

# Fourier bounds and pseudorandom generators for product tests

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## Abstract

We study the Fourier spectrum of functions  $f: \{0, 1\}^{mk} \rightarrow \{-1, 0, 1\}$  which can be written as a product of  $k$  Boolean functions  $f_i$  on disjoint  $m$ -bit inputs. We prove that for every positive integer  $d$ ,

$$\sum_{S \subseteq [mk]: |S|=d} |\hat{f}_S| = O(m)^d.$$

Our upper bound is tight up to a constant factor in the  $O(\cdot)$ . Our proof builds on a new “level- $d$  inequality” that bounds above  $\sum_{|S|=d} \hat{f}_S^2$  for any  $[0, 1]$ -valued function  $f$  in terms of its expectation, which may be of independent interest.

As a result, we construct pseudorandom generators for such functions with seed length  $\tilde{O}(m + \log(k/\varepsilon))$ , which is optimal up to polynomial factors in  $\log m$ ,  $\log \log k$  and  $\log \log(1/\varepsilon)$ . Our generator in particular works for the well-studied class of combinatorial rectangles, where in addition we allow the bits to be read in any order. Even for this special case, previous generators have an extra  $\tilde{O}(\log(1/\varepsilon))$  factor in their seed lengths.

Using Schur-convexity, we also extend our results to functions  $f_i$  whose range is  $[-1, 1]$ .

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# 1 Introduction

In this paper we study tests on  $n$  bits which can be written as a product of  $k$  bounded real-valued functions defined on disjoint inputs of  $m$  bits. We first define them formally.

**Definition 1** (Product tests). *A function  $f: \{0, 1\}^n \rightarrow [-1, 1]$  is a product test with  $k$  functions of input length  $m$  if there exist  $k$  disjoint subsets  $I_1, I_2, \dots, I_k \subseteq \{1, 2, \dots, n\}$  of size  $\leq m$  such that  $f(x) = \prod_{i \leq k} f_i(x_{I_i})$  for some functions  $f_i$  with range in  $[-1, 1]$ . Here  $x_{I_i}$  are the  $|I_i|$  bits of  $x$  indexed by  $I_i$ .*

More generally, the range of each function  $f_i$  can be  $\mathbb{C}_{\leq 1} := \{z \in \mathbb{C} : |z| = 1\}$ , the complex unit disk [GKM15, HLV18], or the set of square matrices over a field [RSV13]. However, in this paper we only focus on the range  $[-1, 1]$ . As we will soon explain, our results do not hold for the broader range of  $\mathbb{C}_{\leq 1}$ .

The class of product tests was first introduced by Gopalan, Kane and Meka under the name of *Fourier shapes* [GKM15]. However, in their definition, the subsets  $I_i$  are fixed. Motivated by the recent constructions of pseudorandom generators against *unordered* tests, which are tests that read input bits in arbitrary order [BPW11, IMZ12, RSV13, SVW14], Haramaty, Lee and Viola [HLV18] considered the generalization in which the subsets  $I_i$  can be arbitrary as long as they are of bounded size and pairwise disjoint.

Product tests generalize several restricted classes of tests. For example, when the range of the functions  $f_i$  is  $\{0, 1\}$ , product tests correspond to the AND of disjoint Boolean functions, also known as the well-studied class of *combinatorial rectangles* [AKS87, Nis92, NZ96, INW94, EGL<sup>+</sup>98, ASWZ96, Lu02, Vio14, GMR<sup>+</sup>12, GY14]. When the range of the  $f_i$  is  $\{-1, 1\}$ , they correspond to the XOR of disjoint Boolean functions, also known as the class of *combinatorial checkerboards* [Wat13]. More importantly, product tests also capture *read-once space computation*. Specifically, Reingold, Steinke and Vadhan [RSV13] showed that the class of read-once width- $w$  branching programs can be encoded as product tests with outputs  $\{0, 1\}^{w \times w}$ , the set of  $w \times w$  Boolean matrices.

In the past year, the study of product tests [HLV18, LV17] has found applications in constructing state-of-the-art pseudorandom generators (PRGs) for space-bounded algorithms. Using ideas in [GMR<sup>+</sup>12, GY14, LV17, CHRT18], Meka, Reingold and Tal [MRT18] constructed a pseudorandom generator for width-3 read-once branching programs (ROBPs) on  $n$  bits with seed length  $\tilde{O}(\log n \log(1/\varepsilon))$ , giving the first improvement of Nisan's generator [Nis92] in the 90s. Building on [RSV13, HLV18, CHRT18], Forbes and Kelley significantly simplified the analysis of [MRT18] and constructed a generator that fools *unordered* polynomial-width read-once branching programs. Thus, it is motivating to further study product tests, in the hope of gaining more insights into constructing better generators for space-bounded algorithms, and resolving the long-standing open problem of RL vs. L.

In this paper we are interested in understanding the Fourier spectrum of product tests. We first define the *Fourier weight* of a function. For a function  $f: \{0, 1\}^n \rightarrow \mathbb{R}$ , consider its Fourier expansion  $f = \sum_{S \subseteq [n]} \hat{f}_S \chi_S$ .

**Definition 2** (*d*th level Fourier weight in  $L_q$ -norm). Let  $f: \{0, 1\}^n \rightarrow \mathbb{C}_{\leq 1}$  be any function. The *d*th level Fourier weight of  $f$  in  $L_q$ -norm is

$$W_{q,d}[f] := \sum_{|S|=d} |\hat{f}_S|^q.$$

We denote by  $W_{q,\leq d}[f]$  the sum  $\sum_{\ell=0}^d W_{q,\ell}[f]$ .

Several papers have studied the Fourier spectrum of different classes of tests. This includes constant-depth circuits [Man95, Tal17], read-once branching programs [RSV13, SVW14, CHRT18], and low-sensitivity functions [GSW16]. More specifically, these papers showed that they have *bounded  $L_1$  Fourier tail*, that is, there exists a positive number  $b$  such that for every test  $f$  in the class and every positive integer  $d$ , we have

$$W_{1,d}[f] \leq b^d.$$

One technical contribution of this paper is giving tight upper and lower bounds on the  $L_1$  Fourier tail of product tests.

**Theorem 3.** Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test of  $k$  functions  $f_1, \dots, f_k$  with input length  $m$ . Suppose there is a constant  $c > 0$  such that  $|\mathbb{E}[f_i]| \leq 1 - 2^{-cm}$  for every  $f_i$ . For every positive integer  $d$ , we have

$$W_{1,d}[f] \leq (72(\sqrt{c} \cdot m))^d.$$

Theorem 3 applies to Boolean functions  $f_i$  with outputs  $\{0, 1\}$  or  $\{-1, 1\}$ . Moreover, the parity function on  $mk$  bits can be written as a product test with outputs  $\{-1, 1\}$ , which has  $\hat{f}_{[mk]} = 1$ . So product tests do not have non-trivial  $L_2$  Fourier tail. (See [Tal17] for a definition.)

We also obtain a different upper bound when the  $f_i$  are arbitrary  $[-1, 1]$ -valued functions.

**Theorem 4.** Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test of  $k$  functions  $f_1, \dots, f_k$  with input length  $m$ . Let  $d$  be a positive integer. We have

$$W_{1,d}[f] \leq (85\sqrt{m \ln(4ek)})^d.$$

We note that Theorems 3 and 4 are incomparable, as one can take  $m = 1$  and  $k = n$ , or  $m = n$  and  $k = 1$ .

**Claim 5.** For all positive integers  $m$  and  $d$ , there exists a product test  $f: \{0, 1\}^{mk} \rightarrow \{0, 1\}$  with  $k = d \cdot 2^m$  functions of input length  $m$  such that

$$W_{1,d}[f] \geq (m/e^{3/2})^d.$$

This matches the upper bound  $W_{1,d}[f] = O(m)^d$  in Theorem 3 up to the constant in the  $O(\cdot)$ . Moreover, applying Theorem 4 to the product test  $f$  in Claim 5 gives  $W_{1,d}[f] = O(\sqrt{m \log(2k)})^d = O(m + \sqrt{m \log d})^d$ . Therefore, for all integers  $m$  and  $d \leq 2^{O(m)}$ , there exists an integer  $k$  and a product test  $f$  such that the upper bound  $W_{1,d}[f] = O(\sqrt{m \log(2k)})^d$  is tight up to the constant in the  $O(\cdot)$ .

We now discuss some applications of Theorems 3 and 4 in pseudorandomness.

**Pseudorandom generators.** In recent years, researchers have developed new frameworks to construct pseudorandom generators against different classes of tests. Gopalan, Meka, Reingold, Trevisan and Vadhan [GMR<sup>+</sup>12] refined a framework introduced by Ajtai and Wigderson [AW89] to construct better generators for the classes of combinatorial rectangles and read-once DNFs. Since then, this framework has been used extensively to construct new PRGs against different classes of tests [TX13, GKM15, GY14, RSV13, SVW14, CSV15, HLV18, HT18, ST18, LV17, CHRT18, FK18, MRT18, DHH18]. Recently, a beautiful work by Chattopadhyay, Hatami, Hosseini and Lovett [CHHL18] developed a new framework of constructing PRGs against any classes of functions that are closed under restriction and have bounded  $L_1$  Fourier tail. Thus, applying their result to Theorems 3 and 4, we can immediately obtain a non-trivial PRG for product tests. However, using the recent result of Forbes and Kelley [FK18] and exploiting the structure of product tests, we use the Ajtai–Wigderson framework to construct PRGs with much better seed length than using [CHHL18] as a blackbox.

**Theorem 6.** *There exists an explicit generator  $G: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  that fools the XOR of any  $k$  Boolean functions on disjoint inputs of length  $\leq m$  with error  $\varepsilon$  and seed length  $O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon))^2 = \tilde{O}(m + \log(n/\varepsilon))$ .*

Here  $\tilde{O}(1)$  hides polynomial factors in  $\log m$ ,  $\log \log k$ ,  $\log \log n$  and  $\log \log(1/\varepsilon)$ . When  $mk = n$  or  $\varepsilon = n^{-\Omega(1)}$ , the generator in Theorem 6 has seed length  $\tilde{O}(m + \log(k/\varepsilon))$ , which is optimal up to  $\tilde{O}(1)$  factors.

We now compare Theorem 6 with previous works. Using a completely different analysis, Lee and Viola [LV17] obtained a generator with seed length  $\tilde{O}((m + \log k) \log(1/\varepsilon))$ . When  $m = O(\log n)$  and  $k = 1/\varepsilon = n^{\Omega(1)}$ , this is  $\tilde{O}(\log^2 n)$ , whereas the generator in Theorem 6 has seed length  $\tilde{O}(\log n)$ . When each function  $f_i$  is computable by a read-once width- $w$  branching program on  $m$  bits, Meka, Reingold and Tal [MRT18] obtained a PRG with seed length  $O(\log(n/\varepsilon))(\log m + \log \log(n/\varepsilon))^{2w+2}$ . When  $m = O(\log(n/\varepsilon))$ , Theorem 6 improves on their generator on the lower order terms. As a result, we obtain a PRG for *read-once*  $\mathbb{F}_2$ -polynomials, which are a sum of monomials on disjoint variables over  $\mathbb{F}_2$ , with seed length  $O(\log n/\varepsilon)(\log \log(n/\varepsilon))^2$ . This also improves on the seed length of their PRG for read-once polynomials in the lower order terms by a factor of  $(\log \log(n/\varepsilon))^4$ .

