# ECCC

# When Arthur has Neither Random Coins nor Time to Spare: Superfast Derandomization of Proof Systems

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#### Abstract

What is the actual cost of derandomization? And can we get it for free? These questions were recently raised by Doron et. al (FOCS 2020) and have been attracting considerable interest. In this work we extend the study of these questions to the setting of **derandomizing interactive proofs systems**.

First, we show conditional derandomization of  $\mathcal{MA}$  and of  $\mathcal{AM}$  with **optimal runtime overhead**, where optimality is under the #NSETH assumption. Specifically, denote by  $\mathcal{AMTIME}^{[\rightleftharpoons c]}[T]$  a protocol with *c* turns of interaction in which the verifier runs in polynomial time *T*. We prove that, for every constant  $\epsilon > 0$ ,

# $\mathcal{MATIME}[T] \subseteq \mathcal{NTIME}[T^{2+\epsilon}],$ $\mathcal{AMTIME}^{[\rightleftharpoons c]}[T] \subseteq \mathcal{NTIME}[n \cdot T^{\lceil c/2 \rceil + \epsilon}],$

assuming the existence of properties of Boolean functions that can be recognized quickly from the function's truth-table such that functions with the property are hard for proof systems that receive near-maximal amount of non-uniform advice.

To obtain faster derandomization, we introduce the notion of a **deterministic effective argument system**. This is an  $\mathcal{NP}$ -type proof system in which the verifier is *deterministic*, and the soundness is relaxed to be computational, as follows: For every probabilistic polynomial-time adversary  $\tilde{P}$ , the probability that  $\tilde{P}$  finds an input  $x \notin L$  and misleading proof  $\pi$  such that  $V(x, \pi) = 1$  is negligible.

Under strong hardness assumptions, we prove that any constant-round doubly efficient proof system can be compiled into a deterministic effective argument system, with essentially no time overhead. As one corollary, under strong hardness assumptions, for every  $\epsilon > 0$  there is a deterministic verifier *V* that gets an *n*-bit formula  $\Phi$ of size  $2^{o(n)}$ , runs in time  $2^{\epsilon \cdot n}$ , and satisfies the following: An honest prover running in time  $2^{O(n)}$  can print, for every  $\Phi$ , a proof  $\pi$  such that  $V(\Phi, \pi)$  outputs the number of satisfying assignments for  $\Phi$ ; and for every adversary  $\tilde{P}$  running in time  $2^{O(n)}$ , the probability that  $\tilde{P}$  finds  $\Phi$  and  $\pi$  such that  $V(\Phi, \pi)$  outputs an incorrect count is  $2^{-\omega(n)}$ .

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# 1 Introduction

We study the well-known problem of *eliminating randomness from interactive proof systems*. While we do not expect to be able to eliminate randomness from general proof systems (as that would imply that  $\mathcal{NP} = \mathcal{IP} = \mathcal{PSPACE}$ , by [Sha92]), a classical line of works suggests that for proof systems that only use constantly many rounds of interaction, this might be doable. In particular, assuming sufficiently strong circuit lower bounds,<sup>1</sup> we have that  $\mathcal{AM} = \mathcal{NP}$  (for a subset of prominent works in this area, see e.g., [KM98; IKW02; MV05; SU05; GSTS03; SU07]).

Recently, Doron *et al.* [DMO+20] raised the fine-grained derandomization question of what is the *minimal time overhead* that we need to pay when eliminating randomness from probabilistic algorithms. In their paper and in two subsequent works [CT21b; CT21a], the following picture emerged: Under sufficiently strong lower bounds for algorithms that use non-uniformity, we can derandomize probabilistic algorithms that run in time *T* by deterministic algorithms that run in time  $n \cdot T^{1+\epsilon}$ , for an arbitrarily small  $\epsilon > 0$  (see [CT21b] for details);<sup>2</sup> and furthermore, if we are willing to settle for derandomization that errs on a negligible fraction of inputs over any polynomial-time samplable distribution, then we can derandomize probabilistic time *T* in deterministic time  $n^{\epsilon} \cdot T$  for an arbitrarily small  $\epsilon > 0$  (see [CT21a]).

The current paper studies the question of *superfast derandomization of proof systems*: We ask what is the minimal time overhead that we need to pay when eliminating randomness from proof systems such as  $\mathcal{MA}$  and  $\mathcal{AM}$ . While it is well-known that proof systems with constantly many rounds can be simulated by proof systems with two rounds (see [BM88]), in this work we care about *fine-grained time bounds*, and therefore we care about the precise number of rounds in any such system. We denote by  $\mathcal{AMTIME}^{[=c]}[T]$  the set of problems solved by proof systems that use *c* turns of interaction, where in each turn the relevant party communicates T(n) bits (recall that the verifier just sends random bits; see Definition 3.5 for precise details).

**Our contributions, at a bird's eye.** First, we construct *essentially optimal* derandomization algorithms for  $\mathcal{MA}$ , and for  $\mathcal{AM}$  protocols with constantly many rounds. The derandomization algorithms are constructed under appealing hardness hypotheses, which compare favorably to hypotheses from previous works (and the claim that they are essentially optimal is under the assumption #NSETH, which is a strong assumption from the exponential-time hypotheses family; see Assumption 3.20). The results for  $\mathcal{MA}$  and for  $\mathcal{AM}$  are presented in Section 1.1.

Secondly, we introduce the notion of *deterministic effective argument systems*, which are deterministic proof systems (i.e.,  $\mathcal{NP}$ -type verifiers) such that no polynomial-time adversary can find, with non-negligible probability, an input  $x \notin L$  and a proof  $\pi$  such that the verifier accepts x given proof  $\pi$ . Under strong hardness assumptions, we compile every constant-round doubly efficient proof system into a deterministic effective argument system with *essentially no time overhead*.

As a special case of the latter result, under strong hardness assumptions, we construct a verifier that certifies the number of solutions for a given *n*-bit formula of size  $2^{o(n)}$  in time  $2^{\epsilon \cdot n}$ , for any  $\epsilon > 0$ , such that no  $2^{O(n)}$ -time algorithm can find a formula and a proof on which this verifier errs. The general result mentioned in the preceding paragraph as well as the special case of #SAT are presented in Section 1.2.

<sup>&</sup>lt;sup>1</sup>For example, it suffices to assume that for some  $\epsilon > 0$  it holds that  $\mathcal{E} = \mathcal{DTIME}[2^{O(n)}]$  is hard for SVN circuits of size  $2^{\epsilon \cdot n}$  on all input lengths (see [MV05]).

<sup>&</sup>lt;sup>2</sup>This is tight for worst-case derandomization, under the hypothesis #NSETH (see Assumption 3.20).

## **1.1** Superfast worst-case derandomization of $\mathcal{M}\mathcal{A}$ and of $\mathcal{A}\mathcal{M}$

Our hardness hypotheses in this section will rely on the existence of *useful properties*, in the sense of Razborov and Rudich [RR97]: Loosely speaking, these are algorithms verifying that a given string is the truth-table of a function that is hard for a certain class. Specifically, for two complexity classes C and C', we say that a property  $\Pi$  of truth-tables is C'-constructive and useful against C if there is a C'-algorithm that decides whether a truth-table is in  $\Pi$ , and if every truth-table in  $\Pi$  is hard for algorithms from C (see Definition 3.3 for precise details).<sup>3</sup>

The reason that we consider useful properties is that *they are necessary for any derandomization of proof systems, even just of*  $\mathcal{MA}$ . In fact, the useful properties that are necessary are constructive in *quasilinear time* (this follows using the well-known approach of Williams [Wil13; Wil16]; see Appendix A for a proof). Accordingly, we will consider useful properties in which truth-tables of length  $N = 2^n$  can be recognized in non-deterministic polynomial time  $N^k = 2^{k \cdot n}$  or even in non-deterministic near-linear time  $N^{1+\epsilon} = 2^{(1+\epsilon) \cdot n}$ , for a small constant  $\epsilon > 0$ .

Previous works deduced superfast derandomization from hard functions whose truth-tables can be printed quickly (i.e., they have bounded amortized complexity; see, e.g., [CT21b]). The assumptions above are more relaxed: We only require recognizing the truth-table (rather than printing it), we allow non-determinism (i.e., proof) in recognizing the truth-table, and we allow for a collection of hard truth-tables per input length, rather than just a single hard truth-table per input length.

## **1.1.1** Superfast derandomization of MA

Our first result is a derandomization of  $\mathcal{MA}$  that induces a (near-)quadratic time overhead. This derandomization is (conditionally) tight, and it relies on the existence of constructive properties that are useful against  $\mathcal{NP} \cap co\mathcal{NP}$  machines that receive near-maximal non-uniform advice. That is:

**Theorem 1.1** (quadratic derandomization of  $\mathcal{MA}$  and useful properties). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that there exists an  $\mathcal{NTIME}[N^{2+\epsilon/4}]$ -constructive property useful against  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(2-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ . Then,

 $pr\mathcal{MATIME}[T] \subseteq pr\mathcal{NTIME}[T^{2+\epsilon}].$ 

We stress that, in contrast to derandomization of  $pr\mathcal{BPP}$ , for  $\mathcal{MA}$  the quadratic time overhead above might be unavoidable. Specifically, under the assumption #NSETH, for every  $\epsilon > 0$  we have that  $\mathcal{MATIME}[T] \not\subseteq \mathcal{NTIME}[T^{2-\epsilon}]$ . For details and a more general statement, see Assumption 3.20 and Theorem 6.1.

The technical result underlying Theorem 1.1 is stronger: It allows for derandomization of  $pr\mathcal{BPP}$ , under hypotheses that compare favorably to ones needed to get similar conclusions in previous works [DMO+20; CT21b]; see Section 1.3 for details.

# **1.1.2** Superfast derandomization of $\mathcal{AM}$

Our second main result is a derandomization of  $AMTIME^{[=c]}[T]$ , for any constant number  $c \in \mathbb{N}$  of turns, that is (conditionally) tight. Loosely speaking, we prove that any such protocol can be simulated in non-deterministic time  $\approx T^{c/2}$ , assuming that for every  $k \ge 1$  there are constructive properties that can be recognized in time

<sup>&</sup>lt;sup>3</sup>We also assume non-triviality, i.e. for every  $n \in \mathbb{N}$  the property  $\Pi$  contains functions on *n* input bits.

 $N^k = 2^{k \cdot n}$  and are useful against verifiers with running time  $2^{(1-\delta) \cdot k \cdot n}$  that receive near-maximal non-uniform advice. In more detail:

**Theorem 1.2** (superfast derandomization of AM; see Corollary 5.19). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that:

- 1. There exists an  $\mathcal{NTIME}[N^{1+\epsilon/3}]$ -constructive property  $\mathcal{L}_1$  that is useful against  $\mathcal{MATIME}^{\mathsf{SAT}}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ .<sup>4</sup>
- 2. For every k > 1 there exists an  $\mathcal{NTIME}[N^{k+\epsilon/3}]$ -constructive property  $\mathcal{L}_k$  that is useful against  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(1-\delta)\cdot k\cdot n}]/2^{(1-\delta)\cdot n}$ .

*Then, for every polynomial T and constant*  $c \in \mathbb{N}$  *it holds that* 

$$pr\mathcal{AMTIME}^{[\rightleftharpoons c]}[T] \subseteq pr\mathcal{NTIME}[n \cdot T^{|c/2|+\epsilon}].$$

Moreover, if we only want to derandomize  $prAMTIME^{[\Rightarrow c]}[T]$  verifiers with perfect completeness (in non-deterministic time  $n \cdot T^{\lceil c/2 \rceil + \epsilon}$  as above), then it suffices to assume that  $\mathcal{L}_1$  is useful against  $MAM[2^{(1-\delta) \cdot n}]/2^{(1-\delta) \cdot n}$ .

Similarly to Theorem 1.1, the conclusions in Theorem 1.2 are tight, assuming #NSETH (see Theorem 6.2). Moreover, the hardness assumption is quite mild compared to what one might expect; let us explain why we claim so. Recall that in classical results, to derandomize  $\mathcal{AM}$  we assume lower bounds for the non-uniform analogue of  $\mathcal{NP} \cap co\mathcal{NP}$  (see, e.g., [SU05]). The assumption above about  $\mathcal{L}_k$  is simply an extension of the classical assumptions to "higher" time bounds (of the form  $2^{k \cdot n}$ ) and with a narrow gap between the upper-bound and lower-bound (as one can expect when deducing superfast derandomization). Our assumption about  $\mathcal{L}_1$  is for a stronger class, namely the non-uniform analogue of  $\mathcal{MA}^{\mathcal{NP}}$  (or of  $\mathcal{MAM}$ ). However, this assumption suffices to *optimally* derandomize  $\mathcal{AM}$  with *any* constant number of turns.

The hardness assumption for "high" time bounds  $2^{k \cdot n}$  is quantitatively reminiscent of a hardness assumption from [CT21b] that was used to deduce that  $prBPTIME[T] \subseteq$  $prDTIME[n \cdot T^{1+\epsilon}]$ . However, the latter result also needed an additional hardness assumption, namely the existence of *one-way functions*; in contrast, in Theorem 1.2 *we do not rely on any cryptographic assumption*. In fact, similarly to Section 1.1.1, the techniques underlying Theorem 1.2 also extend to the setting of derandomization of prBPP, and allow us to deduce a conclusion as in [CT21b] without cryptographic assumptions. For further details see Section 1.3.

# **1.1.3 Uniform tradeoffs for** $\mathcal{AM} \cap co\mathcal{AM}$

The results above relied on hardness for protocols that use a large number of nonuniform advice bits. Classical results were able to deduce derandomization of the more restricted class  $\mathcal{AM} \cap co\mathcal{AM}$  relying only on hardness assumptions for *uniform* protocols (see, e.g., [GSTS03; SU07]).

Indeed, we are able to show an "extreme high-end" analogue of these results too. Assuming that there exists a function whose truth-tables can be recognized in near-linear time but that is hard for 7-round protocols running in time  $2^{(1-\delta)\cdot n}$ , we show that  $\mathcal{AM} \cap co\mathcal{AM}$  can be derandomized with only a quadratic time overhead:

<sup>&</sup>lt;sup>4</sup>For a definition of  $MATIME^{SAT}$  see Definition 5.4.

**Theorem 1.3** (uniform tradeoffs for superfast derandomization). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that there exists  $L \notin i.o.(\mathcal{MA} \cap co\mathcal{MA})\mathcal{TIME}^{[=7]}[2^{(1-\delta)\cdot n}]$  such that truth-tables of L of length  $N = 2^n$  can be recognized in non-deterministic time  $N^{1+\epsilon/3}$ . <sup>5</sup> Then, for every time-computable T it holds that

 $(\mathcal{AM} \cap co\mathcal{AM})\mathcal{TIME}_{p}[T] \subseteq (\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[T^{2+\epsilon}],$ 

where the subscript "p" indicates protocols that have perfect completeness (see Definition 3.6).

Needless to say, hardness for protocols with constantly many rounds did not appear in previous results [GSTS03; SU07], and this is because previous results did not consider fine-grained time bounds (in which case constantly many rounds can be simulated by two rounds [BM88]).

# 1.2 A lunch that looks free: Deterministic effective argument systems

In two previous works [CT21b; CT21a], the way to bypass the #NSETH barrier and obtain faster derandomization results was to consider derandomization *that is allowed to err, but errors are infeasible to find* (i.e., no efficient algorithm can find errors), and (in [CT21a]) to achieve such derandomization by crucially relying on new *non-black-box techniques*. In the current work we follow in the same vein.

# 1.2.1 A general notion: Deterministic effective argument systems

In proof systems such as  $\mathcal{AM}$ , soundness is guaranteed for every input, and against every possible prover strategy. The classical relaxed notion of argument systems, introduced in [BCC88; BC86] (see also [Kil92; Mic00]), only requires *computational soundness*, in the sense that for every input, soundness is only guaranteed against *efficient* adversaries (usually modeled as circuits of bounded size).

We initiate a study of effective argument systems, which are argument systems in which the soundness condition is further relaxed: Instead of requiring soundness on every input, we only require soundness on inputs that can be *efficiently found*. That is, we model the adversary as an *efficient uniform algorithm*, and assert that no such algorithm can find an input and implement a proof strategy such that the verifier will be misled.<sup>6</sup> This models a real-world scenario in which bad inputs might exist, but they are extremely unlikely to be encountered (by efficient procedures).

Our main question about such systems is in the context of derandomization: Can we derandomize interactive proof systems into deterministic, effective argument systems, while avoiding the time overhead incurred in Section 1.1.2? Note that in deterministic effective argument system there is *no interaction* (since the verifier is deterministic), and thus it is an  $\mathcal{NP}$ -type system with a computational soundness condition.

**Definition 1.4** (deterministic effective argument system). We say that  $L \subseteq \{0,1\}^*$  is in deARG[T] if there exists a deterministic *T*-time verifier *V* such that the following holds:

1. (Completeness.) There is an algorithm P that, when given  $x \in L$ , runs in time poly(T) and prints  $\pi$  such that  $V(x, \pi) = 1$ .

<sup>&</sup>lt;sup>5</sup>That is, we have that  $tt(L) \in \mathcal{NTIME}[N^{1+\epsilon/3}]$ , where  $tt(L) = \{f_n \in \{0,1\}^{N=2^n}\}_{n \in \mathbb{N}}$  and  $f_n$  is the truth-table of *L* on *n*-bit inputs.

<sup>&</sup>lt;sup>6</sup>Note that the notion of "efficiently finding a bad input" is meaningful only for uniform adversaries, because a non-uniform adversary can always hard-wire a bad input.

2. (Computational soundness.) For every polynomial p, and every probabilistic algorithm  $\tilde{P}$  running in time p(T), and every sufficiently large  $n \in \mathbb{N}$ , the probability that  $\tilde{P}(1^n)$  prints  $x \notin L$  of length n and  $\pi \in \{0,1\}^{T(n)}$  such that  $V(x,\pi) = 1$  is  $T(n)^{-\omega(1)}$ .

Note that the (honest) prover in Definition 1.4 is required to be efficient; just as in classical argument systems, this is necessary for the definition to make sense.<sup>7</sup> However (again, analogously to classical argument systems) one can extend Definition 1.4 and equip the honest prover with a *witness* in an underlying relation, in order to construct deterministic effective argument systems for  $\mathcal{NP}$ -relations. As explained in Remark 7.6, our results indeed extend to work in the latter model; we present our results in the more restricted model of Definition 1.4 for simplicity, and because we are aware of more appealing applications in this model.

#### 1.2.2 Derandomizing doubly efficient proofs into deterministic effective arguments

Recall that doubly efficient proof systems, introduced by Goldwasser, Kalai, and Rothblum [GKR15] (for a survey see [Gol18]), are proof systems in which the verifier is very fast (say, runs in quasilinear time) and the honest prover is slower, but still efficient (say, runs in polynomial time). Extending the original definition to work with a general time bound *T*, we denote by de $\mathcal{IP}^{[\Rightarrow c]}[T]$  the class of problems solvable by proof systems with *c* turns of interaction, a verifier that runs in time *T*, and an honest prover with perfect completeness that runs in time poly(*T*) (see Definition 3.7).

Our main result in this section is that, under strong hardness hypotheses, *every constant-round doubly efficient proof system* can be simulated by a deterministic effective argument system *with essentially no time overhead*. We stress that even if we start with a system that has many turns of interaction, after this simulation we still end up with an NP-type verifier that has essentially the same time complexity.

As a first step, let us consider  $de \mathcal{IP}^{[\rightleftharpoons c]}[T]$  protocols in which the verifier uses only  $R(n) \ll T(n)$  random coins, and denote the class of problems solvable by such protocols by  $de \mathcal{IP}^{[\Rightarrow c]}[T, R]$ . We simulate any such protocol by a deterministic effective argument system with time overhead poly(R), under a strong hardness assumption.

**Theorem 1.5** ("free lunch" derandomization of doubly efficient proof systems that use few random coins; see Theorem 7.4). Let  $c \in \mathbb{N}$ , and let  $T(n) \ge n$  and R(n) < T(n) be time-computable and non-decreasing. Assume that there exist  $\alpha, \beta \in (0,1)$  and  $f: \{0,1\}^N \rightarrow \{0,1\}^K$ , where  $N = N(n) = n + c \cdot T$  and K = K(n) = poly(R(n)), such that:

- 1. (Each output bit is computable in time  $\overline{T}$ .) There exists a deterministic algorithm that gets input  $(z,i) \in \{0,1\}^N \times [K]$  and outputs the *i*<sup>th</sup> bit of f(z) in time  $\overline{T} = T \cdot K$ .
- 2. (The entire output string cannot be approximately-printed in time  $\overline{T} \cdot K^{\beta}$  with a restricted oracle.) For every oracle machine M that runs in time  $\overline{T} \cdot K^{\beta}$  and makes oracle queries of length O(T), and every  $\mathcal{O} \in pr\mathcal{AMTIME}^{[=c]}[n]$ , and every distribution  $\mathbf{z}$  over  $\{0,1\}^N$  that is samplable in time poly(T), with probability at least  $1 - (\overline{T})^{-\omega(1)}$  over choice of  $z \sim \mathbf{z}$  it holds that  $Pr[M^{\mathcal{O}}(z)_i \neq f(z)_i] \geq \alpha$ , where the probability is over  $i \in [K]$  and the random coins of  $M.^8$

*Then* de $\mathcal{IP}^{[=c]}[T, R] \subseteq de\mathcal{ARG}[T \cdot poly(R)].$ 

<sup>&</sup>lt;sup>7</sup>Otherwise the definition would be too relaxed, potentially allowing the class to contain all problems (even uncomputable ones); see Section 3.3 for further discussion.

<sup>&</sup>lt;sup>8</sup>Note that the  $\mathcal{AMTIME}^{[=c]}$  oracle  $\mathcal{O}$  gets queries of length O(T), and the time bound for its verifier is linear in its input length O(T).

Recall that Reingold, Rothblum, and Rothblum [RRR21] constructed a constantround doubly efficient proof system for every problem that can be decided in polynomial time and with small space (say, space  $n^{\delta}$  for a small  $\delta > 0$ ). In their proof system, the verifier runs in near-linear time, and uses only  $n^{O(\delta)}$  random coins. Thus, as an immediate corollary of Theorem 1.5 and of their result, we have that:

**Corollary 1.6** ( $\mathcal{NP}$ -type arguments for a subclass of  $\mathcal{P}$ ). For every  $\epsilon > 0$  there exist  $\delta > 0$  and  $c \in \mathbb{N}$  such that the following holds. Assume that the hypothesis of Theorem 1.5 holds for the constant c and for  $T(n) = \tilde{O}(n)$  and  $R(n) = n^{O(\delta)}$ . Then,

 $\mathcal{DTISP}[\operatorname{poly},n^{\delta}] \subseteq \operatorname{de}\mathcal{ARG}[n^{1+\epsilon}]$  ,

where  $DTISP[poly, n^{\delta}]$  is the class of sets decidable in time poly(n) and space  $n^{\delta}$ .

For  $R(n) \le n^{o(1)}$ , the derandomization overhead in Theorem 1.5 essentially does not depend on the number of turns. This suggests the following generic algorithmic approach: First use a protocol with *R* coins and many turns to solve a problem quickly (for problems solvable by such a protocol), and then simulate this protocol by a deterministic effective argument system with essentially the same complexity.

We show one striking case where this algorithmic approach bears fruit: Counting the number of solutions of a given Boolean formula (i.e., solving #SAT). An interactive protocol for this task was shown by Williams [Wil16], based on the classical sumcheck protocol, and this protocol works in time  $2^{\epsilon \cdot n}$  when the number of turns is  $O(1/\epsilon)$ . Under an assumption similar to the one in Theorem 1.5 (in fact more relaxed, using particular properties of this proof system), we show that Williams' protocol can be derandomized to obtain a NP-type verifier that counts the number of solutions of an n-bit formula in time  $2^{\epsilon \cdot n}$ , where  $\epsilon > 0$  can be an arbitrarily small constant, while maintaining computational soundness against adversaries running in time  $2^{O(n)}$ .

**Theorem 1.7** (effectively certifying #SAT in deterministic time  $2^{\epsilon \cdot n}$ ; see Theorem 7.8). *Assume that for every constant*  $c \in \mathbb{N}$  *there exist*  $\alpha, \beta \in (0, 1)$  *and a function*  $f \colon \{0, 1\}^N \to \{0, 1\}^K$ , where  $N = N(n) = n + c \cdot T$  and  $K = K(n) = n^{\Omega_{c,\alpha,\beta}(1)}$ , such that:

- 1. (Each output bit is computable in time  $\overline{T}$ .) There exists a deterministic algorithm that gets input  $(z, i) \in \{0, 1\}^N \times [K]$  and outputs the *i*<sup>th</sup> bit of f(z) in time  $\overline{T} = T \cdot K$ .
- 2. (The entire output string cannot be approximately-printed in time  $\overline{T} \cdot K^{\beta}$  with a restricted oracle.) For every oracle machine M that runs in time  $\overline{T} \cdot K^{\beta}$  and makes oracle queries of length O(T), and every  $\mathcal{O} \in pr-de\mathcal{IP}^{[=c]}[n]$ , and every distribution  $\mathbf{z}$ over  $\{0,1\}^N$  that is samplable in time poly(T), with probability at least  $1 - (\overline{T})^{-\omega(1)}$ over choice of  $z \sim \mathbf{z}$  it holds that  $\Pr[M^{\hat{O}}(z)_i \neq f(z)_i] \geq \alpha$ , where the probability is over  $i \in [K]$  and the random coins of M.

Then, for every  $\epsilon > 0$  there is a deterministic verifier V that gets as input an n-bit formula  $\Phi$  of size at most  $2^{o(n)}$ , runs in time  $2^{\epsilon \cdot n}$ , and satisfies the following:

1. (Completeness.) There is an algorithm that, given any input formula  $\Phi$  as above, runs in time  $2^{O(n)}$  and outputs a proof  $\pi$  such that  $V(\Phi, \pi) = \#SAT(\Phi)$ .<sup>10</sup>

<sup>&</sup>lt;sup>9</sup>A similar result can be proved as a corollary of Corollary 1.6, using the fact that #SAT of *n*-bit formulas of size  $2^{o(n)}$  can be solved in time poly(*N*) and space  $N^{o(1)}$ , where  $N = 2^{e \cdot n}$ . However, working with the proof system of [Wil16] rather than that of [RRR21] allows us to rely on a weaker hypothesis.

<sup>&</sup>lt;sup>10</sup>We denote by  $\#SAT(\Phi)$  the number of assignments that satisfy the formula  $\Phi$ .

2. (Computational soundness.) For every probabilistic algorithm  $\tilde{P}$  running in time  $2^{O(n)}$ , the probability that  $\tilde{P}(1^n)$  prints an n-bit formula  $\Phi$  of size  $2^{o(n)}$  and proof  $\pi$  such that  $V(\Phi, \pi) \notin \{\perp, \#SAT(\Phi)\}$  is  $2^{-\omega(n)}$ .

We remind the reader that constructing a verifier as above without the relaxation of computational hardness (i.e., a verifier that is sound for all inputs and with any proof) is believed to be impossible; this is known as the #NETH assumption, which is weaker than the "strong" version #NSETH mentioned above. To the best of our knowledge, the existence of a verifier such as the one in Theorem 1.7 was not conjectured before.

**The hardness hypothesis.** The crux of the hardness hypothesis in Theorems 1.5 and 1.7 is a non-batch-computability phenomenon: Fixing a function f with K output bits, where each bit is computable in time  $\overline{T}$ , we assume that the entire K-bit string cannot be printed in time  $\overline{T} \cdot K^{\beta}$ . Of course, a trivial algorithm can print the entire string in time  $\overline{T} \cdot K$ , but it seems very plausible that for some functions, a significant improvement on this running time isn't possible. The latter statement is known as a strong direct-product assumption, and our version – which only assumes a lower bound of  $\overline{T} \cdot K^{\beta}$  rather than close to  $\overline{T} \cdot K$  – can be thought of as a mildly strong direct-product assumption. (Indeed, a mildly-strong direct-product hypothesis was used in previous work [CT21a], where it was shown to be necessary for "free lunch" derandomization in limited special cases [CT21a, Section 6.3].)

The hardness hypothesis in Theorems 1.5 and 1.7 is stronger than the one described above, in several aspects. First, it assumes average-case hardness over all polynomialtime samplable distributions, rather than only worst-case hardness (or only averagecase hardness under the uniform distribution). Secondly, it assumes hardness of printing even a "corrupted" version of the output string, rather than only hardness of printing the output string precisely. And lastly, it assumes hardness for algorithms running in time  $\overline{T} \cdot K^{\beta}$  that issue oracle queries of length O(T) to linear-time verifiers in constant-round protocols (i.e., to  $AMTIME^{[=c]}[O(n)]$ , or to de $IP^{[=c]}[O(n)]$ ).

While the latter oracle access might seem strong, we stress that it does not even seem to suffice to compute a *single output bit* of f; this is because the protocol gets queries of length O(T) and runs in time linear in O(T), whereas computing each of the output bits of f is only assumed possible in time  $\overline{T} = T \cdot K > T$ . In fact, for this reason, even a stronger hypothesis in which the oracle is a linear-space machine (rather than a constant-round interactive protocol with a linear-time verifier) still seems plausible. We refer the reader to Section 7.2.1 for a detailed discussion, which includes a comparison of the hypothesis with known results about batch-computing functions by interactive protocols, by Reingold, Rothblum and Rothblum [RRR21; RRR18].

An additional relaxation. For the special case of #SAT (i.e., Theorem 1.7), if the given *n*-bit formula is only of size poly(n) rather than  $2^{o(n)}$ , we can further relax the hardness hypothesis: Instead of allowing the algorithm to make queries of length O(T) to a pr-de $\mathcal{IP}^{[=c]}[n]$  oracle, the theorem holds even if we only allow it queries to #SAT with a formula over O(log(T)) variables and of size polylog(T) (see Theorem 7.9).

## 1.2.3 The general case

Finally, we turn to the general case of simulating doubly efficient proof systems (without restricting the number of random coins) by deterministic effective argument systems. We show how to do so under an additional hardness hypothesis (on top of the one in Theorem 1.5), which refers to hardness for interactive protocols with constantly many rounds and near-maximal advice.

**Theorem 1.8** ("free lunch" derandomization of general doubly efficient proof systems; informal, see Corollary 7.12). For every  $\alpha, \beta, \epsilon \in (0, 1)$  there exist  $\eta, \delta > 0$  such that for every polynomial T(n) and constant  $c \in \mathbb{N}$  the following holds. Assume that:

- 1. The hardness hypothesis in Theorem 1.5 holds for the constant *c* and time bound  $T^{1+\epsilon/2}$  and  $R = T^{\Omega_{\epsilon}(1)}$  random coins.
- 2. There exists  $L^{hard} \notin MATIME^{[\rightleftharpoons c+1]}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  such that given  $n \in \mathbb{N}$ , the truth-table of  $L^{hard}$  of *n*-bit inputs can be printed in time  $2^{(1+\epsilon/3)\cdot n}$ .

*Then*, de $\mathcal{IP}^{[\rightleftharpoons c]}[T] \subseteq de\mathcal{ARG}[T^{1+\epsilon}].$ 

The additional hypothesis in Theorem 1.8 (i.e., the one in Item (2)) can be viewed as a strengthening of assumptions used in previous works [DMO+20; CT21b] and in the "moreover" part of Theorem 1.2, all of which assume hardness for interactive protocols with near-maximal amount of advice. The strengthening is because we consider hardness for protocols with c + 1 turns (rather than 2 or 3 turns as in previous works and in Theorem 1.2), and the upper-bound on the complexity of truth-tables is stronger: It is deterministic (rather than non-deterministic as in Theorem 1.2) and refers to time slightly larger than  $2^n$  (rather than time  $2^{2n}$  as in previous works).

# 1.2.4 Perspective: A complexity-theoretic analysis of the Fiat-Shamir heuristic

Recall that in cryptography, the Fiat-Shamir heuristic [FS86] is an algorithmic technique used to reduce interaction in proof systems: The verifier first chooses a suitable hash function h and sends it to the prover, and the prover sends back the *entire transcript* of interaction, when the verifier's answers at each step are determined by applying h to the existing partial transcript at that step. The hope is that the resulting two-turn protocol will be computationally sound (i.e., an argument system).

The Fiat-Shamir heuristic is secure when the hash function is modeled as a random oracle (i.e., in the random oracle model; see [BR93; PS96; BCS16]). However, in the standard model, its security can only be established for certain subclasses of proof/argument systems.<sup>11</sup> Known results establish it under cryptographic assumptions (such as Learning With Errors; see [PS19; CCH+19; HLR21; CJJ21] and the references therein), which are all stronger than the existence of one-way functions.

The conclusions in Theorems 1.5 and 1.8 are reminiscent of results in this line of works, since our derandomization eliminates the interaction in certain proof systems. In fact, even the underlying technique bears some similarity to the Fiat-Shamir heuristic – to prove our results we apply a targeted PRG to the partial transcript at each turn (this radically reduces the number of random coins of the verifier; see Section 2.3).

The main difference is that *our results only rely on complexity-theoretic assumptions*, and in particular do not even assume the existence of one-way functions. Two additional differences are that in our results, the number of turns is one rather than two (i.e., we obtain a deterministic  $\mathcal{NP}$ -type system rather than a system with a common random string); and that the soundness condition in our argument systems is relaxed, allowing failure on some inputs (as long as those inputs cannot be efficiently found).

<sup>&</sup>lt;sup>11</sup>Readers are referred to [Bar01; GK03; BBH+19] for examples of interactive argument systems such that the corresponding Fiat-Shamir heuristic is unsecure for any choice of hash function.

# **1.3** Implications for derandomization of prBPP

The proof techniques from Section 1.1 apply in the setting of worst-case derandomization of  $pr\mathcal{BPP}$ , and in this setting they yield results that compare favorably to previous works. In the  $pr\mathcal{BPP}$  setting we replace the assumptions about useful properties with assumptions that truth-tables of hard functions can be efficiently printed. (This is since our derandomization algorithm cannot guess-and-verify a hard truthtable, but needs to efficiently print it.) It is useful to think of an algorithm that prints a truth-table of a function as "batch-computing" the function.

The techniques underlying Theorem 1.1 extend to show a quadratic-time derandomization of  $pr\mathcal{BPP}$ , assuming that a function whose truth-tables can be printed in time  $2^{(2+\epsilon/3)\cdot n}$  is hard for  $\mathcal{NTIME}[2^{(2-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  (see Theorem 4.3).<sup>12</sup> This compares favorably to two relevant results in the previous works [DMO+20; CT21b], as follows. (In the table below, the upper-bound is for a deterministic algorithm that prints the truth-table of the hard function on *n* bits.)

Upper bound	Lower bound	Time overhead	
$2^{(2+\Theta(\epsilon))\cdot n}$	$\mathcal{NTIME}[2^{(2-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$	$T^{2+\epsilon}$	Theorem 4.3
$2^{(2+\Theta(\epsilon))\cdot n}$	$\mathcal{MATIME}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$	$T^{2+\epsilon}$	[DMO+20]
$2^{(3/2) \cdot n}$	$\mathcal{NTIME}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$	$T^{3+\epsilon}$	[CT21b, Thm 1.8]

While the three results above are formally incomparable, in the current work we are able to obtain derandomization with quadratic time overhead from hardness for NTIME machines with advice, whereas previous works either assumed hardness for MATIME machines with advice, or paid a cubic time overhead.

Moreover, the techniques underlying Theorem 1.2 extend to yield the conclusion  $prBPTIME[T] \subseteq prDTIME[n \cdot T^{1+\epsilon}]$ , which is optimal under #NSETH, under an assumption that compares favorably to the one used to obtain derandomization with such overhead in previous work [CT21b]. The previous work obtained this conclusion using a cryptographic assumption (the existence of one-way functions) as well as a hardness assumption that is necessary for obtaining the conclusion using PRGs. In the current work we are able to replace the cryptographic assumption with a hardness assumption for MA protocols with advice (see Theorem 5.6 for precise details).

# 2 Technical overview

Most of the proofs in this work sequentially build on the ideas of each other, and therefore we encourage readers read the current section sequentially.

In Section 2.1 we describe the simplest proof, which is of Theorem 1.1. Then in Section 2.2 we build on this proof to prove Theorem 1.2. In Section 2.3 we describe the more involved proofs in this paper, which are for the results Section 1.2; these are inherently different from other proofs, and use non-black-box techniques while also relying on the previous proofs. We conclude by explaining the proof of Theorem 1.3 in Section 2.4 (this proof does not rely on Section 2.3).

<sup>&</sup>lt;sup>12</sup>For simplicity, in this section we assert lower bounds for  $\mathcal{NTIME}$  and  $\mathcal{MATIME}$ . The results that we mention only require hardness for  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}$  and  $(\mathcal{MA} \cap co\mathcal{MA})\mathcal{TIME}$ , respectively.

**Basic building-block:** A simple and efficient PRG. The starting point for our results is a very simple PRG, which was recently used for superfast derandomization in [DMO+20; CT21b]. (Its ideas date back to [Sip88] and variations on them have been used for derandomization of proof systems, e.g. [MV05].) Recall that a reconstructive PRG is a pair of efficient algorithms: A generator Gen maps a truth-table f to a list Gen<sup>*f*</sup> of strings; and a reconstruction Rec maps every distinguisher *D* for Gen<sup>*f*</sup> to an efficient procedure Rec<sup>*D*</sup> that computes f. <sup>13</sup> Indeed, if f is hard for algorithms with complexity as that of Rec<sup>*D*</sup>, then it follows that Gen<sup>*f*</sup> is pseudorandom.

The reconstructive PRG (Gen, Rec) that we use has the following properties, for an arbitrarily small  $\epsilon > 0$ . Given f, the generator Gen runs in near-linear time  $|f|^{1+\epsilon}$ and outputs  $|f|^{1+\epsilon}/T$  strings of length  $T = |f|^{1-\epsilon}$ , and is thus particularly suitable for superfast derandomization. In contrast, the reconstruction Rec is inefficient: It is a non-deterministic circuit of size  $|f|^{1-\delta(\epsilon)}$ , and in addition it only works when the distinguisher  $D: \{0, 1\}^T \to \{0, 1\}$  is extremely biased; that is, it works when D accepts all but  $2^{T^{1-\gamma(\epsilon)}}$  strings and yet rejects 1% of the strings in Gen<sup>f</sup>.<sup>14</sup>

**Preliminary observations: Using the building-block for derandomizing proof systems.** Since the reconstruction Rec is non-deterministic, we need to assume hardness for non-deterministic procedures. In the context of derandomizing proof systems, such assumptions are natural. In addition, the natural way to use Gen for derandomizing proof systems is to receive a truth-table f from a prover, verify that f is indeed hard (using an assumption that there is a constructive and useful property), and then instantiate the PRG Gen<sup>f</sup>; we will indeed use this approach in some proofs.

