

# 1 The Non-Hardness of Approximating Circuit Size

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## 11 — Abstract —

12 The Minimum Circuit Size Problem (MCSP) has been the focus of intense study recently; MCSP  
13 is hard for SZK under rather powerful reductions [4], and is provably not hard under “local”  
14 reductions computable in  $\text{TIME}(n^{0.49})$  [22]. The question of whether MCSP is NP-hard (or indeed,  
15 hard even for small subclasses of P) under some of the more familiar notions of reducibility (such  
16 as many-one or Turing reductions computable in polynomial time or in  $\text{AC}^0$ ) is closely related to  
17 many of the longstanding open questions in complexity theory [7, 8, 17, 18, 19, 20, 22].

18 All known hardness results for MCSP hold also for computing somewhat weak approximations  
19 to the circuit complexity of a function [3, 4, 9, 17, 21, 25]. Some of these results were proved  
20 by exploiting a connection to a notion of time-bounded Kolmogorov complexity (KT) and the  
21 corresponding decision problem (MKTP). More recently, a new approach for proving improved  
22 hardness results for MKTP was developed [5, 7], but this approach establishes only hardness of  
23 extremely good approximations of the form  $1 + o(1)$ , and these improved hardness results are not  
24 yet known to hold for MCSP. In particular, it is known that MKTP is hard for the complexity  
25 class DET under nonuniform  $\leq_m^{\text{AC}^0}$  reductions, implying MKTP is not in  $\text{AC}^0[p]$  for any prime  
26  $p$  [7]. It is still open if similar circuit lower bounds hold for MCSP. One possible avenue for  
27 proving a similar hardness result for MCSP would be to improve the hardness of approximation  
28 for MKTP beyond  $1 + o(1)$  to  $\omega(1)$ . In this paper, we show that this is impossible.

29 More specifically, we prove that PARITY does not reduce to the problem of computing super-  
30 linear approximations to KT-complexity or circuit size via  $\text{AC}^0$ -Turing reductions that make  $O(1)$   
31 queries. This is significant, since it is known that just *one* query to a much worse approximation  
32 of circuit size or KT-complexity suffices, for an  $\text{AC}^0$  reduction to compute an approximation  
33 to any set in P/poly [23]. For weaker approximations, we also prove non-hardness under more  
34 powerful reductions. Our non-hardness results are unconditional, in contrast to conditional res-  
35 ults presented in [7] (for more powerful reductions, but for much worse approximations). This  
36 highlights obstacles that would have to be overcome by any proof that MKTP or MCSP is hard  
37 for NP under  $\text{AC}^0$  reductions. It may also be a step toward confirming a conjecture of Murray  
38 and Williams, that MCSP is not NP-complete under logtime-uniform  $\leq_m^{\text{AC}^0}$  reductions [22].

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## 46 **1 Introduction**

47 The Minimum Circuit Size Problem (MCSP) is the problem of determining whether a (given)  
48 Boolean function  $f$  (represented as a bitstring of length  $2^k$  for some  $k$ ) has a circuit of size  
49 at most a (given) threshold  $\theta$ . Although the complexity of MCSP has been studied for more  
50 than half a century (see [26, 21] for more on the history of the problem), recent interest in  
51 MCSP traces back to the work of Kabanets and Cai [21], who connected the problem to  
52 questions involving the natural proofs framework of Razborov and Rudich [24].

53 Since then, there has been a flurry of research on MCSP [3, 6, 4, 8, 19, 22, 18, 23, 17, 7,  
54 20, 5, 16], but still the exact complexity of MCSP remains unknown. MCSP is in NP, but it  
55 remains an important open question whether MCSP is NP-complete.

56 **MCSP is likely not in P.** There is good evidence for believing  $\text{MCSP} \notin \text{P}$ . If MCSP is in P,  
57 then there are no cryptographically-secure one-way functions [21]. Furthermore, [4] shows  
58 MCSP is hard for SZK under BPP-Turing reductions, so if  $\text{MCSP} \in \text{P}$  then  $\text{SZK} \subseteq \text{BPP}$ ,  
59 which seems unlikely.

60 **Showing MCSP is NP-hard would be difficult.** Murray and Williams [22] have shown that  
61 if MCSP is NP-hard under polynomial-time many-one reductions, then  $\text{EXP} \neq \text{ZPP}$ , which  
62 is a likely separation but one that escapes current techniques. Results from [4, 19, 22] also  
63 give various likely (but difficult to show) consequences for MCSP being hard under more  
64 restrictive forms of reduction. We note that it has been suggested that MCSP might well  
65 be complete for NP [20]. In this regard, it may also be relevant to note that  $\text{MCSP}^{\text{QBF}}$  is  
66 complete for PSPACE under ZPP-Turing reductions [3].

67 **MCSP is not hard for NP in limited settings.** Murray and Williams [22] show MCSP is  
68 not NP-hard under a certain type of “local” reductions computable in  $\text{TIME}(n^{0.49})$ . This is  
69 significant, since many well-known NP-complete problems are complete under local reductions  
70 computable in even logarithmic time. (A list of such problems is given in [22].)

71 **Many hardness results for MCSP also hold for approximate versions of MCSP.** In various  
72 settings, the power of MCSP to distinguish between circuits of size  $\theta$  and  $\theta + 1$  is not fully  
73 used. Rather, in [3, 9, 4, 25, 23, 20], the reduction succeeds assuming only that reliable  
74 answers are given to queries on instances of the form  $(T, \theta)$ , where either the truth table  
75  $T$  requires circuits of size  $\geq \theta = |T|/2$  or  $T$  can be computed by circuits of size  $\leq |T|^\delta$ , for  
76 some  $\delta > 0$ .

77 This is an appropriate time to call attention to one such reduction to approximations to  
78 MCSP. Corollary 6 of [23] shows that, for every  $\delta > 0$ , for every solution  $S$  to  $\text{MCSP}[n^\delta, n/2]$ ,  
79 for every set  $A \in \text{P/poly}$ , there is a  $c > 1$  and a set  $A'$  that differs from  $A$  on at most

80  $(1/2 - 1/n^c)2^n$  of the strings of each length  $n$ , such that  $A' \leq_{tt}^{AC^0} S$  via a reduction<sup>4</sup> that  
 81 makes only *one query*. (That is,  $A' \leq_{1-tt}^{AC^0} S$ .) Stated another way, any set in P/poly can  
 82 be “approximated” with just one query to a weak approximation of MCSP. (Changing the  
 83 solution  $S$  will yield a different set  $A'$ .)

84 **There is no known many-one hardness result for MCSP, but one is known for a related**  
 85 **problem.** MKTP, the minimum time-bounded Kolmogorov complexity problem, is loosely  
 86 the “program version” of MCSP. It is known [7] that MKTP is hard for DET under (non-  
 87 uniform)  $NC^0$  many-one reductions; it is conjectured that the same is true for MCSP.  
 88 Time-bounded Kolmogorov complexity is polynomially related to circuit complexity [3], so  
 89 one natural way to extend the hardness result of [7] from MKTP to MCSP would be to stretch  
 90 the very small gap given in the reduction of DET to MKTP.

## 91 1.1 Our Contributions, and Related Prior Work

92 We address the following questions raised by prior work:

- 93 ■ Can the non-hardness result of [22] be extended to more powerful reductions? Note that  
 94 it has been conjectured that MCSP is not NP-complete under uniform  $AC^0$  reductions  
 95 [22, 8].
- 96 ■ Can the conditional theorem of [7], establishing non-NP-hardness-of-approximation for  
 97 MCSP under cryptographic assumptions (for very weak approximations), be improved, to  
 98 show non-NP-hardness of MCSP with a smaller gap?
- 99 ■ Finally, can the result of [7], showing that MKTP is hard for DET under  $\leq_m^{AC^0}$  reductions,  
 100 be extended, to hold for MCSP as well, by increasing the gap?

101 We make progress on all of these questions by proving an impossibility result in the setting of  
 102  $\epsilon(\theta)$ -GapMCSP, which is the promise version of MCSP with a multiplicative  $\epsilon(\theta)$  gap where  $\theta$   
 103 is the threshold.

104 ► **Theorem 1.**  $PARITY \not\leq_m^{AC^0} \epsilon(\theta)$ -GapMCSP where  $\epsilon(\theta) = o(\theta)$ .

