

# How Much Randomness is Needed to Convert MA Protocols to AM Protocols?

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## Abstract

The Merlin-Arthur class of languages MA is included into Arthur-Merlin class AM, and into PP. For a standard transformation of a given MA protocol with Arthur's message (= random string) of length  $a$  and Merlin's message of length  $m$  to a PP machine, the latter needs  $O(ma)$  random bits. The same holds for simulating MA protocols by AM protocols: in the resulting AM protocol the length of Arthur's message (= random string) is  $O(ma)$ . And the same holds for simulating heuristic MA protocols by heuristic AM protocols as well. In the paper [A. Knop, Circuit Lower Bounds for Average-Case MA, CSR 2015] it was conjectured that, in the transformation of heuristic MA protocols to heuristic AM protocols,  $O(ma)$  can be replaced by a polynomial of  $a$  only. A similar question can be asked for normal MA and AM protocols, and for the simulation of MA protocols by PP machines. In the present paper we show that, relative to an oracle, both latter questions answer in the negative and Knop's conjecture is false. Moreover, the same is true for simulation of MA protocols by AM protocols in which the error probability is not bounded away from  $1/2$ , the so called PP·NP protocols. The latter protocols generalize both AM protocols and PP machines.

## 1 Introduction

Let  $MA[m, a]$  denote the class of languages that have two-round Merlin-Arthur protocols in which Merlin starts the communication, the lengths of messages are  $m, a$ , respectively, and error probability is at most  $1/3$ . In a similar way the class  $AM[m, a]$  is defined, but now Arthur is the one starting the communication.

The class AM includes MA [Bab85], more specifically, it holds that  $MA[m, a] \subset AM[m, O(ma)]$ . This can be proved via amplification: we first decrease the error probability from  $1/3$  to  $2^{-m-1}$ , at the expense of increasing  $a$  to  $O(ma)$ , as Arthur has to repeat his algorithm  $O(m)$  times and then make a majority vote. Using derandomization via expanders [AFWZ95], we can replace  $O(ma)$  by  $a + O(m)$  and hence prove the inclusion  $MA[m, a] \subset AM[m, a + O(m)]$ . In this paper we try to understand whether it is possible get rid of  $O(m)$  and replace in this inclusion  $a + O(m)$  by a polynomial of  $a$  only.

*Question 1.* Is there a polynomial  $p$  such that  $MA[m, a] \subset AM[* , p(a)]$  for all polynomials  $m, a$  of the length of the input?

Here  $AM[* , a]$  denotes the union of  $AM[m, a]$  over all polynomials  $m$ . This question is motivated by the following conjecture from Knop's paper [Kno15] about heuristic analogs of MA and AM.

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*Conjecture 1* ([Kno15]). There is a polynomial  $p$  such that if there is a heuristic Merlin-Arthur protocol for a language  $L$  that on inputs of length  $n$  and confidence  $\delta$  uses  $q(n/\delta)$  random bits ( $q$  is a polynomial) then there is a heuristic Arthur-Merlin protocol for  $L$  using  $p(q(n/\delta))$  random bits.

Roughly speaking, a language  $L$  has a heuristic Merlin-Arthur protocol if there is an ordinary Merlin-Arthur protocol that errs only on a small fraction of inputs (called its confidence). The heuristic analog of AM is defined in a similar way.

This conjecture is interesting, since it provides a way to transform lower bounds for heuristic computations to lower bounds for normal computations. More specifically, the following holds: if Conjecture 1 is true then for all  $k$  some NP language has no Boolean circuits of size  $n^k$  ([Kno15]). Thus it would be very helpful to prove Conjecture 1.

A similar question arises for the inclusion  $\text{MA} \subset \text{PP}$  [Ver92], where PP stands for the class of languages recognized by probabilistic polynomial time machines with error probability less than  $1/2$ . It is important that the error probability is not bounded away from  $1/2$ . This inclusion is also proved via amplification and its more detailed version reads  $\text{MA}[m, a] \subset \text{PP}[O(ma)]$ , where  $r$  in the notation  $\text{PP}[r]$  denotes the number of random bits available to PP machines.

*Question 2.* Is there a polynomial  $p$  such that  $\text{MA}[m, a] \subset \text{PP}[p(a)]$  for all polynomials  $m, a$ ?

If  $\text{MA} = \text{P}$  or  $\text{MA} = \text{NP}$ , then in both above simulations randomness is not needed at all, therefore both questions answer in positive. Hence to prove negative answers, we must show beforehand that  $\text{MA} \neq \text{P}$ . The latter is equivalent to  $\text{P} \neq \text{NP}$  since  $\text{MA} \subset \Pi_2^P$  [BHZ87]. Thus we cannot hope to prove negative answers to both Questions 1 and 2 unless we show that  $\text{P} \neq \text{NP}$ . On the other hand, positive answers seem implausible.