Our generator in Theorem 6 also works for the AND of the functions  $f_i$ , corresponding to the class of *unordered* combinatorial rectangles. In fact, we have the following more general corollary.

**Corollary 7.** *There exists an explicit pseudorandom generator  $G: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  with seed length  $\tilde{O}(m + \log(n/\varepsilon))$  such that the following holds. Let  $f_1, \dots, f_k: \{0, 1\}^{I_i} \rightarrow \{0, 1\}$  be  $k$  Boolean functions where the subsets  $I_i \subseteq [n]$  are pairwise disjoint and have size at most  $m$ . Let  $g: \{0, 1\}^k \rightarrow \mathbb{C}_{\leq 1}$  be any function and write  $g$  in its Fourier expansion  $g = \sum_{S \subseteq [k]} \hat{g}_S \chi_S$ . Then  $G$  fools  $g(f_1, \dots, f_k)$  with error  $L_1[g] \cdot \varepsilon$ , where  $L_1[g] := \sum_{S \neq \emptyset} |\hat{g}_S|$ .*

*Proof.* Let  $G$  be the generator in Theorem 6. Note that  $\chi_S(f_1(x_{I_1}), \dots, f_k(x_{I_k}))$  is a product

test with outputs  $\{-1, 1\}$ . So by Theorem 6 we have

$$\begin{aligned} & \left| \mathbb{E}[g(f_1(U_{I_1}), \dots, f_k(U_{I_k}))] - \mathbb{E}[g(f_1(G_{I_1}), \dots, f_k(G_{I_k}))] \right| \\ & \leq \sum_S |\hat{g}_S| \left| \mathbb{E}[\chi_S(f_1(U_{I_1}), \dots, f_k(U_{I_k}))] - \mathbb{E}[\chi_S(f_1(G_{I_1}), \dots, f_k(G_{I_k}))] \right| \\ & \leq L_1[g] \cdot \varepsilon. \end{aligned} \quad \square$$

Note that the AND function has  $L_1[\text{AND}] \leq 1$ , and so the generator in Corollary 7 fools unordered combinatorial rectangles. Previous generators for unordered combinatorial rectangles use almost-bounded independence or small-bias distributions, and have seed length  $O(\log(n/\varepsilon))(1/\varepsilon)$  [CRS00, DETT10].

When the functions  $f_i$  in the product tests have outputs  $[-1, 1]$ , we also obtain the following generator.

**Theorem 8.** *There exists an explicit generator  $G: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  that fools any product test with  $k$  functions of input length  $m$  with error  $\varepsilon$  and seed length  $O(m + \log(k/\varepsilon)) \log(k/\varepsilon)$  ( $\log m + \log \log n = \tilde{O}(m + \log(k/\varepsilon)) \log(k/\varepsilon)$ ).*

When  $m = o(\log n)$  and  $k = 1/\varepsilon = 2^{o(\sqrt{\log n})}$ , Theorem 8 gives a better seed length than Theorem 6. Thus the generator in Theorem 8 remains interesting for  $f_i \in \{-1, 1\}$  when a product test  $f$  depends on very few variables and the error  $\varepsilon$  is not so small.

Previous best generator [LV17] has an extra  $\tilde{O}(\log(1/\varepsilon))$  in the seed length. However, the generator in [LV17] works even when the  $f_i$  have range  $\mathbb{C}_{\leq 1}$ , which implies generators for several variants of product tests such as generalized halfspaces and combinatorial shapes. (See [GKM15] for the reductions.)

Finally, when the subsets  $I_i$  of a product test are fixed and known in advanced, Gopalan, Kane and Meka [GKM15] constructed a PRG of the same seed length as Theorem 6, but again their PRG works more generally for the range of  $\mathbb{C}_{\leq 1}$  instead of  $\{-1, 1\}$ .

**$\mathbb{F}_2$ -polynomials.** Chattopadhyay, Hatami, Lovett and Tal [CHLT19] recently constructed a pseudorandom generator for any class of functions that are closed under restriction, provided there is an upper bound on the second level Fourier weight of the functions in  $L_1$ -norm. They conjectured that every  $n$ -variate  $\mathbb{F}_2$ -polynomial  $f$  of degree  $d$  satisfies the bound  $W_{1,2}[f] = O(d^2)$ . In particular, a bound of  $n^{1/2-o(1)}$  would already imply a generator for polynomials of degree  $d = \Omega(\log n)$ , a major breakthrough in complexity theory. Theorem 4 shows that their conjecture is true for the special case of *read-once* polynomials. In fact, it shows that  $W_{1,t}[f] = O(d^t)$  for every positive integer  $t$ . Previous bound for read-once polynomials gives  $W_{1,t}[f] = O(\log^4 n)^t$  [CHRT18].

**The coin problem.** Let  $X_{n,\varepsilon} = (X_1, \dots, X_n)$  be the distribution over  $n$  bits, where the variables  $X_i$  are independent and each  $X_i$  equals 1 with probability  $(1-\varepsilon)/2$  and 0 otherwise. The  $\varepsilon$ -*coin problem* asks whether a given function  $f$  can distinguish between the distributions  $X_{n,\varepsilon}$  and  $X_{n,0}$  with advantage  $1/3$ .

This central problem has wide range of applications in computational complexity and has been studied extensively for different restricted classes of tests, including bounded-depth

circuits [Ajt83, Val84, ABO84, Ama09, Vio09, SV10, Aar10, Vio14, CGR14], space-bounded algorithms [BV10, Ste13, CGR14], bounded-depth circuits with parity gates [SV10, KS18, RS17, LSS<sup>+</sup>18],  $\mathbb{F}_2$ -polynomials [LSS<sup>+</sup>18, CHLT19] and product tests [LV18].

It is known that if a function  $f$  has bounded  $L_1$  Fourier tail, then it implies a lower bound on the smallest  $\varepsilon^*$  of  $\varepsilon$  that  $f$  can solve the  $\varepsilon$ -coin problem.

**Fact 9.** *Let  $f: \{0, 1\}^n \rightarrow \mathbb{C}_{\leq 1}$  be any function. If for every integer  $d \in \{0, \dots, n\}$  we have  $W_{1,d}[f] \leq b^d$ , then  $f$  solves the  $\varepsilon$ -coin problem with advantage at most  $2b\varepsilon$ .*

*Proof.* We may assume  $b\varepsilon \leq 1/2$ , otherwise the result is trivial. Observe that we have  $\mathbb{E}[\chi_S(X_{n,\varepsilon})] = \varepsilon^{|S|}$  for every subset  $S \subseteq [n]$ . Thus,

$$\begin{aligned} |\mathbb{E}[f(X_{n,\varepsilon})] - \mathbb{E}[f(X_{n,0})]| &= \left| \sum_{S \neq \emptyset} \hat{f}_S \mathbb{E}[X_{n,\varepsilon}] \right| \\ &\leq \sum_{d=1}^n \sum_{|S|=d} |\hat{f}_S| \cdot \varepsilon^d = \sum_{d=1}^n (b\varepsilon)^d \leq b\varepsilon \cdot \sum_{d=1}^n 2^{-(d-1)} \leq 2b\varepsilon. \quad \square \end{aligned}$$

Lee and Viola [LV18] showed that product tests with range  $[-1, 1]$  can solve the  $\varepsilon$ -coin problem with  $\varepsilon^* = \Theta(1/\sqrt{m \log k})$ . Hence, Fact 9 implies that Theorem 4 recovers their lower bound. Moreover, their upper bound implies that the dependence on  $m$  and  $k$  in Theorem 4 is tight up to constant factors when  $d$  is constant. Claim 5 complements this by showing that the dependence on  $d$  in Theorem 4 is also tight for some choice of  $k$ .

The work [LV18] also shows that when the range of the functions  $f_i$  is  $\mathbb{C}_{\leq 1}$ , the right answer for  $\varepsilon^*$  is  $\Theta(1/\sqrt{mk})$ . Therefore, one cannot obtain for a better tail bound than the trivial bound of  $(\sqrt{mk})^d$  when the range is  $\mathbb{C}_{\leq 1}$ .

## 1.1 Techniques

We now explain how to obtain Theorems 3 and 4 and our pseudorandom generators for product tests (Theorems 6 and 8).

### 1.1.1 Fourier spectrum of product tests

The high-level idea of proving Theorems 3 and 4 is inspired from [LV18]. For intuition, let us first assume that the functions  $f_i$  have outputs  $\{0, 1\}$  and are all equal to  $f_1$  (but defined on disjoint inputs). It will also be useful to think of the number of functions  $k$  being much larger than input length  $m$  of each function. We first explain how to bound above  $W_{1,1}[f]$ . (Recall in Definition 2 we defined  $W_{q,d}[f]$  of a function  $f$  to be  $\sum_{|S|=d} |\hat{f}_S|^q$ .)

**Bounding  $W_{1,1}[f]$ .** Since the functions  $f_i$  of a product test  $f$  are defined on disjoint inputs, each Fourier coefficient of  $f$  is a product of the coefficients of the  $f_i$ , and so each weight-1 coefficient of  $f$  is a product of  $k-1$  weight-0 and 1 weight-1 coefficients of the  $f_i$ . From this, we can see that  $W_{1,1}[f]$  is equal to

$$\binom{k}{1} \cdot W_{1,1}[f_1] \cdot W_{1,0}[f_1]^{k-1} = k \cdot W_{1,1}[f_1] \cdot \mathbb{E}[f_1]^{k-1}. \quad (1)$$

Because of the term  $\mathbb{E}[f_1]^{k-1}$ , to maximize  $W_{1,1}[f]$  it is natural to consider taking  $f_1$  to be a function with expectation  $\mathbb{E}[f_1]$  as close to 1 as possible, i.e. the OR function. In such case, one would hope for a better bound on  $W_{1,1}[f_1]$ . Indeed, Chang’s inequality [Cha02] (see also [IMR14] for a simple proof) says that for a  $[0, 1]$ -valued function  $g$  with expectation  $\alpha \leq 1/2$ , we have

$$W_{2,1}[g] \leq 2\alpha^2 \ln(1/\alpha).$$

(The condition  $\alpha \leq 1/2$  is without loss of generality as one can instead consider  $1 - g$ .) It follows by a simple application of the Cauchy–Schwarz inequality that  $W_{1,1}[g] \leq O(\sqrt{n}) \cdot \alpha \sqrt{\ln(1/\alpha)}$  (see Fact 12 below for a proof). Moreover, when the functions  $f_i$  are Boolean, we have  $2^{-m} \leq \mathbb{E}[f_i] \leq 1 - 2^{-m}$ , and so  $\sqrt{\ln(1/\alpha)} \leq \sqrt{m}$ . Plugging these bounds into Equation (1), we obtain a bound of  $O(m) \cdot k(1 - \mathbb{E}[f_1]) \mathbb{E}[f_1]^{k-1}$ . So indeed  $\mathbb{E}[f_1]$  should be roughly  $1 - 1/k$  in order to maximize  $W_{1,1}[f]$ , giving an upper bound of  $O(m)$ . For the case where the  $f_i$  can be different, a simple convexity argument shows that  $W_{1,1}[f]$  is maximized when the functions  $f_i$  have the same expectation.

