

# AC<sup>0</sup> unpredictability

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## Abstract

We prove that for every distribution  $D$  on  $n$  bits with Shannon entropy  $\geq n - a$  at most  $O(2^d a \log^{d+1} g)/\gamma^5$  of the bits  $D_i$  can be predicted with advantage  $\gamma$  by an AC<sup>0</sup> circuit of size  $g$  and depth  $d$  that is a function of all the bits of  $D$  except  $D_i$ . This answers a question by Meir and Wigderson (2017) who proved a corresponding result for decision trees.

We also show that there are distributions  $D$  with entropy  $\geq n - O(1)$  such that any subset of  $O(n/\log n)$  bits of  $D$  on can be distinguished from uniform by a circuit of depth 2 and size  $\text{poly}(n)$ . This separates the notions of predictability and distinguishability in this context.

A line of papers in the literature [EIRS01, Raz98, Unr07, SV10, DGK17, CDGS18, MW17, ST17, GSV18] proves that if a distribution  $D$  on  $n$  bits has Shannon entropy  $H$  close to  $n$  then  $D$  possesses several properties of the uniform distribution on  $n$  bits. For a discussion and comparison of these results we refer the reader to [GSV18]. In this paper we consider two such properties.

**Predictability.** Meir and Wigderson prove [MW17] that most coordinates cannot be *predicted* by shallow decision trees. We state their result next with a slightly optimized bound given soon after by Smal and Talebanfard [ST17].

**Theorem 1.** [MW17, ST17] *Let  $D = (D_1, D_2, \dots, D_n)$  be a distribution on  $n$  bits with  $H(D) \geq n - a$ . Let  $t_1, t_2, \dots, t_n$  be  $n$  decision trees of depth  $q$ , where  $t_i$  does not query  $D_i$ . Let  $B := \{i \in [n] : \mathbb{P}_D[D_i = t_i(D)] \geq 1/2 + \gamma\}$ . Then  $|B| \leq 2aq/\gamma^2$ .*

The bound in [MW17] is  $|B| \leq O(aq/\gamma^3)$ . Throughout this paper  $O(\cdot)$  and  $\Omega(\cdot)$  stand for absolute constants. The result in [MW17, ST17] applies to a stronger model that we think of as roughly the intersection of DNF and CNF. But it does not apply to DNF. Meir and Wigderson raised the question of proving a similar result for AC<sup>0</sup>. We answer their question affirmatively in this paper.

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**Theorem 2.** Let  $D = (D_1, D_2, \dots, D_n)$  be a distribution on  $n$  bits with  $H(D) \geq n - a$ . Let  $C_1, C_2, \dots, C_n$  be  $n$  circuits on  $n$  bits, each of size  $g$  and depth  $d$ , where  $C_i$  does not depend on  $D_i$ . Let  $B := \{i \in [n] : \mathbb{P}_D[D_i = C_i(D)] \geq 1/2 + \gamma\}$ . Then  $|B| \leq O(2^d a \log^{d+1} g) / \gamma^5$ .

It is noted in [ST17] that Theorem 1 is tight. In a tight example, the decision trees simply compute parities on  $q + 1$  bits. Such parities can be computed by circuits of depth  $\exp(q^{1/(d-1)})$ . Hence the bound on  $|B|$  in Theorem 2 is tight up to a factor of  $\log^2(g) / \gamma^3$ .

The proof of Theorem 2 is in Section 1.

**Distinguishability.** A result in [GSV18], stated next, shows that if we forbid to query a few bits, the distribution  $D$  is *indistinguishable* from uniform by small-depth decision trees. (This is called the *forbidden-set lemma* in [GSV18].)

**Theorem 3.** [GSV18] Let  $D$  be a distribution on  $n$  bits with  $H(D) \geq n - a$ . For every  $\gamma, q$  there exists a set  $B \subseteq [n]$  of size  $O(aq^3 / \gamma^3)$  such that for every decision tree  $t$  of depth  $q$  that does not make queries in  $B$ ,

$$|\mathbb{P}[t(U) = 1] - \mathbb{P}[t(D) = 1]| \leq \gamma.$$

Theorem 1 can be used to give an alternative proof of Theorem 3, see the discussion in [GSV18]. The other way around is not clear.

