \Pr_{I \leftarrow \text{Samp}(U)} \left[ \frac{1}{t} \sum_{i \in I} f(i) < \alpha\mu \right] \\ &= \Pr_{I \leftarrow \text{Samp}(U)} \left[ \frac{1}{t} \sum_{i \in I} f(i) < \mu - \epsilon \right] \\ &= \Pr_{I \leftarrow \text{Samp}(U)} \left[ \mu - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon \right] \\ &\leq \Pr_{I \leftarrow \text{Samp}(U)} \left[ \mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon \right] \\ &\leq \Pr_{I \leftarrow \text{Samp}(U)} \left[ \left| \frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f \right| > \epsilon \right] \\ &\leq \gamma. \end{aligned} \tag{15}$$

The first inequality holds because if the event that  $\mu - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon$  happens, then the event that  $\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon$  must happen, as  $\mu \leq \mathbf{E}f$ . The second inequality is because  $\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) \leq |\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i)|$ . So if  $\mathbf{E}f - \frac{1}{t} \sum_{i \in I} f(i) > \epsilon$  happens, then  $|\frac{1}{t} \sum_{i \in I} f(i) - \mathbf{E}f| > \epsilon$  happens.

Also as we replace the last  $d$  bits of the output of our extractor with the seed, the samples are distinct according to the construction of Lemma 4.17.

This meets the definition of the averaging sampler. So this also gives an explicit  $(\mu, \alpha\mu, \gamma)$ -averaging sampler. □

By Lemma 4.15, we can sample several times to get a block source.

**Lemma 5.2** (Sample a Block Source). *Let  $t$  be any constant in  $\mathbb{N}^+$ . For any  $\delta > 0$ , let  $X$  be an  $(n, k = \delta n)$ -source. Let  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^m$  be a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler where  $\mu_1 = (\frac{1}{t}\delta - 2\tau)/\log(1/\tau)$  and  $\mu_2 = (\frac{1}{t}\delta - 3\tau)/\log(1/\tau)$ ,  $m = (\frac{t-1}{t}k - \log(1/\epsilon_0))/t$ . Let  $\epsilon_s = \gamma + 2^{-\Omega(\tau n)}$ . For any  $i \in [t]$ , let  $U_i$ s be uniform distributions over  $\{0, 1\}^r$ . Let  $X_i = X_{\text{Samp}(U_i)}$ , for  $i \in [t]$ .*

*It concludes that  $\bigcirc_{i=1}^t U_i \circ \bigcirc_{i=1}^t X_i$  is  $\epsilon = t(\epsilon_s + \epsilon_0)$ -close to  $\bigcirc_{i=1}^t U_i \circ \bigcirc_{i=1}^t W_i$  where for every  $u \in \text{supp}(\bigcirc_{i=1}^t U_i)$ , conditioned on  $\bigcirc_{i=1}^t U_i = u$ ,  $\bigcirc_{i=1}^t W_i$  is a  $(k_1, k_2, \dots, k_t)$ -block source with block size  $m$  and  $k_1 = k_2 = \dots = k_t = (\delta/t - 3\tau)m$ . Here  $\epsilon_0$  can be as small as  $1/2^{\Omega(k)}$ .*

*Proof.* We prove by induction on  $i \in [t]$ .

If  $i = 1$ , according to Lemma 4.15, we know  $U_1 \circ X_1$  is  $\epsilon_s = (\gamma + 2^{-\Omega(\tau n)})$ -close to  $U_1 \circ W$  such that  $\forall u \in \text{supp}(U_1), H_\infty(W|_{U_1=u}) = (\delta/t - 3\tau)m$ .

Next we prove the induction step.

Suppose  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i X_j$  is  $(\epsilon_s + \epsilon_0)i$ -close to  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i W_j$ , where for every  $u \in \{0, 1\}^{ir}$ , conditioned on  $\bigcirc_{j=1}^i U_j = u$ ,  $\bigcirc_{j=1}^i W_j$  is a  $(k_1, k_2, \dots, k_i)$ -block source with block size  $m$  and  $k_1 = k_2 = \dots = k_i = (\delta/t - 3\tau)m$ .

Consider  $i + 1$ . Recall the Chain Rule Lemma 4.8. First notice that  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i X_j \circ X$  has entropy  $ir + k$ . Then we know that  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i X_j \circ X$  is  $\epsilon_0$ -close to  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i X_j \circ X'$  such that for every  $u \in \{0, 1\}^{ir}$  and every  $x \in \{0, 1\}^{im}$ , conditioned on  $\bigcirc_{j=1}^i U_j = u, \bigcirc_{j=1}^i X_j = x$ ,  $X'$  has entropy  $k - im - \log(1/\epsilon_0) \geq k/t$  which means the entropy rate is at least  $\delta/t$ .

By our assumption for  $i$ ,  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i X_j \circ X'$  is  $(\epsilon_s + \epsilon_0)i$ -close to  $\bigcirc_{j=1}^i U_j \circ \bigcirc_{j=1}^i W_j \circ \tilde{X}$ , where  $\tilde{X}$  is a random variable such that  $\forall u \in \{0, 1\}^{ir}, \forall x \in \{0, 1\}^{im}, \tilde{X}|_{\bigcirc_{j=1}^i U_j=u, \bigcirc_{j=1}^i X_j=x}$  has the same distribution as  $X'|_{\bigcirc_{j=1}^i U_j=u, \bigcirc_{j=1}^i W_j=x}$ . As a result, for every  $u \in \{0, 1\}^{ir}$  and  $x \in \{0, 1\}^{im}$ , conditioned on  $\bigcirc_{j=1}^i U_j = u, \bigcirc_{j=1}^i W_j = x$ ,  $\tilde{X}$  has entropy  $k - im - \log(1/\epsilon_0) \geq k/t$ .

Denote the event  $(\bigcirc_{j=1}^i U_j = u, \bigcirc_{j=1}^i W_j = x)$  as  $e$ , by Lemma 4.15, by sampling on source  $\tilde{X}|_e$ , we get  $U_{i+1} \circ (\tilde{X}|_e)_{\text{Samp}(U_{i+1})} = U_{i+1} \circ \tilde{X}_{\text{Samp}(U_{i+1})}|_e$ . It is  $\epsilon_s$ -close to  $U_{i+1} \circ W|_e$  where  $\forall a \in \{0, 1\}^r, (W|_e)|_{U_{i+1}=a}$  is a  $(m, (\delta/t - 3\tau)m)$ -source. Thus  $\bigcirc_{j=1}^{i+1} U_j \circ \bigcirc_{j=1}^i W_j \circ \tilde{X}_{\text{Samp}(U_{i+1})}$  is  $\epsilon_s$ -close to  $\bigcirc_{j=1}^{i+1} U_j \circ \bigcirc_{j=1}^i W_j \circ W$ .

Let  $W_{i+1} = W$ . As a result,  $\bigcirc_{j=1}^{i+1} U_j \circ \bigcirc_{j=1}^i X_j$  is  $(\epsilon_s + \epsilon_0)(i + 1)$ -close to  $\bigcirc_{j=1}^{i+1} U_j \circ \bigcirc_{j=1}^i W_j$  such that for every  $u \in \{0, 1\}^{ir}$ , conditioned on  $\bigcirc_{j=1}^{i+1} U_j = u$ ,  $\bigcirc_{j=1}^i W_j$  is a  $(k_1, k_2, \dots, k_i)$ -block source with block size  $m$  and  $k_1 = k_2 = \dots = k_{i+1} = (\delta/t - 3\tau)m$ .

This proves that induction step. □

This lemma reveals a way to get a block source by sampling. Block sources are easier to extract.

Another important technique is the parallel extraction. According to Raz at al. [19], we have the following lemma.

**Lemma 5.3** ([19]). *Let  $\text{Ext}_1 : \{0, 1\}^n \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{m_1}$  be a strong  $(k, \epsilon)$ -extractor with entropy loss  $\Delta_1$  and  $\text{Ext}_2 : \{0, 1\}^n \times \{0, 1\}^{d_2} \rightarrow \{0, 1\}^{m_2}$  be a strong  $(\Delta_1 - s, \epsilon_2)$ -extractor with entropy loss  $\Delta_2$  for any  $s < \Delta_1$ . Suppose the function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^{d_1+d_2} \rightarrow \{0, 1\}^{m_1+m_2}$  is as follows.*

$$\text{Ext}(x, u_1 \circ u_2) = \text{Ext}_1(x, u_1) \circ \text{Ext}_2(x, u_2)$$

*Then  $\text{Ext}$  is a strong  $(k, (\frac{1}{1-2^{-s}})\epsilon_1 + \epsilon_2 \leq \epsilon_1 + \epsilon_2 + 2^{-s})$ -extractor with entropy loss  $\Delta_2 + s$ .*

This can be generalized to the parallel extraction for multiple times.

**Lemma 5.4.** *Let  $X$  be an  $(n, k)$ -source. Let  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a strong  $(k_0, \epsilon)$ -extractor with  $k_0 = k - tm - s$  for any  $t, s$  such that  $tm + s < k$ . Let  $\text{Ext}' : \{0, 1\}^n \times \{0, 1\}^{td} \rightarrow \{0, 1\}^{tm}$  be constructed as follows.*

$$\text{Ext}'(x, \bigcirc_{i=1}^t u_i) = \text{Ext}(x, u_1) \circ \text{Ext}(x, u_2) \circ \dots \circ \text{Ext}(x, u_t)$$

*Then  $\text{Ext}'$  is a strong  $(k, t(\epsilon + 2^{-s}))$ -extractor.*

*Proof.* Consider the mathematical induction on  $j$ .

For  $j = 1$ , it is true. As  $\text{Ext}$  is a strong  $(k_0, \epsilon)$ -extractor, it is also a strong  $(k, j(\epsilon + 2^{-s}))$ -extractor.

Next we prove the induction step.

Assume it is true for  $j$ . Consider  $j + 1$ .

$$\text{Ext}'(x, \bigcirc_{i=1}^{j+1} u_i) = \text{Ext}'(x, \bigcirc_{i=1}^j u_i) \circ \text{Ext}(x, u_{j+1})$$

Here  $\text{Ext}'(x, \bigcirc_{i=1}^j u_i)$  is a strong  $(k, j(\epsilon + 2^{-s}))$ -source. Its entropy loss is  $k - jm$ . Also we know that  $\text{Ext}$  is a strong  $(k - tm - s, \epsilon)$ -extractor, thus a strong  $(k - jm - s, \epsilon)$ -extractor. According to Lemma 5.3,  $\text{Ext}'(x, \bigcirc_{i=1}^{j+1} u_i)$  is a strong  $(k, (j + 1)(\epsilon + 2^{-s}))$ -extractor. Its entropy loss is  $k - (j + 1)m$ .

This completes the proof.  $\square$

Lemma 5.4 shows a way to extract more bits. Assume we have an  $(n, k)$ -source and an extractor, if the output length of the extractor is  $k^\beta$ ,  $\beta < 1$ , then we can extract several times to get a longer output. However, if we merely do it in this way, we need a longer seed. In fact, if we extract enough times to make the output length to be  $\Theta(k)$ , we need a seed with length  $\Theta(k^{1-\beta} \log n)$ . This immediately gives us the following theorem.

**Theorem 5.5.** *For any constant  $c \in \mathbb{N}$ , any  $k = \Theta(n/\log^c n)$ , any  $\epsilon = 1/\text{poly}(n)$  and any constant  $\gamma \in (0, 1)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  of depth  $c + 10$ . The locality is  $\Theta(\log^{c+5} n) = \text{poly}(\log n)$ . The seed length  $d = O(\frac{k}{n^{1/10800}})$ . The output length  $m = (1 - \gamma)k$ .*

*Proof.* Let  $\text{Ext}_0 : \{0, 1\}^{n_0} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0 = \epsilon/n)$  extractor following from Theorem 4.13. Here  $k_0 = k - tm_0 - s$  where  $s = \log(n/\epsilon)$ ,  $t = (1 - \gamma)k/m_0$ . By lemma 5.4, we know that there exists a  $(k, \epsilon')$  extractor  $\text{Ext}$  with  $\epsilon' = t(\epsilon_0 + 2^{-s}) \leq \epsilon$ . The output length is  $(1 - \gamma)k$ .

According to the construction in Lemma 5.4,  $\text{Ext}$  has the same circuit depth as  $\text{Ext}_0$ . So  $\text{Ext}$  is in  $\text{AC}^0$  of depth  $c + 10$ . The locality of  $\text{Ext}$  is also the same as that of  $\text{Ext}_0$  which is  $\Theta(\log^{c+5} n) = \text{poly}(\log n)$ . The seed length is  $t \times O(\log n) = O(\frac{k}{n^{1/10800}})$ .  $\square$

In order to achieve a small seed length, next we use classic bootstrapping techniques to extract more bits. Our construction will be in  $\text{AC}^0$ . However, our construction cannot keep the locality small.