In such a situation, it is natural to ask whether one can answer Questions 1 and 2 using relativizable techniques. By the result of [BGS75] there is an oracle under which  $\text{P} \neq \text{NP}$ . Under that oracle  $\text{MA} = \text{P}$ , since the Polynomial Hierarchy collapses. Hence under that oracle both questions answer in the positive and Knop’s conjecture holds.

On the other hand, in the present paper we show that there are oracles under which both questions answer in the *negative* and Knop’s conjecture does *not* hold. More specifically, we show that there is an oracle under which for every polynomial  $p$  the class  $\text{MA}[m, a]$  is not included in both classes  $\text{AM}[* , p(a)]$  and  $\text{PP}[p(a)]$  where  $a(n) = n$ ,  $m(n) = p(n)$ , and  $n$  stands for the length of the input. In particular, under that oracle  $\text{MA} \neq \text{NP}$  thus the “full derandomization” of MA is impossible. Our result implies that we need a non-relativizable proof techniques to fully derandomize MA and even to show that  $\text{MA}[m, a] \subset \text{AM}[* , p(a)]$  for some fixed polynomial  $p$ . It remains an open question whether we can resolve both questions using algebrizable techniques in the sense of Aaronson and Wigderson [AW09].

Actually, we prove a stronger separation. Let  $\text{PP} \cdot \text{NP}[m, a]$  denote the class of languages recognized by Arthur-Merlin protocols with error probability less than  $1/2$  but not bounded away from  $1/2$ . This class obviously includes both classes  $\text{PP}[a]$  and  $\text{AM}[m, a]$ . In the present paper we prove that under an oracle, for all non-constant polynomials  $m, a$  there is a language  $L$  in  $\text{MA}[m, a]$  such that for every  $\text{PP} \cdot \text{NP}[m', a']$  protocol for  $L$  it holds  $a'(n) \geq m(n) + a(n) - O(\log n)$  for all  $n$ .

Using the same techniques, we then show that under an oracle Knop’s conjecture is false, too. We also establish a similar theorem for MA protocols, where Merlin never fails. More specifically, let  $\text{MAP}[m, a]$  denote the subclass of  $\text{MA}[m, a]$  consisting of languages possessing a protocol for which the error probability is zero for all strings from the language. It is natural to ask whether  $\text{MAP}[m, a] \subset \text{AM}[* , \text{poly}(a)]$ . From the Sipser–Gács–Lautemann theorem [Sip83, Lau83] about the

inclusion  $\text{BPP} \subset \Pi_2$  it follows that  $\text{MAP} = \text{MA}$ , more specifically,

$$\text{MA}[m, a] \subset \text{MAP}[O((m + a \log a)a \log a), O((a \log a)^2)].$$

Hence our theorem implies that under an oracle for all polynomials  $p$  there are polynomials  $m, a$  with  $\text{MAP}[m, a] \not\subset \text{AM}[*], p(a)$ . In the present paper, we establish a more tight bound: relative to an oracle, for every non-constant polynomials  $m, a$  there is a language  $L$  in  $\text{MAP}[m, a]$  such that for any  $\text{PP} \cdot \text{NP}[*], a'$  protocol for  $L$  we have  $a'(n) \geq \max\{m(n), a(n)\} - O(\log n)$  for almost all  $n$ . Compared with simulating MA protocols,  $m(n) + a(n)$  is replaced by  $\max\{m(n), a(n)\}$ .

Summarizing, we show that we cannot prove Conjecture 1 or positive answers to Questions 1 and 2 using relativizable techniques.

## 2 Preliminaries

A *language* is a subset of the set  $\{0, 1\}^*$  of all strings over the binary alphabet. The length of the string  $x$  is denoted by  $|x|$ . When we speak on probability, we always mean the uniform distribution.

A *polynomial* is a function  $p : \mathbb{N} \rightarrow \mathbb{N}$  of the form  $p(n) = b(n + 1)^c$  where  $b, c$  are positive integers. Thus any polynomial is a non-constant function with positive values. In the following definitions  $r, m, n, t$  denote some polynomials.

*Definition 1.* A language  $L$  is in the class  $\text{PP}[r]$  if there is a Turing machine  $V$  with input strings  $x, a$ , whose running time is bounded by some polynomial of  $|x|$ , such that

$$x \in L \leftrightarrow \text{Prob}_{a \in \{0, 1\}^{r(|x|)}}[V(x, a) = 1] > 1/2$$

for all strings  $x$ .