105 This is not the first work to describe non-hardness of approximation under  $AC^0$  reductions.  
 106 Arora [11] is credited by [1], with showing that no  $AC^0$  reduction  $f$  can have the property  
 107 that  $x \in PARITY$  implies  $f(x)$  has a very large clique, and  $x \notin PARITY$  implies  $f(x)$  has  
 108 only very small cliques. (In Section 3, we present a similar result for Max-3-SAT, so that the  
 109 reader can compare the techniques.) Our work differs from that of [11] in several respects.  
 110 Arora shows that  $AC^0$  reductions cannot prove very *strong* hardness of approximations for  
 111 a problem where strong inapproximability results are already known. We show that  $AC^0$   
 112 reductions cannot establish even very *weak* inapproximability results for MCSP. Also, our  
 113 techniques allow us to move beyond  $\leq_m^{AC^0}$  reductions, to consider  $AC^0$ -Turing reducibility.

114 To our knowledge, this is the first known non-hardness result for any variant of MCSP  
 115 under non-uniform  $AC^0$  reductions. While  $AC^0$  reductions are provably less powerful than  
 116 polynomial time reductions, most natural examples of NP-complete problem are easily seen  
 117 to be complete under  $AC^0$  (and even  $NC^0$ !) reductions [10].

118 It is shown in [7] that, if cryptographically-secure one-way functions exist, then  $\epsilon(n)$ -GapMCSP  
 119 is not hard for NP under P/poly-Turing reductions<sup>5</sup> for some  $\epsilon(n) = n^{o(1)}$ . Our result gives

<sup>4</sup> Although Corollary 6 of [23] does not mention the number of queries, inspection of the proof shows that only one query is performed.

<sup>5</sup> The problem  $\epsilon$ -GapMCSP is defined somewhat differently in [7] than here. See Section 2. Thus the form of  $\epsilon(n)$  looks different here than in [7].

120 a trade-off, where we reduce the gap dramatically but also weaken the type of reduction.  
 121 In particular, our results imply that if one-way functions exist, then  $\epsilon(n)$ -GapMCSP is  
 122 NP-intermediate under  $\leq_m^{\text{AC}^0}$  and  $\leq_{k\text{-tt}}^{\text{AC}^0}$  reductions, where  $\epsilon(n) = o(n)$ .

123 Finally, our work rules out one natural way to extend the MKTP hardness results to  
 124 MCSP. One might have hoped that the reduction given by [7] could be extended to a  
 125 larger gap and hence apply to MCSP (since MKTP and MCSP are polynomially related [3]).  
 126 However, we show that this is impossible.

127 All of the theorems that we state in terms of MCSP hold also for MKTP, with identical  
 128 proofs. For the sake of readability, we present the theorems and proofs only in terms of  
 129 MCSP.

## 130 2 Preliminaries

131 We use  $\setminus$  to denote set difference. For a natural number  $n$ , we let  $[n]$  denote the set  $\{1, \dots, n\}$ .

### 132 2.1 Defining MCSP

133 For any binary string  $T$  of length  $2^k$ , we define  $\text{CC}(T)$  to be the size of the smallest circuit  
 134 (using only NOT gates and AND and OR gates of fan-in 2) that computes the function given  
 135 by truth table  $T$  written in lexicographic order, where, for concreteness, circuit size is defined  
 136 to be the number of AND and OR gates, although our arguments work for other reasonable  
 137 notions of circuit size.

138 Throughout the paper, we use various approximate notions of the minimum circuit size  
 139 problem, given as follows:

140 ► **Definition 2** (Gap MCSP). For any function  $\epsilon : \mathbb{N} \rightarrow \mathbb{N}$ , We define  $\epsilon(n)$ -GapMCSP to be  
 141 the promise problem  $(Y, N)$  where

$$142 \quad Y := \{(T, \theta) \mid \text{CC}(T) < \epsilon(\theta)\}, \text{ and}$$

$$143 \quad N := \{(T, \theta) \mid \text{CC}(T) > \theta\},$$

145 where  $\theta$  is written in binary.

146 Note that this definition differs in minor ways from the way that  $\epsilon$ -GapMCSP was defined in  
 147 [7]. The definition presented here allows for finer distinctions than the definition that was  
 148 used in [7].

149 Our results for non-hardness under  $\leq_T^{\text{AC}^0}$  reductions are best stated in terms of a restricted  
 150 version of  $\epsilon$ -GapMCSP, where the thresholds are fixed, for inputs of a given size: This variant  
 151 of MCSP has been studied previously in [22, 17]; the analogous problem defined in terms of  
 152 KT-complexity is denoted  $R_{\text{KT}}$  in [3].

153 ► **Definition 3** (Parameterized Gap MCSP). For any functions  $\ell, g : \mathbb{N} \rightarrow \mathbb{N}$  such that  
 154  $\ell(n) \leq g(n)$ , We define the language  $\text{MCSP}[\ell, g]$  to be the promise problem  $(Y, N)$  where

$$155 \quad Y := \{T \mid \text{CC}(T) < \ell(|T|)\}, \text{ and}$$

$$156 \quad N := \{T \mid \text{CC}(T) > g(|T|)\}.$$

### 158 2.2 Complexity classes and Reductions

159 We assume the reader is familiar with basic complexity classes such as P and NP. As we  
 160 work extensively with non-uniform  $\text{NC}^0$  and  $\text{AC}^0$ , we refer to the text by Vollmer [27] for

161 background on these circuit classes. Throughout this paper, unless otherwise explicitly  
 162 mentioned, we refer to the non-uniform versions of these circuit classes.

163 Let  $\mathcal{C}$  be a class of circuits. For any languages  $A$  and  $B$ , we write  $A \leq_m^{\mathcal{C}} B$  if there is a  
 164 function  $f$  computed by a circuit family  $\{C_n\} \in \mathcal{C}$  such that  $f(x) \in B \iff x \in A$ . We  
 165 write  $A \leq_T^{\mathcal{C}} B$  if there is a circuit family in  $\mathcal{C}$  computing  $A$  with  $B$ -oracle gates. In particular,  
 166 since we are primarily concerned with  $\mathcal{C} = \text{AC}^0$ , we denote this as  $A \leq_T^{\text{AC}^0} B$ . We write  
 167  $A \leq_{\text{tt}}^{\text{AC}^0} B$  if there is an  $\text{AC}^0$  circuit family computing  $A$  with  $B$ -oracle gates, where there is  
 168 no directed path from any oracle gate to another, i.e. if the reduction is non-adaptive. If,  
 169 furthermore, the non-adaptive reduction has the property that each of the oracle circuits  
 170 contains at most  $k$  oracle gates, then we write  $A \leq_{k\text{-tt}}^{\text{AC}^0} B$ .

171 Let  $Y \subseteq \{0, 1\}^*$  and  $N \subseteq \{0, 1\}^*$  be disjoint. Then  $\Pi = (Y, N)$  is a *promise problem*. A  
 172 language  $L$  is a *solution* to a promise problem  $\Pi = (Y, N)$  if  $Y \subseteq L$  and  $N \cap L = \emptyset$ . For two  
 173 promise problems  $\Pi_1$  and  $\Pi_2$ , some type of reducibility  $r$  (many-one, truth table, or Turing),  
 174 and a circuit class  $\mathcal{C}$ , we say  $\Pi_1 \leq_r^{\mathcal{C}} \Pi_2$  if there is a *single* family of oracle circuits  $\{C_n\}$  in  $\mathcal{C}$   
 175 such that for every solution  $S_2$  of  $\Pi_2$ , there is a solution  $S_1$  of  $\Pi_1$  such that  $C_n$  computes an  
 176  $r$ -reduction from  $S_1$  to  $S_2$ .

## 177 2.3 Boolean Strings and Functions

178 For an  $x \in \{0, 1\}^n$  and a set of indices  $B \subseteq [n]$ , we let  $x^B$  denote the Boolean string obtained  
 179 by flipping the  $i$ th bit of  $x$  for each  $i \in B$ .

180 A *partial string* (or *restriction*) is an element of  $\{0, 1, ?\}^*$ . Define the *size* of a partial string  
 181  $p$  to be the number of bits in which it is  $\{0, 1\}$ -valued. We say a partial string  $p \in \{0, 1, ?\}^n$   
 182 *agrees* with a binary string  $x \in \{0, 1\}^n$  if they agree on all  $\{0, 1\}$ -valued bits. If  $x \in \{0, 1\}^n$   
 183 is a binary string and  $B \subseteq [n]$ , then  $x|_B$  denotes the partial string given by replacing the  $j$ th  
 184 bit of  $x$  with  $?$  for each  $j \in [n] \setminus B$ . We say a partial string  $p_1$  *extends* a partial string  $p_2$  if  
 185  $p_1$  is equal to  $p_2$  on all bits where  $p_2$  is  $\{0, 1\}$ -valued.