**Bounding  $W_{1,d}[f]$  for  $d > 1$ .** To extend this argument to  $d > 1$ , one has to generalize Chang’s inequality to bound above  $W_{2,d}[g]$  for  $d > 1$ . The case  $d = 2$  was already proved by Talagrand [Tal96]. Following Talagrand’s argument in [Tal96] and inspired by the work of Keller and Kindler [KK13], which proved a similar bound in terms of a different measure than  $\mathbb{E}[g]$ , we prove the following bound on  $W_{2,d}[g]$  in terms of its expectation.

**Lemma 10.** *Let  $g: \{0, 1\}^n \rightarrow [0, 1]$  be any function. For every positive integer  $d$ , we have*

$$W_{2,d}[g] \leq 4 \mathbb{E}[g]^2 (2e \ln(e/\mathbb{E}[g]^{1/d}))^d.$$

We note that the exponent  $1/d$  of  $\mathbb{E}[g]$  either did not appear in previous upper bounds (mentioned without proof in [IMR14]), or only holds for restricted values of  $d$  [O’D14]. This exponent is not important for proving Theorem 3, but will be crucial in the proof of Theorem 4, which we will explain later on.

For  $d > 1$ , the expression for  $W_{1,d}[f]$  becomes much more complicated than  $W_{1,1}[f]$ , as it involves  $W_{1,z}[f_1]$  for different values of  $z \in [m]$ . So one has to formulate the expression of  $W_{1,d}[f]$  carefully. (See Lemma 13.) Once we have obtained the right expression for  $W_{1,d}[f]$ , the proof of Theorem 3 follows the outline above by replacing Chang’s inequality with Lemma 10. One can then handle functions  $f_i$  with outputs  $\{-1, 1\}$  by considering the translation  $f_i \mapsto (1 - f_i)/2$ , which only changes each  $W_{1,d}[f_i]$  (for  $d > 0$ ) by a factor of 2. We remark that Theorem 3 is sufficient for constructing the generator in Theorem 6.

**Handling  $[-1, 1]$ -valued  $f_i$ .** Extending this argument to proving Theorem 4 poses several challenges. Following the outline above, after plugging in Lemma 10, we would like to show that  $\mathbb{E}[f_1]$  should be roughly  $1 - 1/k$  to maximize  $W_{1,d}[f]$ . However, it is no longer clear why this is the case even assuming the maximum is attained by functions  $f_i$  with the same expectation, as we now do not have the bound  $\sqrt{\ln(1/\alpha)} \leq \sqrt{m}$ , and so it cannot be used to simplify the expression of  $W_{1,d}[f]$  as before. In fact, the above assumption is simply false if we plug in the upper bound in Lemma 10 with the exponent  $1/d$  omitted to the  $W_{1,z_i}[f_i]$ .

Using Lemma 10 and the symmetry of the expression for  $W_{1,d}[f]$ , we reduce the problem of bounding above  $W_{1,d}[f]$  with different  $f_i$  to bounding the same quantity but with the additional assumption that the  $f_i$  have the same expectation  $\mathbb{E}[f_1]$ . This uses Schur-convexity (see Section 2 for its definition). Then by another convexity argument we show that the maximum is attained when  $\mathbb{E}[f_1]$  is roughly equal to  $1 - d/k$ . Both of these arguments critically rely on the aforementioned exponent of  $1/d$  in Lemma 10.

### 1.1.2 Pseudorandom generators

We now discuss how to use Theorems 3 and 4 to construct our pseudorandom generators for product tests. Our construction follows the Ajtai–Wigderson framework [AW89] that was recently revived and refined by Gopalan, Meka, Reingold, Trevisan and Vadhan [GMR<sup>+</sup>12].

The high-level idea of this framework involves two steps. For the first step, we show that *derandomized bounded independence plus noise* fools  $f$ . More precisely, we will show that if we start with a small-bias or almost-bounded independent distribution  $D$  (“bounded independence”), and select roughly half of  $D$ ’s positions  $T$  pseudorandomly and set them to uniform  $U$  (“plus noise”), then this distribution, denoted by  $D + T \wedge U$ , fools product tests.

Forbes and Kelley [FK18] recently improved the analysis in [HLV18] and implicitly showed that  $\delta$ -almost  $d$ -wise independent plus noise fools product tests, where  $d = O(m + \log(k/\varepsilon))$  and  $\delta = n^{-\Omega(d)}$ . Using Theorem 4, we improved the dependence on  $\delta$  to  $(m \ln k)^{-\Omega(d)}$  and obtain the following theorem.

**Theorem 11.** *Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test with  $k$  functions of input length  $m$ . Let  $d$  be a positive integer. Let  $D$  and  $T$  be two independent  $\delta$ -almost  $d$ -wise independent distributions over  $\{0, 1\}^n$ , and  $U$  be the uniform distribution over  $\{0, 1\}^n$ . Then*

$$|\mathbb{E}[f(D + T \wedge U)] - \mathbb{E}[f(U)]| \leq k \cdot (\sqrt{\delta} \cdot (170 \cdot \sqrt{m \ln(ek)})^d + 2^{-(d-m)/2}),$$

where “+” and “ $\wedge$ ” are bit-wise XOR and AND respectively.

The second step of the Ajtai–Wigderson framework builds a pseudorandom generator by applying the first step (Theorem 11) recursively. Let  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  be a product test with  $k$  functions of input length  $m$ . As product tests are closed under restrictions (and shifts), after applying Theorem 11 to  $f$  and fixing  $D$  and  $T$  in the theorem, the function  $f_{D,T}: \{0, 1\}^T \rightarrow \{0, 1\}$  defined by  $f_{D,T}(y) := f(D + T \wedge y)$  is also a product test. Thus one can apply Theorem 11 to  $f_{D,T}$  again and repeat the argument recursively. We will use different progress measures to bound above the number of recursion steps in our constructions. We first describe the recursion in Theorem 8 as it is simpler.

**Fooling  $[-1, 1]$ -valued product tests.** Here our progress measure is the maximum input length  $m$  of the functions  $f_i$ . We show that after  $O(\log(k/\varepsilon))$  steps of the recursion, the functions  $f_i$  of the restricted product test have their input length halved with high probability. Therefore, repeating above for  $O(\log m)$  steps, the product test is restricted to a constant function. This simple recursion gives our second PRG (Theorem 8).



**Fooling Boolean-valued product tests.** Our construction of the first generator (Theorem 6) is more complicated and uses two progress measures. The first one is again the maximum input length  $m$  of the functions  $f_i$ , and the second is the number  $k$  of the functions  $f_i$ . We reduce the number of recursion steps from  $O(\log(k/\varepsilon)) \log m$  to  $O(\log m)$ . This requires a more delicate construction and analysis that are similar to the recent work of Meka, Reingold and Tal [MRT18], which constructed a pseudorandom generator against XOR of disjoint constant-width read-once branching programs. There are two main ideas in their construction. First, they ensure  $k \leq 16^m$  in each step of the recursion, by constructing another PRG to fool the test  $f$  for the case  $k \geq 16^m$ . We will also use this PRG in our construction. Next, throughout the recursion they allow one “bad” function  $f_i$  of the product test  $f$  to have a longer input length than  $m$ , but not longer than  $O(\log(n/\varepsilon))$ . Using these two ideas, they show that whenever  $m \geq \log \log n$  during the recursion, then after  $O(1)$  steps of the recursion all but the “bad”  $f_i$  have their input length restricted by a half, while the “bad”  $f_i$  always has length  $O(\log(n/\varepsilon))$ . This allows us to repeat  $O(\log m)$  steps until we are left with a product test of  $k' \leq \text{polylog}(n)$  functions, where all but one of the  $f_i$  have input length at most  $m' = O(\log \log n)$ .

Now we switch our progress measure to the number of functions. This part is different from [MRT18], in which their construction relies on the fact that the  $f_i$  are computable by read-once branching programs. Here because our functions  $f_i$  are arbitrary, by grouping  $c$  functions as one, we can instead think of the parameters  $k'$  and  $m'$  in the product test as  $k'' = k'/c$  and  $m'' = cm'$ , respectively. Choosing  $c$  to be  $O(\log n / \log \log n)$ , we have  $m'' = O(\log n)$  and so we can repeat the previous argument again. Because each time  $k'$  is reduced by a factor of  $c$ , after repeating this for  $O(1)$  steps, we are left with a product test defined on  $O(\log n)$  bits, which can be fooled using a small-bias distribution. This gives our first generator (Theorem 6).

**Organization** In Section 2 we prove Theorems 3 and 4. In Section 3 we construct our pseudorandom generators for product tests, proving Theorems 6 and 8. In Section 4 we prove Lemma 10, which is used in the proof of Theorem 4.

## 2 Fourier spectrum of product tests

In this section we prove Theorems 3 and 4. We first restate the theorems.

**Theorem 3.** *Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test of  $k$  functions  $f_1, \dots, f_k$  with input length  $m$ . Suppose there is a constant  $c > 0$  such that  $|\mathbb{E}[f_i]| \leq 1 - 2^{-cm}$  for every  $f_i$ . For every positive integer  $d$ , we have*

$$W_{1,d}[f] \leq (72(\sqrt{c} \cdot m))^d.$$

**Theorem 4.** *Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test of  $k$  functions  $f_1, \dots, f_k$  with input length  $m$ . Let  $d$  be a positive integer. We have*

$$W_{1,d}[f] \leq (85\sqrt{m \ln(4ek)})^d.$$

Both theorems rely on the following lemma which gives an upper bound on  $W_{2,d}[g]$  in terms of the expectation of a  $[0, 1]$ -valued function  $g$ . The case  $d = 1$  is known as Chang's inequality [Cha02]. (See also [IMR14] for a simple proof.) This was then generalized by Talagrand to  $d = 2$  [Tal96]. Using a similar argument to [Tal96], we extend this to  $d > 2$ .

**Lemma 10.** *Let  $g: \{0, 1\}^n \rightarrow [0, 1]$  be any function. For every positive integer  $d$ , we have*

$$W_{2,d}[g] \leq 4 \mathbb{E}[g]^2 (2e \ln(e / \mathbb{E}[g]^{1/d}))^d.$$

We defer its proof to Section 4. We remark that a similar upper bound was proved by Keller and Kindler [KK13]. However, the upper bound in [KK13] was proved in terms of  $\sum_{i=1}^n I_i[g]^2$ , where  $I_i[g]$  is the influence of the  $i$ th coordinate on  $g$ , instead of  $\mathbb{E}[g]$ . A similar upper bound in terms of  $\mathbb{E}[g]$  can be found in [O'D14] under the extra condition  $d \leq 2 \ln(1 / \mathbb{E}[g])$ .

We will also use the following well-known fact that bounds above  $W_{1,d}[f]$  in terms of  $W_{2,d}[f]$ .

