

# 1 The Non-Hardness of Approximating Circuit Size

2 **Eric Allender**<sup>1</sup>

3 Rutgers University, Piscataway, NJ, USA  
4 allender@cs.rutgers.edu

5 **Rahul Ilango**<sup>2</sup>

6 Rutgers University, Piscataway, NJ, USA  
7 rahul.ilango@rutgers.edu

8 **Neekon Vafa**<sup>3</sup>

9 Harvard University, Cambridge, MA, USA  
10 nvafa@college.harvard.edu

## 11 — Abstract —

12 The Minimum Circuit Size Problem (MCSP) has been the focus of intense study recently; MCSP  
13 is hard for SZK under rather powerful reductions [4], and is provably not hard under “local”  
14 reductions computable in  $\text{TIME}(n^{0.49})$  [24]. The question of whether MCSP is NP-hard (or indeed,  
15 hard even for small subclasses of P) under some of the more familiar notions of reducibility (such  
16 as many-one or Turing reductions computable in polynomial time or in  $\text{AC}^0$ ) is closely related to  
17 many of the longstanding open questions in complexity theory [7, 8, 18, 19, 20, 22, 24].

18 All prior hardness results for MCSP hold also for computing somewhat weak approximations  
19 to the circuit complexity of a function [3, 4, 9, 18, 23, 29].<sup>4</sup> Some of these results were proved  
20 by exploiting a connection to a notion of time-bounded Kolmogorov complexity (KT) and the  
21 corresponding decision problem (MKTP). More recently, a new approach for proving improved  
22 hardness results for MKTP was developed [5, 7], but this approach establishes only hardness of  
23 extremely good approximations of the form  $1 + o(1)$ , and these improved hardness results are not  
24 yet known to hold for MCSP. In particular, it is known that MKTP is hard for the complexity  
25 class DET under nonuniform  $\leq_m^{\text{AC}^0}$  reductions, implying MKTP is not in  $\text{AC}^0[p]$  for any prime  
26  $p$  [7]. It was still open if similar circuit lower bounds hold for MCSP. (But see [13, 21].) One  
27 possible avenue for proving a similar hardness result for MCSP would be to improve the hardness  
28 of approximation for MKTP beyond  $1 + o(1)$  to  $\omega(1)$ , as KT-complexity and circuit size are  
29 polynomially-related. In this paper, we show that this approach cannot succeed.

30 More specifically, we prove that PARITY does not reduce to the problem of computing super-  
31 linear approximations to KT-complexity or circuit size via  $\text{AC}^0$ -Turing reductions that make  $O(1)$   
32 queries. This is significant, since approximating any set in P/poly  $\text{AC}^0$ -reduces to just *one* query  
33 of a much worse approximation of circuit size or KT-complexity [26]. For weaker approximations,  
34 we also prove non-hardness under more powerful reductions. Our non-hardness results are un-  
35 conditional, in contrast to conditional results presented in [7] (for more powerful reductions, but  
36 for much worse approximations). This highlights obstacles that would have to be overcome by  
37 any proof that MKTP or MCSP is hard for NP under  $\text{AC}^0$  reductions. It may also be a step  
38 toward confirming a conjecture of Murray and Williams, that MCSP is not NP-complete under  
39 logtime-uniform  $\leq_m^{\text{AC}^0}$  reductions.

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<sup>4</sup> Subsequent to our work, a new hardness result has been announced [21] that relies on more exact size computations.

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43 bounded Kolmogorov complexity

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## 48 **1 Introduction**

49 The Minimum Circuit Size Problem (MCSP) is the problem of determining whether a (given)  
50 Boolean function  $f$  (represented as a bitstring of length  $2^k$  for some  $k$ ) has a circuit of size  
51 at most a (given) threshold  $\theta$ . Although the complexity of MCSP has been studied for more  
52 than half a century (see [30, 23] for more on the history of the problem), recent interest in  
53 MCSP traces back to the work of Kabanets and Cai [23], who connected the problem to  
54 questions involving the natural proofs framework of Razborov and Rudich [28].

55 Since then, there has been a flurry of research on MCSP [3, 6, 4, 8, 20, 24, 19, 26, 18, 7,  
56 22, 5, 17], but still the exact complexity of MCSP remains unknown. MCSP is in NP, but it  
57 remains an important open question whether MCSP is NP-complete.

58 **MCSP is likely not in P.** There is good evidence for believing  $\text{MCSP} \notin \text{P}$ . If MCSP is in P,  
59 then there are no cryptographically-secure one-way functions [23]. Furthermore, [4] shows  
60 MCSP is hard for SZK under BPP-Turing reductions, so if  $\text{MCSP} \in \text{P}$  then  $\text{SZK} \subseteq \text{BPP}$ ,  
61 which seems unlikely.

62 **Showing MCSP is NP-hard would be difficult.** Murray and Williams [24] have shown that  
63 if MCSP is NP-hard under polynomial-time many-one reductions, then  $\text{EXP} \neq \text{ZPP}$ , which  
64 is a likely separation but one that escapes current techniques. Results from [4, 20, 24] also  
65 give various likely (but difficult to show) consequences for MCSP being hard under more  
66 restrictive forms of reduction. We note that it has been suggested that MCSP might well  
67 be complete for NP [22]. In this regard, it may also be relevant to note that  $\text{MCSP}^{\text{QBF}}$  is  
68 complete for PSPACE under ZPP-Turing reductions [3].

69 **The hardness of both MCSP and approximating MCSP have important consequences for  
70 complexity theory.** We have already mentioned that if MCSP is NP-hard under polynomial-  
71 time reductions, then  $\text{EXP} \neq \text{ZPP}$  [24]. In a recent development, Hirahara [17] shows that if  
72 a certain approximation to MCSP is NP-hard, then  $\text{NP} \neq \text{BPP}$  implies that NP is difficult  
73 to compute even on average. In another recent development, [27] and [25] show that even  
74 seemingly meager  $n^{1+\epsilon}$  circuit lower bounds on certain approximations to MCSP imply results  
75 such as  $\text{NP} \not\subseteq \text{P/poly}$ .

76 **MCSP is not hard for NP in limited settings.** Murray and Williams [24] show MCSP is  
77 not NP-hard under a certain type of “local” reductions computable in  $\text{TIME}(n^{0.49})$ . This is  
78 significant, since many well-known NP-complete problems are complete under local reductions  
79 computable in even logarithmic time. (A list of such problems is given in [24].)

80 **Many hardness results for MCSP also hold for approximate versions of MCSP.** In various  
81 settings, the power of MCSP to distinguish between circuits of size  $\theta$  and  $\theta + 1$  is not fully

82 used. Rather, in [3, 9, 4, 29, 26, 22], the reduction succeeds assuming only that reliable  
 83 answers are given to queries on instances of the form  $(T, \theta)$ , where either the truth table  
 84  $T$  requires circuits of size  $\geq \theta = |T|/2$  or  $T$  can be computed by circuits of size  $\leq |T|^\delta$ , for  
 85 some  $\delta > 0$ .

86 This is an appropriate time to call attention to one such reduction to approximations to  
 87 MCSP. Corollary 6 of [26] shows that, for every  $\delta > 0$ , for every solution  $S$  to  $\text{MCSP}[n^\delta, n/2]$ ,  
 88 for every set  $A \in \text{P/poly}$ , there is a  $c > 1$  and a set  $A'$  that differs from  $A$  on at most  
 89  $(1/2 - 1/n^c)2^n$  of the strings of each length  $n$ , such that  $A' \leq_{\text{tt}}^{\text{AC}^0} S$  via a reduction<sup>5</sup> that  
 90 makes only *one query*. (That is,  $A' \leq_{1-\text{tt}}^{\text{AC}^0} S$ .) Stated another way, any set in  $\text{P/poly}$  can  
 91 be “approximated” with just one query to a weak approximation of MCSP. (Changing the  
 92 solution  $S$  will yield a different set  $A'$ .)

93 **There is no known many-one hardness result for MCSP, but one is known for a related**  
 94 **problem.** MKTP, the minimum time-bounded Kolmogorov complexity problem, is loosely  
 95 the “program version” of MCSP. It is known [7] that MKTP is hard for DET under (non-  
 96 uniform)  $\text{NC}^0$  many-one reductions; it is conjectured that the same is true for MCSP.  
 97 Time-bounded Kolmogorov complexity is polynomially-related to circuit complexity [3], so  
 98 one natural way to extend the hardness result of [7] from MKTP to MCSP would be to stretch  
 99 the very small gap given in the reduction of DET to MKTP.

## 100 1.1 Our Contributions, and Related Prior Work

101 We address the following questions based on prior work:

- 102 1. Can the non-hardness result of Murray and Williams [24] be extended to more powerful  
 103 reductions? Both [24] and [8] conjecture that MCSP is not NP-complete under uniform  
 104  $\text{AC}^0$  reductions.
- 105 2. Can the conditional theorem of [7], establishing the non-NP-hardness of very weak  
 106 approximations to MCSP under cryptographic assumptions, be improved, to show non-  
 107 NP-hardness of MCSP for stronger approximations?
- 108 3. The worst-case to average case reduction given by [17] is conditional on the NP-hardness  
 109 of a certain approximation to MCSP. Can we say anything about the NP-hardness of this  
 110 problem in, say, the context of limited reductions?
- 111 4. Finally, can the result of [7], showing that MKTP is hard for DET under  $\leq_{\text{m}}^{\text{AC}^0}$  reductions,  
 112 be extended, to hold for MCSP as well, by increasing the gap?