In the spirit of the previous result, we ask if Theorem 3 can be extended to constant-depth circuits. We give a negative answer.

**Theorem 4.** For infinitely many  $n$ :

There is a distribution  $D$  on  $n$  bits with  $H(D) \geq n - O(1)$  such that for any set  $B$  of size  $O(n / \log n)$  there is a read-once  $O(\log n)$ -DNF  $C$  with no variable in  $B$  such that

$$|\mathbb{P}[C(U) = 1] - \mathbb{P}[C(D) = 1]| \geq \Omega(1).$$

The proof of this theorem is in Section 2.

Whereas for the model of decision trees theorems 1 and 3 give similar bounds for predictability and distinguishability, theorems 2 and 4 give a strong separation between these notions for  $AC^0$ .

Given the negative result in Theorem 4 it is natural to ask if Theorem 3 can be extended in other ways. We note that it is possible to extend it to  $q$ -DNF, that is DNF with terms of size  $q$ . However the size of  $B$  now depends exponentially on  $q$ .

**Theorem 5.** Let  $D$  be a distribution on  $n$  bits with  $H(D) \geq n - a$ . For every  $\gamma, q$  there exists a set  $B \subseteq [n]$  of size  $a2^{O(q)} / \gamma^{O(1)}$  such that for every  $q$ -DNF  $C$  that does not contain variables in  $B$ ,

$$|\mathbb{P}[C(U) = 1] - \mathbb{P}[C(D) = 1]| \leq \gamma.$$

The proof of this theorem is in Section 3.

One can use Theorem 4 to show that the exponential dependence on  $q$  in Theorem 5 is necessary. Given  $n$  and  $q$ , use Theorem 4 to obtain a distribution  $D'$  on  $n' = 2^{\Theta(q)}$  bits with

entropy  $\geq n' - O(1)$  so that for any set  $B$  of size  $O(n'/\log n')$  there is a  $q$ -DNF  $C$  with no variable in  $B$  such that

$$|\mathbb{P}[C(U) = 1] - \mathbb{P}[C(D') = 1]| \geq \Omega(1).$$

Let  $D$  be the distribution that equals  $D'$  on the first  $n'$  bits and is uniform on the other  $n - n'$ . The entropy of  $D$  is  $n' - O(1) + n - n' \geq n - O(1)$ , but for indistinguishability we have to exclude a set  $B$  of size  $\geq \Omega(n'/\log n') = 2^{\Omega(q)}$ .

The proofs use standard facts about entropy which can be found online or in the book [CT06]. In particular we use extensively the *chain rule*  $H(X, Y) = H(X) + H(Y|X)$  for any random variables  $X$  and  $Y$ . We find it convenient to use the notation  $X$  for either the random variable or a fixed sample. The meaning is given by the context. If  $X$  is fixed the expression  $H(Y|X)$  denotes the entropy of  $Y$  conditioned on the fixed outcome  $X$ . If  $X$  is not fixed it denotes the average over  $X$  of the entropy of  $Y$  conditioned on the fixed outcome  $X$ .

## 1 Proof of Theorem 2

The high-level idea is to perform some kind of *restriction* so that the circuits collapse to shallow decision trees and also a lot of entropy is preserved. If that happens we can use Theorem 1 to get a bound. However executing this plan is not straightforward.

**High-entropy switching lemma.** First we recall the switching lemma. It will be important for our results to use the latest analysis [Hås14].

**Definition 6.** A function  $f : \{0, 1\}^m \rightarrow \{0, 1\}^n$  is computable by a  $q'$ -*partial common decision tree of depth  $q$*  if there is a (standard) decision tree of depth  $q$  such that on every input, the function  $f$  restricted along a path of this tree has the property that every output bit of  $f$  is computable by a decision tree of depth  $q'$ .