**Fact 12.** *Let  $f: \{0, 1\}^n \rightarrow \mathbb{R}$  be any function. We have  $W_{1,d}[f] \leq n^{d/2} \sqrt{W_{2,d}[f]}$ .*

*Proof.* By the Cauchy–Schwarz inequality,

$$W_{1,d}[f] = \sum_{|S|=d} |\hat{f}_S| \leq \sqrt{\binom{n}{d} \sum_{|S|=d} \hat{f}_S^2} \leq n^{d/2} \sqrt{W_{2,d}[f]}. \quad \square$$

**Lemma 13.** *Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test of  $k$  functions  $f_1, \dots, f_k$  with input length  $m$ , and  $\alpha_i := (1 - \mathbb{E}[f_i])/2$  for every  $i \in [k]$ . Let  $d$  be a positive integer. We have*

$$W_{1,d}[f] \leq (\sqrt{32e^3 m})^d g(\alpha_1, \dots, \alpha_k),$$

where the function  $g: (0, 1]^k \rightarrow \mathbb{R}$  is defined by

$$g(\alpha_1, \dots, \alpha_k) := e^{-2 \sum_{i=1}^k \alpha_i} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} (\alpha_i (\ln(e / \alpha_i^{1/z_i}))^{z_i/2}).$$

*Proof.* For notational simplicity, we will use  $W_d[f]$  to denote  $W_{1,d}[f]$ . Write  $f = \prod_{i=1}^k f_i$ . Without loss of generality we will assume each function  $f_i$  is non-constant. Since  $f_i$  and  $-f_i$  have the same weight  $W_d[f_i]$ , we will further assume  $\mathbb{E}[f_i] \in [0, 1)$ . Note that for a subset  $S = S_1 \times \dots \times S_k \subseteq (\{0, 1\}^m)^k$ , we have  $\hat{f}_S = \prod_{i=1}^k \hat{f}_{i_{S_i}}$ . So,

$$W_d[f] = \sum_{\substack{z \in \{0, \dots, m\}^k \\ \sum_i z_i = d}} \prod_{i=1}^k W_{z_i}[f_i] = \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \left( \prod_{i \in S} W_{z_i}[f_i] \cdot \prod_{i \notin S} W_0[f_i] \right).$$

Since  $x = 1 - (1 - x) \leq e^{-(1-x)}$  for every  $x \in \mathbb{R}$ , for every subset  $S \subseteq [k]$  of size at most  $d$ , we have

$$\prod_{i \notin S} W_{z_i}[f_i] \leq e^{-\sum_{i \notin S} (1 - W_{z_i}[f_i])} \leq e^{-\sum_{i \notin S} (1 - W_{z_i}[f_i])} \cdot e^{\sum_{i \in S} W_{z_i}[f_i]} \leq e^d \cdot e^{-\sum_{i=1}^k (1 - W_{z_i}[f_i])}.$$

Hence,

$$\begin{aligned}
W_d[f] &= \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \left( \prod_{i \in S} W_{z_i}[f_i] \cdot \prod_{i \notin S} W_0[f_i] \right) \\
&\leq e^d \cdot e^{-\sum_{i=1}^k (1-W_0[f_i])} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} W_{z_i}[f_i]. \tag{2}
\end{aligned}$$

Define  $f'_i := (1-f_i)/2 \in [0, 1]$ . Let  $\alpha_i := \mathbb{E}[f'_i] = (1-\mathbb{E}[f_i])/2 \in (0, 1/2]$ . Applying Lemma 10 and Fact 12 to the functions  $f'_i$ , we have for every subset  $S \subseteq [k]$  of size at most  $d$ ,

$$\begin{aligned}
\sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} W_{z_i}[f'_i] &\leq \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \left( 2m^{z_i/2} \alpha_i (2e \ln(e/\alpha_i^{1/z_i}))^{z_i/2} \right) \\
&\leq (\sqrt{8em})^d \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \left( \alpha_i (\ln(e/\alpha_i^{1/z_i}))^{z_i/2} \right).
\end{aligned}$$

Note that for every integer  $d \geq 1$ , we have  $W_d[f_i] = 2W_d[f'_i]$ . Plugging the bound above into Equation (2), we have

$$W_d[f] \leq (2e)^d \cdot e^{-2\sum_{i=1}^k \alpha_i} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} W_{z_i}[f'_i] \leq (\sqrt{32e^3m})^d g(\alpha_1, \dots, \alpha_k),$$

where the function  $g: (0, 1]^k \rightarrow \mathbb{R}$  is defined by

$$g(\alpha_1, \dots, \alpha_k) := e^{-2\sum_{i=1}^k \alpha_i} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \left( \alpha_i (\ln(e/\alpha_i^{1/z_i}))^{z_i/2} \right). \quad \square$$

We now prove Theorems 3 and 4. For every  $(\alpha_1, \dots, \alpha_k) \in (0, 1]^k$ , let  $\alpha := \sum_{i=1}^k \alpha_i/k \in (0, 1]$ . We note that the upper bound in Theorem 3 is sufficient to prove Theorem 6.

*Proof of Theorem 3.* We will bound above  $g(\alpha_1, \dots, \alpha_k)$  in Lemma 13. Recall that  $\alpha_i = (1-\mathbb{E}[f_i])/2$ . Since  $|\mathbb{E}[f_i]| \leq 1-2^{-cm}$ , we have  $\alpha_i \geq 2^{-(cm+1)}$ , and so  $\ln(1/\alpha_i) \leq cm+1$ . For every subset  $S \subseteq [k]$ , the set  $\{z \in [m]^S : \sum_i z_i = d\}$  has size at most  $\binom{d-1}{|S|-1} \leq 2^d$ . Hence,

$$\sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} (\ln(1/\alpha_i))^{z_i/2} \leq 2^d (cm+1)^{d/2}.$$

By Maclaurin's inequality (cf. [Ste04, Chapter 12]), we have

$$\sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \prod_{i \in S} \alpha_i \leq (e/\ell)^\ell \left( \sum_{i=1}^k \alpha_i \right)^\ell = (e/\ell)^\ell (k\alpha)^\ell.$$

Because the function  $x \mapsto e^{-2x}x^\ell$  is maximized when  $x = \ell/2$ , it follows that

$$\sum_{\ell=1}^d e^{-2k\alpha} \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \prod_{i \in S} \alpha_i \leq \sum_{\ell=1}^d e^{-2k\alpha} (e/\ell)^\ell (k\alpha)^\ell \leq \sum_{\ell=1}^d e^{-\ell} (e/\ell)^\ell (\ell/2)^\ell = \sum_{\ell=1}^d 2^{-\ell} \leq 1.$$

Therefore,

$$\begin{aligned} g(\alpha_1, \dots, \alpha_k) &= e^{-2\sum_{i=1}^k \alpha_i} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \left( \alpha_i (\ln(1/\alpha_i^{1/z_i}))^{z_i/2} \right) \\ &\leq 2^d (cm + 1)^{d/2} \sum_{\ell=1}^d e^{-2k\alpha} \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \prod_{i \in S} \alpha_i \\ &\leq 2^d (cm + 1)^{d/2}. \end{aligned}$$

Plugging this bound into Lemma 13, we have

$$W_{1,d}[f] \leq (\sqrt{32e^3m})^d \cdot (\sqrt{4(cm+1)})^d \leq (72(\sqrt{c} \cdot m))^d. \quad \square$$

We now prove Theorem 4. Recall that we let  $\alpha := \sum_{i=1}^k \alpha_i/k \in (0, 1]$  for every  $(\alpha_1, \dots, \alpha_k) \in (0, 1]^k$ . We will show that the maximum of the function  $g$  defined in Lemma 13 is attained at the diagonal  $(\alpha, \dots, \alpha)$ . We state the claim now and defer the proof to the next section.

**Claim 14.** *Let  $g$  be the function defined in Lemma 13. For every  $(\alpha_1, \dots, \alpha_k) \in (0, 1]^k$ , we have  $g(\alpha_1, \dots, \alpha_k) \leq g(\alpha, \dots, \alpha)$ .*

*Proof of Theorem 4.* We first apply Claim 14 and obtain

$$g(\alpha_1, \dots, \alpha_k) \leq g(\alpha, \dots, \alpha) = e^{-2k\alpha} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \alpha^\ell \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} (\ln(e/\alpha^{1/z_i}))^{z_i/2}.$$

We next give an upper bound on  $g(\alpha, \dots, \alpha)$  that has no dependence on the numbers  $z_i$ . By the weighted AM-GM inequality, for every subset  $S \subseteq [k]$  of size  $\ell$  and numbers  $z_i$  such that  $\sum_{i \in S} z_i = d$ ,

$$\begin{aligned} \prod_{i \in S} (\ln(e/\alpha^{1/z_i}))^{z_i/2} &\leq \left( \sum_{i \in S} \frac{z_i \ln(e/\alpha^{1/z_i})}{d} \right)^{d/2} \\ &= \left( \frac{1}{d} \sum_{i \in S} z_i \left( 1 + \frac{1}{z_i} \ln(1/\alpha) \right) \right)^{d/2} \\ &= \left( 1 + \frac{\ell}{d} \ln(1/\alpha) \right)^{d/2} \\ &= (\ln(e/\alpha^{\ell/d}))^{d/2}. \end{aligned}$$

For every subset  $S \subseteq [k]$ , the set  $\{z \in [m]^S : \sum_i z_i = d\}$  has size at most  $\binom{d-1}{|S|-1} \leq 2^d$ . Thus,

$$\begin{aligned}
g(\alpha, \dots, \alpha) &\leq e^{-2k\alpha} \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \alpha^\ell \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} (\ln(e/\alpha^{\ell/d}))^{d/2} \\
&\leq 2^d \sum_{\ell=1}^d e^{-2k\alpha} \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \alpha^\ell (\ln(e/\alpha^{\ell/d}))^{d/2} \\
&\leq 2^d \sum_{\ell=1}^d e^{-2k\alpha} \left(\frac{ek\alpha}{\ell}\right)^\ell (\ln(e/\alpha^{\ell/d}))^{d/2}. \tag{3}
\end{aligned}$$

For every  $\ell \in [k]$ , define  $g_\ell: (0, 1] \rightarrow \mathbb{R}$  to be

$$g_\ell(x) := e^{-2kx} \left(\frac{ekx}{\ell}\right)^\ell (\ln(e/x^{\ell/d}))^{d/2}.$$

We now bound above the maximum of  $g_\ell$  over  $x \in (0, 1]$ . One can verify easily that the derivative of  $g$  is

$$g'_\ell(x) = \frac{g_\ell(x)}{2x \ln(e/x^{\ell/d})} (\ln(1/x^{2\ell/d})(\ell - 2kx) + (\ell - 4kx)).$$

Observe that when  $x \leq \ell/4k$ , then  $g'_\ell(x) \geq \frac{g_\ell(x)}{4x \ln(e/x^{\ell/d})} (\ell \ln(1/x^{2\ell/d})) \geq 0$ . Likewise, when  $x \geq \ell/2k$ , then  $g'_\ell(x) \leq \frac{g_\ell(x)}{2x \ln(e/x^{\ell/d})} (-\ell) \leq 0$ . Also, we have  $g_\ell(0) = 0$ . Hence,  $g_\ell(x) \leq g_\ell(\beta_\ell \ell/4k)$  for some  $\beta_\ell \in [1, 2]$ , which is at most

$$e^{-\ell/2} \cdot (e/2)^\ell \cdot \left(\ln(e(4k/\ell)^{\ell/d})\right)^{d/2}.$$