*Definition 2.* A language  $L$  is in the class  $\text{MA}[m, a]$  if there is a Turing machine  $V$  with input strings  $x, y, z$ , whose running time is bounded by some polynomial of  $|x|$ , such that

$$\begin{aligned} x \in L &\rightarrow \exists y \in \{0, 1\}^{m(|x|)} \text{Prob}_{z \in \{0, 1\}^{a(|x|)}}[V(x, y, z) = 1] > 2/3, \\ x \notin L &\rightarrow \forall y \in \{0, 1\}^{m(|x|)} \text{Prob}_{z \in \{0, 1\}^{a(|x|)}}[V(x, y, z) = 1] < 1/3. \end{aligned}$$

for all strings  $x$ .

*Definition 3.* A language  $L$  is in the class  $\text{MAP}[m, a]$  if there is a Turing machine  $V$  with input strings  $x, y, z$ , whose running time is bounded by some polynomial of  $|x|$ , such that

$$\begin{aligned} x \in L &\rightarrow \exists y \in \{0, 1\}^{m(|x|)} \text{Prob}_{z \in \{0, 1\}^{a(|x|)}}[V(x, y, z) = 1] = 1, \\ x \notin L &\rightarrow \forall y \in \{0, 1\}^{m(|x|)} \text{Prob}_{z \in \{0, 1\}^{a(|x|)}}[V(x, y, z) = 1] < 1/2. \end{aligned}$$

for all strings  $x$ . Compared with the definition of MA, the number  $2/3$  is replaced by  $1$ , and the number  $1/3$  by  $1/2$  (the latter replacement is not important).

*Definition 4.* A language  $L$  is in the class  $\text{AM}[m, a]$  if there is a Turing machine  $V$  with input strings  $x, y, z$ , whose running time is bounded by some polynomial of  $|x|$ , such that

$$\begin{aligned} x \in L &\rightarrow \text{Prob}_{z \in \{0, 1\}^{a(|x|)}}[\exists y \in \{0, 1\}^{m(|x|)} V(x, y, z) = 1] > 2/3, \\ x \notin L &\rightarrow \text{Prob}_{z \in \{0, 1\}^{a(|x|)}}[\exists y \in \{0, 1\}^{m(|x|)} V(x, y, z) = 1] < 1/3. \end{aligned}$$

for all strings  $x$ .

*Definition 5.* A language  $L$  is in the class  $\text{PP}\cdot\text{NP}[m, a, t]$  if there is a Turing machine  $V$  with input strings  $x, y, z$ , whose running time is bounded by  $t(|x|)$ , such that

$$x \in L \leftrightarrow \text{Prob}_{z \in \{0,1\}^{a(|x|)}} [\exists y \in \{0,1\}^{m(|x|)} V(x, y, z) = 1] > 1/2. \quad (1)$$

for all strings  $x$ . Triples of the form  $(m, a, V)$  will be called *PP·NP protocols*. We say that a  $\text{PP}\cdot\text{NP}$  protocol *is correct on input  $x$*  if the equivalence (1) is true. Otherwise we say that the protocol *errs on  $x$* .

*Definition 6.* (Heuristic classes) We say that a language  $L$  is in  $\text{Heur-PP}\cdot\text{NP}[m, a]$  if there is a Turing machine  $V$  with input strings  $x, y, z, \delta$ , whose running time is bounded by a polynomial of  $|x|/\delta$ , such that for all  $n$  the equivalence

$$x \in L \leftrightarrow \text{Prob}_{z \in \{0,1\}^{a(|x|/\delta)}} [\exists y \in \{0,1\}^{m(|x|/\delta)} V(x, y, z, \delta) = 1] > 1/2. \quad (2)$$

holds for all but  $\delta$  fraction of strings  $x$  of length  $n$ .

In a similar way the classes  $\text{Heur-MA}[m, a]$  and  $\text{Heur-AM}[m, a]$  are defined.

An *oracle* is a function  $A : \{0,1\}^* \rightarrow \{0,1\}$ . The classes  $\text{MA}, \text{MAP}, \text{AM}, \text{PP}\cdot\text{NP}$ , *relativized by an oracle  $A$*  are defined as follows: now the machine  $V$  has an extra “oracle” tape. On that tape the machine can “query the oracle”. That means that the machine can write any string  $u$  followed by a question mark on that tape. Immediately after that, the word  $u$  is replaced by  $A(u)$  by “the oracle”, which is counted as one step of computation. Complexity classes relativized by an oracle  $A$  are denoted by  $\text{MA}^A, \text{MAP}^A, \text{AM}^A, \text{PP}\cdot\text{NP}^A$ .

### 3 Constructing an Oracle under which Transformation of MA to PP·NP Protocols Requires Many Random Bits

The first result states that under some oracle some language in  $\text{MA}[m, a]$  has no  $\text{PP}\cdot\text{NP}[m', a', t']$  protocols unless  $a'(n) \geq m(n) + a(n) - \log_2 t'(n) - O(1)$ .