113 Our results give the following replies to these questions:

- 114 1. For superlinear approximations to MCSP, one can, in fact, give much stronger non-  
 115 hardness results than [24], showing non-hardness even under non-uniform  $\text{AC}^0$  many-one  
 116 reductions and even limited types of  $\text{AC}^0$  Turing reductions. To our knowledge, this is  
 117 the first known non-hardness result for any variant of MCSP under non-uniform  $\text{AC}^0$   
 118 reductions. While  $\text{AC}^0$  reductions are provably less powerful than polynomial time  
 119 reductions, most natural examples of NP-complete problem are easily seen to be complete  
 120 under  $\text{AC}^0$  (and even  $\text{NC}^0$ !) reductions [10].
- 121 2. [7] shows that, if cryptographically-secure one-way functions exist, then  $\epsilon(n)$ -GapMCSP is  
 122 not hard for NP under P/poly-Turing reductions<sup>6</sup> for some  $\epsilon(n) = n^{o(1)}$ . Our result gives

<sup>5</sup> Although Corollary 6 of [26] does not mention the number of queries, inspection of the proof shows that only one query is performed.

<sup>6</sup> The problem  $\epsilon$ -GapMCSP is defined somewhat differently in [7] than here. See Section 2. Thus the form of  $\epsilon(n)$  looks different here than in [7].

123 a trade-off, where we reduce the gap dramatically but also weaken the type of reduction.  
 124 In particular, our results imply that if one-way functions exist, then  $\epsilon(n)$ -GapMCSP is  
 125 NP-intermediate under  $\leq_m^{\text{AC}^0}$  and  $\leq_{k\text{-tt}}^{\text{AC}^0}$  reductions, where  $\epsilon(n) = o(n)$ .

126 3. We show that the approximation to MCSP considered by [17] is actually *not* NP-hard  
 127 under  $\text{AC}^0$  reductions.

128 4. Our work rules out one natural way to extend the MKTP hardness results to MCSP. One  
 129 might have hoped that the reduction given by [7] could be extended to a larger gap and  
 130 hence apply to MCSP (since MKTP and MCSP are polynomially related [3]). However,  
 131 we show that this is impossible.

132 Our main theorem is an impossibility result in the setting of  $\epsilon(\theta)$ -GapMCSP, which is the  
 133 promise version of MCSP with a multiplicative  $\epsilon(\theta)$  gap where  $\theta$  is the threshold.

134 ► **Theorem 1.**  $\text{PARITY} \not\leq_m^{\text{AC}^0} \epsilon(\theta)\text{-GapMCSP}$  where  $\epsilon(\theta) = o(\theta)$ .

135 We note that this is not the first work to describe non-hardness of approximation under  
 136  $\text{AC}^0$  reductions. Arora [11] is credited by [1], with showing that no  $\text{AC}^0$  reduction  $f$  can  
 137 have the property that  $x \in \text{PARITY}$  implies  $f(x)$  has a very large clique, and  $x \notin \text{PARITY}$   
 138 implies  $f(x)$  has only very small cliques. (In Section 3, we present a similar result for  
 139 Max-3-SAT, so that the reader can compare the techniques.) Our work differs from that of  
 140 [11] in several respects. Arora shows that  $\text{AC}^0$  reductions cannot prove very *strong* hardness  
 141 of approximations for a problem where strong inapproximability results are already known.  
 142 We show that  $\text{AC}^0$  reductions cannot establish even very *weak* inapproximability results  
 143 for MCSP. Also, our techniques allow us to move beyond  $\leq_m^{\text{AC}^0}$  reductions, to consider  
 144  $\text{AC}^0$ -Turing reducibility.

145 All of the theorems that we state in terms of MCSP hold also for MKTP, with identical  
 146 proofs. For the sake of readability, we present the theorems and proofs only in terms of  
 147 MCSP.

## 148 2 Preliminaries

149 We use  $\setminus$  to denote set difference. For a natural number  $n$ , we let  $[n]$  denote the set  $\{1, \dots, n\}$ .

### 150 2.1 Defining MCSP

151 For any binary string  $T$  of length  $2^k$ , we define  $\text{CC}(T)$  to be the size of the smallest circuit  
 152 (using only NOT gates and AND and OR gates of fan-in 2) that computes the function given  
 153 by truth table  $T$  written in lexicographic order, where, for concreteness, circuit size is defined  
 154 to be the number of AND and OR gates, although our arguments work for other reasonable  
 155 notions of circuit size.

156 Throughout the paper, we use various approximate notions of the minimum circuit size  
 157 problem, given as follows:

158 ► **Definition 2** (Gap MCSP). For any function  $\epsilon : \mathbb{N} \rightarrow \mathbb{N}$ , we define  $\epsilon(n)$ -GapMCSP to be  
 159 the promise problem  $(Y, N)$  where

$$160 \quad Y := \{(T, \theta) \mid \text{CC}(T) < \epsilon(\theta)\}, \text{ and}$$

$$161 \quad 162 \quad N := \{(T, \theta) \mid \text{CC}(T) > \theta\},$$

163 where  $\theta$  is written in binary.

164 Note that this definition differs in minor ways from the way that  $\epsilon$ -GapMCSP was defined in  
 165 [7]. The definition presented here allows for finer distinctions than the definition that was  
 166 used in [7].

167 Our results for non-hardness under  $\leq_T^{\text{AC}^0}$  reductions are best stated in terms of a restricted  
 168 version of  $\epsilon$ -GapMCSP, where the thresholds are fixed, for inputs of a given size: This variant  
 169 of MCSP has been studied previously in [24, 18]; the analogous problem defined in terms of  
 170 KT-complexity is denoted  $R_{\text{KT}}$  in [3].

171 ► **Definition 3** (Parameterized Gap MCSP). For any functions  $\ell, g : \mathbb{N} \rightarrow \mathbb{N}$  such that  
 172  $\ell(n) \leq g(n)$ , We define the language  $\text{MCSP}[\ell, g]$  to be the promise problem  $(Y, N)$  where

$$173 \quad Y := \{T \mid \text{CC}(T) < \ell(|T|)\}, \text{ and}$$

$$174 \quad N := \{T \mid \text{CC}(T) > g(|T|)\}.$$

## 176 2.2 Complexity classes and Reductions

177 We assume the reader is familiar with basic complexity classes such as P and NP. As we  
 178 work extensively with non-uniform  $\text{NC}^0$  and  $\text{AC}^0$ , we refer to the text by Vollmer [31] for  
 179 background on these circuit classes. Throughout this paper, unless otherwise explicitly  
 180 mentioned, we refer to the non-uniform versions of these circuit classes.

181 Let  $\mathcal{C}$  be a class of circuits. For any languages  $A$  and  $B$ , we write  $A \leq_m^{\mathcal{C}} B$  if there is a  
 182 function  $f$  computed by a circuit family  $\{C_n\} \in \mathcal{C}$  such that  $f(x) \in B \iff x \in A$ . We  
 183 write  $A \leq_T^{\mathcal{C}} B$  if there is a circuit family in  $\mathcal{C}$  computing  $A$  with  $B$ -oracle gates. In particular,  
 184 since we are primarily concerned with  $\mathcal{C} = \text{AC}^0$ , we denote this as  $A \leq_T^{\text{AC}^0} B$ . We write  
 185  $A \leq_{\text{tt}}^{\text{AC}^0} B$  if there is an  $\text{AC}^0$  circuit family computing  $A$  with  $B$ -oracle gates, where there is  
 186 no directed path from any oracle gate to another, i.e. if the reduction is non-adaptive. If,  
 187 furthermore, the non-adaptive reduction has the property that each of the oracle circuits  
 188 contains at most  $k$  oracle gates, then we write  $A \leq_{k\text{-tt}}^{\text{AC}^0} B$ .

189 Let  $Y \subseteq \{0, 1\}^*$  and  $N \subseteq \{0, 1\}^*$  be disjoint. Then  $\Pi = (Y, N)$  is a *promise problem*. A  
 190 language  $L$  is a *solution* to a promise problem  $\Pi = (Y, N)$  if  $Y \subseteq L$  and  $N \cap L = \emptyset$ . For two  
 191 promise problems  $\Pi_1$  and  $\Pi_2$ , some type of reducibility  $r$  (many-one, truth table, or Turing),  
 192 and a circuit class  $\mathcal{C}$ , we say  $\Pi_1 \leq_r^{\mathcal{C}} \Pi_2$  if there is a *single* family of oracle circuits  $\{C_n\}$  in  $\mathcal{C}$   
 193 such that for every solution  $S_2$  of  $\Pi_2$ , there is a solution  $S_1$  of  $\Pi_1$  such that  $C_n$  computes an  
 194  $r$ -reduction from  $S_1$  to  $S_2$ .