**Construction 5.6.** *For any  $c \in \mathbb{N}$ , any  $k = \delta n = \Theta(n/\log^c n)$  and any  $\epsilon = 1/\text{poly}(n)$ , we construct a  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  where  $d = O(\log n)$ ,  $m = \Theta(\delta k)$ .*

- Let  $X$  be an  $(n, k)$ -source
- Let  $t \geq 10800$  be a constant.
- Let  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^{m_s}$  be a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler following from Lemma 5.1, where  $\mu_1 = (\frac{1}{t}\delta - 2\tau)/\log(1/\tau)$  and  $\mu_2 = (\frac{1}{t}\delta - 3\tau)/\log(1/\tau)$ ,  $m_s = (\frac{t-1}{t}k - \log(1/\epsilon_0))/t$ ,  $\tau = \frac{1}{4}\delta$ ,  $\gamma = \epsilon/n$ . Let  $\epsilon_s = \gamma + 2^{-\Omega(\tau n)}$ .
- Let  $\text{Ext}_0 : \{0, 1\}^{n_0=m_s} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0)$ -extractor following from Theorem 4.13 where  $k_0 = 0.1(\frac{1}{t}\delta - 3\tau)m_s - s$ ,  $\epsilon_0 = \epsilon/(10tn)$ ,  $d_0 = O(\log n_0)$ ,  $m_0 = \Theta(n_0^{1/10800} \log n_0)$ . Let  $s$  be such that  $2^{-s} \leq \epsilon/(10tn)$ .

Next we construct the function  $\text{Ext}$  as follows.

1. Get Let  $X_i = X_{\text{Samp}(S_i)}$  for  $i \in [t]$ , where  $S_i, i \in [t]$  are independent uniform distributions.
2. Get  $Y_t = \text{Ext}_0(X_t, U_0)$  where  $U_0$  is the uniform distribution with length  $d_0$ .
3. For  $i = t - 1$  to 1, get  $Y_i = \text{Ext}'(X_i, Y_{i+1})$  sequentially.
4. Output  $\text{Ext}(X, U_d) = Y_1 = \text{Ext}'(X_1, Y_2)$ , where  $U_d = U_0 \circ \bigcirc_{i=1}^t S_i$  and the function  $\text{Ext}'$  is defined as follows.

$$\text{Ext}'(x, r) = \bigcirc_{i=1}^{\min\{\lfloor |r|/d_0 \rfloor, \lfloor 0.9(\frac{1}{t}\delta - 3\tau)m_s/m_0 \rfloor\}} \text{Ext}_0(x, r_i),$$

where  $r = \bigcirc_{i=1}^{\lfloor |r|/d_0 \rfloor} r_i \circ r'$  for some extra bits  $r'$  and  $\forall i, |r_i| = d_0$ .

**Lemma 5.7.** For  $\epsilon_1 = 1/2^{\Omega(k)}$  and for any  $\epsilon_s = 1/\text{poly}(n)$ ,  $\bigcirc_{i=1}^t S_i \circ \bigcirc_{i=1}^t X_i$  is  $t(\epsilon_s + \epsilon_1)$ -close to  $\bigcirc_{i=1}^t S_i \circ \bigcirc_{i=1}^t W_i$  where  $S_i$ s are independent uniform distributions.

Here  $\forall r \in \text{supp}(\bigcirc_{i=1}^t S_i)$ , conditioned on  $\bigcirc_{i=1}^t S_i = r$ ,  $\bigcirc_{i=1}^t W_i$  is a  $(k_1, k_2, \dots, k_t)$ -block source with  $k_1 = k_2 = \dots = k_t = k' = (\frac{1}{t}\delta - 3\tau)m_s$ .

*Proof.* It follows from Lemma 5.2. □

**Lemma 5.8.** In Construction 5.6, the function  $\text{Ext}$  is a strong  $(k, \tilde{\epsilon})$ -extractor with

$$\tilde{\epsilon} = t(\epsilon_s + \epsilon_1) + tk(\epsilon_0 + 2^{-s}).$$

By setting  $\epsilon_1 = 1/2^{\Omega(k)}$ , according to the settings of  $\epsilon_s, \epsilon_0$  and  $s$ , we have  $\tilde{\epsilon} \leq \epsilon$ .

*Proof of Lemma 5.8.* By Lemma 5.7,  $\bigcirc_{i=1}^t S_i \circ \bigcirc_{i=1}^t X_i$  is  $t(\epsilon_s + \epsilon_1) = 1/\text{poly}(n)$ -close to  $\bigcirc_{i=1}^t S_i \circ B$  where  $B = B_1 \circ B_2 \circ \dots \circ B_t$ . The  $S_i$ s are independent uniform distributions. Also  $\forall s \in \text{supp}(\bigcirc_{i=1}^t S_i)$ , conditioned on  $\bigcirc_{i=1}^t S_i = s$ ,  $B$  is a  $(k_1, k_2, \dots, k_t)$ -block source with  $k_1 = k_2 = \dots = k_t = k' = (\frac{1}{t}\delta - 3\tau)m_s$ . We denote the first  $i$  blocks to be  $\tilde{B}_i = \bigcirc_{j=1}^i B_j$ .

Let  $Y'_i = \text{Ext}'(B_i, Y'_{i+1})$  for  $i = 1, 2, \dots, t$  where  $Y'_{t+1} = U_0$  is the uniform distribution with length  $d_0$ . Next we use induction over  $i$  (from  $t$  to 1) to show that

$$\text{SD}(U_0 \circ Y'_i, U) \leq (t + 1 - i)k(\epsilon_0 + 2^{-s}).$$

The basic step is to prove that  $\forall b_1, b_2, \dots, b_{t-1} \in \{0, 1\}^{m_s}$ , conditioned on  $B_1 = b_1, \dots, B_{t-1} = b_{t-1}$ ,  $\text{SD}(U_0 \circ Y'_t, U) \leq k(\epsilon_0 + 2^{-s})$ . According to the definition of  $\text{Ext}'$ ,

$$\text{SD}(U_0 \circ \text{Ext}'(B_t, U_0), U) \leq \epsilon_0.$$

This proves the basic step.

For the induction step, assume that  $\forall b_1, b_2, \dots, b_{i-1} \in \{0, 1\}^{m_s}$ , conditioned on  $B_1 = b_1, \dots, B_{i-1} = b_{i-1}$ ,

$$\text{SD}(U_0 \circ Y'_i, U) \leq (t + 1 - i)k(\epsilon_0 + 2^{-s}).$$

Consider  $U_0 \circ Y'_{i-1} = U_0 \circ \text{Ext}'(B_{i-1}, Y'_i)$ .

We know that  $\forall b_1, b_2, \dots, b_{t-2} \in \{0, 1\}^{m_s}$ , conditioned on  $B_1 = b_1, \dots, B_{i-2} = b_{i-2}, \tilde{B}_{i-1} \circ U_0 \circ Y'_i$  is a convex combination of  $b_{i-1} \circ U_0 \circ Y'_i, \forall b_{i-1} \in \text{supp}(\tilde{B}_{i-1})$ . As a result,

$$\text{SD}(\tilde{B}_{i-1} \circ U_0 \circ Y'_i, \tilde{B}_{i-1} \circ U) \leq (t + 1 - i)k(\epsilon_0 + 2^{-s}).$$

Thus,  $\forall b_1, b_2, \dots, b_{t-2} \in \{0, 1\}^{m_s}$ , conditioned on  $B_1 = b_1, \dots, B_{i-2} = b_{i-2}$ , as  $\tilde{B}_{i-1} \circ U_0 \circ Y'_i$  is a convex combination of  $b_{i-1} \circ U_0 \circ Y'_i, \forall b_{i-1} \in \text{supp}(\tilde{B}_{i-1})$  and  $\tilde{B}_{i-1} \circ U$  is a convex combination of  $b_{i-1} \circ U, \forall b \in \text{supp}(\tilde{B}_{i-1})$ , by Lemma 2.3 part 2,

$$\begin{aligned} & \text{SD}(U_0 \circ \text{Ext}'(B_{i-1}, Y'_i), U_1 \circ \text{Ext}'(B_{i-1}, U_2)) \\ & \leq \text{SD}(\tilde{B}_{i-1} \circ U_0 \circ Y'_i, \tilde{B}_{i-1} \circ U) \\ & \leq (t+1-i)k(\epsilon_0 + 2^{-s}). \end{aligned} \quad (16)$$

Here  $U = U_1 \circ U_2$ .  $U_1$  is the uniform distribution having  $|U_1| = |U_0|$ .  $U_2$  is the uniform distribution having  $|U_2| = |Y'_i|$ .

According to the definition of  $\text{Ext}'$  and Lemma 5.4, we know that  $\forall b_1, b_2, \dots, b_{i-2} \in \{0, 1\}^{m_s}$ , conditioned on  $B_1 = b_1, \dots, B_{i-2} = b_{i-2}$ ,

$$\text{SD}(U_1 \circ \text{Ext}'(B_{i-1}, U_2), U) \leq k(\epsilon_0 + 2^{-s}).$$

So according to triangle inequality of Lemma 2.3,  $\forall b_1, b_2, \dots, b_{t-2} \in \{0, 1\}^{m_s}$ , conditioned on  $B_1 = b_1, \dots, B_{i-2} = b_{i-2}$ ,

$$\begin{aligned} & \text{SD}(U_0 \circ Y'_{i-1}, U) \\ & = \text{SD}(U_0 \circ \text{Ext}'(B_{i-1}, Y'_i), U) \\ & \leq \text{SD}(U_0 \circ \text{Ext}'(B_{i-1}, Y'_i), U_1 \circ \text{Ext}'(B_{i-1}, U_2)) + \text{SD}(U_1 \circ \text{Ext}'(B_{i-1}, U_2), U) \\ & \leq (t+1-i)k(\epsilon_0 + 2^{-s}) + k(\epsilon_0 + 2^{-s}) \\ & = (t+1-(i-1))k(\epsilon_0 + 2^{-s}). \end{aligned} \quad (17)$$

This proves the induction step.

So we have  $\text{SD}(U_0 \circ Y'_1, U) \leq tk(\epsilon_0 + 2^{-s})$ .

As a result,

$$\begin{aligned} & \text{SD}(U_d \circ \text{Ext}(X, U_d), U) \\ & = \text{SD}(U_0 \circ \bigcirc_{i=1}^t S_i \circ Y_1, U) \\ & \leq \text{SD}(U_0 \circ \bigcirc_{i=1}^t S_i \circ Y_1, U_0 \circ \bigcirc_{i=1}^t S_i \circ Y'_1) + \text{SD}(U_0 \circ \bigcirc_{i=1}^t S_i \circ Y'_1, U) \\ & \leq \text{SD}(U_0 \circ \bigcirc_{i=1}^t S_i \circ \bigcirc_{i=1}^t X_i, U_0 \circ \bigcirc_{i=1}^t S_i \circ \bigcirc_{i=1}^t B_i) + \text{SD}(U_0 \circ \bigcirc_{i=1}^t S_i \circ Y'_1, U) \\ & \leq t(\epsilon_s + \epsilon_1) + tk(\epsilon_0 + 2^{-s}). \end{aligned} \quad (18)$$

According to the settings of  $\epsilon_0, \epsilon_s, t, \epsilon_1$ , we know the error is at most  $\epsilon$ . □

**Lemma 5.9.** *In Construction 5.6, the length of  $Y_i$  is*

$$|Y_i| = \Theta(\min\{m_0(\frac{m_0}{d_0})^{t-i}, 0.9(\frac{1}{t}\delta - 3\tau)m_s\}).$$

*Specifically,  $m = |Y_1| = \Theta((\frac{1}{t}\delta - 3\tau)m_s) = \Theta(\delta k)$ .*

*Proof.* For each time we compute  $Y_i = \text{Ext}'(X_i, Y_{i+1})$ , we know  $|Y_i| \leq |Y_{i+1}|(\frac{m_0}{d_0})$ . Also according to the definition of  $\text{Ext}'$ ,  $|Y_i| \leq 0.9(\frac{1}{t}\delta - 3\tau)m_s$ . So  $|Y_i| = \Theta(\min\{m_0(\frac{m_0}{d_0})^{t-i}, 0.9(\frac{1}{t}\delta - 3\tau)m_s\})$  for  $i \in [t]$ .

By Theorem 4.13,  $m_0 = \Theta(n_0^{1/10800} \log n)$ . Also we know that  $n_0 = m_s = O(tk)$ . As a result, when  $t \geq 10800$ ,  $m_0(\frac{m_0}{d_0})^{t-1} = \omega(m_s)$ . As a result,  $m = |Y_1| = \Theta((\frac{1}{t}\delta - 3\tau)m_s) = \Theta(\delta k)$ . □

**Lemma 5.10.** *In Construction 5.6, the seed length  $d = \Theta(\log n)$ .*

*Proof.* The seed for this extractor is  $U_d = U_0 \circ \bigcirc_{i=1}^t S_i$ . So  $|U_d| = |U_0| + \sum_i^t |S_i| = \Theta(\log n) + \Theta(\log n) = \Theta(\log n)$ . □

**Lemma 5.11.** *In Construction 5.6, the function  $\text{Ext}$  is in  $\text{AC}^0$ . The depth of the circuit is  $10800c + 97203$ .*

*Proof.* As the seed length of  $\text{Samp}$  is  $O(\log n)$ , the sampling procedure is in  $\text{AC}^0$  which can be realized by a CNF/DNF. Thus the circuit depth is 2.