(In the case when  $\ell/4k \geq 1$ , we have  $g_\ell(x) \leq g_\ell(1) \leq e^{-2k}(ek/\ell)^\ell$ .) Therefore, plugging this back into Equation (3),

$$\begin{aligned}
g(\alpha, \dots, \alpha) &\leq 2^d \sum_{\ell=1}^d g_\ell(\alpha) \leq 2^d \sum_{\ell=1}^d g_\ell(\beta_\ell \ell/4k) \leq 2^d \sum_{\ell=1}^d e^{-\ell/2} \cdot (e/2)^\ell \cdot \left(\ln(e(4k/\ell)^{\ell/d})\right)^{d/2} \\
&\leq 2^d (e \ln(4ek))^{d/2} \sum_{\ell=1}^d 2^{-\ell} \\
&\leq (\sqrt{4e \ln(4ek)})^d.
\end{aligned}$$

Putting this back into the bound in Lemma 13, we conclude that

$$W_{1,d}[f] \leq (84\sqrt{m \ln(4ek)})^d,$$

proving the theorem. □

## 2.1 Schur-concavity of $g$

We prove Claim 14 in this section. First recall that the function  $g: (0, 1]^k \rightarrow \mathbb{R}$  is defined as

$$g(\alpha_1, \dots, \alpha_k) := \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \phi_{z_i}(\alpha_i),$$

where for every positive integer  $z$ , the function  $\phi_z: (0, 1] \rightarrow \mathbb{R}$  is defined by

$$\phi_z(x) = x \ln(e/x^{1/z})^{z/2}.$$

The proof of Claim 14 follows from showing that  $g$  is *Schur-concave*. Before defining it, we first recall the concept of majorization. Let  $x, y \in \mathbb{R}^k$  be two vectors. We say that  $y$  *majorizes*  $x$ , denoted by  $x \prec y$ , if for every  $j \in [k]$  we have

$$\sum_{i=1}^j x_{(i)} \leq \sum_{i=1}^j y_{(i)},$$

and  $\sum_{i=1}^k (x_i - y_i) = 0$ , where  $x_{(i)}$  and  $y_{(i)}$  are the  $i$ th largest coordinates in  $x$  and  $y$  respectively.

A function  $f: D \rightarrow \mathbb{R}$  where  $D \subseteq \mathbb{R}^k$  is *Schur-concave* if whenever  $x \prec y$  we have  $f(x) \geq f(y)$ . We will show that  $g$  is Schur-concave using the Schur–Ostrowski criterion.

**Theorem 15** (Schur–Ostrowski criterion (Theorem 12.25 in [PPT92])). *Let  $f: D \rightarrow \mathbb{R}$  be a function where  $D \subseteq \mathbb{R}^k$  is permutation-invariant, and assume that the first partial derivatives of  $f$  exist in  $D$ . Then  $f$  is Schur-concave in  $D$  if and only if*

$$(x_j - x_i) \left( \frac{\partial f}{\partial x_i} - \frac{\partial f}{\partial x_j} \right) \geq 0$$

for every  $x \in D$ , and every  $1 \leq i \neq j \leq k$ .

Claim 14 then follows from the observation that  $(\sum_i x_i/k, \dots, \sum_i x_i/k) \prec x$  for every  $x \in [0, 1]^k$ .

**Claim 16.** *For every  $x \in (0, 1]$  we have*

1.  $\phi_z(x) \geq 0$ ;
2.  $\phi'_z(x) = \frac{1}{2} \ln\left(\frac{e}{x^{2/z}}\right) \ln\left(\frac{e}{x^{1/z}}\right)^{z/2-1} > 0$ , and
3.  $\phi''_z(x) = -\frac{1}{2xz} \ln\left(\frac{e}{x^{1/z}}\right)^{z/2-2} \left( 2 \ln\left(\frac{e}{x^{1/z}}\right) + \left(\frac{z}{2} - 1\right) \ln\left(\frac{e}{x^{2/z}}\right) \right) \leq 0$ .

*Proof.* The derivatives of  $\phi_z$  and the non-negativity of  $\phi_z$  and  $\phi'_z$  can be verified easily. It is also clear that  $\phi''_z$  is non-positive when  $z \geq 2$ . Thus it remains to verify  $\phi''_1(x) \leq 0$  for every  $x$ . We have

$$\phi''_1(x) = -\frac{1}{2x} \ln\left(\frac{e}{x}\right)^{-3/2} \left( 2 \ln\left(\frac{e}{x}\right) - \frac{1}{2} \ln\left(\frac{e}{x^2}\right) \right).$$

It follows from  $\frac{1}{2} \ln(e/x^2) \leq \ln(e^2/x^2) = 2 \ln(e/x)$  that  $\phi''_1(x) \leq 0$ . □

**Lemma 17.**  $g$  is Schur-concave.

*Proof.* Fix  $1 \leq u \neq v \leq k$  and write  $g = g_1 + g_2$ , where

$$g_1(\alpha_1, \dots, \alpha_k) := \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k], |S|=\ell \\ (S \ni u \wedge S \not\ni v) \vee (S \not\ni u \wedge S \ni v)}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \phi_{z_i}(\alpha_i)$$

and

$$g_2(\alpha_1, \dots, \alpha_k) := \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k], |S|=\ell \\ (S \ni u \wedge S \ni v) \vee (S \not\ni u \wedge S \not\ni v)}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d}} \prod_{i \in S} \phi_{z_i}(\alpha_i).$$

We will show that for every  $\alpha \in (0, 1]^k$ , whenever  $\alpha_v \leq \alpha_u$  we have (1)  $\left(\frac{\partial g_1}{\partial \alpha_u} - \frac{\partial g_1}{\partial \alpha_v}\right)(\alpha) \leq 0$  and (2)  $\left(\frac{\partial g_2}{\partial \alpha_u} - \frac{\partial g_2}{\partial \alpha_v}\right)(\alpha) \leq 0$ , from which the lemma follows from Theorem 15.

For  $g_1$ , since  $\phi''_z \leq 0$  and  $\alpha_v \leq \alpha_u$ , we have  $\phi'_{z_u}(\alpha_v) \geq \phi'_{z_u}(\alpha_u)$ . Moreover, as  $\phi_z \geq 0$  and  $\phi'_z > 0$ , we have

$$\begin{aligned} \frac{\partial g_1}{\partial \alpha_u}(\alpha) &\leq \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k], |S|=\ell \\ (S \ni u \wedge S \not\ni v) \vee (S \not\ni u \wedge S \ni v)}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d \\ i \neq u}} \prod_{i \in S} \phi_{z_i}(\alpha_i) \cdot \phi'_{z_u}(\alpha_u) \cdot \frac{\phi'_{z_u}(\alpha_v)}{\phi'_{z_u}(\alpha_u)} \\ &= \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k], |S|=\ell \\ (S \ni u \wedge S \not\ni v) \vee (S \not\ni u \wedge S \ni v)}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d \\ i \neq u}} \prod_{i \in S} \phi_{z_i}(\alpha_i) \cdot \phi'_{z_u}(\alpha_v) \\ &= \sum_{\ell=1}^d \sum_{\substack{S \subseteq [k], |S|=\ell \\ (S \ni v \wedge S \not\ni u) \vee (S \not\ni v \wedge S \ni u)}} \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d \\ i \neq v}} \prod_{i \in S} \phi_{z_i}(\alpha_i) \cdot \phi'_{z_v}(\alpha_v) = \frac{\partial g_1}{\partial \alpha_v}(\alpha), \end{aligned}$$

where in the second equality we simply renamed  $z_u$  to  $z_v$ .

We now show that  $\left(\frac{\partial g_2}{\partial \alpha_u} - \frac{\partial g_2}{\partial \alpha_v}\right)(\alpha) \leq 0$  whenever  $\alpha_v \leq \alpha_u$ . For all positive integers  $z$  and  $w$ , define  $\psi_{z,w}: (0, 1]^2 \rightarrow \mathbb{R}$  by

$$\psi_{z,w}(x, y) := \phi'_z(x)\phi_w(y) + \phi'_w(x)\phi_z(y) - \phi_z(x)\phi'_w(y) - \phi_w(x)\phi'_z(y).$$

Note that when  $x = y$  we have  $\psi_{z,w}(x, x) = 0$ . Moreover, when  $z = w$  we have  $\psi_{z,z}(x, y) = 2(\phi'_z(x)\phi_z(y) - \phi_z(x)\phi'_z(y))$ . For every  $x, y \in (0, 1]$ , by Claim 16 we have

$$\frac{\partial}{\partial y} \psi_{z,w}(x, y) = \phi'_z(x)\phi'_w(y) + \phi'_w(x)\phi'_z(y) - \phi_z(x)\phi''_w(y) - \phi_w(x)\phi''_z(y) \geq 0.$$

Since  $\psi_{z_u, z_v}(\alpha_u, \alpha_u) = 0$ , we have  $\psi_{z_u, z_v}(\alpha_u, \alpha_v) \leq 0$  whenever  $\alpha_v \leq \alpha_u$ , and so

$$\begin{aligned} \left(\frac{\partial g_2}{\partial \alpha_u} - \frac{\partial g_2}{\partial \alpha_v}\right)(\alpha) &= \\ &\sum_{\ell=2}^d \sum_{\substack{S \subseteq [k] \\ |S|=\ell \\ S \ni u \wedge S \ni v}} \left( \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d \\ z_u = z_v}} \prod_{\substack{i \in S \\ i \neq u \\ i \neq v}} \phi_{z_i}(\alpha_i) \cdot \psi_{z_u, z_v}(\alpha_u, \alpha_v) / 2 + \sum_{\substack{z \in [m]^S \\ \sum_i z_i = d \\ z_u < z_v}} \prod_{\substack{i \in S \\ i \neq u \\ i \neq v}} \phi_{z_i}(\alpha_i) \cdot \psi_{z_u, z_v}(\alpha_u, \alpha_v) \right) \leq 0 \end{aligned}$$

because the values  $\phi_{z_i}$  are non-negative. □

## 2.2 Lower bound

In this section we prove Claim 5. We first restate our claim.