186 A *partial Boolean function* on  $n$  variables is a function  $f : I \rightarrow \{0, 1\}$  where  $I \subseteq \{0, 1\}^n$ .  
 187 For a promise problem  $\Pi = (Y, N)$  and  $n \in \mathbb{N}$ , we let  $\Pi|_n$  be the partial Boolean function that  
 188 decides membership in  $Y$  on instances of length  $n$  which satisfy the promise. (In particular,  
 189  $\Pi|_n : I := (Y \cup N) \cap \{0, 1\}^n \rightarrow \{0, 1\}$ .)

190 We will make use of two well-studied complexity measures on Boolean functions: block  
 191 sensitivity and certificate complexity. We refer the reader to a detailed survey by Hatami,  
 192 Kulkarni, and Pankratov [15] for background on these notions. For completeness, we provide  
 193 the definitions of the two measures that we need. In our context, we will use these measures  
 194 on partial Boolean functions. Let  $I \subseteq \{0, 1\}^n$  and let  $f : I \rightarrow \{0, 1\}$  be a partial Boolean  
 195 function. For an input  $x \in I$ , define the *block sensitivity of  $f$  at  $x$* , denoted  $bs(f, x)$ , to  
 196 be the maximum number of non-empty, disjoint sets  $B_1, \dots, B_k$  such that  $x^{B_i} \in I$  and  
 197  $f(x) \neq f(x^{B_i})$  for all  $i$ . (Here, by “ $f(y) \neq f(z)$ ” we require that  $f$  is defined at both  $y$  and  
 198  $z$ .) Define the *0-block sensitivity of  $f$*  be  $bs_0(f) := \max_{x: f(x)=0} bs(f, x)$ . For an input  $x \in I$ ,  
 199 define the *certificate complexity of  $f$  at  $x$* , denoted  $c(f, x)$ , to be the size of the smallest set  
 200  $B \subseteq [n]$  such that  $f(y) = f(x)$  for all  $y \in I$  that agree with  $x|_B$ . Define the *0-certificate*  
 201 *complexity of  $f$*  to be  $c_0(f) := \max_{x: f(x)=0} c(f, x)$ .

## 202 3 Prior Work

203 In this section, we present a result that is similar in spirit to a result reported by Arora in an  
 204 unpublished manuscript [11]. There, it was shown that there is no  $\text{AC}^0$ -computable function  
 205  $f$  with the property that  $x \in \text{PARITY}$  implies  $f(x)$  has a very large clique, and  $x \notin \text{PARITY}$

206 implies  $f(x)$  has only very small cliques. Here, in order to illustrate the techniques that were  
 207 employed in [11], we observe that no  $\text{AC}^0$  reduction can establish the known inapproximability  
 208 of Max-3-SAT [14].

209 ► **Proposition 4.** *Let  $0 < \epsilon < 1$ . No  $\text{AC}^0$  reduction  $f$  can have the property that  $x \in \text{PARITY}$   
 210 implies  $f(x) \in 3\text{-SAT}$ , and  $x \notin \text{PARITY}$  implies  $f(x)$  has at most an  $\epsilon$  fraction of the clauses  
 211 satisfied.*

212 **Proof.** By appealing to Lemma 9, we may assume that the function  $f$  is an  $\text{NC}^0$  reduction, as  
 213 in the proof of Theorem 10. Let  $d$  be the constant, such that each output bit of  $f(x)$  depends  
 214 on at most  $d$  bits of  $x$ , and let  $x \in \text{PARITY}$  have length  $n$ . Let  $f(x)$  consist of  $m$  clauses,  
 215 each encoded using  $c \log m$  bits for some constant  $c$  (which we can assume since the number  
 216 of clauses is polynomially-related to the number of variables). Then since  $|f(x)| = cm \log m$ ,  
 217 and each output bit depends on at most  $d$  input bits, there is some  $i \leq n$  such that the  $i$ -th  
 218 bit of  $x$  affects at most  $(dc \log m)/n$  output bits. Flipping the  $i$ -th bit of  $x$ , to obtain a new  
 219 string  $x' \notin \text{PARITY}$  can affect at most  $(dcm \log m)/n$  clauses. Since  $f(x) \in 3\text{-SAT}$ , there is  
 220 an assignment that satisfies at least  $m - (dcm \log m)/n$  clauses of  $f(x')$ . The theorem is  
 221 proved, by observing that  $m - (dcm \log m)/n > \epsilon m$  for all large  $m$ . ◀

## 222 4 Non-Hardness Under $\text{NC}^0$ Reductions

223 In this section, we prove our main lemmas, showing that problems that are  $\text{NC}^0$ -reducible to  
 224  $\epsilon$ -GapMCSP have bounded 0-block sensitivity and also have sublinear 0-certificate complexity.  
 225 Whenever we will have occasion to use these lemmas, it will be in situations when we are  
 226 able to assume that the  $\text{NC}^0$  reduction is computing a function  $f$  satisfying the condition  
 227 that there is a bound  $\gamma(n) > 0$  such that, for all  $n$ , there is a  $\theta \geq \gamma(n)$  such that, for all  $x$   
 228 of length  $n$ ,  $f(x)$  is of the form  $(T(x), \theta)$ . (In particular, the threshold  $\theta$  is the same for all  
 229 inputs of length  $n$ .) We will call such an  $\text{NC}^0$  reduction a  $\gamma$ -honest reduction.

230 ► **Lemma 5.** *Let  $\epsilon(\theta) = o(\theta)$ , and let  $\Pi = (Y, N)$  be a promise problem, where  $\Pi \leq_m^{\text{NC}^0}$   
 231  $\epsilon$ -GapMCSP via a  $\gamma$ -honest reduction  $f$  computed by an  $\text{NC}^0$  circuit family  $C_n$  of depth  $d$ ,  
 232 where  $\gamma(n) \geq \log \log n$ . Then there is an  $n_0$  (that depends only on  $\epsilon$  and  $d$ ) such that for all  
 233  $n \geq n_0$ , if  $N|_n \neq \emptyset$ , then  $bs_0(\Pi|_n) < s$ , where  $s$  is a constant that depends only on  $d$ .*

234 **Proof.** Let  $s = 2^{d+1} + 1$ . Since  $\epsilon(n) = o(n)$ , we can pick a constant  $r_0 > 4s$  such that  
 235  $\epsilon(r) < r/(2s)$  for all  $r \geq r_0$ .

236 Pick  $n_0 \geq 2^{r_0}$ , and let  $n \geq n_0$ .

237 For the sake of contradiction, suppose  $bs_0(\Pi|_n) \geq s$ , and let  $x \in N \cap \{0, 1\}^n$  be a 0-valued  
 238 instance with  $bs(\Pi|_n, x) \geq s$ . Then we can find disjoint sets  $B_1, \dots, B_s \subseteq [n]$  such that  
 239  $\Pi|_n(x^{B_j}) = 1$  for all  $j \in [s]$ . (That is, each  $x^{B_j}$  is in  $Y$ .)

240 Let  $f(x) = (T, \theta)$ , and note that  $\text{CC}(T) > \theta \geq \gamma(n)$  (since  $f$  is  $\gamma$ -honest). Since  $x \in N$   
 241 and  $C_n$  is a reduction to  $\epsilon$ -GapMCSP, we know that any circuit that computes the function  
 242 with truth table  $T$  has size at least  $\theta$ . For each  $j \in [s]$ , let  $T_j$  be the truth table produced by  
 243  $C_n$  on input  $x^{B_j}$ . Since  $x^{B_j} \in Y$ , we know that each  $T_j$  has a circuit  $D_j$  computing  $T_j$  of  
 244 size at most  $\epsilon(\theta)$ . (Here, it is important that the same threshold  $\theta$  is used for all inputs of  
 245 length  $n$ , by  $\gamma$ -honesty.)

246 We aim to build a “small” circuit computing  $T$ , which would contradict  $T$  having high  
 247 complexity. Our circuit  $C$  for computing  $T$  works as follows: on input  $i$ , output the majority  
 248 of  $D_1(i), \dots, D_s(i)$ . The size of  $C$  is at most  $s \cdot \epsilon(\theta) + 2s$  (each  $D_j$  has size at most  $\epsilon(\theta)$ , and  
 249 computing the majority of  $s$  bits can be done with a circuit of size  $2s$ ).