As the sampling procedure gives the indices for us to select bits from  $X$ , we needs 1 level of CNF/DNF to select the bits. This also needs a circuit of depth 2.

By Theorem 4.13,  $\text{Ext}_0$  is in  $\text{AC}^0$  with depth  $c + 10$ . According to the definition of  $\text{Ext}'$ , it runs  $\text{Ext}_0$  for polynomial times in parallel, so it is also in  $\text{AC}^0$  of depth  $c + 10$ .

In the first step of Construction 5.6, we do sampling by  $t$  times in parallel. As a  $t$  is a constant, this operation is in  $\text{AC}^0$  of depth 2. Next the construction do a sequence of extractions. In step 2 and 3, we run  $\text{Ext}'$  for  $t$  times sequentially. As  $t$  is a constant and  $\text{Ext}'$  is in  $\text{AC}^0$  with depth  $c + 10$ , the total depth is  $t(c + 10) - t + 1$ .

The last step outputs  $Y_1$ , there is no gates used here, so the depth is 0.

So the function  $\text{Ext}$  in Construction 5.6 is in  $\text{AC}^0$  with depth  $t(c + 10) - t + 1 + 2 + 2 - 2 = ct + 9t + 3 = 10800c + 97203$ . □

**Theorem 5.12.** *For any constant  $\gamma \in (0, 1)$ , any  $c \in \mathbb{N}$ , any  $k = \delta n = \Theta(n/\log^c n)$  and any  $\epsilon = 1/\text{poly}(n)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  with depth  $10800c + 97203$ , where  $d = \Theta(\log n)$ ,  $m = \Theta(\delta k)$ .*

*Proof.* By Construction 5.6, Lemma 5.8, Lemma 5.9, Lemma 5.10, and Lemma 5.11, the conclusion immediately follows. □

**Theorem 5.13.** *For any constant  $\gamma \in (0, 1)$ , any  $c \in \mathbb{N}$ , any  $k = \delta n = \Theta(n/\log^c n)$  and any  $\epsilon = 1/\text{poly}(n)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  with depth  $10800c + 97203$  where  $d = \Theta(\log^{c+1} n) = \text{poly}(\log n)$ ,  $m = (1 - \gamma)k$ .*

*Proof.* Let the extractor following Theorem 5.12 be  $\text{Ext}_0 : \{0, 1\}^{n_0} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  which is a  $(k_0, \epsilon_0)$ -extractor with  $n_0 = n$ ,  $k_0 = \gamma k - s$ . The construction of  $\text{Ext}$  is

$$\text{Ext}(x, u) = \bigcirc_{i=1}^t \text{Ext}_0(x, u_i).$$

Here  $t$  is such that  $tm_0 = (1 - \gamma)k$ .

By Lemma 5.12, we know that  $m_0 = \Theta(\delta k)$  where  $\delta = \frac{1}{\log^c n}$ . So  $t = O(1/\delta)$ . By Lemma 5.4, if  $tm_0 = (1 - \gamma)k$ , then  $\text{Ext}$  is a  $(k, \epsilon)$ -extractor with output length  $(1 - \gamma)k$  and  $\epsilon = t(\epsilon_0 + 2^{-s})$ . As  $s$  can be any  $\text{poly}(\log n)$  and  $\epsilon_0$  can be any  $1/\text{poly}(n)$ ,  $\epsilon$  can be any  $1/\text{poly}(n)$ . The seed length  $d = td_0$ . By Theorem 5.12,  $d_0 = \Theta(\log n)$ ,  $m_0 = \Theta(\delta k)$ , so  $d = \Theta(\frac{\log n}{\delta}) = \Theta(\log^{c+1} n) = \text{poly}(\log n)$ ,  $m = (1 - \gamma)k$ . The circuit depth maintains the same as that in Theorem 5.12 because the extraction is conducted in parallel. □

## 5.2 Output Length OPT for Super-Polynomially Small Error

In this subsection, we give the method which can extract more bits while having super-polynomially small errors.

**Construction 5.14** (Output Length OPT for Super-Polynomial Small Errors). *For any constant  $a \in \mathbb{N}^+$ , any constant  $c \in \mathbb{N}$ , any  $k = \delta n = \Theta(n/\log^c n)$  and any  $\epsilon = 1/2^{\Theta(\log^a n)}$ , we construct a  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  where  $d = (\log n)^{\Theta(a)}$ ,  $m = (1 - \gamma)k$ .*

- Let  $X$  be an  $(n, k = \delta n)$ -source
- Let  $t \geq 10800$  be a constant.
- Let  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^{m_s}$  be a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler following from Lemma 4.18, where  $\mu_1 = (\frac{1}{t}\delta - 2\tau)/\log(1/\tau)$  and  $\mu_2 = (\frac{1}{t}\delta - 3\tau)/\log(1/\tau)$ ,  $m_s = (\frac{t-1}{t}k - \log(1/\epsilon_0))/t$ ,  $\tau = \frac{1}{4}\delta$ ,  $\gamma = \epsilon/n$ . Let  $\epsilon_s = \gamma + 2^{-\Omega(\tau n)}$ .
- Let  $\text{Ext}_0 : \{0, 1\}^{n_0=m_s} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0)$ -extractor following from Theorem 4.22 where  $k_0 = 0.1(\frac{1}{t}\delta - 3\tau)m_s - s$ ,  $\epsilon_0 = \epsilon/(10tn)$ ,  $d_0 = (\log n_0)^{\Theta(a)}$ ,  $m_0 = n_0^{1/10800} \Theta(\log^a n_0)$ . Let  $s$  be such that  $2^{-s} \leq \epsilon/(10tn)$ .

Next we construct the function  $\text{Ext}$  as follows.

1. Get Let  $X_i = X_{\text{Samp}(X, S_i)}$  for  $i \in [t]$ , where  $S_i, i \in [t]$  are independent uniform distributions.
2. Get  $Y_t = \text{Ext}_0(X_t, U_0)$  where  $U_0$  is the uniform distribution with length  $d_0$ .
3. For  $i = t - 1$  to 1, get  $Y_i = \text{Ext}'(X_i, Y_{i+1})$  sequentially.
4. Output  $\text{Ext}(X, U_d) = Y_1 = \text{Ext}'(X_1, Y_2)$ , where  $U_d = U_0 \circ \bigcirc_{i=1}^t S_i$  and the function  $\text{Ext}'$  is defined as follows.

$$\text{Ext}'(x, r) = \bigcirc_{i=1}^{\min\{\lfloor |r|/d_0 \rfloor, \lfloor 0.9(\frac{1}{t}\delta - 3\tau)m_s/m_0 \rfloor\}} \text{Ext}_0(x, r_i)$$

where  $r = \bigcirc_{i=1}^{\lfloor |r|/d_0 \rfloor} r_i \circ r'$  for some extra bits  $r'$  and  $\forall i, |r_i| = d_0$ .

**Theorem 5.15.** *For any constant  $a \in \mathbb{N}^+$ , any constant  $c \in \mathbb{N}$ , any  $k = \delta n = \Theta(n/\log^c n)$ , any  $\epsilon = 1/2^{\Theta(\log^a n)}$  and any constant  $\gamma \in (0, 1)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  of depth  $\Theta(a + c)$ , where  $d = (\log n)^{\Theta(a+c)}$ ,  $m = (1 - \gamma)k$ .*

*Proof Sketch.* The proof is almost the same as the proof of Theorem 5.13. We need to make sure that the parameters are correct.

We first claim that  $\text{Ext}$  in Construction 5.14 is a  $(k, \epsilon)$ -extractor. The proof strategy is the same as that of Lemma 5.8 except that the parameters need to be modified. According to the same arguments as in the proof of Lemma 5.8, the overall error is

$$\tilde{\epsilon} \leq t(\epsilon_s + \epsilon_1) + tk(\epsilon_0 + 2^{-s}).$$

According to our settings,  $\epsilon_s = O(\epsilon/n)$ ,  $\epsilon_0 = \epsilon/(10tn)$ ,  $2^{-s} \leq \epsilon/(10tn)$  and  $t$  is a constant. Also according to the definition of  $\epsilon_1$  in Lemma 5.8,  $\epsilon_1$  can be  $1/2^{\Omega(k)}$ . So  $\tilde{\epsilon} \leq \epsilon$ .

The seed length of  $\text{Ext}$  is  $|U_d| = \sum_{i=1}^t |S_i| + |U_0| = (\log n)^{\Theta(a)}$ .

The output length of  $\text{Ext}$  is  $|Y_1| = \Theta(\delta k)$  which follows the same argument of that of Lemma 5.9.

According to our settings,  $\text{Samp}$  is in  $\text{AC}^0$  of depth  $\Theta(a)$  and  $\text{Ext}_1$  is in  $\text{AC}^0$  of depth  $\Theta(a + c)$ . Also we know that  $t$  is a constant. So  $\text{Ext}$  is in  $\text{AC}^0$  of depth  $\Theta(a + c)$ .

The theorem holds according to the same argument (Extraction in parallel) as the proof of Theorem 5.13. The seed length increases to  $(\log n)^{\Theta(a+c)}$  as we extract  $\Theta(1/\delta)$  times in parallel.  $\square$

## 6 Error Reduction For Sparse Extractors

Now we give error reduction methods for extractor families with small locality. As we do not require our construction to be in  $AC^0$ , the error can be exponentially small.

First we consider the construction of averaging samplers given by Vadhan [23].

**Lemma 6.1** ([23]). *For every  $n \in \mathbb{N}$ ,  $0 < \theta < \mu < 1$ ,  $\gamma > 0$ , there is a  $(\mu, \mu - \theta, \gamma)$ -averaging sampler  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^t$  that*

1. *outputs  $t$  distinct samples for  $t \in [t_0, n]$ , where  $t_0 = O(\frac{\log(1/\gamma)}{\theta^2})$ ;*
2. *uses  $r = \log(n/t) + \log(1/\gamma) \cdot \text{poly}(1/\theta)$  random bits.*

**Theorem 6.2.** *For any constant  $\delta \in (0, 1]$ , there exists an explicit construction of a  $(k = \delta n, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  where  $\epsilon$  can be as small as  $2^{-\Omega(k)}$ ,  $m = \Theta(\log(1/\epsilon))$ ,  $d = O(\log(n/\epsilon))$  and the locality is  $\Theta(\log(1/\epsilon))$ .*

*Proof.* We follow a sample-then-extract procedure.

Let  $\text{Samp} : \{0, 1\}^{r_s} \rightarrow \{0, 1\}^t$  be a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler following from Lemma 6.1. Let  $\tau = 0.1\delta$ ,  $\mu_1 = (\delta - 2\tau)/\log(1/\tau)$ ,  $\mu_2 = (\delta - 3\tau)/\log(1/\tau)$ .

For any  $(n, k)$ -source  $X$ , by Lemma 4.15, we have  $\text{SD}(R \circ X_{\text{Samp}(R)}, R \circ W) \leq \gamma + 2^{-\Omega(\tau n)}$ . Here  $R$  is uniformly sampled from  $\{0, 1\}^{r_s}$ . For every  $r$  in  $\{0, 1\}^{r_s}$ , the random variable  $W|_{R=r}$  is a  $(t, (\delta - 3\tau)t)$ -source.

As  $\delta$  is a constant, we know that  $\tau$  is a constant. So  $\theta = \mu_1 - \mu_2$  is a constant.

By Lemma 6.1, we can set  $t = \Theta(\frac{\log(1/\gamma)}{\theta^2}) = \Theta(\log(1/\gamma))$ ,  $r_s = \log(n/t) + \log(1/\gamma) \cdot \text{poly}(1/\theta) = O(\log(\frac{n}{t\gamma}))$ .

Let  $\text{Ext}_1 : \{0, 1\}^t \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^m$  be a  $((\delta - 3\tau)t, \epsilon_1)$ -extractor following from Lemma 2.6 where  $\epsilon_1$  can be  $2^{-\Omega(k)}$ . As a result,

$$\text{SD}(U \circ \text{Ext}_1(W, U), U') \leq \epsilon_1,$$

where  $U, U'$  are uniform distributions. Also  $d_1 = O(\log(t/\epsilon_1))$ ,  $m = \Theta(\delta t) = \Theta(\log(1/\gamma))$ .