**Claim 5.** *For all positive integers  $m$  and  $d$ , there exists a product test  $f: \{0, 1\}^{mk} \rightarrow \{0, 1\}$  with  $k = d \cdot 2^m$  functions of input length  $m$  such that*

$$W_{1,d}[f] \geq (m/e^{3/2})^d.$$

*Proof.* Let  $k = d \cdot 2^m$  and  $f_1, \dots, f_k: \{0, 1\}^m \rightarrow \{0, 1\}$  be the OR function on  $k$  disjoint sets of  $m$  bits. It is easy to verify that  $\hat{f}_i(\emptyset) = 1 - 2^{-m}$  and  $|\hat{f}_i(S)| = 2^{-m}$  for every  $S \neq \emptyset$ . Consider the product test  $f := \prod_{i=1}^k f_i$ . Using the fact that  $1 - x \geq e^{-x(1+x)}$  for  $x \in [0, 1/2]$ , we have

$$(1 - 2^{-m})^k \geq e^{-2^m(1+2^{-m})k} \geq e^{-d(1+2^{-m})} \geq e^{-3d/2}.$$

Hence,

$$\begin{aligned} W_{1,d}[f] &= \sum_{\substack{z \in \{0, \dots, m\}^k \\ \sum_i z_i = d}} \prod_{i=1}^k W_{z_i}[f_i] \\ &\geq \sum_{|S|=d} \left( \prod_{i \in S} W_{1,1}[f_i] \prod_{i \notin S} W_{1,0}[f_i] \right) \\ &= \binom{k}{d} \cdot (m2^{-m})^d \cdot (1 - 2^{-m})^{k-d} \\ &\geq \left( \frac{d \cdot 2^m}{d} \right)^d \cdot (m2^{-m})^d \cdot e^{-3d/2} \\ &= (m/e^{3/2})^d. \end{aligned} \quad \square$$

## 3 Pseudorandom generators

In this section, we use Theorem 4 to construct two pseudorandom generators for product tests. The first one (Theorem 8) has seed length  $\tilde{O}(m + \log(k/\varepsilon)) \log(k/\varepsilon)$ . The second one (Theorem 6) has a seed length of  $\tilde{O}(m + \log(n/\varepsilon))$  but only works for product tests with outputs  $\{-1, 1\}$  and their variants (see Corollary 7). We note that Theorem 6 can also be obtained using Theorem 3 in place of Theorem 4.

Both constructions use the Ajtai–Wigderson framework [AW89, GMR<sup>+</sup>12], and follow from recursively applying the following theorem, which roughly says that  $2^{-\tilde{\Omega}(m + \log(k/\varepsilon))}$ -almost  $O(m + \log(k/\varepsilon))$ -wise independence plus constant fraction of noise fools product tests.

**Theorem 11.** *Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test with  $k$  functions of input length  $m$ . Let  $d$  be a positive integer. Let  $D$  and  $T$  be two independent  $\delta$ -almost  $d$ -wise independent distributions over  $\{0, 1\}^n$ , and  $U$  be the uniform distribution over  $\{0, 1\}^n$ . Then*

$$|\mathbb{E}[f(D + T \wedge U)] - \mathbb{E}[f(U)]| \leq k \cdot (\sqrt{\delta} \cdot (170 \cdot \sqrt{m \ln(ek)})^d + 2^{-(d-m)/2}),$$



where “+” and “ $\wedge$ ” are bit-wise XOR and AND respectively.

Theorem 11 follows immediately by combining Theorem 4 and Lemma 18 below.

**Lemma 18.** *Let  $f: \{0, 1\}^n \rightarrow [-1, 1]$  be a product test with  $k$  functions of input length  $m$ . Let  $d$  be a positive integer. Let  $D, T, U$  be a  $\delta$ -almost  $(d + m)$ -wise independent, a  $\gamma$ -almost  $(d + m)$ -wise independent, and the uniform distributions over  $\{0, 1\}^n$ , respectively. Then*

$$|\mathbb{E}[f(D + T \wedge U)] - \mathbb{E}[f(U)]| \leq k \cdot (\sqrt{\delta} \cdot W_{1, \leq d+m}[f] + 2^{-d/2} + \sqrt{\gamma}),$$

where “+” and “ $\wedge$ ” are bit-wise XOR and AND respectively.

*Proof.* We slightly modify the decomposition in [FK18, Proposition 6.1] as follows. Let  $f$  be a product test and write  $f = \prod_{i=1}^k f_i$ . As the distribution  $D + T \wedge U$  is symmetric, we can assume the function  $f_i$  is defined on the  $i$ th  $m$  bits. For every  $i \in \{1, \dots, k\}$ , let  $f^{\leq i} = \prod_{j \leq i} f_j$  and  $f^{> i} = \prod_{j > i} f_j$ . We decompose  $f$  into

$$f = \hat{f}_\emptyset + L + \sum_{i=1}^k H_i f^{> i}, \quad (4)$$

where

$$L := \sum_{\substack{\alpha \in \{0, 1\}^{mk} \\ 0 < |\alpha| < d}} \hat{f}_\alpha \chi_\alpha$$

and

$$H_i := \sum_{\substack{\alpha = (\alpha_1, \dots, \alpha_i) \in \{0, 1\}^{mi} \\ \text{the } d\text{th } 1 \text{ in } \alpha \text{ appears in } \alpha_i}} \hat{f}_\alpha^{\leq i} \chi_\alpha.$$

We now show that the expressions on both sides of Equation (4) are identical. Clearly, every Fourier coefficient on the right hand side is a coefficient of  $f$ . To see that every coefficient of  $f$  appears on the right hand side exactly once, let  $\alpha = (\alpha_1, \dots, \alpha_k) \in \{0, 1\}^{mk}$  and  $\hat{f}_\alpha = \prod_{i=1}^k \hat{f}_i(\alpha_i)$  be a coefficient of  $f$ . If  $|\alpha| < d$ , then  $\hat{f}_\alpha$  appears in  $\hat{f}_\emptyset$  or  $L$ . Otherwise,  $|\alpha| \geq d$ . Then the  $d$ th 1 in  $\alpha$  must appear in one of  $\alpha_1, \dots, \alpha_k$ . Say it appears in  $\alpha_i$ . Then we claim that  $\alpha$  appears in  $H_i f^{> i}$ . This is because the coefficient indexed by  $(\alpha_1, \dots, \alpha_i)$  appears in  $H_i$ , and the coefficient indexed by  $(\alpha_{i+1}, \dots, \alpha_k)$  appears in  $f^{> i}$ . Note that all the coefficients in each function  $H_i$  have weights between  $d$  and  $d + m$ , and because our distributions  $D$  and  $T$  are both almost  $(d + m)$ -wise independent, we get an error of  $2^{-d} + \gamma$  in Lemma 7.1 in [FK18]. The rest of the analysis follows from [FK18] or [HLV18].  $\square$

### 3.1 Generator for product tests

We now prove Theorem 8.

**Theorem 8.** *There exists an explicit generator  $G: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  that fools any product test with  $k$  functions of input length  $m$  with error  $\varepsilon$  and seed length  $O(m + \log(k/\varepsilon)) \log(k/\varepsilon)$  ( $\log m + \log \log n = \tilde{O}(m + \log(k/\varepsilon)) \log(k/\varepsilon)$ ).*

The high-level idea is very simple. Let  $f$  be a product test. For every choice of  $D$  and  $T$  in Theorem 11, the function  $f': \{0, 1\}^T \rightarrow [-1, 1]$  defined by  $f'(y) := f(D + T \wedge y)$  is also a product test. So we can apply Theorem 11 again and recurse. In Lemma 19 below we show that if we repeat this argument for  $t = O(\log(k/\varepsilon))$  times with  $t$  independent copies of  $D$  and  $T$ , then for every fixing of  $D_1, \dots, D_t$  and with high probability over the choice of  $T_1, \dots, T_t$ , the restricted product test defined on  $\{0, 1\}^{\wedge_{i=1}^t T_i}$  is a product test with  $k$  functions of input length  $m/2$ . Now we simply repeat above for  $O(\log m) = \tilde{O}(1)$  steps so that  $f$  becomes a constant function and we are done.

**Lemma 19.** *If there is an explicit generator  $G': \{0, 1\}^{\ell'} \rightarrow \{0, 1\}^n$  that fools product tests with  $k$  functions of input length  $m/2$  with error  $\varepsilon'$  and seed length  $\ell'$ , then there is an explicit generator  $G: \{0, 1\}^{\ell} \rightarrow \{0, 1\}^n$  that fools product tests with  $k$  functions of input length  $m$  with error  $\varepsilon' + \varepsilon$  and seed length*

$$\ell' + O(\log(k/\varepsilon))((m + \log(k/\varepsilon))(\log m + \log \log(k/\varepsilon)) + \log \log n) = \ell' + \tilde{O}(m + \log(k/\varepsilon)) \log(k/\varepsilon).$$

*Proof.* Let  $C$  be a sufficiently large constant. Let  $d = C(m + \log(k/\varepsilon))$ ,  $\delta = d^{-2d}$ , and  $t = C \log(k/\varepsilon)$ . Let  $D_1, \dots, D_t, T_1, \dots, T_t$  be  $2t$  independent  $\delta$ -almost  $d$ -wise independent distributions over  $\{0, 1\}^n$ . Define  $D^{(1)} := D_1$  and  $D^{(i+1)} := D_{i+1} + T_i \wedge D^{(i)}$ .

Let  $D := D^{(t)}$ ,  $T := \wedge_{i=1}^t T_i$ . For a subset  $S \subseteq [n]$ , define the function  $\text{PAD}_S(x): \{0, 1\}^{|S|} \rightarrow \{0, 1\}^n$  to output  $n$  bits of which the positions in  $S$  are the first  $|S|$  bits of  $x0^{|S|}$  and the rest are 0. Our generator  $G$  outputs

$$D + T \wedge \text{PAD}_T(G').$$

We first look at the seed length of  $G$ . By [NN93, Lemma 4.2], sampling the distributions  $D_i$  and  $T_i$  takes a seed of length

$$\begin{aligned} s &:= t \cdot O(d \log d + \log \log n) \\ &= t \cdot O((m + \log(k/\varepsilon))(\log m + \log \log(k/\varepsilon)) + \log \log n) \\ &= t \cdot \tilde{O}(m + \log(k/\varepsilon)). \end{aligned}$$

Hence the total seed length of  $G$  is  $\ell' + s = \ell' + \tilde{O}(m + \log(k/\varepsilon)) \log(k/\varepsilon)$ .

We now look at the error of  $G$ . By our choice of  $\delta$  and applying Theorem 11 recursively for  $t$  times, we have

$$\begin{aligned} |\mathbb{E}[f(D + T \wedge U)] - \mathbb{E}[f(U)]| &\leq t \cdot k \cdot \left( \sqrt{\delta} \cdot (170 \cdot \sqrt{m \ln(ek)})^d + 2^{-(d-m)/2} \right) \\ &\leq t \cdot k \cdot \left( \left( \frac{170 \sqrt{m \ln(ek)}}{d} \right)^d + 2^{-\Omega(d)} \right) \\ &\leq t \cdot 2^{-\Omega(d)} \leq \varepsilon/2. \end{aligned}$$

Next, we show that for every fixing of  $D$  and most choices of  $T$ , the function  $f_{D,T}(y) := f(D + T \wedge y)$  is a product test with  $k$  functions of input length  $m/2$ , which can be fooled by  $G'$ .