250 Now, we argue that this circuit correctly computes the  $i$ th bit of  $T$  for all  $i$ . Let  $i$  be  
 251 arbitrary. Recall the  $i$ th bit of  $T$  is defined to be the  $i$ th output of  $C_n(x)$ . Since  $C_n$  is a  
 252 depth  $d$  circuit of fan-in 2, the  $i$ th output of  $C_n$  depends on at most  $2^d$  input wires  $W \subseteq [m]$ .  
 253 Hence, on any input  $y$  such that  $y|_W = x|_W$ , we have that the  $i$ th output of  $C_n(y)$  equals  
 254 the  $i$ th output of  $C_n(x)$ . In particular, if  $B$  is disjoint from  $W$ , then the  $i$ th output of  
 255  $C_n(x^B)$  equals the  $i$ th output of  $C_n(x)$ . Since  $B_1, \dots, B_s$  are disjoint and  $|W| \leq 2^d$ , it follows  
 256 that at most  $2^d$  of the sets  $B_1, \dots, B_s$  have a non-empty intersection with  $W$ . Hence, since  
 257  $s = 2^{d+1} + 1$ , the majority of the sets  $B_1, \dots, B_s$  are disjoint with  $W$ , so the majority of the  
 258 circuits  $D_1, \dots, D_s$  when run on input  $i$  output the  $i$ th output of  $C_n(x)$ .

259 We thus have that  $\text{CC}(T) \leq s \cdot \epsilon(\theta) + 2s$ . But  $\theta > \gamma(n) \geq \log \log n$  (since the reduction  
 260  $f$  is  $\gamma$ -honest). By the choice of  $n_0$  we have  $\epsilon(\theta) < \theta/2s$  (since  $\theta > \log \log n \geq r_0$ ). Thus  
 261  $\text{CC}(T) \leq s \cdot \theta/2s + 2s = \theta/2 + 2s < \theta$  (since  $\theta > \log \log n > 4s$ ). This contradicts  $\text{CC}(T) > \theta$ .  
 262  $\blacktriangleleft$

263 **► Lemma 6.** *Let  $\epsilon(\theta) = o(\theta)$ , and let  $\Pi = (Y, N)$  be a promise problem, where  $\Pi \leq_{\text{m}}^{\text{NC}^0}$   
 264  $\epsilon$ -GapMCSP via a  $\gamma$ -honest reduction  $f$  computed by an  $\text{NC}^0$  circuit family  $C_n$  of depth  $\leq d$ ,  
 265 where  $\gamma(n) \geq \log \log n$ . Let  $k \geq 1$ . Then there is an  $n_0$  (that depends only on  $\epsilon, k$  and  $d$ )  
 266 such that for all  $n \geq n_0$ , if  $N|_n \neq \emptyset$ , then  $c_0(\Pi|_n) \leq n/k$ .*

267 **Proof.** Let  $p = 2^d$ , let  $p' = \binom{2pk+1}{p}$ , and let  $K$  be a constant that is specified later (and  
 268 which depends only on  $k$  and  $d$ ). Since  $\epsilon(\theta) = o(\theta)$ , we can pick a constant  $s_0$  such that  
 269  $\binom{p'}{2}\epsilon(s) + K < s$  for all  $s \geq s_0$ .

270 Pick  $n_0 \geq 2^{2s_0}$ , and let  $n \geq n_0$ .

271 For contradiction, suppose  $c_0(\Pi|_n) > n/k$ . Let  $x \in N \cap \{0, 1\}^n$  be a 0-valued instance  
 272 with  $c_0(\Pi|_n, x) > n/k$ . Then, for all  $S \subseteq [n]$  with  $|S| \leq n/k$ , there is an  $x_S$  such that  $x_S$   
 273 agrees with  $x|_S$  and such that  $\Pi|_n(x_S) = 1$ . (That is,  $x_S \in Y$ .)

274 Let  $(T, \theta)$  be the truth table produced by  $C_n$  on input  $x$ . Since  $x \in N$  and  $C_n$  is a  
 275 reduction, we know that any circuit computing  $T$  has size at least  $\theta$ .

276 For each  $S \subseteq [n]$  with size at most  $n/k$ , let  $T_S$  be the truth table produced by  $C_n$  on  
 277 input  $x_S$ . Since  $x_S \in Y$ , we know that  $T_S$  has a circuit  $D_S$  of size at most  $\epsilon(\theta)$ .

278 We aim to build a “small” circuit computing  $T$ , which would contradict that  $T$  has high  
 279 complexity. Recall that  $p = 2^d$ , and that  $p' = \binom{2pk+1}{p}$ .

280 **► Claim 6.1.** *There exists sets  $S_1, \dots, S_{p'} \subseteq [n]$  such that*

281  $\blacksquare$   $|S_i| \leq \frac{n}{2k}$  for all  $i$ , and

282  $\blacksquare$  for any set  $P \subseteq [n]$  with  $|P| \leq p$ , we have that  $P \subseteq S_i$  for some  $i$ .

283 **Proof.** (Proof of Claim) Pick sets  $V_1, \dots, V_{2pk+1} \subseteq [n]$  of size at most  $\frac{n}{2pk}$  whose union is  
 284  $[n]$ . Let  $\mathcal{V} = \{V_1, \dots, V_{2pk+1}\}$ . Now let each of  $S_1, \dots, S_{\binom{2pk+1}{p}}$  be the union of some  $p$  sets  
 285 chosen from  $\mathcal{V}$ . Each  $S_i$  has size at most  $p \cdot \frac{n}{2pk} = \frac{n}{2k}$ . Let  $P \subseteq [n]$  be an arbitrary set of size  
 286  $p$ . Since  $\bigcup_{V \in \mathcal{V}} V = [n]$ , every element  $e$  of  $P$  lies within some  $V \in \mathcal{V}$ . Then  $P$  is contained  
 287 in the union of some  $p$  sets from  $\mathcal{V}$ , so  $P \subseteq S_i$  for some  $i$ .  $\blacktriangleleft$

288 For each  $i \neq j \in [p']$ , let  $S_{i,j} = S_{j,i} = S_i \cup S_j$ . Note that  $|S_{i,j}| \leq n/k$ .

289 Our circuit  $C$  for computing  $T$  works as follows. On input  $r$ , for each  $i \in [p']$ , see if  
 290  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$ . If so, then output  $D_{S_{i,1}}(r)$ . The size of this circuit is at most  
 291  $\binom{p'}{2}\epsilon(\theta) + K$  (for some fixed constant  $K$ ) since each of the  $\binom{p'}{2}$   $D_{S_{i,j}}$  circuits has size at most  
 292  $\epsilon(\theta)$  and the other “unanimity” condition is a Boolean function on  $\binom{p'}{2}$  variables (of in fact  
 293 linear size) and so can be computed with circuit of some size  $K = O(p')^2$  (that depends only  
 294 on  $k$  and  $d$ ).

295 Now, we argue that  $C$  on input  $r$  correctly computes the  $r$ th bit of  $T$ . Let  $r \in [m]$  be  
 296 arbitrary. For convenience, on an input  $y \in \{0, 1\}^n$  let  $C_n^r(y)$  denote the  $r$ th output of  $C_n(x)$ .  
 297 Recall the  $r$ th bit of  $T$  is defined to be  $C_n^r(x)$ . We must show two things. First, that there  
 298 exists an  $i$  such that  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$  and second, that if for some  $i$  we have that  
 299  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$ , then  $D_{S_{i,1}}(r) = C_n^r(x)$ .

300 Since  $C_n$  has depth  $d$ , the  $r$ th output of  $C_n$  can depend on at most  $2^d$  input wires  
 301  $W \subseteq [m]$ . Hence, on any input  $y$  such that  $y|_W = x|_W$ , we have that  $C_n^r(y) = C_n^r(x)$ . Since  
 302  $p = 2^d$ , by the claim, there exists some  $S_{i^*}$  such that  $W \subseteq S_{i^*}$ . Therefore, for all  $j$  we have  
 303 that  $x_{S_{i^*,j}}|_W = x|_W$ , so  $D_{S_{i^*,j}}(r) \stackrel{\text{def}}{=} C_n^r(x_{S_{i^*,j}}) = C_n^r(x)$ .

304 This implies both things we must show. First, we know that  $D_{S_{i^*,1}}(r) = \dots = D_{S_{i^*,p'}}(r)$   
 305 since they each equal  $C_n^r(x)$ . Second, if for some  $i$ , we have that  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$ ,  
 306 then we also have that  $D_{S_{i,1}}(r) = D_{S_{i,i^*}}(r) = C_n^r(x)$ .