As a result, the sample-then-extract procedure gives an extractor with the error

$$\gamma + 2^{-\Omega(\tau n)} + \epsilon_1.$$

According to our settings,  $\gamma + 2^{-\Omega(\tau n)}$  can be as small as  $2^{-\Omega(k)}$  when  $\gamma = 2^{-\Omega(k)}$  and  $\epsilon_1$  can be  $2^{-\Omega(k)}$ .

Thus the error of the extractor can be  $2^{-\Omega(k)}$  by setting  $2^{-\Omega(\tau n)} \leq 0.1\epsilon$ ,  $\gamma = 0.1\epsilon$ ,  $\epsilon_1 = 0.1\epsilon$ .

The seed length is  $r_s + d_1 = \Theta(\log(n/t) + \log(1/\gamma)) + \Theta(\log(t/\epsilon_1)) = \Theta(\log(n/\epsilon))$ .

The locality is  $t = O(\log(1/\epsilon))$ . This is because when the seed is fixed, we select  $t$  bits from  $X$  by sampling. After that, we apply  $\text{Ext}_1$  on these  $t$  bits. So each output bit depends on  $t$  bits when the seed is fixed.

The output length  $m = \Theta(\delta t) = \Theta(\log(1/\gamma)) = \Theta(\log(1/\epsilon))$ . □

Next we construct extractors with small locality and exponentially small errors.

First we give the construction for error reduction.

**Construction 6.3** (Error Reduction for Sparse Extractors with Exponentially Small Errors). *For any  $k = \frac{n}{\text{poly}(\log n)}$ , let  $X$  be an  $(n, k)$ -source. We construct a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  where  $\epsilon$  can be as small as  $2^{-k^{\Omega(1)}}$ ,  $d = \Theta(\log n + \frac{\log^2(1/\epsilon)}{\log n})$ ,  $m = k^{\Theta(1)}$ .*

- *Let  $\text{Ext}_0 : \{0, 1\}^{n_0=n} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0)$ -extractor following from Theorem 3.11 where  $k_0 = k - \Delta_1$ ,  $\Delta_1 = \log(n/\epsilon)$ ,  $\epsilon_0 = k^{-\Theta(1)}$ ,  $d_0 = \Theta(\log n)$ ,  $m_0 = k^{\Theta(1)}$ .*

- Let  $\text{Ext}_1 : \{0, 1\}^{n_1=m_0/t_2} \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{m_1}$  be a  $(k_1, \epsilon_1)$ -extractor following from Lemma 6.2 where  $k_1 = 0.9n_1$ ,  $\epsilon_1 = 2^{-\Omega(k_1)}$ ,  $d_1 = O(\log(n/\epsilon_1))$ ,  $m_1 = \Theta(\log(1/\epsilon_1))$ .
- Let  $t_1$  be such that  $(2\epsilon_0)^{t_1} \leq 0.1\epsilon$ . (We only consider the case that  $\epsilon < \epsilon_0$ . If  $\epsilon \geq \epsilon_0$ , we set  $\text{Ext}$  to be  $\text{Ext}_0$ .)
- Let  $t_2 = m_0^{1/3}$ .

Our construction is as follows.

1. Let  $R_1, R_2, \dots, R_{t_1}$  be independent uniform distributions such that for every  $i \in [t_1]$  the length of  $R_i$  is  $d_0$ . Get  $Y_1 = \text{Ext}_0(X, R_1), \dots, Y_{t_1} = \text{Ext}_0(X, R_{t_1})$ .
2. Get  $Y = Y_1 \circ Y_2 \circ Y_3 \circ \dots \circ Y_{t_1}$ .
3. For each  $i \in [t_1]$ , let  $Y_i = Y_{i,1} \circ Y_{i,2} \circ \dots \circ Y_{i,t_2}$  such that for every  $j \in [t_2]$ ,  $Y_{i,j}$  has length  $n_1 = m_0/t_2$ . Let  $S_1, S_2, \dots, S_{t_1}$  be independent uniform distributions, each having length  $d_1$ . Get  $Z_{i,j} = \text{Ext}_1(Y_{i,j}, S_i), \forall i \in [t_1], j \in [t_2]$ . Let  $Z_i = Z_{i,1} \circ Z_{i,2} \circ \dots \circ Z_{i,t_2}$ .
4. Let  $R = \bigcirc_i R_i, S = \bigcirc_i S_i$ . We get  $\text{Ext}(X, U) = Z = \bigoplus_i^{t_1} Z_i$  where  $U = R \circ S$ .

**Theorem 6.4.** For any  $k = \frac{n}{\text{poly}(\log n)}$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , where  $\epsilon$  can be as small as  $2^{-k^{\Omega(1)}}$ ,  $d = \Theta(\log n + \frac{\log^2(1/\epsilon)}{\log n})$ ,  $m = \Theta(\delta n^{\frac{1}{10800}} \log(\frac{1}{\epsilon})) = k^{\Theta(1)}$  and the locality is  $\log^2(1/\epsilon)\text{poly}(\log n)$ .

*Proof Sketch.* The proof is almost the same as that of Theorem 4.13. We only need to make sure the parameters are correct.

There are two differences between Construction 6.3 and Construction 4.6. First, in Construction 6.3, the extractor  $\text{Ext}_1$  follows from Theorem 6.1. Second, Construction 6.3 works for  $\epsilon$  which can be as small as  $2^{-k^{\Omega(1)}}$ .

We first claim that the function  $\text{Ext}$  is a  $(k, \epsilon)$ -extractor. The proof strategy is the same as that of Lemma 4.7, except that the parameters need to be modified.

As  $(2\epsilon_0)^{t_1} \leq 0.1\epsilon$ ,  $t_1 = O(\frac{\log(1/\epsilon)}{\log n})$ .

According to the proof of Lemma 4.7, the error for  $\text{Ext}$  is

$$(2\epsilon_0)^{t_1} + (2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2)$$

Here  $\epsilon', \epsilon'_0$  follows the same definitions as that in the proof of Lemma 4.7. As a result, we know that both  $\epsilon'$  and  $\epsilon'_0$  can be  $2^{-k^{\Omega(1)}}$ . Also  $\Delta_1 = \log(n/\epsilon)$ ,  $\epsilon_1 = \epsilon/n$ .

As a result  $\epsilon = 2^{-\Delta_1} + \epsilon' + (\epsilon'_0 + \epsilon_1)t_2$  can be  $2^{-k^{\Omega(1)}}$  and the overall error can be at least  $2^{-k^{\Omega(1)}}$ .

By the same proof as that of Lemma 4.10, we know that the output length is  $m = \Theta(n^{\frac{1}{10800}} \delta \log(\frac{1}{\epsilon}))$ .

For the seed length, we know that according to the settings of  $\text{Ext}_0$  and  $\text{Ext}_1$ , as  $t_1 = O(\frac{\log(1/\epsilon)}{\log n})$ , if  $t_1 = \omega(1)$  then  $d = \Theta(t_1 d_0 + t_1 d_1) = \Theta(\frac{\log^2(1/\epsilon)}{\log n})$ . If  $t_1 = O(1)$ , the seed length should be  $\Theta(\log n)$  as we need at least run  $\text{Ext}_0$  for once. As a result, the seed length is  $\Theta(\log n + \frac{\log^2(1/\epsilon)}{\log n})$ .

As the locality of  $\text{Ext}_0$  is  $l_0 = \text{poly}(\log n)$  and the locality of  $\text{Ext}_1$  is  $l_1 = O(\log(1/\epsilon))$ , the locality of  $\text{Ext}$  is  $t_1 \times l_1 \times l_0 = \log^2(1/\epsilon)\text{poly}(\log n)$ . □

**Theorem 6.5.** For any  $k = \delta n = \frac{n}{\text{poly}(\log n)}$  and any constant  $\gamma \in (0, 1)$ , there exists an explicit construction of a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , where  $\epsilon$  can be as small as  $2^{-k^{\Omega(1)}}$ ,  $d = k^\alpha$  for some constant  $\alpha \in (0, 1]$ ,  $m = (1 - \gamma)k$  and the locality is  $\log^2(1/\epsilon)\text{poly}(\log n)$ .

*Proof.* Let  $\epsilon = 2^{-k^\beta}$  for some very small constant  $\beta < 1$ . Let  $\text{Ext}_0 : \{0, 1\}^{n_0} \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be a  $(k_0, \epsilon_0 = \epsilon/n)$ -extractor following from Theorem 6.4. Here  $k_0 = k - tm_0 - s$  where  $s = \log(n/\epsilon)$ ,  $t = (1 - \gamma)k/m_0$ . By lemma 5.4, we know that there exists a  $(k, \epsilon')$ -extractor  $\text{Ext}$  with  $\epsilon' = t(\epsilon_0 + 2^{-s}) \leq \epsilon$ . The output length is  $(1 - \gamma)k$ .

According to the construction in Lemma 5.4, the locality of  $\text{Ext}$  is the same as that of  $\text{Ext}_0$  which is  $\log^2(1/\epsilon)\text{poly}(\log n)$ . The seed length is  $t \times \Theta(\log n + \frac{\log^2(1/\epsilon)}{\log n}) = k^\alpha$  for some  $\alpha \in (0, 1]$  as  $\beta$  can be small enough.  $\square$

## 7 Randomness Condenser with Small Locality

In this section, we consider constructions of extractor families with small locality by first constructing a condenser family with small locality. Our condenser will be based on random walks on expander graphs and pseudorandom generators for space bounded computation.

### 7.1 Basic Construction

We give a condense-then-extract procedure by first constructing a randomness condenser.

**Theorem 7.1** (Hitting Property of Random Walks [14] Theorem 23.6). *Let  $G = (V, E)$  be a  $d$ -regular graph with  $\lambda(G) = \lambda$ . Let  $B \subseteq V$  such that  $|B| = \beta|V|$ . Let  $(B, t)$  be the event that a random walk of length  $t$  stays in  $B$ . Then  $\Pr[(B, t)] \leq (\beta + \lambda)^t$ .*

**Lemma 7.2** ([1] implicit). *For every  $n \in \mathbb{N}$  and for every  $0 < \alpha < 1$ , there is an explicit construction of  $d$ -regular Graphs  $G_n$  which have the following properties.*

1.  $\lambda \leq \alpha$ .
2.  $G_n$  has  $n$  vertices.
3.  $d$  is a constant.
4. There exists a  $\text{poly}(\log n)$ -time algorithm that given a label of a vertex  $v$  in  $G_n$  and an index  $i \in d$ , output the  $i$ th neighbour of  $v$  in  $G_n$ .

**Lemma 7.3** ([20]). *Let  $H_2(X) = \log(1/\text{coll}(X))$ ,  $\text{coll}(X) = \Pr_{X_1, X_2}[X_1 = X_2]$ , where  $X_1, X_2$  are independent random variables having the same distribution as  $X$ .*

*For any random variable  $X$ ,*

$$2H_\infty(X) \geq H_2(X).$$

Recall that  $w(\cdot)$  denotes the weight of the input string as we defined in Section 2.

**Lemma 7.4.** *Given any  $(n, k)$ -source  $X$  and any string  $x \in \{0, 1\}^n$ , with probability  $1 - 2^{-0.5k}$ ,  $w(X \oplus x) \geq k/(c_1 \log n)$  for any constant  $c_1 \geq 2$ ; with probability  $1 - 2^{-0.5k}$ ,  $w(X \oplus x) \leq n - k/(c_1 \log n)$  for any constant  $c_1 \geq 2$ .*

*Proof.* The number of strings which have  $i$  digits different from  $x$  is  $\binom{n}{i}$ . So the number of strings which have at most  $l = k/(c_1 \log n)$  digits different from  $x$  is at most  $\sum_{i=0}^l \binom{n}{i} \leq (\frac{en}{l})^l \leq 2^{0.5k}$  for any constant  $c_1 \geq 2$ . So with probability at least  $1 - 2^{-0.5k}$ ,  $w(X \oplus x) \geq l$ .

Also as  $\sum_{i=n-l}^n \binom{n}{i} = \sum_{i=0}^l \binom{n}{i}$ , with probability  $1 - 2^{-0.5k}$ ,  $w(X \oplus x) \leq n - l$ .  $\square$

**Lemma 7.5.** *Consider a random vector  $v \in \{0, 1\}^n$  where  $v_1, \dots, v_n$  are independent random bits and  $\forall i, \Pr[v_i = 1] = p = 1/\text{poly}(n)$ . For any  $\epsilon = 1/\text{poly}(n)$ , there is an explicit function  $f : \{0, 1\}^l \rightarrow \{0, 1\}^n$  where  $l = O(n \log n)$ , such that*

$$\forall i \in [n], |\Pr[f(U)_i = 1] - p| \leq \epsilon$$

where  $U$  is the uniform distribution of length  $l$ .

There exists an algorithm  $A$  which runs in  $O(\log n)$  space and can compute  $f(s)_i$ , given input  $s \in \{0, 1\}^l$  and  $i \in [n]$ .