## 195 2.3 Boolean Strings and Functions

196 For an  $x \in \{0, 1\}^n$  and a set of indices  $B \subseteq [n]$ , we let  $x^B$  denote the Boolean string obtained  
 197 by flipping the  $i$ th bit of  $x$  for each  $i \in B$ .

198 A *partial string* (or *restriction*) is an element of  $\{0, 1, ?\}^*$ . Define the *size* of a partial string  
 199  $p$  to be the number of bits in which it is  $\{0, 1\}$ -valued. We say a partial string  $p \in \{0, 1, ?\}^n$   
 200 *agrees* with a binary string  $x \in \{0, 1\}^n$  if they agree on all  $\{0, 1\}$ -valued bits. If  $x \in \{0, 1\}^n$   
 201 is a binary string and  $B \subseteq [n]$ , then  $x|_B$  denotes the partial string given by replacing the  $j$ th  
 202 bit of  $x$  with  $?$  for each  $j \in [n] \setminus B$ . We say a partial string  $p_1$  *extends* a partial string  $p_2$  if  
 203  $p_1$  is equal to  $p_2$  on all bits where  $p_2$  is  $\{0, 1\}$ -valued.

204 A *partial Boolean function* on  $n$  variables is a function  $f : I \rightarrow \{0, 1\}$  where  $I \subseteq \{0, 1\}^n$ .  
 205 For a promise problem  $\Pi = (Y, N)$  and  $n \in \mathbb{N}$ , we let  $\Pi|_n$  be the partial Boolean function that  
 206 decides membership in  $Y$  on instances of length  $n$  which satisfy the promise. (In particular,  
 207  $\Pi|_n : I := (Y \cup N) \cap \{0, 1\}^n \rightarrow \{0, 1\}$ .)

## 23:6 The Non-Hardness of Approximating Circuit Size

208 We will make use of two well-studied complexity measures on Boolean functions: block  
209 sensitivity and certificate complexity. We refer the reader to a detailed survey by Hatami,  
210 Kulkarni, and Pankratov [16] for background on these notions. For completeness, we provide  
211 the definitions of the two measures that we need. In our context, we will use these measures  
212 on partial Boolean functions. Let  $I \subseteq \{0, 1\}^n$  and let  $f : I \rightarrow \{0, 1\}$  be a partial Boolean  
213 function. For an input  $x \in I$ , define the *block sensitivity* of  $f$  at  $x$ , denoted  $bs(f, x)$ , to  
214 be the maximum number of non-empty, disjoint sets  $B_1, \dots, B_k$  such that  $x^{B_i} \in I$  and  
215  $f(x) \neq f(x^{B_i})$  for all  $i$ . (Here, by “ $f(y) \neq f(z)$ ” we require that  $f$  is defined at both  $y$  and  
216  $z$ .) Define the *0-block sensitivity* of  $f$  be  $bs_0(f) := \max_{x: f(x)=0} bs(f, x)$ . For an input  $x \in I$ ,  
217 define the *certificate complexity* of  $f$  at  $x$ , denoted  $c(f, x)$ , to be the size of the smallest set  
218  $B \subseteq [n]$  such that  $f(y) = f(x)$  for all  $y \in I$  that agree with  $x|_B$ . Define the *0-certificate*  
219 *complexity* of  $f$  to be  $c_0(f) := \max_{x: f(x)=0} c(f, x)$ .

### 220 3 Prior Work

221 In this section, we present a result that is similar in spirit to a result reported by Arora in an  
222 unpublished manuscript [11]. There, it was shown that there is no  $AC^0$ -computable function  
223  $f$  with the property that  $x \in \text{PARITY}$  implies  $f(x)$  has a very large clique, and  $x \notin \text{PARITY}$   
224 implies  $f(x)$  has only very small cliques. Here, in order to illustrate the techniques that were  
225 employed in [11], we observe that no  $AC^0$  reduction can establish the known inapproximability  
226 of Max-3-SAT [15].

227 ► **Proposition 4.** *Let  $0 < \epsilon < 1$ . No  $AC^0$  reduction  $f$  can have the property that  $x \in \text{PARITY}$   
228 implies  $f(x) \in 3\text{-SAT}$ , and  $x \notin \text{PARITY}$  implies  $f(x)$  has at most an  $\epsilon$  fraction of the clauses  
229 satisfied.*

230 **Proof.** By appealing to Lemma 9, we may assume that the function  $f$  is an  $NC^0$  reduction, as  
231 in the proof of Theorem 10. Let  $d$  be the constant, such that each output bit of  $f(x)$  depends  
232 on at most  $d$  bits of  $x$ , and let  $x \in \text{PARITY}$  have length  $n$ . Let  $f(x)$  consist of  $m$  clauses,  
233 each encoded using  $c \log m$  bits for some constant  $c$  (which we can assume since the number  
234 of clauses is polynomially-related to the number of variables). Then since  $|f(x)| = cm \log m$ ,  
235 and each output bit depends on at most  $d$  input bits, there is some  $i \leq n$  such that the  $i$ -th  
236 bit of  $x$  affects at most  $(dc \log m)/n$  output bits. Flipping the  $i$ -th bit of  $x$ , to obtain a new  
237 string  $x' \notin \text{PARITY}$  can affect at most  $(dcm \log m)/n$  clauses. Since  $f(x) \in 3\text{-SAT}$ , there is  
238 an assignment that satisfies at least  $m - (dcm \log m)/n$  clauses of  $f(x')$ . The theorem is  
239 proved, by observing that  $m - (dcm \log m)/n > \epsilon m$  for all large  $m$ . ◀

### 240 4 Non-Hardness Under $NC^0$ Reductions

241 In this section, we prove our main lemmas, showing that problems that are  $NC^0$ -reducible to  
242  $\epsilon$ -GapMCSP have bounded 0-block sensitivity and also have sublinear 0-certificate complexity.  
243 Whenever we will have occasion to use these lemmas, it will be in situations when we are  
244 able to assume that the  $NC^0$  reduction is computing a function  $f$  satisfying the condition  
245 that there is a bound  $\gamma(n) > 0$  such that, for all  $n$ , there is a  $\theta \geq \gamma(n)$  such that, for all  $x$   
246 of length  $n$ ,  $f(x)$  is of the form  $(T(x), \theta)$ . (In particular, the threshold  $\theta$  is the same for all  
247 inputs of length  $n$ .) We will call such an  $NC^0$  reduction a  $\gamma$ -honest reduction.

248 ► **Lemma 5.** *Let  $\epsilon(\theta) = o(\theta)$ , and let  $\Pi = (Y, N)$  be a promise problem, where  $\Pi \leq_m^{NC^0}$   
249  $\epsilon$ -GapMCSP via a  $\gamma$ -honest reduction  $f$  computed by an  $NC^0$  circuit family  $C_n$  of depth  $\leq d$ ,*

250 where  $\gamma(n) \geq \log \log n$ . Then there is an  $n_0$  (that depends only on  $\epsilon$  and  $d$ ) such that for all  
 251  $n \geq n_0$ , if  $N|_n \neq \emptyset$ , then  $bs_0(\Pi|_n) < s$ , where  $s$  is a constant that depends only on  $d$ .

252 **Proof.** Let  $s = 2^{d+1} + 1$ . Since  $\epsilon(n) = o(n)$ , we can pick a constant  $r_0 > 4s$  such that  
 253  $\epsilon(r) < r/(2s)$  for all  $r \geq r_0$ .

254 Pick  $n_0 \geq 2^{2^{r_0}}$ , and let  $n \geq n_0$ .

255 For the sake of contradiction, suppose  $bs_0(\Pi|_n) \geq s$ , and let  $x \in N \cap \{0, 1\}^n$  be a 0-valued  
 256 instance with  $bs(\Pi|_n, x) \geq s$ . Then we can find disjoint sets  $B_1, \dots, B_s \subseteq [n]$  such that  
 257  $\Pi|_n(x^{B_j}) = 1$  for all  $j \in [s]$ . (That is, each  $x^{B_j}$  is in  $Y$ .)

258 Let  $f(x) = (T, \theta)$ , and note that  $CC(T) > \theta \geq \gamma(n)$  (since  $f$  is  $\gamma$ -honest). Since  $x \in N$   
 259 and  $C_n$  is a reduction to  $\epsilon$ -GapMCSP, we know that any circuit that computes the function  
 260 with truth table  $T$  has size at least  $\theta$ . For each  $j \in [s]$ , let  $T_j$  be the truth table produced by  
 261  $C_n$  on input  $x^{B_j}$ . Since  $x^{B_j} \in Y$ , we know that each  $T_j$  has a circuit  $D_j$  computing  $T_j$  of  
 262 size at most  $\epsilon(\theta)$ . (Here, it is important that the same threshold  $\theta$  is used for all inputs of  
 263 length  $n$ , by  $\gamma$ -honesty.)