# 2.1 Warm-up: Proof of Theorem 1.1

As usual in derandomization of MATIME[T], we think of the distinguisher  $D: \{0,1\}^T \rightarrow \{0,1\}$  as a linear-sized circuit (representing the computation of the MA verifier on a fixed input and a fixed proof, as a function of the randomness). The main bottleneck in previous proofs [DMO+20; CT21b] that used (Gen, Rec) came from the fact that Gen only fools extremely biased distinguishers, whereas our goal is to construct a PRG that fools all distinguishers. In previous works this was bridged by straightforward error-reduction: They considered a procedure  $\hat{D}(z)$  that uses a randomness-efficient sampler Samp and verifies that *z* satisfies

$$\Pr_{i \in [T^{1.01}]}[D(\mathsf{Samp}(z, i)) = 1] \approx \Pr_{r \in \{0, 1\}^T}[D(r) = 1]$$
 ,

and noted that if  $\operatorname{Gen}^f$  fools  $\hat{D}$ , then  $\mathsf{S} \circ \operatorname{Gen}^f = \left\{ \operatorname{Samp}(z,s) : z \in \operatorname{Gen}^f, s \in [T^{1.01}] \right\}$ fools D. The number of pseudorandom strings that  $\mathsf{S} \circ \operatorname{Gen}^f$  outputs is  $|f|^{1+\epsilon} \cdot T^{0.01}$ . (See Proposition 4.1 for details and [CT21b, Section 5.2] for a detailed explanation.)

To see why this is a bottleneck, note that the resulting  $\hat{D}$  is of size  $O(T^{2.01})$  (because Samp uses  $T^{1.01}$  seeds s), and thus  $\text{Rec}^{\hat{D}}$  will be a non-deterministic circuit of size at least  $T^{2.01}$ . If we want f to be hard for such circuits, we need  $|f| > T^{2.01}$  and the number of pseudorandom strings is at least  $T^{2.01}$ . Evaluating D on each string, this

<sup>&</sup>lt;sup>13</sup>When we say that *D* is a distinguisher for a list  $\text{Gen}^f \subseteq \{0,1\}^n$ , we mean that *D* distinguishes the uniform distribution over the list  $\text{Gen}^f$  from a truly uniform *n*-bit string.

<sup>&</sup>lt;sup>14</sup>Thus, the reconstructive PRG (Gen, Rec) is particularly suitable for the task of *quantified derandomization*; see [GW14; DMO+20; CT21b; Tel22] for further details about this application.

yields derandomization in time  $> T^3$ .<sup>15</sup>

The observation leading the way to Theorem 1.1 is that we do not really need f to be hard for non-deterministic circuits of size  $T^{2.01}$ . Specifically, the overhead incurred when adding a sampler to D to obtain  $\hat{D}$  is only an overhead in running time, and not in the amount of non-uniform advice. Thus, it suffices to assume hardness only for *non-deterministic algorithms* that run in time  $T^{2.01}$  and use  $T + |f|^{1-\delta(\epsilon)}$  bits of advice.<sup>16</sup> This allows using a hard truth-table of size only  $|f| = T^{1.01}$  (recall that a random truth-table of such length will be hard for the foregoing class), in which case the number of pseudorandom strings is only  $T^{1.01}$  and we obtain derandomization in quadratic time. (Recall that, conditioned on #NSETH, this is optimal; see Theorem 6.1.)

# 2.2 Proof of Theorem 1.2

Turning to  $\mathcal{AM}$  protocols, let *V* be an  $\mathcal{AMTIME}[T]$  verifier, for  $T(n) = n^k$ . Our goal is to derandomize *V* in  $\mathcal{NTIME}[n \cdot T^{1.01}]$ . (The general case of protocols with constantly-many turns reduces to this case, by a careful application of the classical round-reduction procedure of Babai and Moran [BM88]; see Section 5.4 for details.)

The approach above yields derandomization in quadratic time, which is too slow. The main idea in the proof is to *compose the generator*  $S \circ Gen$  *with itself*, using different hard truth-tables, to get a PRG with only  $n^{1.01}$  seeds (rather than  $T^{1.01}$  seeds):<sup>17</sup>

- **Outer PRG:** We first use  $S \circ \text{Gen}^{f_1}$  with a truth-table of size  $|f_1| = T^{1.01}$  to transform *V* into a verifier *V'* with running time  $T^{1.01}$  that uses only  $O(\log T)$  random coins. This truth-table comes from the useful property  $\mathcal{L}_1$ .
- **Inner PRG:** Then we use  $S \circ \text{Gen}^{f_2}$  with a much shorter truth-table, of size  $|f_2| = n^{1.01}$ , to fully derandomize V', using a seed of length  $(1.01) \cdot \log(n)$ . This truth-table comes from the useful property  $\mathcal{L}_{k'}$ , for a suitable value  $k' \approx k$ .

The composition of these PRGs runs in time that is near-linear in *T*, and has seed length close to  $\log(n)$ , yielding derandomization in time  $n \cdot T^{1.01}$ . The main thing to prove is that the PRGs are pseudorandom, relying on the usefulness of  $\mathcal{L}_1$  and  $\mathcal{L}_{k'}$ .

**First step: Hardness for non-uniform**  $\mathcal{MAM}$ . For simplicity, let us focus on protocols with perfect completeness, and as a first step assume that for all  $k \ge 1$ , the property  $\mathcal{L}_k$  is useful against  $\mathcal{MAM}[2^{(1-\delta)\cdot k \cdot n}]/2^{(1-\delta)\cdot n}$ .

We will use the following refined version of ( $S \circ Gen, Rec$ ). Since we are assuming hardness for probabilistic procedures (and following an idea from [DMO+20]), the reconstruction can now compute  $\hat{D}$  probabilistically rather than deterministically; this allows us to use only a small number  $\approx T^{.01}$  of queries to D, rather than  $N^{1.01}$ , and thus reduce the running time of  $Rec^D$  to be close to T rather than to  $T^2$ . Furthermore, we observe that *the reconstruction* Rec *does not actually need "true" oracle access to* D: Loosely speaking, it suffices to prove to Rec that a significant fraction  $\alpha > 0$  of its queries are accepted by D. (This is a simplified description, and the precise formulation is more involved; see Propositions 5.1 and 5.3 for precise details.)

<sup>&</sup>lt;sup>15</sup>An alternative approach from these works is to allow  $\hat{D}$  to use randomness, in which case it is only of size O(N) and the derandomization runs in quadratic time. However, this requires assuming that f is hard for non-uniform  $\mathcal{MA}$  circuits, an assumption we are trying to avoid.

<sup>&</sup>lt;sup>16</sup>The additive term of *T* represents the proof sent to the  $\mathcal{MA}$  verifier, which is "hard-wired" into *D*.

<sup>&</sup>lt;sup>17</sup>This approach follows an idea from [CT21b], wherein superfast derandomization was achieved by composing two PRGs in a similar way. However, in [CT21b] one of the PRGs relied on cryptographic assumptions, whereas in this work there are no cryptographic assumptions.

Recall that when derandomizing  $\mathcal{AM}$ , the distinguisher D is a non-deterministic procedure.<sup>18</sup> Our  $\mathcal{MAM}$  reconstruction algorithm for both  $f_1$  and  $f_2$  simulates  $\text{Rec}^{\hat{D}}$ , as follows. We first receive a proof w from the prover, which we use as the non-determinism of Rec; now, we toss random coins to choose  $T^{.01}$  queries for D (to compute  $\hat{D}$  probabilistically, as explained above) and send these coins to the prover; and finally, instead of querying D, the prover sends back a proof  $\pi$ , which we hope will convince us that at least a  $\alpha$  of the queries would have been accepted by D.

The analysis of this  $\mathcal{MAM}$  protocol relies on the refined properties of Rec (e.g., one such property is that for any w sent in the first turn, with high probability over choice of queries, if  $\pi$  convinces us that at least  $\alpha$  of the queries would have been accepted by D, then we output either the correct decision or  $\bot$ ; see Proposition 5.3). The protocol runs in time  $T^{1.01} = n^{(1.01) \cdot k}$ ; carefully setting the parameters, the running time equals  $|f_1|^{1-\delta}$  and  $|f_2|^{(1-\delta) \cdot k}$ , and in both cases it uses  $|f_i|^{1-\delta}$  bits of advice, matching our hardness assumption. For details see Propositions 5.5 and 5.7.

Relaxing the hypothesis: Hardness for non-uniform  $\mathcal{NP} \cap co\mathcal{NP}$ . Recall that in Theorem 1.2, for k > 1 we only assume that  $\mathcal{L}_k$  is useful against the class  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(1-\delta)\cdot k\cdot n}]/2^{(1-\delta)\cdot n}$ . To relax the hypothesis in this way, we replace the inner PRG S  $\circ$  Gen<sup> $f_2$ </sup> above with the *Nisan-Wigderson* generator [NW94]. This can be useful for us, because the NW generator is suitable for our superfast parameter setting when it is instantiated for a small output length (i.e.,  $|f|^{\eta}$  where |f| is the truth-table length and  $\eta \ll \epsilon$  is a sufficiently small constant; see Theorem 5.16), and S  $\circ$  Gen<sup> $f_1$ </sup> reduces the number of random coins to  $O(\log(T)) = O(\log(n))$ .

The main obstacle towards applying the NW generator in this setting is that it requires hardness against machines with advice and (non-adaptive) *oracle access* to  $\mathcal{NTIME}$  (i.e., to the distinguisher, which in this case is an  $\mathcal{NTIME}$  machine), whereas we only assume hardness against  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}$  machines with advice. To bridge this gap, we use an idea of Shaltiel and Umans [SU06] (following [FF93; SU05]), which allows to transform truth-tables that are hard for  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}$  machines with advice and non-adaptive oracle access to  $\mathcal{NTIME}$ . We use their transformation while analyzing it in a more careful way, which allows us to bound the overheads in the hardness of the truth-table incurred by the transformation. See Section 5.3.2 for further details.

## 2.3 **Proofs of the results from Section 1.2**

Our goal now is to simulate constant-round doubly efficient proof systems in which the verifier runs in time *T* by deterministic effective argument systems with a verifier running in time very close to *T*. The natural approach to try to do so is to replace the coins at each round by pseudorandom coins chosen using a suitable PRG (i.e., at each round we independently choose a seed for a PRG, instead of truly random coins), and argue that for any partial transcript (up to that round), the residual probability that the verifier accepts remains the same as it would with truly random coins.

<sup>&</sup>lt;sup>18</sup>That is, the distinguisher receives an input *x* and random coins *r* in the AM protocol, and tests whether there exists a proof that will convince the verifier with the fixed (x, r). In works concerning derandomization of AM with perfect completeness, the output of *D* is often negated, and it is then thought of as co-nondeterministic circuit. (This is because these works want to "hit" the set of random strings for which satisfying witnesses do not exist, and want to define this set as the set of inputs that the distinguisher accepts.) We avoid doing so, since our result also extends to protocols with imperfect completeness, and we think of our construction as a PRG rather than as a HSG.

Unfortunately, the classical PRG approach *cannot yield such a fast derandomization*. This is since at each round, the distinguisher receives *T* bits of arbitrary auxiliary information (i.e., the partial transcript): This warrants using a seed of length at least  $\log(T)$  at each round, yielding derandomization in time  $T^{\Theta(c)}$ . One might try to capitalize on the fact that we are only trying to obtain an effective argument system, in which case the partial transcript is not arbitrary, but rather comes from a poly(*T*)-time samplable distribution (i.e., since the input and proofs are chosen by an efficient adversary). However, this still does not solve our problem, since there is no PRG running in time  $T^{1.01}$  with seed  $o(\log(T))$  that fools all algorithms running in time poly(*T*).

**Non-black-box derandomization.** The source of trouble in both approaches above is that they try to use a *single* PRG that is good for *all* relevant partial transcripts. To solve this, we will use a weaker object, namely a targeted pseudorandom generator (targeted PRG): This targeted PRG *explicitly receives the partial transcript* as part of its input, and generates pseudorandom strings that are only guaranteed to fool distinguishers that are given the *same partial transcript* as auxiliary information.

Our targeted PRG will be reconstructive (i.e., based on a hard function), and will thus yield an "instance-wise" hardness-vs-randomness tradeoff: If the underlying function is hard to compute on a set *S* of inputs, then the targeted PRG is pseudorandom on each and every input in *S*. The specific generator that we will use, from [CT21a], is denoted  $G_f$  and relies on a function  $f: \{0,1\}^* \rightarrow \{0,1\}^*$  with *multiple output bits* such that each individual output bit of the string f(z) can be computed in time *T*'; and it has the following "instance-wise" reconstruction algorithm Rec:

For every fixed input *z*, if *D* distinguishes the output of  $G_f(z)$  from random strings, then  $\text{Rec}^D(z)$  approximately prints the string f(z) in time  $T' \cdot |f(x)|^{\beta}$ , where  $\beta > 0$  is an arbitrarily small constant.<sup>19</sup>

As usual, the contra-positive direction is the interesting one: If  $\text{Rec}^D(z)$  fails to approximately print f(z) on  $1 - \mu$  of its inputs z over some distribution, then  $G_f(z)$  is pseudorandom for  $1 - \mu$  of the z's over that distribution. Recall that we think of z as a transcript chosen by the poly(T)-time prover, which yields a poly(T)-time samplable distribution. Thus, at a high level, we assume that f is hard to approximately print with probability  $1 - T^{-\omega(1)}$  over z chosen from any poly(T)-time samplable distribution, and deduce that  $G_f$  is pseudorandom (and the derandomization succeeds) on all but  $T^{-\omega(1)}$  of possible inputs and transcripts chosen by a poly(T)-time adversary.

**The key step: Proof of Theorem 1.5.** We start by derandomizing de $\mathcal{IP}^{[=c]}$  protocols with few random coins, say  $n^{o(1)}$  coins. In this setting we can use f that has  $n^{o(1)}$  output bits, where each bit is computable in time T' that is slightly larger than T; then  $G_f$  runs in time  $T' \cdot n^{o(1)}$  and prints  $n^{o(1)}$  random strings (see Theorem 7.1). This allows us to reduce the total number of random coins to  $o(\log(n))$  without increasing the time complexity. At this point, instead of interacting, the prover can send all possible  $n^{o(1)}$  possible transcripts in advance to the verifier, resulting in an  $\mathcal{NP}$ -type system.

The last step is to consider which hardness assumption we need for f in order for  $G_f$  to be pseudorandom. By the above, this hardness assumption is of the form "With very high probability over z sampled from any poly(T)-time samplable distribution,

<sup>&</sup>lt;sup>19</sup>The meaning of "approximately print" here is as in Theorem 1.5: A probabilistic algorithm M approximately prints f(x) with error  $\delta$  if  $\Pr[M(x)_i = f(x_i)] \ge 1 - \delta$ , where the probability is over the random coins of M and an output index. For simplicity, we think of  $\delta$  as a small constant for now.

 $\operatorname{Rec}^{D}(z)$  fails to approximately print f(z)'', where Rec is the algorithm described above. Thus, we just need to figure out which class the distinguisher *D* belongs to.

Intuitively, the distinguisher *D* tests whether the acceptance probability of the verifier changes when replacing random coins by pseudorandom ones. Materializing this intuition turns out to be considerably more subtle than it might seem, and we do so using a hybrid argument that involves gradually replacing both random coins by pseudorandom ones, and an all-powerful prover by a poly(*T*)-time computable prover, turn-by-turn. For general de $\mathcal{IP}^{[=c]}$  systems, the resulting *D* is a  $pr\mathcal{AMTIME}^{[=c]}[n]$ protocol, yielding Theorem 1.5 (see the proof of Theorem 7.4 for details). We also show a natural subclass of systems for which we can get *D* to be more efficient, namely a pr-de $\mathcal{IP}^{[=c]}[n]$  protocol (see Definition 3.8 and Theorem 7.7). And using a particular system for #SAT, we are able to relax this even further, obtaining *D* that is the function #SAT itself, where queries are of length polylog(*T*) (see Theorem 7.9).

**The general case: Proof of Theorem 1.8.** We reduce the general case of proof systems that use an arbitrary number of random coins to the case above (with only  $n^{o(1)}$  coins) using an additional hardness assumption, namely that there exist functions whose truth-tables can be printed in near-linear time, but that are hard for  $\mathcal{AMTIME}^{[=c+1]}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ . We will use this additional assumption to reduce the number of random coins in each round from poly(n) to O(log(n)), using the reconstructive PRG (S  $\circ$  Gen, Rec), and then invoke Theorem 1.5 as a black-box.

The argument here follows in the same spirit as the one above, using a careful hybrid argument (and a reconstruction argument) to obtain a contradiction. To support the setting that is obtained via the hybrid argument, we refine the reconstructive PRG ( $S \circ Gen, Rec$ ) yet again, this time showing that Rec works when the oracle is any function  $\tilde{D}$  that agrees with a certain "distinguisher promise problem", where the advice to Rec depends only on the promise problem rather than on  $\tilde{D}$ . (See Section 7.4.1.)

# 2.4 Proof of Theorem 1.3

Finally, we briefly explain the ideas in the proof of Theorem 1.3. The most important change, compared to the proofs of Theorem 1.1 and Theorem 1.2, is that since now we are only assuming hardness against *uniform* protocols (our hardness assumption is that  $L \notin i.o.(\mathcal{MA} \cap co\mathcal{MA})\mathcal{TIME}^{[=7]}[2^{(1-\delta)\cdot n}]$ ), we need to make the reconstruction algorithm uniform as well.

We will use yet another refinement of  $(S \circ Gen, Rec)$  above. Recall that the refined reconstruction Rec gets advice adv, and can then compute the function f whenever the oracle "proves" to the verifier that sufficiently many queries are accepted by the distinguisher. Following [MV05; GSTS03; SU07], we strengthen Rec so that it meets a resiliency condition: Namely, using a small number of rounds of interaction, Rec is able to get any prover to send advice adv and *commit* to a single truth-table  $f_{adv}$  specified by the advice.<sup>20</sup> That is, given adv and a few rounds of interaction, there is a single  $f_{adv}$  such that Rec answers according to it. Working carefully, we are able to make Rec resilient without significant time overhead (see Definition 5.2 and Proposition 5.1).

If our reconstruction could be convinced that  $f_{adv}$  to which the prover committed is the "right" truth-table (i.e.,  $f_{adv} = f$ ), then on query x it could simply output  $f_{adv}(x)$ . But what if the prover committed to a truth-table different than f? To resolve this issue we initially encode f using a *highly efficient PCP of proximity* (i.e., the one

<sup>&</sup>lt;sup>20</sup>To see the challenge, assume that adv is a non-deterministic circuit that supposedly computes the truth-table. In this case, different non-deterministic guesses could yield different truth-tables.

of [BGH+05]), which then allows us to locally test the encoded string without incurring significant time overheads and deduce that  $f_{adv}$  is close to f (otherwise we reject; see Theorem 3.17). We combine this with local error correction, to compute f given any truth-table that is close to f. The price that we pay for using the PCPP is that the truth-table (which is now a PCPP witness of the original truth-table) is necessarily of size  $T^{1.01}$ , and thus our PRG uses  $(1.01) \cdot \log(T)$  seeds and we get derandomization in quadratic time  $T^{2.01}$  rather than in time  $n \cdot T^{1.01}$ .

# 3 Preliminaries

Throughout the paper, we will typically denote random variables by boldface, and will denote the uniform distribution over  $\{0,1\}^n$  by  $\mathbf{u}_n$  and the uniform distribution over a set [n] by  $\mathbf{u}_{[n]}$ . Recall the following standard definition of a distinguisher for a distribution  $\mathbf{w}$ , by which we (implicitly) mean a distinguisher between  $\mathbf{w}$  and the uniform distribution.

**Definition 3.1** (distinguisher). We say that a function  $D: \{0,1\}^n \to \{0,1\}$  is an  $\epsilon$ distinguisher for a distribution  $\mathbf{w}$  over  $\{0,1\}^n$  if  $\Pr[D(\mathbf{w}) = 1] \notin \Pr[D(\mathbf{u}_n) = 1] \pm \epsilon$ . We say that D is an  $(\alpha, \beta)$ -distinguisher if  $\Pr[D(\mathbf{w}) = 1] \ge \alpha$  and  $\Pr[D(\mathbf{u}_n) = 1] \le \beta$ .

We also fix a standard notion of "nice" time bounds for complexity classes, where we are only concerned of time bounds that are not sub-linear.

**Definition 3.2** (time bound). We say that  $T: \mathbb{N} \to \mathbb{N}$  is a time bound if T is timecomputable and non-decreasing, and for every  $n \in \mathbb{N}$  we have that  $T(n) \ge n$ .

Recall that prMATIME[T] denotes the class of *promise problems* (rather than languages) that can be solved by MA protocols with a verifier running in time T. Derandomization of prMATIME[T] as in the conclusions of Theorems 1.1 and 1.2 is stronger than derandomization of MATIME[T].

# 3.1 Useful properties

Following Razborov and Rudich [RR97], we now define useful properties, which are sets of truth-tables that can be efficiently recognized and that describe functions hard to compute in a certain class C. Our definition is a bit more careful than usual, since we are interested in the case where C is a class decidable by uniform machines that gets non-uniform advice (rather than a class decidable by non-uniform circuits).

**Definition 3.3** (useful property). Let  $\mathcal{L} \subseteq \{0,1\}^*$  be a collection of strings such that every  $f \in \mathcal{L}$  is of length that is a power of two, and let  $\mathcal{C}$  be a class of languages. We say that  $\mathcal{L}$  is a  $\mathcal{C}'$ -constructive property useful against  $\mathcal{C}$  if the following two conditions hold:

- 1. (Non-triviality.) For every  $N = 2^n$  it holds that  $\mathcal{L}_n = \mathcal{L} \cap \{0, 1\}^N \neq \emptyset$ .
- 2. (Constructivity.)  $\mathcal{L} \in \mathcal{C}'$ .
- 3. (Usefulness.) For every  $L \in C$  and every sufficiently large  $n \in \mathbb{N}$  it holds that  $L_n \notin \mathcal{L}_n$ , where  $L_n \in \{0,1\}^{2^n}$  is the truth-table of L on n-bit inputs.

To clarify the meaning of the "usefulness" condition above, let us consider the case where C is a class of Turing machines with advice of bounded length. In this case, the condition asserts that for every fixed machine M and infinite sequence adv of advice

strings, and every sufficiently large  $n \in \mathbb{N}$ , the machine M with advice adv fails to compute any truth-table in  $\mathcal{L}_n$ .

Since each string in  $\mathcal{L}$  is of length  $2^n$  for some  $n \in \mathbb{N}$ , and we think of it as a truth-table of a function over n bits, we will usually denote the input length to  $\mathcal{L}$  as  $N = 2^n$ . For example, when we refer to an  $\mathcal{NTIME}[N^2]$ -constructive property useful against  $\mathcal{NTIME}[2^{1.99 \cdot n}]$  we mean that  $2^n$ -length truth-tables in  $\mathcal{L}$  can be recognized in non-deterministic time  $2^{2n}$ , but that the corresponding n-bit functions cannot be computed in non-deterministic time  $2^{1.99 \cdot n}$ .

# 3.2 Proof systems

The following definition refers to non-deterministic unambiguous computation, which captures non-deterministic computation of both the language and its complement:

**Definition 3.4** (non-deterministic unambiguous computation). We say that a machine M is non-deterministic and unambiguous if for every  $x \in \{0,1\}^*$  there exists a value  $L(x) \in \{0,1\}$  such that the following holds:

- 1. There exists a non-deterministic guess  $\pi$  such that  $M(x, \pi) = L(x)$ .
- 2. For every non-deterministic guess  $\pi'$  it holds that  $M(x, \pi') \in \{L(x), \bot\}$ .

# 3.2.1 Arthur-Merlin proof systems

Let us now recall the definition of Arthur-Merlin proof systems (i.e., of AM). Since we will be concerned with precise time bounds, we also specify the precise structure of the interaction, as follows.

**Definition 3.5** (Arthur-Merlin proof systems). We say that  $L \in AMTIME^{[=c]}[T]$  if there is a proof system in which on a shared input  $x \in \{0,1\}^*$ , a verifier interacts with a prover, taking turns in sending each other information, such that the following holds.

- **Public coins:** Whenever the verifier sends information to the prover, that information is just uniformly chosen bits.
- **Structure of the interaction:** *The number of turns is c, and we always assume that the first turn is the verifier sending random bits to the prover.*
- **Running time:** The number of bits that are sent in each turn is exactly T(|x|), and in the end the verifier performs a deterministic linear-time computation on the transcript (which is of length  $c \cdot T(|x|) = O(T(|x|))$  and outputs a single bit.
- **Completeness and soundness:** For every  $x \in L$  there exists a prover such that the verifier accepts with probability at least 2/3; for every  $x \notin L$  and every prover, the verifier rejects, with probability at least 2/3.

Furthermore, if the verifier sends at most R(n) random coins in each round, then we say that  $L \in AMTIME^{[\rightleftharpoons c]}[T, R]$ . When L can be decided by an interaction as above in which the prover takes the first turn, we say that  $L \in MATIME^{[\rightleftharpoons c]}[T]$ . In all the definitions above, when omitting the number of messages c, we mean that c = 2.

Following standard conventions, we will sometimes refer to the verifier as Arthur and to the prover as Merlin. Note that when *c* is odd (meaning that the last turn is the verifier's), in the last turn the verifier does not need to send any random bits to the

verifier, but may run a randomized linear-time computation on the transcript rather than a deterministic one.<sup>21</sup>

Recall that  $\mathcal{AM}$  protocols can be modified to have *perfect completeness*, at the cost of a runtime overhead that is multiplicative in the number of random coins used by the verifier (see [FGM+89], and see further details in the proof of Theorem 7.4). Since our results are sensitive to such a runtime overhead, we cannot assume without loss of generality that  $\mathcal{AM}$  protocols have perfect completeness, and thus we explicitly define the subclass of protocols with perfect completeness:

**Definition 3.6** (perfect completeness). We say that  $L \in AMTIM\mathcal{E}_{p}^{[\Rightarrow c]}[T]$  if it satisfies all the conditions in Definition 3.5, and in addition, for every  $x \in L$  there is a prover such that the verifier accepts with probability 1. We extend the definition of  $(AM \cap coAM)TIM\mathcal{E}[T]$  to  $(AM \cap coAM)TIM\mathcal{E}_{p}[T]$  in a similar way, by requiring that for every  $x \in L$  there is a prover such that the verifier accepts with probability 1, and for every  $x \notin L$  there is a prover such that the verifier rejects with probability 1.

# 3.2.2 Doubly efficient proof systems

As mentioned in Section 1.2, our derandomization results will apply to proof systems in which the honest prover is efficient. We define this notion as follows:

**Definition 3.7** (doubly efficient proof systems). We say that  $L \in de\mathcal{IP}^{[=c]}[T]$  if it meets all the conditions in Definition 3.5, and in addition meets the following condition: There exists a probabilistic algorithm P running in time polynomial in T such that for every  $x \in L$ , when the verifier interacts with P on common input x, it accepts with probability 1. We define  $de\mathcal{IP}^{[=c]}[T, R]$  by imposing the additional condition that the verifier only uses R random coins.

Note that Definition 3.7 focuses on doubly efficient proof systems with *public coins*; the known constructions of doubly efficient proof systems all use public coins (see [GKR15; RRR21; GR18; Gol18]). In addition, one could use a broader definition that allows the honest prover to run in time  $\overline{T} \gg T$  that is not necessarily polynomial in *T*; in this work the narrower definition will suffice for us.

**Doubly efficient proof systems with a universal prover.** We will also be interested in a natural subclass of doubly efficient proof systems, which we now define. Loosely speaking, we say that a system has an efficient universal prover if for any partial transcript, the maximum acceptance probability of the residual protocol across all provers is (approximately) attained by an *efficient* prover. That is:

**Definition 3.8** (universal provers). Let  $L \in \{0,1\}^*$  and let V be a verifier in a proof system for L. For  $\mu \colon \mathbb{N} \to [0,1)$ , we say that the proof system has a  $\mu$ -approximate universal prover with running time  $\overline{T}$  if there exists an algorithm P that on any input x and  $\pi$ , where  $\pi$  is a partial transcript for the proof system, runs in time  $\overline{T}(|x|)$ , and satisfies that

$$\Pr\left[\left\langle V,P\right\rangle(x,\pi)=1\right]>\max_{\bar{P}}\left\{\Pr\left[\left\langle V,\bar{P}\right\rangle(x,\pi)=1\right]\right\}-\mu(|x|),$$

where the notation  $\langle V, P \rangle$   $(x, \pi)$  denotes the outcome of interaction of V and P on input x and when the first part of the transcript is fixed to  $\pi$ , and the maximum on the RHS is over all prover strategies (regardless of their efficiency).

<sup>&</sup>lt;sup>21</sup>This is equivalent to the definition above, in which the verifier sends random coins in the last turn then runs a deterministic linear-time computation on the transcript (which includes these random coins).

Note that given  $x \in L$ , the universal prover acts as an honest prover that convinces the verifier to accept with probability at least  $1 - \mu$ . In particular, a proof system with an efficient universal prover is a doubly efficient proof system. However, we do not think of the universal prover as an honest prover, since given  $x \notin L$  or a dishonest partial transcript, the universal prover still tries to maximize the acceptance probability of the verifier.

A well-known doubly efficient proof system that has a universal prover is the *sumcheck protocol*; see Theorem 3.18 for details.

# 3.3 Deterministic effective argument systems

Let us now recall Definition 1.4 of deterministic effective argument systems and discuss a few definitional issues.

**Definition 3.9** (deterministic effective argument system; Definition 1.4, restated). We say that  $L \subseteq \{0,1\}^*$  is in deARG[T] if there exists a deterministic *T*-time verifier *V* such that the following holds:

- 1. (Completeness.) There is an algorithm P that, when given  $x \in L$ , runs in time poly(T) and prints  $\pi$  such that  $V(x, \pi) = 1$ .
- 2. (Computational soundness.) For every polynomial p, and every probabilistic algorithm  $\tilde{P}$  running in time p(T), and every sufficiently large  $n \in \mathbb{N}$ , the probability that  $\tilde{P}(1^n)$  prints  $x \notin L$  of length n and  $\pi \in \{0,1\}^{T(n)}$  such that  $V(x,\pi) = 1$  is  $T(n)^{-\omega(1)}$ .

Removing the efficiency restriction on the honest prover in Definition 3.9 would make the definition too broad to be meaningful: Under a plausible hardness assumption, *every* language (regardless of is complexity) has a proof system as above in which the honest prover *P* runs in time larger than that of the adversaries  $\tilde{P}$ .<sup>22</sup>

Thus, for the definition to be meaningful we need to have  $T = T_V < T_P < T_{\bar{P}}$ , where the three latter notations represent the running times of the verifier *V*, of the honest prover *P*, and of the adversaries  $\tilde{P}$ , respectively. While Definition 3.9 couples these bounds so that they are all polynomially related, a broader definition in which the gaps are super-polynomial still makes sense. We use the narrower definition only because it suffices for our purposes in the current work.

#### 3.4 Error-correcting codes

We recall the definition of locally list-decodable codes, and state a standard construction that we will use.

**Definition 3.10** (locally list decodable codes). We say that Enc:  $\Sigma^N \to \Sigma^M$  is locally listdecodable from agreement  $\rho$  in time t and with output-list size L if there exists a randomized oracle machine Dec:  $[N] \times [L] \to \Sigma$  running in time t such that the following holds. For every  $z \in \Sigma^M$  that satisfies  $\Pr_{i \in [M]}[z_i = \operatorname{Enc}(x)_i] \ge \rho$  for some  $x \in \Sigma^N$  there exists  $a \in [L]$  such that for every  $i \in [N]$  we have that  $\Pr[\operatorname{Dec}^z(i, a) = x_i] \ge 2/3$ , where the probability is over the internal randomness of Dec.

<sup>&</sup>lt;sup>22</sup>To see this, assume that there exists a relation  $R = \{(x, \pi)\}$  that can be decided in time *T*, but every probabilistic algorithm  $\tilde{P}$  getting input *x* and running in time poly(*T*) fails to find  $\pi$  such that  $(x, \pi) \in R$ , except with negligible probability (over *x* and over the random coins of  $\tilde{P}$ ). Then, for every *L*, define *V* that accepts  $(x, \pi)$  iff  $(x, \pi) \in R$ , and observe that this verifier meets the relaxed definition of deARG.

**Theorem 3.11** (a locally list-decodable code, see [STV01]). For every constant  $\eta > 0$  there exists a constant  $\eta' > 0$  such that the following holds. For every  $m \in \mathbb{N}$  and  $\rho = \rho(m)$  there exists a code Enc:  $\{0,1\}^m \to \Sigma^{\bar{m}}$ , where  $|\Sigma| = O(m^{\eta'}/\rho^2)$  and  $\bar{m} = O_{\eta'}(m/\rho^{2/\eta'})$ , such that:

- 1. The code is computable in time  $\tilde{O}(\bar{m} \cdot \log(|\Sigma|)) = \tilde{O}(m/\rho^{2/\eta'})$ .
- 2. The code is locally list-decodable from agreement  $\rho$  in time  $m^{\eta} \cdot (1/\rho)^{1/\eta'}$  and with output list size  $O(1/\rho)$ . Furthermore, the local decoder issues its queries in parallel, as a function of the randomness and the input.

We also need the following two uniquely decodable codes:

**Theorem 3.12** (uniquely decodable code, see e.g., [GLR+91] and [AB09, Section 19.4]). For every constant  $\eta > 0$  the following holds. For every  $m \in \mathbb{N}$  there exists a code Enc:  $\{0, 1\}^m \rightarrow \{0, 1\}^{\bar{m}}$ , where  $\bar{m} = \tilde{O}(m)$ , such that:

- 1. The code is computable in time  $\tilde{O}(\bar{m}) = \tilde{O}(m)$ .
- 2. The code is locally decodable from agreement 0.9 with decoding circuit size  $m^{\eta}$ . Furthermore, the decoding circuit issues its queries in parallel and outputs correctly with probability at least 1 1/m.

**Lemma 3.13** (unique decoding for low-degree univariate polynomials; see [WB86]). Let q be a prime power. Given t pairs  $(x_i, y_i)$  of elements of  $\mathbb{F}_q$ , there is at most one polynomial  $g: \mathbb{F}_q \to \mathbb{F}_q$  of degree at most u for which  $g(x_i) = y_i$  for more than (t + u)/2 pairs. Furthermore, there is a polynomial time algorithm that finds g or report that g does not exist.

# 3.5 Near-linear time constructions: Extractors, hash functions, cryptographic PRGs

In this section we state several known algorithms that we will use in our proofs and that run in near-linear time. The first is a pairwise-independent hash function based on convolution hashing [MNT93].

**Theorem 3.14** (a quasilinear-time computable pairwise independent hash function; for proof see, e.g., [CT21b, Theorem 3.12]). For every  $m, m' \in \mathbb{N}$  there exists a family  $\mathcal{H} \subseteq \{\{0,1\}^m \to \{0,1\}^{m'}\}$  of quasilinear-time computable functions such that for every distinct  $x, x' \in \{0,1\}^m$  it holds that  $\Pr_{h \in \mathcal{H}}[h(x) = h(x')] \leq 2^{-m'}$ .

The second construction is of a seeded randomness extractor that runs in linear time, which was presented by Doron *et al.* [DMO+20] following [TSZS06, Theorem 5].

**Theorem 3.15** (a linear-time computable extractor, see [DMO+20]). There exists  $c \ge 1$  such that for every  $\gamma < 1/2$  the following holds. There exists a strong oblivious  $(\delta, \epsilon)$ -sampler Samp:  $\{0,1\}^n \times \{0,1\}^d \to \{0,1\}^m$  for  $\delta = 2^{n^{1-\gamma}-n}$  and  $\epsilon \ge c \cdot n^{-1/2+\gamma}$  and  $d \le (1+c \cdot \gamma) \cdot \log(n) + c \cdot \log(1/\epsilon)$  and  $m = \frac{1}{c} \cdot n^{1-2\gamma}$  that is computable in linear time.

The third construction is that of a cryptographic PRG that works in near-linear time. Such a PRG can be obtained assuming standard one-way functions, by starting with a fast PRG that has small stretch and then applying standard techniques for extending the output length.

**Theorem 3.16** (OWFs yield PRGs with near-linear running time; see [CT21a, Theorem 3.4]). If there exists a polynomial-time computable one-way function secure against polynomial-time algorithms, then for every  $\epsilon > 0$  there exists a PRG that has seed length  $\ell(n) = n^{\epsilon}$ , is computable in time  $n^{1+\epsilon}$ , and fools every polynomial-time algorithm with negligible error. Moreover, if the one-way function is secure against polynomial-sized circuits, then the PRG fools every polynomial-sized circuit.

# 3.6 Pair languages and PCPPs

A pair language *L* is a subset of  $\{0,1\}^* \times \{0,1\}^*$ . We say that  $L \in \mathcal{NTIME}[T]$  if L(x,y) can be computed by a nondeterministic algorithm in time T(|x| + |y|). We also say *L* has stretch *K* for a function  $K: \mathbb{N} \to \mathbb{N}$ , if for all  $(x,y) \in L$ , it holds that |y| = K(|x|). We will use the following PCP of proximity for pair languages by Ben-Sasson *et al.* [BGH+05]:

**Theorem 3.17** (PCPP with short witnesses [BGH+05]). Let  $K: \mathbb{N} \to \mathbb{N}$  such that  $K(n) \ge n$  for all  $n \in \mathbb{N}$ . Suppose that *L* is a pair language in  $\mathcal{DTIME}[T]$  for some non-decreasing function  $T: \mathbb{N} \to \mathbb{N}$  such that *L* has stretch *K*. There is a verifier *V* and an algorithm *A* such that for every  $x \in \{0,1\}^n$ , denoting T = T(n + K(n)) and K = K(n):

- 1. (Efficiency.) When V is given input x and oracle access to  $y \in \{0,1\}^K$  and to a proof  $\pi \in \{0,1\}^{2^r}$ , where  $r \leq \log(T) + O(\log \log(T))$ , the verifier V uses r bits of randomness, makes at most  $\operatorname{polylog}(T)$  non-adaptive queries to both y and  $\pi$ , and runs in time  $\operatorname{poly}(n,\log(K),\log(T))$ .
- 2. (Completeness.) Let M be an O(T)-time nondeterministic machine that decides L. That is,  $(x, y) \in L$  if and only if there exists  $w \in \{0, 1\}^{O(T)}$  such that M((x, y), w) = 1. For every  $y \in \{0, 1\}^K$  and  $w \in \{0, 1\}^{O(T)}$  such that M((x, y), w) = 1, the algorithm A(x, y, w) runs in time  $\tilde{O}(T)$  and outputs a proof  $\pi \in \{0, 1\}^{2^r}$  such that

$$\Pr[V^{y,\pi}(x, \mathbf{u}_r) = 1] = 1.$$

3. (Soundness.) Let  $Z = \{z \in \{0,1\}^K : (x,z) \in L\}$ . Then, for every  $y \in \{0,1\}^K$  that has Hamming distance at least  $K/\log(T)$  from every  $z \in Z$  and every  $\pi \in \{0,1\}^{2^r}$ ,

$$\Pr[V^{y,\pi}(x,\mathbf{u}_r)=1] \le 1/3$$
.

### 3.7 Constant-round sumcheck and #NSETH

The following result is an "asymmetric" version of Williams' [Wil16] adaptation of the sumcheck protocol [LFK+92] into a constant-round protocol for counting the number of satisfying assignments of a given formula. Specifically, while in [Wil16] the *n* variables are partitioned into symmetric subsets and each sumcheck round is a summation over one of the subsets, here we partition the variables such that the first set is smaller, and in the corresponding sumcheck protocl the first round is shorter.