*Proof.* We give the algorithm  $A$  which runs in  $O(\log n)$  space and can compute  $f(s)_i$ , given input  $s \in \{0, 1\}^l$  and  $i \in [n]$ .

Assume the binary expression of  $p$  is  $0.b_1b_2b_3\dots$ . The algorithm  $A$  is as follows. Intuitively,  $A$  divides  $s$  into  $n$  blocks and uses the  $i$ th block to generate a bit which simulates  $v_i$  roughly according to the probability  $p$ .

1. Assume that  $s$  has  $n$  blocks. The  $i$ th block is  $s_i$  where  $|s_i| = t = c \log n$  for some constant  $c$ . Let  $j = 1$ .
2. If  $j = t + 1$ , go to step 3. If  $s_{i,j} < b_j$ , then set  $f(s)_i = 1$  and stop; if  $s_{i,j} > b_j$ , set  $f(s)_i = 0$  and stop; if  $s_{i,j} = b_j$ , set  $j = j + 1$  and go to step 2.
3. Set  $f(s)_i = 1$  and stop.

For every  $i$ , the probability

$$\Pr[f(s)_i = 1] = \Pr[0.s_{i,1} \dots s_{i,t} \leq 0.b_1b_2 \dots b_t] = 0.b_1b_2 \dots b_t.$$

As a result,

$$\forall i \in [n], |\Pr[f(s)_i = 1] - \Pr[v_i = 1]| \leq 0.00 \dots b_{t+1}b_{t+2} \dots \leq 2^{-t} = 2^{-c \log n}.$$

For any  $\epsilon = 1/\text{poly}(n)$ , if  $c$  is large enough, then  $2^{-t} = 2^{-c \log n} \leq \epsilon$ .

The input length for  $f$  is  $l = n \times t = O(n \log n)$ .

As all the iterators and variables in  $A$  only need  $O(\log n)$  space,  $A$  runs in space  $O(\log n)$ . This proves our conclusion.  $\square$

**Construction 7.6.** *For any  $k = \Omega(\log^2 n)$ , we construct an  $(n, k, t = 10k, 0.1k, \epsilon_c = 2^{-0.1k})$ -condenser  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^t$  with  $d = O(n \log n)$  and locality  $c = n/l, l = \frac{k}{2 \log n}$ .*

1. Construct an expander graph  $G = (V, E)$  where  $V = \{0, 1\}^{r_0 = O(n \log n)}$  and  $\lambda = 0.01$ .
2. Use a uniform random string  $U_1$  of length  $r_0$  to select a vertex  $v_1$  of  $V$ .
3. Take a random walk on  $G$  starting from  $v_1$  to get  $v_2, \dots, v_t$  for  $t = 10k$ .

4. For  $i \in [t]$ , get an  $n$ -bit string  $v'_i = f(v_i)$  such that  $\forall j \in [n], \Pr[v'_{i,j} = 1] - 1/l \leq 1/n^2$ , where  $f : \{0, 1\}^{r_0} \rightarrow \{0, 1\}^n$  follows from Lemma 7.5,  $r_0 = O(n \log n)$ .
5. Let  $M = (v'_1, \dots, v'_t)^T$ .
6. Let  $\text{Cond}(x, u) = Mx$ .

Let  $V' = \{0, 1\}^n$ . Let  $B_i = \{v \in V' : w(v) = i\}, i \in [d]$ . Let  $A_{x,j} = \{v \in B_j : \langle v, x \rangle = 0\}$ . For any  $x \in \{0, 1\}^n$ , let  $V_x = \{v \in V : \langle f(v), x \rangle = 0\}$ . Let  $T = \{v \in V : w(f(v)) \in [0.8c, 1.2c]\}$ .

**Lemma 7.7.** *In Construction 7.6, with probability  $1 - 2 \exp\{-\Theta(c)\}$ ,  $w(v'_1) \in [0.8c, 1.2c]$ . That is,*

$$\frac{|T|}{|V|} \geq 1 - 2 \exp\{-\Theta(c)\}.$$

*Proof.* According to our construction,  $\forall i \in [n], \Pr[v'_{1,i} = 1] \in [1/l - 1/n^2, 1/l + 1/n^2]$ . As a result,  $\mathbf{E}w(v'_1) \in [n/l - 1/n, n/l + 1/n] = [c - 1/n, c + 1/n]$ . According to Chernoff Bound, we know that  $\Pr[w(v'_1) \in [0.8c, 1.2c]] \geq \Pr[w(v'_1) \in [0.9\mathbf{E}w(v'_1), 1.1\mathbf{E}w(v'_1)]] \geq 1 - 2 \exp\{-\Theta(c)\}$ .  $\square$

**Lemma 7.8.** *In construction 7.6, we have the following conclusions.*

1. For any  $x \in \{0, 1\}^n$  with  $w(x) \in [l, n-l]$ , for any integer  $j \in [0.8c, 1.2c]$ ,  $\beta_{x,j} = |A_{x,j}|/|B_j| \leq 5/6$ .
2. For any  $x \in \{0, 1\}^n$  with  $w(x) \in [l, n-l]$ ,  $|V_x|/|V| \leq 5/6 + 1/\text{poly}(n)$ .
3.  $\Pr[MX_1 = MX_2] = p_0 \leq 2^{-0.5k+1} + (5/6 + 1/\text{poly}(n) + \lambda)^t$  where  $X_1, X_2$  are independent random variables that both have the same distribution as  $X$ .
4.  $U_d \circ \text{Cond}(X, U_d)$  is  $p_0^{1/3}$ -close to  $U_d \circ W$ . Here  $U_d$  is a uniform distribution of length  $d$ . For every  $u, W|_{U_d=u}$  has entropy  $\frac{1}{3} \log \frac{1}{p_0}$ .
5.  $U_d \circ \text{Cond}(X, U_d)$  is  $\epsilon_c = 2^{-0.1k}$ -close to  $U_d \circ W$  where  $\forall u \in \{0, 1\}^d, W|_{U_d=u}$  has entropy  $d + 0.1k$ .

*Proof.* We know that

$$\beta_{x,j} = \frac{1}{2} \left( 1 + \frac{\sum_{i=0}^{\min\{w(x), j\}} \binom{w(x)}{i} \binom{n-w(x)}{j-i} (-1)^i}{\binom{n}{j}} \right).$$

Because  $\langle v, x \rangle = 0$  happens if and only if  $|\{i \in [n] : x_i = v_i = 1\}|$  is even.

Let  $\Delta = \sum_{i=0}^{\min\{w(x), j\}} \binom{w(x)}{i} \binom{n-w(x)}{j-i} (-1)^i$ .

Consider the series  $\Delta_i = \binom{w(x)}{i} \binom{n-w(x)}{j-i}, i = 0, 1, \dots, \min\{w(x), j\}$ . Let  $\Delta_i \geq \Delta_{i+1}$ , we can get

$$i \geq \frac{jw(x) - n + w(x) + j - 1}{n + 2} \in \left[ \frac{0.8w(x)}{l} - 3, \frac{1.2w(x)}{l} \right]$$

Let  $\Delta_{i-1} \leq \Delta_i$ , we can get

$$i \leq \frac{jw(x) + w(x) + j + 1}{n - 2} \in \left[ \frac{0.8w(x)}{l}, \frac{1.2w(x)}{l} + 3 \right].$$

So series  $\Delta_i, i = 0, 1, \dots, \min\{w(x), j\}$  has its maximum for some  $i \in \left[ \frac{0.8w(x)}{l} - 3, \frac{1.2w(x)}{l} + 3 \right]$ .

To make it simpler, first we consider the situation that  $0 < \frac{w(x)}{l} - 3$  and  $j > \frac{w(x)}{l} + 3$ .

Let  $i' = \arg \max_i \{\Delta_i\}$  which is in  $[\frac{w(x)}{l} - 3, \frac{w(x)}{l} + 3]$ . Consider  $\Delta_{i'}/\Delta_{i'-1}$ . Let  $i' = \frac{\theta w(x)}{l} + \delta$  for some  $\theta \in [0.8, 1.2], \delta \in [-3, 3]$ .

$$\begin{aligned} \frac{\Delta_{i'}}{\Delta_{i'-1}} &= \frac{w(x) - i' + 1}{i'} \cdot \frac{j - i' + 1}{n - w(x) - j + i'} \\ &= \frac{w(x) - \frac{\theta}{l}w(x) - \delta + 1}{\frac{\theta}{l}w(x) + \delta} \cdot \frac{j - \frac{\theta}{l}w(x) - \delta + 1}{n - w(x) - j + \frac{\theta}{l}w(x) + \delta} \end{aligned} \quad (19)$$

The first term

$$\frac{w(x) - \frac{\theta}{l}w(x) - \delta + 1}{\frac{\theta}{l}w(x) + \delta} = l + O(1).$$

As  $j \in [0.8c, 1.2c]$ , we know

$$\frac{n - w(x) - j + \frac{\theta}{l}w(x) + \delta}{j - \frac{\theta}{l}w(x) - \delta + 1} \geq \frac{n - w(x) - 0.8c + \frac{\theta}{l}w(x) + 3}{0.8c - \frac{\theta}{l}w(x) - 2} \geq \frac{5l}{6}$$

So  $\frac{\Delta_{i'}}{\Delta_{i'-1}} \leq 2$ .

As  $\binom{n}{c} \geq \Delta_{i'} + \Delta_{i'-1}$ , we know  $\frac{\Delta_{i'}}{\binom{n}{c}} \leq 2/3$ . Thus  $\beta_x \leq 1/2(1 + \frac{\Delta_{i'}}{\binom{n}{c}}) \leq 5/6$ .

If either 0 or  $j$  is in  $[\frac{w(x)}{l} - 3, \frac{w(x)}{l} + 3]$ , to prove our conclusion, we only need to check the situation that  $i' = 0$  and  $i' = j$ .

If  $i' = 0$  or  $j$  then,

$$\beta_{x,j} \leq \frac{1}{2} \left(1 + \frac{\binom{n-l}{j}}{\binom{n}{j}}\right) \leq \frac{1}{2} \left(1 + \left(1 - \frac{l}{n}\right)^j\right) \leq \frac{1}{2} \left(1 + \left(1 - \frac{l}{n}\right)^{0.8n/l}\right) \leq 3/4.$$

For the second assertion, let's consider the expander graph  $G = (V, E)$ . Assume  $v$  is a random node uniformly drawn from  $V$ . For any  $i \in [n]$ , the conditional random variable  $f(v)|_{w(f(v))=i}$  is uniformly distributed on  $B_i$ . This is because  $v$  is uniform, thus  $v'_j, j \in [n]$  are independently identically distributed according to Lemma 7.5. So  $\Pr[f(v)|_{w(f(v))=i} = v'], v' \in B_i$  are all equal.

According to the Union Bound,

$$\begin{aligned} |V_x|/|V| &\leq (1 - \Pr[w(f(v)) \in [0.8c, 1.2c]]) + \sum_{i \in [0.8c, 1.2c]} \Pr[w(f(v)) = i] \frac{|A_{x,i}|}{|B_i|} \\ &\leq (1 - \Pr[w(f(v)) \in [0.8c, 1.2c]]) + \sum_{i \in [0.8c, 1.2c]} \Pr[w(f(v)) = i] \times 5/6 \\ &\leq 2 \exp\{-\Theta(c)\} + 5/6. \end{aligned} \quad (20)$$

Our assertion follows as  $c = n/l \geq 2 \log n$ .

For the 3rd assertion, let's consider  $\Pr[MX = 0]$  when  $l \leq w(X) \leq n - l$ .

By Theorem 7.1, for any  $x$  such that  $l \leq w(x) \leq n - l$ ,  $\Pr[Mx = \mathbf{0}] \leq \left(\frac{|V_x|}{|V|} + \lambda\right)^t$ . Here  $\frac{|V_x|}{|V|} \leq 5/6 + 1/\text{poly}(n)$ .