307 Thus we have that  $T$  can be computed by a circuit of size at most  $\binom{p'}{2}\epsilon(\theta) + K$ , which is  
 308 less than  $\theta$ , since  $\theta \geq \log \log n \geq s_0$ . This contradicts that  $\text{CC}(T) > \theta$ . ◀

309 Next, we present a variant of Lemma 6 stated in terms of a larger gap.

310 ► **Lemma 7.** *Let  $\epsilon(\theta) < \theta^\alpha$ , and let  $\Pi = (Y, N)$  be a promise problem, where  $\Pi \leq_{\text{m}}^{\text{NC}^0}$   
 311  $\epsilon$ -GapMCSP via a  $\gamma$ -honest reduction  $f$  computed by an  $\text{NC}^0$  circuit family  $C_n$  of depth  $\leq d$ ,  
 312 where  $\gamma(n) \geq n^\beta$ . Then for all  $\delta$  such that  $\delta_0 = \beta(1 - \alpha)/2^{d+1} > \delta > 0$  there is an  $n_0$  such  
 313 that for all  $n \geq n_0$ , if  $N|_n \neq \emptyset$ , then  $c_0(\Pi|_n) \leq n^{1-\delta}$ .*

314 **Proof.** Let  $p = 2^d$ . Suppose for contradiction that for some  $\delta > 0$  with  $\delta < \delta_0 = \beta(1 - \alpha)/2p$   
 315 we have  $c_0(\Pi|_n) > n^{1-\delta}$  infinitely often. We can follow the same argument (and notation)  
 316 as above, except we have to be more careful since  $n/c_0(\Pi|_n)$  is no longer a constant, and  
 317 hence  $p' = \binom{2pn/c_0(\Pi|_n)+1}{p} \leq \binom{2pn^\delta+1}{p} = O(n^{p\delta})$  is no longer constant. Since the unanimity  
 318 condition can be implemented by a circuit of size linear in  $\binom{p'}{2}$ , we can construct a circuit  
 319 computing truth table  $T$  of size

$$\epsilon(\theta) \cdot c_1 p'^2 = \epsilon(\theta) \cdot c_1 \binom{2pn^\delta + 1}{p}^2 \leq c_2 \epsilon(\theta) n^{2p\delta}$$

321 infinitely often for some positive constants  $c_1, c_2$ . By  $\gamma$ -honesty, we have  $\theta \geq \gamma(n) \geq n^\beta$ .  
 322 This implies that we can construct a circuit computing  $T$  of size

$$323 \quad c_2 \epsilon(\theta) n^{2p\delta} \leq c_2 \epsilon(\theta) (\theta^{1/\beta})^{2p\delta} < c_2 \theta^\alpha \theta^{2p\delta/\beta} < \theta$$

324 infinitely often. This is a contradiction since  $T$  is a truth table with circuit complexity  
 325  $\geq \theta$ . ◀

326 Next, we present a variant of Lemma 7, but restricted to the parameterized version of  
 327 MCSP. This variant is useful in extending our non-hardness results to  $\leq_{\text{T}}^{\text{AC}^0}$  reductions that  
 328 make  $n^{o(1)}$  queries.

329 ► **Lemma 8.** *Let  $\Pi = (Y, N)$  be a promise problem. If  $\Pi \leq_{\text{m}}^{\text{NC}^0}$  MCSP $[\ell, g]$  with  $\ell(m) =$   
 330  $o(g(m)/m^\delta)$  for some  $\delta > 0$ , then  $c_0(\Pi|_n) \leq n^\epsilon$  for some  $\epsilon < 1$  for all but finitely many  $n$   
 331 where  $N|_n \neq \emptyset$ , where  $\epsilon$  depends only on the depth of the  $\text{NC}^0$  circuit family and  $\delta$ .*

332 **Proof.** Suppose for contradiction that for all  $\epsilon < 1$  we have  $c_0(\Pi|_n) > n^\epsilon$  infinitely often.  
 333 Once again, we follow the same argument (and notation) as above. We can construct a  
 334 circuit computing truth table  $T$  of size

$$335 \quad \ell(m) \cdot c_1 p'^2 \leq \ell(m) \cdot c_1 \binom{2pn/c_0(\Pi|_n)+1}{p}^2 \leq \ell(m) c_1 \binom{2pn^{1-\epsilon}+1}{p}^2 \leq c_2 \ell(m) n^{2p(1-\epsilon)},$$



336 infinitely often for some positive constants  $c_1, c_2$ . (Here,  $m$  denotes the length of the truth  
 337 table  $T$ .) Note that since  $c_0(\Pi|_n) > n^\epsilon$ , we know  $\Pi|_n$  depends on  $\geq n^\epsilon$  input bits. Since the  
 338 circuit has depth at most  $d$  and gates of fan-in 2, we must have  $m \geq n^\epsilon/2^d$ . This implies  
 339 that we can construct a circuit computing  $T$  of size

$$340 \quad c_2 \ell(m) (n^\epsilon)^{\frac{2p(1-\epsilon)}{\epsilon}} \leq c_3 \ell(m) m^{\frac{2p(1-\epsilon)}{\epsilon}},$$

341 infinitely often for some positive constant  $c_3$ . Setting  $\epsilon = \frac{2p}{2p+\delta}$ , we have that  $T$  can be  
 342 computed by a circuit of size  $\leq c_3 \ell(m) \cdot m^\delta$  infinitely often, which is a contradiction since  $T$   
 343 is a truth table with circuit complexity  $\geq g(m) = \omega(\ell(m) \cdot m^\delta)$ . ◀

## 344 **5 Non-Hardness Under Many-One $\text{AC}^0$ Reductions**

345 To extend our non-hardness results to  $\text{AC}^0$  we make use of a version of a theorem given in  
 346 [1] that was first proved by [2, 12] that says randomly restricting a family of  $\text{AC}^0$  circuits  
 347 yields a family of  $\text{NC}^0$  circuits with high probability.

348 ▶ **Lemma 9** (Lemma 7 in [1]). *Let  $C_n$  be a family of  $n$ -input (multi-output)  $\text{AC}^0$  circuits.*  
 349 *Then there exists an  $a > 0$  such that for all  $n \in \mathbb{N}$  there exists a restriction of  $C_n$  to  $\Omega(n^{1/a})$*   
 350 *input variables that transforms  $C_n$  into a (multi-output)  $\text{NC}^0$  circuit.*

351 ▶ **Theorem 10.**  $\text{PARITY} \not\leq_m^{\text{AC}^0} \epsilon\text{-GapMCSP}$  where  $\epsilon(n) = o(n)$ .

352 **Proof.** Suppose not. Then there is a family of  $\text{AC}^0$  circuits  $C_n$  that many-one reduces  
 353  $\text{PARITY}$  to  $\epsilon\text{-GapMCSP}$ . By Lemma 9, there is an  $a$  such that we can transform each  $C_n$  into  
 354 an  $\text{NC}^0$  circuit  $D_m$  on  $m = \Omega(n^{1/a})$  variables, computing a reduction  $f$  from either  $\text{PARITY}$   
 355 or  $\neg\text{PARITY}$  (depending on the parity of the restriction) to  $\epsilon\text{-GapMCSP}$ . For each input  $x$   
 356 of length  $n$ ,  $f(x)$  is of the form  $(T(x), \theta(x))$ . Since there are only  $O(\log n)$  output gates in  
 357 the  $\theta(x)$  field, and each output gate depends on only  $O(1)$  input variables, all of the output  
 358 gates for  $\theta(x)$  can be fixed by setting only  $O(\log n)$  input variables. Furthermore, we claim  
 359 that there is some setting of these  $O(\log n)$  input variables, such that the resulting value of  
 360  $\theta$  is greater than  $\log n$ . If this were not the case, then the  $\leq_m^{\text{AC}^0}$  reduction of  $\text{PARITY}$  (or  
 361  $\neg\text{PARITY}$ ) on  $m = \Omega(n^{1/a})$  variables to  $\epsilon\text{-GapMCSP}$  has the property that  $\theta(x)$  is always  
 362 less than  $\log n$ . But, as in the proof of Theorem 1.3 of [22], instances of  $\text{MCSP}$  where  $\theta$  is  
 363  $O(\log n)$  can be solved with a CNF circuit of polynomial size. Thus this would give rise to  
 364  $\text{AC}^0$  circuits for  $\text{PARITY}$ , contradicting the well-known circuit lower bounds of [2, 12].

365 Thus we can set  $O(\log n)$  additional variables, and obtain circuits that reduce  $\text{PARITY}$  (or  
 366  $\neg\text{PARITY}$ ) on  $m' = m - O(\log n) = \Omega(n^{1/(a+1)})$  variables to  $\epsilon\text{-GapMCSP}$ , where furthermore  
 367 this reduction satisfies the hypotheses of Lemmas 5 and 6. But this contradicts the fact  
 368 that both  $\text{PARITY}$  and  $\neg\text{PARITY}$  on  $m'$  variables have 0-certificate complexity and 0-block-  
 369 sensitivity  $m'$ . ◀

## 370 **6 Non-Hardness Under Limited Turing $\text{AC}^0$ Reductions**

371 With some work, we can extend our non-hardness results beyond many-one reductions to  
 372 some limited Turing reductions.