**Theorem 3.18** (a constant-round protocol for counting satisfying assignments of a formula). Let  $k \in \mathbb{N}$ ,  $\delta \in (0, 1)$ , and  $\gamma = \frac{1-\delta}{k}$  be constants. For any  $s(n) \leq 2^{o(n)}$ , there is an  $\mathcal{MATIME}^{[=2k]}[2^{\max(\delta,\gamma)\cdot n+o(n)}]$  protocol  $\Pi$  that gets as input a formula  $C: \{0,1\}^n \rightarrow$  $\{0,1\}$  of size s(n) and (with probability 1) outputs the number of satisfying assignments of C, such that the first prover message has length at most  $2^{\delta n+o(n)}$ , and the rest k-1 prover messages have length at most  $2^{\gamma n+o(n)}$ .

Moreover, the protocol has the following two properties:

- 1. After the prover sends its first message, the maximum acceptance probability of the subsequent protocol is either 1 or at most 1/3.
- 2. There is a 1/n-approximate universal prover running in  $2^{O(n)}$  for  $\Pi$ .<sup>23</sup>

**Proof.** The protocol is essentially identical to that of [Wil16, Theorem 3.4]. The only difference is that in [Wil16, Theorem 3.4] the *n* input variables are partitioned into k + 1 blocks, each of length n/(k + 1), whereas we will partition them into a single block of length  $\delta \cdot n$  and *k* other blocks of length  $\gamma \cdot n$ . Due to this fact, and since we are also claiming additional properties in the "moreover" part that were not stated in [Wil16], we include a complete proof.

Let  $s(n) \leq 2^{o(n)}$ , and let  $C: \{0,1\}^n \to \{0,1\}$  be a formula of size s(n). The prover and verifier will work with a prime  $p \in (2^n, 2^{n+1}]$ .<sup>24</sup> Let  $P: \mathbb{F}_q^n \to \mathbb{F}_q$  be the arithmetic circuit constructed by the standard arithmetization of the Boolean circuit *C* (see the proof of [Wil16, Theorem 3.3] for details) such that *P* has size poly $(s(n)) \leq 2^{o(n)}$  and degree at most poly $(s(n)) \leq 2^{o(n)}$ .

For simplicity, we assume that  $\delta \cdot n$  and  $\gamma \cdot n$  are integers. We partition the n variables  $x_1, \ldots, x_n$  into k + 1 blocks  $S_1, \ldots, S_{k+1}$ , such that  $|S_1| = \delta \cdot n$  and  $|S_i| = \gamma \cdot n$  for every  $i \ge 2$ . For each  $i \in [k+1]$ , via interpolation similar to the proof of [Wil16, Theorem 3.4], we define a degree- $2^{|S_i|}$  polynomial  $\Phi_i \colon \mathbb{F}_q \to \mathbb{F}_q^{|S_i|}$  such that for every  $j \in \{0, 1, \ldots, 2^{|S_i|} - 1\}$ ,  $(\Phi_i(j))_{\ell}$  is the  $\ell$ -th bit in the  $|S_i|$ -bit binary representation of j. Using a fast interpolation algorithm (see [Wil16, Theorem 2.2]), the polynomial  $\Phi_i$  (i.e., the list of the coefficients of  $|S_i|$  univariate polynomials, each corresponding to one of  $\Phi_i$ 's output values) can be constructed in  $2^{|S_i|} \cdot \text{poly}(n)$  time.

The protocol  $\Pi$  is specified as follows:

• In the first round, the honest prover computes the coefficients of the polynomial

$$Q_1(y) = \sum_{\substack{j_2, \dots, j_{k+1} \\ \in \{0, 1, \dots, 2^{\gamma \cdot n} - 1\}}} P(\Phi_1(y), \Phi_2(j_2), \dots, \Phi_{k+1}(j_k))$$
(3.1)

via interpolation, and sends  $Q_1$  to the verifier (Note that  $Q_1$  has degree  $2^{o(n)+\delta \cdot n}$ , so this message has size  $2^{o(n)+\delta \cdot n}$ ). The verifier then chooses  $r_1 \in \mathbb{F}_q$  uniformly at random and sends it to the prover.

In the *t*-th round for *t* ∈ {2,3,...,*k*}, the honest prover sends the coefficients of the degree-2<sup>*o*(*n*)+γ·*n*</sup> polynomial

$$Q_{t}(y) = \sum_{\substack{j_{t+1},\dots,j_{k+1}\\\in\{0,1,\dots,2^{\gamma\cdot n}-1\}}} P(\Phi_{1}(r_{1}),\dots,\Phi_{t-1}(r_{t-1}),\Phi_{t}(y),\Phi_{t+1}(j_{t+1}),\dots,\Phi_{k+1}(j_{k+1}))$$
(3.2)

to the verifier. The verifier first checks if

$$\sum_{j_t \in \{0,1,\dots,2^{\gamma \cdot n}-1\}} Q_t(j_t) = Q_{t-1}(r_{t-1}), \tag{3.3}$$

and rejects immediately if the equality does not hold. The verifier then picks  $r_t \in \mathbb{F}_q$  uniformly at random. The verifier then picks  $r_\tau \in \mathbb{F}_q$  uniformly at random, and sends it to the prover if  $\tau < k$ 

<sup>&</sup>lt;sup>23</sup>For the definition of a universal prover, see Definition 3.8.

<sup>&</sup>lt;sup>24</sup>The honest prover can find the smallest prime p that is larger than  $2^n$ , which is at most  $2^{n+1}$  by Bertrand's postulate, in time  $2^{O(n)}$  and send p to the verifier. The verifier checks that the received number lies in  $(2^n, 2^{n+1}]$  and is a prime, in deterministic time poly(n) (e.g., using [AKS04; AKS19]).

• Finally, at the end of the *k*-th round, the verifier checks if

$$Q_k(r_k) = \sum_{j_{k+1} \in \{0,1,\dots,2^{\gamma \cdot n}-1\}} P((\Phi_1(r_1),\dots,\Phi_k(r_k),\Phi_{k+1}(j_{k+1})),$$

and rejects immediately if the equality does not hold. Otherwise, it outputs

$$\sum_{j_1 \in \{0,1,\dots,2^{\delta \cdot n}-1\}} Q_1(j_1)$$

The upper bound on message lengths and the running time of the verifier can be verified directly from the protocol above. The analysis establishing the completeness and soundness of this protocol, as well as the upper bound on the complexity of the honest prover, follow a standard analysis of the sumcheck protocol; see [Wil16, Theorem 3.4]. We therefore focus on establishing the moreover part.

To see the "moreover" part, for every  $i \in [k]$ , let  $D_i$  be the degree of the polynomial  $Q_i$ . Fix a partial transcript  $\pi$  for all the interaction before the *t*-th prover message, and without loss of generality assume that  $\pi = (\tilde{Q}_1, r_1, \dots, \tilde{Q}_{t-1}, r_{t-1})$  (if t = 1 then  $\pi$  is empty). We prove the following claim.

**Claim 3.19.** Given a partial transcript  $\pi = (\tilde{Q}_1, r_1, \dots, \tilde{Q}_{t-1}, r_{t-1})$ , if t = 1 or  $\tilde{Q}_{t-1}(r_{t-1}) = Q_{t-1}(r_{t-1})$ , then the maximum acceptance probability of the subsequent protocol is 1, and can be achieved by a  $2^{O(n)}$ -time prover. Otherwise, it is at most  $(k + 1 - t)/n^2$ .

*Proof.* We prove the claim by an induction on t, starting from k + 1 and moving downward to 1. In the base case t = k + 1 all messages have been sent, and the verifier accepts if and only  $\tilde{Q}_{t-1}(r_{t-1}) = Q_{t-1}(r_{t-1})$ . Hence the claim holds immediately.

Now, for  $t \in [k]$ , assuming the claim holds for t + 1. If t = 1 or  $\tilde{Q}_{t-1}(r_{t-1}) = Q_{t-1}(r_{t-1})$ , then the prover simply sends the correct polynomial  $Q_t$  defined by (3.1) or (3.2) and proceeds as the honest prover (note that the check (3.3) will pass).

Otherwise, we have that  $t \ge 2$  and  $\tilde{Q}_{t-1}(r_{t-1}) \ne Q_{t-1}(r_{t-1})$ . In this case, in order to not be rejected immediately, the prover has to send a polynomial  $\tilde{Q}_t$  such that  $\sum_{j_t \in \{0,1,\dots,2^{\gamma,n}-1\}} \tilde{Q}_t(j_t) = \tilde{Q}_{t-1}(r_{t-1})$ . In particular, it means that  $\tilde{Q}_t \ne Q_t$ , where  $Q_t$ is defined by (3.2). Therefore, with probability at most  $D_t/q \le 1/n^2$  over the choice of  $r_t$ , it holds that  $\tilde{Q}_t(r_t) = Q_t(r_t)$ . By the induction hypothesis, we know that the maximum acceptance probability is at most  $1/n^2 + (k-t)/n^2 \le (k+1-t)/n^2$ .

The existence of a 1/n-approximate universal prover with running  $2^{O(n)}$  (the second item of the "moreover" part) follows immediately from Claim 3.19.

To see the first item, we note that if  $\tilde{Q}_1 = Q_1$ , where  $Q_1$  is defined by (3.1), then the maximum acceptance probability is 1, by Claim 3.19. If  $\tilde{Q}_1 \neq Q_1$ , then since both of them have degree at most  $D_1$ , the probability of  $\tilde{Q}_1(r_1) = Q_1(r_1)$  is at most  $D_1/q \leq 1/n^2$ , hence the overall acceptance probability is at most 1/n, using the same argument as in the proof of Claim 3.19.

The "savings" in running time above (i.e., the improvements over the brute-force algorithm that runs in time  $2^{(1+o(1))\cdot n}$ ) were achieved via a probabilistic interactive protocol. The following assumption, denoted #NSETH, asserts that without randomness it is impossible to achieve running time  $2^{(1-\epsilon)\cdot n}$ , for any constant  $\epsilon > 0$ .

**Assumption 3.20** (#NSETH). There does not exist a constant  $\epsilon > 0$  and a non-deterministic machine M that gets as input a formula  $\Phi$  over n variables of size  $2^{o(n)}$ , runs in time  $2^{(1-\epsilon)\cdot n}$ , and satisfies the following:

- 1. There exists non-deterministic choices such that M outputs the number of satisfying assignments for  $\Phi$ .
- 2. For all non-deterministic choices, M either outputs the number of satisfying assignments for  $\Phi$  or outputs  $\perp$ .

Recall that the standard strong exponential-time hypotheses SETH asserts that for every  $\epsilon > 0$  it is hard to solve *k*-SAT with *n* variables in time  $2^{(1-\epsilon)\cdot n}$ , where  $k = k_{\epsilon}$ is sufficiently large. The assumption #NSETH is incomparable to SETH: On the one hand, in #NSETH we assume hardness with respect to a larger class of formulas (i.e., *n*-bit formulas of size  $2^{o(n)}$ ), and also assume hardness of the *counting* problem; but on the other hand, the hardness in #NSETH is for non-deterministic machines rather than just for deterministic algorithms.

# **4** Superfast derandomization of $\mathcal{MA}$

The following is the "basic version" of the highly efficient reconstructive PRG, which was mentioned in the beginning of Section 2. We will further refine this version later on in Propositions 5.1, 5.3, and 7.10.

**Proposition 4.1** (a reconstructive PRG with unambiguous non-deterministic reconstruction). For every  $\epsilon_0 > 0$  there exists  $\delta_0 > 0$  and a pair of algorithms G and R that for any  $N \in \mathbb{N}$  and  $f \in \{0, 1\}^{N^{1+\epsilon_0/3}}$  satisfy the following:

- 1. (Generator.) The generator G gets input  $1^N$ , oracle access to f, and a random seed of length  $(1 + \epsilon_0) \cdot \log(N)$ , and outputs an N-bit string in time  $N^{1+\epsilon_0}$ .
- 2. (Reconstruction.) For any (1/10)-distinguisher D for  $G^f(1^N, \mathbf{u}_{(1+\epsilon_0) \cdot \log(N)})$  there exists a string adv of length  $|f|^{1-\delta_0}$  such that the following holds. When the reconstruction R gets input  $x \in [|f|]$  and oracle access to D and advice adv, it runs in non-deterministic time  $|f|^{1-\delta_0}$ , issues parallel queries to D, and unambiguously computes  $f_x$ .

**Proof.** For a sufficiently small  $\gamma = \gamma(\epsilon_0)$  to be determined later, let Samp:  $\{0,1\}^{\bar{N}} \times [\bar{L}] \rightarrow \{0,1\}^N$  be the sampler from Theorem 3.15, instantiated with parameter  $\gamma$ , with a sufficiently small constant error, and with  $\bar{N} = N^{1+O(\gamma)}$  and  $\bar{L} = \bar{N}^{1+O(\gamma)}$ .

**The generator** *G*. The generator encodes f to  $\bar{f} = \text{Enc}(f)$  using the code Enc in Theorem 3.11, instantiated with parameters m = N and  $\rho, \eta$  that are sufficiently small constants. We think of  $\bar{f}$  as a binary string (by naively encoding each symbol using  $\log(|\Sigma|)$  bits, in which case  $|\bar{f}| = \bar{m} \cdot \log(|\Sigma|) = \tilde{O}(N^{1+\epsilon_0/3})$ . The generator then partitions  $\bar{f}$  into  $L = |\bar{f}|/\bar{N}$  consecutive substrings  $\bar{f}_1, \ldots, \bar{f}_L$ , and given seed  $(i, j) \in [L] \times [\bar{L}]$  it outputs the *N*-bit string Samp $(\bar{f}_i, j)$ . The number of strings in the set is

$$L \cdot \bar{L} = (|\bar{f}|/\bar{N}) \cdot (\bar{N}^{1+O(\gamma)}) = N^{1+\epsilon_0/3+O(\gamma)} < N^{1+\epsilon_0}$$
 ,

and the running time of the generator is  $\tilde{O}(N^{1+\epsilon_0/3}) < N^{1+\epsilon_0}$ .

The reconstruction *R*. Fix a (1/10)-distinguisher  $D: \{0,1\}^N \to \{0,1\}$ . Denoting the uniform distribution over the output-set of  $G^f$  by **G**, without loss of generality we can assume that  $\Pr[D(\mathbf{G}) = 1] > \Pr_{r \in \{0,1\}^N}[D(r) = 1] + 1/10.^{25}$ 

 $<sup>^{25}</sup>$ This is without loss of generality because *R* receives non-uniform advice, and thus it can flip the output of *D* if necessary.

Let  $\overline{D}$ :  $\{0,1\}^{\overline{N}} \to \{0,1\}$  be the function

$$\bar{D}(z) = 1 \iff \Pr_{j \in [\bar{L}]} \left[ D(\mathsf{Samp}(z, j)) = 1 \right] \le \Pr_{r \in \{0, 1\}^N} [D(r) = 1] + .01 , \qquad (4.1)$$

and let  $S = \overline{D}^{-1}(1)$  and  $T = \overline{S} = \overline{D}^{-1}(0)$ .<sup>26</sup> Note that  $|\overline{S}| \leq 2^{\overline{N}^{1-\gamma}}$ , by the properties of Samp. Then, by the definition of T we have that

$$Pr[D(\mathbf{G})) = 1] = \Pr_{i \in [L], j \in [\bar{L}]} [D(Samp(\bar{f}_i, j))]$$
  

$$\leq Pr_i[\bar{f}_i \in T] + Pr_{i,j}[D(Samp(\bar{f}_i, j)) = 1 | \bar{f}_i \notin T]$$
  

$$\leq Pr_i[\bar{f}_i \in T] + \left( Pr_{i \in \{0,1\}^N}[D(r) = 1] + .01 \right) .$$
(4.2)

Since  $\Pr[D(\mathbf{G}) = 1] - \Pr[D(r) = 1] > (1/10)$ , we deduce that  $\Pr[\bar{f}_i \in T] > (1/10) - .01 > \rho$ , where the last inequality is by a sufficiently small choice of  $\rho$ .

Computing a "corrupted" version of  $\overline{f}$ . We first construct a machine M that computes a "corrupted" version of  $\overline{f}$ , as follows. Let  $\mathcal{H}$  be the hash family in Theorem 3.14, using parameters  $m = \overline{N}$  and  $m' = \overline{N}^{1-\gamma/2}$ . We argue that:

**Fact 4.1.1.** With probability at least  $1 - 2^{-\bar{N}^{1-\gamma}}$  over  $h \sim \mathcal{H}$ , for every distinct  $z, z' \in \bar{S}$  it holds that  $h(z) \neq h(z')$ .

*Proof.* For every distinct  $z, z' \in \overline{S}$ , the probability over  $h \sim \mathcal{H}$  that h(z) = h(z') is at most  $2^{-\overline{N}^{1-\gamma/2}}$ . By a union bound over  $|\overline{S}|^2 \leq 2^{2\overline{N}^{1-\gamma}}$  pairs, with probability at least  $1 - 2^{-\overline{N}^{1-\gamma/2}} \cdot 2^{2\overline{N}^{1-\gamma}} > 1 - 2^{-\overline{N}^{1-\gamma}}$  there does not exist a colliding pair in  $\overline{S}$ .  $\Box$ 

Let  $I = \{i \in [L] : \overline{f}_i \in T\}$ . The machine M gets as advice the foregoing h, the set  $\{(i, h(\overline{f}_i)) : i \in I\}$ , and the value  $\Pr_{r \in \{0,1\}^N}[D(r) = 1]$ . Given  $x \in [\overline{f}]$ , the machine computes  $i \in [L]$  such that the index x belongs to the  $i^{th}$  substring of  $\overline{f}$ , and if  $i \notin I$  it outputs zero. Otherwise, the machine:

- 1. Non-deterministically guesses a preimage  $z \in \{0,1\}^{\bar{N}}$  for  $\bar{f}_i$  under *h*.
- 2. Verifies that  $h(z) = \overline{f}_i$  using the advice value  $(i, h(\overline{f}_i))$ .
- 3. Verifies that  $z \notin S$ , using the oracle access to *D*, the sampler Samp, and the acceptance probability of *D* (that is given as advice).
- 4. If either of the two verifications failed, the machine aborts. Otherwise, it outputs the bit in *z* corresponding to index *x*.

Note that the foregoing machine computes, in an unambiguous non-deterministic manner, a string  $\tilde{f}$  such that  $\Pr_x[\bar{f}_x = \tilde{f}_x] \ge \rho$ . (This is because for every x belonging to a substring indexed by  $i \notin I$  it holds that  $\tilde{f}_x = 0$ , and for every other x it holds that  $\tilde{f}_x = \bar{f}_x$ .) The number of advice bits that M uses is at most  $\tilde{O}(\bar{N} + L \cdot \bar{N}^{1-\gamma/2} + N) \le N^{1+O(\gamma)}$ , and its running time is dominated by computing the hash function once (which takes time  $\tilde{O}(\bar{N})$ ) and computing  $\bar{D}$  once.

Computing  $\bar{f}$ , and thus also f. Consider the execution of the local list-decoder Dec from Theorem 3.11 with agreement  $\rho$ , when it is given oracle access to the "corrupted"

<sup>&</sup>lt;sup>26</sup>Denoting the complement of *S* both by  $\overline{S}$  and by *T* might seem unnecessarily cumbersome. However, this formulation will generalize more easily later on when we prove the "furthermore" statement.

version of  $\overline{f}$  computed by M (i.e., the version in which a block  $\overline{f}_i$  indexed by  $i \in I$  has the correct values of  $\overline{f}$ , and all other blocks are filled with zeroes). Fixing the "right" index  $\eta \in [O(1/\rho)]$  of f in the corresponding list of codewords, we reduce the error probability of Dec to less than  $1/N^2$  (by  $O(\log(N))$  repetitions) and now consider its execution with a fixed random string.

The reconstruction procedure *R* gets as advice the non-uniform advice for *M*, the index  $\eta$ , and the fixed random string for Dec (we will see that the latter string is of length  $N^{O(\gamma)}$ ). Given  $x \in [|\bar{f}|]$ , it runs Dec and answers its queries using *M*. If any of the queries to *M* was answered by  $\bot$ , we abort and output  $\bot$ , and otherwise we output the result of the list-decoder's computation. Note that Dec can be described by an oracle circuit of size  $poly(1/\rho) \cdot N^{\eta} + log(1/\rho) + O(1) \leq N^{2\eta} \leq N^{O(\gamma)}$ , where we relied on a sufficiently small choice of  $\eta$ . It issues its queries in parallel, since both Dec and the machine *M* issue their queries in parallel.

We thus obtained a procedure for  $\overline{f}$  that is non-deterministic and unambiguous, and uses at most  $N^{1+O(\gamma)} < |f|^{1-\delta_0}$  advice bits, where the inequality relies on sufficiently small choices of  $\gamma$  and of  $\delta_0$ . Denoting the time for verifying that  $z \in T$  by K, the running time of the procedure for  $\overline{f}$  is at most

$$N^{O(\gamma)} \cdot (\tilde{O}(\bar{N}) + K) = N^{1+O(\gamma)} + N^{O(\gamma)} \cdot K,$$

and using the naive algorithm for  $\overline{D}$  (which enumerates over  $i \in [\overline{L}]$ ) this is at most  $N^{1+O(\gamma)} < |f|^{1-\delta_0}$ .

Given the reconstructive PRG in Proposition 4.1, we can now prove Theorem 1.1:

**Theorem 4.2** (derandomization with quadratic overhead from useful properties against SVN circuits). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that there exists an  $\mathcal{NTIME}[N^{2+\epsilon/4}]$ -constructive property  $\mathcal{L}$  useful against ( $\mathcal{N} \cap co\mathcal{N}$ ) $\mathcal{TIME}[2^{(2-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ . Then, for any time bound T we have that  $pr\mathcal{BPTIME}[T] \subseteq pr(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[T^{2+\epsilon}]$ .

**Proof.** Let *A* be a prBPTIME[T] machine, fix a sufficiently large input length |x|, and let N = T(|x|). Given input *x*, our derandomization algorithm guesses a string  $f_n$  of length  $2^n = N^{1+\epsilon/3}$  and verifies that  $f_n \in \mathcal{L}$  (if the verification fails, it aborts).<sup>27</sup> It then enumerates over the seeds of the generator from Proposition 4.1, when the latter is instantiated with  $\epsilon_0 = \epsilon/2$  and with  $f_n$  as the oracle, to obtain  $N^{1+\epsilon/2}$  pseudorandom strings  $w_1, \ldots, w_{N^{1+\epsilon/2}} \in \{0,1\}^N$ ; and it outputs MAJ  $\{A(x, w_i)\}_{i \in [N^{1+\epsilon/2}]}$ . This derandomization algorithm runs in time  $O(N^{2+\epsilon}) = O(T(n)^{2+\epsilon})$ .

Let  $\delta \leq \delta_0/2$  be sufficiently small. For any  $n \in \mathbb{N}$  and  $N = 2^{n/(1+\epsilon/3)}$ , assume that there is  $x \in \{0,1\}^{T^{-1}(N)}$  and  $f_n \in (\mathcal{L} \cap \{0,1\}^{2^n})$  such that  $D_x(r) = A(x,r)$  is a (1/10)distinguisher for the generator above, when the latter guesses the truth-table  $f_n$ . By Proposition 4.1, there is a reconstruction algorithm R for  $f_n$  that runs in time  $|f_n|^{1-2\delta}$ and uses oracle access to  $D_x$  and  $|f_n|^{1-2\delta}$  bits of advice. To simulate the oracle for R, we supply R with additional advice x of length  $|x| = T^{-1}(2^{n/(1+\epsilon/3)}) \leq |f_n|^{1-2\delta}$  and with an advice bit indicating whether or not to flip the output of  $D_x$ . Plugging in the time complexity of  $D_x$  as  $N = |f_n|^{1/(1+\epsilon/3)}$ , the running time of R is  $|f_n|^{(1-2\delta)+1/(1+\epsilon/3)} < |f_n|^{2-\delta}$ , and it non-deterministically and unambiguously computes the function whose truth-table is  $f_n$ .

<sup>&</sup>lt;sup>27</sup>For simplicity we assume that  $N^{1+\epsilon/3}$  is a power of two, since rounding issues do not meaningfully affect the proof.

Now, assume towards a contradiction that there are infinitely many  $x \in \{0, 1\}^*$  and  $f_n$  such that  $D_x$  is a (1/10)-distinguisher for the generator with  $f_n$ , and fix corresponding advice strings for R as above. (On input lengths for which there are no suitable x and  $f_n$ , the advice string indicates that R should compute the all-zero function.) This yields  $L \in (\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(2-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  whose truth-tables are included, infinitely often, in  $\mathcal{L}$ , contradicting the usefulness of  $\mathcal{L}$ .

The proof of Theorem 4.2 also yields the following result, in which both the hypothesis and the conclusion are stronger:

**Theorem 4.3** (derandomization with quadratic overhead from batch-computable truth-tables). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that there exists  $L \notin i.o.(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(2-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  such that there is an algorithm that gets input  $1^n$  and prints the truth-table of L on n-bit inputs in time  $2^{(2+\epsilon/4)\cdot n}$ . Then, for any time bound T we have that  $pr\mathcal{BPTIME}[T] \subseteq pr\mathcal{DTIME}[T^{2+\epsilon}]$ .

We think of the algorithm in the hypothesis of Theorem 4.3 as "batch-computing" the hard function: It prints the entire truth-table in time slightly larger than  $2^{2n}$ , whereas computing individual entries in the truth-table cannot be done in time slightly less than  $2^{2n}$  (even using unambiguous non-determinism). The only difference between the proof of Theorem 4.2 and in the proof of Theorem 4.3 is that in the latter, instead of guessing and verifying the truth-table of a hard function, we explicitly compute the truth-table using the hypothesized algorithm.

# 5 Superfast derandomization of $\mathcal{AM}$

In this section we prove Theorems 1.2 and 1.3. Towards this purpose, in Section 5.1 we refine the reconstructive PRG from Proposition 4.1. Then, we conditionally construct two PRGs that rely on different hardness hypotheses and have different parameters; the proof of Theorem 1.2 will rely on a composition of these two PRGs. We present the "outer PRG" in Section 5.2, and the "inner PRG" in Section 5.3, and the composition (and proof of Theorem 1.2) in Section 5.4. Lastly, in Section 5.5 we use the reconstructive PRG from Section 5.1 in a different way to prove Theorem 1.3.

### 5.1 Refining the reconstructive PRG from Proposition 4.1

We now extend Proposition 4.1 in two ways. First, in Proposition 5.1, we argue that by allowing randomness in the reconstruction, we can reduce its query complexity (this is along the lines of ideas from [DMO+20; CT21b]). Secondly, in Proposition 5.3, we claim that the reconstruction does not need "full oracle access" to D, and continues to work as long as its oracle satisfies certain conditions that are related to D (which will be specified below).

**Proposition 5.1** (an extension of the PRG from Proposition 4.1). For every  $\epsilon_0 > 0$  there exists  $\delta_0 > 0$  and a pair of algorithms G and R that for any  $N \in \mathbb{N}$  and  $f \in \{0,1\}^{\bar{N}}$ , where  $\bar{N} = N^{1+\epsilon_0/3}$ , satisfy the following:

- 1. (Generator.) The generator G gets input  $1^N$ , oracle access to f, and a random seed of length  $(1 + \epsilon_0) \cdot \log(N)$ , and outputs an N-bit string in time  $N^{1+\epsilon_0}$ .
- 2. (Reconstruction.) For any (1/10)-distinguisher D for  $G^f(1^N, \mathbf{u}_{(1+\epsilon_0) \cdot \log(N)})$  there exists a string adv of length  $|f|^{1-\delta_0}$  such that the following holds. When the reconstruction R gets input  $x \in [|f|]$  and oracle access to D and advice adv, it runs in

non-deterministic probabilistic time  $|f|^{1-\delta_0}$ , issues  $\overline{t} = N^{\epsilon_0/10}$  parallel queries to D, and satisfies the following: There exists w such that  $\Pr[R^D(x,w) = f_x \ge 2/3, and$  for all w there exists  $v_{x,w} \in \{0,1\}^{f_x,\perp}$  such that  $\Pr[R^D(x,w) = v_{x,w}] \ge 2/3$ .

**Proof.** We follow the same proof as for Proposition 4.1 and explain the necessary changes. Let us start with proving the bound of  $N^{\epsilon/10}$  on the number of queries when *R* is allowed to use randomness.

We modify the definition of  $\overline{D}$  in Eq. (4.1). Specifically, let  $\nu = \Pr_{r \in \{0,1\}^N}[D(r) = 1]$ , and let  $\overline{D}$  be a probabilistic procedure that gets  $z \in \{0,1\}^{\overline{N}}$ , uniformly samples  $s = O(\log(N))$  values  $i_1, \ldots, i_s \in [\overline{L}]$  and outputs 1 if and only if  $\Pr_{j \in [s]}[D(\mathsf{Samp}(z, i_j)) = 1] < \nu + .005$ . We also modify the definitions of *S* and of *T*, as follows:

$$S = \left\{ z \in \{0,1\}^{\bar{N}} : \Pr_{j \in [\bar{L}]} [D(\mathsf{Samp}(z,j)) = 1] \le \nu + .001 \right\} \ ,$$

and

$$T = \left\{ z \in \{0,1\}^{\bar{N}} : \Pr_{j \in [L]}[D(\mathsf{Samp}(z,j)) = 1] > \nu + .01 \right\} \ .$$

Note that  $T \subseteq \overline{S}$ , that  $|\overline{S}| \leq 2^{\overline{N}^{1-\gamma}}$  (by the properties of Samp, assuming we instantiate it with a sufficiently small error), and that  $\Pr_i[\overline{f_i} \in T] > \rho$  (using the exact same calculation as in Eq. (4.2)). Also,  $\overline{D}$  accepts every  $z \in S$ , with probability at least  $1 - 1/N^2$ , and rejects every  $z \in T$ , with probability at least  $1 - 1/N^2$ .

Given these properties, the rest of the proof continues with only one change. Specifically, in the third step of the execution of M, we compute  $\overline{D}(z)$  (using randomness), and continue only if the output is zero. Since any  $z \in S$  will be accepted with high probability, if we continue then we are confident that  $z \in \overline{S}$ , and thus (given that we verified  $h(z) = h(\overline{f_i})$ ) we are certain that  $z = \overline{f_i}$ . The final procedure that uses Dec and M uses at most  $\overline{t} = s \cdot N^{O(\gamma)} < N^{\epsilon_0/10}$  queries to D.

We now prove Proposition 5.3, in which we extend the reconstruction algorithm to work also when given oracle access to functions *other* than the distinguisher D, as long as the oracle answers meet certain conditions. In this result we only care about distinguishers whose acceptance probability on a pseudorandom input is *higher* than their acceptance probability on a random input.<sup>28</sup>

The following definition refers to two sequences of bits, denoted by *d* and *q*. We think of these sequences as the "real" answers of *D* to the oracle queries, and as the answers of the actual oracle (which might be different from *D*), respectively. Intuitively, a sequence (either *d* or *q*) is valid if it represents useful answers for the reconstruction (recall that there can be witnesses *w* for *R* such that, even with oracle access to the true distinguisher *D*, the answers are not useful and *R* outputs  $\perp$ ); and *q* is indicative of *d* if it "agrees enough" with *D* to be useful.

**Definition 5.2** ( $\alpha$ -indicative sequences). *Let*  $t, s \in \mathbb{N}$  *such that* s | t*, let*  $\alpha \in (0, 1)$ *, and let*  $d \in \{0, 1\}^t$ .

1. For  $b \in \{d, q\}$ , we say that b is  $(s, \alpha)$ -valid if for every  $i \in [t/s]$  it holds that  $\sum_{j \in [s]} b_{(i-1)+j} \ge \alpha \cdot s$ .

<sup>&</sup>lt;sup>28</sup>The reason for this asymmetry is that we will use this result while simulating the oracle by a proof system, in which proving that a claim is true (i.e., simulating an answer of "1" on a query) might be easier than proving that a claim is false (i.e., simulating an answer of "0" on a query).

2. We say that a sequence  $q \in \{0,1\}^t$  is  $(s, \alpha)$ -indicative of d if for every  $i \in [t/s]$  it holds that  $\sum_{i \in [s]} (q_{(i-1)+i} \wedge d_{(i-1)+i}) \ge \alpha \cdot s$ .

**Proposition 5.3** (an extension of the PRG from Proposition 4.1). For every  $\epsilon_0 > 0$  there exists  $\delta_0 > 0$  such that the following holds. Let G and R be as in Proposition 5.1. Then, for every  $N \in \mathbb{N}$  there exist  $s \in \mathbb{N}$  satisfying  $s|\bar{t}$ , and  $\alpha \in (0,1)$ , and a random variable **h** over  $\{0,1\}^{\bar{N}^{1-2\delta_0}}$  that can be sampled in quasilinear time, such that for any  $D: \{0,1\}^N \to \{0,1\}$  satisfying  $\Pr_{r \in \{0,1\}^N}[D(r) = 1] \leq 1/3$ , with probability at least 1 - 1/N over  $h \sim \mathbf{h}$ :

- 1. (Notation.) Denote by  $d_{x,w,\gamma} \in \{0,1\}^{\overline{t}}$  the values of D on the queries made by R with input x, witness w, and randomness  $\gamma$ . Denote by  $a_{x,w,\gamma} \in \{0,1\}^{\overline{t}}$  the answers that R received from its oracle to these queries.
- 2. (Honest oracle.) For every  $f \in \{0,1\}^{\tilde{N}}$  satisfying  $\Pr_{s \in [N^{1+\epsilon_0}]}[D(G^f(s)) = 1] \ge 1/2$ there exists  $adv \in \{0,1\}^{\tilde{N}^{1-2\delta_0}}$  such that when R gets advice (h, adv):
  - (a) Completeness: For every x there exists w such that with probability 1 1/N over  $\overline{\gamma}$  it holds that  $d_{x,w,\gamma}$  is  $(s,\alpha)$ -valid, and if  $a_{x,w,\gamma}$  is  $(s,\alpha)$ -indicative of  $d_{x,w,\gamma}$  then R(x,w) outputs  $f_x$ .
  - (b) <u>Soundness</u>: For every (x, w), with probability at least 1 1/N over  $\gamma$ , if  $a_{x,w,\gamma}$  is  $(s, \alpha)$ -indicative of  $d_{x,w,\gamma}$ , then R(x, w) outputs either  $f_x$  or  $\perp$ .
- 3. (Dishonest oracles.) For every  $adv \in \{0,1\}^{N^{1-2\delta_0}}$  there exists  $g \in \{0,1\}^N$  such that when R gets advice (h, adv) the following holds. For every (x, w), with probability at least 1 1/N over  $\gamma$ , if  $a_{x,w,\gamma}$  is  $(s, \alpha)$ -indicative of  $d_{x,w,\gamma}$  then R(x, w) outputs either  $g_x$  or  $\perp$ .
- 4. (Non-valid oracles.) For any advice (h, adv) to R and any (x, w) and any choice of  $\gamma$ , if  $a_{x,w,\gamma}$  is not  $(s, \alpha)$ -valid then R outputs  $\perp$ .

We stress that the "dishonest oracles" claim and the "non-valid oracles" claim do not depend on any particular choice of f for the generator G. That is, the two claims refer only to the behavior of the reconstruction R given advice (h, adv).

**Proof of Proposition 5.3.** Our starting-point is the construction of *G* and *R* in the proof of Proposition 5.1, the only difference being that *R* never negates the answer of its oracle.<sup>29</sup> We partition the queries of *R* into  $\bar{t}/s$  sets of size *s* in the natural way: Each subset corresponds to a set of *s* queried made by one of the executions of *M*. (Recall that the queries that Dec makes to *M* are non-adaptive and that the queries that *M* makes to *D* are also non-adaptive.)

The variable **h** is the choice of hash function, and as proved in Fact 4.1.1, with probability at least  $1 - 2^{-\bar{N}^{1-\gamma}} > 1 - 1/N$ , there are no distinct  $z, z' \in \bar{S}$  such that h(z) = h(z'). We also modify the definition of  $\bar{D}$  and S and T above to use the value  $\nu = 1/3$  instead of  $\nu = \Pr_{r \in \{0,1\}^N}[D(r) = 1]$ , and let  $\alpha = 1/3 + .005$ .

<u>Non-valid oracles</u>. Assume, for a moment, that all the queries that *R* makes to *M* during its execution are to indices in substrings  $\bar{f}_i$  such that  $i \in I$ . Then, the soundness condition for non-valid oracles follows immediately by the definitions of *M* and *R*: If in any execution of *M* less than  $\alpha$  of its queries are answered by 1 then  $\bar{D}(z) = 0$  and *M* aborts (in which case *R* outputs  $\bot$ ).

 $<sup>^{29}</sup>$ Recall that in the original construction presented in the proof of Proposition 4.1, the reconstruction *R* received an advice bit telling it whether to access its oracle or the negated version of its oracle.

The only gap is that some queries to M might be to indices in substrings  $\overline{f}_i$  where  $i \notin I$ . To handle this gap we change the definition of M as follows. Whenever  $i \notin I$ , the original machine just outputs 0, whereas our new modified machine will nondeterministically guess  $z \in \{0, 1\}^{\overline{N}}$  and verify that  $z \notin S$ , and only if the verification passes it outputs 0 (otherwise it aborts). This does not affect the original proof in any way, but now the soundness condition for non-valid oracles holds even without the assumption that queries to M are such that  $i \in I$ .

<u>Honest oracle.</u> We now assume that D accepts a pseudorandom string of  $G^f$  with probability at least 1/2. Observe that  $\Pr[\bar{f}_i \in T] \ge \rho$  as in the proof of Proposition 4.1; to see this, use the same calculation as in Eq. (4.2) only replacing the original value  $\Pr_{r \in \{0,1\}^N}[D(r) = 1]$  with the new value  $\nu = 1/3$ .

The string adv consists of the hash values  $\{(i, h(\bar{f}_i) : i \in I\}$  where  $I = \{i \in [L] : \bar{f}_i \in T\}$ and of the local list decoding circuit Dec. (The circuit Dec is just as in the proof of Proposition 4.1: We consider the execution of Dec on the corrupted codeword defined by D and h and I, use naive error-reduction, and hard-wire a good random string. This circuit is given to R as part of the advice adv.) We can assume that the advice string is of length  $|f|^{1-2\delta_0}$  (rather than  $\delta_0$ ) by choosing the parameter  $\delta_0$  to be smaller than in Proposition 4.1.

Now, recall that the non-determinism w for R yields non-deterministic strings for each of the execution of M. For every execution of M on query q and with non-determinism z, with probability at least  $1 - 1/N^2$ , if at least  $\alpha \cdot t$  of M's oracle queries are answered by 1, and D indeed evaluates to 1 on these queries, then M outputs the value  $\sigma \in \{0, 1, \bot\}$  such that  $\Pr[M^D(q, z) = \sigma] \ge 2/3$ . By a union-bound, with probability 1 - 1/O(N) this happens for all queries to M.

In this case, we can think of the oracle that Dec gets access to as a fixed string y in  $\{0, 1, \bot\}$ . Given any input, if Dec sees gets an answer of  $\bot$  from M, then it outputs  $\bot$ ; and otherwise it outputs the answer corresponding to the unique codeword determined by y and by the list-decoding index.<sup>30</sup>

To prove completeness, note that for every *x* there exists *w* for which  $\sigma \in \{0, 1\}$  for every possible query *q* to *M*. Also, for such *w*, all the corresponding *z*'s will be in  $\overline{S}$ . Thus, with probability 1 - 1/O(N) over the coins of *M*, at least an  $\alpha$ -fraction of the queries of *M* to the oracle will be to 1-instances of *D*. In this case, when at least an  $\alpha$ -fraction of the received answers are 1, then Dec (and hence also *R*) outputs  $f_x$ .