Let  $X_1, X_2$  be independent random variables and have the same distribution as  $X$ .

$$p_0 = \Pr_{X_1, X_2} [MX_1 = MX_2] = \sum_{x_2 \in \text{supp}(X_2)} \Pr[X_2 = x_2] \cdot \Pr[MX_1 = Mx_2]$$

For any fixed  $x_2 \in \text{supp}(X_2)$ , let  $X' = X_1 \oplus x_2$ . So  $\Pr[MX_1 = Mx_2] = \Pr[MX' = \mathbf{0}]$ . We know that  $X'$  is also an  $(n, k)$ -source. As a result, we have the following.

$$\begin{aligned}
& \Pr[M(X') = \mathbf{0}] \\
& \leq \Pr[w(X') \notin [l, n-l]] + \Pr[w(X') \in [l, n-l]] \times \Pr[MX' = \mathbf{0} | w(X') \in [l, n-l]] \\
& \leq \Pr[w(X') \notin [l, n-l]] + \Pr[MX' = \mathbf{0} | w(X') \in [l, n-l]] \\
& \leq \Pr[w(X') \notin [l, n-l]] + \left(\frac{|V_x|}{|V|} + \lambda\right)^t \\
& \leq \Pr[w(X') \notin [l, n-l]] + (5/6 + 1/\text{poly}(n) + \lambda)^t \\
& \leq 2 \times 2^{-0.5k} + (5/6 + 1/\text{poly}(n) + \lambda)^t.
\end{aligned} \tag{21}$$

Thus,

$$\begin{aligned}
p_0 &= \sum_{x_2 \in \text{supp}(X_2)} \Pr[X_2 = x_2] \cdot \Pr[M(X_1 \oplus x_2) = \mathbf{0}] \\
&\leq 2^{-0.5k+1} + (5/6 + 1/\text{poly}(n) + \lambda)^t.
\end{aligned} \tag{22}$$

For the 4th conclusion, let's fix a  $M_u \in \text{supp}(M)$ . We consider  $H_2(M_u X)$  as the following.

$$\begin{aligned}
H_2(M_u X) &= -\log \Pr[M_u X_1 = M_u X_2] \\
&= -\log \sum_{x_1, x_2 \in \text{supp}(X)} \Pr[X_1 = x_1] \Pr[X_2 = x_2] I_{M_u x_1 = M_u x_2}
\end{aligned} \tag{23}$$

Here  $I_e$  is the indicator function such that  $I_e = 1$  if and only if the event  $e$  happens. Here for each  $x_1, x_2$ ,  $I_{M_u x_1 = M_u x_2}$  is a fixed value (either 0 or 1, not a random variable because  $M_u$  is fixed).

Next let's consider  $M$  to be a random variable generated by the seed  $U_d$ .

Let  $Z_M = \sum_{x_1, x_2 \in \text{supp}(X)} \Pr[X_1 = x_1] \Pr[X_2 = x_2] I_{M x_1 = M x_2}$ . We know that

$$\mathbf{E}Z_M = \sum_{x_1, x_2 \in \text{supp}(X)} \Pr[X_1 = x_1] \Pr[X_2 = x_2] \Pr[M x_1 = M x_2] = p_0.$$

So according to Markov's Inequality,

$$\Pr[Z_M \geq p_0^{2/3}] \leq p_0^{1/3}.$$

So with probability at least  $1 - p_0^{1/3}$  over  $M$  (over  $U_d$ ),  $Z_M \leq p_0^{2/3}$ .

Let's fix  $M_u \in \text{supp}(M)$  such that  $Z_{M_u} \leq p_0^{2/3}$ .

Thus

$$\begin{aligned}
H_\infty(M_u X) &\geq 1/2 H_2(M_u X) \\
&= 1/2 (-\log(Z_{M_u})) \\
&\geq -1/2 \log(p_0^{2/3}) \\
&= \frac{1}{3} \log \frac{1}{p_0}.
\end{aligned} \tag{24}$$

This concludes that  $U_d \circ \text{Cond}(X, U_d) = U_d \circ MX$  is  $p_0^{1/3}$ -close to  $U_d \circ W$  where for every  $u$ ,  $W|_{U_d=u}$  has entropy  $1/3 \log \frac{1}{p_0}$ .

As we know  $p_0 \leq 2^{-0.5k+1} + (5/6 + 1/\text{poly}(n) + \lambda)^t$ ,  $k = \Omega(\log^2 n)$ . So if  $t = 10k$ , then  $p_0^{1/3} \leq 2^{-0.1k}$ .

According to conclusion 5,  $U_d \circ \text{Cond}(X, U_d)$  is  $\epsilon_c$ -close to  $U_d \circ W$  where for every  $u$ ,  $W|_{U_d=u}$  has entropy at least  $0.1k$  where  $\epsilon_c = 2^{-0.1k}$ . This proves the last assertion.  $\square$

## 7.2 Seed Length Reduction

The seed length can be shorter by applying the following PRG technique.

**Theorem 7.9** (Space Bounded PRG[15]). *For any  $s > 0$ ,  $0 < n \leq 2^s$ , there exists an explicit PRG  $g : \{0, 1\}^r \rightarrow \{0, 1\}^n$ , such that for any algorithm  $A$  using space  $s$ ,*

$$|\Pr[A(g(U_r)) = 1] - \Pr[A(U_n) = 1]| \leq 2^{-s}.$$

Here  $r = O(s \log n)$ ,  $U_r$  is uniform over  $\{0, 1\}^r$ ,  $U_n$  is uniform over  $\{0, 1\}^n$ .

**Lemma 7.10.** *Let  $g : \{0, 1\}^{r=O(\log^2 n)} \rightarrow \{0, 1\}^{r_0=O(n \log n)}$  be the PRG from Lemma 7.9 with error parameter  $\epsilon_g = 1/\text{poly}(n)$ . Replace the 1-3 steps of Construction 7.6 with the following 3 steps.*

1. Construct an expander graph  $\tilde{G} = (\tilde{V}, \tilde{E})$  where  $\tilde{V} = \{0, 1\}^r$  and  $\lambda = 0.01$ .
2. Use a uniform random string  $U_1$  of length  $r$  to select a vertex  $\tilde{v}_1$  of  $\tilde{V}$ .
3. Take a random walk on  $\tilde{G}$  starting from  $\tilde{v}_1$  to get  $\tilde{v}_2, \dots, \tilde{v}_t$ , for  $t = 10k$ . Let  $v_i = g(\tilde{v}_i)$ ,  $i = 1, \dots, t$ .

Let  $\tilde{V}_x = \{v \in \tilde{V} : \langle f(g(v)), x \rangle = 0\}$ . We have the following conclusions.

1. For any  $x \in \{0, 1\}^n$  such that  $w(x) \in [l, n - l]$ ,

$$\left| \frac{|\tilde{V}_x|}{|\tilde{V}|} - \frac{|V_x|}{|V|} \right| \leq \epsilon_g.$$

2.  $p_0 = \Pr[MX_1 = MX_2] \leq 2^{-0.5k+1} + (5/6 + 1/\text{poly}(n) + \lambda)^t$  where  $X_1, X_2$  are independent random variables that both have the same distribution as  $X$ .
3.  $U_d \circ \text{Cond}(X, U_d)$  is  $p_0^{1/3}$ -close to  $U_d \circ W$ . Here  $U_d$  is a uniform distribution of length  $d$ . For every  $u$ ,  $W|_{U_d=u}$  has entropy  $\frac{1}{3} \log \frac{1}{p_0}$ .
4.  $U_d \circ \text{Cond}(X, U_d)$  is  $\epsilon_c = 2^{-0.1k}$ -close to  $U_d \circ W$  where  $\forall u \in \{0, 1\}^d$ ,  $W|_{U_d=u}$  has entropy  $d + 0.1k$ .

*Proof.* Consider the following algorithm  $A$  which decides whether  $v \in V_x$  on input  $(v, x)$ .

---

**Algorithm 7.1:**  $A(v, x)$

---

**Input:**  $v \in \{0, 1\}^{r_0}$  and  $x \in \{0, 1\}^n$

res = 0 ;

**for**  $i = 1$  to  $n$  **do**

    compute  $f(v)_i$  ;

**if**  $f(v)_i = 1$  **then**

        res = res +  $f(v)_i \cdot x_i$  ;

**end**

**end**

**if** res = 0 **then** Output 1 ;

**else** Output 0 ;

---

We can see that algorithm  $A$  runs in space  $O(\log n)$  because  $f(v)_i, i = 1, \dots, n$  can be computed sequentially by using  $O(\log n)$  space according to Lemma 7.5 and all the other variables need  $O(\log n)$  space to record. Also  $A(v, x) = 1$  if and only if  $v \in V_x$ . So  $\Pr[A(U_{r_0}, x) = 1] = \frac{|V_x|}{|V|}$ .

Similarly, we can see  $\Pr[A(g(U_r), x) = 1] = \frac{|\tilde{V}_x|}{|\tilde{V}|}$ .

According to the definition of our PRG  $g$ , we know that,

$$|\Pr[A(g(U_r), x) = 1] - \Pr[A(U_{r_0}, x) = 1]| \leq \epsilon_g.$$

So

$$\left| \frac{|\tilde{V}_x|}{|\tilde{V}|} - \frac{|V_x|}{|V|} \right| \leq \epsilon_g.$$

For the 2nd assertion, let's consider  $\Pr[MX = 0]$  when  $l \leq w(X) \leq n - l$ .

By Theorem 7.1, for any  $x$  such that  $l \leq w(x) \leq n - l$ ,  $\Pr[Mx = \mathbf{0}] \leq \left(\frac{|\tilde{V}_x|}{|\tilde{V}|} + \lambda\right)^t$ .

Let  $X_1, X_2$  be independent random variables and have the same distribution as  $X$ .

$$p_0 = \sum_{x_2 \in \text{supp}(X_2)} \Pr[X_2 = x_2] \cdot \Pr[MX_1 = Mx_2]$$

For any fixed  $x_2 \in \text{supp}(X_2)$ , let  $X' = X_1 \oplus x_2$ . So  $\Pr[MX_1 = Mx_2] = \Pr[MX' = \mathbf{0}]$ . We know that  $X'$  is also an  $(n, k)$ -source. As a result, we have the following.

$$\begin{aligned} & \Pr[M(X') = 0] \\ & \leq \Pr[w(X') \notin [l, n - l]] + \Pr[w(X') \in [l, n - l]] \times \Pr[MX' = \mathbf{0} |_{w(X') \in [l, n - l]}] \\ & \leq \Pr[w(X') \notin [l, n - l]] + \Pr[MX' = \mathbf{0} |_{w(X') \in [l, n - l]}] \\ & \leq \Pr[w(X') \notin [l, n - l]] + \left(\frac{|\tilde{V}_x|}{|\tilde{V}|} + \lambda\right)^t \\ & \leq \Pr[w(X') \notin [l, n - l]] + \left(\frac{|V_x|}{|V|} + \epsilon_g + \lambda\right)^t \\ & \leq \Pr[w(X') \notin [l, n - l]] + (5/6 + 1/\text{poly}(n) + \lambda)^t \\ & \leq 2 \times 2^{-0.5k} + (5/6 + 1/\text{poly}(n) + \lambda)^t. \end{aligned} \tag{25}$$

Thus,

$$\begin{aligned} p_0 &= \sum_{x_2 \in \text{supp}(X_2)} \Pr[X_2 = x_2] \cdot \Pr[M(X_1 \oplus x_2) = \mathbf{0}] \\ &\leq 2^{-0.5k+1} + (5/6 + 1/\text{poly}(n) + \lambda)^t. \end{aligned} \tag{26}$$

Conclusion 3 and 4 follow the same proof as that of Lemma 7.8. □

**Theorem 7.11.** *For any  $k = \Omega(\log^2 n)$ , there exists an explicit construction of an  $(n, k, 10k, 0.1k, 2^{-0.1k})$ -condenser with seed length  $\Theta(k)$ .*

*Proof.* According to Lemma 7.10, it immediately follows that the function Cond in Construction 7.6 is an  $(n, k, t, 0.1k, \epsilon_c)$ -condenser for  $t = 10k$ ,  $\epsilon_c = 2^{-0.1k}$ .

Now consider the seed length. We know that  $|U_1| = \Theta(\log^2 n)$ . For the random walks, the random bits needed have length  $\Theta(t) = \Theta(k)$ . So the seed length is  $|U_1| + \Theta(t) = \Theta(k)$ . □

### 7.3 Locality Control

There is one problem left in our construction. We want the locality of our extractor to be small. However, in the current construction, we cannot guarantee that the locality is small, because the random walk may hit some vectors that have large weights. We bypass this barrier by setting these vectors to be  $\mathbf{0}$ .

We need the following Chernoff Bound for random walks on expander graphs.

**Lemma 7.12** ([10]). *Let  $G$  be a regular graph with  $N$  vertices where the second largest eigenvalue is  $\lambda$ . For every  $i \in [t]$ , let  $f_i : [N] \rightarrow [0, 1]$  be any function. Consider a random walk  $v_1, v_2, \dots, v_t$  in  $G$  from a uniform start-vertex  $v_1$ . Then for any  $\epsilon > 0$ ,*

$$\Pr\left[\left|\sum_{i=1}^t f(v_i) - \sum_{i=1}^t \mathbf{E}f_i\right| \geq \epsilon t\right] \leq 2e^{-\frac{\epsilon^2(1-\lambda)t}{4}}.$$

Now we give our final construction.