264 We aim to build a “small” circuit computing  $T$ , which would contradict  $T$  having high  
 265 complexity. Our circuit  $C$  for computing  $T$  works as follows: on input  $i$ , output the majority  
 266 of  $D_1(i), \dots, D_s(i)$ . The size of  $C$  is at most  $s \cdot \epsilon(\theta) + 2s$  (each  $D_j$  has size at most  $\epsilon(\theta)$ , and  
 267 computing the majority of  $s$  bits can be done with a circuit of size  $2s$ ).

268 Now, we argue that this circuit correctly computes the  $i$ th bit of  $T$  for all  $i$ . Let  $i$  be  
 269 arbitrary. Recall the  $i$ th bit of  $T$  is defined to be the  $i$ th output of  $C_n(x)$ . Since  $C_n$  is a  
 270 depth  $d$  circuit of fan-in 2, the  $i$ th output of  $C_n$  depends on at most  $2^d$  input wires  $W \subseteq [n]$ .  
 271 Hence, on any input  $y$  such that  $y|_W = x|_W$ , we have that the  $i$ th output of  $C_n(y)$  equals  
 272 the  $i$ th output of  $C_n(x)$ . In particular, if  $B$  is disjoint from  $W$ , then the  $i$ th output of  
 273  $C_n(x^B)$  equals the  $i$ th output of  $C_n(x)$ . Since  $B_1, \dots, B_s$  are disjoint and  $|W| \leq 2^d$ , it follows  
 274 that at most  $2^d$  of the sets  $B_1, \dots, B_s$  have a non-empty intersection with  $W$ . Hence, since  
 275  $s = 2^{d+1} + 1$ , the majority of the sets  $B_1, \dots, B_s$  are disjoint with  $W$ , so the majority of the  
 276 circuits  $D_1, \dots, D_s$  when run on input  $i$  output the  $i$ th output of  $C_n(x)$ .

277 We thus have that  $CC(T) \leq s \cdot \epsilon(\theta) + 2s$ . But  $\theta > \gamma(n) \geq \log \log n$  (since the reduction  
 278  $f$  is  $\gamma$ -honest). By the choice of  $n_0$  we have  $\epsilon(\theta) < \theta/2s$  (since  $\theta > \log \log n \geq r_0$ ). Thus  
 279  $CC(T) \leq s \cdot \theta/2s + 2s = \theta/2 + 2s < \theta$  (since  $\theta > \log \log n > 4s$ ). This contradicts  $CC(T) > \theta$ .

280  $\blacktriangleleft$

281 **► Lemma 6.** Let  $\epsilon(\theta) = o(\theta)$ , and let  $\Pi = (Y, N)$  be a promise problem, where  $\Pi \leq_m^{\text{NC}^0}$   
 282  $\epsilon$ -GapMCSP via a  $\gamma$ -honest reduction  $f$  computed by an  $\text{NC}^0$  circuit family  $C_n$  of depth  $\leq d$ ,  
 283 where  $\gamma(n) \geq \log \log n$ . Let  $k \geq 1$ . Then there is an  $n_0$  (that depends only on  $\epsilon, k$  and  $d$ )  
 284 such that for all  $n \geq n_0$ , if  $N|_n \neq \emptyset$ , then  $c_0(\Pi|_n) \leq n/k$ .

285 **Proof.** Let  $p = 2^d$ , let  $p' = \binom{2pk+1}{p}$ , and let  $K$  be a constant that is specified later (and  
 286 which depends only on  $k$  and  $d$ ). Since  $\epsilon(\theta) = o(\theta)$ , we can pick a constant  $s_0$  such that  
 287  $\binom{p'}{2}\epsilon(s) + K < s$  for all  $s \geq s_0$ .

288 Pick  $n_0 \geq 2^{2^{s_0}}$ , and let  $n \geq n_0$ .

289 For contradiction, suppose  $c_0(\Pi|_n) > n/k$ . Let  $x \in N \cap \{0, 1\}^n$  be a 0-valued instance  
 290 with  $c_0(\Pi|_n, x) > n/k$ . Then, for all  $S \subseteq [n]$  with  $|S| \leq n/k$ , there is an  $x_S$  such that  $x_S$   
 291 agrees with  $x|_S$  and such that  $\Pi|_n(x_S) = 1$ . (That is,  $x_S \in Y$ .)

292 Let  $(T, \theta)$  be the truth table produced by  $C_n$  on input  $x$ . Since  $x \in N$  and  $C_n$  is a  
 293 reduction, we know that any circuit computing  $T$  has size at least  $\theta$ .

294 For each  $S \subseteq [n]$  with size at most  $n/k$ , let  $T_S$  be the truth table produced by  $C_n$  on  
 295 input  $x_S$ . Since  $x_S \in Y$ , we know that  $T_S$  has a circuit  $D_S$  of size at most  $\epsilon(\theta)$ .

## 23:8 The Non-Hardness of Approximating Circuit Size

296 We aim to build a “small” circuit computing  $T$ , which would contradict that  $T$  has high  
297 complexity. Recall that  $p = 2^d$ , and that  $p' = \binom{2pk+1}{p}$ .

298 ► **Claim 6.1.** *There exists sets  $S_1, \dots, S_{p'} \subseteq [n]$  such that*  
299 ■  $|S_i| \leq \frac{n}{2k}$  for all  $i$ , and  
300 ■ for any set  $P \subseteq [n]$  with  $|P| \leq p$ , we have that  $P \subseteq S_i$  for some  $i$ .

301 **Proof.** (Proof of Claim) Pick sets  $V_1, \dots, V_{2pk+1} \subseteq [n]$  of size at most  $\frac{n}{2pk}$  whose union is  
302  $[n]$ . Let  $\mathcal{V} = \{V_1, \dots, V_{2pk+1}\}$ . Now let each of  $S_1, \dots, S_{\binom{2pk+1}{p}}$  be the union of some  $p$  sets  
303 chosen from  $\mathcal{V}$ . Each  $S_i$  has size at most  $p \frac{n}{2pk} = \frac{n}{2k}$ . Let  $P \subseteq [n]$  be an arbitrary set of size  
304  $p$ . Since  $\bigcup_{V \in \mathcal{V}} V = [n]$ , every element  $e$  of  $P$  lies within some  $V \in \mathcal{V}$ . Then  $P$  is contained  
305 in the union of some  $p$  sets from  $\mathcal{V}$ , so  $P \subseteq S_i$  for some  $i$ . ◀

306 For each  $i \neq j \in [p']$ , let  $S_{i,j} = S_{j,i} = S_i \cup S_j$ . Note that  $|S_{i,j}| \leq n/k$ .

307 Our circuit  $C$  for computing  $T$  works as follows. On input  $r$ , for each  $i \in [p']$ , see if  
308  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$ . If so, then output  $D_{S_{i,1}}(r)$ . The size of this circuit is at most  
309  $\binom{p'}{2} \epsilon(\theta) + K$  (for some fixed constant  $K$ ) since each of the  $\binom{p'}{2}$   $D_{S_{i,j}}$  circuits has size at most  
310  $\epsilon(\theta)$  and the other “unanimity” condition is a Boolean function on  $\binom{p'}{2}$  variables (of in fact  
311 linear size) and so can be computed with circuit of some size  $K = O(p')^2$  (that depends only  
312 on  $k$  and  $d$ ).

313 Now, we argue that  $C$  on input  $r$  correctly computes the  $r$ th bit of  $T$ . Let  $r \in [m]$  be  
314 arbitrary. For convenience, on an input  $y \in \{0, 1\}^n$  let  $C_n^r(y)$  denote the  $r$ th output of  $C_n(x)$ .  
315 Recall the  $r$ th bit of  $T$  is defined to be  $C_n^r(x)$ . We must show two things. First, that there  
316 exists an  $i$  such that  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$  and second, that if for some  $i$  we have that  
317  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$ , then  $D_{S_{i,1}}(r) = C_n^r(x)$ .

318 Since  $C_n$  has depth  $d$ , the  $r$ th output of  $C_n$  can depend on at most  $2^d$  input wires  
319  $W \subseteq [m]$ . Hence, on any input  $y$  such that  $y|_W = x|_W$ , we have that  $C_n^r(y) = C_n^r(x)$ . Since  
320  $p = 2^d$ , by the claim, there exists some  $S_{i^*}$  such that  $W \subseteq S_{i^*}$ . Therefore, for all  $j$  we have  
321 that  $x_{S_{i^*,j}}|_W = x|_W$ , so  $D_{S_{i^*,j}}(r) \stackrel{\text{def}}{=} C_n^r(x_{S_{i^*,j}}) = C_n^r(x)$ .

322 This implies both things we must show. First, we know that  $D_{S_{i^*,1}}(r) = \dots = D_{S_{i^*,p'}}(r)$   
323 since they each equal  $C_n^r(x)$ . Second, if for some  $i$ , we have that  $D_{S_{i,1}}(r) = \dots = D_{S_{i,p'}}(r)$ ,  
324 then we also have that  $D_{S_{i,1}}(r) = D_{S_{i,i^*}}(r) = C_n^r(x)$ .