Because the variables  $T_i$  are independent and each of them is  $\delta$ -almost  $d$ -wise independent, for every subset  $I \subseteq [n]$  of size at most  $m \leq d$ , we have

$$\Pr[|T \cap I| \geq m/2] \leq \binom{|I|}{m/2} (2^{-m/2} + \delta)^t \leq 2^m \cdot 2^{-\Omega(mt)} \leq \varepsilon/2k.$$

It follows by a union bound over the  $k$  subsets  $I_1, \dots, I_k$  that for every fixing of  $D$ , with probability at least  $1 - \varepsilon/2$  over the choice of  $T$ , the function  $f_{D,T}$  is a product test with  $k$  functions of input length  $m/2$ , which can be fooled by  $G'$  with error  $\varepsilon'$ . Hence  $G$  fools  $f$  with error  $\varepsilon' + \varepsilon$ .  $\square$

*Proof of Theorem 8.* We apply Lemma 19 recursively for  $r := O(\log m) = \tilde{O}(1)$  times. Note that a product test of input length 0 is a constant function, which can always be fooled with zero error. Hence we have a generator that fools product tests with  $k$  functions of input length  $m$ , with error  $r \cdot \varepsilon$  and seed length

$$r \cdot O((m + \log(k/\varepsilon))(\log m + \log \log(k/\varepsilon)) + \log \log n) \log(k/\varepsilon) = \tilde{O}(\log(k/\varepsilon) + m) \log(k/\varepsilon). \quad \square$$

Replacing  $\varepsilon$  with  $\varepsilon/r$  proves the theorem.

## 3.2 Almost-optimal generator for XOR of Boolean functions

In this section, we construct our generator for product tests with outputs  $\{-1, 1\}$ , which correspond to the XOR of Boolean functions  $f_i$  defined on disjoint inputs. Throughout this section we will call these tests  $\{-1, 1\}$ -products. We first restate our theorem.

**Theorem 6.** *There exists an explicit generator  $G: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  that fools the XOR of any  $k$  Boolean functions on disjoint inputs of length  $\leq m$  with error  $\varepsilon$  and seed length  $O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon))^2 = \tilde{O}(m + \log(n/\varepsilon))$ .*

Theorem 6 relies on applying the following lemma recursively in different ways. From now on, we will relax our tests to allow one of the  $k$  functions to have input length greater than  $m$ , but bounded by  $O(m + \log(n/\varepsilon))$ .

**Lemma 20.** *There exists a constant  $C$  such that the following holds. Let  $m$  and  $s$  be two integers such that  $m \geq C \log \log(n/\varepsilon)$  and  $s = 5(m + \log(n/\varepsilon))$ . If there is an explicit generator  $G': \{0, 1\}^{\ell'} \rightarrow \{0, 1\}^n$  that fools  $\{-1, 1\}$ -products with  $k' \leq 16^{m+1}$  functions,  $k' - 1$  of which have input lengths  $\leq m/2$  and one has length  $\leq s$ , with error  $\varepsilon'$  and seed length  $\ell'$ , then there is an explicit generator  $G: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  that fools  $\{-1, 1\}$ -products with  $k \leq 16^{2m+1}$  functions,  $k - 1$  of which have input lengths  $\leq m$  and one has length  $\leq s$ , with error  $\varepsilon' + \varepsilon$  and seed length  $\ell = \ell' + O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon)) = \ell' + \tilde{O}(m + \log(n/\varepsilon))$ .*

The proof of Lemma 20 closely follows a construction by Meka, Reingold and Tal [MRT18]. First of all, we will use the following generator in [MRT18]. It fools any  $\{-1, 1\}$ -products when the number of functions  $k$  is significantly greater than the input length  $m$  of the functions  $f_i$ .

**Lemma 21** (Lemma 6.2 in [MRT18]). *There exists a constant  $C$  such that the following holds. Let  $n, k, m, s$  be integers such that  $C \log \log(n/\varepsilon) \leq m \leq \log n$  and  $16^m \leq k \leq 2 \cdot 16^{2m}$ . There exists an explicit pseudorandom generator  $G_{\oplus \text{Many}}: \{0, 1\}^\ell \rightarrow \{0, 1\}^n$  that fools  $\{-1, 1\}$ -products with  $k$  non-constant functions,  $k - 1$  of which have input lengths  $\leq m$  and one has length  $\leq s$ , with error  $\varepsilon$  and seed length  $O(s + \log(n/\varepsilon))$ .*

Here is the high-level idea of proving Lemma 20. We consider two cases depending on whether  $k$  is large with respect to  $m$ . If  $k \geq 16^m$ , then by Lemma 21, the generator  $G_{\oplus \text{Many}}$  fools  $f$ . Otherwise, we show that for every fixing of  $D$  and most choices of  $T$ , the restriction of  $f$  under  $(D, T)$  is a  $\{-1, 1\}$ -product with  $k$  functions,  $k - 1$  of which have input length  $\leq m/2$  and one has length  $\leq s$ . More specifically, we will show that for most choices of  $T$ , the following would happen: for the function with input length  $\leq s$ , at most  $s/2$  of its inputs remain in  $T$ ; for the rest of the functions with input length  $\leq m$ , after being restricted by  $(D, T)$ , at most  $\lceil s/2m \rceil$  of them have input length  $> m/2$ , and so they are defined on a total of  $s/2$  positions in  $T$ . Now we can think of these “bad” functions as one function with input length  $\leq s$ , and the rest of the at most  $k$  “good” functions have input length  $m/2$ . So we can apply the generator  $G'$  in our assumption.

*Proof of Lemma 20.* Let  $C$  be the constant in Lemma 21 and  $C'$  be a sufficiently large constant.

Let  $d = C's$  and  $\delta = d^{-2d}$ . Let  $D_1, \dots, D_{50}, T_1, \dots, T_{50}$  be 100 independent  $\delta$ -almost  $d$ -wise independent distributions over  $\{0, 1\}^n$ . Define  $D^{(1)} := D_1$  and  $D^{(i+1)} := D_{i+1} + T_i \wedge D^{(i)}$ .

Let  $D := D^{(50)}$ ,  $T := \bigwedge_{i=1}^{50} T_i$  and  $G_{\oplus \text{Many}}$  be the generator in Lemma 21 with respect to the values of  $n, k, m, s$  given in this lemma. For a subset  $S \subseteq [n]$ , define the function  $\text{PAD}_S(x): \{0, 1\}^{|S|} \rightarrow \{0, 1\}^n$  to output  $n$  bits of which the positions in  $S$  are the first  $|S|$  bits of  $x0^{|S|}$  and the rest are 0. Our generator  $G$  outputs

$$(D + T \wedge \text{PAD}_T(G')) + G_{\oplus \text{Many}}.$$

We first look at the seed length of  $G$ . By Lemma 21,  $G_{\oplus \text{Many}}$  uses a seed of length  $O(s + \log(n/\varepsilon)) = O(m + \log(n/\varepsilon))$ . By [NN93, Lemma 4.2], sampling the distributions  $D_i$  and  $T_i$  takes a seed of length

$$O(s \log s) = O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon)) = \tilde{O}(m + \log(n/\varepsilon)).$$

Hence the total seed length of  $G$  is  $\ell' + O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon)) = \ell' + \tilde{O}(m + \log(n/\varepsilon))$ .

We now show that  $G$  fools  $f$ . Write  $f = \prod_{i=1}^k f_i$ , where  $f_i: \{0, 1\}^{J_i} \rightarrow \{-1, 1\}$ . Without loss of generality we can assume each function  $f_i$  is non-constant. We consider two cases.

**$k$  is large:** If  $k \geq 16^m$ , then for every fixing of  $D, T$  and  $G'$ , the function  $f'(y) := f(D + T \wedge \text{PAD}_T(G') + y)$  is also a  $\{-1, 1\}$ -product with the same parameters as  $f$ . Note that we always have  $k \leq n$  and so  $m \leq \log n$ . Hence it follows from Lemma 21 that the generator  $G_{\oplus \text{Many}}$  fools  $f'$  with error  $\varepsilon$ . Averaging over  $D, T$  and  $G'$  shows that  $G$  fools  $f$  with error  $\varepsilon$ .

**$k$  is small:** Now suppose  $k \leq 16^m$ . For every fixing of  $G_{\oplus \text{Many}}$ , consider  $f'(y) := f(y + G_{\oplus \text{Many}})$ . Again,  $f'$  is a  $\{-1, 1\}$ -product with the same parameters as  $f$ . In particular, it is a  $\{-1, 1\}$ -product with  $k$  functions with input length  $s$ . So, by our choice of  $\delta$  and applying Theorem 11 recursively for 50 times, we have

$$\begin{aligned} |\mathbb{E}[f'(D + T \wedge U)] - \mathbb{E}[f'(U)]| &\leq 50 \cdot k \cdot \left( \sqrt{\delta} \cdot (170 \cdot \sqrt{s \ln(ek)})^d + 2^{-(d-s)/2} \right) \\ &\leq 50 \cdot 2^s \cdot \left( (170s/d)^d + 2^{-\Omega(s)} \right) \\ &\leq 2^{-\Omega(s)} \leq \varepsilon/2. \end{aligned}$$

Next, we show that for every fixing of  $D$  and most choices of  $T$ , the function  $f'_{D,T}(y) := f'(D + T \wedge y)$  is a  $\{-1, 1\}$ -product with  $k$  functions,  $k-1$  of which have input lengths  $\leq m/2$  and one has length  $\leq s$ , which can be fooled by  $G'$ .

Because the variables  $T_i$  are independent and each of them is  $\delta$ -almost  $d$ -wise independent, for every subset  $I \subseteq [n]$  of size at most  $d$ , we have

$$\Pr[T \cap I = I] = \prod_{i=1}^{50} \Pr[T_i \cap I = I] \leq (2^{-|I|} + \delta)^{50} \leq (3/4)^{-50|I|}.$$

Without loss of generality, we assume  $I_1, \dots, I_{k-1}$  are the subsets of size at most  $m$  and  $I_k$  is the subset of size at most  $s$ . We now look at which subsets  $T \cap I_i$  have length at most  $m/2$  and which subsets do not. For the latter, we collect the indices in these subsets.

Let  $G := \{i \in [k-1] : |T \cap I_i| \leq m/2\}$ ,  $B := \{i \in [k-1] : |T \cap I_i| > m/2\}$  and  $BV := \{j \in [n] : j \in \bigcup_{i \in B} (T \cap I_i)\}$ . We claim that with probability  $1 - \varepsilon/2$  over the choice of  $T$ , we have  $|BV| \leq s$ . Note that the indices in  $BV$  either come from  $I_k$ , or  $I_i$  for  $i \in [k-1]$ . For the first case, the probability that at least  $s/2$  of the indices in  $I_k$  appear in  $BV$  is at most

$$\binom{|I_k|}{s/2} (3/4)^{-25s} \leq 2^s \cdot (3/4)^{-25s} \leq \varepsilon/4.$$

For the second case, note that if at least  $s/2$  of the variables in  $\bigcup_{i \in [k-1]} I_i$  appear in  $BV$ , then they must appear in at least  $\lceil s/2m \rceil$  of the subsets  $T \cap I_1, \dots, T \cap I_{k-1}$ . The probability of the former is at most the probability of the latter, which is at most

$$\binom{k-1}{\lceil s/2m \rceil} \binom{m \cdot \lceil s/2m \rceil}{s/2} (3/4)^{-25s} \leq 16^{m \cdot (s/2m+1)} \cdot 2^{m \cdot (s/2m+1)} \cdot (3/4)^{-25s} \leq \varepsilon/4,$$

because  $k \leq 16^m$  and  $m \leq s$ . Hence with probability  $1 - \varepsilon/2$  over the choice of  $T$ , the function  $f'_{D,T}$  is a product  $g \cdot h$ , where  $g$  is a product of  $|G| \leq k-1$  functions of input length  $m/2$ , and  $h$  is a product of  $|B| + 1$  functions defined on a total of  $|BV| \leq s$  bits. Recall that  $k \leq 16^m$ , so by our assumption  $G'$  fools  $f'_{D,T}$  with error  $\varepsilon'$ . Therefore  $G$  fools  $f$  with error  $\varepsilon + \varepsilon'$ .  $\square$

We obtain Theorem 6 by applying Lemma 20 repeatedly in different ways.