In other words, we can compute  $f$  with a decision tree of depth  $q$  that has at its leaves decision forests of depth  $q'$ .

A *restriction* on  $n$  bits is a subset of  $\{0, 1, \star\}^n$  where the symbol  $\star$  is called *star*. For an integer  $s$  the distribution  $R_s$  is obtained by picking uniformly a subset of size  $s$  for the stars and setting the other bits uniformly.

**Lemma 7.** [*Switching lemma*] Let  $C : \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a circuit of size  $g$  and depth  $d$  with  $g \geq n \geq d$ . Let  $R = R_s$  be a random restriction with  $s = \Theta(n/\log^{d-1} g)$  stars. Except with error probability  $\alpha$  over  $R$ , the circuit restricted to  $R$  can be computed by an  $O(\log g)$ -*partial common decision tree of depth- $O(2^d \log(g/\alpha))$* .

Now we are ready for our switching-lemma for high-entropy distributions.

**Definition 8.** A  $D$ -restriction with  $s$  stars is obtained by picking the locations for the stars uniformly at random, and setting the other bits according to  $D$ .

**Lemma 9.** *In the same setting of Theorem 7, let  $R$  be a  $D$ -restriction, where  $H(D) \geq n - a$ . Then the error bound is  $(1 + a)/\log(1/\alpha)$ .*

For  $\sigma$  a subset of  $[n]$  we write  $D_\sigma$  for the  $|\sigma|$  bits of  $D$  corresponding to  $D$ , and  $D_{\bar{\sigma}}$  for the others.

*Proof.* Let  $A$  be the set of all possible restrictions with  $s$  stars. We have  $|A| = \binom{n}{s} 2^{n-s}$ . Let  $H$  be the set of restrictions that don't collapse the circuits in the sense of Lemma 7. By the same lemma,  $|H|/|A| \leq \alpha$ .

$R$  is a distribution over  $A$ . We shall show that it lands in  $H$  with small probability. Write  $R$  as  $(S, D_{\bar{S}})$ , where  $S$  is the subset of the stars, and  $D_{\bar{S}}$  is the projection of  $D$  outside of  $S$ . We have

$$H(R) = H(S, D_{\bar{S}}) = H(S) + H(D_{\bar{S}}|S) \geq \log_2 \binom{n}{s} + n - a - s.$$

In the inequality we use that for every fixed  $S$ , the distribution  $D_{\bar{S}}$  is over  $n - s$  variables and we have  $H(D) = H(D_S, D_{\bar{S}}) = H(D_{\bar{S}}) + H(D_S|D_{\bar{S}})$ . The latter term is at most  $s$ . And so we have  $H(D_{\bar{S}}) \geq H(D) - s \geq n - a - s$ .

Thus the entropy of  $R$  is only  $a$  away from the maximum entropy  $m := \log_2 \binom{n}{s} + n - s$  of any distribution over  $A$ .

Let  $p$  be the probability that  $R \in H$ . Let  $E$  be the indicator random variable of the event  $R \in H$ . We have

$$\begin{aligned} m - a &\leq H(R) = H(R, E) = H(R|E) + H(E) \leq H(R|E) + 1 \\ &= pH(R|E = 1) + (1 - p)H(R|E = 0) + 1 \leq p \log_2 |H| + (1 - p)m + 1. \\ &\leq p \log \alpha + pm + (1 - p)m + 1. \end{aligned}$$

Hence  $p \log(1/\alpha) \leq 1 + a$ , and the result follows.  $\square$

We apply Lemma 9 with  $\alpha := 2^{-200a/\gamma}$ . This gives an  $O(\log g)$ -partial common tree of depth  $q = O(2^d(\log g + a/\gamma))$  and an error bound of  $0.01\gamma$ .