325 Thus we have that  $T$  can be computed by a circuit of size at most  $\binom{p'}{2} \epsilon(\theta) + K$ , which is  
326 less than  $\theta$ , since  $\theta \geq \log \log n \geq s_0$ . This contradicts that  $\text{CC}(T) > \theta$ . ◀

327 Next, we note that one can improve the bounds given by Lemma 6 assuming a larger gap.

328 ► **Lemma 7.** *Let  $\epsilon(\theta) < \theta^\alpha$ , and let  $\Pi = (Y, N)$  be a promise problem, where  $\Pi \leq_{\text{m}}^{\text{NC}^0}$   
329  $\epsilon$ -GapMCSP via a  $\gamma$ -honest reduction  $f$  computed by an  $\text{NC}^0$  circuit family  $C_n$  of depth  $\leq d$ ,  
330 where  $\gamma(n) \geq n^\beta$ . Then for all  $\delta$  such that  $\delta_0 = \beta(1 - \alpha)/2^{d+1} > \delta > 0$  there is an  $n_0$  such  
331 that for all  $n \geq n_0$ , if  $N|_n \neq \emptyset$ , then  $c_0(\Pi|_n) \leq n^{1-\delta}$ .*

332 **Proof.** Let  $p = 2^d$ . Suppose for contradiction that for some  $\delta > 0$  with  $\delta < \delta_0 = \beta(1 - \alpha)/2p$   
333 we have  $c_0(\Pi|_n) > n^{1-\delta}$  infinitely often. We can follow the same argument (and notation)  
334 as above, except we have to be more careful since  $n/c_0(\Pi|_n)$  is no longer a constant, and  
335 hence  $p' = \binom{2pn/c_0(\Pi|_n)+1}{p} \leq \binom{2pn^\delta+1}{p} = O(n^{p^\delta})$  is no longer constant. Since the unanimity  
336 condition can be implemented by a circuit of size linear in  $\binom{p'}{2}$ , we can construct a circuit  
337 computing truth table  $T$  of size

$$338 \quad \epsilon(\theta) \cdot c_1 p'^2 = \epsilon(\theta) \cdot c_1 \binom{2pn^\delta + 1}{p}^2 \leq c_2 \epsilon(\theta) n^{2p^\delta}$$



339 infinitely often for some positive constants  $c_1, c_2$ . By  $\gamma$ -honesty, we have  $\theta \geq \gamma(n) \geq n^\beta$ .  
 340 This implies that we can construct a circuit computing  $T$  of size

$$341 \quad c_2 \epsilon(\theta) n^{2p\delta} \leq c_2 \epsilon(\theta) (\theta^{1/\beta})^{2p\delta} < c_2 \theta^\alpha \theta^{2p\delta/\beta} < \theta$$

342 infinitely often. This is a contradiction since  $T$  is a truth table with circuit complexity  
 343  $\geq \theta$ .  $\blacktriangleleft$

344 Next, we present a variant of Lemma 7, but restricted to the parameterized version of  
 345 MCSP. This variant is useful in extending our non-hardness results to  $\leq_{\text{T}}^{\text{AC}^0}$  reductions that  
 346 make  $n^{o(1)}$  queries.

347 **► Lemma 8.** *Let  $\Pi = (Y, N)$  be a promise problem. If  $\Pi \leq_{\text{m}}^{\text{NC}^0} \text{MCSP}[\ell, g]$  with  $\ell(m) =$   
 348  $o(g(m)/m^\delta)$  for some  $\delta > 0$ , then  $c_0(\Pi|_n) \leq n^\epsilon$  for some  $\epsilon < 1$  for all but finitely many  $n$   
 349 where  $N|_n \neq \emptyset$ , where  $\epsilon$  depends only on the depth of the  $\text{NC}^0$  circuit family and  $\delta$ .*

350 **Proof.** Suppose for contradiction that for all  $\epsilon < 1$  we have  $c_0(\Pi|_n) > n^\epsilon$  infinitely often.  
 351 Once again, we follow the same argument (and notation) as above. We can construct a  
 352 circuit computing truth table  $T$  of size

$$353 \quad \ell(m) \cdot c_1 p'^2 \leq \ell(m) \cdot c_1 \binom{2pn/c_0(\Pi|_n) + 1}{p}^2 \leq \ell(m) c_1 \binom{2pn^{1-\epsilon} + 1}{p}^2 \leq c_2 \ell(m) n^{2p(1-\epsilon)},$$

354 infinitely often for some positive constants  $c_1, c_2$ . (Here,  $m$  denotes the length of the truth  
 355 table  $T$ .) Note that since  $c_0(\Pi|_n) > n^\epsilon$ , we know  $\Pi|_n$  depends on  $\geq n^\epsilon$  input bits. Since the  
 356 circuit has depth at most  $d$  and gates of fan-in 2, we must have  $m \geq n^\epsilon/2^d$ . This implies  
 357 that we can construct a circuit computing  $T$  of size

$$358 \quad c_2 \ell(m) (n^\epsilon)^{\frac{2p(1-\epsilon)}{\epsilon}} \leq c_3 \ell(m) m^{\frac{2p(1-\epsilon)}{\epsilon}},$$

359 infinitely often for some positive constant  $c_3$ . Setting  $\epsilon = \frac{2p}{2p+\delta}$ , we have that  $T$  can be  
 360 computed by a circuit of size  $\leq c_3 \ell(m) \cdot m^\delta$  infinitely often, which is a contradiction since  $T$   
 361 is a truth table with circuit complexity  $\geq g(m) = \omega(\ell(m) \cdot m^\delta)$ .  $\blacktriangleleft$

## 362 **5 Non-Hardness Under Many-One $\text{AC}^0$ Reductions**

363 To extend our non-hardness results to  $\text{AC}^0$  we make use of a version of a theorem given in  
 364 [1] that was first proved by [2, 12] that says randomly restricting a family of  $\text{AC}^0$  circuits  
 365 yields a family of  $\text{NC}^0$  circuits with high probability.

366 **► Lemma 9** (Lemma 7 in [1]). *Let  $C_n$  be a family of  $n$ -input (multi-output)  $\text{AC}^0$  circuits.  
 367 Then there exists an  $a > 0$  such that for all  $n \in \mathbb{N}$  there exists a restriction of  $C_n$  to  $\Omega(n^{1/a})$   
 368 input variables that transforms  $C_n$  into a (multi-output)  $\text{NC}^0$  circuit.*

369 **► Theorem 10.**  $\text{PARITY} \not\leq_{\text{m}}^{\text{AC}^0} \epsilon\text{-GapMCSP}$  where  $\epsilon(n) = o(n)$ .

370 **Proof.** Suppose not. Then there is a family of  $\text{AC}^0$  circuits  $C_n$  that many-one reduces  
 371  $\text{PARITY}$  to  $\epsilon\text{-GapMCSP}$ . By Lemma 9, there is an  $a$  such that we can transform each  $C_n$  into  
 372 an  $\text{NC}^0$  circuit  $D_m$  on  $m = \Omega(n^{1/a})$  variables, computing a reduction  $f$  from either  $\text{PARITY}$   
 373 or  $\text{-PARITY}$  (depending on the parity of the restriction) to  $\epsilon\text{-GapMCSP}$ . For each input  $x$   
 374 of length  $n$ ,  $f(x)$  is of the form  $(T(x), \theta(x))$ . Since there are only  $O(\log n)$  output gates in  
 375 the  $\theta(x)$  field, and each output gate depends on only  $O(1)$  input variables, all of the output  
 376 gates for  $\theta(x)$  can be fixed by setting only  $O(\log n)$  input variables. Furthermore, we claim

377 that there is some setting of these  $O(\log n)$  input variables, such that the resulting value  
 378 of  $\theta$  is greater than  $\log n / \log \log n$ . If this were not the case, then the  $\leq_m^{\text{AC}^0}$  reduction of  
 379 PARITY (or  $\neg$ PARITY) on  $m = \Omega(n^{1/a})$  variables to  $\epsilon$ -GapMCSP has the property that  $\theta(x)$   
 380 is always less than  $\log n / \log \log n$ . But, as in the proof of Theorem 1.3 of [24], instances of  
 381 MCSP where  $\theta$  is  $O(\log n / \log \log n)$  can be solved with a DNF circuit of polynomial size.  
 382 Thus this would give rise to  $\text{AC}^0$  circuits for PARITY, contradicting the well-known circuit  
 383 lower bounds of [2, 12].

384 Thus we can set  $O(\log n)$  additional variables, and obtain circuits that reduce PARITY (or  
 385  $\neg$ PARITY) on  $m' = m - O(\log n) = \Omega(n^{1/(a+1)})$  variables to  $\epsilon$ -GapMCSP, where furthermore  
 386 this reduction satisfies the hypotheses of Lemmas 5 and 6. But this contradicts the fact  
 387 that both PARITY and  $\neg$ PARITY on  $m'$  variables have 0-certificate complexity and 0-block-  
 388 sensitivity  $m'$ .  $\blacktriangleleft$

## 389 6 Non-Hardness Under Limited Turing $\text{AC}^0$ Reductions

390 With some work, we can extend our non-hardness results beyond many-one reductions to  
 391 some limited Turing reductions.