<u>Dishonest oracles.</u> Fix any advice adv to *R*. We can assume without loss of generality that adv consists of hash values  $\{(i, h_i) : i \in I\}$  where  $I \subseteq [L]$  such that  $|I| \ge \rho$  and of the index  $\eta \in [O(1/\rho)]$  of a codeword for the local decoder Dec and a random string for Dec (otherwise *R* can always output  $\bot$ , regardless of *x* and *w*).

We partition [L] into  $\overline{I} = [L] \setminus I$ , and  $I_0 = \{i \in I : \exists z \in \overline{S}, h(z) = h_i\}$ , and  $I_1 = I \setminus I_0$ . For every  $i \in I_0$  let z(i) be the unique string in  $\overline{S}$  such that  $h(z(i)) = h_i$ . Denote by  $\overline{g} \in \{0,1\}^{|\overline{f}|}$  the following string: For every  $q \in [|\overline{g}|]$ , let i(q) be the  $i \in [L]$  such that q indexes a location in  $\overline{g}_i$ , and let  $\overline{g}(q) = \begin{cases} 0 & i(q) \in \overline{I} \cup I_1 \\ z(i)_q & i(q) \in I_0 \end{cases}$ , where  $z(i)_q$  is the bit in z(i) corresponding to the location indexed by q. Note that  $\overline{g}$  along with the index  $\eta$  define together a unique codeword g (i.e., g is the  $\eta$ <sup>th</sup> codeword in the list of codewords

that agree with  $\bar{g}$  on at least  $\rho$  indices). Now fix any (x, w). For any query q to M with non-determinism z', if  $i(q) \notin I$  then by definition M can only output either  $\bar{g}(q) = 0$  or  $\bot$ . Also, with probability at

<sup>&</sup>lt;sup>30</sup>To be accurate, in the latter case Dec returns the answer corresponding to the string y' in which  $\perp$ 's are replaced with 0's.

least  $1 - 1/N^2$  over  $\gamma$ , if at least  $\alpha \cdot s$  of *M*'s oracle queries are answered by 1 and *D* evaluates to 1 on these queries:

- 1. If  $i(q) \in I_0$  then *M* outputs  $\begin{cases} \bar{g}(q) & z' = z(i) \\ \bot & o.w. \end{cases}$ .
- 2. If  $i(q) \in I_1$  then M outputs  $\perp$ . (Because the oracle answers guarantee that  $z' \notin \overline{S}$ , and hence  $h(z') \neq h_i$ .)

By a union bound, with probability 1 - 1/O(N) the above holds for all queries that Dec makes to M. Now, consider an execution of Dec on input  $x \in [|f|]$  with oracle access to  $\overline{g}$  and with the index  $\eta$  and the randomness that R provides it. Denote by gthe string such that  $g_x$  is the answer of Dec on input  $x \in [|f|]$  in such an execution. Note that g depends only on D, on h and on the advice adv.

The last step is to analyze the behavior of Dec when its gets oracle access to M rather than to  $\bar{g}$ , and under the condition on M's random choices above and the assumption that  $a_{x,w,\gamma}$  is  $\alpha$ -indicative of  $d_{x,w,\gamma}$ . Recall that Dec issues its queries in parallel, and therefore it makes the same queries to M and to  $\bar{g}$ . We now consider two cases: If at least one query of Dec to M is answered by  $\bot$ , then R aborts and outputs  $\bot$  (by the definition of R). Otherwise, all of the queries of Dec to M are answered according to  $\bar{g}$ ; in this case Dec outputs  $g_x$ .

# 5.2 The outer PRG

The first PRG used in the proof of Theorem 1.2, presented next, uses a truth-table that can be recognized in near-linear time, and is hard for interactive protocols running in time  $2^{(1-\delta)\cdot n}$  with  $2^{(1-\delta)\cdot n}$  bits of non-uniform advice, for a small constant  $\delta > 0$ . This PRG allows to reduce the number of coins the verifier uses in any *T*-time protocol to be approximately  $\log(T)$ . (Recall that in our final result we want the number of coins to be approximately  $\log(n)$ .)

**Definition 5.4** (properties useful against  $\mathcal{MA}^{SAT}$ ). For  $T, \ell \colon \mathbb{N} \to \mathbb{N}$ , we say that a property  $\mathcal{L}$  of truth-tables is useful against  $\mathcal{MATIME}^{SAT}[T]/\ell$  if the following statement is false. There exist a verifier V and an advice sequence  $\{a_n\}_{n \in \mathbb{N}}$  and infinitely many truth-tables  $f \in \mathcal{L}$  such that:

- 1. For  $n = \log(|f|)$ , when given input  $x \in \{0,1\}^n$  and witness  $w \in \{0,1\}^{T(n)}$  and advice  $a_n \in \{0,1\}^{\ell(n)}$ , the verifier V runs in time T(n) and makes oracle queries to SAT.
- 2. If f(x) = 1 then there exists  $w \in \{0, 1\}^{T(n)}$  such that  $V^{SAT}(x, w, a_n) = 1$ .
- 3. If f(x) = 0 then for every  $w \in \{0,1\}^{T(n)}$  it holds that  $V^{\mathsf{SAT}}(x,w,a_n) = 0$ .

**Proposition 5.5** (the "outer PRG" – radically reducing the number of random coins). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that there exists an  $\mathcal{NTIME}[N^{1+\epsilon/3}]$ -constructive property  $\mathcal{L}$  useful against  $\mathcal{MA}^{\mathsf{SAT}}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ . Then, for every time bound T it holds that  $\operatorname{prAMTIME}[T] \subseteq \mathcal{AMTIME}[T^{1+\epsilon}, (1+\epsilon) \cdot \log(T)]$ . Furthermore, if we only assume that  $\mathcal{L}$  is useful against  $\mathcal{MAM}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ , then  $\operatorname{prAMTIME}_{p}[T] \subseteq \mathcal{AMTIME}_{p}[T^{1+\epsilon}, (1+\epsilon) \cdot \log(T)]$ .

**Proof.** We first prove the "furthermore" part, and then explain how to adapt the proof to deduce the first part of the claim.

Fix a problem  $\hat{\Pi} = (Y, N) \in pr \mathcal{AMTIME}[T]$ , and let *V* be a *T*-time verifier for  $\Pi$ . Given input  $x \in \{0,1\}^n$ , let N = T(n). We guess  $f_n \in \{0,1\}^{N^{1+\epsilon/3}}$  and verify

that  $f_n \in \mathcal{L}$  (otherwise we abort). Consider the generator *G* from Proposition 5.1 with  $\epsilon_0 = \epsilon$  and input  $1^N$  oracle access to  $f_n$ , and denote its set of outputs by  $s_1, \ldots, s_{N^{1+\epsilon}} \in \{0, 1\}^N$ . The new verifier *V'* chooses a random  $i \in [N^{1+\epsilon}]$  and simulates *V* at input *x* with random coins  $s_i$ . Note that this verifier runs in time  $O(N^{1+\epsilon})$ , uses  $(1+\epsilon) \cdot \log(N)$  coins, and has perfect completeness.

The reconstruction argument. Assume towards a contradiction that there exists an infinite set *S* of pairs  $(x, f_n)$  such that  $x \in \mathbb{N}$  and  $\Pr_{i \in [N^{1+\epsilon}]}[\exists w : V(x, s_i, w) = 1] \ge .5$ , where the  $s_i$ 's are the outputs of *G* on non-deterministic guess  $f_n$ . For every such pair, denote by  $D_x$ :  $\{0,1\}^N \to \{0,1\}$  the function

$$D_x(z) = 1 \iff \exists w : V(x, z, w) = 1$$
,

and note that  $\Pr_{r \in \{0,1\}^N}[D_x(r) = 1] \le 1/3$  (because  $x \in \mathbb{N}$ ).

We design an  $\mathcal{MAM}$  protocol for  $f_n$  as follows. Consider the reconstruction algorithm R from Proposition 5.3 with oracle access to  $D_x$  and with the corresponding advice string. Given input  $z \in [|f_n|]$ , our protocol acts as follows:

- 1. The prover sends non-determinism w for R.
- 2. The verifier simulates *R* using random coins, computes a set *q*<sub>1</sub>, ..., *q*<sub>t</sub> of queries to *D*<sub>x</sub>, and sends them back to the prover.
- 3. The prover sends *t* responses  $w_1, \ldots, w_t$ , to be used as non-determinism for  $D_x$  with queries  $q_1, \ldots, q_t$ .
- 4. (Deterministic step.) The verifier computes the values  $\{d_i = V(q_i, z, w_i)\}_{i \in [t]}$ . Then it simulates *R* with witness *w* and with the query answers  $\{d_i\}_{i \in [t]}$ , and accepts iff *R* accepts.

By the "honest oracle" part of Proposition 5.3, and our assumption about  $D_x$ , there exists adv and  $s \in \mathbb{N}$  and  $\alpha \in (0,1)$  such that for every x there exists w for which with high probability over R's random coins, the oracle queries can be answered in a manner that will be  $(s, \alpha)$ -indicative of the  $(s, \alpha)$ -valid sequence of answers by  $D_x$ , and whenever that happens R outputs  $f_x$ ; and whenever the sequence of answers to oracle queries are  $(s, \alpha)$ -indicative of the sequence of answers by  $D_x$ , then R outputs a value in  $\{\perp, f_x\}$ .

We hard-wire adv, s,  $\alpha$  as non-uniform advice to the  $\mathcal{MAM}$  protocol. For every x the prover can send the "correct" w in the first step, and witnesses  $w_1, \ldots, w_t$  in the third step such that  $d_i = D_x(q_i)$  for all  $i \in [t]$ , in which case the output of R is  $f_x$ , with high probability. To establish the soundness of the protocol, observe that we always have  $d_i \leq D_x(q_i)$  (i.e., it is impossible that  $d_i = 1$  whereas  $D_x(q_i) = 0$ ). Then, by Proposition 5.3, with high probability the following holds: If the sequence of answers to the verifier's queries is not  $(\alpha, s)$ -valid, then R outputs  $\bot$ ; and otherwise, it means that the answers are  $(s, \alpha)$ -indicative of the sequence of answers by  $D_x$ , in which case we are in the soundness case and the output is either  $f_x$  or  $\bot$ .

By hard-wiring appropriate advice for every input length n such that  $(x, f_n) \in S$ , the protocol above computes a language whose truth-tables are included in  $\mathcal{L}$  infinitely often. (As in the proof of Theorem 4.2, on input lengths for which there are no suitable x and  $f_n$ , the advice string indicates that the protocol should compute the all-zero function.) The time complexity of the protocol is at most

$$O(\underbrace{|f_n|^{1-\delta_0}}_{\text{complexity of }R} + \underbrace{N^{\epsilon/10}}_{\text{number of queries (i.e. t)}} \cdot \underbrace{N}_{\text{complexity of }V}) < |f_n|^{1-\delta},$$
(5.1)

where the inequality relies on a sufficiently small choice of  $\delta$ ; and similarly, the advice needed for this protocol is of length  $|x| + |f_n|^{1-\delta_0} + O(1) < |f_n|^{1-\delta}$ . This contradicts the usefulness of  $\mathcal{L}$ .

Proof of the first part of the statement. Under the stronger assumption, we prove that the same derandomization algorithm works also when *V* has imperfect completeness. Recall that the stronger assumption is that every  $f_n \in \mathcal{L}$  is hard for  $\mathcal{MATIME}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ protocols with oracle access to SAT. Without loss of generality, we assume that for every  $x \in Y$  it holds that  $\Pr_{r \in \{0,1\}^N}[\exists w : V(x, r, w) = 1] \geq .99$  (this only increases the running time of *V* by a constant multiplicative factor).

Now, assume towards a contradiction that there exists an infinite set *S* of pairs  $(x, f_n)$  such that  $x \in Y$  and  $\Pr_{i \in [N^{1+\epsilon_i}]}[\exists w : V(x, s_i, w) = 1] \leq 2/3$ , where the  $s_i$ 's are the outputs of *G* on non-deterministic guess  $f_n$ . Let  $D(x, z) = 1 \iff \forall w : V(x, z, w) = 0$ , and note that  $D \in coNTIME[O(n)]$  (where n = |x| + |z| denotes the input length to *D*), and that  $D_x(z) = D(x, z)$  is a (1/4)-distinguisher for *G*. The reconstruction algorithm for  $f_n$  gets input  $z \in [|f_n|]$ , guesses non-determinism *w* for *R*, and simulates *R* while resolving its queries  $q_1, ..., q_t$  to  $D_x$  by reducing the computation of *D* at  $(x, q_1), ..., (x, q_t)$  to *t* corresponding SAT queries. The reconstruction has the same running time and advice complexity as the reconstruction algorithm above, and by Proposition 5.1, it computes the function described by  $f_n$ , a contradiction.

#### 5.2.1 A tangent: Derandomization prBPP

Using the proof approach of Proposition 5.5, we can obtain a derandomization of  $pr\mathcal{BPP}$  (rather than of  $\mathcal{AM}$ ) in time that is optimal under #NSETH, using hypotheses that compare favorably to previous results. Specifically, we show that:

**Theorem 5.6** (optimal derandomization of  $pr\mathcal{BPP}$  without OWFs). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that for any polynomial T(n) the following holds. Assume that:

- 1. There exists  $L \notin i.o. \mathcal{MATIME}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  and a deterministic algorithm that gets input  $1^n$ , runs in time  $2^{(1+\epsilon/3)\cdot n}$ , and prints the truth-table of L on n-bit inputs.
- 2. For a constant  $k = k_T \ge 1$  there exists  $L \notin i.o. DTIME[2^{(k-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  and a deterministic algorithm that gets input  $1^n$ , runs in time  $2^{(k+1)\cdot n}$ , and prints the truth-table of L on n-bit inputs.

Then,  $pr\mathcal{BPTIME}[T] \subseteq pr\mathcal{DTIME}[n \cdot T^{1+\epsilon}].$ 

The conclusion in Theorem 5.6 is identical to that in [CT21b], and so is the hypothesis in Item (2). The new part is that the hypothesis in Item (1) of Theorem 5.6 replaces the cryptographic hypothesis that one-way functions exist in [CT21b].

**Proof sketch for Theorem 5.6.** Using the hypothesis in Item (1), we mimic the proof of Proposition 5.5 to argue that  $pr\mathcal{BPTIME}[T] \subseteq pr\mathcal{BPTIME}[T^{1+\epsilon/2}, (1+\epsilon/2) \cdot \log(T)]$ , where the latter class is that of problems that can be decided in time *T* with  $(1 + \epsilon/2) \cdot \log(T)$  random coins. (This follows since the generator in Proposition 5.5 can now deterministically print  $f_n$ , which is the truth-table of *L* on  $(1 + \epsilon/6) \cdot \log(N)$  input bits; and since the reconstruction protocol does not need an additional round, because the distinguisher is now just a deterministic function.)

We then use the hypothesis in Item (2) with the Nisan-Wigderson generator, when the latter is instantiated for small output length (see Theorem 5.16 for a statement of the generator's parameters). Instantiating this generator with the truth-table of *L* on inputs of length  $(1 + \Theta(\epsilon)) \cdot \log(n)$  as in [CT21b, Section 4.2] or in Proposition 5.8, we deduce that  $prBPTIME[T^{1+\epsilon/2}, (1+\epsilon/2) \cdot \log(T)] \subseteq prDTIME[n \cdot T^{1+\epsilon}]$ 

# 5.3 The inner PRG

The next PRG that we present will allow us to deduce derandomization of AM protocols that run in time  $n^k$  and use  $n^{o(1)}$  random coins, with time overhead that is multiplicative in the input length.

# 5.3.1 Warm-up: Using a different parameterization of the outer PRG as the inner PRG

We first present a PRG that uses stronger assumptions than the ones in our actual result, but is simple to show; in fact, it is the same PRG used in Section 5.2 (i.e., the one from Proposition 5.3), but used with a different parameters to serve as an "inner PRG" in our construction. The hardness assumption needed for this "warm-up" result is that there is a function whose truth-tables can be recognized in time approximately  $n^k$  and that is hard for interactive protocols running in time  $2^{(1-\delta)\cdot k \cdot n}$  with  $2^{(1-\delta)\cdot n}$  bits of non-uniform advice (again  $\delta > 0$  here is a small constant).

**Proposition 5.7** (the "inner PRG" – derandomizing  $\mathcal{AM}$  protocols with few random coins). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Fix  $k \ge 1$ , and assume that there exists an  $\mathcal{NTIME}[N^{k+\epsilon}]$ -constructive property  $\mathcal{L}_k$  useful against  $\mathcal{MA}^{\mathsf{SAT}}[2^{(1-\delta)\cdot k \cdot n}]/2^{(1-\delta)\cdot n}$ . Then,

$$\mathcal{AMTIME}[n^k, n] \subseteq \mathcal{NTIME}[n^{1+(1+\epsilon)\cdot k}]$$

Furthermore, if we only assume that  $\mathcal{L}_k$  is useful against  $\mathcal{MAM}[2^{(1-\delta)\cdot k\cdot n}]/2^{(1-\delta)\cdot n}$ , then  $\mathcal{AMTIME}_{p}[n^k, n] \subseteq \mathcal{NTIME}[n^{1+(1+\epsilon)\cdot k}].$ 

**Proof.** Fix  $\Pi \in AMTIME[n^k, n]$ , and let *V* be the  $n^k$ -time verifier that uses at most *n* random coins. Given input  $x \in \{0,1\}^n$  and witness  $w \in \{0,1\}^{n^k}$ , we guess  $f_n \in \{0,1\}^{n^{1+\epsilon/3}}$  and verify that  $f_n \in \mathcal{L}$ . Consider *G* from Proposition 5.3 with  $\epsilon_0 = \epsilon$  and input  $1^n$  oracle access to  $f_n$ . We enumerate over the output-set  $s_1, \ldots, s_{n^{1+\epsilon}} \in \{0,1\}^n$  of *G* and output MAJ<sub>i</sub> { $V(x, s_i, w)$ }. Note that this verifier indeed runs in time  $O(n^{(1+\epsilon/3)\cdot(k+\epsilon)} + n^{1+\epsilon} \cdot n^k) < n^{1+(1+\epsilon)\cdot k}$ .

The reconstruction arguments – both for the first part of the statement and for the "furthermore" part – are essentially identical to the ones in the proof of Proposition 5.5, the only difference being the time complexity of the reconstruction procedure. Specifically, using a calculation analogous to Eq. (5.1), the time complexity of the reconstruction procedure in our parameter setting is

$$O(\underbrace{|f_n|^{1-\delta_0}}_{\text{complexity of }R} + \underbrace{n^{\epsilon/10}}_{\text{number of queries}} \cdot \underbrace{n^k}_{\text{complexity of }V}) < |f_n|^{(1-\delta)\cdot k},$$

where the inequality relies on the fact that  $k + \epsilon/10 < (1 + \epsilon/3)(1 - \delta) \cdot k$ , using a sufficiently small choice of  $\delta > 0$ .

#### 5.3.2 The actual inner PRG: Result statement and proof plan

Our main goal is to relax the hypothesis in Proposition 5.7 for values of k > 1, by requiring hardness only against  $\mathcal{NTIME}$  machines with advice, rather than against  $\mathcal{MA}^{SAT}$  (or against  $\mathcal{MAM}$  protocols) with non-uniform advice. Specifically, we will prove the following result:

**Proposition 5.8** (the "inner PRG" – derandomizing  $\mathcal{AM}$  protocols with few random coins). For every  $\epsilon > 0$  there exist  $\delta, \eta > 0$  such that the following holds. Fix  $k \ge 1$ , and assume that there exists an  $\mathcal{NTIME}[N^{k+\epsilon/3}]$ -constructive property  $\mathcal{L}_k$  useful against  $\mathcal{NTIME}[2^{(1-\delta)\cdot k \cdot n}]/2^{(1-\delta)\cdot n}$ . Then,

$$pr\mathcal{AMTIME}[n^k, n^\eta] \subseteq pr\mathcal{NTIME}[n^{1+(1+\epsilon)\cdot k}].$$

To prove Proposition 5.8, we use the Nisan-Wigderson generator instead of the PRG from Proposition 5.3 (this is similar to a proof in [CT21b]). The key challenge is that the NW generator requires hardness against algorithms (with advice) that have oracle access to  $\mathcal{NTIME}$ , whereas we only want to assume hardness against  $\mathcal{NTIME}$  machines (with advice). To bridge this gap, we first show, in Section 5.3.3, how to transform a truth-table that is hard for  $\mathcal{NTIME}$  machines with advice into a truth-table that is hard for  $\mathcal{NTIME}$  machines with advice that have oracle access to  $\mathcal{NTIME}$ . This proof follows an argument from [SU06], but uses a more careful analysis to obtain tighter bounds on the running time. Then, in Section 5.3.4 we combine the foregoing transformation with a standard analysis of the NW generator (instantiated for small output length) to prove Proposition 5.8.

# 5.3.3 A refined analysis of the "random curve reduction"

In this section it will be more convenient to work with the notion of non-uniform programs, rather than with Turing machines that take advice. A non-uniform program Aon *n*-bit inputs with advice complexity  $\alpha$  and running time T is a RAM program  $\Pi$  of description size  $\alpha$  (*i.e.*,  $|\Pi| \leq \alpha$ ). Given an input  $x \in \{0,1\}^n$ , A(x) is defined to be the output of running the program  $\Pi$  on input x for at most T steps (if,  $\Pi$  does not stop, we define the output to be 0). The notation "A on *n*-bit inputs" means that we only care about A's outputs on *n*-bit inputs.<sup>31</sup>

We will need the notions of non-uniform single-valued programs (which are nonuniform programs analogous of  $\mathcal{NP} \cap co\mathcal{NP}$ ) and of non-uniform non-adaptive SAToracle program (which are non-uniform programs analogous to  $\mathcal{P}$  with oracle access to  $\mathcal{NP}$ ), defined as follows.

**Definition 5.9** (non-uniform SVN programs). A single-valued nondeterministic program *A* on *n*-bit inputs with advice complexity  $\alpha$  and running time *T* is a nonuniform program with the same advice complexity and running time which receives two inputs: an input  $x \in \{0, 1\}^n$  and a second input *y* of length at most *T*, and outputs two bits: the **value** bit and the *flag* bit. *A* computes the function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  if the following hold:

- For every  $x \in \{0,1\}^n$  and y, if the flag bit of A(x,y) equals 1, then the value bit of A(x,y) equals f(x).
- For every x, there is y such that the flag bit of A(x,y) equals 1.

We note that if  $\alpha = T$ , then a single-valued nondeterministic program is essentially a single-valued nondeterministic circuit (see [SU06]).

**Definition 5.10** (non-uniform programs). A non-adaptive non-uniform SAT-oracle program A on n-bit inputs is a pair of non-uniform programs  $A_{pre}$  and  $A_{post}$ . The program  $A_{pre}$ 

<sup>&</sup>lt;sup>31</sup>This is the reason why we use *program* instead of *algorithm*. We want to emphasize the fact that a non-uniform program is a *non-asymptotic* object and we only care about its behaviors on a fixed-input length n; this is similar to a circuit with n-bit inputs.
has n-bit inputs, and an input  $x \in \{0,1\}^n$ , it outputs queries  $q_1, \ldots, q_k$ . <sup>32</sup> The program  $A_{post}$  receives  $x \in \{0,1\}^n$  together with k bits  $a_1, \ldots, a_k$  where  $a_i = 1$  if and only if  $q_i \in SAT$ , and outputs a single answer bit.

The running time (resp. advice complexity) of A is defined as the sum of the running time (resp. advice complexity) of  $A_{pre}$  and  $A_{post}$ . We also call k the query complexity of A.

For convenience, we will assume that the SAT oracle takes the description of a formula  $\phi$  as input, and the number of variables in  $\phi$  is at most the description length of  $\phi$ . In other words, to prove that a formula  $\phi$  with *m*-bit description length is satisfiable, one only needs to provide a satisfying assignment with at most *m* bits.

**The transformation.** The main result that we prove in this section is a transformation of truth-tables of functions that are hard for nondeterministic programs to truth-tables of functions that are hard for SAT-oracle programs, as follows:

**Theorem 5.11** (the "random curve reduction" with a careful analysis of overheads). There is a universal constant c > 1 and an algorithm  $A_{\text{low-d}}$  which takes an input function  $f: \{0,1\}^n \to \{0,1\}$ , a real  $\epsilon \in (0,1)$ , and an integer  $k \leq 2^{\epsilon n/100}$  as input, and outputs the truth-table of another function  $g: \{0,1\}^{(1+\epsilon)n} \to \{0,1\}$  such that for all sufficiently large  $n \in \mathbb{N}$ :

- 1.  $A_{\text{low-d}}(f,\epsilon,k)$  runs in  $\tilde{O}(2^{(1+\epsilon)n})$  time.
- 2. If f does not have a single-valued nondeterministic program with running time T and advice complexity  $\alpha$ , then g does not have a non-adaptive non-uniform SAT-oracle program with running time  $T \cdot (n^{1/\epsilon} \cdot k)^{-c}$ , advice complexity  $\alpha O(n^2 \cdot k)$ , and query complexity k.

To prove Theorem 5.11 we will need the following technical tools. First, we need the standard low-degree extension of Boolean functions, and we use the precise definition from [SU06].

**Definition 5.12** (low-degree extension). Let  $f: \{0,1\}^n \to \{0,1\}$  be a function, h, q be powers of 2 such that  $h \leq q$  and  $d \in \mathbb{N}$  such that  $h^d \geq 2^n$ . Let H be the first h elements from  $\mathbb{F}_q$ , and I be an efficiently computable injective mapping from  $\{0,1\}^n \setminus H^d$ . The low-degree extension of f with respect to q, h, d is the unique d-variable polynomial  $\hat{f}: \mathbb{F}_q^d \to \mathbb{F}_q$  with degree h - 1 in each variable, such that  $\hat{f}(I(x)) = f(x)$  for all  $x \in \{0,1\}^n$  and  $\hat{f}(v) = 0$  for all  $v \in (H^d \setminus Im(I))$ .

We will also consider the Boolean function of  $\hat{f}$ , denoted as  $f_{\text{bool}}: \{0,1\}^{d\log q + \log\log q} \rightarrow \{0,1\}$  and defined by  $f_{\text{bool}}(x,i) = \hat{f}(x)_i$ , where  $\hat{f}(x)_i$  denotes the *i*-th bit of the binary representation of  $\hat{f}(x)$ .

Since in Definition 5.12 we always consider finite fields  $\mathbb{F}_q$  whose size is a power of 2, we can naturally encode each element in  $\mathbb{F}_q$  by exactly  $\log q$  bits. Hence, the mapping I can be constructed as follows: given  $x \in \{0,1\}^n$ , partition it into d consecutive blocks  $x^{(1)}, \ldots, x^{(d)}$  each of size  $\log h$  and ignore the remaining bits (note that  $n \ge d \cdot \log h$ ), and then interpret each  $x^{(i)}$  as an element of H via a natural bijection (first interpret  $x^{(i)}$  as an integer from [h], and then interpret the obtained integer uas the u-th element from H). Also, by standard interpolation, the truth-table of  $\hat{f}$ or  $f_{\text{bool}}$  can be computed in  $\tilde{O}(q^d)$  time from the truth-table of f. For simplicity, we will chose the representation of  $\mathbb{F}_q$  in such that a way that for every  $u \in \{0,1\}^n$ ,  $f(u) = f_{\text{bool}}(I(u), 1)$ .

<sup>&</sup>lt;sup>32</sup>On all inputs from  $\{0,1\}^n$  the program  $A_{pre}$  outputs the same number of queries.

We also need the notion of parametric curves, and a concentration bound for functions on random curves that was proved in [SU06].

**Definition 5.13** (parametric curves). Let q be a prime power and  $f_1, \ldots, f_q$  be an enumeration of elements from  $\mathbb{F}_q$ . For convenience we will assume  $f_1 = 0$ . Given r elements  $v_1, \ldots, v_r \in \mathbb{F}_q^d$  for  $r \leq q$ , we define the curve passing through  $v_1, \ldots, v_r$  to be the unique degree r - 1 polynomial function  $c: \mathbb{F}_q \to \mathbb{F}_q^d$  such that  $c(f_i) = v_i$  for every  $i \in [r]$ . We say that a curve c is one to one if  $c(f_i) \neq c(f_j)$  for every distinct pair i, j from [q].

The following technical lemma from [SU06] asserts that any function (or set of functions) satisfy good concentration bounds on random curves. To state it, denote by  $c(x, c_1, ..., c_r)$  the unique curve passing through  $x, c_1, ..., c_r$ , and note that  $c(x, c_1, ..., c_r)(0) = x$  by its definition. We also use  $\mathbb{F}_q^*$  to denote  $\mathbb{F}_q \setminus \{0\}$ . For two finite sets W, Z such that  $W \subseteq Z$  and  $h: Z \to [0, 1]$ , we define

$$\mu_W(h) = \frac{1}{|W|} \sum_{i \in W} h(i) \; .$$

Then, the technical lemma from [SU06] is as follows:

**Lemma 5.14** (curves are good samplers; see [SU06]). Let q be a prime power and r be an integer such that  $2 \le r < q$ . For every point  $x \in \mathbb{F}_q^d$ , a list of k functions  $h_1, \ldots, h_k \colon \mathbb{F}_q^d \to [0,1]$ , and  $\delta \in (0,1)$ , the probability over a random choice of points  $v_1, \ldots, v_r \in \mathbb{F}_q^d$  that  $c(x, c_1, \ldots, c_r)$  is one to one and<sup>33</sup>

$$\left|\mu_{c(x,c_1,\ldots,c_r)(\mathbb{F}_q^*)}(h_i)-\mu_{\mathbb{F}_q^d}(h_i)\right|<\delta$$

*for every*  $i \in [k]$  *is at least* 

$$1 - \left(8k \cdot \left(\frac{2r}{(q-1) \cdot \delta^2}\right)^{r/2} + \frac{1}{q^{d-2}}\right).$$

We now turn to the actual proof of Theorem 5.11.

**Proof of Theorem 5.11.** We mimic the proof of [SU06, Theorem 3.2] with a more careful choice of parameters. We also keep track of the running time and non-uniformity separately, instead of a single measure of non-uniform circuit size as in [SU06].

We define  $pw(x) = 2^{\lceil \log x \rceil}$ . That is, pw(x) is the smallest power of 2 that is at least *x*. Let  $c_0 \in \mathbb{N}$  be a large enough constant to be chosen later. We first define the following parameters:

1. 
$$r = c_0 \cdot n$$
.  
2.  $h = pw(\tilde{h})$  where  $\tilde{h} = max \left( c_0 \cdot r^2 \cdot (kn)^4, (c_0 \cdot (n+3) \cdot r)^{3/\epsilon} \right)$ .  
3.  $d = \lceil n/\log h \rceil + 3$ .

4.  $q = pw(\tilde{q}/2)$ , where  $\tilde{q} = c_0 \cdot h \cdot d \cdot r$ .

<sup>&</sup>lt;sup>33</sup>We use  $c(x, c_1, \ldots, c_r)(\mathbb{F}_q^*)$  to denote the set  $\{c(x, c_1, \ldots, c_r)(u) : u \in \mathbb{F}_q^*\}$ .

**Construction of the function** *g*. Now, we define  $\hat{f} : \mathbb{F}_q^d \to \mathbb{F}_q$  and  $f_{\text{bool}} : \{0,1\}^{d \cdot \log q + \log \log q} \to \{0,1\}$  as the low-degree extension of *f* with respect to *q*, *h*, *d*, according to Definition 5.12. And we define our output function *g* so that given an input  $x \in \{0,1\}^{(1+\epsilon) \cdot n}$ , g(x) computes  $f_{\text{bool}}$  on the length- $(d \cdot \log q + \log \log q)$  prefix of *x*.

**Fact 5.14.1.** By our parameter choices we have that  $(1 + \epsilon) \cdot n \ge d \cdot \log q + \log \log q$ , and hence g is well-defined.

*Proof.* By the definition of *q*, we have that  $q \leq \tilde{q} = h \cdot (c_0 \cdot d \cdot r)$ . By the definition of *h*, we have that

$$h \ge \tilde{h} \ge (c_0 \cdot (n+3) \cdot r)^{3/\epsilon} \ge (c_0 \cdot d \cdot r)^{3/\epsilon}$$
.

The above further implies that  $q \leq \tilde{q} \leq h^{1+\epsilon/3}$ . Hence, for a sufficiently large  $n \in \mathbb{N}$ , we have that

$$d \cdot \log q + \log \log q = (\lceil n/\log h \rceil + 3) \cdot \log q + \log \log q$$
$$\leq n \cdot \frac{\log q}{\log h} + 5 \cdot \log q \leq (1 + \epsilon/3) \cdot n + 5 \cdot \log q$$

Next, from the definition of *q* and the assumption that  $k \leq 2^{\epsilon n/100}$ , we have

$$\log q \le O(\log n) + \log h \le O(\log n) + 4 \cdot \log k$$
$$\le O(\log n) + 4 \cdot \epsilon/100 \cdot n \le \epsilon/20 \cdot n$$

Putting the above together, we have

$$d \cdot \log q + \log \log q \le (1 + \epsilon/3 + \epsilon/4) \cdot n < (1 + \epsilon) \cdot n$$
.

As mentioned after Definition 5.12, the truth-table of *g* can be computed in  $\tilde{O}(2^{(1+\epsilon)n})$  time. This proves Item (1).

**Construction of a probabilistic program** B' **for**  $f_{bool}$ . To prove Item (2), it suffices to show the following

- If  $f_{\text{bool}}$  has a non-adaptive non-uniform SAT-oracle program  $A = (A_{\text{pre}}, A_{\text{post}})$  with running time  $T \ge 1$ , advice complexity  $\alpha \ge 0$ , and query complexity k,
- then  $f_{\text{bool}}$  has a single-valued nondeterministic program *B* with advice complexity  $\alpha + O(n^2 \cdot k)$  and running time  $O(T \cdot q + \text{poly}(q))$ .<sup>34</sup>

We will first construct a probabilistic single-valued nondeterministic program B' that computes  $f_{\text{bool}}$  and then fix its randomness to obtain the desired program that computes f (the definition of such a probabilistic program will be clear later in the proof). Using our assumption about  $f_{\text{bool}}$ , we can construct a non-adaptive non-uniform SAT-oracle program  $\hat{A} = (\hat{A}_{\text{pre}}, \hat{A}_{\text{post}})$  with query complexity  $m = k \cdot \log q$ , advice complexity  $\alpha$ , and running time  $T \cdot \log q$  that computes  $\hat{f} : \mathbb{F}_q^d \to \mathbb{F}_q$ .

For  $x \in \mathbb{F}_q^d$ , let  $Q_1(x), \ldots, Q_m(x)$  and  $A_1(x), \ldots, A_m(x)$  be the queries and answers associated with  $\hat{A}$ , respectively, on input x. We then define  $p_i = \mu_{\mathbb{F}_q^d}(A_i)$  for every

<sup>&</sup>lt;sup>34</sup>By the discussion after Definition 5.12, we have  $f(u) = f_{bool}(I(u), 1)$ . Hence *B* can be used to compute *f* as well with a minor overhead in the running time.

 $i \in [m]$  and  $\delta = 1/(9m)$ . The probabilistic program B' takes the  $\alpha$ -bit advice of  $\hat{A}$  together with all the  $p_1, \ldots, p_m$  as advice.<sup>35</sup>

On an input  $(x, b) \in \{0, 1\}^{d \log q} \times [\log q]$ , *B'* works as follows:

- 1. Pick  $v_1, \ldots, v_r$  uniformly at random, and set  $x_a = c_{x,v_1,\ldots,v_r}(a)$  for every  $a \in \mathbb{F}_q$ . Simulate  $\hat{A}_{pre}$  to compute queries  $Q_i(x_a)$  for every  $i \in [m]$  and  $a \in \mathbb{F}_q^*$ .
- 2. Set  $n_i = \lfloor (p_i \delta) \cdot (q 1) \rfloor$ . For every  $i \in [m]$ , guess  $z_i \in \{0, 1\}^{\mathbb{F}_q^*}$  with exactly  $n_i$  ones, and *T*-bit strings  $\{w_{i,a}\}_{a \in \mathbb{F}_q^*}$ .
- 3. For every  $i \in [m]$  and  $a \in \mathbb{F}_{q}^{*}$ , check that  $(z_{i})_{a} = 1$  implies that  $w_{i,a}$  is a witness that query  $Q_{i}(x_{a})$  is answered positively (recall that the query is to SAT); otherwise, set the **flag** bit in the output to be 0 and halt.
- 4. For every  $a \in \mathbb{F}_q^*$ , compute  $y_a = \hat{A}_{post}(x_a, (z_1)_a, (z_2)_a, \dots, (z_m)_a)$ .
- 5. Run the algorithm from Lemma 3.13 on the q 1 pairs  $(f_a, y_a)$  with degree u = hdr to obtain a polynomial  $\tau \colon \mathbb{F}_q \to \mathbb{F}_q$  of degree u. Set the **flag** bit in the output to be 1. If no such  $\tau$  exists, set the **value** bit in the output to be 0, and otherwise set the **value** bit to be the *b*-th bit of  $\tau(0)$ .

**Analysis of the program** *B*<sup>'</sup>. We need the following claim.

**Claim 5.15.** For every  $(x,b) \in \mathbb{F}_q^d \times [\log q]$ , with probability more than  $1 - \frac{2^{-n}}{2\log q}$  over the choice of  $v_1, \ldots, v_r$ , the following two conditions hold:

- 1. For all guesses  $z_i$  and  $w_{i,a}$  such that the *flag* bit of the output is set to 1, then the *value* bit of the output is  $f_{bool}(x, b)$ .
- 2. There exist guesses  $z_i$  and  $w_{i,a}$  such that the *flag* bit of the output is set to 1.

*Proof.* Fix  $x \in \mathbb{F}_q^d$ . We apply Lemma 5.14 to show that over a random choice of points  $v_1, \ldots, v_r \in \mathbb{F}_q^d$ ,

 $c_{(x,v_1,\dots,v_r)}$  is one to one and for all  $i \in [m]$ ,  $\left| \mu_{c(x,c_1,\dots,c_r)(\mathbb{F}_q^*)}(A_i) - \mu_{\mathbb{F}_q^d}(A_i) \right| < \delta$  (1)

holds with probability at least

$$1 - \left(8m \cdot \left(\frac{2r}{(q-1) \cdot \delta^2}\right)^{r/2} + \frac{1}{q^{d-2}}\right).$$

We need to show the above is lower bounded by  $1 - \frac{2^{-n}}{2 \log q}$ . First, by our choices of *q*, *h*, *d*, we have

$$\frac{1}{q^{d-2}} = \frac{1}{q^{\lceil n/\log h\rceil + 1}} \le \frac{1}{h^{\lceil n/\log h\rceil}} \cdot \frac{1}{q} \le \frac{2^{-n}}{4\log q}$$

Next, note that  $\frac{2r}{(q-1)\cdot\delta^2} \leq \frac{4\cdot9^2 \cdot rm^2}{q} < 1/2$  by our choice of q (note that  $q > h > c_0 \cdot r^2 \cdot (kn)^4 > c_0 \cdot r \cdot m^2$ , and  $c_0$  is sufficiently large). We then have

$$8m \cdot \left(\frac{2r}{(q-1)\cdot\delta^2}\right)^{r/2} < 8m \cdot 2^{-r/2} \le \frac{2^{-n}}{4\log q},$$

<sup>&</sup>lt;sup>35</sup>Note that each  $p_i$  can be described by a single integer between 0 and  $q^d$ . Hence these *m* reals take  $m \cdot O(d \cdot \log q) = O(m \cdot n)$  bits to store.

the last inequality follows from  $r = c_0 \cdot n$  for a sufficiently large  $c_0 \in \mathbb{N}$ , and  $m \le \log q \cdot k \le \log q \cdot 2^{\epsilon n/100}$ . Putting everything together, we have that (1) holds with probability more than  $1 - \frac{2^{-n}}{2\log q}$ .