**High-entropy after restrictions.** We need to show that after the restriction the entropy is still large. First note  $H(D|R) \geq s - a$ , indeed this holds for any fixed choice for the positions  $S$  for the stars. To verify this note that, for any fixed  $S$ ,

$$n - a \leq H(D) = H(D_S, D_{\bar{S}}) \leq H(D_{\bar{S}}) + H(D_S|D_{\bar{S}}) = H(R) + H(D|R) \leq n - s + H(D|R).$$

Applying Markov's inequality to  $\mathbb{E}_R[s - H(D|R)] = s - H(D|R) \leq a$ , where note the argument inside the expectation is non-negative, we obtain  $\mathbb{P}_R[s - H(D|R) \geq a/\epsilon] \leq \epsilon$  for any  $\epsilon$ . Setting  $\epsilon = 0.01\gamma$  we obtain that with probability  $\geq 1 - 0.01\gamma$  over  $R$ ,  $H(D|R) \geq s - O(a/\gamma)$ .

**Intersecting  $B$ .** We argue that  $|S \cap B| \geq 0.5(s|B|/n) = \Omega(|B|/\log^{d-1} g)$  with high probability. This quantity is the hypergeometric distribution of the number of red balls sampled without replacement from a set of  $n$  balls  $|B|$  of which are red. The expected number of red balls is  $sp$  where  $p := |B|/n$ . The probability of sampling less than half of that is at most (see Section 4 in [Hoe63])

$$2^{-\mathcal{D}(0.5p|p)s} \leq 2^{-\Omega(ps)} \leq 2^{\Omega(|B|/\log^{d-1} g)}$$

where  $\mathcal{D}$  is divergence. The upper bound is at most  $1/1000$  (else the theorem is vacuously true).

**Fixing restrictions.** Call a fixed restriction  $R$  *good* if both  $H(D|R) \geq s - O(a/\gamma)$  and every circuit collapses to an  $O(\log g)$ -partial common depth- $q$  tree. By above and a union bound, the probability that  $R$  is not good is  $\leq 0.01\gamma + 0.01\gamma \leq \gamma/10$ . Writing  $R$  as  $(S, D_{\bar{S}})$  we conclude that

$$\mathbb{P}_S[\mathbb{P}_{D_{\bar{S}}}[R \text{ bad}] \geq \gamma/2] \leq 1/5,$$

because otherwise the probability of being bad is  $> (1/5)(\gamma/2) = \gamma/10$ , contradicting the previous fact.

Combining this with the bound on intersecting  $B$  we obtain that there exists a fixed  $S$  such that

- (1)  $\mathbb{P}_{D_{\bar{S}}}[R \text{ bad}] \leq \gamma/2$ ,
- (2)  $|S \cap B| \geq \Omega(|B|/\log^{d-1} g)$ .

Now, for this fixed  $S$ , let  $L := S \cap B$ . Because  $L \subseteq B$ , we have by assumption

$$1/2 + \gamma \leq \mathbb{P}_{i \in L}[D_i = C_i(D)] \leq \mathbb{P}_{i \in L}[D_i = C_i(D)|R \text{ good}] + \mathbb{P}[R \text{ bad}].$$

So  $\mathbb{P}_{i \in L}[D_i = C_i(D)|R \text{ good}] \geq 1/2 + \gamma - \gamma/2 \geq 1/2 + \gamma/2$ . Fix a good restriction  $R$  for which this holds. (Note  $S$  was fixed already, so we are just fixing  $D_{\bar{S}}$ .) Project the resulting distribution onto  $S$  and call it  $X$ . We have  $H(X) \geq s - O(a/\gamma)$ , the circuit is computable by a  $O(\log g)$ -partial common depth- $q$  tree, and moreover there is a set  $L$  of size  $\geq \Omega(|B|/\log^{d-1} g)$  such that  $\mathbb{P}_{i \in L}[X_i = C_i(X)] \geq 1/2 + \gamma/2$ .