392 In our proofs that deal with  $\text{AC}^0$ -Turing reductions, we will need to replace some oracle  
 393 gates with “equivalent” hardware – where this hardware will provide answers that are  
 394 consistent with *some* solution to the promise problem  $\epsilon$ -GapMCSP, but might not be consistent  
 395 with the particular solution that is provided as an oracle. In order to ensure that this doesn’t  
 396 cause any problems, we introduce the notion of a “sturdy”  $\text{AC}^0$ -Turing reduction:

397 **► Definition 11.** Let  $\Pi_1 = (Y_1, N_1)$  and  $\Pi_2 = (Y_2, N_2)$  be promise problems. A family  $\{C_n\}$   
 398 of  $\text{AC}^0$ -oracle circuits is a *sturdy*  $\leq_{\text{T}}^{\text{AC}^0}$  reduction from  $\Pi_1$  to  $\Pi_2$  if, for every pair of solutions  
 399  $S, S'$  to  $\Pi_2$ , every oracle gate  $G$  in  $C_n$ , and every  $x \in Y_1 \cup N_1$ , there is a solution  $S''$  such  
 400 that  $C_n^S(x) = C_n^{S''}(x) = C_n^S[G \rightarrow S'](x)$ , where the notation  $C_n^S[G \rightarrow S']$  refers to the circuit  
 401  $C_n$  with oracle  $S$ , but where the oracle gate  $G$  answers queries according to the solution  $S'$   
 402 instead of  $S$ .

403 **► Lemma 12.** Let  $\Pi$  be any promise problem. If  $\Pi \leq_{\text{tt}}^{\text{AC}^0} \epsilon(n)$ -GapMCSP via a reduction  
 404 of depth  $d$ , then  $\Pi \leq_{\text{tt}}^{\text{AC}^0} \epsilon(n)$ -GapMCSP via a sturdy reduction of depth  $5d$  with the same  
 405 number of oracle gates. If  $\Pi \leq_{\text{T}}^{\text{AC}^0} \epsilon(n)$ -GapMCSP via a reduction of depth  $d$ , then  $\Pi \leq_{\text{T}}^{\text{AC}^0}$   
 406  $\epsilon(n)$ -GapMCSP via a sturdy reduction of depth  $5d$  with the same number of oracle gates.

407 **Proof.** Briefly: We modify  $C_n$ , so that each oracle query is checked against queries that were  
 408 asked “earlier” in the computation, and the computation uses only the oracle answer from  
 409 the first time a query was asked. Since each query is given an answer that is consistent with  
 410 *some* solution, the new circuit gives the same answers as a new solution (which we denote as  
 411  $S''$ ). Since  $C_n$  is a reduction, we get the same answer when using  $S$  or  $S''$ .

412 In more detail: Label the oracle gates  $G_1, \dots, G_k$  of  $C_n$  in topological order so that there  
 413 is no directed path from  $G_i$  to  $G_j$  for all  $i > j$  (and for a truth-table reduction, any ordering  
 414 suffices). Let  $q_i$  denote the query asked by  $G_i$ . Let  $C'_n$  be the circuit where we replace any  
 415 wire that leaves  $G_i$  by a wire connected to the following subfunction:

$$416 \quad G_i(x) \wedge \forall j < i (q_i \neq q_j)$$

$$417 \quad \text{or}$$

$$418 \quad \exists j < i (q_i = q_j \wedge \forall k < j (q_k \neq q_j) \wedge G_j(q_j))$$

419 The reader can verify that this additional circuitry can be implemented in depth five, and  
 420 thus  $C'_n$  has depth at most  $5d$ . Furthermore, this hardware does not add any oracle gates or

421 directed paths between oracle gates, so the number of oracle gates used is unchanged and  
 422 truth-table reductions remain truth-table reductions.

423 Now let  $S$  and  $S'$  be any two solutions to  $\epsilon(n)$ -GapMCSP. Consider any input  $x$  of length  
 424  $n$  that satisfies the promise of  $\Pi = (Y, N)$ . (That is,  $x \in Y \cup N$ .) Thus  $C_n^S(x) = C_n^{S'}(x)$ . Now  
 425 consider the the operation of  $C'_n(x)$  where some oracle gate  $G_i$  answers queries according to  
 426  $S'$ , rather than  $S$ . By construction, the behavior of this computation  $C'^S_n[G_i \rightarrow S']$  is the  
 427 same as that of  $C'^{S''}_n(x)$ , where

$$428 \quad S''(q(x)) := \begin{cases} S(q(x)) & \text{if } q(x) \neq q_i(x), \text{ or if } q_i(x) = q_j(x) \text{ for some } j < i, \\ S'(q(x)) & \text{otherwise.} \end{cases}$$

429  $S''$  is also a solution to  $\epsilon$ -GapMCSP, since it agrees with either  $S$  or  $S'$  on each query,  
 430 and both  $S$  and  $S'$  agree on all queries that satisfy the promise. Thus  $C'^S_n[G_i \rightarrow S'](x) =$   
 431  $C'^{S''}_n(x) = C'^{S'}_n(x) = C_n^S(x)$ , since  $C_n$  is a reduction. Also,  $C'^{S''}_n(x) = C_n^S(x)$  and  $C'^S_n(x) =$   
 432  $C_n^S(x)$ , since each oracle gate of  $C'_n$  answers each query the same way that  $C_n$  does, if the same  
 433 oracle is provided to each gate. Thus, we have that  $C'^S_n(x) = C'^{S''}_n(x) = C'^S_n[G_i \rightarrow S'](x)$ .  
 434 This establishes that  $C'_n$  is computing a sturdy reduction.  $\blacktriangleleft$

435 **► Theorem 13.** *Let  $k \geq 1$ , and let  $\epsilon(n) = o(n)$ . Then  $\text{PARITY} \not\leq_{k\text{-tt}}^{\text{AC}^0} \epsilon\text{-GapMCSP}$ .*

436 **Proof.** We show that, for all  $k \geq 1$ , if  $\text{PARITY} \leq_{k\text{-tt}}^{\text{AC}^0} \epsilon\text{-GapMCSP}$ , then  $\text{PARITY} \leq_{(k-1)\text{-tt}}^{\text{AC}^0}$   
 437  $\epsilon\text{-GapMCSP}$ . This suffices, since a 0-truth-table reduction is simply an  $\text{AC}^0$  circuit computing  
 438  $\text{PARITY}$ , which cannot exist.

439 Given the oracle circuit family  $C_n$ , (where by Lemma 12 we may assume that the  $\leq_{k\text{-tt}}^{\text{AC}^0}$   
 440 reduction is sturdy), let  $D_n$  be the subcircuit consisting of those gates that are on a path  
 441 from an input variable to any oracle gate.  $D_n$  is simply an  $\text{AC}^0$  circuit on  $n$  variables, and  
 442 thus by Lemma 9, there is an  $a$  such that we can transform each  $D_n$  into an  $\text{NC}^0$  circuit  
 443  $E_m$  on  $m = \Omega(n^{1/a})$  variables. Replacing  $D_n$  by  $E_m$  in  $C_n$  yields a  $k$ -tt reduction  $F_m$  from  
 444  $\text{PARITY}$  or  $\neg\text{PARITY}$  on  $m$  variables to  $\epsilon\text{-GapMCSP}$ . For any input length  $r$ , computing  
 445  $\text{PARITY}$  on  $r$  bits can be accomplished by computing either  $\text{PARITY}$  or  $\neg\text{PARITY}$  on  $m$  bits,  
 446 where  $m$  is only polynomially-larger than  $r$ . Thus, without any loss of generality, we may  
 447 assume that our circuit family  $C_n$  has the property that the subcircuit  $D_n$  consisting of the  
 448 gates on a path from an input gate to an oracle gate consists of  $\text{NC}^0$  circuitry.

449 For each  $n$ , select the first oracle gate  $G_1$  (in some order). Consider the circuit family  $B_n$   
 450 consisting of all of the gates that are on a path from any input to  $G_1$ . Note that  $B_n$  is an  
 451  $\text{NC}^0$  circuit family computing some function  $f$ , where  $f(x)$  is of the form  $(T(x), \theta(x))$ . If it  
 452 is possible to set some of the input variables of  $B_n$  so that the output gates for  $\theta(x)$  take on  
 453 a value  $\theta \geq \log n / \log \log n$ , do so. Note that this leaves  $m = n - O(\log n)$  variables unset.  
 454 (If it is not possible to do so, then (as in the proof of Theorem 10),  $G_1$  can be replaced in  
 455  $C_n$  by a polynomial-sized DNF circuit, thereby yielding a (sturdy)  $(k-1)$ -tt reduction, as  
 456 desired.) Call  $C'_m$  and  $B'_m$  the circuits that result by restricting the  $O(\log n)$  input variables  
 457 of  $C_n$  and  $B_n$ , respectively.