**Theorem 1.** *There is an oracle  $A : \{0,1\}^* \rightarrow \{0,1\}$  with the following property. For all polynomials  $m(n), a(n)$  there is a language in  $\text{MA}^A[m, a]$  that is outside any class  $\text{PP}\cdot\text{NP}^A[m', a', t']$  such that  $a'(n) < m(n) + a(n) - \log_2(3t'(n))$  for infinitely many  $n$ .*

*Proof.* We first prove a weaker version of the theorem, assuming that the polynomials  $m(n), a(n)$  are fixed.

The value of an oracle  $A$  on strings of length  $m(n) + a(n)$  can be viewed as a Boolean matrix  $A_n$  with  $2^{m(n)}$  rows and  $2^{a(n)}$  columns. For the constructed oracle  $A$  for all  $n$  the matrix  $A_n$  will have one of the following forms:

- either some row in the matrix has more than two thirds of ones,
- or less than one third of entries in every row are ones.

Matrices of the first type are called *heavy*, and matrices of the second type are called *light*. We will call this property of  $A$  by  $P_{m,a}$  (see Fig. 1).

The language  $L = L(A)$  will consist of all strings  $1^n$  such that the matrix  $A_n$  is heavy. The intuition is the following: the hidden heavy row is easy to guess in one step but hard to amplify and find.

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \qquad \begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

Figure 1: The matrix on the left is heavy, and the matrix on the right is light.

The property  $P_{m,a}$  of  $A$  guarantees that  $L(A) \in \text{MA}^A[m, a]$ , since

$$\begin{aligned} 1^n \in L(A) &\rightarrow \exists y \in \{0, 1\}^{m(n)} \text{Prob}_{z \in \{0, 1\}^{a(n)}}[A(yz) = 1] > 2/3, \\ 1^n \notin L(A) &\rightarrow \forall y \in \{0, 1\}^{m(n)} \text{Prob}_{z \in \{0, 1\}^{a(n)}}[A(yz) = 1] < 1/3 \end{aligned}$$

(the respective machine  $V$  on input  $(1^n, y, z)$  outputs  $A(yz)$  by querying the oracle once).

Now we will define an oracle  $A$  so that  $L(A)$  is outside  $\text{PP} \cdot \text{NP}^A[m', a', t']$  provided  $a'(n) < m(n) + a(n) - \log 3t'(n)$  for infinitely many  $n$ .

We first pick any  $\text{PP} \cdot \text{NP}$  protocol  $(m', a', V)$  with  $a'(n) < m(n) + a(n) - \log 3t_V(n)$  for infinitely many  $n$ . Here  $t_V(n)$  stands for the polynomial that upper bounds the running time of  $V$ . W.l.o.g. we may assume that the running time of  $\text{PP} \cdot \text{NP}^A$  protocols does not depend on the oracle.

Then we prove that there is an oracle  $A$  such that this protocol does not recognize  $L(A)$ . To this end we will need the following

**Lemma 1.** *Assume that a procedure  $P$  is given that on input (a Boolean matrix  $M$  of size  $m \times a$ , strings  $y, z$ ) outputs a bit by querying at most  $q < 2^a/3$  elements of the matrix  $M$ . Let  $a'$  be a natural number with*

$$2^{a'-1}q < (2^a/3 - q)2^m,$$

*and  $m'$  any natural number. Then there is a heavy Boolean matrix  $M$  with*

$$\text{Prob}_{z \in \{0, 1\}^{a'}}[\exists y \in \{0, 1\}^{m'}, P(M, y, z) = 1] \leq 1/2, \tag{3}$$

*or a light Boolean matrix  $M$  with*

$$\text{Prob}_{z \in \{0, 1\}^{a'}}[\exists y \in \{0, 1\}^{m'}, P(M, y, z) = 1] > 1/2. \tag{4}$$

*Proof.* For the sake of a contradiction assume that there is no such matrix. That is, for every heavy  $M$  we have (4), and for every light  $M$  we have (3).

Let first  $M$  be all-zero matrix. We will derive a contradiction by flipping certain  $M$ 's entries in such a way that  $M$  is still light but there are  $2^{a'-1} + 1$  pairs  $(y, z)$  with pairwise different first components and with  $P(M, y, z) = 1$ . We will find such pairs  $(y, z)$  one by one. For each new pair  $(y, z)$  we will *freeze* all elements of  $M$  queried in the computation of  $P(M, y, z)$ . This means that we will not change those elements on further steps and thus the equality  $P(M, y, z) = 1$  will remain valid. On each step we will freeze at most  $q$  entries and the assumed inequality

$$2^{a'-1}q < (2^a/3 - q)2^m$$

will guarantee that on each step it is possible to find a row with few frozen entries. Flipping all non-frozen elements of that row we get a heavy matrix, which will allow to find a new pair  $(y, z)$ .