Next we show whenever (1) holds, the two items in the claim hold. For the second item, note that since (1) holds, for every  $i \in [m]$  we know that  $A_i(x_a) = 1$  for at least  $n_i$  distinct elements  $a \in \mathbb{F}_q^*$ . Therefore, if for every  $i \in [m]$ , the guess  $z_i$  is the string with exactly  $n_i$  ones in entries indexed by those a with  $A_i(x_a) = 1$ , then there are witnesses  $w_{i,a_a \in \mathbb{F}_q^*}$  that pass the check together with all the  $z_i$ .

For the first item, for all guesses  $z_i$  and  $w_{i,a}$  such that the **flag** bit is 1, we know that for all  $i \in [m]$  and  $a \in \mathbb{F}_q^*$ ,  $(z_i)_a = 1$  implies  $A_i(x_a) = 1$ , and there are exactly  $n_i$ ones in  $z_i$ . On the other hand, by (1), for every  $i \in [m]$ , the number of  $a \in \mathbb{F}_q^*$  such that  $A_i(x_a) = 1$  is at most  $\lceil (p_i + \delta) \cdot (q - 1) \rceil$ . Hence, we can bound the number of errors associated with query *i* as follows:

$$\left| \{ a \in \mathbb{F}_q^* : A_i(x_a) \neq (z_i)_a \} \right| \leq \left\lceil (p_i + \delta) \cdot (q - 1) \right\rceil - \lfloor (p_i - \delta) \cdot (q - 1) \rfloor \leq 2\delta q.$$

The above in particular means that for all but at most  $2\delta q \cdot m \max q \in \mathbb{F}_q^*$ , we have  $(z_i)_a = A_i(x_a)$  for all  $i \in [m]$ , and consequently  $y_a = \hat{f}(x_a)$ . Letting p be the restriction  $\hat{f} \circ c_{(x,v_1,\ldots,v_r)}$ , from the discussions above, it follows that for at least  $(q-1) - 2\delta qm = (1 - 2\delta m)q - 1 = \frac{7}{9} \cdot q - 1$  of the pairs  $(a, y_a)$  we have  $y_a = p(a)$ . Note that the degree of p is at most hdr (since  $\hat{f}$  a d-variate polynomial of individual degree at most h - 1, and we compose it with a curve of degree r). and  $q \ge \tilde{q}/2 \ge c_0/2 \cdot hdr$ . Since  $c_0$  is a sufficiently large constant, we can apply Lemma 3.13 to compute  $p(0) = \hat{f}(x)$ , and output the b-th bit of  $\hat{f}(x)$  as desired, which completes the proof.

Finally, let  $I: \{0,1\}^n \to \mathbb{F}_q^d$  be the injective function associated the low-degree extension  $\hat{f}$ . Let  $S = \{(x,b) : x \in I(\{0,1\}^n), b \in [\log q]\}$ . Since  $|S| \leq 2^n \cdot \log q$ , by a union bound, there exists fixed  $\hat{v}_1, \ldots, \hat{v}_r$  such that B' with randomness fixed to  $\hat{v}_1, \ldots, \hat{v}_r$  correctly computes  $f_{\mathsf{bool}}$  on all inputs from S. We then define the single-valued nondeterministic program B by fixing the randomness of B' to  $\hat{v}_1, \ldots, \hat{v}_r$ .

**Verifying the complexity of** *B***.** We have already established that *B* computes  $f_{bool}$ . It remains to verify the running time and advice complexity of *B*. First, *B* takes the following advice:

- 1. Advice for  $\hat{A}$ , of length  $\alpha$ .
- 2. Description of the reals  $p_1, \ldots, p_m \in [0, 1]$ , of total length  $O(m \cdot n)$ .
- 3. Fixed randomness  $\hat{v}_1, \ldots, \hat{v}_r$ , which takes  $d \log q \cdot r \leq O(n^2)$  bits.

Hence, the number of advice bits of *B* is  $\alpha + O(mn) + O(n^2) \le \alpha + O(n^2 \cdot k)$ .

Finally, the running time of *B* is dominated by the simulation of (q - 1) calls to  $\hat{A}$ , the final decoding algorithm, and the time to compute the curve  $c(x, \hat{v}_1, ..., \hat{v}_r)$  by direct interpolation (which takes poly(r, q) = poly(q) time). The total running time of *B* can thus be bounded by

$$O(q \cdot T) + \operatorname{poly}(q) \le T \cdot \operatorname{poly}(q)$$

and the final bound follows since  $q \leq n^{8/\epsilon} \cdot k^4$ .

#### 5.3.4 Proof of Proposition 5.8

We will use the following version of the Nisan-Wigderson [NW94] generator, when it is combined with the locally decodable code of Sudan, Trevisan, and Vadhan [STV01] and with the weak designs of Raz, Reingold, and Vadhan [RRV02]. This version of the generator is instantiated for a sufficiently small output length, and we carefully bound its running time, the running time of the reconstruction argument, and the number of advice bits that the reconstruction argument needs.

**Theorem 5.16** (NW generator for small output length). There exists a universal constant  $c_{\text{NW}} > 1$  such that for all  $\epsilon_{\text{NW}} > 0$  and  $\mu_{\text{NW}} > c_{\text{NW}} \cdot \sqrt{\epsilon_{\text{NW}}}$  there exist two algorithms that for any  $N \in \mathbb{N}$  and  $f \in \{0, 1\}^N$  satisfy the following:

- 1. (Generator.) When given input  $1^N$  and oracle access to f, the generator G runs in time  $N^{1+2c_{NW}^2,\mu_{NW}}$  and outputs a set of strings in  $\{0,1\}^{N^{e_{NW}}}$ .
- 2. (Reconstruction.) For any  $(1/N^{\epsilon_{NW}})$ -distinguisher  $D: \{0,1\}^{N^{\epsilon_{NW}}} \to \{0,1\}$  for  $G(1^N)^f$  there exists a string adv of length  $N^{1-\mu_{NW}}$  such that the following holds. When the reconstruction R gets input  $x \in [|f|]$  and oracle access to D and non-uniform advice adv, it runs in time  $N^{\epsilon_{NW}}\sqrt{\epsilon_{NW}}$ , makes non-adaptive queries to D, and outputs  $f_x$ .

**Proof Sketch.** The proof is the standard analysis of the NW generator as in [STV01; RRV02]; specifically, we follow the proof in [CT21a, Appendix A.2] and explain the necessary changes. (These changes are needed since in the current statement we decouple  $\mu_{NW}$  and  $\epsilon_{NW}$ , instead of choosing a fixed value for  $\mu_{NW}$ .)

Denote  $\epsilon = \epsilon_{\text{NW}}$  and  $\mu = \mu_{\text{NW}}$  and  $M = N^{\epsilon}$ . Instead of using designs with  $\log(\rho) = (1 - 3\beta) \cdot \ell$  we use designs with  $\log(\rho) = (1 - 2\mu) \cdot \ell$ . Then, the seed length of the generator becomes  $(1 + O(\beta + \mu)) \cdot \log(N) = (1 + O(\mu)) \cdot \log(N)$ . In the reconstruction, the oracle machine *P* computing a corrupted version of *f* runs in time  $\operatorname{poly}(M) = N^{O(\epsilon)}$  and uses  $M \cdot N^{(1+\beta) \cdot (1-2\mu)} = N^{1+2\beta-2\mu}$  bits of non-uniform advice. Thus, the final reconstruction uses  $N^{1-\mu}$  bits of advice and runs in time  $N^{O(\sqrt{\epsilon})}$ .

We now use the NW generator above along with Theorem 5.11 to prove Proposition 5.8. Let us restate the result befor proving it, for convenience.

**Proposition 5.17** (Proposition 5.8, restated). For every  $\epsilon > 0$  there exist  $\delta, \eta > 0$  such that the following holds. Fix  $k \ge 1$ , and assume that there exists an  $\mathcal{NTIME}[N^{k+\epsilon/3}]$ -constructive property  $\mathcal{L}_k$  useful against  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(1-\delta)\cdot k \cdot n}]/2^{(1-\delta)\cdot n}$ . Then,

$$pr\mathcal{AMTIME}[n^k, n^{\eta}] \subseteq pr\mathcal{NTIME}[n^{1+(1+\epsilon)\cdot k}]$$
.

**Proof.** Let  $\eta > 0$  be a sufficiently small constant to be determined later, let  $\Pi = (Y, N) \in pr \mathcal{AMTIME}[n^k, n^\eta]$ , and let *V* be an  $n^k$ -time verifier for  $\Pi$  that uses at most  $n^\eta$  random coins. Given  $x \in \{0, 1\}^n$ , the deterministic verifier guesses a witness  $w \in \{0, 1\}^{n^k}$  and  $f_n \in \{0, 1\}^{n^{1+\epsilon/7}}$  and checks that  $f_n \in \mathcal{L}_k$ . It then uses the algorithm from Theorem 5.11 with parameter  $\epsilon/7$  and with a bound of  $Q = n^{(1+\epsilon/3) \cdot c_{NW} \cdot \sqrt{\eta}}$  on the number of queries to obtain a truth-table  $g_n \in \{0, 1\}^{n^{1+\epsilon/3}}$ .

The verifier now uses *G* from Theorem 5.16 with parameters  $\epsilon_{NW} = \eta$  and  $N = n^{1+\epsilon/3}$  and  $\mu = C \cdot \sqrt{\eta}$  for a sufficiently large universal constant C > 0, giving *G* oracle access to  $g_n$ . Denoting the output strings of  $G^{g_n}(1^N)$  by  $s_1, \ldots, s_L$ , the verifier outputs MAJ<sub>i</sub> { $V(x, s_i, w)$ }. Note that the running time of *G* and its number of output strings *L* are bounded by  $n^{(1+\epsilon/3)\cdot(1+O(\sqrt{\eta}))} < n^{1+\epsilon}$ , assuming that  $\eta > 0$  is sufficiently small. Thus, the deterministic verifier runs in time  $n^{1+(1+\epsilon)\cdot k}$ .

<sup>&</sup>lt;sup>36</sup>The truth-table that Theorem 5.11 outputs is of length  $n^{(1+\epsilon/7)^2}$ , and by padding it with zeroes we can assume that it is of length  $n^{1+\epsilon/3}$ .

**The reconstruction argument.** For any  $x \in \{0,1\}^n$ , let  $D_x \colon \{0,1\}^{n^\eta} \to \{0,1\}$  be the function

$$D_x(r) = 1 \iff \exists w : V(x, r, w) = 1.$$

Assume towards a contradiction that for infinitely many pairs  $(x, f_n)$  such that  $x \in \mathbb{N}$  and  $f_n \in \{0,1\}^{|x|^{1+\epsilon/7}}$  it holds that  $\Pr_{i \in [L]}[D_x(s_i) = 1] \ge 1/2$ , where the  $s_i$ 's are the result of running *G* with oracle access to  $g_n$  and with the parameters above.

For every such pair, there is an advice string adv of length  $|g_n|^{1-C\cdot\sqrt{\eta}}$  such that the reconstruction R from Theorem 5.16 computes the function whose truth-table is  $g_n$  in time  $|g_n|^{c_{\text{NW}}\cdot\sqrt{\eta}}$  when given adv as non-uniform advice and oracle access to  $D_x$ . By adding x to the advice (we can assume that |x| < |adv| by choosing  $\eta$  to be sufficiently small), this is an algorithm that runs in time  $|g_n|^{c_{\text{NW}}\cdot\sqrt{\eta}}$ , uses  $|g_n|^{1-C\cdot\sqrt{\eta}}$  bits of advice, and makes Q non-adaptive oracle queries to  $\mathcal{NTIME}[n^k]$ .<sup>37</sup> Using an efficient reduction of  $\mathcal{NTIME}[n^k]$  to SAT (see [Tou01; FLM+05]), we obtain an algorithm that runs in time  $T' = \tilde{O}(n^k)$ , uses  $\alpha' = |g_n|^{1-C\cdot\sqrt{\eta}}$  bits of advice, and makes Q non-adaptive queries to a SAT oracle.

The algorithm *R* above yields a non-adaptive non-uniform SAT-oracle program with running time *T'* and advice complexity  $\alpha'$  and query complexity *Q*, in the sense of Definition 5.10. By Theorem 5.11, it holds that  $g_n$  can be computed by a single-valued non-deterministic program with running time  $T = T' \cdot \gamma$  and advice complexity  $\alpha = \alpha' \cdot \gamma$ , where  $\gamma = (Q, \log(|f_n|)^{1/\epsilon})^{c_{SU}} = n^{c_{SU} \cdot c_{NW} \cdot (1+\epsilon/3) \cdot \sqrt{\eta}} = |g_n|^{c_{SU} \cdot c_{NW} \cdot \sqrt{\eta}}$  and  $c_{SU}$  is the constant from Theorem 5.11 that depends on  $\epsilon > 0$ .

By the straightforward simulation of the infinite sequence of non-uniform programs to a Turing machine with advice,<sup>38</sup> there exists an unambiguous non-deterministic machine M that runs in time  $\tilde{O}(T)$  and an advice sequence of length  $\alpha$  and infinitely many  $g_n \in \mathcal{L}$  such that on input length  $\log(|g_n|)$ , when M is given the appropriate advice it computes  $g_n$ . Plugging in the parameters, we have that

$$\tilde{O}(T) = \tilde{O}(n^k \cdot |g_n|^{c_{\mathsf{SU}} \cdot c_{\mathsf{NW}} \cdot \sqrt{\eta}}) = \tilde{O}(|g_n|^{k/(1+\epsilon/3)+c_{\mathsf{SU}} \cdot c_{\mathsf{NW}} \cdot \sqrt{\eta}}) < |g_n|^{k/(1+\epsilon/4)}$$
$$\alpha = |g_n|^{1-C \cdot \sqrt{\eta}} \cdot |g_n|^{c_{\mathsf{SU}} \cdot c_{\mathsf{NW}} \cdot \sqrt{\eta}} \le |g_n|^{1-\sqrt{\eta}}$$

where the upper-bound on *T* follows by choosing a sufficiently small  $\eta = \eta(\epsilon) > 0$ . This contradicts the usefulness of  $\mathcal{L}_k$  if we choose  $\delta > 0$  to be sufficiently small.

#### 5.4 Composing the two PRGs

By a straightforward combination of Proposition 5.5 and Proposition 5.8, we obtain the following result, which deduces derandomization of  $AMTIME^{[\Rightarrow 2]}$ , under hardness assumptions as in Theorem 1.2.

**Corollary 5.18** (superfast derandomization of  $AMTIME^{[=2]}$ ). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that:

- 1. There exists an  $\mathcal{NTIME}[N^{1+\epsilon/3}]$ -constructive property  $\mathcal{L}_1$  that is useful against  $\mathcal{MA}^{\mathsf{SAT}}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ .
- 2. For every k > 1 there exists an  $\mathcal{NTIME}[N^{k+\epsilon/3}]$ -constructive property  $\mathcal{L}_k$  useful against  $(\mathcal{N} \cap co\mathcal{N})\mathcal{TIME}[2^{(1-\delta)\cdot k \cdot n}]/2^{(1-\delta)\cdot n}$ .

<sup>&</sup>lt;sup>37</sup>In more detail, queries to the oracle are of the form  $(x, r) \in \{0, 1\}^n \times \{0, 1\}^{n^n}$ , and the oracle answers "yes" iff there exists *w* such that V(x, r, w) = 1.

<sup>&</sup>lt;sup>38</sup>That is, the machine gets as advice the description of the program and simulates it.

Then, for every polynomial T it holds that

$$pr\mathcal{AMTIME}[T] \subseteq pr\mathcal{NTIME}[n \cdot T^{1+\epsilon}].$$

Furthermore, if in Item (1) we only assume that  $\mathcal{L}_1$  is useful against  $\mathcal{MAM}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ , then for every polynomial T it holds that  $pr\mathcal{AMTIME}_p[T] \subseteq pr\mathcal{NTIME}[n \cdot T^{1+\epsilon}]$ .

**Proof.** Let  $T(n) = n^c$ . By Proposition 5.5 with parameter value  $\epsilon/3$  (using our hypothesis with k = 1), we have that  $pr\mathcal{AMTIME}[T] \subseteq pr\mathcal{AMTIME}[T^{1+\epsilon/3}, (1 + \epsilon/3) \cdot \log(T)]$ . By Proposition 5.8 instantiated with parameter values  $\epsilon/3$  and  $k = (1 + \epsilon/3) \cdot c$ , such that  $T^{1+\epsilon/3} = n^k$ , we have that  $pr\mathcal{AMTIME}[n^k, O(\log(n))] \subseteq pr\mathcal{AMTIME}[n^{1+(1+\epsilon/3)\cdot k}]$ , and note that  $n^{1+(1+\epsilon/3)\cdot k} \leq n \cdot T^{1+\epsilon}$ . The proof of the "furthermore" statement is identical, just using the "furthermore" part of Proposition 5.5.

To prove Theorem 1.2, we combine Corollary 5.18 with an application of the standard round-reduction procedure for  $\mathcal{AM}$  protocols by Babai and Moran [BM88]. That is, we first simulate  $\mathcal{AMTIME}^{[=c]}$  in  $\mathcal{AMTIME}^{[=2]}$ , carefully accounting for the time overhead, and then derandomize the latter verifier using Corollary 5.18.

**Corollary 5.19** (superfast derandomization of  $AMTIME^{[\Rightarrow c]}$ ; Theorem 1.2, restated). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that Items (1) and (2) in Corollary 5.18 are true. Then, for every polynomial T and constant  $c \in \mathbb{N}$ ,

$$pr\mathcal{AMTIME}^{[\rightleftharpoons c]}[T] \subseteq pr\mathcal{NTIME}[n \cdot T^{\lceil c/2 \rceil + \epsilon}].$$

and

$$pr\mathcal{MATIME}^{[\rightleftharpoons c]}[T] \subseteq pr\mathcal{NTIME}[T^{\lfloor c/2 \rfloor + 1 + \epsilon}]$$

Furthermore, if we only assume that  $\mathcal{L}_1$  is useful against  $\mathcal{MAM}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$ , then for every polynomial T and constant  $c \in \mathbb{N}$  it holds that  $pr\mathcal{AMTIME}_p^{[=c]}[T] \subseteq pr\mathcal{NTIME}[n \cdot T^{\lceil c/2 \rceil + \epsilon}]$  and  $pr\mathcal{MATIME}_p^{[=c]}[T] \subseteq pr\mathcal{NTIME}[n \cdot T^{\lceil c/2 \rceil + \epsilon}]$ .

**Proof.** Let us first prove the claim about  $prAMTIME^{[=c]}[T]$ , and note that the case of c = 2 was proved in Corollary 5.18. Denote by  $prAMTIME^{[=c,T']}[T]$  (resp.,  $prMATIME^{[=c,T']}[T]$ ) a protocol with c turns in which the verifier runs in time T in each turn and the prover sends T' bits in each turn. We use the following result:

**Proposition 5.19.1** (the round-reduction of [BM88] for AM; see, e.g., [Gol08, Appendix F.2.2.1, Extension]). *For every constant*  $c \ge 3$  *we have that* 

$$pr\mathcal{AMTIME}^{[\rightleftharpoons c,T']}[T] \subseteq pr\mathcal{AMTIME}^{[\rightleftharpoons \min\{c-2,2\},O(T')]}[T \cdot T'],$$
  
$$pr\mathcal{AMTIME}_{p}^{[\rightleftharpoons c,T']}[T] \subseteq pr\mathcal{AMTIME}_{p}^{[\rightleftharpoons \min\{c-2,2\},O(T')]}[T \cdot T'].$$

For  $c \ge 3$ , we can apply Proposition 5.19.1 for  $c' = \lceil c/2 \rceil - 1$  times to deduce that

$$pr\mathcal{AMTIME}^{[\rightleftharpoons c]}[T] \subseteq \mathcal{AMTIME}[O(T^{\lfloor c/2 \rfloor})]$$

and the claim follows from Corollary 5.18. (The "furthermore" part follows from the "furthermore" part of Corollary 5.18.)

The case of  $\mathcal{MATIME}^{[\rightleftharpoons c]}$ . Let  $\Pi = (Y, \mathsf{N}) \in pr\mathcal{MATIME}^{[\rightleftharpoons c]}[T]$  and let V be a corresponding T-time protocol. Let  $V_{>1}$  be the sub-protocol of V after the first turn, which takes an input  $x \in \{0,1\}^n$  to  $\Pi$  and a first prover message  $w_1 \in \{0,1\}^{T(n)}$  as input. Note that  $V_{>1}$  is an  $\mathcal{AMTIME}^{[\rightleftharpoons c-1]}[\mathcal{O}(n)]$  protocol on n + T(n) bits of input.

From the definition of  $MATIME^{[=c]}[T]$ , we have that

 $x \in \mathsf{Y} \quad \Rightarrow \quad \exists \ w \in \{0,1\}^{T(n)} \text{ s.t. } V_{>1}(x,w) \text{ accepts with probability at least } 2/3$ ,

 $x \in \mathbb{N} \implies \forall w \in \{0,1\}^{T(n)} V_{>1}(x,w)$  accepts with probability at most 1/3.

Let  $\overline{\Pi} = (\overline{Y}, \overline{N})$  such that  $(x, w) \in \overline{Y}$  if  $V_{>1}(x, w)$  accepts with probability at least 2/3, and  $(x, w) \in \overline{N}$  if  $V_{>1}(x, w)$  accepts with probability at most 1/3. Note that  $\overline{\Pi} \in pr \mathcal{AMTIME}^{[=c-1]}[O(n)]$ , and hence (by the first part of the proof), we have that  $\overline{\Pi} \in pr \mathcal{NTIME}[n^{1+\lceil (c-1)/2 \rceil + \epsilon}] = pr \mathcal{NTIME}[n^{1+\lfloor c/2 \rfloor + \epsilon}]$ .

Let M(x, w) be the corresponding nondeterministic machine that decides  $\overline{\Pi}$ . We can decide  $\Pi$  as follows: Given input  $x \in \{0,1\}^n$ , guess  $w \in \{0,1\}^{T(n)}$ , simulate M(x,w) and accept iff M accepts. Note that for  $x \in Y$ , there exists  $w \in \{0,1\}^{T(n)}$  such that  $(x,w) \in \overline{Y}$ , and hence M(x,w) accepts on this particular w. And when  $x \in N$ , for all  $w \in \{0,1\}^{T(n)}$  we have  $(x,w) \in \overline{N}$ , and thus M(x,w) rejects on all possible w. Therefore, we have that  $\Pi \in pr \mathcal{NTIME}[T^{1+\lfloor c/2 \rfloor + \epsilon}]$ .

The proof of the "furthermore" part is essentially identical, the only difference being that we observe that

 $x \in \mathsf{Y} \quad \Rightarrow \quad \exists \ w \in \{0,1\}^{T(n)} \text{ s.t. } V_{>1}(x,w) \text{ accepts with probability } 1$ ,

 $x \in \mathsf{N} \implies \forall w \in \{0,1\}^{T(n)} V_{>1}(x,w)$  accepts with probability at most 1/3,

define  $\overline{\Pi}$  accordingly and observe that  $\overline{\Pi} \in pr\mathcal{AMTIME}_{p}^{[\rightleftharpoons c-1]}[O(n)]$ , and use the "furthermore" part of the claim about  $\mathcal{AM}$ .

### 5.5 Uniform trade-offs for $\mathcal{AM} \cap co\mathcal{AM}$

In this section we prove Theorem 1.3. The main claim in the proof is the following, which shows that under the hardness assumption of Theorem 1.3, one can reduce the randomness complexity of any  $(\mathcal{AM} \cap co\mathcal{AM})\mathcal{TIME}_{p}[T]$  protocol to roughly  $\log(T(n))$ . That is:

**Proposition 5.20** (radically reducing the number of random coins of  $\mathcal{AM} \cap co\mathcal{AM}$ ). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Assume that there exists  $L \notin i.o.(\mathcal{MA} \cap co\mathcal{MA})\mathcal{TIME}^{[\rightleftharpoons 7]}[2^{(1-\delta)\cdot n}]$  such that truth-tables of L of length  $N = 2^n$  can be recognized in nondeterministic time  $N^{1+\epsilon/3}$ . Then, for every time-computable T it holds that

$$(\mathcal{AM} \cap co\mathcal{AM})\mathcal{TIME}_{p}[T] \subseteq (\mathcal{AM} \cap co\mathcal{AM})\mathcal{TIME}_{p}[T^{1+\epsilon}, (1+\epsilon) \cdot \log(T(n))].$$

Note that Theorem 1.3 follows immediately from Proposition 5.20 by enumerating all possible random choices; that is, the deterministic verifier asks the prover to send its responses to all possible  $T^{1+\epsilon}$  random challenges, checks the responses for consistency, and computes the probability that the original random verifier would have accepted.

**Proof of Proposition 5.20.** Fixing any  $L \in (\mathcal{AM} \cap co\mathcal{AM})\mathcal{TIME}_{p}[T]$ , we prove that  $L \in \mathcal{AMTIME}_{p}[T^{1+\epsilon}, (1+\epsilon) \cdot \log(T(n))]$ ; since the same argument can also be applied to the complement of L, it follows that  $L \in co\mathcal{AMTIME}_{p}[T^{1+\epsilon}, (1+\epsilon) \cdot \log(T(n))]$ .

By definition, there are two *T*-time verifiers  $V_1(x, y, z)$  and  $V_0(x, y, z)$  with |y| = |z| = T(|x|), such that the following holds for every  $x \in \{0, 1\}^n$  and  $\sigma = L(x)$ :

$$\Pr_{\substack{y \sim \mathbf{u}_{T(n)}}} [\exists z \text{ s.t. } V_{\sigma}(x, y, z) = 1] = 1 ,$$
  
$$\Pr_{\substack{y \sim \mathbf{u}_{T(n)}}} [\exists z \text{ s.t. } V_{1-\sigma}(x, y, z) = 1] \le 1/3$$

Let  $\delta_0$  be the corresponding constant from Proposition 5.1 when setting  $\epsilon_0 = \epsilon$ , and without loss of generality assume that  $\delta_0 < \epsilon_0$ .

**Construction of the new verifier** *V'*. Given input  $x \in \{0,1\}^n$ , let N = T(n). Let  $\ell = (1 + \epsilon/3 - \delta_0/10) \cdot \log N$ . *V'* guesses  $f_\ell \in \{0,1\}^{N^{1+\epsilon/3-\delta_0/10}}$  and verifies that  $f_\ell \in tt(L)$  by guessing the witness for the nondeterministic algorithm that recognizes tt(L) (otherwise *V'* rejects). *V'* then computes  $Enc(f_\ell)$  using the encoder in Theorem 3.12 with parameters  $m = |f_\ell|$  and  $\eta > 0$  that is a sufficiently small constant.

Next, we consider the following pair language

$$L_{\mathsf{pair}} = \{(1^{\ell}, \mathsf{Enc}(f_{\ell}))) : f_{\ell} \text{ is the truth-table of } L_{\mathsf{hard}} \text{ on } \ell\text{-bit inputs}\}$$

Note that  $L_{\text{pair}}$  has stretch  $K(\ell) = |\text{Enc}(f_{\ell})| = \tilde{O}(2^{\ell})$ , and is decidable in  $\mathcal{NTIME}[\tilde{T}(\ell))]$  for some  $\tilde{T}(\ell) = \tilde{O}(2^{\ell})$ . Let M be a  $\tilde{T}(\ell)$ -time nondeterministic machine such that  $(x, y) \in L_{\text{pair}}$  if and only if there exists  $w \in \{0, 1\}^{\tilde{T}(|x|)}$  such that M((x, y), w) = 1.

We apply Theorem 3.17 to  $L_{\text{pair}}$  to obtain a  $\text{poly}(\ell)$ -time verifier  $V_{\text{pair}}$  and a  $\tilde{O}(2^{\ell})$  time algorithm  $A_{\text{pair}}$ . By Theorem 3.17, for some  $r(\ell) \leq \ell + O(\log \ell)$ , and for every sufficiently large  $\ell \in \mathbb{N}$ , the followings hold:

1. For every  $w \in \{0,1\}^{\tilde{T}(\ell)}$  such that  $M((1^{\ell}, \mathsf{Enc}(f_{\ell})), w) = 1$ ,  $A_{\mathsf{pair}}(1^{\ell}, \mathsf{Enc}(f_{\ell}), w)$  outputs a proof  $\pi \in \{0,1\}^{2^{r(\ell)}}$  such that

$$\Pr[V_{\mathsf{pair}}^{\mathsf{Enc}(f_{\ell}),\pi}(1^{\ell},\mathbf{u}_{r})=1]=1.$$

2. For every  $y \in \{0,1\}^{K(\ell)}$  that has hamming distance at least  $K(\ell)/20$  from  $Enc(f_{\ell})$ , for every  $\pi \in \{0,1\}^{2^r}$  it holds that

$$\Pr[V_{\mathsf{pair}}^{\mathsf{Enc}(f_\ell),\pi}(1^\ell,\mathbf{u}_r)=1] \le 1/3.$$

Then, V' guesses  $w \in \{0,1\}^{\tilde{T}(\ell)}$  such that  $M((1^{\ell}, \mathsf{Enc}(f_{\ell})), w) = 1$  (V' reject immediately if this does not hold), computes  $\pi_{\ell}^w = A_{\mathsf{pair}}(1^{\ell}, \mathsf{Enc}(f_{\ell}), w)$ , which has length  $2^{r(\ell)}$ . Now we define

$$\Lambda_{\ell}^{w} = |\mathsf{Enc}(f_{\ell})| \circ \pi_{\ell} \circ 0^{N^{1+\epsilon/3} - |\mathsf{Enc}(f_{\ell})| - |\pi_{\ell}^{w}|}.$$

Note that  $|\Lambda_{\ell}^{w}| = N^{1+\epsilon/3}$ . Consider the generator *G* from Proposition 5.1 with  $\epsilon_{0} = \epsilon$ , input  $1^{N}$ , and oracle access to  $\Lambda_{\ell}$ , and denote its list of outputs by  $s_{1}^{w}, \ldots, s_{N^{1+\epsilon}}^{w} \in \{0,1\}^{N}$ . The new verifier *V'* chooses a random  $i \in [N^{1+\epsilon}]$  and simulates  $V_{1}$  at input *x* with random coins  $s_{i}^{w}$ . Note that this verifier indeed runs in time  $O(N^{1+\epsilon})$ . For notational convenience, we now denoted the set of all accepted *w* by

$$\mathcal{W} = \{ w : w \in \{0,1\}^{T(\ell)} \land M((1^{\ell}, \mathsf{Enc}(f_{\ell})), w) = 1 \}.$$

We note that  $|\mathcal{W}| \geq 1$  since *M* decides  $L_{pair}$ .

**The reconstruction argument.** Assume towards a contradiction that V' fails to solve L. Since  $V_1$  has perfect completeness and  $|W| \ge 1$ , V' can only make mistakes when  $x \notin L$ . In particular, there exist  $x \in \{0,1\}^n$  and  $w^* \in W$ :

$$x \notin L \wedge \Pr_{i \in [N^{1+\epsilon}]} [\exists \omega : V_1(x, s_i^{w^*}, \omega) = 1] > .5.$$
(5.2)

Denote by  $D_x$ :  $\{0,1\}^N \to \{0,1\}$  the function  $D_x(z) = 1 \iff \exists \omega : V_1(x,z,\omega) = 1$ . From now on, we will use  $\Lambda_\ell$  to denote  $\Lambda_\ell^{w^*}$  for simplicity.

We first design an  $\mathcal{MATIME}^{[\rightleftharpoons 7]}$  protocol  $\Pi_1$  for  $f_\ell$ . Let  $\tau = c_0 \cdot \log N$  for a big enough constant  $c_0 > 1$ . Given an input  $\mu \in [|f_\ell|]$ , our protocol acts as follows. (See Figure 1 for a visual diagram of the protocol.)

- 1. The prover sends a (supposedly bad) input  $x \in \{0,1\}^n$ . From now on, we consider the reconstruction algorithm *R* from Proposition 5.1 with oracle access to  $D_x$ . Let  $\bar{t} = N^{\epsilon_0/10}$  be the number of parallel queries *R* makes, and let  $s, \alpha \in \mathbb{N}$  be the parameters from Proposition 5.1.
- 2. The verifier draws  $h \sim \mathbf{h}$  (**h** is defined in Proposition 5.1) and  $\tau$  queries  $z_1, z_2, \ldots, z_{\tau} \in \{0,1\}^N$  uniformly at random, and sends them to the prover. Note that  $h \in \{0,1\}^{|f_\ell|^{1-2\delta_0}}$ .
- 3. The prover sends an advice  $adv \in \{0,1\}^{|f_\ell|^{1-2\delta_0}}$ , together with witnesses  $\omega_1, \omega_2, \ldots, \omega_{\tau} \in \{0,1\}^N$ .
- 4. The verifier then performs the following:
  - (a) It rejects immediately if

$$\Pr_{i\in[\tau]}[V_0(x,z_i,\omega_i)=1] \le 1/2.$$

- (b) Otherwise, it draws α<sub>1</sub>, α<sub>2</sub>,..., α<sub>τ</sub> ∈ {0,1}<sup>r(ℓ)</sup>, and then simulates V<sub>pair</sub>(1<sup>ℓ</sup>, α<sub>i</sub>) for each i ∈ [τ] to obtain a list of polylog(ℓ) queries to the input oracle (which it hopes will be Enc(f<sub>ℓ</sub>)) and to the proof oracle (which it hopes will be π). We can view those queries as queries q ∈ [N<sup>1+ε/3</sup>] to some values of Λ<sub>ℓ</sub>.<sup>39</sup>
- (c) The verifier also simulates the local decoder for Enc with input  $\mu$ , which issues  $|f_{\ell}|^{\eta}$  many queries  $\beta_1, \beta_2, \ldots, \beta_{|f_{\ell}|^{\eta}} \in [|\text{Enc}(f_{\ell})|]$ . Again, we view all these  $|f_{\ell}|^{\eta}$  queries as queries  $q \in [N^{1+\epsilon/3}]$  to some values of  $\Lambda_{\ell}$ .
- (d) To summarize, in this turn, the verifier obtained  $N_q = O(|f_\ell|^\eta)$  many queries  $q_1, \ldots, q_{N_q} \in [N^{1+\epsilon/3}]$  and sent them to the prover.
- 5. For every  $i \in [N_q]$ , the prover sends a witness  $w_i \in \{0,1\}^{|f^\ell|^{1-\delta_0}}$ , which is supposed to be the witness for the execution of *R* on  $q_i$ .
- 6. For every  $i \in [N_q]$ , the verifier simulates R on input  $q_i$  with witness  $w_i$  with fresh random coins  $\gamma_i$ , obtains queries  $z_{i,1}, \ldots, z_{i,\bar{t}} \in [N]$ , and sends them to the prover.
- 7. For every  $i \in [N_q]$  and  $j \in [\bar{t}]$ , the prover sends a witness  $\omega_{i,j} \in \{0,1\}^N$ , which is supposed to be the witness for  $D_x(z_{i,j})$ .

<sup>&</sup>lt;sup>39</sup>More formally, querying the *i*-th bit of the proof oracle corresponding to  $\text{Enc}(f_{\ell})$  translates to querying  $(\Lambda_{\ell})_i$ , and querying the *i*-th bit of the proof oracle corresponding to  $\pi$  translates to querying  $(\Lambda_{\ell})_{i+|\text{Enc}(f_{\ell})|}$ .



Figure 1: An illustration of the  $MATIME^{[=7]}$  protocol for  $f_{\ell}$  in the reconstruction argument. The verifier *V* is on the left-hand side and the prover *P* is on the right-hand side, and observe that the prover speaks first.

- 8. (Deterministic step.) Finally, the verifier performs the following verifications:
  - (a) For every  $i \in [N_q]$ , it constructs the sequence  $\rho_i \in \{0,1\}^{\overline{t}}$  such that  $(\rho_i)_j = V_1(x, z_{i,j}, \omega_{i,j})$  for every  $j \in [\overline{t}]$ . If for any  $i \in [N_q]$ ,  $\rho_i$  is  $(s, \alpha)$ -deficient, then it immediately rejects.
  - (b) Otherwise, for every  $i \in [N_q]$ , let  $d_i \in \{0,1\}^{\overline{t}}$  be such that  $(d_i)_j = D_x(z_{i,j})$  for every  $j \in [\overline{t}]$ . We know that  $\rho_i$  is  $(s, \alpha)$ -indicative of  $d_i$ .<sup>40</sup> The verifier then finishes the executions of R on all the  $q_i$ 's, and rejects immediately if it gets  $\bot$  form any of these executions. Next, the verifier uses the obtained values to finish the simulation of  $V_{\text{pair}}(1^\ell, \alpha_i)$  for every  $i \in [\tau]$ , and rejects immediately if any of the  $V_{\text{pair}}(1^\ell, \alpha_i) = 0$ . Finally, the verifier finishes the simulation of the local decoder for Enc to obtain an output  $\omega \in \{0, 1\}$ , and accepts iff  $\omega = 1$ .

**Completeness.** For every  $\mu \in [|f_{\ell}|]$  such that  $(f_{\ell})_{\mu} = 1$ , we will show that there exists a prover strategy in the protocol  $\Pi_1$  such that the verifier accepts, with high probability. In Step (1) the prover sends the ("bad") input  $x \in \{0,1\}^n$  such that (5.2) holds. Since  $x \notin L$ , we have that  $\Pr[D_x(\mathbf{u}_N) = 1] \leq 1/3$  and that  $\Pr_{z \sim \mathbf{u}_N}[\exists \omega V_0(x, z, \omega) = 1] = 1$ . Therefore, in Step (3), the prover can always send  $\omega_1, \ldots, \omega_{\tau}$  so that the verifier does not reject in Step (4a) in  $\Pi_1$ .

By the completeness case of the "Honest oracle" part of Proposition 5.1, and since Eq. (5.2) holds, with probability 1 - 1/N over  $h \sim \mathbf{h}$ , there exists  $adv \in \{0,1\}^{|f_\ell|^{1-2\delta_0}}$ 

<sup>&</sup>lt;sup>40</sup>This holds since for every  $j \in [\bar{t}]$   $(\rho_i)_j = 1$  implies  $(d_i)_j = 1$  by the definition of  $\rho_i$  and  $D_x$ .

such that by sending adv to the verifier in Step (3), the following holds: Given correct witnesses in Step (5), with probability at least  $1 - O(N_q)/N$ , for all of the verifier's  $O(N_q)$  simulations of R, given correct witnesses in Step (7), the verifier obtains the correct values in  $\Lambda_{\ell}$ . In particular, it means that  $V_{\text{pair}}(1^{\ell}, \alpha_i) = 1$  for all  $i \in [\tau]$ , and the local decoder returns the correct value  $(f_{\ell})_{\mu} = 1$ . Putting the above together, the verifier accepts with probability at least 2/3.