**Handling the common part.** Now we need to handle the common part of the decision tree. We need to fix the variables along a path so that both the entropy and the prediction is preserved. Let  $t$  be the common decision tree. We think of sampling  $X$  by first sampling the  $q$  bits  $Y$  along a path, and then sampling the other  $s - q$  bits  $Z$ , in a fixed order. We want to show that  $H(Z|Y)$  is large. Indeed,

$$s - O(a/\gamma) = H(X) = H(Y, Z) = H(Z|Y) + H(Y) \leq H(Z|Y) + q.$$

The second equality can be verified by noting that  $X$  is a function of  $(Y, Z)$  and  $(Y, Z)$  is a function of  $X$ . Rearranging and using our bound on  $q$  we get  $s - H(Z|Y) \leq q + O(a/\gamma) = O(q)$ . By a Markov argument, the probability over  $Y$  that  $s - H(Z|Y) \geq O(q/\gamma)$  is at most  $\gamma/4$ . Call such a  $Y$  *bad*. Like before, we have

$$1/2 + \gamma/2 \leq \mathbb{P}_{i \in L}[X_i = C_i(X)] \leq \mathbb{P}_{i \in L}[X_i = C_i(X)|Y \text{ good}] + \mathbb{P}[Y \text{ bad}].$$

Hence  $\mathbb{P}_{i \in L}[X_i = C_i(X)|Y \text{ good}] \geq 1/2 + \gamma/4$ . Fix a good  $Y$  such that this holds, and call the resulting distribution  $V$ . We have  $H(V) \geq s - O(q/\gamma)$ ,

$$\mathbb{P}_{i \in L}[V_i = C_i(V)] \geq 1/2 + \gamma/4, \quad (1)$$

and now each  $C_i$  is a decision tree of depth  $O(\log g)$ .

**Finishing up.** By Theorem 1 the number of the  $s$  coordinates of  $V$  that can be  $(1/2 + \gamma/8)$ -predicted is at most twice the entropy deficiency  $O(q/\gamma)$  times the depth of the tree  $O(\log g)$ , divided by  $O(1/\gamma)^2$ . This equals

$$O(q/\gamma^3) \log g. \quad (2)$$

Hence we have

$$\mathbb{P}_{i \in L}[V_i = C_i(V)] \leq O(q/\gamma^3) \log(g)/|L| + 1/2 + \gamma/8.$$

Combining equations 1 and 2 we obtain

$$O(q/\gamma^3) \log g/|L| \geq \gamma/8.$$

Now recall  $q = O(2^d(\log g + a/\gamma))$ . Hence we can crudely bound  $O(q/\gamma^3) \log g$  above by  $O(2^d \log^2(g)a/\gamma^4)$ . Also recall  $|L| \geq \Omega(|B|/\log^{d-1} g)$ . Hence we get

$$O(2^d \log^{d+1}(g)a/|B|\gamma^4) \geq \gamma/8.$$

This concludes the proof.

## 1.1 Proof of Lemma 7

We denote by  $R_p$  the standard distribution on restrictions where the bits are independent and each comes up 1, 0,  $\star$  with probabilities  $(1-p)/2, (1-p)/2, p$ .

**Lemma 10.** [Lemma 3.8 in [Hås14] with  $s := 1 + \log S$ ] Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}^S$  be a function computable by a depth-2 circuit with input fan-in  $r$ . Then the probability over  $R_p$  that  $f$  restricted to  $R_p$  cannot be computed by a  $(1 + \log S)$ -partial common depth- $q$  decision tree is at most  $S(24pr)^q$ .

The straightforward corollary we need is not stated anywhere.

**Corollary 11.** Let  $C : \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a circuit of size  $g$  and depth  $d$  with  $g \geq n \geq d$ . Let  $p = \Theta(1/\log^{d-1} g)$ . With probability  $1 - \alpha$  over  $R_p$  the circuit restricted to  $R_p$  can be computed by a  $(1 + \log n)$ -partial common depth- $O(2^d \log(g/\alpha))$  decision tree.

*Proof.* First we take a restriction with  $p = \Omega(1)$ , and apply Lemma 10 to the  $g_1$  gates at level 1 (viewed as a DNF or CNF with input fan-in 1). For a parameter  $q_0$ , with probability  $1 - g_1 2^{-q_0}$  we can compute  $f$  by a common decision tree of depth  $q_0$  at the leaves of which we have circuits of depth  $d$  whose number of gates at levels  $\geq 2$  hasn't changed, and whose input fan-in is  $O(\log g)$ .