373 In our proofs that deal with  $\text{AC}^0$ -Turing reductions, we will need to replace some oracle  
 374 gates with “equivalent” hardware – where this hardware will provide answers that are  
 375 consistent with *some* solution to the promise problem  $\epsilon\text{-GapMCSP}$ , but might not be consistent  
 376 with the particular solution that is provided as an oracle. In order to ensure that this doesn’t  
 377 cause any problems, we introduce the notion of a “sturdy”  $\text{AC}^0$ -Turing reduction:

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378 ► **Definition 11.** Let  $\Pi_1 = (Y_1, N_1)$  and  $\Pi_2 = (Y_2, N_2)$  be promise problems. A family  $\{C_n\}$   
 379 of  $\text{AC}^0$ -oracle circuits is a *sturdy*  $\leq_{\text{T}}^{\text{AC}^0}$  reduction from  $\Pi_1$  to  $\Pi_2$  if, for every pair of solutions  
 380  $S, S'$  to  $\Pi_2$ , every oracle gate  $G$  in  $C_n$ , and every  $x \in Y_1 \cup N_1$ , there is a solution  $S''$  such  
 381 that  $C_n^S(x) = C_n^{S''}(x) = C_n^S[G \rightarrow S'](x)$ , where the notation  $C_n^S[g \rightarrow S']$  refers to the circuit  
 382  $C_n$  with oracle  $S$ , but where the oracle gate  $G$  answers queries according to the solution  $S'$   
 383 instead of  $S$ .

384 ► **Lemma 12.** Let  $\Pi$  be any promise problem. If  $\Pi \leq_{\text{T}}^{\text{AC}^0} \epsilon(n)$ -GapMCSP via a reduction of  
 385 depth  $d$ , then  $\Pi \leq_{\text{T}}^{\text{AC}^0} \epsilon(n)$ -GapMCSP via a sturdy reduction of depth  $5d$ .

386 **Proof.** Briefly: We modify  $C_n$ , so that each oracle query is checked against queries that were  
 387 asked “earlier” in the computation, and the computation uses only the oracle answer from  
 388 the first time a query was asked. Since each query is given an answer that is consistent with  
 389 *some* solution, the new circuit gives the same answers as a new solution (which we denote as  
 390  $S''$ ). Since  $C_n$  is a reduction, we get the same answer when using  $S$  or  $S''$ .

391 Label the oracle gates  $G_1, \dots, G_k$  of  $C_n$  in topological order so that there is no directed  
 392 path from  $G_i$  to  $G_j$  for all  $i < j$ . Let  $q_i$  denote the query asked by  $G_i$ . Let  $C'_n$  be the circuit  
 393 where we replace any wire that leaves  $G_i$  by a wire connected to the following subfunction:

$$394 \quad G_i(x) \wedge \forall j < i (q_i \neq q_j)$$

$$395 \quad \text{or}$$

$$396 \quad \exists j < i (q_i = q_j \wedge \forall k < j (q_k \neq q_j) \wedge G_j(q_j))$$

397 The reader can verify that this additional circuitry can be implemented in depth five, and  
 398 thus  $C'_n$  has depth at most  $5d$ .

399 Now let  $S$  and  $S'$  be any two solutions to  $\epsilon(n)$ -GapMCSP. Consider any input  $x$  of length  
 400  $n$  that satisfies the promise of  $\Pi = (Y, N)$ . (That is,  $x \in Y \cup N$ .) Thus  $C_n^S(x) = C_n^{S'}(x)$ . Now  
 401 consider the the operation of  $C'_n(x)$  where some oracle gate  $G_i$  answers queries according to  
 402  $S'$ , rather than  $S$ . By construction, the behavior of this computation  $C_n^{S'}[G_i \rightarrow S']$  is the  
 403 same as that of  $C_n^{S''}(x)$ , where

$$404 \quad S''(q(x)) := \begin{cases} S(q(x)) & \text{if } q(x) \neq q_i(x), \text{ or if } q_i(x) = q_j(x) \text{ for some } j < i, \\ S'(q(x)) & \text{otherwise.} \end{cases}$$

405  $S''$  is also a solution to  $\epsilon$ -GapMCSP, since it agrees with either  $S$  or  $S'$  on each query,  
 406 and both  $S$  and  $S'$  agree on all queries that satisfy the promise. Thus  $C_n^{S'}[G_i \rightarrow S'](x) =$   
 407  $C_n^{S''}(x) = C_n^{S'}(x) = C_n^S(x)$ , since  $C_n$  is a reduction. Also,  $C_n^{S''}(x) = C_n^{S''}(x)$  and  $C_n^S(x) =$   
 408  $C_n^S(x)$ , since each oracle gate of  $C'_n$  answers each query the same way that  $C_n$  does, if the  
 409 same oracle is provided to each gate. Thus  $C_n^S(x) = C_n^{S''}(x) = C_n^S[G_i \rightarrow S'](x)$ . This  
 410 establishes that  $C'_n$  is computing a sturdy reduction. ◀

411

412 ► **Theorem 13.** Let  $k \geq 1$ , and let  $\epsilon(n) = o(n)$ . Then  $\text{PARITY} \not\leq_{k-\text{tt}}^{\text{AC}^0} \epsilon$ -GapMCSP.

413 **Proof.** We show that, for all  $k \geq 1$ , if  $\text{PARITY} \leq_{k-\text{tt}}^{\text{AC}^0} \epsilon$ -GapMCSP, then  $\text{PARITY} \leq_{(k-1)-\text{tt}}^{\text{AC}^0}$   
 414  $\epsilon$ -GapMCSP. This suffices, since a 0-truth-table reduction is simply an  $\text{AC}^0$  circuit computing  
 415  $\text{PARITY}$ , which cannot exist.

416 Given the oracle circuit family  $C_n$ , (where by Lemma 12 we may assume that the  $\leq_{k-\text{tt}}^{\text{AC}^0}$   
 417 reduction is sturdy), let  $D_n$  be the subcircuit consisting of those gates that are on a path  
 418 from an input variable to any oracle gate.  $D_n$  is simply an  $\text{AC}^0$  circuit on  $n$  variables, and  
 419 thus by Lemma 9, there is an  $a$  such that we can transform each  $D_n$  into an  $\text{NC}^0$  circuit

420  $E_m$  on  $m = \Omega(n^{1/a})$  variables. Replacing  $D_n$  by  $E_m$  in  $C_n$  yields a  $k$ -tt reduction  $F_m$  from  
 421 PARITY or  $\neg$ PARITY on  $m$  variables to  $\epsilon$ -GapMCSP. For any input length  $r$ , computing  
 422 PARITY on  $r$  bits can be accomplished by computing either PARITY or  $\neg$ PARITY on  $m$  bits,  
 423 where  $m$  is only polynomially-larger than  $r$ . Thus, without any loss of generality, we may  
 424 assume that our circuit family  $C_n$  has the property that the subcircuit  $D_n$  consisting of the  
 425 gates on a path from an input gate to an oracle gate consists of  $\text{NC}^0$  circuitry.

426 For each  $n$ , select the first oracle gate  $G_1$  (in some order). Consider the circuit family  $B_n$   
 427 consisting of all of the gates that are on a path from any input to  $G_1$ . Note that  $B_n$  is an  
 428  $\text{NC}^0$  circuit family computing some function  $f$ , where  $f(x)$  is of the form  $(T(x), \theta(x))$ . If it  
 429 is possible to set some of the input variables of  $B_n$  so that the output gates for  $\theta(x)$  take  
 430 on a value  $\theta \geq \log n$ , do so. Note that this leaves  $m = n - O(\log n)$  variables unset. (If it is  
 431 not possible to do so, then (as in the proof of Theorem 10),  $G_1$  can be replaced in  $C_n$  by a  
 432 polynomial-sized CNF circuit, thereby yielding a (sturdy)  $(k-1)$ -tt reduction, as desired.)  
 433 Call  $C'_m$  and  $B'_m$  the circuits that result by restricting the  $O(\log n)$  input variables of  $C_n$   
 434 and  $B_n$ , respectively.

435 We now aim to find a restriction of the inputs and a solution to  $\epsilon$ -GapMCSP such that  
 436 the output of  $G_1$  is constant. Define  $\Pi = (Y, N)$  to be the promise problem where for all  $x$   
 437 we put  $x \in Y$  if and only if  $\text{CC}(T(x)) \leq \epsilon(\theta)$  and  $x \in N$  if and only if  $\text{CC}(T(x)) > \theta$  where  
 438  $B'_m(x) = (T(x), \theta)$ . Observe that  $B'_m$  is a  $\log n$ -honest  $\text{NC}^0$  reduction of  $\Pi$  to  $\epsilon$ -GapMCSP.