More specifically, we make  $2^{a'-1} + 1$  steps and on  $i$ th step we find one new pair  $(y_i, z_i)$ . On the first step we flip all the elements of the first row of  $M$ . We obtain a heavy matrix. By assumption the inequality (4) holds. Hence there is  $z_1$  such that there is  $y_1$  with  $P(M, y_1, z_1) = 1$ . Fix such  $y_1, z_1$ . Then freeze all elements of  $M$  queried in the computation of  $P(M, y_1, z_1)$ . Then we again flip all non-frozen elements of the first row and  $M$  becomes light. This follows from the assumed inequality  $q < 2^a/3$ , which implies that the number of ones in the first row is less than one third.

After  $i$ th step the matrix  $M$  is light and at most  $iq$  its entries are frozen. Besides that, there are distinct  $z_1, \dots, z_i$  and (not necessarily distinct)  $y_1, \dots, y_i$  with  $P(M, y_1, z_1) = \dots = P(M, y_i, z_i) = 1$ . On  $(i+1)$ st step we choose a row with minimal number of frozen elements. That number is less than  $2^a/3 - q$ , since at most  $iq \leq 2^{a'-1}q < (2^a/3 - q)2^m$  entries of the matrix are frozen, that is, on average less than  $2^a/3 - q$  per row. Then we make all non-frozen elements in that row equal to 1. The resulting matrix is heavy, as it has more than  $2^a - (2^a/3 - q) > (2/3)2^a$  ones. By the assumption we have (4). Since  $i \leq 2^{a'-1}$ , there is  $z_{i+1}$  that is different from  $z_1, \dots, z_i$  and there is  $y_{i+1}$  with  $P(M, y_{i+1}, z_{i+1}) = 1$ . Pick such  $y_{i+1}$  and  $z_{i+1}$  and freeze all elements queried in the computation of  $P(M, y_{i+1}, z_{i+1})$ . Then we make all non-frozen elements of that row equal to 0. The total number of frozen elements in that row is less than  $(2^a/3 - q) + q = 2^a/3$ , thus we again get a light matrix.

After  $2^{a'-1} + 1$  steps we derive a contradiction: the matrix  $M$  is light and yet for more than half of  $z \in \{0, 1\}^{a'}$  there is  $y \in \{0, 1\}^{m'}$  with  $P(M, y, z) = 1$ .  $\square$

Let us resume the proof of the theorem. Let us consider the procedure  $P(M, y, z)$  that simulates the run of  $V^A(1^n, y, z)$ . If  $V$  queries oracle's value on a string of length  $m(n) + a(n)$ , then  $P$  queries the corresponding entry of the input matrix  $M$ . If  $V$  queries oracle's value on a string of length different from  $m(n) + a(n)$ , then  $P$  assumes that the oracle answer is 0. Note that for all sufficiently large  $n$  the running time  $t_V(n)$  of  $V$  on  $1^n$ , and hence the number of oracle queries, is less than  $2^{a(n)}/6$ . Indeed,  $2^{a(n)}$  grows exponentially and  $t_V(n)$  is a polynomial. For such  $n$ 's, to meet the assumptions of the lemma, it suffices to satisfy the inequality  $2^{a'(n)-1}t(n) < 2^{a(n)+m(n)}/6$ , that is,  $a'(n) < a(n) + m(n) - \log 3t(n)$ . By the assumption there are infinitely many  $n$  satisfying this inequality. Thus there is  $n$  for which the conclusion of the lemma holds. We pick any such  $n$  and define the value of the oracle  $A$  on strings of length  $m(n) + a(n)$  so that the matrix  $M = A_n$  satisfy the conclusion of the lemma. For all other strings  $u$  we let  $A(u) = 0$ . By construction the chosen PP·NP protocol does not recognize  $L(A)$ .

Now we have to fool all PP·NP protocols  $(m', a', V)$ , with  $a'(n) < m(n) + a(n) - \log 3t_V(n)$  for infinitely many  $n$ . This can be done by a standard diagonalization. We enumerate all PP·NP protocols with oracle which satisfy the inequality  $a'(n) < m(n) + a(n) - \log 3t_V(n)$  for infinitely many  $n$ . We first let  $A(u) = 0$  for all  $u$  and then we perform infinitely many steps. On each step we freeze a finite number of oracle values. On  $i$ th step we fool  $i$ th PP·NP protocol  $(m', a', V)$ . To this end, we choose  $n$  such that no value of  $A$  on strings of length  $m(n) + a(n)$  has been frozen yet,  $t_V(n) < 2^{a(n)}/6$  and  $a'(n) < m(n) + a(n) - \log 3t'(n)$ . Using the lemma, we change oracle values on strings of length  $m(n) + a(n)$  so that the conclusion of the lemma holds. Then we freeze all oracle values queried by  $V$  in the runs on inputs  $y, z$  of lengths  $m(n), a(n)$ , respectively.