Then we take a restriction with  $p = \Omega(1/\log g)$ , and apply Lemma 10 to the  $g_2$  gates at level 2. We take a union bound over all  $2^{q_0}$  paths of the common decision tree just discussed. For a parameter  $q_1$ , with probability  $1 - 2^{q_0}g_22^{-q_1}$  we can compute  $f$  by a decision tree of depth  $q_0 + q_1$  at the leaves of which we have circuits of depth  $d - 2$  whose inputs are decision trees of depth  $O(\log g)$ . We can write the latter trees as CNF or DNF as appropriate and merge them with the next layer of gates. Hence we can compute  $f$  by a decision tree of depth  $q_0 + q_1$  at the leaves of which we have circuits of depth  $d - 1$  with input fan-in  $O(\log g)$ . The number of gates at the higher levels hasn't changed.

We continue in this fashion. In the end, we can compute  $f$  by a tree of depth  $q_0 + q_1 + \dots + q_{d-1}$  whose leaves are forests of depth  $O(\log g)$ . The error probability is  $g_12^{-q_0} + 2^{q_0}g_22^{-q_1} + 2^{q_0+q_1}g_32^{-q_2} + \dots$ . Picking  $q_i = t \cdot 2^i$  this is at most  $g \cdot d \cdot 2^{-t}$ .

So for error  $\alpha$  we should take  $t = \log(1/\alpha) + \log(g) + \log(d) \leq O(\log g/\alpha)$ . This gives a common tree of depth  $O(\log g/\alpha)2^d$  whose leaves are forests of depth  $O(\log g)$ .  $\square$

To conclude the proof of Lemma 7 we only need to verify that the same result holds if we take a restriction with exactly  $s = np \star$ . Indeed, the probability that  $R_p$  has exactly  $s$  stars is  $\geq \Omega(1/\sqrt{s}) \geq \Omega(1/g)$ . So if we set the error probability to  $O(\alpha/g)$  in Corollary 11 we obtain an error probability of  $\alpha$  for restrictions with exactly  $s$  stars, and the depth of the tree hasn't changed asymptotically.

## 2 Proof of Theorem 4

Let  $n = m(\log_2 m + 1)$  and think of the  $n$  bits as divided in  $m$  blocks of  $(\log_2 m + 1)$  bits each. The distribution  $D$  is sampled as follows. First select  $I \in \{1, 2, \dots, m\}$  uniformly. Set the  $I$  block to all zero. Then for every other block independently, set the block to a uniform value *excluding* all zero. We can write  $D$  as  $(I, X)$  where  $X$  are non-zero values for  $m - 1$  blocks.

We have

$$\begin{aligned} H(D) &= H(I, X) = H(I) + H(X) = \log_2 m + (m - 1) \log_2(2m - 1) \\ &= \log_2 m + (m - 1) \log_2(2m) + (m - 1) \log_2(1 - 1/2m) \\ &\geq m \log_2(2m) - O(1). \end{aligned}$$

The set  $B$  intersects  $\leq |B|$  of the blocks. Let  $G$  be the other blocks. Consider the function  $C$  that outputs 1 if any of the blocks in  $G$  is all zero. This function can be written as a read-once DNF with terms of size  $\log_2 m + 1$ .

Under the uniform distribution, the probability that  $C$  equals 1 is at most  $m/2^{\log_2 m + 1} = 1/2$ .

Under  $D$  it is at least the probability that  $I \in G$ , which is  $\geq (m - |B|)/m$ . So if  $|B| \leq m/3$  the DNF  $C$  distinguishes. The result follows because  $m \geq \Omega(n/\log n)$ .

## 3 Proof of Theorem 5

We rely on a simulation of DNF by *decision trees*, showing that a  $q$ -DNF can be written as a tree of depth about  $2^q$ , which may output “?” with small probability. A weaker version of

the result was proved by Ajtai and Wigderson [AW89]. The stronger version, stated next, is due to Trevisan [Tre04].