439 There are two cases, depending on whether  $N = \emptyset$  or not. If  $N = \emptyset$ , then  $S' =$   
 440  $\{(T, \theta) : \text{CC}(T) < \epsilon(\theta)\}$  is a solution to  $\epsilon$ -GapMCSP such that every query to  $G_1$  is answered  
 441 affirmatively. By the sturdiness of the reduction,  $G_1$  can be replaced by a constant 1,  
 442 transforming  $C'_m$  into a  $(k-1)$ -tt reduction.

443 If  $N \neq \emptyset$ , then by Lemma 6, for all large  $m$   $c_0(\Pi|_m) \leq m/(k+1)$ . That is, there is a  
 444 way to set some  $r \leq m/(k+1)$  input variables, obtaining restriction  $\rho$ , and thereby obtain  
 445 a circuit  $B''_{m-r} = B'_m|_\rho$  on  $m-r$  variables, such that for any string  $z$  of length  $m-r$ ,  
 446  $\text{CC}(T_{m-r}(z)) > \epsilon(\theta)$  where  $B''_{m-r}(z) = (T_{m-r}(z), \theta)$ . That is, every query to  $G_1$  is answered  
 447 negatively in  $C'_m|_\rho$ , and hence  $G_1$  can be replaced by a constant 0, transforming  $C'_m|_\rho$  into a  
 448  $(k-1)$ -tt reduction from PARITY to  $\epsilon$ -GapMCSP on  $m-r = \Omega(n)$  variables in this case.

449 In both cases, we obtain a  $(k-1)$ -tt reduction from PARITY to  $\epsilon$ -GapMCSP, as desired.  $\blacktriangleleft$

450 With a larger gap, we can rule out nonadaptive reductions that use  $n^{o(1)}$  queries.

451 **► Theorem 14.** *Let  $\epsilon(n) < n^\alpha$  for some  $1 > \alpha > 0$ . Then for any circuit family  $\{C_n\}$   
 452 computing an  $\leq_{\text{tt}}^{\text{AC}^0}$  reduction of PARITY to  $\epsilon$ -GapMCSP, there is a  $\delta > 0$  such that, for all  
 453 large  $n$ ,  $\{C_n\}$  makes at least  $n^\delta$  queries.*

454 **Proof.** Let  $\{C_n\}$  be a circuit family computing an  $\leq_{\text{tt}}^{\text{AC}^0}$  reduction of PARITY to  $\epsilon$ -GapMCSP.  
 455 By Lemma 12 we may assume that each  $C_n$  is sturdy. As in the proof of the preceding  
 456 theorem, we assume without loss of generality that  $C_n$  has the property that the subcircuit  
 457  $D_n$  consisting of those gates that lie on paths from input gates to oracle gates consists of  
 458  $\text{NC}^0$  circuitry of depth  $d$ . (We will assume without loss of generality that, if the gates in  $D_n$   
 459 are removed from  $C_n$ , the depth of the circuit that remains is also at most  $d$ . Otherwise, let  
 460  $d$  be the maximum of these two constants.)

461 We will show that, for all large  $n$ ,  $C_n$  contains at least  $n^\delta$  oracle gates  $G_1, G_2, \dots, G_t$ ,  
 462 where  $\delta$  is chosen to be less than  $(1-\alpha)/9d2^{d+1}$ . For the sake of a contradiction, assume  
 463 that  $t < n^\delta$ .

464 As in the proof of the preceding theorem, we construct a sequence of restrictions (one  
 465 for each oracle gate), so that when the input bits of  $C_n$  are set according to the restrictions,  
 466 each oracle gate either has a very small threshold  $\theta$ , or else it can be replaced by a constant.

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467 In this way, we transform  $C_n$  into a circuit on  $m \geq n/2$  input bits where each oracle gate  
 468  $G_i$  has a threshold  $\theta_i < n^{1/3d}$ . Replacing each such oracle gate by a CNF of size  $2^{O(n^{1/3d})}$   
 469 (as in the proof of the preceding theorem) results in an  $\text{AC}^0$  circuit of depth at most  $d + 1$   
 470 computing PARITY, in contradiction to the lower bound of [13]. Details follow.

471 Our argument proceeds in  $t$  stages, where oracle gate  $G_i$  is considered in stage  $i$ . At the  
 472 start of stage  $i$  we have a partial restriction  $\rho_{i-1}$  that has at most  $(i-1)n^{1-2\delta}$  bits set. Here  
 473 is a detailed description of stage  $i$ :

474 Consider the circuit family  $B_n$  consisting of all of the gates that are on a path from any  
 475 input to  $G_i$ . Note that  $B_n$  is an  $\text{NC}^0$  circuit family computing some function  $f_i$ , where  $f_i(x)$   
 476 is of the form  $(T_i(x), \theta_i(x))$ . If for all  $x$  that agree with  $\rho_{i-1}$ ,  $\theta_i(x) < n^{1/(3d)}$ , then stage  
 477  $i$  is done; set  $\rho_i = \rho_{i-1}$  and go on to the next stage. Otherwise, there is a way to set an  
 478 additional  $O(\log n)$  additional variables, thereby extending  $\rho_{i-1}$  to obtain a new restriction  
 479  $\rho'_i$ , so that for all  $x$  which agree with  $\rho'_i$ ,  $\theta_i(x)$  takes on a constant value  $\theta_i \geq n^{1/(3d)}$ .

480 We now aim to find a restriction of the inputs and a solution to  $\epsilon$ -GapMCSP such that  
 481 the output of  $G_i$  is constant. Define  $\Pi_i = (Y_i, N_i)$  to be the promise problem where for  
 482 all  $x$  that agree with  $\rho'_i$  we put  $x \in Y_i$  if and only if  $\text{CC}(T_i(x)) \leq \epsilon(\theta_i)$  and  $x \in N_i$  if and  
 483 only if  $\text{CC}(T_i(x)) > \theta_i$  where  $B_n(x) = (T_i(x), \theta_i)$ . Observe that  $B_n$  is a  $n^{1/(3d)}$ -honest  $\text{NC}^0$   
 484 reduction of  $\Pi_i$  to  $\epsilon$ -GapMCSP.

485 There are two cases, depending on whether  $N_i = \emptyset$  or not. If  $N_i = \emptyset$ , then  $S = \{(T, \theta) :$   
 486  $\text{CC}(T) \leq \theta\}$  is a solution to  $\epsilon$ -GapMCSP such that every query to  $G_i$  is answered affirmatively.  
 487 By the sturdiness of the reduction, the output of  $G_i$  can be replaced by the constant 1, and  
 488 let  $\rho_i = \rho'_i$ .

489 If  $N_i \neq \emptyset$ , then by Lemma 7, for all large  $n$ ,  $c_0(\Pi_i|_{\rho'_i}) \leq n^{1-3\delta}$ . (The conditions of  
 490 Lemma 7 are satisfied, since  $(1/3d)(1-\alpha)/2^{d+1} > 3\delta$ .) That is, there is a way to set  
 491 at most  $n^{1-3\delta}$  additional variables, thereby extending  $\rho'_i$  to obtain a new restriction  $\rho_i$ ,  
 492 such that for any string  $x$  of length  $n$  that agrees with  $\rho_i$ ,  $\text{CC}(T_i(x)) > \epsilon(\theta_i)$ . Therefore,  
 493  $S = \{(T, \theta) : \text{CC}(T) \leq \epsilon(\theta)\}$  is a solution to  $\epsilon$ -GapMCSP such that every query to  $G_i$  is  
 494 answered negative. Hence, by the sturdiness of the reduction, gate  $G_i$  can be replaced by a  
 495 constant 0.

496 This completes stage  $i$ . Note that, in obtaining  $\rho_i$  from  $\rho_{i-1}$  we set an additional  
 497  $O(\log n) + n^{1-3\delta} < n^{1-2\delta}$  variables.

498 Since  $t < n^\delta$ , we have that  $\rho_t$  has  $m \geq n - tn^{1-2\delta} > n - n^\delta n^{1-2\delta} = n - n^{1-\delta} > n/2$  unset  
 499 variables. Let  $C''_m$  be the circuit  $C_n|_{\rho_t}$ . Each oracle gate in  $C''_m$  has the property that the  
 500 threshold that is computed is always no more than  $n^{1/3d}$ . Since the reduction is sturdy, the  
 501 circuit still behaves correctly if each oracle gate is replaced by a circuit that computes MCSP  
 502 exactly, and (as in the proof of Theorem 1.3 of [22]), instances of MCSP where  $\theta$  is bounded  
 503 by  $n^{1/3d}$  can be computed by a CNF of size  $2^{O(n^{1/3d})}$ . Replacing each oracle gate by such a  
 504 CNF yields a circuit of depth at most  $d + 1$ , of size  $2^{O(n^{1/3d})}$ , computing PARITY, thereby  
 505 violating the lower bound established in [13].  $\blacktriangleleft$

506 If we consider the parameterized version of MCSP, rather than  $\epsilon$ -GapMCSP, we obtain  
 507 non-hardness even under  $\leq_{\text{T}}^{\text{AC}^0}$  reductions.