Finally, to construct an oracle  $A$  such that the statement of the theorem holds for all polynomials

$m(n), a(n)$ , we also enumerate all the pairs  $(m, a)$  of polynomials:  $(m_1, a_1), (m_2, a_2), \dots$  and define

$$L_i(A) = \{1^n \mid A_{i,n} \text{ is heavy}\}.$$

Here  $A_{i,n}$  is defined exactly as we defined  $A_n$  earlier for the pair  $(m_i, a_i)$  as  $(m, a)$ .

Then we enumerate all pairs (a natural number  $i$ , a PP·NP protocol  $(m', a', V)$ ) and for each such pair we make one step. On that step we fool the protocol  $(m', a', V)$  as a candidate protocol for  $L_i(A)$ . There is one obstacle however. In order to place the language  $L_i(A)$  in  $\text{MA}^A[m_i, a_i]$ , we need the property  $P_{m_i, a_i}$  hold for all  $i$ . When we change  $A_{i,n}$  to fool the protocol  $(m', a', V)$  as a candidate protocol for  $L_i(A)$ , we can violate the property  $P_{m_j, a_j}$  for  $n'$  with  $m_i(n) + a_i(n) = m_j(n') + a_j(n')$ .

To handle this problem, we will split the oracle  $A$  into countably many oracles, one oracle for each pair  $(m_i, a_i)$  of polynomials. For each  $i$  we consider the prefix encoding  $\hat{i}$  of the number  $i$ . It is obtained by doubling each bit in the binary representation of  $i$  and then appending 01 (for instance,  $\hat{5} = 11001101$ ). This encoding ensures that for  $i \neq j$  strings of the form  $\hat{i}u$  and  $\hat{j}v$  cannot coincide.

Then we change the definition of the matrix  $A_{i,n}$ : now it is built from oracle's values on strings of the form  $\hat{i}u$ , where the length of  $u$  is  $m_i(n) + a_i(n)$ . Changing  $A_{i,n}$  does not affect any of the matrices  $A_{j,n'}$  for  $j \neq i$ . Therefore, when we change  $A_{i,n}$  we cannot violate the property  $P_{m_j, a_j}$  for any  $j \neq i$ .  $\square$

## 4 Refuting Knop's Conjecture under an Oracle

Recall that Knop's conjecture claims the existence of a polynomial  $p$  such for all polynomials  $m, a$ , if  $L \in \text{Heur-MA}[m, a]$  then  $L \in \text{Heur-AM}[* , p(a)]$ .

Notice that if  $L \in \text{Heur-AM}[* , a(n)]$  then there is a normal  $\text{AM}[* , a(2n)]$  protocol that is correct on at least half of inputs of each length and hence is correct on at least one input of each length  $n$ . We will build an oracle  $A$  under which Knop's conjecture is false in a strong way. Under that oracle, for all polynomials  $m, a$  there is a language in  $\text{MA}[m, a]$  (and hence in  $\text{Heur-MA}[m, a]$ ) such that every  $\text{PP}\cdot\text{NP}[m', a', t']$  protocol with  $a'(n) < m(n) + a(n) - n - \log_2(6t'(n))$  for infinitely many  $n$  errs on *all* inputs of length  $n$  for some  $n$ .

Let, for instance,  $a(n) = n$  and  $m(n) = p(n) + n$ , where  $p$  is an arbitrary polynomial. We can see that all  $\text{AM}^A[* , a'(n)]$  protocols for a language in  $L \in \text{MA}^A[m(n), n]$  that are correct on at least one input of each length require

$$a'(n) \geq m(n) + a(n) - n - O(\log n) = (p(n) + n) + n - n - O(\log n) \gg p(n) = p(a(n))$$

random bits for almost all  $n$ .

**Theorem 2.** *There is an oracle  $A : \{0, 1\}^* \rightarrow \{0, 1\}$  with the following property. For all polynomials  $m(n), a(n)$  there is a language  $L \in \text{MA}^A[m, a]$  such that any  $\text{PP}\cdot\text{NP}^A[m', a', t']$  protocol for  $L$  with  $a'(n) < m(n) + a(n) - n - \log_2(6t'(n))$  for infinitely many  $n$  errs on all inputs  $x$  of some length  $n$ .*

*Sketch of proof.* As before, we enumerate all pairs (a polynomial  $m$ , a polynomial  $a$ ). Then we define

$$L_i(A) = \{x \mid \text{the matrix } A_{i,x} \text{ is heavy}\},$$

where  $A_{i,x}$  is a Boolean matrix of size  $m_i(n) \times a_i(n)$ ,  $n = |x|$ , defined by

$$A_{i,x}(u, v) = A(\hat{i}xuv), \quad |u| = m_i(n), |v| = a_i(n).$$

Note that this time the matrix  $A_{i,x}$  depends on the input  $x$  and not only on its length  $n$ . The oracle construction will ensure that for all  $i, x$  the matrix  $A_{i,x}$  is either heavy, or light. Thus for all  $i$  the language  $L_i(A)$  is in  $\text{MA}^A[m_i, a_i]$ .