**Soundness.** Let  $\mu \in [|f_{\ell}|]$  such that  $(f_{\ell})_{\mu} = 0$ . We first note that if the prover sends  $x \in L$  in Step (1), then we have  $\Pr_{z \sim u_N}[\exists \omega V_0(x, z, \omega) = 1] \leq 1/3$ , meaning that with probability at least 1 - 1/N, the verifier rejects in Step (4a), no matter what witnesses  $\omega_1, \ldots, \omega_{\tau}$  it receives in Step (3). Therefore, we can assume that  $x \notin L$  in Step (1) and that the verifier does not reject immediately in Step (4a). In particular, since  $x \notin L$ , we have that  $\Pr_{z \sim u_N}[D_x(z)] \leq 1/3$ .

Now, by the "Dishonest oracles" case of Proposition 5.1, with probability 1 - 1/N over  $h \sim \mathbf{h}$ , for every possible adv sent by the prover in Step (3), there exists  $g \in \{0,1\}^{N^{1+\epsilon/3}}$  such that the following holds for every  $i \in [N_q]$ : for every possible  $w_i$  sent by the prover in Step (5), with probability at least 1 - 1/N over the verifier's randomness  $\gamma_i$  drawn in Step (6), either the verifier rejects in Step (8a), or the simulated  $R(q_i, w_i)$  in Step (8b) given advice (h, adv) outputs either  $g(q_i)$  or  $\bot$  (this holds since  $\rho_i$  in in Step (8) is either  $(s, \alpha)$ -deficient, in which case the verifier rejects, or  $(s, \alpha)$ -indicative of  $d_i$ , in which case  $R(q_i, w_i)$  outputs either  $g(q_i)$  or  $\bot$ ).

By the above discussions and a union bound, with probability at least  $1 - O(N_q)/N$ , at Step (8b), either the verifier rejects (meaning that some of  $R(q_i, w_i)$  outputs  $\perp$ ), or all the simulated  $R(q_i, w_i)$  outputs  $g(x_i)$ . We will denote the above as event  $\mathcal{E}$ .

From now on we condition on the event  $\mathcal{E}$  and we assume that the verifier does not reject in Step (8a). Let y be the first  $|\text{Enc}(f_{\ell})|$  bits of g, and  $\pi$  be the next  $|\pi_{\ell}|$  bits of g. Note that g is already determined by the end of Step (3) and hence the verifier's randomness  $\alpha_1, \ldots, \alpha_{\tau}$  in Step (4) is independent of g. Hence, if y has Hamming distance at least  $|\text{Enc}(f_{\ell})|/20$  from  $\text{Enc}(f_{\ell})$ , then with probability at least 1 - 1/N,  $V_{\text{pair}}^{y,\pi}(1^{\ell}, \alpha_i) = 0$  for at least one  $i \in [\tau]$ , and the verifier rejects in Step (8b).<sup>41</sup> Hence, we can assume that y has hamming distance at most  $|\text{Enc}(f_{\ell})|/20$  from  $\text{Enc}(f_{\ell})$ . By Theorem 3.12, the local decoder returns the correct value  $(f_{\ell})_{\mu} = 0$  with probability at least 1 - 1/N, and the verifier rejects at the end. Putting everything together, the verifier rejects with probability at least 2/3.

**Protocol**  $\Pi_0$  for the complement of  $f_\ell$ . Finally, we modify  $\Pi_1$  to obtain another  $\mathcal{MATIME}^{[=7]}$  protocol  $\Pi_0$ . The only difference between  $\Pi_0$  and  $\Pi_1$  is that at Step (8b) of  $\Pi_0$ , the verifier in  $\Pi_0$  accepts if and only if  $\omega = 0$  instead of  $\omega = 1$ . The completeness and soundness of  $\Pi_0$  for computing the complement of  $f_\ell$  follow from the same proof as that for  $\Pi_1$ .

<sup>&</sup>lt;sup>41</sup>Here we crucially used the fact that *g* (and thus *y* and  $\pi$ ) is fixed before the verifier draws the  $\alpha_i$ 's.

**Running time of the protocols**  $\Pi_1$  **and**  $\Pi_0$ . Finally, we bound the running time of the verifier in  $\Pi_1$  (and hence also in  $\Pi_0$ ), as follows:

$$\underbrace{\tilde{O}\left(|\Lambda_{\ell}|^{1-2\delta_{0}}\right)}_{\text{Step (2)}} + \underbrace{\tilde{O}(N) + \text{polylog}(N) + |f_{\ell}|^{\eta}}_{\text{Steps (4a)+ (4b)+ (4c)}} + \underbrace{O(N_{q} \cdot |\Lambda_{\ell}|^{1-\delta_{0}})}_{\text{Step (6)}} \\ + \underbrace{O(N_{q} \cdot N \cdot \bar{t})}_{\text{Step (8a)}} + \underbrace{O(N_{q} \cdot |\Lambda_{\ell}|^{1-\delta_{0}} + \text{polylog}(N) + |f_{\ell}|^{\eta})}_{\text{Step (8b)}} \\ \leq N_{q} \cdot O(N \cdot \bar{t} + |\Lambda_{\ell}|^{1-\delta_{0}}) \\ = |f_{\ell}|^{\eta} \cdot O(N^{1+\epsilon_{0}/10} + |\Lambda_{\ell}|^{1-\delta_{0}}),$$

and this can be made smaller than  $|\Lambda_{\ell}|^{1-\delta}$  by choosing  $\eta$  and  $\delta$  to be sufficiently small. This contradicts the hardness of  $f_{\ell}$ .

# 6 Optimality under #NSETH

In this section we prove that the derandomization conclusions in Theorems 1.1 and 1.2 are essentially optimal, under the assumption #NSETH. First we lower bound the derandomization overhead of protocols in which the prover speaks first (i.e., of MA and  $MATIME^{[=c]}$ ), as follows:

**Theorem 6.1** (a lower bound on derandomization of  $MATIME^{[\Rightarrow c]}$ , under #NSETH). Suppose that #NSETH holds. Then, for every integer  $c \ge 2$  and real number  $d \ge 1$  and  $\epsilon \in (0,1)$ , letting  $T(n) = n^d$ , it holds that

$$\mathcal{MATIME}^{[\rightleftharpoons c]}[T] \not\subseteq \mathcal{NTIME}[T^{\lfloor c/2 \rfloor + 1 - \epsilon}]$$
 .

**Proof.** Without loss of generality we can assume  $\epsilon \in (0, 0.01)$ . For the sake of contradiction, we assume that

$$\mathcal{MATIME}^{[\rightleftharpoons c]}[T] \subseteq \mathcal{NTIME}[T^{\lfloor c/2 \rfloor + 1 - \epsilon}].$$

We instantiate Theorem 3.18 with  $k = \lfloor c/2 \rfloor$  and  $\delta = \frac{1}{k+1}$ , in which case  $\gamma = \frac{1}{k+1}$ . It follows that there is an  $\mathcal{MATIME}^{[\rightleftharpoons 2k]}[2^{n/(k+1)+o(n)}]$  protocol  $\Pi$  that computes the number of satisfying assignment to a formula *C* with  $2^{o(n)}$  size and *n* bits input.

We first define a decisional  $MATIME^{[=2k]}$  protocol  $\Pi^{D}$ , such that  $\Pi^{D}(C, z) = 1$  for an *n*-input formula *C* and an integer  $z \in \{0, 1, ..., 2^n\}$ , if the number of satisfying assignments to *C* is *z*. (Indeed,  $\Pi^{D}$  can be constructed by simply simulating  $\Pi$  on the input *C* and only accepting if  $\Pi$  accepts and the accepted output is *z*.)

Now we pad the input of the protocol  $\Pi^{\mathsf{D}}$  to be of length  $N = 2^{\frac{n(1+\tau)}{(k+1)d}}$  for a sufficiently small constant  $\tau \in (0,1)$  to be specified later. Then, the running time of the protocol  $\Pi^{\mathsf{D}}$  is bounded by  $2^{n/(k+1)+o(n)} \leq 2^{(1+\tau)n/(k+1)} = T(N)$ . Hence, by our assumption,  $\Pi^{\mathsf{D}}$  has a  $T(N)^{k+1-\epsilon} = 2^{\frac{(1+\tau)n(k+1-\epsilon)}{(k+1)}}$  time nondeterministic algorithm  $M_{\mathsf{D}}$ .

Setting  $\tau = \epsilon/4(k+1)$ , it holds that  $\frac{(1+\tau)n(k+1-\epsilon)}{(k+1)} < (1-\tau) \cdot n$ . Now we can construct a nondeterministic algorithm refuting #NSETH as follows: given a formula  $C: \{0,1\}^n \to \{0,1\}$  of size  $2^{o(n)}$ , guess  $z \in \{0,1,\ldots,2^n\}$ , simulate  $M_D$  on input (C,z), output z if  $M_D$  accepts and  $\bot$  otherwise. By the above discussion, this is a nondeterministic algorithm that counts the number of solutions to n-bit formulas of size  $2^{o(n)}$  in time  $2^{(1-\tau)\cdot n}$ , a contradiction to #NSETH.

By a more careful argument, we now lower bound the derandomization overhead of protocols in which the verifier speaks first (i.e., of  $AMTIME^{[=c]}$ ), as follows:

**Theorem 6.2** (a lower bound on derandomization of  $AMTIME^{[=c]}$ , under #NSETH). Suppose that #NSETH holds. Then, for every integer  $c \ge 2$  and real number  $d \ge 1$  and  $\epsilon \in (0,1)$ , letting  $T(n) = n^d$ , it holds that

$$\mathcal{AMTIME}^{[\rightleftharpoons c]}[T] \not\subseteq \mathcal{NTIME}[n \cdot T^{\lceil c/2 \rceil - \epsilon}].$$

**Proof.** Without loss of generality we can assume  $\epsilon \in (0, 0.01)$ . For the sake of contradiction, we assume that

$$\mathcal{AMTIME}^{[\rightleftharpoons c]}[T] \subseteq \mathcal{NTIME}[n \cdot T^{\lceil c/2 \rceil - \epsilon}]$$
 .

We instantiate Theorem 3.18 with  $k = \lceil c/2 \rceil$  and  $\delta = \frac{1}{dk+1}$  and  $\gamma = (1 - \delta)/k$ . Note that since  $d \ge 1$ , we have  $\delta \le \gamma$ . It follows that there is an  $MATIM\mathcal{E}^{[\Longrightarrow 2k]}[2^{\gamma \cdot n + o(n)}]$  protocol  $\Pi$  that computes the number of satisfying assignment to a formula *C* with  $2^{o(n)}$  size and *n* bits input, and the first message of  $\Pi$  has length  $2^{\delta \cdot n + o(n)}$ .

As in the proof of Theorem 6.1, we first define a decisional  $MATIME^{[=2k]}$  protocol  $\Pi^{D}$ , such that  $\Pi^{D}(C,z) = 1$  for an *n*-input formula *C* and an integer  $z \in \{0, 1, ..., 2^n\}$ , if the number of satisfying assignments to *C* is *z*. We note that the prover messages of the honest prover in  $\Pi^{D}$  are identical to those in  $\Pi$ . Furthermore, by the moreover part of Theorem 3.18, the first message of  $\Pi^{D}$  is such that the acceptance probability of the subsequent protocol is either 1 or at most 1/3. (Indeed,  $\Pi^{D}$ inherits this property from  $\Pi$ .)

Let  $\Pi_{>1}^{D}$  be the sub-protocol of  $\Pi^{D}$  after the first message and let  $\ell(n) = 2^{(1+\tau)\cdot\delta n}$ , where  $\tau \in (0,1)$  is a small enough constant to be specified later. We define a new language L' such that  $L'(x, \pi, 1^{\ell(n)-|x|-|\pi|}) = 1$  if  $\Pi_{>1}^{D}$  accepts the input/first-message pair  $(x, \pi)$  with probability 1, and  $L'(x, \pi, 1^{\ell(n)-|x|-|\pi|}) = 0$  if  $\Pi_{>1}^{D}$  accepts  $(x, \pi)$  with probability at most 1/3. Note that (by the discussion above) the problem L' is indeed a language (i.e., a total function rather than a promise problem), and L' can be decided by  $\Pi_{>1}^{D}$ , which is an  $AMTIM\mathcal{E}^{[\Longrightarrow 2k-1]}$  protocol with running time  $2^{\gamma\cdot n+o(n)}$ . Note that  $\Pi_{>1}^{D}$  takes  $\ell(n)$  bits as input, and that  $\ell(n)^{d} = 2^{(1+\tau)\delta\cdot d\cdot n} > 2^{\gamma\cdot n+o(n)}$ 

Note that  $\Pi_{\geq 1}^{\mathsf{D}}$  takes  $\ell(n)$  bits as input, and that  $\ell(n)^d = 2^{(1+\tau)\delta \cdot d \cdot n} > 2^{\gamma \cdot n + o(n)}$  by the definition of  $\delta$  and  $\gamma$ . Hence, the language  $L' \in \mathcal{AMTIME}^{[\rightleftharpoons c]}[T]$ . By our assumption,  $L' \in \mathcal{NTIME}[n \cdot T^{k-\epsilon}]$ .

Now we construct an algorithm that refutes #NSETH: Given an *n*-bit formula *C* of size  $2^{o(n)}$ , guess  $z \in \{0, 1, ..., 2^n\}$ , guess a proof  $\pi$  of length  $2^{\delta \cdot n + o(n)}$ , outputs z if  $L'((C, z), \pi, 1^{\ell(n) - |(C, z)| - |\pi|}) = 1$ , and outputs  $\bot$  otherwise. This nondeterministic algorithm indeed counts the number of satisfying assignments, and its running time is at most

$$\ell(n) \cdot \ell(n)^{d(k-\epsilon)} = \ell(n)^{d(k-\epsilon)+1} = 2^{\frac{(1+\tau) \cdot (d(k-\epsilon)+1)}{dk+1} \cdot n} < 2^{(1-\Omega(1)) \cdot n}$$

where the last inequality follows by setting  $\tau$  to be small enough. This is a contradiction to #NSETH.

## 7 Deterministic effective argument systems

In this section we prove the results from Section 1.2 concerning derandomization of doubly efficient proof systems; that is, we prove Theorems 1.5, 1.7 and 1.8.

Let us first set up some preliminaries. The derandomization algorithms in this section will be *non-black-box*, and in particular will use the following construction of a *reconstructive targeted HSG* from the recent work [CT21a].

**Theorem 7.1** (a reconstructive targeted HSG, see [CT21a, Proposition 6.2]). For every  $\alpha', \beta' > 0$  and sufficiently small  $\eta = \eta_{\alpha',\beta'} > 0$  the following holds. Let  $\overline{T}, k: \mathbb{N} \to \mathbb{N}$  be time-computable functions such that  $\overline{T}(N) \ge N$ , and let  $g: \{0,1\}^N \to \{0,1\}^k$  (where k = k(N)) such that the mapping of  $(x,i) \in \{0,1\}^N \times [k]$  to  $g(x)_i$  is computable in time  $\overline{T}(N)$ . Then, there exists a deterministic algorithm  $G_g$  and a probabilistic algorithm Rec that for every  $z \in \{0,1\}^N$  satisfy the following:

- 1. Generator. When  $G_g$  gets input z and  $\eta > 0$ , it runs in time  $k \cdot \overline{T}(N) + \text{poly}(k)$  and outputs a list of poly(k) strings in  $\{0,1\}^{k^{\eta}}$ .<sup>42</sup>
- 2. **Reconstruction.** When Rec gets as input z and  $\eta > 0$ , and gets oracle access to a function  $D_z: \{0,1\}^{k^{\eta}} \to \{0,1\}$  that  $(1/k^{\eta})$ -distinguishes the uniform distribution over the output-list of  $G_g(z,\eta)$  from a uniform  $k^{\eta}$ -bit string, it runs in time  $\tilde{O}(k^{1+\beta'}) + k^{\beta'} \cdot \bar{T}(N)$ , makes  $\tilde{O}(k^{1+\beta'})$  queries to  $D_z$ , and with probability at least  $1 2^{-k^{\eta}}$  outputs a string that agrees with g(z) on at least  $1 \alpha'$  of the bits.

The reconstruction algorithm above can be thought of as *approximately printing* the string g(z) (i.e., printing a string that agrees with g(z) on at least  $1 - \alpha'$  of the bits). For convenience, we define the following corresponding notion of hardness, which is *failing* to approximately print.

**Definition 7.2** (failing to approximately print). We say that a probabilistic algorithm M fails to approximately print a function  $f: \{0,1\}^n \to \{0,1\}^*$  with error  $\alpha$  on a given string  $x \in \{0,1\}^n$  if  $\Pr[M(x)_i = f(x)_i] \le 1 - \alpha$ , where the probability is over  $i \in [|f(x)|]$  and over the random coins of M. We will use shorthand notation and say that M fails to approximately print f(x) with error  $\alpha$ .

## 7.1 Warm-up: The case of an MA-style system

Towards presenting our result, we first present an appealing special case whose proof is far less involved. Denote by  $de \mathcal{IP}_{MA}^{[=2]}[T]$  a doubly efficient proof system in which the prover speaks first, sending a proof  $\pi$ , and then the verifier tosses random coins and decides whether to accept or reject the input x with the proof  $\pi$ . Under suitable hardness assumptions, we simulate  $de \mathcal{IP}_{MA}^{[=2]}$  by deterministic effective argument systems, with essentially no time overhead.

**Theorem 7.3** (derandomizing de $\mathcal{IP}_{MA}^{[\Rightarrow 2]}$  into deterministic effective argument systems, with almost no overhead). Suppose that non-uniformly secure one-way functions exist. Let T(n) be any polynomial, and assume that for every  $\epsilon' > 0$  there exist  $\alpha, \beta \in (0,1)$  and a function  $f = f^{(\epsilon')}$  mapping n + T(n) bits to  $k(n) = n^{\epsilon'}$  bits such that:

- 1. There exists an algorithm that gets input  $((x, \pi), i) \in \{0, 1\}^{n+T} \times [n^{1+\epsilon'}]$  and outputs the *i*<sup>th</sup> bit of  $f(x, \pi)$  in time  $\overline{T} = T(n) \cdot k$ .
- 2. For every probabilistic algorithm M running in time  $\overline{T} \cdot n^{\beta}$  and every distribution **P** over  $\{0,1\}^{n+T}$  that is samplable in polynomial time, with probability at least  $1 n^{-\omega(1)}$  over  $(x, \pi) \sim \mathbf{P}$  it holds that M fails to approximately print  $f(x, \pi)$  with error  $\alpha$ .

Then, for every  $\epsilon > 0$  it holds that  $de \mathcal{IP}_{MA}^{[=2]}[T] \subseteq de \mathcal{ARG}[n^{\epsilon} \cdot T]$ .

 $<sup>^{42}</sup>$ The fact that the number of strings is poly(*k*) is not mentioned in the original statement in [CT21a, Proposition 6.2], but this is just an omission. This fact is established in the proof of the proposition and the applications of the proposition rely on it.

**Proof.** Let  $L \in de \mathcal{IP}_{MA}^{[\rightleftharpoons 2]}[T]$ , let *V* be a corresponding verifier for *L*, and let

$$Y_{V} = \left\{ (x, \pi) : \Pr_{r}[V(x, \pi, r) = 1] \ge 2/3 \right\}$$
$$N_{V} = \left\{ (x, \pi) : \Pr_{r}[V(x, \pi, r) = 1] \le 1/3 \right\},$$

where in the expressions  $V(x, \pi, r)$  above *x* is an input and  $\pi$  is a proof and *r* is a random string. Note that the promise-problem ( $Y_V, N_V$ ) can be decided in probabilistic linear time.

Let  $\epsilon' = \epsilon/c$  for a sufficiently large constant c > 1, and let  $k(n) = n^{\epsilon'}$ . Let  $f = f^{(\epsilon')}$  be the corresponding function from our hypothesis, and note that the upper bound in the first item is  $\overline{T} = T \cdot k$ , whereas the lower bound in the second item is  $\overline{T} \cdot k^{1+\beta}$ .

First step: Reduce the number of random coins to  $n^{\eta}$ . For a sufficiently small constant  $\overline{\mu = \mu(\epsilon')} > 0$  that will be determined later, let  $G^{\text{crypto}}$  be the PRG from Theorem 3.16, instantiated with stretch  $n^{\mu} \mapsto T(n)$ . Consider the verifier V' that uses only  $n^{\mu}$  coins and is defined by  $V'(x, \pi, s) = V(x, \pi, G^{\text{crypto}}(s))$ . Note that for every fixed  $x, \pi$  we have that  $\Pr_s[V'(x, \pi, s) = 1] \in \Pr_r[V(x, \pi, r) = 1] \pm n^{-\omega(1)}$ . Hence,  $\mathsf{Y}_{V'} = \mathsf{Y}_V$ , where  $\mathsf{Y}_{V'} \stackrel{\text{def}}{=} \{(x, \pi) : \Pr_r[V'(x, \pi, r) = 1] \ge .66\}$ , and similarly  $\mathsf{N}_{V'} = \mathsf{N}_V$  where  $\mathsf{N}_{V'} \stackrel{\text{def}}{=} \{(x, \pi) : \Pr_r[V'(x, \pi, r) = 1] \le .33\}$ . The running time of V' is  $O(T^{1+\mu})$  and its number of random coins is  $n^{\mu}$ .

Main step: Targeted PRG using the transcript as a source of hardness. Now, let *D* be the following deterministic verifier. On input  $x \in \{0,1\}^n$  and proof  $\pi \in \{0,1\}^T$ , consider the generator from Theorem 7.1, instantiated with parameters

$$\begin{split} N &= n + T , & \bar{T}(N) = T(n) \cdot k , \\ g &= f^{\epsilon} \colon \{0,1\}^N \to \{0,1\}^{n^{1+\epsilon'}} , & \text{sufficiently small } \alpha', \beta', \eta ; \end{split}$$

we now also fix the parameter  $\mu$  of  $G^{\text{crypto}}$  above to be such that  $k^{\eta} = n^{\mu}$ . The verifier D runs  $G_g$  to obtain a set of  $k \cdot \overline{T} + \text{poly}(k) \leq T \cdot \text{poly}(k)$  strings of length  $n^{\mu}$  denoted  $s_1, \ldots, s_{T \cdot \text{poly}(k)}$  and outputs  $\text{MAJ}_{i \in [T \cdot \text{poly}(k)]} \{V'(x, \pi, s_i)\}$ . Assuming that the constant c > 1 is sufficiently large, the running time of this algorithm is at most  $T \cdot n^{\epsilon}$ .

Analysis. The honest prover for *D* is identical to that of *V*. We now show an algorithm  $\overline{F}$  that runs in time  $\overline{T} \cdot n^{\beta}$ , and for every fixed  $(x, \pi) \in Y_V$  such that  $D(x, \pi) = 0$  it holds that  $F(x, \pi)$   $\alpha$ -approximates  $f(x, \pi)$ . By a symmetric argument (which is identical and omitted), there exists another algorithm *F'* with precisely the same guarantee for any  $(x, \pi) \in N_V$  such that  $D(x, \pi) = 1$ . By our hypothesis, for every polynomial-time samplable distribution **P** over  $\{0, 1\}^{n+T}$ , with probability at least  $1 - n^{-\omega(1)}$  over choice of  $(x, \pi) \sim \mathbf{P}$  both algorithms *F* and *F'* fail to  $\alpha$ -approximate  $f(x, \pi)$ . Hence, the probability that a probabilistic polynomial-time algorithm can find  $(x, \pi)$  such that  $D(x, \pi)$  errs is at most  $n^{-\omega(1)}$ .

Thus, it is left to construct the algorithm *F*. Fix  $(x, \pi) \in Y_V$  such that  $D(x, \pi) = 0$ , and denote by  $D_{x,\pi}: \{0,1\}^{k''} \to \{0,1\}$  the function  $D_{x,\pi}(r) = V(x,\pi,r)$ . By our assumption  $D_{x,\pi}$  is a (1/10)-distinguisher for the uniform distribution over the outputset of  $G_g$ . We invoke the reconstruction Rec from Theorem 7.1; the running time of algorithm, accounting for answering its  $\tilde{O}(k^{1+\beta'})$  queries to  $D_{x,\pi}$ , is

$$\tilde{O}(k^{1+\beta'}) + k^{\beta'} \cdot \bar{T}(n) + \tilde{O}(k^{1+\beta'}) \cdot T < \tilde{O}(T \cdot k \cdot k^{\beta'}) < \bar{T} \cdot n^{\beta},$$

for a sufficiently small choice of  $\beta'$ ; and with probability  $1 - o(1) > 1 - \alpha/2$  the reconstruction outputs a string string that agrees with  $f(x, \pi)$  on at least  $1 - \alpha' > 1 - \alpha/2$  of the bits, for a sufficiently small  $\alpha' > 0$ .

Note that the proof above works as-is even if the initial de $\mathcal{IP}_{MA}^{[\rightleftharpoons 2]}$  system has imperfect completeness.

#### 7.2 Basic case: Doubly efficient proof systems with few random coins

The main goal in this section is to state and prove Theorem 1.5, which asserts that under strong hardness assumptions, we can simulate every  $de \mathcal{IP}^{[=c]}$  protocol by a deterministic effective argument system, with essentially no time overhead.

In Section 7.2.1 we restate Theorem 1.5 and discuss its hypothesis, and then in Section 7.2.2 we prove the result. In Section 7.2.3 we state and prove a strong version of the result that holds for effective proof systems that have an efficient universal prover, and in Section 7.3 we deduce Theorem 1.7 as a corollary of the latter.

#### 7.2.1 The result statement and a discussion of the hypothesis

Let us restate Theorem 7.4, which deduces that  $de \mathcal{IP}^{[\Rightarrow c]}[T, n^{o(1)}] \subseteq de \mathcal{ARG}[T \cdot n^{o(1)}]$  under a hardness hypothesis, and then discuss the latter hypothesis.

**Theorem 7.4** (derandomizing constant-round doubly efficient proof systems into deterministic effective argument systems, with almost no overhead; Theorem 1.5, restated). For every  $\alpha, \beta \in (0,1)$  there exists  $\eta > 0$  such that the following holds. Let  $c \in \mathbb{N}$  be a constant, let T(n) be a time bound, and let R(n) < T(n) be time-computable. Assume that there exists  $f: \{0,1\}^* \to \{0,1\}^*$  that for every  $n \in \mathbb{N}$  maps  $N(n) = n + c \cdot T(n)$  bits to  $K(n) = R(n)^{1/\eta}$  bits and satisfies:

- 1. There exists a deterministic algorithm that gets input  $(z,i) \in \{0,1\}^{N(n)} \times [K(n)]$  and outputs the *i*<sup>th</sup> bit of f(z) in time  $\overline{T}(n) = T(n) \cdot K(n)$ .
- 2. For every probabilistic oracle machine M running in time  $\overline{T} \cdot K^{\beta}$  and making oracle queries of length O(T) to  $pr \mathcal{AMTIME}^{[\rightleftharpoons c]}[n]$ ,<sup>43</sup> and every collection  $\mathbf{z} = \left\{ \mathbf{z}_{N(n)} \right\}_{n \in \mathbb{N}}$  of distributions such that  $\mathbf{z}_{N(n)}$  is over  $\{0,1\}^{N(n)}$  and can be sampled in time polynomial in  $\overline{T}(n)$ , and every sufficiently large  $n \in \mathbb{N}$ , with probability at least  $1 \overline{T}(n)^{-\omega(1)}$  over choice of  $z \sim \mathbf{z}_{N(n)}$  it holds that  $M^{pr\mathcal{AMTIME}}^{[\rightleftharpoons c]}[n](z)$  fails to approximately print f(z) with error  $\eta(n)$ .

Then,

$$\mathsf{de}\mathcal{IP}^{[\rightleftharpoons c]}[T,R] \subseteq \mathsf{de}\mathcal{ARG}[T \cdot R^{O(c/\eta)}],$$

where the O-notation hides a universal constant.

To discuss the hardness hypothesis, let us think of the parameter value  $R = T^{\delta}$  for some tiny constant  $\delta > 0$ , which will be the value we use in the proofs of Theorems 1.7 and 1.8. As mentioned in Section 1.2, the crux of the hardness hypothesis is a nonbatch-computability phenomenon. Loosely speaking, the hypothesis is that for some function *f* from *T* bits to  $K = T^{O(\delta)}$ ,

1. Each output bit of *f* can be computed in time  $\overline{T}$  (where  $\overline{T} = T^{1+O(\delta)}$ ).

<sup>&</sup>lt;sup>43</sup>We stress that the protocol in the  $AMTIME^{[\Rightarrow c]}$  oracle gets queries of length O(T) and runs in time linear in its input length O(T).

2. The entire string f(x) cannot be (approximately) printed in probabilistic time  $\overline{T} \cdot K^{\beta}$ , while making oracle queries of length O(T) to a  $prAMTIME^{[=c]}$  protocol that runs in time linear in T. (And this hardness holds whp over any polynomial-time samplable distribution of T-bit inputs z.)

The existence of functions  $f: \{0,1\}^T \to \{0,1\}^K$  where each output bit is computable in a certain time  $\overline{T}$  (in our case,  $\overline{T} = T^{1+O(\delta)}$ ) but the entire string cannot be printed in time less than  $T \cdot K$  is a natural conjecture; in fact, the strong direct-product hypothesis asserts that for some computational devices and parameter settings, taking f to be the *K*-wise direct product of some Boolean function  $f_0: \{0,1\}^{T/K} \to \{0,1\}$  results in such f (see the work of Shaltiel [Sha03] for further discussion and results).

As mentioned in Section 1.2, our hypothesis is stronger because the hardness is assumed over all polynomial-time distributions over the inputs, and even for printing an approximate version of the output string f(z). A "non-batch-computability" hardness assumption with these two properties was already used in the previous work [CT21a]. The new part in the hypothesis in Theorem 7.4 is in allowing the  $(\overline{T} \cdot K^{\beta})$ -time procedure trying to print f(z) to use oracle queries to an  $\mathcal{AM}$  protocol.

**Does the oracle help?** The addition of the oracle makes the adversary in our hypothesis stronger. However, it is not clear whether this additional strength can achieve something meaningful in the context of our hypothesis. The main reason is that *the upper-bound on computing each output bit of* f *is*  $\bar{T}$ , *whereas the allowed running-time of the verifier in the oracle is only*  $T = \bar{T}^{1-\Omega(1)}$ ; in other words, it is not even clear that the oracle can compute a *single* output bit of f(z), let alone aid in computing the *entire string* in time significantly less than  $\bar{T} \cdot K^{\beta}$ .

For this reason, a hardness assumption that is even stronger than the one in Theorem 7.4 still makes sense: The hypothesis would still seem reasonable if the probabilistic machine would make queries of length *T* to a linear-space oracle (i.e., to a machine running in space O(T) and time  $2^{O(T)}$ ), rather than to a linear-time interactive proof. Moreover, our proof allows relaxing the hypothesis further: For example, the oracle machine against which we assume hardness only makes *non-adaptive* queries to its oracle, and the number of queries is only poly(*K*). (We avoided including these relaxations in the result statement merely for simplicity of presentation.)

A comparison with known results about batch-computability using interactive protocols. To illustrate our point about the oracle, let us compare the complexity of the interactive protocol in the oracle to the complexity of *unconditionally known* interactive protocols for batch-computing functions. For any  $f: \{0,1\}^T \rightarrow \{0,1\}^K$  whose individual output bits are computable in time  $\overline{T}$ , Reingold, Rothblum, and Rothblum [RRR18, Theorem 13] (following their previous result in [RRR21]) constructed a constant-round protocol for batch computing the *K* output bits of *f* with a verifier running in time  $\widetilde{O}(K \cdot T) + K^{\beta} \cdot \overline{T}^{1+\beta} = O(K^{\beta} \cdot \overline{T}^{1+\beta})$ , where  $\beta > 0$  is an arbitrarily small constant.<sup>44</sup>

The running time of their protocol almost matches (from above) the complexity of our oracle machine, which might seem alarming. However, we stress that our oracle machine is not an interactive protocol by itself, but rather only *makes queries* to a protocol; these queries are of length  $T = \overline{T}/K$ , and *the protocol is only allowed* 

<sup>&</sup>lt;sup>44</sup>The result of [RRR18] applies in a more general setting than the one we compare to, since they consider applying f to K different inputs  $x_1, \ldots, x_k$ , since their prover is efficient (i.e. runs in time poly( $\overline{T}$ )), and since their result holds even when the upper bound on the complexity of f is UP rather than P.

*linear running time* O(T). Therefore, the main point of difference is that the interactive proof oracle in our hypothesized lower bound *is allowed less running time than the upper bound*  $\overline{T}$  *on computing even a single output bit of* f. Needless to say, the techniques for batch-verification from [RRR21; RRR18] do not extend to such a setting.

#### 7.2.2 Proof of Theorem 7.4

Let  $L \in de\mathcal{IP}^{[=c]}[T, R]$ , and let V be a T-time verifier in a protocol with c turns for L such that V sends at most R random coins in each turn. Denote by  $c' = \lceil c/2 \rceil$  be the number of turns of the verifier in the interaction.

Given any *deterministic* prover strategy P and input x, we think of the corresponding interaction as a function of c' strings of R random coins that are chosen (uniformly) in advance, but are revealed to P during the interaction. We denote by

$$\langle V, P, x \rangle (r_1, \ldots, r_{c'})$$

the result of the interaction between *V* and *P* on input *x* with random coins  $r_1, \ldots, r_{c'}$ .

A verifier for *L* with  $O(\log(R))$  random coins. Our first goal is to construct an alternative verifier *V'* for *L* such that in each round of interaction, *V'* uses  $O(\log(R))$  random coins. Let  $G = G_f$  be the generator from Theorem 7.1, instantiated with the function  $f: \{0,1\}^N \to \{0,1\}^{R^{1/\eta}}$ , with sufficiently small  $\beta' < \beta$  and  $\alpha' < \alpha$ , and with output length *R*; the number of output strings of  $G_f$  is  $\overline{R} \stackrel{\text{def}}{=} \operatorname{poly}(K) = R^{O(1/\eta)}$ , where  $\eta = \eta_{\alpha',\beta'}$  is the parameter from Theorem 7.1, and its running time is  $\overline{T} \cdot \operatorname{poly}(K) = T \cdot R^{O(1/\eta)}$ . For any given  $z \in \{0,1\}^N$  and  $s \in [\overline{R}]$ , let  $G^z(s) = G(z)_s \in \{0,1\}^R$  be the *s*<sup>th</sup> output string of *G* when *G* is given input *z*.

In turn  $i \in [c']$ , the verifier V' chooses a random seed  $s \in [\bar{R}]$  and sends  $G^{x,\pi_i}(s)$  to the prover, where x is the input and  $\pi_i$  is the interaction communicated between the parties up to turn i of the interaction, padded with zeroes to be of length precisely N - n (for convience we denote  $\pi_1 = 0^{N-n}$ ). Then it applies the same final predicate to the interaction as V. In other words, continuing our notation for interactions as functions of random coins, we have that

$$\langle V', P, x \rangle (s_1, \ldots, s_{c'}) = \langle V, P, x \rangle (G^{x, \pi_1}(s_1), \ldots, G^{x, \pi_{c'}}(s_{c'}))$$

The running time of V' is larger than that of V, but we will carefully account for it later on. For now it suffices to observe that the only runtime overhead of V' on top of V comes from computing the generator G in each of the c' rounds, rather than just sending random coins.

Analysis: Soundness of V'. For convenience, we will think of any probabilistic poly(T)-time algorithm **P** as an efficiently samplable distribution over *deterministic* poly(T)-time algorithms, obtained in the natural way (i.e., by randomly choosing coins in advance and running the algorithm with the fixed chosen coins).

Consider an arbitrary probabilistic poly(T)-time algorithm **P**. Our analysis uses the following hybrid argument. Let  $V_0$  be the original verifier, and for  $i \in [c']$  let  $V_i$  be the verifier in which in the first *i* turns, the verifier uses  $log(\bar{R})$  coins as above, instead of R(n) random coins; that is, for any fixed  $P \sim \mathbf{P}$ ,

$$\langle V_i, P, x \rangle (s_1, \ldots, s_i, r_{i+1}, \ldots, r_{c'}) = \langle V, P, x \rangle (G^{x, \pi_1}(s_1), \ldots, G^{x, \pi_i}(s_i), r_{i+1}, \ldots, r_{c'})$$

Observe that  $V_0 = V$  and that  $V_{c'} = V'$ . For any fixed prover P and  $1 \le i < j \le c'$ , denote by  $P^{i...j}$  the partial prover strategy of P that refers only to rounds

i, i + 1, ..., j. <sup>45</sup> That is, we think of the prover as a sequence of c' functions, where the  $i^{th}$  function maps a transcript in the  $i^{th}$  round (which is of length  $i \cdot R + (i - 1) \cdot T$ ) to the prover's response (which is of length T); then,  $P^{i...j}$  is simply a subsequence of the c' functions that define P. We use the notation  $P^{i...j} \sim \mathbf{P}$  to denote the random variable that is obtained by sampling  $P \sim \mathbf{P}$  and outputting the partial prover strategy  $P^{i...j}$ . And for two partial prover strategies  $P^{1...i-1}$  and  $P^{i...c'}$ , we denote by  $P^{1...i-1} \circ P^{i...c}$  the (complete) prover strategy that is obtained by combining both partial strategies in the obvious way (i.e., by simply concatenating the two sequences of functions).

Our main definition for the hybrid argument is a sequence of hybrids that involves both a partial replacement of the random coins, and a partial replacement of the "existential" prover strategy in the soundness condition (i.e., that there does not exist an all-powerful prover that convinces the verifier) with a partial strategy chosen according to **P**. In more detail, for any fixed  $i \in [c']$  we denote

$$p_{x,i} = \max_{p_{i+1...c'}} \left\{ \Pr_{s_1,...,s_i,r_{i+1},...,r_{c'},P^{1...i} \sim \mathbf{P}} \left[ \left\langle V_i, P^{1...i} \circ P^{i+1,...,c'}, x \right\rangle (s_1,\ldots,s_i,r_{i+1},\ldots,r_{c'}) = 1 \right] \right\}$$

Note that V' has perfect completeness with the same honest prover as in the original system, since V' simply simulates V with pseudorandom choices of coins. Thus, we focus on establishing the soundness of V'. To do so, we first argue that:

**Fact 7.4.1.** For any fixed  $x \notin L$  such that

$$\Pr_{P \sim \mathbf{P}, s_1, \dots, s_{c'}} [\langle V', P, x \rangle (s_1, \dots, s_{c'}) = 1] > .4 , \qquad (7.1)$$

there exists  $i \in [c']$  such that  $p_{x,i} - p_{x,i-1} > 1/20c'$ .

*Proof.* Fix  $x \notin L$  such that Eq. (7.1) holds. Observe that  $p_{x,0} \leq 1/3$ , by the soundness of the original protocol V (which holds for every fixed x and an all-powerful P). On the other hand, we have that  $p_{x,c'} = \Pr_{P \sim \mathbf{P}, s_1, \dots, s_{c'}}[\langle V', P, x \rangle (s_1, \dots, s_{c'}) = 1]$ , and thus  $p_{x,c'} > .4$  by Eq. (7.1). Since  $p_{x,c'} - p_{x,0} = \sum_{i \in [c']} p_{x,i} - p_{x,i-1}$ , for some  $i \in [c']$  it holds that  $p_{x,i} - p_{x,i-1} > (p_{x,c'} - p_{x,0})/c' > 1/20c'$ .