508 **► Theorem 15.** *Let  $\ell(m) = o(g(m)/m^\delta)$  for some  $1 > \delta > 0$ . Then for any circuit family*  
 509  *$\{C_n\}$  computing an  $\leq_{\text{T}}^{\text{AC}^0}$  reduction of PARITY to MCSP $[\ell, g]$ , there is an  $\epsilon > 0$  such that,*  
 510 *for all large  $n$ ,  $\{C_n\}$  makes at least  $n^\epsilon$  queries.*

511 **Proof.** Define the *oracle depth* of a gate  $G$  to be the largest number of oracle gates on any  
 512 directed path ending with  $G$ .

513 Let  $\{C_n\}$  be a circuit family computing an  $\leq_T^{\text{AC}^0}$  reduction of PARITY to  $\text{MCSP}[\ell, g]$ . As  
 514 above, we may assume that each  $C_n$  is sturdy, and that the subcircuit  $D_n$  consisting of those  
 515 gates at oracle depth 1 consists of  $\text{NC}^0$  circuitry of depth at most  $d$ . Let  $k$  be the maximum  
 516 oracle depth of any gate in  $\{C_n\}$ .

517 Similar to the proof of the preceding theorem, we construct a sequence of  $t$  restrictions  
 518  $\rho_1, \dots, \rho_t$ , so that in  $C_n|_{\rho_i}$  the first  $i$  gates  $G_1, \dots, G_i$  can be replaced a constant. In this  
 519 way, we transform  $C_n$  into a circuit on  $n' \geq n/2$  input bits of oracle depth  $k - 1$ .

520 We will first show that there is a value  $\epsilon > 0$  (specified later) such that if  $C_n$  does not  
 521 have at least  $n^\epsilon$  gates at oracle depth 1, then  $C_n$  can be replaced by an  $\leq_T^{\text{AC}^0}$  reduction of  
 522 oracle depth  $k - 1$ , by eliminating all of the oracle gates  $G_1, \dots, G_t$  at oracle depth 1.

523 Our argument proceeds in  $t$  stages, where oracle gate  $G_i$  is considered in stage  $i$ . At the  
 524 start of stage  $i$  we have a partial restriction  $\rho_{i-1}$  that has at most  $(i - 1)n^{1-2\epsilon}$  bits set. Here  
 525 is a detailed description of stage  $i$ :

526 Consider the circuit family  $B_n$  consisting of all of the gates that are on a path from any  
 527 input to  $G_i$ . Note that  $B_n$  is an  $\text{NC}^0$  circuit family computing some function  $f_i(x) = T_i(x)$ .  
 528 Let  $m = |T_i(x)|$ .

529 We now aim to find a restriction of the inputs and a solution to  $\text{MCSP}[\ell, g]$  for which the  
 530 output of  $G_i$  is constant. Define  $\Pi_i = (Y_i, N_i)$  to be the promise problem where for all  $x$   
 531 that agree with  $\rho_{i-1}$  we put  $x \in Y_i$  if and only if  $\text{CC}(T_i(x)) \leq \ell(m)$  and  $x \in N_i$  if and only  
 532 if  $\text{CC}(T_i(x)) > g(m)$ . Observe that  $B_n$  is an  $\text{NC}^0$  reduction of  $\Pi_i$  to  $\epsilon\text{-GapMCSP}$ .

533 There are two cases, depending on whether  $N = \emptyset$  or not. If  $N = \emptyset$ , then  $S = \{T : \text{CC}(T) \leq g(|T|)\}$   
 534 is a solution to  $\text{MCSP}[\ell, g]$  such that every query to  $G_i$  is answered  
 535 affirmatively. By the sturdiness of the reduction, the output of  $G_i$  can be replaced by the  
 536 constant 1, and we let  $\rho_i = \rho_{i-1}$ .

537 If  $N \neq \emptyset$ , then, by Lemma 8, for all large  $n$ ,  $c_0(\Pi_i|_{\rho_{i-1}}) \leq n^{\epsilon'}$  for some  $\epsilon' < 1$  that  
 538 depends only on  $d$  and  $\delta$ . That is, there is a way to set at most  $n^{\epsilon'}$  additional variables,  
 539 thereby extending  $\rho_{i-1}$  to obtain a new restriction  $\rho_i$ , such that for any string  $x$  of length  
 540  $n$  that agrees with  $\rho_i$ ,  $\text{CC}(T_i(x)) > \ell(m)$ . Thus,  $S = \{T : \text{CC}(T) \leq \ell(m)\}$  is a solution to  
 541  $\text{MCSP}[\ell, g]$  such that every query to  $G_i$  is answered negatively. Therefore, by the sturdiness  
 542 of the reduction, gate  $G_i$  can be replaced by a constant 1.

543 This completes stage  $i$ . Note that, in obtaining  $\rho_i$  from  $\rho_{i-1}$  we set an additional  $n^{\epsilon'}$   
 544 variables.

545 It is now time to set the constant  $\epsilon$  to be  $1 - (\epsilon'/2)$ .

546 Since  $t < n^\epsilon$ , we have that  $\rho_t$  has  $r \geq n - tn^{\epsilon'} = n - n^{1-(\epsilon'/2)}n^{\epsilon'} = n - n^{1-(\epsilon'/2)} > n/2$   
 547 unset variables.

548 A minor complication arises, when we want to repeat this argument, to reduce the oracle  
 549 depth to  $k - 2$ , etc. Namely, the constant  $\epsilon'$  depends on the depth  $d$  of the  $\text{NC}^0$  circuitry  
 550 that feeds into the oracle gates at the bottom level of  $C_n$ .  $C_n|_{\rho_t}$  has oracle depth  $k - 1$ , as  
 551 desired, but it now has  $\text{AC}^0$  circuitry feeding into the lowest level of oracle gates, and when  
 552 we appeal to Lemma 9 to apply a random restriction to convert that  $\text{AC}^0$  circuitry to  $\text{NC}^0$   
 553 circuitry, the depth of the  $\text{NC}^0$  circuitry increases to a depth that we can denote  $d_2$ . This  
 554 problem is resolved by observing that the choice of  $\epsilon'$  in Lemma 8 is monotone in the depth  
 555  $d$ . Thus, if we carry out the argument above, but pick  $\epsilon'$  using the parameter  $d_2$  instead of  
 556  $d$  when we appeal to Lemma 8, and then repeat the argument to reduce the oracle depth  
 557 to  $k - 2$ , the parameters still work out. If we let  $d_3$  be the depth of the  $\text{NC}^0$  circuitry that  
 558 results by starting with  $C_n$  with depth- $d$   $\text{NC}^0$  circuitry at the bottom, eliminating lowest  
 559 level of oracle gates and applying a random restriction to obtain a circuit family of oracle  
 560 depth  $k - 1$  with  $\text{NC}^0$  circuitry of depth  $d_2$  at the bottom, and then repeating the process to

561 obtain a circuit family of oracle depth  $k - 2$  with  $\text{NC}^0$  circuitry of depth  $d_3$  at the bottom,  
 562 then the argument above is sufficient to obtain a circuit family of depth  $k - 3$ , etc. Thus,  
 563 there is a choice of  $\epsilon'$  that suffices to convert an arbitrary  $\leq_{\text{T}}^{\text{AC}^0}$  reduction of oracle depth  
 564  $k$  (with fewer than  $n^\epsilon$  oracle gates) to an  $\text{AC}^0$  circuit computing parity on  $n^{\Omega(1)}$  input bits,  
 565 thereby obtaining the desired contradiction. ◀

## 566 7 Open Questions

567 There remain several open questions. The true complexity of MCSP remains a mystery.  
 568 We have made progress in understanding the hardness of an approximation to MCSP, but  
 569 how far can Theorem 10 be extended? Can we prove the result for general truth-table  
 570 and Turing reductions? Can we reduce the gap in the theorem to some constant factor  
 571 approximations? Does the impossibility result hold when  $\text{AC}^0$  is replaced with, say,  $\text{AC}^0[2]$   
 572 many-one reductions? Does the DET-hardness of MKTP [7] also hold for MCSP, given that  
 573 we have ruled out any large gap reduction?

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