The construction of  $A$  will ensure that for all  $i$  and for all PP·NP protocols  $(m', a', V)$  with  $a'(n) < m_i(n) + a_i(n) - n - \log 6t_V(n)$  for infinitely many  $n$ , there is  $n$  with the following property: for all  $x$  of length  $n$ ,

either  $A_{i,x}$  is heavy and

$$\text{Prob}_{z \in \{0,1\}^{a_i(|x|)}} [\exists y \in \{0,1\}^{m_i(|x|)} V^A(x, y, z) = 1] \leq 1/2.$$

or  $A_{i,x}$  is light and

$$\text{Prob}_{z \in \{0,1\}^{a_i(|x|)}} [\exists y \in \{0,1\}^{m_i(|x|)} V^A(x, y, z) = 1] > 1/2.$$

This implies that the protocol  $(m', a', V)$ , as a candidate protocol for  $L_i(A)$ , errs on *all* inputs  $x$  of a certain length  $n$ .

The construction of  $A$  proceeds in steps where on each step we fool some protocol  $(m', a', V)$  as a candidate protocol for some language  $L_i(A)$ . To this end we use the following

**Lemma 2.** *Assume that a procedure  $P$  is given that on input (a sequence of Boolean matrices  $M = (M_1, \dots, M_{2^n})$  of size  $m \times a$ , strings  $y, z$  and  $x \in \{1, \dots, 2^n\}$ ) outputs a bit by querying at most  $q < 2^a/3$  elements of the given matrices in total. Let  $a'$  be a natural number with*

$$2^{a'} \cdot q \cdot 2^n < (2^a/3 - q)2^m,$$

*and  $m'$  any natural number. Then there is a sequence of matrices  $M_1, \dots, M_{2^n}$  such that for all  $x = 1, \dots, 2^n$  either  $M_x$  is heavy and*

$$\text{Prob}_{z \in \{0,1\}^{a'}} [\exists y \in \{0,1\}^{m'}, P(M, y, z, x) = 1] \leq 1/2, \quad (5)$$

*or  $M_x$  is light and*

$$\text{Prob}_{z \in \{0,1\}^{a'}} [\exists y \in \{0,1\}^{m'}, P(M, y, z, x) = 1] > 1/2. \quad (6)$$

*Proof.* The proof is similar to that of Lemma 1 and thus we explain only what is the difference. Now we make  $2^n$  stages and on each stage we make  $2^{a'-1} + 1$  steps. On stage  $x$  we flip only entries of  $M_x$  to ensure the requirement for that  $x$ . However we need to freeze also entries of other matrices  $M_{x'}$  in the case  $P$  queries their entries in the computation on the input  $(M, y_i, z_i, x)$ . Thus the total number of frozen elements, in all the matrices, can now raise up to  $(2^{a'-1} + 1) \cdot q \cdot 2^n \leq 2^{a'} \cdot q \cdot 2^n$ . Since we assume that this number is still less than  $(2^a/3 - q)2^m$ , on each step each matrix has a row with less than  $2^a/3 - q$  frozen entries. This we can complete each step.  $\square$

The rest of the proof is similar to that of Theorem 1. To fool a protocol  $(m', a', V)$  as a candidate protocol for the language  $L_i(A)$ , we apply Lemma 2 to the procedure  $P$  that simulates the run of  $V^A(x, y, z)$ . If  $V$  queries oracle's value on a string of the form  $i\hat{x}uv$  where  $|u| = m_i(n)$  and  $|v| = a_i(n)$ , then  $P$  queries  $M_x(u, v)$ . To fool the protocol  $(m', a', V)$ , we again choose  $n$  such that no value of  $A$  on strings of length  $2 \log i + 2 + 2n + 2 + m_i(n) + a_i(n)$  has been frozen yet,  $t_V(n) < 2^{a_i(n)}/6$  and  $a'(n) < m_i(n) + a_i(n) - n - \log 6t'(n)$ . Using the lemma, we change oracle values so that the conclusion of the lemma holds. Then we freeze all oracle values queried by  $V$  in the runs on inputs  $x, y, z$  of lengths  $n, m_i(n), a_i(n)$ , respectively.  $\square$



$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 \end{pmatrix} \qquad \begin{pmatrix} 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

Figure 2: The matrix on the left is heavy, and the matrix on the right is light.

## 5 Constructing an Oracle under which Transformation of MAP to PP·NP Protocols Requires Many Random Bits

Our third result states that under some oracle some language in  $\text{MAP}[m, a]$  has  $\text{PP}\cdot\text{NP}[m', a', t']$  protocols only if  $a'(n) \geq \max\{m(n), a(n)\} - \log t'(n) - O(1)$ .