The main claim in the analysis is the following:

**Claim 7.4.2.** For any  $i \in [c']$ , the probability over  $x \sim \mathbf{x}$  that  $x \notin L$  and

$$p_{x,i} > p_{x,i-1} + 1/20c'$$

is at most  $T^{-\omega(1)}$ .

Since the proof of Claim 7.4.2 is quite involved, we defer it for a moment, and first complete the argument assuming that Claim 7.4.2 is true.

By combining Fact 7.4.1 and Claim 7.4.2, we deduce that for every probabilistic polynomial-time algorithm **P**, with probability  $1 - T^{-\omega(1)}$  over  $x \sim \mathbf{x}$ , if  $x \notin L$  then  $\Pr_{P \sim \mathbf{P}, s_1, \dots, s_{c'}}[\langle V', P, x \rangle (s_1, \dots, s_{c'}) = 1] \leq .4$ . (Intuitively, this establishes that the verifier V' yields an argument system that uses few random coins.)

From V' to a deterministic effective argument system. Given any input x, the total num-

ber of possible messages from V' to a prover (across all turns) is  $\bar{R}^{c'}$ , corresponding to a choice of seeds  $\bar{s} \in [\bar{R}]^{c'}$ . Our deterministic verifier V'' expects the prover to send a corresponding transcript for each choice of  $\bar{s}$ . It then:

<sup>&</sup>lt;sup>45</sup>Note that we are now referring to *rounds* rather than to *turns*. That is, the interaction consists of *c* turns (where a turn is when one of the players speaks) and of  $c' = \lceil c/2 \rceil$  rounds (where a round consists of two turns, except possibly the last round).

- 1. Verifies that the sent transcripts are consistent with a prover strategy (i.e., that the prover did not respond to two identical prefixes in different ways).
- 2. For each transcript corresponding to a choice of  $\bar{s}$ , it verifies that the pseudorandom coins in each message (which are part of the transcript) are what one obtains by applying *G* to the transcript at that point, using the corresponding seed (which is part of  $\bar{s}$ ).
- 3. Computes the average acceptance probability of V' over all choices of  $\bar{s}$ .

Observe that there is an honest prover with perfect completeness for V'' that runs in time poly(T). This is because in time poly(T) we can compute G on all possible  $\overline{T}^{c'}$ sequences of random choices  $s_1, ..., s_{c'}$ , and evaluate the original honest prover (which runs in time poly(T)) on each of these sequences.

Turning to soundness, the verifier V'' inherits its soundness immediately from that of V'.<sup>46</sup> Relying on the fact that soundness holds against all poly(*T*)-time algorithms **P**, we further argue that the soundness error is not only .4 but actually neg(*T*):

**Claim 7.4.3.** For every probabilistic poly(*T*)-time algorithm **P**, with probability  $1 - T(n)^{-\omega(1)}$ over an *n*-bit  $x \sim \mathbf{x}$ , if  $x \notin L$  then  $\Pr_{P \sim \mathbf{P}}[V(x, P(x)) = 1] < T(n)^{-\omega(1)}$ .

**Proof.** Assume towards a contradiction that for some **P** and polynomial *p* there are infinitely many  $n \in \mathbb{N}$  such that, with probability at least 1/p(T(n)) over an *n*-bit  $x \sim \mathbf{x}$  it holds that  $x \notin L$  and  $\Pr_{P \sim \mathbf{P}}[V''(x, P(x)) = 1] \ge 1/p(T(n))$ .

Consider the prover  $\mathbf{P}'$  that on input x runs  $\mathbf{P}$  for  $t = p(T(n))^2$  times to obtain candidate proofs  $\pi_1, \ldots, \pi_t$ , for each  $i \in [t]$  checkes whether  $V''(x, \pi_i) = 1$ , and if it finds  $\pi_i$  for which the latter holds then it prints this  $\pi_i$  (otherwise it prints some fixed default proof). Note that  $\mathbf{P}'$  runs in poly(T) time, and for every x such that  $\Pr_{P\sim\mathbf{P}}[V''(x, P(x)) = 1] \ge 1/p(T(n))$  we have that  $\Pr_{P'\sim\mathbf{P}'}[V''(x, P'(x)) = 1] \ge$ .99. Thus, there is a poly(T)-time prover  $\mathbf{P}'$  and infinitely many  $n \in \mathbb{N}$  such that with probability at least 1/p(T(n)) over an n-bit  $x \sim \mathbf{x}$  it holds that  $x \notin L$  and  $\Pr_{P\sim\mathbf{P}}[V''(x, P(x)) = 1] > .4$ , contradicting the soundness of V''.

We now bound the running time of V''. Recall that the prover sends  $[\bar{R}]^{c'}$  transcripts to V'', corresponding to all possible choices of seeds  $\bar{s} \in [\bar{R}]^{c'}$  by V'. We assume that the prover sends the transcripts in prefix-order of  $\bar{s}$ . For each prefix  $s_1, \ldots, s_i$  of  $\bar{s}$ , the verifier checks that all transcripts corresponding to that prefix are identical (i.e., verifies that the prover strategy is consistent); and then computes the set of pseudorandom strings obtained by applying G to the foregoing transcript with all possible choices of  $s_{i+1}$ , "in a batch"; by Theorem 7.1, for each prefix this can be done in time  $\bar{T} \cdot K + \text{poly}(K) \leq T \cdot R^{O(1/\eta)}$ . In the end, it computes the original V on each of the  $|\bar{R}|^{c'} = R^{O(c/\eta)}$  choices of seeds. The final running time of V'' is thus  $T \cdot R^{O(c/\eta)}$ .

Finally, to complete the proof the only missing piece is to prove Claim 7.4.2.

**Proof of Claim 7.4.2.** Fix  $i \in [c']$  and assume that with probability at least 1/poly(T) over  $x \sim \mathbf{x}$  we have that  $p_{x,i} > p_{x,i-1} + 1/20c'$ . For any fixed  $\bar{p} = P^{1...i-1}$  and  $\bar{s} = s_1, \ldots, s_{i-1}$ , the interaction in the first i - 1 rounds between any prover whose partial strategy in these rounds is  $\bar{p}$  and any verifier that behaves like  $V_{i-1}$  in these rounds is also fixed (i.e., it is a deterministic function of  $\bar{p}$  and  $\bar{s}$ ); we denote the transcript of this interaction by  $\pi_{\bar{p},\bar{s}}$ . We denote by  $(\mathbf{x}, \pi)$  the distribution that is obtained by

 $<sup>^{46}</sup>$ To see this, note that if the prover sends consistent answers to all message sequences then those answers yield a prover strategy that could have been used in the interaction with V'.

sampling  $x \sim \mathbf{x}$  and  $\bar{p} = P^{1...i-1} \sim \mathbf{P}$  and  $\bar{s} \in ([\bar{R}])^{i-1}$  and outputting  $(x, \pi_{\bar{p},\bar{s}})$ . By our assumptions about  $\mathbf{P}$  and  $\mathbf{x}$  and the fact that G runs in time poly(T), the distribution  $(\mathbf{x}, \pi)$  is samplable in time poly(T).

Fixing the first i - 1 rounds of interaction. For every fixed  $(x, \pi_{\bar{s},\bar{p}})$ , denote

$$(p_{x,i-1}|\pi_{\bar{s},\bar{p}}) = \max_{p^{i...c'}} \left\{ \Pr_{r_i,r_{i+1},...,r_{c'}} \left[ \left\langle V_{i-1}, \bar{p} \circ P^{i...c'}, x \right\rangle (\bar{s}, r_i, r_{i+1}, \dots, r_{c'}) \right] \right\},$$
$$(p_{x,i}|\pi_{\bar{s},\bar{p}}) = \max_{p^{i+1...c'}} \left\{ \Pr_{s_i,r_{i+1},...,r_{c'},P^i \sim \mathbf{P}} \left[ \left\langle V_i, \bar{p} \circ P^{i...c'}, x \right\rangle (\bar{s}, s_i, r_{i+1}, \dots, r_{c'}) \right] \right\},$$

and observe that:

**Claim 7.4.3.1.** We have that  $p_{x,i} = \mathbb{E}_{\bar{s},\bar{p}} [p_{x,i} | \pi_{\bar{s},\bar{p}}]$  and  $p_{x,i-1} = \mathbb{E}_{\bar{s},\bar{p}} [p_{x,i-1} | \pi_{\bar{s},\bar{p}}]$ .

*Proof.* Note that in  $p_{x,i}$  and in  $(p_{x,i}|\pi_{\bar{s},\bar{p}})$  (resp., in  $p_{x,i-1}$  and  $(p_{x,i-1}|\pi_{\bar{s},\bar{p}})$ ) the maximum is over functions  $P^{i+1...c'}$  (resp.,  $P^{i...c'}$ ) that take  $\pi_{\bar{s},\bar{p}}$  as part of their input. Thus, first choosing  $\pi_{\bar{s},\bar{p}}$  from some distribution and then taking the maximum-achieving function is identical to first taking the maximum-achieving function and then choosing  $\pi_{\bar{s},\bar{p}}$  from the same distribution.<sup>47</sup>

We call a pair  $(x, \pi_{\bar{s},\bar{p}})$  good if  $(p_{x,i}|\pi_{\bar{s},\bar{p}}) > (p_{x,i-1}|\pi_{\bar{s},\bar{p}}) + 1/40c'$ , and argue that: **Claim 7.4.3.2.** With probability at least 1/poly(T) over  $(x, \pi_{\bar{s},\bar{p}}) \sim (x, \pi)$  we have that  $(x, \pi_{\bar{s},\bar{p}})$  is good.

*Proof.* By our assumption, with probability 1/poly(T) over  $x \sim \mathbf{x}$  we have that  $p_{x,i} > p_{x,i-1} + 1/20c'$ . Now, let  $\Delta_{\bar{s},\bar{p}} = (p_{x,i}|\pi_{\bar{s},\bar{p}}) - (p_{x,i-1}|\pi_{\bar{s},\bar{p}})$ , and note that

$$\mathop{\mathbb{E}}_{\bar{s},\bar{p}}[\Delta_{\bar{s},\bar{p}}] = \mathop{\mathbb{E}}_{\bar{s},\bar{p}}\left[p_{x,i} | \pi_{\bar{s},\bar{p}}\right] - \mathop{\mathbb{E}}_{\bar{s},\bar{p}}\left[p_{x,i-1} | \pi_{\bar{s},\bar{p}}\right] = p_{x,i} - p_{x,i-1} > 1/20c' ,$$

where the second equality above relies on Claim 7.4.3.1. Thus, if it would be true that  $\Pr_{\bar{s},\bar{p}}[\Delta_{\bar{s},\bar{p}} > 1/40c'] < T^{-\omega(1)}$ , then it would also be true that  $\mathbb{E}_{\bar{s},\bar{p}}[\Delta_{\bar{s},\bar{p}}] \leq T^{-\omega(1)} \cdot (1/40c') + (1/40c') < 1/20c'$ , a contradiction.

Obtaining an  $AMTIME^{[=c]}$  distinguisher. Fixing a good  $(x, \pi_{\bar{s},\bar{p}})$ , we denote

$$p(r_i) = \max_{p_{i\ldots c'}} \left\{ \Pr_{r_{i+1},\ldots,r_{c'}} \left[ \left\langle V_{i-1}, \bar{p} \circ P^{i\ldots c'}, x \right\rangle (\bar{s}, r_i,\ldots,r_{c'}) = 1 \right] \right\} ,$$

and argue that:

Claim 7.4.3.3. The following two statements hold:

1.  $\mathbb{E}_{r_i \in \{0,1\}^R} [p(r_i)] = (p_{x,i-1} | \pi_{\bar{s},\bar{p}}) .$ 2.  $\mathbb{E}_{s_i \in [\bar{R}]} [p(G^{x,\pi_{\bar{s},\bar{p}}}(s_i))] \ge (p_{x,i} | \pi_{\bar{s},\bar{p}}) .$ 

<sup>47</sup> In other words, we use the fact that for every  $\mathcal{D}$  and  $\mathcal{R}$  and  $\nu \colon \mathcal{R} \to \mathbb{R}$  it holds that

$$\max_{f: \mathcal{D} \to \mathcal{R}} \left\{ \mathbb{E}_{r \in \mathcal{D}} [\nu(f(r))] \right\} = \mathbb{E}_{r \in \mathcal{D}} \left[ \max_{f: \mathcal{D} \to \mathcal{R}} \left\{ \nu(f(r)) \right\} \right] = \mathbb{E}_{r \in \mathcal{D}} [\nu(f^*(r))] ,$$

where  $f^*$  is the function that maps any  $r \in D$  to t = f(r) such that v(t) is maximal.

*Proof.* For the first item, note that

$$\begin{split} & \underset{r_{i} \in \{0,1\}^{R}}{\mathbb{E}} \left[ p(r_{i}) \right] \\ &= \underset{r_{i} \in \{0,1\}^{R}}{\mathbb{E}} \left[ \max_{p^{i...c'}} \left\{ \underset{r_{i+1},...,r_{c'}}{\Pr} \left[ \left\langle V_{i-1}, \bar{p} \circ P^{i...c'}, x \right\rangle (\bar{s}, r_{i}, \dots, r_{c'}) = 1 \right] \right\} \right] \\ &= \underset{p^{i...c'}}{\max} \left\{ \underset{r_{i},r_{i+1},...,r_{c'}}{\Pr} \left[ \left\langle V_{i-1}, \bar{p} \circ P^{i...c'}, x \right\rangle (\bar{s}, r_{i}, \dots, r_{c'}) = 1 \right] \right\} \\ &= (p_{x,i-1} | \pi_{\bar{s},\bar{p}}) , \end{split}$$

where the second inequality is because (as in the proof of Claim 7.4.3.2) the maximum is over functions  $P^{1...c'}$  that take  $r_i$  as part of their input (and thus first drawing a random  $r_i$  and then choosing a maximum-achieving function is identical to first choosing a maximum-achieving function and then drawing a random  $r_i$ ).

For the second item, the argument is a bit more subtle, as follows:

$$\begin{split} & \underset{s_{i} \in [\bar{R}]}{\mathbb{E}} \left[ p(G^{x,\pi_{\bar{s},\bar{p}}}(s_{i})) \right] \\ &= \underset{s_{i} \in [\bar{R}]}{\mathbb{E}} \left[ \max_{p^{i...c'}} \left\{ \underset{r_{i+1},\dots,r_{c'}}{\Pr} \left[ \left\langle V_{i-1},\bar{p} \circ P^{i...c'},x \right\rangle (\bar{s},G^{x,\pi_{\bar{s},\bar{p}}}(s_{i}),r_{i+1},\dots,r_{c'}) = 1 \right] \right\} \right] \\ &= \underset{p^{i...c'}}{\max} \left\{ \underset{s_{i},r_{i+1},\dots,r_{c'}}{\Pr} \left[ \left\langle V_{i-1},\bar{p} \circ P^{i...c'},x \right\rangle (\bar{s},G^{x,\pi_{\bar{s},\bar{p}}}(s_{i}),r_{i+1},\dots,r_{c'}) = 1 \right] \right\} \\ &= \underset{p^{i...c'}}{\max} \left\{ \underset{s_{i},r_{i+1},\dots,r_{c'}}{\Pr} \left[ \left\langle V_{i},\bar{p} \circ P^{i...c'},x \right\rangle (\bar{s},s_{i},r_{i+1},\dots,r_{c'}) = 1 \right] \right\} \\ &\geq \underset{p^{i+1...c'}}{\max} \left\{ \underset{s_{i},r_{i+1},\dots,r_{c'},P^{i} \sim \mathbb{P}}{\Pr} \left[ \left\langle V_{i},\bar{p} \circ P^{i...c'},x \right\rangle (\bar{s},s_{i},r_{i+1},\dots,r_{c'}) = 1 \right] \right\} \\ &= (p_{x,i} | \pi_{\bar{s},\bar{p}}), \end{split}$$

where the main difference from the proof of the first item is the inequality, which asserts that taking the maximum-achieving prover strategy in round *i* can only increase the acceptance probability compared to choosing  $P_i \sim \mathbf{P}$ .<sup>48</sup>

Relying on Claim 7.4.3.3, for the fixed good  $(x, \pi_{\bar{s},\bar{p}})$  we have that

$$\mathbb{E}_{s_i \in [\tilde{R}]} [p(G^{x, \pi_{\tilde{s}, \tilde{p}}}(s_i))] - \mathbb{E}_{r_i \in \{0, 1\}^R} [p(r_i)] \ge (p_{x, i} | \pi_{\tilde{s}, \tilde{p}}) - (p_{x, i-1} | \pi_{\tilde{s}, \tilde{p}}) > 1/40c' .$$

The foregoing assertion implies that the real-valued function  $p(\cdot)$  behaves differently on the pseudorandom distribution  $G^{x,\pi_{\delta,\bar{p}}}(\mathbf{u}_{[\bar{R}]})$  and on the uniform distribution  $\mathbf{u}_T$ . To obtain a Boolean-valued distinguisher (rather than a real-valued one such as  $p(\cdot)$ ), and furthermore a Boolean-valued distinguisher that is computable by an  $\mathcal{AMTIME}^{[\rightleftharpoons c]}$  protocol, we rely on the following claim: It asserts that if two real-valued RVs  $\mathbf{x}$  and  $\mathbf{y}$  in [0,1] have expectations that differ by  $\epsilon > 0$ , then there are two thresholds  $\ell'$  and  $\ell' + \Theta(\epsilon)$  such that the probability that  $\mathbf{x}$  exceeds  $\ell' + \Theta(\epsilon)$  is noticeably higher than the probability that  $\mathbf{y}$  exceeds  $\ell'$ .

<sup>&</sup>lt;sup>48</sup>To see that the third equality above holds, note the following. In the upper row we are referring to the verifier  $V_{i-1}$ , which uses the *i*<sup>th</sup> random string given to it as-is, and are giving  $V_i$  the string  $G^{x,\pi_{5,p}}(s_i)$  for a random  $s_i$ . In the bottom row we're referring to the verifier  $V_{i-1}$ , which applies  $G^{x,\pi_{5,p}}$  to the *i*<sup>th</sup> random string given to it, and are giving  $V_{i-1}$  a random  $s_i$ . Thus, in both cases the random string used in the *i*<sup>th</sup> round is  $G^{x,\pi_{5,p}}(s_i)$  for a random  $s_i$ .

**Lemma 7.4.3.4.** Let  $\mathbf{x}, \mathbf{y}$  be two random variables taking values from [0, 1] and  $\epsilon \in (0, 1)$  such that  $\epsilon^{-1} \in \mathbb{N}$ . If  $\mathbb{E}[\mathbf{x}] - \mathbb{E}[\mathbf{y}] > \epsilon$ , then there exists  $j \in [4/\epsilon]$  such that

$$\Pr[\mathbf{x} \ge (\epsilon/4) \cdot (j+1)] - \Pr[\mathbf{y} \ge (\epsilon/4) \cdot j] > \epsilon/2.$$

The proof of Lemma 7.4.3.4 is elementary but tedious, so for convenience we defer it to Appendix B. Now, denote  $\epsilon = 1/40c'$  and consider the following promise problem:

$$\mathbf{Y} = \left\{ (x, \pi_{\bar{s}, \bar{p}}, r_i, \tau) : p(r_i) \ge \tau + \epsilon/4 \right\}$$
  
 
$$\mathbf{N} = \left\{ (x, \pi_{\bar{s}, \bar{p}}, r_i, \tau) : p(r_i) \le \tau \right\} .$$

Observe that the problem (Y, N) can be decided in  $prAMTIME^{[=c]}[O(n)]$ , since the verifier can run the original protocol  $V_{i-1}$  from the definition of  $p(\cdot)$  for O(1) times in parallel, estimate the acceptance probability of  $V_{i-1}$  with the given prover up to accuracy  $\epsilon/8$  and with high probability, and accept if and only if this probability is more than  $\tau + \epsilon/8$ . Note that the runtime of this protocol is linear, because  $\epsilon = \Omega(1)$  and the input size to this problem is O(T).

Now, by instantiating Lemma 7.4.3.4 with the RVs  $\mathbf{x} = p(G^{x,\pi_{\bar{s},\bar{p}}}(\mathbf{u}_{[\bar{R}]}))$  and  $\mathbf{y} = p(\mathbf{u}_R)$ , for some  $\tau \in \{0, \epsilon/4, 2\epsilon/4, \dots, 1\}$  it holds that

$$\Pr[(x,\pi_{\bar{s},\bar{p}},G^{x,\pi_{\bar{s},\bar{p}}}(\mathbf{u}_{[\bar{R}]},\tau))\in\mathsf{Y}]>1-\Pr[(x,\pi_{\bar{s},\bar{p}},\mathbf{u}_{R},\tau))\in\mathsf{N}]+\epsilon/2.$$

For any fixed  $(x, \pi)$  and  $\tau \in \{0, \epsilon/4, 2\epsilon/4, ..., 1\}$  we define the following procedure  $D = D_{x,\pi,\tau}$ : Given  $r \in \{0,1\}^R$  (which we think of as coming either from the uniform distribution or from the pseudorandom distribution) the procedure creates the string  $z = (x, \pi, r, \tau)$  and solves the promise problem (Y, N) on input *z*. Note that indeed  $D \in pr AMTIME^{[=c]}[O(n)]$ , and that

$$\Pr[D(G^{x,\pi_{ar{\mathfrak{s}},ar{p}}}(\mathbf{u}_{[ar{R}]}))=1]>\Pr[D(\mathbf{u}_R)=1]+\epsilon/2$$
 ,

where the inequality relies on the fact that  $Pr[D(\mathbf{u}_R) = 1] = 1 - Pr[D(\mathbf{u}_R) = 0 \ge 1 - Pr[\mathbf{u}_T \in N]$ .

The reconstruction argument. To obtain a contradiction, we show an algorithm that runs in time  $\overline{T} \cdot K^{\beta}$ , where  $\overline{T} = T \cdot K$ , and with probability at least 1/poly(T) over the poly(*T*)-time samplable distribution  $(x, \pi) \sim (\mathbf{x}, \pi)$  approximately prints  $f(x, \pi)$ .

Consider an algorithm  $F_0$  that gets input  $(x, \pi)$  and randomly chooses a value  $\tau \in \{0, \epsilon/4, 2\epsilon/4, ..., 1\}$ . Then  $F_0$  runs the reconstruction Rec with input  $(x, \pi)$ , giving it oracle access to  $D^{\tau,x,\pi}$ . Specifically, whenever Rec queries  $D^{\tau,x,\pi}$  on input r, the algorithm  $F_0$  queries  $D^{\tau}$  on input  $(x, \pi, r, \tau)$  and returns the corresponding answer. The algorithm  $F_0$  runs in time

$$\underbrace{\tilde{O}(K^{1+\beta'})}_{\text{#gueries}} \cdot \underbrace{T}_{\text{length of a query to } D^{\tau}} + \underbrace{\bar{T} \cdot K^{\beta'}}_{\text{add'I runtime of Rec}} = \tilde{O}(\bar{T} \cdot K^{\beta'})$$

and assuming that the guess of  $\tau'$  was correct, with high probability  $F_0$  prints a string that agrees with  $f(x, \pi)$  on  $1 - \alpha'$  of the bits.

To construct an algorithm *F* that succeeds with high probability, we run  $F_0$  for O(c') times, such that with high probability at least one iteration successfully printed a string that agrees with  $f(x, \pi)$  on  $1 - \alpha'$  of the bits. Then, for each of the O(c') candidate strings, the algorithm *F* estimates the agreement of this string with  $f(x, \pi)$  up to a sufficiently small constant error, by randomly sampling output bits and computing

the corresponding bits of  $f(x, \pi)$  (recall that each bit can be computed in time  $\overline{T}$ ). The running time of F is at most  $\tilde{O}(\overline{T} \cdot K^{\beta'}) < \overline{T} \cdot K^{\beta}$ , and with high probability it succeeds in outputting a string that agrees with f on at least  $1 - \alpha$  of the bits.

Having proved Claim 7.4.2, this concludes the proof of Theorem 7.4.

**Remark 7.5** (handling imperfect completeness). The proof of Theorem 7.4 implies similar derandomization for de $\mathcal{IP}^{[=c]}$  protocols with *imperfect completeness* that use a small number of random coins. To see this, observe that the well-known transformation of  $\mathcal{AM}$  protocols into protocols with perfect completeness [FGM+89] yields the following: Any de $\mathcal{IP}^{[=c]}$  protocol in which the verifier uses only *R* coins can be simulated by a de $\mathcal{IP}^{[=c]}$  protocol with perfect completeness such that the new protocol has the same number of rounds, the verifier uses  $\bar{R} = \tilde{O}(R)$  random coins, its communication complexity and running time increase by a multiplicative factor of  $O(\bar{R})$ , and the prover is still efficient but it is now *probabilistic*.<sup>49</sup>

Since we think of *R* as small in the result above (indeed, we will use this result with  $R = O(\log(T))$  in Theorems 7.8 and Corollary 7.12), we can start from a protocol with imperfect completeness, simulate it by a protocol with similar running time, and appeal to Theorem 7.4 as a black-box. Indeed, the only gap is that the honest prover in the resulting de $\mathcal{IP}^{[=c]}$  protocol is now probabilistic rather than deterministic.

**Remark 7.6** (argument systems for  $\mathcal{NP}$ -relations). The honest prover in the argument system that is constructed in the proof of Theorem 7.4 is very similar to the original honest prover, and in particular has almost the same time complexity. (This is because the new prover enumerates over all possible seeds for the targeted PRG, across all rounds, and for each choice it simulates the verifier's interaction with the original prover using the chosen seeds to generate pseudorandom coins.) One implication of this fact is that our results extend naturally to constant-round probabilistic proof systems in which the honest prover gets a witness as auxiliary input.

In more detail, let *R* be a relation, let  $L_R$  be the decision problem defined by *R*, and let  $\Pi$  be a probabilistic proof system for  $L_R$  with *c* turns of interaction such that the verifier in  $\Pi$  runs in time *T*, and the honest prover in  $\Pi$  runs in time poly(*T*) when given a witness for the input (in the relation *R*).<sup>50</sup> Then, under the same assumption as in Theorem 7.4, our proof gives a deterministic argument system for  $L_R$  in which the verifier runs in time  $T^{1+\epsilon}$ , soundness is precisely as in Definition 3.9, and the honest prover runs in time poly(*T*) when given a witness (in the relation *R*) for the input.

#### 7.2.3 A stronger result for doubly efficient proof systems with a universal prover

We now argue that in the special case of doubly efficient proof systems that have an efficient universal prover, we can derandomize such systems under a hypothesis that is weaker than the one in Theorem 7.4. Specifically, the hypothesis in the statement below is identical to the one in Theorem 7.4, except that we only require hardness against probabilistic algorithms that have oracle access to de $\mathcal{IP}^{[=c]}$ , rather than oracle

<sup>&</sup>lt;sup>49</sup>These properties are not explicitly stated in the original work but they readily follow from the proof. Specifically, in the original proof the message lengths by the verifier and the prover are coupled, but the proof only relies on the error being smaller than 1/R; and the original proof shows that for every  $x \in L$ , with high probability over choice of initial strings by the prover (as a basis for simulating copies of the protocol in parallel) the verifier to accept with probability 1.

<sup>&</sup>lt;sup>50</sup>That is, we consider the interaction between the verifier and the honest prover when the former is given input *x* and the latter is given input  $(x, w) \in R$ .

access to  $AMTIME^{[\Rightarrow c]}$ . (We will use this generic claim in the proof of Theorem 1.7, since the proof system for #SAT indeed has an efficient universal prover.)

**Theorem 7.7** (derandomizing constant-round doubly efficient proof systems with an efficient universal prover into deterministic effective argument systems). For every  $\alpha, \beta \in (0, 1)$  there exists  $\eta > 0$  such that the following holds. Let  $c \in \mathbb{N}$  be a constant, let T(n) be a polynomial, and let R(n) < T(n) be time-computable. Assume that there exists  $f: \{0,1\}^* \to \{0,1\}^*$  that for every  $n \in \mathbb{N}$  maps  $N(n) = n + c \cdot T(n)$  bits to  $K(n) = R(n)^{1/\eta}$  bits and satisfies:

- 1. There exists a deterministic algorithm that gets input  $(z,i) \in \{0,1\}^{N(n)} \times [K(n)]$  and outputs the *i*<sup>th</sup> bit of f(z) in time  $\overline{T}(n) = T(n) \cdot K(n)$ .
- 2. For every probabilistic oracle machine M running in time  $\overline{T} \cdot K^{\beta}$  and making oracle queries of length O(T) to  $pr-de\mathcal{IP}^{[\Rightarrow c]}[n]$ ,<sup>51</sup> and every collection  $\mathbf{z} = \left\{\mathbf{z}_{N(n)}\right\}_{n \in \mathbb{N}}$  of distributions such that  $\mathbf{z}_{N(n)}$  is over  $\{0,1\}^{N(n)}$  and can be sampled in time polynomial in  $\overline{T}(n)$ , and every sufficiently large  $n \in \mathbb{N}$ , with probability at least  $1 \overline{T}(n)^{-\omega(1)}$  over choice of  $z \sim \mathbf{z}_{N(n)}$  it holds that  $M^{prde\mathcal{IP}^{[\Rightarrow c]}[n]}(z)$  fails to approximately print f(z) with error  $\eta(n)$ .

Then,

$$de\mathcal{IP}_{uni}^{[\rightleftharpoons c]}[T,R] \subseteq de\mathcal{ARG}[T \cdot R^{O(c/\eta)}]$$
,

where the O-notation hides a universal constant, and  $de \mathcal{IP}_{uni}^{[=c]}[T, R]$  denotes all problems solvable by  $de \mathcal{IP}^{[=c]}[T, R]$  systems that, for every constant  $\mu > 0$ , have a  $\mu$ -approximate universal prover running in time poly(T).

**Proof.** The proof is very similar to that of Theorem 7.4, the only difference being that the reconstruction algorithm in Claim 7.4.2 can now only access a  $pr-\text{de}\mathcal{IP}^{[\rightleftharpoons c]}[n]$  oracle rather than a  $\mathcal{AMTIME}^{[\rightleftharpoons c]}[n]$  oracle.

Recall that in the proof of Claim 7.4.2, the algorithm uses an oracle that solves the following promise problem, which is defined with respect to a parameter  $\tau$ :

$$\mathbf{Y} = \left\{ (x, \pi_{\bar{s}, \bar{p}}, r_i, \tau) : p(r_i) \ge \tau + \epsilon/4 \right\}$$
  
 
$$\mathbf{N} = \left\{ (x, \pi_{\bar{s}, \bar{p}}, r_i, \tau) : p(r_i) \le \tau \right\} .$$

Our goal is to argue that  $(Y, N) \in pr-de\mathcal{IP}^{[\rightleftharpoons c]}[n]$ , by arguing that there is an efficient honest prover. (The rest of the proof then continues without change.)

The key observation is that our current assumption asserts the existence of an *efficient* prover that, on any *x* and  $(\pi_{\bar{s},\bar{p}}, r_i)$ , almost maximizes the residual acceptance probability of the protocol when the prefix of the transcript is fixed to  $(\pi_{\bar{s},\bar{p}}, r_i)$ . For any constant  $\mu$ , we denote the  $\mu$ -approximate universal prover by  $P_{\mu}$ , and on a fixed  $\bar{x} = (x, \pi_{\bar{s},\bar{p}}, r_i)$ , we denote by  $\nu = \nu_{\bar{x}}$  the maximal acceptance probability of the residual protocol, across all provers.

The verifier for (Y, N) is identical to that in the original proof of Claim 7.4.2; that is, the verifier simulates the residual protocol for  $poly(1/\epsilon)$  times in parallel, and accepts if and only if the average probability across simulations, denoted  $\tilde{v}$ , satisfies  $\tilde{v} \geq \tau + \epsilon/8$ . When  $(\bar{x}, \tau) \in N$ , for any prover, with high probability we have that  $\tilde{v} < \tau + \epsilon/8$ . On the other hand, when  $(\bar{x}, \tau) \in Y$ , a prover that simulates  $P_{\epsilon/16}$  on the poly $(1/\epsilon)$  parallel instantiations of the protocol yields  $\tilde{v} \geq \tau + \epsilon/8$ , with high probability. Thus,  $(Y, N) \in de\mathcal{IP}^{[=c]}[n]$  as we wanted.

<sup>&</sup>lt;sup>51</sup>The de $\mathcal{IP}^{[\Rightarrow c]}$  verifier in the oracle runs in time linear in its input length O(T).

### 7.3 A deterministic argument system for #SAT with runtime $2^{\epsilon \cdot n}$

We now state and prove Theorem 1.7, as a particularly appealing special case of Theorem 7.7. Specifically, we show that under strong hardness assumptions, we can solve #SAT by a deterministic effective argument system running in time  $2^{\epsilon \cdot n}$ , for an arbitrarily small  $\epsilon > 0$ .

**Theorem 7.8** (a deterministic argument system for #SAT with runtime  $2^{\epsilon \cdot n}$ ). For every  $\alpha, \beta \in (0, 1)$  there exists  $\eta > 0$  such that the following holds. Let T(n) = O(n), and assume that for every constant  $c \in \mathbb{N}$  there exists  $R(n) \in [\Omega_c(\log(n)), n^{\delta_{c,\eta}}]$ , where  $\delta_{c,\eta}$  is sufficiently small, and  $f: \{0,1\}^* \to \{0,1\}^*$  that for every  $n \in \mathbb{N}$  maps  $N(n) = n + c \cdot T(n) = O(n)$  bits to  $K(n) = R(n)^{1/\eta}$  bits and satisfies:

- 1. There exists a deterministic algorithm that gets input  $(z,i) \in \{0,1\}^{N(n)} \times [K(n)]$  and outputs the *i*<sup>th</sup> bit of f(z) in time  $\overline{T}(n) = T(n) \cdot K(n)$ .
- 2. For every probabilistic oracle machine M running in time  $\overline{T} \cdot K^{\beta}$  and making oracle queries of length O(T) to  $pr-de\mathcal{IP}^{[\Rightarrow c]}[n],^{52}$  and every collection  $\mathbf{z} = \left\{\mathbf{z}_{N(n)}\right\}_{n\in\mathbb{N}}$  of distributions such that  $\mathbf{z}_{N(n)}$  is over  $\{0,1\}^{N(n)}$  and can be sampled in time polynomial in  $\overline{T}(n)$ , and every sufficiently large  $n \in \mathbb{N}$ , with probability at least  $1 \overline{T}(n)^{-\omega(1)}$  over choice of  $z \sim \mathbf{z}_{N(n)}$  it holds that  $M^{pr\mathcal{AMTIME}[\Rightarrow c][n]}(z)$  fails to approximately print f(z) with error  $\eta(n)$ .

Then, for every  $\epsilon > 0$  there exists a deterministic verifier V that gets as input an n-bit formula  $\Phi$  of size at most  $2^{o(n)}$ , runs in time  $2^{\epsilon \cdot n}$ , and satisfies the following:

- 1. There exists an algorithm that gets input  $\Phi$ , runs in time  $2^{O(n)}$ , and prints a proof  $\pi$  such that  $V(\Phi, \pi) = \#SAT(\Phi)$ .
- 2. For every probabilistic algorithm P running in time  $2^{O(n)}$  and every sufficiently large  $n \in \mathbb{N}$ , the probability that  $P(1^n)$  outputs an n-bit formula  $\Phi$  of size  $2^{o(n)}$  and proof  $\pi$  such that  $V(\Phi, \pi) \notin \{\perp, \#SAT(\Phi)\}$  is  $2^{-\omega(n)}$ .

**Proof.** Without loss of generality, we assume that  $\epsilon \in (0, 0.1)$ . Let  $\epsilon' = \epsilon/2$ . Using Theorem 3.18 with a sufficiently large constant  $k \in \mathbb{N}$  and with  $\delta > 0$  such that  $\delta/(1-\delta) = 1/k$ , we have an  $\det \mathcal{P}_{uni}^{[\rightleftharpoons 2k+1]}[2^{\epsilon' \cdot n}]$  protocol for counting the number of satisfying assignments of an *n*-bit formula of size  $2^{o(n)}$ , which uses at most O(n) random coins.<sup>53</sup>

By a padding argument, we think of the input as of size  $\bar{n} = 2^{\epsilon' \cdot n}$  and of the protocol as running in linear time with logarithmically many coins. Also, we consider the  $2n = O(\log \bar{n})$  Boolean protocols  $U_U, \ldots, P_n, \bar{U}_1, \ldots, \bar{U}_n$ , where each  $U_i$  is a protocol for proving that the  $i^{th}$  bit of  $\#SAT(\Phi)$  is 1 and each  $\bar{U}_i$  is a protocol for proving that the  $i^{th}$  bit of  $\#SAT(\Phi)$  is 0.

We apply Theorem 7.7 to each of 2*n* protocols, with parameters  $T(\bar{n}) = O(\bar{n})$  and  $R(\bar{n}) \ge \Omega_k(\log \bar{n})$ , to obtain a sequence of 2*n* deterministic verifiers  $D_1, \ldots, D_n, \bar{D}_1, \ldots, \bar{D}_n$  each running in time  $T \cdot R^{O(k/\eta)}$ . Given a formula  $\Phi$ , our verifier *V* expects to receive from the prover a value  $\rho \in \{0, 1\}^n$  and *n* witnesses  $w_1, \ldots, w_n \in \{0, 1\}^{\bar{O}(\bar{n})}$  such that

<sup>&</sup>lt;sup>52</sup>The de $\mathcal{IP}^{[=c]}$  verifier in the oracle runs in time linear in its input length O(T).

<sup>&</sup>lt;sup>53</sup>Note that Theorem 3.18 yields an  $MATIME^{[\Rightarrow 2k]}$  protocol that has a universal prover running in time  $2^{O(n)}$ . In particular, such a protocol can be simulated by a de $IP^{[\Rightarrow 2k+1]}$  protocol with the same verifier running time.

for every  $i \in [n]$  it holds that  $\begin{cases} D_i(w_i) = 1 & \rho_i = 1 \\ \bar{D}_i(w_i) = 1 & \rho_i = 0 \end{cases}$ . Whenever this happens *V* outputs  $\rho$ , otherwise it outputs  $\perp$ .

For every algorithm *P* running in time  $2^{O(n)}$ , by a union-bound, the probability over  $\Phi \sim \Phi$  that *P* outputs a proof that falsely convinces some  $D_i$  or  $\overline{D}_i$  is negligible in *N*. Also, the running time of *V* is  $\widetilde{O}(n \cdot \overline{n} \cdot R(\overline{n})^{O(k/\eta)}) < 2^{\epsilon \cdot n}$ .

Finally, we observe that the hardness assumption in Theorem 7.8 can be *further relaxed* if we restrict our attention to #SAT formulas with *n* variables and size poly(n). In this case, instead of hardness for algorithms that make queries of length *T* to a  $de\mathcal{IP}^{[=c]}$  oracle, we only assume hardness for algorithms that make queries of length polylog(*T*) to a #SAT oracle. That is:

**Theorem 7.9** (a deterministic argument system for #SAT with runtime  $2^{\epsilon \cdot n}$  under weaker hypotheses). Assume that for some  $k \in \mathbb{N}$ , the hypothesis of Theorem 7.8 holds if we replace the oracle access of M (which was to  $\det \mathcal{IP}^{[\rightleftharpoons c]}$  with input length T) by oracle access to #SAT of formulas with  $2k \cdot \log(T)$  variables and size  $\operatorname{polylog}(T)$ . Then, there exists a deterministic verifier V that gets as input an n-bit formula  $\Phi$  of size at most  $n^k$ , runs in time  $2^{(1/k) \cdot n}$ , and satisfies the two conditions in the conclusion of Theorem 7.8.