458 We now aim to find a restriction of the inputs and a solution to  $\epsilon\text{-GapMCSP}$  such that  
 459 the output of  $G_1$  is constant. Define  $\Pi = (Y, N)$  to be the promise problem where for all  $x$   
 460 we put  $x \in Y$  if and only if  $\text{CC}(T(x)) \leq \epsilon(\theta)$  and  $x \in N$  if and only if  $\text{CC}(T(x)) > \theta$  where  
 461  $B'_m(x) = (T(x), \theta)$ . Observe that  $B'_m$  is a  $\log n$ -honest  $\text{NC}^0$  reduction of  $\Pi$  to  $\epsilon\text{-GapMCSP}$ .

462 There are two cases, depending on whether  $N = \emptyset$  or not. If  $N = \emptyset$ , then  $S' =$   
 463  $\{(T, \theta) : \text{CC}(T) < \epsilon(\theta)\}$  is a solution to  $\epsilon\text{-GapMCSP}$  such that every query to  $G_1$  is answered  
 464 affirmatively. By the sturdiness of the reduction,  $G_1$  can be replaced by a constant 1,  
 465 transforming  $C'_m$  into a  $(k-1)$ -tt reduction.

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466 If  $N \neq \emptyset$ , then by Lemma 6, for all large  $m$   $c_0(\Pi|_m) \leq m/(k+1)$ . That is, there is a  
 467 way to set some  $r \leq m/(k+1)$  input variables, obtaining restriction  $\rho$ , and thereby obtain  
 468 a circuit  $B''_{m-r} = B'_m|_\rho$  on  $m-r$  variables, such that for any string  $z$  of length  $m-r$ ,  
 469  $\text{CC}(T_{m-r}(z)) > \epsilon(\theta)$  where  $B''_{m-r}(z) = (T_{m-r}(z), \theta)$ . That is, every query to  $G_1$  is answered  
 470 negatively in  $C'_m|_\rho$ , and hence  $G_1$  can be replaced by a constant 0, transforming  $C'_m|_\rho$  into a  
 471  $(k-1)$ -tt reduction from PARITY to  $\epsilon$ -GapMCSP on  $m-r = \Omega(n)$  variables in this case.

472 In both cases, we obtain a  $(k-1)$ -tt reduction from PARITY to  $\epsilon$ -GapMCSP, as desired. ◀

473 With a larger gap, we can rule out nonadaptive reductions that use  $n^{o(1)}$  queries.

474 ► **Theorem 14.** *Let  $\epsilon(n) < n^\alpha$  for some  $1 > \alpha > 0$ . Then for any circuit family  $\{C_n\}$   
 475 computing an  $\leq_{\text{tt}}^{\text{AC}^0}$  reduction of PARITY to  $\epsilon$ -GapMCSP, there is a  $\delta > 0$  such that, for all  
 476 large  $n$ ,  $\{C_n\}$  makes at least  $n^\delta$  queries.*

477 **Proof.** Let  $\{C_n\}$  be a circuit family computing an  $\leq_{\text{tt}}^{\text{AC}^0}$  reduction of PARITY to  $\epsilon$ -GapMCSP.  
 478 By Lemma 12 we may assume that each  $C_n$  is sturdy. As in the proof of the preceding  
 479 theorem, we assume without loss of generality that  $C_n$  has the property that the subcircuit  
 480  $D_n$  consisting of those gates that lie on paths from input gates to oracle gates consists of  
 481  $\text{NC}^0$  circuitry of depth  $d$ . (We will assume without loss of generality that, if the gates in  $D_n$   
 482 are removed from  $C_n$ , the depth of the circuit that remains is also at most  $d$ . Otherwise, let  
 483  $d$  be the maximum of these two constants.)

484 We will show that, for all large  $n$ ,  $C_n$  contains at least  $n^\delta$  oracle gates  $G_1, G_2, \dots, G_t$ ,  
 485 where  $\delta$  is chosen to be less than  $(1-\alpha)/12d2^{d+1}$ . For the sake of a contradiction, assume  
 486 that  $t < n^\delta$ .

487 As in the proof of the preceding theorem, we construct a sequence of restrictions (one  
 488 for each oracle gate), so that when the input bits of  $C_n$  are set according to the restrictions,  
 489 each oracle gate either has a very small threshold  $\theta$ , or else it can be replaced by a constant.  
 490 In this way, we transform  $C_n$  into a circuit on  $m \geq n/2$  input bits where each oracle gate  $G_i$   
 491 has a threshold  $\theta_i < n^{1/3d}/\log n$ . Replacing each such oracle gate by a DNF of size  $2^{O(n^{1/3d})}$   
 492 (as in the proof of the preceding theorem) results in an  $\text{AC}^0$  circuit of depth at most  $d+1$   
 493 computing PARITY, in contradiction to the lower bound of [14]. Details follow.

494 Our argument proceeds in  $t$  stages, where oracle gate  $G_i$  is considered in stage  $i$ . At the  
 495 start of stage  $i$  we have a partial restriction  $\rho_{i-1}$  that has at most  $(i-1)n^{1-2\delta}$  bits set. Here  
 496 is a detailed description of stage  $i$ :

497 Consider the circuit family  $B_n$  consisting of all of the gates that are on a path from  
 498 any input to  $G_i$ . Note that  $B_n$  is an  $\text{NC}^0$  circuit family computing some function  $f_i$ , where  
 499  $f_i(x)$  is of the form  $(T_i(x), \theta_i(x))$ . If for all  $x$  that agree with  $\rho_{i-1}$ ,  $\theta_i(x) < n^{1/(3d)}/\log(n)$ ,  
 500 then stage  $i$  is done; set  $\rho_i = \rho_{i-1}$  and go on to the next stage. Otherwise, there is a  
 501 way to set an additional  $O(\log n)$  additional variables, thereby extending  $\rho_{i-1}$  to obtain a  
 502 new restriction  $\rho'_i$ , so that for all  $x$  which agree with  $\rho'_i$ ,  $\theta_i(x)$  takes on a constant value  
 503  $\theta_i \geq n^{1/(3d)}/\log n \geq n^{1/(4d)}$ .

504 We now aim to find a restriction of the inputs and a solution to  $\epsilon$ -GapMCSP such that  
 505 the output of  $G_i$  is constant. Define  $\Pi_i = (Y_i, N_i)$  to be the promise problem where for  
 506 all  $x$  that agree with  $\rho'_i$  we put  $x \in Y_i$  if and only if  $\text{CC}(T_i(x)) \leq \epsilon(\theta_i)$  and  $x \in N_i$  if and  
 507 only if  $\text{CC}(T_i(x)) > \theta_i$  where  $B_n(x) = (T_i(x), \theta_i)$ . Observe that  $B_n$  is a  $n^{1/(4d)}$ -honest  $\text{NC}^0$   
 508 reduction of  $\Pi_i$  to  $\epsilon$ -GapMCSP.

509 There are two cases, depending on whether  $N_i = \emptyset$  or not. If  $N_i = \emptyset$ , then  $S = \{(T, \theta) :$   
 510  $\text{CC}(T) \leq \theta\}$  is a solution to  $\epsilon$ -GapMCSP such that every query to  $G_i$  is answered affirmatively.  
 511 By the sturdiness of the reduction, the output of  $G_i$  can be replaced by the constant 1, and  
 512 let  $\rho_i = \rho'_i$ .

513 If  $N_i \neq \emptyset$ , then by Lemma 7, for all large  $n$ ,  $c_0(\Pi_i|_{\rho'_i}) \leq n^{1-3\delta}$ . (The conditions of  
 514 Lemma 7 are satisfied, since  $(1/4d)(1-\alpha)/2^{d+1} > 3\delta$ .) That is, there is a way to set  
 515 at most  $n^{1-3\delta}$  additional variables, thereby extending  $\rho'_i$  to obtain a new restriction  $\rho_i$ ,  
 516 such that for any string  $x$  of length  $n$  that agrees with  $\rho_i$ ,  $\text{CC}(T_i(x)) > \epsilon(\theta_i)$ . Therefore,  
 517  $S = \{(T, \theta) : \text{CC}(T) \leq \epsilon(\theta)\}$  is a solution to  $\epsilon$ -GapMCSP such that every query to  $G_i$  is  
 518 answered negative. Hence, by the sturdiness of the reduction, gate  $G_i$  can be replaced by a  
 519 constant 0.

520 This completes stage  $i$ . Note that, in obtaining  $\rho_i$  from  $\rho_{i-1}$  we set an additional  
 521  $O(\log n) + n^{1-3\delta} < n^{1-2\delta}$  variables.