**Construction 7.13.** *For any  $k = \Omega(\log^2 n)$ , we construct an  $(n, k, t = 10k, 0.08k, \epsilon_c)$ -condenser  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^t$  with  $d = \Theta(k)$ , locality  $c = n/l$ ,  $l = \frac{k}{2 \log n}$ ,  $\epsilon_c = 2^{-k/500000}$ .*

*Let  $g : \{0, 1\}^{r=O(\log^2 n)} \rightarrow \{0, 1\}^{r_0=O(n \log n)}$  be the PRG from Lemma 7.9 with error parameter  $\epsilon_g = 1/\text{poly}(n)$ .*

1. *Construct an expander graph  $\tilde{G} = (\tilde{V}, \tilde{E})$  where  $\tilde{V} = \{0, 1\}^r$  and  $\lambda(\tilde{G}) = 0.01$  where  $r = O(\log^2 n)$ .*
2. *Using a uniform random string  $U_1$  of length  $r$  to select a vertex  $\tilde{v}_1$  of  $\tilde{V}$ .*
3. *Take a random walk on  $\tilde{G}$  to get  $\tilde{v}_2, \dots, \tilde{v}_t$ . Let  $v_i = g(\tilde{v}_i)$ ,  $i = 1, \dots, t$ .*
4. *For  $i \in [t]$ , get an  $n$ -bit string  $v'_i = f(v_i)$  such that  $\forall j \in [n], \Pr[v'_{i,j} = 1] - 1/l \leq 1/n^2$ , where  $f : \{0, 1\}^{r_0} \rightarrow \{0, 1\}^n$  follows from Lemma 7.5,  $r_0 = O(n \log n)$ .*
5. *Let  $M = (v'_1, \dots, v'_t)^T$ .*
6. *Construct the matrix  $M' = (\bar{v}_1, \dots, \bar{v}_t)$  such that for  $i \in [t]$ , if  $w(v'_i) > 1.2c$ ,  $\bar{v}_i = \mathbf{0}$ , otherwise  $\bar{v}_i = v'_i$ .*
7. *Let  $\text{Cond}(x, u) = M'x$ .*

Let  $\tilde{T} = \{v \in \tilde{V} : w(f(g(v))) \in [0.8c, 1.2c]\}$ .

**Lemma 7.14.** *In Construction 7.13, with probability  $1 - 2e^{-k/500000}$ ,*

$$|\{i : w(v'_i) \in [0.8c, 1.2c]\}| \geq 0.998t.$$

*Proof.* Consider the following algorithm  $A$ . Given  $v \in \{0, 1\}^{r_0}$ ,  $A$  tests whether  $w(f(v)) \in [0.8c, 1.2c]$ .

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**Algorithm 7.2:**  $A(v)$

---

**Input:**  $v \in \{0, 1\}^{r_0}$   
count = 0 ;  
**for**  $i = 1$  **to**  $n$  **do**  
    compute  $f(v)_i$  ;  
    **if**  $f(v)_i = 1$  **then**  
        count++ ;  
    **end**  
**end**  
**if** count is in  $[0.8c, 1.2c]$  **then** Output 1 ;  
**else** Output 0 ;

---

It can be seen that  $A$  runs in space  $O(\log n)$ . Because  $f(v)_i, i = 1, \dots, n$  can be computed sequentially using space  $O(\log n)$  according to Lemma 7.5. Also all the iterators and variables used during the computation require only  $O(\log n)$  space.

As a result, according to the definition of space bounded PRG, for any  $\epsilon_g = 1/\text{poly}(n)$ ,

$$|\Pr[A(g(U_r)) = 1] - \Pr[A(U_{r_0}) = 1]| \leq \epsilon_g.$$

We know that  $\Pr[A(g(U_r)) = 1] = \frac{|\tilde{T}|}{|\tilde{V}|}$  and  $\Pr[A(U_{r_0}) = 1] = \frac{|T|}{|V|}$ . Thus,  $|\frac{|\tilde{T}|}{|\tilde{V}|} - \frac{|T|}{|V|}| \leq \epsilon_g$ .

According to Lemma 7.7,  $\frac{|T|}{|V|} \geq 1 - 2 \exp\{-\Theta(c)\}$ .

As a result,  $\frac{|\tilde{T}|}{|\tilde{V}|} \geq 1 - 2 \exp\{-\Theta(c)\} - \epsilon_g \geq 1 - 1/\text{poly}(n)$ .

Thus for each  $i$ ,  $\Pr[w(f(g(\tilde{v}))) \in [0.8c, 1.2c]] \geq 1 - 1/\text{poly}(n)$ . Let  $\mathbf{E}I_{\tilde{v}_i \in \tilde{T}} = u$ . Then  $u \geq 1 - 1/\text{poly}(n)$ .

According to our construction, we can assume  $I_{\tilde{v}_i \in \tilde{T}} = h(\tilde{v}_i), i = 1, \dots, t$  for some function  $h$ . By Lemma 7.12,

$$\Pr\left[\left|\sum_{i=1}^t I_{\tilde{v}_i \in \tilde{T}} - \sum_{i=1}^t u\right| \geq 0.001t\right] \leq 2e^{-\frac{(0.001)^2(1-\lambda)t}{4}} \leq 2e^{-t/5000000}$$

We know that  $t = 10k$  and  $|\{i : w(v'_i) \in [0.8c, 1.2c]\}| = \sum_{i=1}^t I_{\tilde{v}_i \in \tilde{T}}$ . So with probability at least  $1 - 2e^{-k/500000}$ ,

$$|\{i : w(v'_i) \in [0.8c, 1.2c]\}| \geq \sum_{i=1}^t u - 0.001t \geq (1 - 1/\text{poly}(n))t - 0.001t \geq 0.998t.$$

□

**Lemma 7.15.** *The function  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^d$  in Construction 7.13 is an  $(n, k, t, 0.08k, \epsilon_c)$ -condenser with seed length  $\Theta(k)$ .*

*Proof.* According to Lemma 7.11, we know that for  $\epsilon = 2^{-0.1k}$ ,  $U_d \circ MX$  is  $\epsilon$ -close to  $U_d \circ W$  where for every  $a \in \{0, 1\}^d$ ,  $H_\infty(W|_{U_d=a}) = 0.1k$ . Let  $M'X = h(U_d, MX)$ . According to our construction, we know that  $h$  is a deterministic function. More specifically,  $h(u, y)$  will set the  $i$ th coordinate of  $y$  to be 0 for any  $i$  such that  $\tilde{v}_i \notin \tilde{T}$ . The function  $h$  can check  $\tilde{v}_i \notin \tilde{T}$  according to  $u$  deterministically.

As a result,

$$\begin{aligned}
& \text{SD}(U_d \circ M'X, U_d \circ h(U_d, W)) \\
&= \text{SD}(U_d \circ h(U_d, MX), U_d \circ h(U_d, W)) \\
&\leq \text{SD}(U_d \circ MX, U_d \circ W) \\
&\leq \epsilon.
\end{aligned} \tag{27}$$

Now let's consider the entropy of  $U_d \circ h(U_d, W)$ . let  $\epsilon_0 = 2e^{-k/500000}$ . By Lemma 7.14, for  $1 - \epsilon_0$  fraction of  $u \in \{0, 1\}^d$ , there are at most  $0.002t$  bits in  $W|_{U_d=u}$  that are set to be 0.

As a result, for  $1 - \epsilon_0$  fraction of  $u \in \{0, 1\}^d$ ,  $u \circ h(u, W|_{U_d=u})$  has entropy  $0.1k - 0.002t \geq 0.08k$ . As a result,  $U_d \circ h(U_d, W)$  is  $\epsilon_0$ -close to  $U_d \circ W'$  where for every  $u \in \{0, 1\}^d$ ,  $W'|_{U_d=u}$  has entropy  $0.08k$ . So  $U_d \circ M'X$  is  $\epsilon + \epsilon_0 \leq 2^{-k/500000}$ -close to  $U_d \circ W'$  where for every  $u \in \{0, 1\}^d$ ,  $W'|_{U_d=u}$  has entropy  $0.08k$ .  $\square$

**Lemma 7.16.** *The locality of Construction 7.13 is  $1.2c = \Theta(\frac{n}{k} \log n)$ .*

*Proof.* As for every  $M'_i$ , the number of 1s in it is at most  $1.2c$ , the locality is  $1.2c = 1.2n/l = \Theta(\frac{n}{k} \log n)$   $\square$

**Theorem 7.17.** *For any  $k = \Omega(\log^2 n)$ , there exists an  $(n, k, t = 10k, 0.08k, \epsilon_c)$ -condenser  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^t$  with  $d = \Theta(k)$ ,  $\epsilon_c = 2^{-k/500000}$  and the locality is  $\Theta(\frac{n}{k} \log n)$ .*

*Proof.* It follows from Lemma 7.15 and Lemma 7.16.  $\square$

**Theorem 7.18.** *For any  $k = \Omega(\log^2 n)$ , for any constant  $\gamma \in (0, 1)$ , there exists a strong  $(k, \epsilon)$ -extractor  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , where  $\epsilon$  can be as small as  $2^{-k^{\Omega(1)}}$ ,  $d = \Theta(k)$ ,  $m = (1 - \gamma)k$  and the locality is  $\frac{n}{k} \log^2(1/\epsilon)(\log n) \text{poly}(\log k)$ .*

*Proof Sketch.* We combine our  $(n, k, m_c = 10k, 0.08k, \epsilon_c = 2^{-0.1k})$ -condenser  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}^{m_c}$  where  $r = \Theta(k)$  from Lemma 7.11 with the  $(0.08k, \epsilon_0)$ -extractor  $\text{Ext}_0 : \{0, 1\}^{m_c} \times \{0, 1\}^{d_0=k^\alpha} \rightarrow \{0, 1\}^{m_0}$  from Theorem 6.5 for some constant  $\alpha \in (0, 1]$  and for  $\epsilon_c = 2^{-k^{\Omega(1)}}$ .

Let  $\text{Ext}(X, U) = \text{Ext}_0(\text{Cond}(X, U_1), U_2)$ , where  $U = U_1 \circ U_2$ . We know that  $U \circ \text{Ext}(X, U)$  is  $\epsilon = \epsilon_c + \epsilon_0 = 2^{-k^{\Omega(1)}}$ -close to uniform distribution over  $\{0, 1\}^{\Theta(k)}$ .

The locality of  $\text{Cond}$  is  $\Theta(\frac{n}{k} \log n)$ . The locality of  $\text{Ext}_0$  is  $\log^2(1/\epsilon_0) \text{poly}(\log k)$ . So the overall locality is  $\frac{n}{k} \log^2(1/\epsilon)(\log n) \text{poly}(\log k)$ . The seed length is  $|U| = |U_1| + |U_2| = d_0 + \Theta(k) = \Theta(k)$ .

Our theorem holds by applying the extraction in parallel technique in Lemma 5.4 to increase the output length to  $(1 - \gamma)k$ .  $\square$

## 8 Open Problems

Our work leaves many natural open problems. First of all, the error of our  $\text{AC}^0$  extractor can only be as small as  $2^{-\text{poly}(\log n)}$ , even though the min-entropy is  $k \geq n/\text{poly}(\log n)$ . Can we get smaller error (e.g.,  $2^{-k^{\Omega(1)}}$  or even  $2^{-\Omega(k)}$ )? Second, in terms of the seed length and output length, our  $\text{AC}^0$  extractor is only optimal when  $k = \Omega(n)$ . Is it possible to achieve optimal seed length and output length when  $k = n/\text{poly}(\log n)$ ?

Turning to strong extractor families with small locality, again the parameters of our constructions do not match the parameters of optimal seeded extractors. In particular, our seed length is still  $O(k)$  when the min-entropy  $k$  is small. Can we reduce the seed length further? We note that using our analysis together with the IW-generator/extractor, one can get something meaningful (i.e., a strong extractor family with a relatively

short seed and small locality) even when  $k = n^\alpha$  for some  $\alpha > 1/2$ . But it's unclear how to get below this entropy. In addition, our technique to increase output length fails to preserve locality. Is it possible to develop a locality-preserving technique for output length optimization? Furthermore, in general the locality in our construction is a little worse in terms of the error  $\epsilon$  than that of [3] (i.e.,  $\log^2(1/\epsilon)$  vs.  $\log(1/\epsilon)$ ). This stems from our error reduction technique. Can we improve it to reduce the locality? Finally, a basic question here is still not clear: what is the correct relation between the six parameters input length  $n$ , min-entropy  $k$ , seed length  $d$ , output length  $m$ , error  $\epsilon$ , and locality  $\ell$ ? It would be nice to obtain a matching upper bound and lower bound, as in the case of standard seeded extractors. We conjecture that a lower bound of locality  $\frac{n}{k} \log(n/\epsilon)$  should hold, although we were not able to prove it in general.