**Theorem 3.** *There is an oracle  $A : \{0, 1\}^* \rightarrow \{0, 1\}$  with the following property. For all polynomials  $m(n), a(n)$  there is a language in  $\text{MAP}^A[m, a]$  that is outside any class  $\text{PP}\cdot\text{NP}^A[m', a', t']$  such that  $a'(n) < \max\{m(n), a(n)\} - \log t'(n) - O(1)$  for infinitely many  $n$ .*

*Proof.* The proof of this theorem is similar to that of Theorem 1. However this time heavy matrices are defined as those for which there is an all-one row, and light matrices as those in which there are less than one half ones (Fig. 2). Instead of Lemma 1 we use the following

**Lemma 3.** *Assume that a procedure  $P$  is given that on input (a Boolean matrix  $M$  of size  $m \times a$ , strings  $y, z$ ) outputs a bit by querying at most  $q < 2^{a-1}$  elements of the matrix  $M$ . Let  $a'$  be a natural number with  $2^{a'-1}q < 2^m$  or  $(2^{a'-1} + 1)q < 2^{a-1}$  and  $m'$  any natural number. Then there is a heavy matrix  $M$  satisfying (3), or a light matrix  $M$  satisfying (4).*

*Proof.* Assume first that  $2^{a'-1}q < 2^m$ . For the sake of contradiction assume that for every heavy matrix  $M$  it holds (4) and for every light matrix  $M$  it holds (3). Let  $M$  be all-zero matrix. Flip all bits of the first row. We get a heavy matrix. By assumption we have (4). Choose any  $z_1$  such that there is a  $y_1 \in \{0, 1\}^{m'}$  with  $P(M, y_1, z_1) = 1$ . Fix such  $y_1, z_1$  and freeze all values of  $M$  queried in the run  $P(M, y_1, z_1)$ . Thus we guarantee that  $P(M, y_1, z_1) = 1$ . Then flip again all non-frozen elements of the first row. As  $q < 2^{a-1}$ , now the matrix  $M$  is light.

Then we make  $2^{a'-1}$  similar steps. After  $i$ th step we have at most  $iq$  frozen elements of  $M$ , distinct  $z_1, \dots, z_i$  and (not necessarily distinct)  $y_1, \dots, y_i$  with  $P(M, y_1, z_1) = 1, \dots, P(M, y_i, z_i) = 1$ . On  $i + 1$ st step we choose a row with no frozen entries (such a row does exist, since we assume that  $iq \leq 2^{a'-1}q < 2^m$ ) and flip all elements of that row. We get a heavy matrix and hence it satisfies (4). Since  $i \leq 2^{a'-1}$ , there is  $z_{i+1}$  that is different from  $z_1, \dots, z_i$  and there is  $y_{i+1}$  with  $P(M, y_{i+1}, z_{i+1}) = 1$ . Freeze all entries of  $M$  queried in this computation. Then flip all non-frozen elements of the first row. As  $q < 2^{a-1}$ , now the matrix  $M$  is light.

After  $2^{a'-1} + 1$  we get a contradiction as the matrix  $M$  is light and for more than half of  $z \in \{0, 1\}^{a'}$  there is  $y \in \{0, 1\}^{m'}$  with  $P(M, y, z) = 1$ .

Assume now that  $(2^{a'-1} + 1)q < 2^{a-1}$ . In this case the arguments are simpler. Again, for the sake of contradiction assume that for every heavy matrix  $M$  it holds (4) and for every light matrix

$M$  it holds (3). Let  $M$  be all-one matrix and hence it is heavy. By assumption we have (4). Fix  $2^{a'-1} + 1$  pairs  $(y, z)$  with  $P(M, y, z) = 1$  and with pair-wise distinct first components. Freeze at most  $(2^{a'-1} + 1)q$  entries of  $M$  guaranteeing  $P(M, y, z) = 1$  for all those pairs. Flip all non-frozen elements of  $M$ . The inequality  $(2^{a'-1} + 1)q < 2^{a-1}$  implies that less than half entries in each row are frozen. Hence we get a light matrix satisfying (4), a contradiction.  $\square$

The remaining part of the proof is similar to that of the proof of Theorem 1.  $\square$

## 6 Open questions

1. Is it true that for some polynomial  $p$  for all polynomials  $m, a$  it holds  $\text{MA}[m, a] \subset \text{AM}[* , p(a)]$ ?
2. Can we prove the inclusion  $\text{MA}[m, a] \subset \text{AM}[* , p(a)]$  by algebrizing techniques (for some fixed polynomial  $p$ )?
3. Can we prove Conjecture 1 by algebrizing techniques?

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