**Lemma 12.** *For every  $q$ -DNF  $C$  there exists a decision tree  $t_C$  of depth  $\leq 2q2^q \log(1/\epsilon)$  with range  $\{0, 1, ?\}$  such that*

- (1) *for every input  $x$ ,  $t_C(x) \neq ? \Rightarrow t_C(x) = C(x)$ , and*
- (2)  $\mathbb{P}[t_C(U) = ?] \leq \epsilon$ .

*Proof.* A *covering* of the terms is a set of variables such that any term contains a variable from the set, possibly negated. We define  $t_C : \{0, 1\}^n \rightarrow \{0, 1, ?\}$  recursively as follows. If  $C$  is a constant then  $t_C$  is the same constant. If  $C$  has  $\geq 2^q \log(1/\epsilon)$  disjoint terms, then  $t_C$  queries the first  $2^q \log(1/\epsilon)$  of them. If any term is True,  $t_C$  outputs 1, else it outputs ?. Otherwise, there exists a covering of the terms of size  $\leq q2^q \log(1/\epsilon)$ . The tree  $t_C$  first queries this covering, and then recursively queries the resulting  $(q-1)$ -DNF.

The tree  $t_C$  has depth  $\leq q2^q \log(1/\epsilon) + (q-1)2^{q-1} \log(1/\epsilon) + \dots \leq 2q2^q \log(1/\epsilon)$ .

Item (1) follows by definition.

To verify Item (2), note that the only case in which  $t_C$  outputs ? is that none of  $\geq 2^q \log(1/\epsilon)$  disjoint terms is True. This happens with probability at most

$$(1 - 1/2^q)^{2^q \log(1/\epsilon)} \leq (1/e)^{\log(1/\epsilon)} \leq \epsilon.$$

□

As a corollary, any distribution which fools decision trees of depth about  $2^q$  also fools  $q$ -DNF. We say that a distribution  $D$   $\epsilon$ -fools a class of functions  $F$  if for every  $f \in F$  we have  $|\mathbb{P}[f(D) = 1] - \mathbb{P}[f(U) = 1]| \leq \epsilon$ , where  $U$  is the uniform distribution.

**Corollary 13.** *Let  $D$  be a distribution that  $\epsilon$ -fools decision trees of depth  $2q2^q \log(1/\epsilon)$ . Then  $D$   $O(\epsilon)$ -fools  $q$ -DNF.*

*Proof.* For a  $q$ -DNF  $C$  let  $t_C$  be the tree from Lemma 12. By its properties we have, for every distribution  $X$ :

$$\mathbb{P}[t_C(X) = 1] \leq \mathbb{P}[C(X) = 1] \leq \mathbb{P}[t_C(X) = 1] + \mathbb{P}[t_C(X) = ?].$$

Writing down this fact for both  $X = D$  and  $X = U$  we have

$$\begin{aligned} \mathbb{P}[t_C(U) = 1] &\leq \mathbb{P}[C(U) = 1] \leq \mathbb{P}[t_C(U) = 1] + \mathbb{P}[t_C(U) = ?], \\ \mathbb{P}[t_C(D) = 1] &\leq \mathbb{P}[C(D) = 1] \leq \mathbb{P}[t_C(D) = 1] + \mathbb{P}[t_C(D) = ?]. \end{aligned}$$

By assumption, the left-hand sides are within  $\epsilon$ , and so are the rightmost terms. Moreover,  $\mathbb{P}[t_C(U) = ?] \leq \epsilon$ . Hence  $\mathbb{P}[C(X) = 1]$  for both  $X = D$  and  $X = U$  lies in the interval  $[\mathbb{P}[t_C(U) = 1] - \epsilon, \mathbb{P}[t_C(U) = 1] + 3\epsilon]$  and so they are within  $O(\epsilon)$ . □

Combining Corollary 13 with Theorem 3 we immediately obtain Theorem 5.

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