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## A Proof of Lemma 3.5

**Lemma A.1** (Lemma 3.5 restated, implicit in [11]). *For any  $\gamma \in (0, 1/30)$ , if there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  that is  $1/3$ -hard for circuit size  $g = 2^{\gamma l}$ , then there is a boolean function  $f' : \{0, 1\}^{l'=\Theta(l)} \rightarrow \{0, 1\}$  that is  $(1/2 - \epsilon)$ -hard for circuit size  $g' = \Theta(g^{1/4} \epsilon^2 l^{-2})$  where  $\epsilon \geq (500l)^{1/3} g^{-1/12}$ .*

$$f'(a, s, v_1, w) = \langle s, f(a|_{S_1} \oplus v_1) \circ f(a|_{S_2} \oplus v_2) \circ \cdots \circ f(a|_{S_l} \oplus v_l) \rangle$$

Here  $(S_1, \dots, S_l)$  is an  $(|a|, l, \gamma l/4, l)$ -design where  $|a| = \lfloor \frac{40l}{\gamma} \rfloor$ . The vectors  $v_1, \dots, v_l$  are obtained by a random walk on an expander graph, starting at  $v_1$  and walking according to  $w$  where  $|v_1| = l, |w| = \Theta(l)$ . The length of  $s$  is  $l$ . So  $l' = |a| + |s| + |v_1| + |w| = \Theta(l)$ .

In order to clearly compute the circuit size, we need the following theorem.

**Theorem A.2** (Circuit Size of Majority Function [26] Page 76, Theorem 4.1). *The circuit size of the majority function on  $n$  input bits is  $O(n)$ .*

We need the following version of Goldreich Levin Theorem.

**Theorem A.3** (Goldreich-Levin Algorithm [6], Circuit Version). *For any  $\epsilon > 0$ , for any function  $f : \{0, 1\}^l \rightarrow \{0, 1\}^t$ , if there is a circuit  $C : \{0, 1\}^{l+t} \rightarrow \{0, 1\}$  of size  $g$  such that we have*

$$\Pr_{x,r}[C(x, r) = \langle f(x), r \rangle] \geq 1/2 + \epsilon,$$

where  $r$  is uniformly random distributed over  $\{0, 1\}^t$ ,  $x$  is uniformly distributed over  $\{0, 1\}^l$ , then there is a circuit  $C' : \{0, 1\}^l \rightarrow \{0, 1\}^t$  of size  $O(gt^2/\epsilon^2)$  such that

$$\Pr_x[C'(x) = f(x)] \geq \epsilon^3/(500t).$$

*Proof.* As

$$\Pr_{x,r}[C(x, r) = \langle f(x), r \rangle] \geq 1/2 + \epsilon,$$

for at least  $\epsilon/2$  fraction of all  $x \in \{0, 1\}^l$ ,

$$\Pr_r[C(x, r) = \langle f(x), r \rangle] \geq 1/2 + \epsilon/2.$$

Let  $T = \{x : \Pr_r[C(x, r) = \langle f(x), r \rangle] \geq 1/2 + \epsilon/2\}$ . We have  $|T|/2^l \geq \epsilon/2$ .

For every  $x$  in  $T$ , consider the following Goldreich-Levin algorithm  $GL$ .

---

**Algorithm A.1:**  $GL(x)$

---

**Input:**  $x \in \{0, 1\}^l$

Let  $L_x = \emptyset$

Generate uniform random strings  $r_0, r_1, \dots, r_{k-1}$  over  $\{0, 1\}^t$ , where  $k = \lceil \log(100t/\epsilon^2 + 1) \rceil$ .

For every  $S \subseteq [k]$ ,  $S \neq \emptyset$ , let  $r_S = \sum_{j \in S} r_j$ .

Let  $R = \{r_S \in \{0, 1\}^t : \emptyset \neq S \subseteq [k]\}$

**for**  $b_0, b_1, \dots, b_{k-1} \in \{0, 1\}$  **do**

**For every**  $S \subseteq [k]$ ,  $S \neq \emptyset$ , **let**  $b_S = \sum_{j \in S} b_j$

**Let**  $B = \{b_S \in \{0, 1\} : \emptyset \neq S \subseteq [k]\}$

**for**  $i = 0$  **to**  $t - 1$  **do**

        |  $y_i = \text{maj}_{\emptyset \neq S \subseteq [k]} \{C(x, r_S \oplus e_i) \oplus b_S\}$

**end**

    Add  $y$  to  $L_x$

**end**

**return**  $L_x$

---

We claim that  $\forall x \in T$ , with probability at least 0.99 over the random variables used in  $GL$ ,  $x \in L_x$ . The reason is as follows.

In the algorithm  $GL$ , we try all the possibilities of  $b_0, \dots, b_{k-1}$ . Consider one special choice of them, saying  $b_j = \langle f(x), r_j \rangle, \forall j \in [k]$ . As a result,  $b_S = \langle f(x), r_S \rangle, \forall S \subseteq [k], S \neq \emptyset$ . Now we fix an  $x \in T$  and an  $i \in [t]$ . If  $C(x, r_S \oplus e_i) = \langle f(x), r_S \oplus e_i \rangle$ , then  $C(x, r_S \oplus e_i) \oplus b_S = f(x)_i$ . We know that, as  $x \in T$ ,

$$\Pr_{r_S}[C(x, r_S \oplus e_i) = \langle f(x), r_S \oplus e_i \rangle] = 1/2 + \epsilon'/2$$

for  $\epsilon' \in [\epsilon, 1]$ . So

$$\Pr_{r_S}[C(x, r_S \oplus e_i) \oplus b_S = f(x)_i] = 1/2 + \epsilon'/2.$$

According to the construction of  $r_S$  in our algorithm,  $r_S$ 's,  $\forall S \subseteq [k], S \neq \emptyset$  are pairwise independent. Let  $I_S$  denote the indicator such that  $I_S = 1$  if  $C(x, r_S \oplus e_i) \oplus b_S = f(x)_i$  and  $I_S = 0$  otherwise. Let  $I = \sum_{\emptyset \neq S \subseteq [k]} I_S$ . Thus  $\mathbf{E}I_S = 1/2 + \epsilon'/2$ . So  $\mathbf{E}I = (1/2 + \epsilon'/2)(2^k - 1)$ . Also, the variance of  $I_S$  is  $\mathbf{Var}(I_S) = \mathbf{E}I_S^2 - (\mathbf{E}I_S)^2 = \mathbf{E}I_S - (\mathbf{E}I_S)^2 \leq 1/4$ . So  $\mathbf{Var}(I) = \sum_{\emptyset \neq S \subseteq [k]} \mathbf{Var}(I_S) \leq \sum_{\emptyset \neq S \subseteq [k]} \frac{1}{4} = (2^k - 1)/4$ . So according to the Chebyshev's inequality,

$$\Pr[I \leq 1/2(2^k - 1)] \leq \Pr[|I - \mathbf{E}I| \geq \frac{\epsilon'}{2}(2^k - 1)] \leq \frac{\mathbf{Var}(I)}{(\frac{\epsilon'}{2}(2^k - 1))^2} = \frac{1}{\epsilon'^2(2^k - 1)} \leq 1/(100t),$$

as  $2^k - 1 \geq 100t/\epsilon^2$  and  $\epsilon' \geq \epsilon$ . Thus  $\Pr[y_i \neq f(x)_i] \leq \Pr[I \leq 1/2(2^k - 1)] \leq 1/(100t)$ . According to the union bound,  $\Pr[y = f(x)] \geq 1 - t/(100t) = 0.99$ .

We know that as we have guessed all the possible values of  $b_0, b_1, \dots, b_{k-1}$ , one of them should be correct. So for every  $x \in T$ , with probability at least 0.99 over  $r_0, r_1, \dots, r_{k-1}$ ,  $x$  is in  $L_x$ .

Now we modify this algorithm to construct the circuit  $C'$ . Notice that we only need to prove that there exists a circuit  $C'$ . So we are going to fix the random variables and the choice of  $b_0, b_1, \dots, b_{k-1}$  in the algorithm.

We first fix those random variables. Assume we use the same random variables  $r_0, r_1, \dots, r_{k-1}$  to get  $L_x$  for every  $x \in T$ . For every  $x \in T$ , let  $I_x$  denote the event that  $x \in L_x$ . We know that  $\mathbf{E}I_x \geq 0.99$ . So  $\mathbf{E} \sum_{x \in T} I_x \geq 0.99|T|$ . So there exists  $r'_0, r'_1, \dots, r'_{k-1}$  such that

$$\left( \sum_{x \in T} I_x \right) |_{r_i = r'_i, \forall i \in [k]} \geq 0.99|T|.$$

Denote the event  $r_i = r'_i, \forall i \in [k]$  as  $\varphi$ .

After we fix these random variables, we fix the choice of  $b_0, b_1, \dots, b_{k-1}$ . We know that for at least  $0.99|T|$  number of  $x \in T$ ,  $x \in L_x | \varphi$ . For every  $x$ , let  $y_{x, b_0, \dots, b_{k-1}}$  denote the element in  $L_x | \varphi$  corresponding to  $b_0, b_1, \dots, b_{k-1}$ . As the size of  $L_x | \varphi$  is  $2^k \leq 200t/\epsilon^2 + 2$ , there exists  $b'_0, b'_1, \dots, b'_{k-1} \in \{0, 1\}$  such that for at least  $\frac{0.99|T|}{2^k} \geq \epsilon^3/(500t)$  fraction of  $x \in \{0, 1\}^l$ ,  $y_{x, b'_0, b'_1, \dots, b'_{k-1}} = f(x)$ .

By fixing the random variables and  $b_0, b_1, \dots, b_{k-1}$ , according to our algorithm, we have a circuit  $C'$ , such that for every  $i \in [t]$ ,

$$C'(x)_i = \text{maj}_{\emptyset \neq S \subseteq [k]} \{C(x, r'_S \oplus e_i) \oplus b'_S\}$$

where  $\forall S \subseteq [k], S \neq \emptyset, r'_S = \sum_{j \in S} r'_j, b'_S = \sum_{j \in S} b'_j$ .

By Theorem A.2, we know the circuit size for computing majority function over  $2^k - 1$  input bits is  $O(2^k)$ .

As all the random variables and  $b_0, \dots, b_{k-1}$  are fixed, for every  $i \in [l]$  and every  $S \subseteq [k], S \neq \emptyset$ ,  $r'_S \oplus e_i$  and  $b'_S$  are fixed (given bits, not need to be computed by the circuit).

So we can see that for every  $i \in [t]$ , the circuit size of  $C'(\cdot)_i$  is  $O(g \cdot 2^k + 2^k) = O(gt/\epsilon^2 + t/\epsilon^2) = O(gt/\epsilon^2)$ . So the circuit size of  $C'$  is  $O(gt^2/\epsilon^2)$ . □

**Lemma A.4** ([11] Section 5.3). *For any  $\gamma \in (0, 1/30)$ , if there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  that is  $1/3$ -hard for circuit size  $g = 2^{\gamma l}$ , then there is a boolean function  $\tilde{f} : \{0, 1\}^{\tilde{l}=\Theta(l)} \rightarrow \{0, 1\}^l$  that is  $g^{-1/4}$ -hard for circuit size  $g^{1/4}$ .*

$$\tilde{f}(a, v_1, w) = f(a|_{S_1} \oplus v_1) \circ f(a|_{S_2} \oplus v_2) \circ \dots \circ f(a|_{S_l} \oplus v_l)$$

Here  $(S_1, \dots, S_l)$  is an  $(|a|, l, \gamma l/4, l)$ -design where  $|a| = \lfloor \frac{40l}{\gamma} \rfloor$ . The vectors  $v_1, \dots, v_l$  are obtained by a random walk on an expander graph, starting at  $v_1$  and walking according to  $w$  where  $|v_1| = l, |w| = \Theta(l)$ . So  $\tilde{l} = |a| + |v_1| + |w| = \Theta(l)$ .

Now we prove Lemma A.1.

*Proof.* By Lemma A.4, for any  $\gamma \in (0, 1/30)$ , if there is a boolean function  $f : \{0, 1\}^l \rightarrow \{0, 1\}$  that is  $1/3$ -hard for circuit size  $g = 2^{\gamma l}$ , then there is a boolean function  $\tilde{f} : \{0, 1\}^{\tilde{l}=\Theta(l)} \rightarrow \{0, 1\}^l$  that is  $\tilde{\epsilon} = g^{-1/4}$ -hard for circuit size  $\tilde{g} = g^{1/4}$ .

$$\tilde{f}(a, v_1, w) = f(a|_{S_1} \oplus v_1) \circ f(a|_{S_2} \oplus v_2) \circ \dots \circ f(a|_{S_l} \oplus v_l)$$

Now consider  $f' : \{0, 1\}^{\tilde{l}+l} \rightarrow \{0, 1\}$  which is as follows.

$$f'(a, s, v_1, w) = \langle s, f(a|_{S_1} \oplus v_1) \circ f(a|_{S_2} \oplus v_2) \circ \dots \circ f(a|_{S_l} \oplus v_l) \rangle$$

where  $|s| = l$ .

By Theorem A.3,  $f'$  is  $(1/2 - \epsilon)$ -hard for circuits of size  $g' = \Theta(\tilde{g}\epsilon^2 l^{-2}) = \Theta(g^{1/4}\epsilon^2 l^{-2})$  where  $\epsilon$  can be such that  $\epsilon^3/(500l) \geq \tilde{\epsilon}$ . That is  $\epsilon \geq (500l)^{1/3}g^{-1/12}$ .

□