*Proof of Theorem 6.* Given a  $\{-1, 1\}$ -product  $f: \{0, 1\}^n \rightarrow \{-1, 1\}$  with  $k$  functions of input length  $m$ , we will apply Lemma 20 in stages. In each stage, we start with a  $\{-1, 1\}$ -product

$f$  with  $k_1$  functions,  $k_1 - 1$  of which have input lengths  $\leq m_1 = \max\{m, 2 \log(n/\varepsilon)\}$  and one has length  $\leq s := 5(m + \log(n/\varepsilon))$ . Note that  $k_1 \leq 16^{2m_1+1}$ . Let  $C$  be the constant in Lemma 20. We apply Lemma 20 for  $t = O(\log m_1)$  times until  $f$  is restricted to a  $\{-1, 1\}$ -product  $f'$  with  $k_2$  functions,  $k_2 - 1$  of which have input lengths  $\leq m_2$  and one has length  $\leq s$ , where  $m_2 = C \log \log(n/\varepsilon)$ ,  $k_2 \leq 16^{2m_2+1} \leq (\log(n/\varepsilon))^r$ , and  $r := 8C + 4$  is a constant. This uses a seed of length

$$\begin{aligned} t \cdot O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon)) &\leq O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon))^2 \\ &= \tilde{O}(m + \log(n/\varepsilon)). \end{aligned}$$

At the end of each stage, we repeat the above argument by grouping every  $\lceil \log(n/\varepsilon)/m_2 \rceil$  functions of  $f'$  that have input lengths  $\leq m_2$  as one function of input length  $\leq 2 \log(n/\varepsilon)$ , so we can think of  $f'$  as a  $\{-1, 1\}$ -product with  $k_3 := k_2 / \lceil m_2 / (\log n) \rceil \leq (\log(n/\varepsilon))^{r-1} \log \log n$  functions,  $k_3 - 1$  of which have input lengths  $\leq \log(n/\varepsilon)$  and one has length  $\leq s$ .

Repeating above for  $r + 1 = O(1)$  stages, we are left with a  $\{-1, 1\}$ -product of two functions, one has input length  $\leq C \log \log(n/\varepsilon)$ , and one has length  $\leq s$ , which can then be fooled by a  $2^{-\Omega(s)}$ -biased distribution that can be sampled using  $O(m + \log(n/\varepsilon))$  bits [NN93]. So the total seed length is  $O(m + \log(n/\varepsilon))(\log m + \log \log(n/\varepsilon))^2 = \tilde{O}(m + \log(n/\varepsilon))$ , and the error is  $(r + 1) \cdot t \cdot \varepsilon$ . Replacing  $\varepsilon$  with  $\varepsilon/(r + 1)t$  proves the theorem.  $\square$

## 4 Level- $k$ inequalities

In this section, we prove Lemma 10 that gives an upper bound on the  $d$ th level Fourier weight of a  $[0, 1]$ -valued function in  $L_2$ -norm. We first restate the lemma.

**Lemma 10.** *Let  $g: \{0, 1\}^n \rightarrow [0, 1]$  be any function. For every positive integer  $d$ , we have*

$$W_{2,d}[g] \leq 4 \mathbb{E}[g]^2 (2e \ln(e / \mathbb{E}[g]^{1/d}))^d.$$

Our proof closely follows the argument in [Tal96].

**Claim 22.** *Let  $f: \{0, 1\}^n \rightarrow \mathbb{R}$  have Fourier degree at most  $d$  and  $\|f\|_2 = 1$ . Let  $g: \{0, 1\}^n \rightarrow [0, 1]$  be any function. If  $t_0 \geq 2e^{d/2}$ , then*

$$\mathbb{E}[g(x)|f(x)] \leq \mathbb{E}[g]t_0 + 2et_0^{1-2/d}e^{-\frac{d}{2e}t_0^{2/d}}.$$

To prove this claim, we will use the following concentration inequality for functions with Fourier degree  $k$  from [DFKO07].

**Theorem 23** (Lemma 2.2 in [DFKO07]). *Let  $f: \{0, 1\}^n \rightarrow \mathbb{R}$  have Fourier degree at most  $d$  and assume that  $\|f\|_2 := \sum_S \hat{f}_S^2 = 1$ . Then for any  $t \geq (2e)^{d/2}$ ,*

$$\Pr[|f| \geq t] \leq e^{-\frac{d}{2e}t^{2/d}}.$$

We also need to bound above the integral of  $e^{-\frac{d}{2e}t^{2/d}}$ .

**Claim 24.** Let  $d$  be any positive integer. If  $t_0 \geq (2e)^{d/2}$ , then we have

$$\int_{t_0}^{\infty} e^{-\frac{d}{2e}t^{2/d}} dt \leq 2et_0^{1-2/d} e^{-\frac{d}{2e}t_0^{2/d}}.$$

*Proof.* First we apply the following change of variable to the integral. We set  $s = \frac{d}{2e}t^{2/d}$  and obtain

$$\int_{t_0}^{\infty} e^{-\frac{d}{2e}t^{2/d}} dt = e\left(\frac{2e}{d}\right)^{d/2-1} \int_{s_0}^{\infty} s^{d/2-1} e^{-s} ds,$$

where  $s_0 = \frac{d}{2e}t_0^{2/d}$ . Define

$$\Gamma_{s_0}(d) = \int_{s_0}^{\infty} s^{d-1} e^{-s} ds.$$

(Note that when  $s_0 = 0$  then  $\Gamma_0(d)$  is the Gamma function.) Using integration by parts, we have

$$\Gamma_{s_0}(d) = s_0^{d-1} e^{-s_0} + (d-1)\Gamma_{s_0}(d-1). \quad (5)$$

Moreover, when  $d \leq 1$ , we have  $\Gamma_{s_0}(d) \leq s_0^{d-1} \int_{s_0}^{\infty} e^{-s} ds = s_0^{d-1} e^{-s_0}$ .

Note that if  $t_0 \geq (2e)^{d/2}$ , then  $s_0 \geq d-2$ . Hence, if we open the recursive definition of  $\Gamma_{s_0}(d/2)$  in Equation (5), we have

$$\begin{aligned} \Gamma_{s_0}(d/2) &\leq e^{-s_0} \sum_{i=0}^{\lceil \frac{d}{2} \rceil - 1} s_0^{d/2-1-i} \prod_{j=1}^i (d/2 - j) \\ &\leq e^{-s_0} s_0^{d/2-1} \sum_{i=0}^{\lceil \frac{d}{2} \rceil - 1} \left(\frac{d/2-1}{s_0}\right)^i \\ &\leq 2e^{-s_0} s_0^{d/2-1}, \end{aligned}$$

because the summation is a geometric sum with ratio at most  $1/2$ . Substituting  $s_0$  with  $t_0$ , we obtain

$$\begin{aligned} e\left(\frac{2e}{d}\right)^{d/2-1} \int_{s_0}^{\infty} s^{d/2-1} e^{-s} ds &\leq 2e\left(\frac{2e}{d}\right)^{d/2-1} e^{-s_0} s_0^{d/2-1} \\ &= 2et_0^{1-2/d} e^{-\frac{d}{2e}t_0^{2/d}}. \quad \square \end{aligned}$$

*Proof of Claim 22.* We rewrite  $|f(x)|$  as  $\int_0^{|f(x)|} \mathbf{1} dt = \int_0^{\infty} \mathbf{1}(|f(x)| \geq t) dt$  and obtain

$$\begin{aligned} \mathbb{E}_{x \sim \{0,1\}^n} [g(x)|f(x)|] &= \mathbb{E}_{x \sim \{0,1\}^n} \left[ \int_0^{\infty} g(x) \mathbf{1}(|f(x)| \geq t) dt \right] \\ &\leq \mathbb{E}_{x \sim \{0,1\}^n} \left[ \int_0^{\infty} \min\{g(x), \mathbf{1}(|f(x)| \geq t)\} dt \right] \\ &= \int_0^{\infty} \min\left\{ \mathbb{E}[g], \Pr_x[|f(x)| \geq t] \right\} dt \\ &\leq \int_0^{t_0} \mathbb{E}[g] dt + \int_{t_0}^{\infty} \Pr[|f(x)| \geq t] dt \\ &\leq \mathbb{E}[g]t_0 + \int_{t_0}^{\infty} e^{-\frac{d}{2e}t^{2/d}} dt. \end{aligned}$$

Since  $t_0 \geq (2e)^{d/2}$ , by Claim 24 this is at most  $\mathbb{E}[g]t_0 + 2et_0^{1-2/d}e^{-\frac{d}{2e}t_0^{2/d}}$ .  $\square$

*Proof of Lemma 10.* Define  $f$  to be  $f(x) := \sum_{|S|=d} \hat{f}_S \chi_S(x)$ , where  $\hat{f}_S = \hat{g}_S (\sum_{|T|=d} \hat{g}_T^2)^{-1/2}$ . Note that  $\|f\|_2 = 1$ , and we have

$$\mathbb{E}[g(x)f(x)] = \frac{\sum_S \hat{g}_S \mathbb{E}[g(x)\chi_S(x)]}{(\sum_{|T|=d} \hat{g}_T^2)^{1/2}} = \left( \sum_{|S|=d} \hat{g}_S^2 \right)^{1/2}.$$

Let  $t_0 = (2e \ln(e/\mathbb{E}[g]^{1/d}))^{d/2} \geq (2e)^{d/2}$ . By Claim 22,

$$\left( \sum_{|S|=d} \hat{g}_S^2 \right)^{1/2} = \mathbb{E}[g(x)f(x)] \leq \mathbb{E}[g(x)|f(x)|] \leq \mathbb{E}[g]t_0 + 2et_0^{1-2/d}e^{-\frac{d}{2e}t_0^{2/d}}.$$

By our choice of  $t_0$ , the second term is at most

$$2et_0^{1-2/d}e^{-\frac{d}{2e}t_0^{2/d}} \leq \left( 2e \ln \left( \frac{e}{\mathbb{E}[g]^{1/d}} \right) \right)^{d/2} \frac{\mathbb{E}[g]}{e^d} \leq (2/e)^{d/2} \mathbb{E}[g] \ln \left( \frac{e}{\mathbb{E}[g]^{1/d}} \right)^{d/2},$$

which is no greater than the first term. So

$$\left( \sum_{|S|=d} \hat{g}_S^2 \right)^{1/2} \leq 2 \mathbb{E}[g] (2e \ln(e/\mathbb{E}[g]^{1/d}))^{d/2}.$$

and the lemma follows.  $\square$

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