522 Since  $t < n^\delta$ , we have that  $\rho_t$  has  $m \geq n - tn^{1-2\delta} > n - n^\delta n^{1-2\delta} = n - n^{1-\delta} > n/2$  unset  
 523 variables. Let  $C''_m$  be the circuit  $C_n|_{\rho_t}$ . Each oracle gate in  $C''_m$  has the property that the  
 524 threshold that is computed is always no more than  $n^{1/3d}$ . Since the reduction is sturdy, the  
 525 circuit still behaves correctly if each oracle gate is replaced by a circuit that computes MCSP  
 526 exactly, and (as in the proof of Theorem 1.3 of [24]), instances of MCSP where  $\theta$  is bounded  
 527 by  $n^{1/3d}/\log n$  can be computed by a DNF of size  $2^{O(n^{1/3d})}$ . Replacing each oracle gate by  
 528 such a DNF yields a circuit of depth at most  $d+1$ , of size  $2^{O(n^{1/3d})}$ , computing PARITY,  
 529 thereby violating the lower bound established in [14]. ◀

530 If we consider the parameterized version of MCSP, rather than  $\epsilon$ -GapMCSP, we obtain  
 531 non-hardness even under  $\leq_{\text{T}}^{\text{AC}^0}$  reductions.

532 ▶ **Theorem 15.** *Let  $\ell(m) = o(g(m)/m^\delta)$  for some  $1 > \delta > 0$ . Then for any circuit family*  
 533  *$\{C_n\}$  computing an  $\leq_{\text{T}}^{\text{AC}^0}$  reduction of PARITY to MCSP $[\ell, g]$ , there is an  $\epsilon > 0$  such that,*  
 534 *for all large  $n$ ,  $\{C_n\}$  makes at least  $n^\epsilon$  queries.*

535 **Proof.** Define the *oracle depth* of a gate  $G$  to be the largest number of oracle gates on any  
 536 directed path ending with  $G$ .

537 Let  $\{C_n\}$  be a circuit family computing an  $\leq_{\text{T}}^{\text{AC}^0}$  reduction of PARITY to MCSP $[\ell, g]$ . As  
 538 above, we may assume that each  $C_n$  is sturdy, and that the subcircuit  $D_n$  consisting of those  
 539 gates at oracle depth 1 consists of  $\text{NC}^0$  circuitry of depth at most  $d$ . Let  $k$  be the maximum  
 540 oracle depth of any gate in  $\{C_n\}$ .

541 Similar to the proof of the preceding theorem, we construct a sequence of  $t$  restrictions  
 542  $\rho_1, \dots, \rho_t$ , so that in  $C_n|_{\rho_i}$  the first  $i$  gates  $G_1, \dots, G_i$  can be replaced a constant. In this  
 543 way, we transform  $C_n$  into a circuit on  $n' \geq n/2$  input bits of oracle depth  $k-1$ .

544 We will first show that there is a value  $\epsilon > 0$  (specified later) such that if  $C_n$  does not  
 545 have at least  $n^\epsilon$  gates at oracle depth 1, then  $C_n$  can be replaced by an  $\leq_{\text{T}}^{\text{AC}^0}$  reduction of  
 546 oracle depth  $k-1$ , by eliminating all of the oracle gates  $G_1, \dots, G_t$  at oracle depth 1.

547 Our argument proceeds in  $t$  stages, where oracle gate  $G_i$  is considered in stage  $i$ . At the  
 548 start of stage  $i$  we have a partial restriction  $\rho_{i-1}$  that has at most  $(i-1)n^{1-2\epsilon}$  bits set. Here  
 549 is a detailed description of stage  $i$ :

550 Consider the circuit family  $B_n$  consisting of all of the gates that are on a path from any  
 551 input to  $G_i$ . Note that  $B_n$  is an  $\text{NC}^0$  circuit family computing some function  $f_i(x) = T_i(x)$ .  
 552 Let  $m = |T_i(x)|$ .

553 We now aim to find a restriction of the inputs and a solution to MCSP $[\ell, g]$  for which the  
 554 output of  $G_i$  is constant. Define  $\Pi_i = (Y_i, N_i)$  to be the promise problem where for all  $x$   
 555 that agree with  $\rho_{i-1}$  we put  $x \in Y_i$  if and only if  $\text{CC}(T_i(x)) \leq \ell(m)$  and  $x \in N_i$  if and only  
 556 if  $\text{CC}(T_i(x)) > g(m)$ . Observe that  $B_n$  is an  $\text{NC}^0$  reduction of  $\Pi_i$  to  $\epsilon$ -GapMCSP.

557 There are two cases, depending on whether  $N = \emptyset$  or not. If  $N = \emptyset$ , then  $S = \{T : \text{CC}(T) \leq g(|T|)\}$   
 558 is a solution to MCSP $[\ell, g]$  such that every query to  $G_i$  is answered

559 affirmatively. By the sturdiness of the reduction, the output of  $G_i$  can be replaced by the  
 560 constant 1, and we let  $\rho_i = \rho_{i-1}$ .

561 If  $N \neq \emptyset$ , then, by Lemma 8, for all large  $n$ ,  $c_0(\Pi_i|_{\rho_{i-1}}) \leq n^{\epsilon'}$  for some  $\epsilon' < 1$  that  
 562 depends only on  $d$  and  $\delta$ . That is, there is a way to set at most  $n^{\epsilon'}$  additional variables,  
 563 thereby extending  $\rho_{i-1}$  to obtain a new restriction  $\rho_i$ , such that for any string  $x$  of length  
 564  $n$  that agrees with  $\rho_i$ ,  $\text{CC}(T_i(x)) > \ell(m)$ . Thus,  $S = \{T : \text{CC}(T) \leq \ell(m)\}$  is a solution to  
 565  $\text{MCSP}[\ell, g]$  such that every query to  $G_i$  is answered negatively. Therefore, by the sturdiness  
 566 of the reduction, gate  $G_i$  can be replaced by a constant 1.

567 This completes stage  $i$ . Note that, in obtaining  $\rho_i$  from  $\rho_{i-1}$  we set an additional  $n^{\epsilon'}$   
 568 variables.

569 It is now time to set the constant  $\epsilon$  to be  $1 - (\epsilon'/2)$ .

570 Since  $t < n^\epsilon$ , we have that  $\rho_t$  has  $r \geq n - tn^{\epsilon'} = n - n^{1-(\epsilon'/2)}n^{\epsilon'} = n - n^{1-(\epsilon'/2)} > n/2$   
 571 unset variables.

572 A minor complication arises, when we want to repeat this argument, to reduce the oracle  
 573 depth to  $k - 2$ , etc. Namely, the constant  $\epsilon'$  depends on the depth  $d$  of the  $\text{NC}^0$  circuitry  
 574 that feeds into the oracle gates at the bottom level of  $C_n$ .  $C_n|_{\rho_i}$  has oracle depth  $k - 1$ , as  
 575 desired, but it now has  $\text{AC}^0$  circuitry feeding into the lowest level of oracle gates, and when  
 576 we appeal to Lemma 9 to apply a random restriction to convert that  $\text{AC}^0$  circuitry to  $\text{NC}^0$   
 577 circuitry, the depth of the  $\text{NC}^0$  circuitry increases to a depth that we can denote  $d_2$ . This  
 578 problem is resolved by observing that the choice of  $\epsilon'$  in Lemma 8 is monotone in the depth  
 579  $d$ . Thus, if we carry out the argument above, but pick  $\epsilon'$  using the parameter  $d_2$  instead of  
 580  $d$  when we appeal to Lemma 8, and then repeat the argument to reduce the oracle depth  
 581 to  $k - 2$ , the parameters still work out. If we let  $d_3$  be the depth of the  $\text{NC}^0$  circuitry that  
 582 results by starting with  $C_n$  with depth- $d$   $\text{NC}^0$  circuitry at the bottom, eliminating lowest  
 583 level of oracle gates and applying a random restriction to obtain a circuit family of oracle  
 584 depth  $k - 1$  with  $\text{NC}^0$  circuitry of depth  $d_2$  at the bottom, and then repeating the process to  
 585 obtain a circuit family of oracle depth  $k - 2$  with  $\text{NC}^0$  circuitry of depth  $d_3$  at the bottom,  
 586 then the argument above is sufficient to obtain a circuit family of depth  $k - 3$ , etc. Thus,  
 587 there is a choice of  $\epsilon'$  that suffices to convert an arbitrary  $\leq_{\text{T}}^{\text{AC}^0}$  reduction of oracle depth  
 588  $k$  (with fewer than  $n^\epsilon$  oracle gates) to an  $\text{AC}^0$  circuit computing parity on  $n^{\Omega(1)}$  input bits,  
 589 thereby obtaining the desired contradiction. ◀

## 590 7 Open Questions

591 There remain several open questions. The true complexity of  $\text{MCSP}$  remains a mystery.  
 592 We have made progress in understanding the hardness of an approximation to  $\text{MCSP}$ , but  
 593 how far can Theorem 10 be extended? Can we prove the result for general truth-table  
 594 and Turing reductions? Can we reduce the gap in the theorem to some constant factor  
 595 approximations? Does the impossibility result hold when  $\text{AC}^0$  is replaced with, say,  $\text{AC}^0[2]$   
 596 many-one reductions? Does the  $\text{DET}$ -hardness of  $\text{MKTP}$  [7] also hold for  $\text{MCSP}$ , given that  
 597 we have ruled out any large gap reduction?

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