Note that the hypothesis in Theorem 7.9 is indeed weaker than the hypothesis in Theorem 7.8. This is because #SAT for formulas with  $2k \cdot \log(T)$  variables and size  $\operatorname{polylog}(T)$  can be solved by a query of length O(T) to  $\operatorname{de}\mathcal{IP}_{\mathsf{uni}}^{[\rightleftharpoons c]}[n]$ , where the constant c depends only on k (relying on Theorem 3.18).

**Proof sketch.** Following the proof of Theorem 7.8 and Theorem 7.7, it suffices to show that the following promise problem<sup>54</sup>

$$\mathbf{Y} = \left\{ (x, \pi_{\bar{s}, \bar{p}}, r_i, \tau) : p(r_i) \ge \tau + \epsilon/4 \right\}$$
$$\mathbf{N} = \left\{ (x, \pi_{\bar{s}, \bar{p}}, r_i, \tau) : p(r_i) \le \tau \right\}$$

can be reduced to solving a single #SAT instance with  $2 \log T/\epsilon_0$  variables and O(polylog(T)) size in quasi-linear time (in terms of the input length  $|(x, \pi_{\bar{s},\bar{p}}, r_i, \tau)|$ ).

From Claim 3.19, solving (Y, N) can be reduced to computing  $\tilde{Q}_{t-1}(r_{t-1})$  and  $Q_{t-1}(r_{t-1})$  (see the proof of Theorem 3.18 for the definition of  $\tilde{Q}_{t-1}$  and  $Q_{t-1}$ ). From the definition of  $\tilde{Q}_{t-1}(r_{t-1})$ , it can be computed in quasi-linear time directly given its description in the transcript  $\pi_{\bar{s},\bar{p}}$ . Similarly, from its definition (see (3.1) and (3.2)),  $Q_{t-1}(r_{t-1})$  can be reduced to solving a single #SAT instance with *n* variables and O(poly(n)) size;<sup>55</sup> that is, given the input formula  $\Phi$ , we arithmetize it as in the proof of Theorem 3.18, fix the first t - 2 variables according to the transcript  $\pi_{\bar{s},\bar{p}}$ , and fix the  $(t-1)^{th}$  variable to be  $r_{t-1}$ . This completes the proof by noting that  $T = 2^{\epsilon' n} = 2^{\epsilon_0/2 \cdot n}$ .

<sup>&</sup>lt;sup>54</sup>This problem is defined with respect to a parameter  $\tau$  and the de $\mathcal{IP}_{uni}^{[=2k+1]}[2^{\epsilon'\cdot n}]$  protocol for counting the number of satisfying assignments of an *n*-bit formula of size  $n^{1/\epsilon_0}$  from Theorem 7.8, here  $\epsilon' = \epsilon_0/2$ .

<sup>&</sup>lt;sup>55</sup>Here we crucially used the fact that we are only applying the sum-check protocol to formulas of polynomial-size formulas instead of  $2^{o(n)}$  size.

### 7.4 The general case: Constant-round doubly efficient proof systems

In this section we prove Theorem 1.8. First, in Section 7.4.1, we show yet another refinement of the reconstructive PRG from Proposition 5.1, which will be used in the proof. Then in Section 7.4.2 we prove Theorem 1.8.

#### 7.4.1 Yet another refinement of the reconstructive PRG

We now further refine the reconstructive PRG from Proposition 5.1. The goal now will be for the PRG to work not only with distinguishers, but also with distinguishers that solve a promise problem (i.e., evaluate to Y on a pseudorandom input more than they evaluate to "not N" on a random input). The crux of the proof is to show that the advice depends only on the promise-problem, rather than on any particular oracle that solves the problem (and may behave arbitrarily on queries outside the promise).

**Proposition 7.10** (an extension of the PRG from Proposition 5.3 to "promise problem" distinguishers). Let  $\epsilon' > 0$  be a constant, let G and R be as in Proposition 5.3, and fix a promise-problem (Y, N) and  $f \in \{0, 1\}^{\tilde{N}}$  such that

$$\Pr[G^f(\mathbf{u}_{(1+\epsilon_0)\cdot \log(N)}) \in \mathsf{Y}] > \Pr[\mathbf{u}_N \notin \mathsf{N}] + \epsilon'$$
.

Then, for every  $N \in \mathbb{N}$  there exists  $s \in \mathbb{N}$  satisfying  $s|\overline{t}$ , and  $\alpha \in (0,1)$ , and an advice string adv of length  $|f|^{1-\delta_0}$ , such that the following holds. Denoting by  $a_{x,w,\gamma}$  the sequence of answers to R's queries on input  $x \in [|f|]$  and witness w and random choices  $\gamma$ , we have that:

- 1. (Completeness.) For any x there exists w such that with probability 1 1/N over  $\gamma$ , any function that agrees with (Y, N) yields a sequence of answers to the oracle queries that is  $(s, \alpha)$ -valid, and if  $a_{x,w,\gamma}$  is  $(s, \alpha)$ -indicative of a sequence that agrees with (Y, N), then R(x, w) outputs  $f_x$ .
- 2. (Soundness.) For every (x, w), with probability at least 1 1/N over  $\gamma$ , if  $a_{x,w,\gamma}$  is  $(s, \alpha)$ -indicative of a sequence that agrees with  $(\Upsilon, N)$  on the oracle queries, then R(x, w) outputs either  $\perp$  or  $f_x$ .
- 3. (Non-valid oracles.) If  $a_{x,w,\gamma}$  is not  $(s, \alpha)$ -valid, then R outputs  $\perp$ .

**Proof.** We instantiate Samp:  $\{0,1\}^{\bar{N}} \times [\bar{L}] \rightarrow \{0,1\}^{N}$  just as in the proof of Proposition 4.1, and recall that its accuracy  $\delta$  is sub-constant. We instantiate Enc with an agreement parameter  $\rho = \rho(\epsilon')$  that is now a sufficiently small constant that depends on  $\epsilon' > 0$ . Other than that, we use the exact same generator *G*, and denote again the uniform distribution over the output-set of  $G^{f}$  by **G**.

In the current proof, instead of assuming that we have oracle access to a function  $D: \{0,1\}^N \to \{0,1\}$  that is a (1/10)-distinguisher for **G**, we are first fixing a promise-problem (Y, N) such that

$$\Pr[\mathbf{G} \in \mathsf{Y}] > \Pr[\mathbf{u}_N \notin \mathsf{N}] + \epsilon' , \qquad (7.2)$$

and are only assuming that we have access to *some* (arbitrary)  $D: \{0,1\}^N \to \{0,1\}$  that solves (Y,N). Our goal is for the advice to depend only on (Y,N), but for the reconstruction to work with *any* oracle *D* that agrees with (Y,N).

The error-reduced "promise distinguisher". We define the following two sets:

$$S = \left\{ z \in \{0,1\}^{\bar{N}} : \Pr_{j \in [\bar{L}]}[\mathsf{Samp}(z,j) \notin \mathsf{N}] \le \Pr[\mathbf{u}_N \notin \mathsf{N}] + \epsilon'/100 \right\} ,$$

and

$$T = \left\{ z \in \{0,1\}^{\bar{N}} : \Pr_{j \in [L]}[\mathsf{Samp}(z,j) \in \mathsf{Y}] > \Pr[\mathbf{u}_N \notin \mathsf{N}] + \epsilon'/10 \right\} \ .$$

Observe that  $T \subseteq \overline{S}$ ; this is the case because for any z we have that  $\Pr_j[\operatorname{Samp}(z, j) \in Y] \leq \Pr_j[\operatorname{Samp}(z, j) \notin N]$ , and thus if  $z \in T$  then  $z \notin S$ . Also note that  $|\overline{S}| \leq 2^{\overline{N}^{1-\gamma}}$ , by the properties of Samp (we crucially use the fact that S is defined with respect to the specific event of being not in N). Finally, similarly to Eq. (4.2), we have that

$$\begin{aligned} \Pr[\mathbf{G} \in \mathsf{Y}] &= \Pr_{i,j} \left[ \mathsf{Samp}(\bar{f}_i, j) \in \mathsf{Y} \right] \\ &\leq \Pr_i[\bar{f}_i \in T] + \Pr_{i,j} \left[ \mathsf{Samp}(\bar{f}_i, j) \in \mathsf{Y} | \bar{f}_i \notin T \right] \\ &\leq \Pr[\bar{f}_i \in T] + \left( \Pr[\mathbf{u}_N \notin \mathsf{N}] + \epsilon' / 10 \right) , \end{aligned}$$

and using Eq. (7.2) we deduce that  $\Pr_i[\bar{f}_i \in T] \ge \rho$ , relying on the assumption that  $\rho = \rho(\epsilon')$  is sufficiently small.

Computing a corrupted codeword. Analogously to the proofs of Propositions 4.1 and 5.1, we construct a machine *M* that, given any oracle *D* that agrees with (Y, N), computes a "corrupted" version of  $\overline{f}$ . We first fix a hash function  $h \in \mathcal{H}$  such that there are no collision in  $\overline{S}$ , as in the previous proofs. The machine *M* gets as advice *h*, the value  $\Pr[\mathbf{u}_N \notin N]$ , the set  $I = \{(i, h(i)) :\in [L] \land \overline{f}_i \in T\}$ , and the value  $\alpha = \Pr[\mathbf{u}_N \notin N] + .005$ . We stress the following fact:

## **Observation 7.10.1.** The advice to M depends only on (Y, N), on Samp, and on h.

Now, given  $q \in [|\bar{f}|]$ , the machine M first guesses a preimage  $z \in \{0,1\}^{\bar{N}}$  for  $\bar{f}_i$ and verifies that  $h(z) = \bar{f}_i$  using the stored hash value. Then, M uniformly samples  $s = O(\log(N))$  values  $j_1, \ldots, j_s \in [\bar{L}]$ , calls its oracle D on these values, and proceeds if and only if  $\nu \stackrel{\text{def}}{=} \Pr_{k \in [t]}[D(\operatorname{Samp}(z, j_k)) = 1] \ge \Pr[\mathbf{u}_N \notin N] + \epsilon'/50$ . If both verifications succeeded, then M outputs the bit in z corresponding to index q, and otherwise Moutputs  $\bot$ . The reconstruction R then uses the list-decoder (with fixed index and randomness) just as in the proof of Proposition 5.1.

The claim about non-valid oracles follows immediately by the definition of M above (recall that R outputs  $\bot$  whenever M returns  $\bot$  in at least one execution). To demonstrate completeness, observe that for any x there exists w such that, with probability at least 1 - 1/N, on every query q to M, any sequence of answers that is consistent with (Y, N) will have at least an  $\alpha$ -fraction of answers that are not in N (since the corresponding z is in T); and any answers with an  $\alpha$ -fraction of 1's cause M to correctly compute the corrupted codeword (since the corresponding z is the right preimage for the query under h). When this happens, Dec (and R) output  $f_x$ .

For the soundness case, fix (x, w) and again recall that the non-determinism w for R yields non-deterministic strings for each of the execution of M. For every execution of M on query q and with non-determinism z, if  $z \in S$  then with probability at least  $1 - 1/N^2$  it holds that

$$\Pr_{k \in [t]}[\mathsf{Samp}(z, j_k) \notin \mathsf{N}] < \Pr[\mathbf{u}_N \notin \mathsf{N}] + \epsilon' / 50$$
 ,

in which case there does not exist a sequence of answers with at least an  $\alpha$ -fraction of 1's that agrees with (Y, N). In this case the soundness claim holds vacuously (because there does not exist (*s*,  $\alpha$ )-valid sequence of answers that agrees with (Y, N)).

Therefore, by a union-bound over the queries, we may assume that  $z \in \overline{S}$  for all queries to M and that M outputs either  $\bot$  (if  $z \in \overline{S}$  is not the unique preimage under h for the corresponding query) or the corresponding bit in the corrupted codeword (if  $z \in \overline{S}$  is indeed the unique preimage under h for the corresponding query). In case one of the queries of Dec is answered by  $\bot$ , then R outputs  $\bot$  by definition; and otherwise, the execution of Dec is identical to an execution with access to the corrupted codeword, in with case  $R(x, w) = f_x$ .

### 7.4.2 The proof of Theorem 1.8

We now show that under strong hardness assumptions we can reduce the number of random coins in an arbitrary doubly efficient proof system to be logarithmic, without increasing the number of rounds. The hardness assumptions will refer to a function whose truth-tables can be recognized in near-linear time, but that is hard for multi-round  $\mathcal{AM}$  protocols running in time  $2^{(1-\delta)\cdot n}$  with  $2^{(1-\delta)\cdot n}$  bits of non-uniform advice, for a small  $\delta > 0$ .

**Theorem 7.11** (drastically reducing the number of random coins in a constant-round proof system). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. Let  $c \in \mathbb{N}$  be a constant, and assume that there exists  $L^{\text{hard}} \notin MATIM\mathcal{E}^{[\rightleftharpoons c+1]}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  such that given  $n \in \mathbb{N}$ , the truth-table of  $L^{\text{hard}}$  of *n*-bit inputs can be printed in time  $2^{(1+\epsilon/3)\cdot n}$ . Then, for every polynomial T(n) it holds that

$$\mathsf{de}\mathcal{IP}^{[\rightleftharpoons c]}[T] \subseteq \mathsf{de}\mathcal{IP}^{[\rightleftharpoons c]}[T^{1+\epsilon}, (1+\epsilon) \cdot \log(T)]$$

**Proof.** Let  $L \in de\mathcal{IP}^{[=c]}[T]$ , and let *V* be the corresponding *T*-time verifier for *L*. Denote by  $c' = \lceil c/2 \rceil$  the number of turns of the verifier in the interaction. As in the proof of Theorem 7.4, we denote by  $\langle V, P, x \rangle (r_1, \ldots, r_{c'})$  the result of an interaction between *V* and *P* on common input *x* where the coins  $r_1, \ldots, r_{c'}$  are gradually revealed in the c' turns of *V*.

**The new verifier.** We define a verifier V' that gets input  $x \in \{0,1\}^n$ , and acts as follows. For N = T(n), let  $f_n$  be the truth-table of  $L^{\text{hard}}$  on inputs of length  $(1 + \epsilon/3) \cdot \log(N)$ . Consider the generator *G* from Proposition 7.10 with  $\epsilon_0 = \epsilon$  and input  $1^N$  and oracle access to  $f_n$ , and denote the number of its outputs by  $\overline{N} = N^{1+\epsilon}$ .

The verifier V' computes  $f_n$ , and in each turn i, it chooses a random  $s_i \in [\bar{N}]$  and sends  $G^{f_n}(s_i)$  it to the prover, instead of a random N-bit string. In the end V' applies the predicate V to the transcript. Note that V' uses  $(1 + \epsilon) \cdot \log(N)$  random coins in each turn, and runs in time  $O(N^{1+\epsilon})$ .

**Completeness and soundness.** The honest prover for V' behaves identically to the prover for V. Since the new protocol simulates the original protocol with a pseudorandom subset of the random strings, completeness follows immediately. We thus focus on proving the soundness of V'. Fix  $x \notin L$ .

<u>Notation</u>. Let us introduce some useful notation. For every  $i \in [c']$  let  $V_i$  be the verifier that in the first *i* turns uses pseudorandom coins as above, and in the rest of the interaction uses random coins. By definition, we have that

$$\langle V_i, P, x \rangle \left( s_1, \ldots, s_i, r_{i+1}, \ldots, r_{c'} \right) = \langle V, P, x \rangle \left( G^{f_n}(s_1), \ldots, G^{f_n}(s_i), r_{i+1}, \ldots, r_{c'} \right) \,.$$

We define a sequence of hybrids, as follows:

$$p_{x,i} = \max_{P} \left\{ \Pr_{s_1,\dots,s_i,r_{i+1},\dots,r_{c'}} \left[ \langle V_i, P, x \rangle \left( s_1,\dots,s_i,r_{i+1},\dots,r_{c'} \right) = 1 \right] \right\} , \qquad (7.3)$$

where i = 0, ..., c'. Indeed  $p_{x,0}$  is just the maximal acceptance probability of V on input x whereas  $p_{x,c'}$  is the maximal acceptance probability of V' on input x (where in both cases the maximum is taken over all provers).

Now, let  $\overline{P}$  be the prover that maximizes  $p_{x,c'}$ . For any  $i \in [c']$  and prover P, we think P as a concatenation  $P^{1...i} \circ P^{i+1...c'}$ , <sup>56</sup> and for any  $i \in \{0, ..., c'\}$  we denote

$$(p_{x,i}|\bar{P}) = \max_{P^{i+1...c'}} \left\{ \Pr_{s_1,\ldots,s_i,r_{i+1},\ldots,r_{c'}} \left[ \left\langle V_i, \bar{P}^{1...i} \circ P^{i+1...c'}, x \right\rangle (s_1,\ldots,s_i,r_{i+1},\ldots,r_{c'}) = 1 \right] \right\} .$$

A hybrid argument. Assume that  $p_{x,c'} = (p_{x,c'}|\bar{P}) \ge 1/2$ . Since  $x \notin L$ , we have that  $\overline{(p_{x,0}|\bar{P}) \le 1/3}$ . It follows that

$$1/6 < (p_{x,c'}|\bar{P}) - (p_{x,0}|\bar{P}) = \sum_{i \in [c']} (p_{x,i}|\bar{P}) - (p_{x,i-1}|\bar{P})$$
 ,

and hence for some  $i \in [c']$  it holds that  $(p_{x,i}|\bar{P}) - (p_{x,i-1}|\bar{P}) > 1/20c'$ . Observe that

$$(p_{x,i}|P) - (p_{x,i-1}|P)$$

$$= \max_{p^{i+1...c'}} \left\{ \Pr_{s_1,\dots,s_i,r_{i+1},\dots,r_{c'}} \left[ \left\langle V_i, \bar{P}^{1...i} \circ P^{i+1...c'}, x \right\rangle (s_1,\dots,s_i,r_{i+1},\dots,r_{c'}) = 1 \right] \right\}$$

$$- \max_{p^{i...c'}} \left\{ \Pr_{s_1,\dots,s_{i-1},r_i,\dots,r_{c'}} \left[ \left\langle V_{i-1}, \bar{P}^{1...i-1} \circ P^{i...c'}, x \right\rangle (s_1,\dots,s_{i-1},r_i,\dots,r_{c'}) = 1 \right] \right\}$$

$$\geq \max_{p^{i...c'}} \left\{ \Pr_{s_1,\dots,s_{i-1},r_i,\dots,r_{c'}} \left[ \left\langle V_{i-1}, \bar{P}^{1...i-1} \circ P^{i...c'}, x \right\rangle (s_1,\dots,s_{i-1},r_i,\dots,r_{c'}) = 1 \right] \right\}$$

$$- \max_{p^{i...c'}} \left\{ \Pr_{s_1,\dots,s_{i-1},r_i,\dots,r_{c'}} \left[ \left\langle V_{i-1}, \bar{P}^{1...i-1} \circ P^{i...c'}, x \right\rangle (s_1,\dots,s_{i-1},r_i,\dots,r_{c'}) = 1 \right] \right\}$$

$$- \max_{p^{i...c'}} \left\{ \max_{p_{i...c'}} \left\{ \Pr_{r_{i+1},\dots,r_{c'}} \left[ \left\langle V_i, \bar{P}^{1...i-1} \circ P^{i...c'}, x \right\rangle (s_1,\dots,s_{i-1},r_i,\dots,r_{c'}) = 1 \right] \right\}$$

$$- \sum_{s_1,\dots,s_{i-1},r_i} \left[ \max_{p^{i...c'}} \left\{ \Pr_{r_{i+1},\dots,r_{c'}} \left[ \left\langle V_{i-1}, \bar{P}^{1...i-1} \circ P^{i...c'}, x \right\rangle (s_1,\dots,s_{i-1},r_i,\dots,r_{c'}) = 1 \right] \right\} \right] ,$$

$$(7.4)$$

where the last equality is justified using the precise same argument as in Claim 7.4.3.1. Defining a distinguisher. For any  $\bar{s} = (s_1, ..., s_{i-1})$  and  $r_i \in \{0, 1\}^N$ , denote

$$p_{\bar{s}}(r_i) = \max_{P^{i...c'}} \left\{ \Pr_{r_{i+1},...,r_{c'}} \left[ \left\langle V_{i-1}, \bar{P}^{1...i-1} \circ P^{i...c'}, x \right\rangle (\bar{s}, r_i, \ldots, r_{c'}) = 1 \right] \right\} ,$$

and observe that Eq. (7.4) can thus be rewritten as

$$\mathbb{E}_{\bar{s}}\left[\mathbb{E}_{s_i\in[\bar{N}]}\left[p_{\bar{s}}(G^{f_n}(s_i))\right] - \mathbb{E}_{r_i\in\{0,1\}^N}\left[p_{\bar{s}}(r_i)\right]\right] > 1/20c'$$

<sup>&</sup>lt;sup>56</sup>Recall that, as in the proof of Theorem 7.4, we think of *P* as a sequence of c' functions, mapping transcripts to *N*-bit responses.

We fix  $\bar{s} = (s_1, \ldots, s_{i-1})$  such that the expected difference above is attained. Now, using Lemma 7.4.3.4 with  $\mathbf{x} = p_{\bar{s}}(G^{f_n}(\mathbf{u}_{[\bar{N}]}))$  and  $\mathbf{y} = p(\mathbf{u}_N)$  and  $\epsilon = 1/20c'$ , there exists  $\tau \in \{0, \epsilon/4, 2\epsilon/4, \ldots, 1\}$  such that

$$\Pr\left[p_{\bar{s}}(G^{f_n}(\mathbf{u}_{[\bar{N}]})) \ge \tau + \epsilon/4\right] - \Pr\left[p(\mathbf{u}_N) \ge \tau\right] > \epsilon/2.$$
(7.5)

Now, consider the following promise problem:

$$\begin{aligned} \mathsf{Y} &= \left\{ (x, r_i, \pi_{\bar{s}, \bar{p}}, \tau) : p_{\bar{s}}(r_i) \geq \tau + \epsilon/4 \right\} \\ \mathsf{N} &= \left\{ (x, r_i, \pi_{\bar{s}, \bar{p}}, \tau) : p_{\bar{s}}(r_i) \leq \tau \right\} . \end{aligned}$$

Note that by Eq. (7.5), we have that

$$\epsilon/2 > \Pr[G^{f_n}(\mathbf{u}_{[N]}) \in \mathsf{Y}] - \Pr[\mathbf{u}_N \notin \mathsf{N}].$$

We further argue that (Y, N) is in  $pr AMTIME^{[\Rightarrow c]}[O(n)]$ , via the following protocol U. (Note that the input length to this problem is N, and thus the running time O(N) that we will prove is linear in its input length.) The protocol U simulates the protocol underlying  $p_{\overline{s}}$  (i.e., interacts with its prover using random coins and applies the final predicate that V applies to the interaction, when the prefix is  $\pi$ ) for constantly many times in parallel, to estimate its acceptance probability, with high probability, up to an error of  $\epsilon/8$ . The procedure accepts if and only if its estimate is more than  $\tau + \epsilon/8$ . Note that U is indeed an  $AMTIME^{[\Rightarrow c]}$  protocol that runs in linear time, uses a linear number of advice bits, and solves (Y, N).

A reconstruction argument. Let *D* be a protocol for the  $prAMTIME^{[\Rightarrow c]}[O(n)]$  above. Consider the reconstruction algorithm *R* from Proposition 7.10, with the advice string adv and  $s \in \mathbb{N}$  and  $\alpha > 0$  that correspond to (Y, N) above. Our protocol for the hard function *f* will simulate *R*, and resolve its queries by simulating *D* with our prover.

By the completeness of *R*, for every *z* there exists *w* for which with high probability over *R*'s random coins, any sequence of answers to oracle queries that agrees with (Y, N) is  $(s, \alpha)$ -valid, and any sequence of answers that is  $(s, \alpha)$ -indicative of a sequence that agrees with (Y, N) causes *R* to output  $f_z$ . Thus, the prover can simply convince the verifier of the veracity of all queries that are Y instances: In this case, regardless of what the protocol answers for N instances, the sequence of answers agrees with (Y, N)and is thus  $(s, \alpha)$ -indicative of itself, causing *R* to output  $f_z$ .

To establish the soundness of the protocol, denote the sequence of answers to the queries of *R* by  $d_1, \ldots, d_{\bar{t}}$ , and observe that with high probability, for every query  $q_i$  we have  $d_i = 1 \Rightarrow q_i \notin N$ . Then, by Proposition 7.10, with high probability the following holds: If the sequence of answers to the verifier's queries is  $(\alpha, s)$ -deficient, then *R* outputs  $\bot$ ; and otherwise, it means that the answers are  $(s, \alpha)$ -indicative of the sequence of answers that agree with (Y, N), in which case we are in the soundness case and the output is either  $f_z$  or  $\bot$ .

The procedure above is an  $MATIME^{[=c+1]}$  protocol (since we are using the first round to receive *w*, then *c* rounds to simulate *D*) and it runs in time

$$\underbrace{|f_n|^{1-\delta_0}}_{\text{complexity of }R} + \underbrace{N^{\epsilon/10}}_{\text{number of queries}} \cdot \underbrace{O(N)}_{\text{length of each query}} < |f_n|^{1-\delta},$$

relying on a sufficiently small choice of  $\delta > 0$ . Similarly, the total number of advice bits that it uses is  $|f_n|^{1-\delta_0} + O(N) < |f_n|^{1-\delta}$ . This contradicts the hardness of  $L^{\text{hard}}$ .

By combining Theorems 7.11 and 7.4, we now show that under strong hardness assumptions we can simulate any  $AMTIME^{[=c]}$  protocol by a deterministic effective argument system, with essentially no time overhead.

**Corollary 7.12** (simulating proof systems by deterministic effective argument systems; Theorem 1.8, restated). For every  $\epsilon \in (0,1)$  there exists  $\delta > 0$  such that for every  $c \in \mathbb{N}$  the following holds. Let *T* be a polynomial, and assume that:

- 1. There exists  $L^{hard} \notin MATIME^{[\rightleftharpoons c+1]}[2^{(1-\delta)\cdot n}]/2^{(1-\delta)\cdot n}$  such that given  $n \in \mathbb{N}$ , the truth-table of  $L^{hard}$  of *n*-bit inputs can be printed in time  $2^{(1+\epsilon/3)\cdot n}$ .
- 2. The hypothesis in Theorem 7.4 holds with respect to some  $\alpha, \beta > 0$ , with parameter values c and  $R = T^{\mu_{\alpha,\beta,\epsilon}}$  and time bound  $T^{1+\epsilon/2}$  (where  $\mu_{\alpha,\beta,\epsilon} > 0$  is sufficiently small).

Then, de $\mathcal{IP}^{[\rightleftharpoons c]}[T] \subseteq de\mathcal{ARG}[T^{1+\epsilon}].$ 

**Proof.** Let  $L \in de\mathcal{IP}^{[\rightleftharpoons c]}[T]$ . By the first hypothesis and Theorem 7.11 we have that  $L \in de\mathcal{IP}^{[\rightleftharpoons c]}[T_0, R_0]$  for  $T_0 = T^{1+\epsilon/2}$  and  $R_0 = (1 + \epsilon/2) \cdot \log(T)$ . And by the second hypothesis and Theorem 7.4 with time bound  $T_0$  and randomness  $R > R_0$ , we have that  $L \in de\mathcal{ARG}[T_0 \cdot poly(R)] \subseteq de\mathcal{ARG}[T^{1+\epsilon}]$ .

As in Remark 7.6, the derandomization in Corollary 7.12 extends to the setting in which the original honest prover (in the probabilistic proof system) was efficient only when given a witness in a relation, and in this case the honest prover in the argument system is also efficient only when given a witness in the same relation. (This is because, similarly to Remark 7.6, the honest prover in the argument system underlying Theorem 7.11 is identical to the original honest prover.)

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## A Useful properties are necessary for derandomization of $\mathcal{MA}$

In this appendix we prove that useful properties that are *constructive in near-linear time* are necessary for derandomization of  $\mathcal{MA}$ . We first prove that *infinitely often* useful properties are necessary for *any* derandomization of  $\mathcal{MA}$ , following the proof approach of Williams [Wil13; Wil16]; and then we prove that useful properties (in the standard sense, i.e. not only infinitely often) are necessary for black-box superfast derandomization of  $\mathcal{MA}$ .

**Definition A.1** (io-useful property; compare with Definition 3.3). We say that a collection  $\mathcal{L} \subseteq \{0,1\}^*$  of strings is a  $\mathcal{C}'$ -constructive property io-useful against  $\mathcal{C}$  if for every  $N = 2^n$  it holds that  $\mathcal{L}_n = \mathcal{L} \cap \{0,1\}^N \neq \emptyset$ , and  $\mathcal{L} \in \mathcal{C}'$ , and for every  $L \in \mathcal{C}$  there are infinitely many  $n \in \mathbb{N}$  such that  $L_n \notin \mathcal{L}_n$ , where  $L_n \in \{0,1\}^{2^n}$  is the truth-table of L on n-bit inputs.

**Proposition A.2** (io-useful properties are necessary for derandomization of  $\mathcal{MA}$ ). If every unary language in  $\mathcal{MA}$  is also in  $\mathcal{NP}$  then there is a quasilinear-time-constructive property io-useful against circuits of size  $2^{\epsilon \cdot n}$ , for some constant  $\epsilon > 0$ .

**Proof.** We first use the well-known proof approach from [Wil13; Wil16] to argue that witnesses for a certain unary language in  $\mathcal{NTIME}[2^n]$  have exponential circuit complexity, infinitely often; and then follow [Wil16] in observing that the latter statement yields an io-useful property for exponential-sized circuits. Details follow.

Let *L* be a unary language in  $\mathcal{NTIME}[2^n] \setminus \mathcal{NTIME}[2^{n/2}]$  (see [Žák83]), and let *V* be a PCP verifier for *L* with running time poly(*n*) and randomness  $n + O(\log n)$  and perfect completeness and soundness error 1/3 (see [BSGH+06]). Consider an  $\mathcal{MA}$  verifier  $V^{\mathsf{PCP}}$  that on *n*-bit inputs guesses a circuit *C* of size  $2^{\epsilon \cdot n}$ , where  $\epsilon > 0$  is sufficiently small, and runs *V* while resolving its oracle queries using *C*.

Assume towards a contradiction that for every sufficiently large  $n \in \mathbb{N}$ , if  $1^n \in L$  then there is  $w \in \{0,1\}^{2^n}$  that is the truth-table of a function computable by circuits of size  $2^{\epsilon \cdot n}$  such that  $\Pr[V(1^n, w) = 1] = 1$ . In this case,  $V^{\mathsf{PCP}}$  is an  $\mathcal{MA}$  verifier that decides L in time  $\tilde{O}(2^{\epsilon \cdot n})$ , and thus (by our hypothesis and relying on  $\epsilon > 0$  being small) it holds that  $L \in \mathcal{NTIME}[2^{n/2}]$ , a contradiction.

Thus, there is an infinite set  $S \subseteq \mathbb{N}$  such that for every  $n \in S$  there exists  $w \in \{0,1\}^{2^n}$  such that  $\Pr[V(1^n, w) = 1] = 1$ , and every w satisfying this condition is the truth-table of a function whose circuit complexity is more than  $2^{\epsilon \cdot n}$ . Our io-useful property consists of all such w's, for every  $n \in S$ . The constructive algorithm for the property gets  $f_n \in \{0,1\}^{2^n}$  and enumerates over the randomness of V to compute  $\Pr[V(1^n, f_n) = 1]$ ; if the latter value is 1 then it accepts, otherwise it rejects. Indeed, the property is non-trivial infinitely often, its truth-tables are hard for circuits of size  $2^{\epsilon \cdot n}$ , and it is constructive in time  $\tilde{O}(2^n)$ .

We now prove that useful properties (which are not only "infinitely often" useful) that are constructive in near-linear time are necessary for any superfast derandomization of  $\mathcal{MA}$  that uses non-deterministic PRGs (NPRGs). The proof follows the standard transformation of PRGs into hard functions, adapting it to our setting: Replacing PRGs with NPRGs, and hard functions with useful properties.

**Definition A.3** (non-deterministic PRG). A non-deterministic machine M is a non-deterministic  $\epsilon$ -PRG ( $\epsilon$ -NPRG, in short) for a circuit class C if for every  $n \in \mathbb{N}$ , when M is given input  $1^n$  it satisfies the following:

1. There exists a non-deterministic guess such that M prints  $S \subseteq \{0,1\}^n$  for which there is no circuit on n inputs in C that is an  $\epsilon$ -distinguisher.<sup>57</sup>

<sup>&</sup>lt;sup>57</sup>That is, for every  $C \in C$  on *n* input bits it holds that  $\Pr_{s \in S}[C(s) = 1] \in \Pr_{x \in \{0,1\}^n}[C(x) = 1] \pm \epsilon$ .

2. For every non-deterministic guess, either M prints  $S \subseteq \{0,1\}^n$  for which there is no circuit on n inputs in C that is an  $\epsilon$ -distinguisher, or M outputs  $\perp$ .

**Proposition A.4** (useful properties are necessary for derandomization with NPRGs). For every  $\epsilon > 0$  there exists  $\delta > 0$  such that the following holds. If there is a (1/10)-NPRG for linear-sized circuits that is computable in time  $n^{1+\epsilon}$ , then there is an  $\mathcal{NTIME}[N^{1+3\epsilon}]$ -computable property  $\mathcal{L}$  useful against circuits of size  $2^{(1-\delta)\cdot n}$ .

**Proof.** For every  $n \in \mathbb{N}$ , let  $S_n$  be the collection of all possible sets S that the NPRG can print on input length  $1^n$  (i.e., when considering all non-deterministic guesses that do not cause M to output  $\bot$ ). Fix  $S \in S_n$ , and note that  $|S| \le n^{1+\epsilon}$  (due to the running time of M). For  $\ell(n) = \lceil (1+2\epsilon) \cdot \log(n) \rceil$ , let  $f_S \colon \{0,1\}^{\ell} \to \{0,1\}$  such that  $f_S(x) = 1$  if and only if x is a prefix of some  $z \in S$ . Note that there is no circuit C of size  $O(n) = 2^{\Omega(\ell/(1+2\epsilon))} = 2^{(1-\delta)\cdot\ell}$  that computes  $f_S$  (otherwise we could use C to construct a circuit that avoids S but has high acceptance probability).

For every  $\ell \in \mathbb{N}$ , we include in  $\mathcal{L}$  all the functions  $f_S$  obtained from  $S \in S_n$  such that  $\ell = \ell(n)$ . The argument above shows that  $\mathcal{L}$  is useful against circuits of size  $2^{(1-\delta)\cdot\ell}$ , and its non-triviality follows since the NPRG works on all input lengths. Finally, the truth-tables of  $f_S$ 's included in  $\mathcal{L}$  can be recognized in non-deterministic time  $\tilde{O}(N^{1+2\epsilon}) < N^{1+3\epsilon}$ , by computing the NPRG.

Indeed, the proof above works also with the weaker notion of non-deterministic HSG (NHSG), and we formulated it using NPRGs merely since the latter notion is more well-known.

## B Proof of Lemma 7.4.3.4

We now restate Lemma 7.4.3.4 from the proof of Theorem 7.4 and prove it.

**Lemma B.1.** Let  $\mathbf{x}, \mathbf{y}$  be two random variables taking values from [0, 1] and  $\epsilon \in (0, 1)$  such that  $\epsilon^{-1} \in \mathbb{N}$ , and let  $\tau = 4/\epsilon$ . If  $\mathbb{E}[\mathbf{x}] - \mathbb{E}[\mathbf{y}] > \epsilon$ , then there exists  $\ell \in [\tau]$  such that

$$\Pr[\mathbf{x} \ge (\ell+1)/\tau] - \Pr[\mathbf{y} \ge \ell/\tau] > \epsilon/2$$
.

**Proof.** For every  $i \in \{0, 1..., \tau + 1\}$ , let

$$p_i = \Pr\left[\mathbf{x} \in [i/\tau, (i+1)/\tau)\right]$$
 and  $q_i = \Pr\left[\mathbf{y} \in [i/\tau, (i+1)/\tau)\right]$ .

Note that  $p_{\tau+1} = q_{\tau+1} = 0$  (we define them purely for notational convenience), and that  $\sum_{i=0}^{\tau} p_i = \sum_{i=0}^{\tau} q_i = 1$ . Also, we have that

$$\mathbb{E}[\mathbf{x}] \in \left[\sum_{i=0}^{\tau} p_i \cdot (i/\tau), \tau^{-1} + \sum_{i=0}^{\tau} p_i \cdot (i/\tau)\right),$$
$$\mathbb{E}[\mathbf{y}] \in \left[\sum_{i=0}^{\tau} q_i \cdot (i/\tau), \tau^{-1} + \sum_{i=0}^{\tau} q_i \cdot (i/\tau)\right),$$

which by our hypothesis  $\mathbb{E}[\mathbf{x}] - \mathbb{E}[\mathbf{y}] > \epsilon$  implies that

$$\left[\sum_{i=0}^{\tau} p_i \cdot (i/\tau)\right] - \left[\sum_{i=0}^{\tau} q_i \cdot (i/\tau)\right] > \epsilon - \tau^{-1}.$$

Now, denote  $p_{\geq \ell} = \sum_{j=\ell}^{\tau} p_j$  and  $q_{\geq \ell} = \sum_{j=\ell}^{\tau} q_j$ . Then, we have that

$$\begin{split} \sum_{i=0}^{\tau} p_i \cdot (i/\tau) &= \sum_{\ell=1}^{\tau} p_{\geq \ell}/\tau = \mathop{\mathbb{E}}_{\ell \in [\tau]} [p_{\geq \ell}] \ ,\\ \sum_{i=0}^{\tau} q_i \cdot (i/\tau) &= \mathop{\mathbb{E}}_{\ell \in [\tau]} [q_{\geq \ell}] \ , \end{split}$$

and therefore

$$\mathop{\mathbb{E}}_{\ell\in[\tau]}\left[p_{\geq\ell}-q_{\geq\ell}\right] > \epsilon-\tau^{-1} \,.$$

Next, observe that

$$\mathop{\mathbb{E}}_{\ell \in [\tau]} [p_{\geq \ell+1}] = \mathop{\mathbb{E}}_{\ell \in [\tau]} [p_{\geq \ell}] - \mathop{\mathbb{E}}_{\ell \in [\tau]} [p_{\ell}] \geq \mathop{\mathbb{E}}_{\ell \in [\tau]} [p_{\geq \ell}] - 1/\tau \; .$$

Putting them together, we have

$$\mathop{\mathbb{E}}_{\ell \in [\tau]} \left[ p_{\geq \ell+1} - q_{\geq \ell} \right] > \epsilon - 2\tau^{-1} = \epsilon/2 ,$$

and hence there exists  $\ell \in [\tau]$  such that  $p_{\geq \ell+1} - q_{\geq \ell} > \epsilon/2$ .

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