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# 9 — Abstract -

We show that a decidable promise problem has a non-interactive statistical zero-knowledge proof system if and only if it is randomly reducible to a promise problem for Kolmogorov-random

<sup>12</sup> strings, with a superlogarithmic additive approximation term. This extends recent work by Saks

<sup>13</sup> and Santhanam (CCC 2022). We build on this to give new characterizations of Statistical Zero

 $_{14}$  Knowledge SZK, as well as the related classes  $\mathsf{NISZK}_L$  and  $\mathsf{SZK}_L.$ 

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# <sup>21</sup> Introduction

In this paper, we give the first non-trivial characterization of a computational complexity
class in terms of reducibility to the Kolmogorov random strings.

Some readers may be surprised that this is possible. After all, the set of Kolmogorov 24 random strings is undecidable, and undecidable sets typically do not figure prominently 25 in complexity-theoretic investigations.<sup>1</sup> But what does it mean to be reducible to the 26 Kolmogorov-random strings? Let us consider the prefix-free Kolmogorov complexity K27 (which is one of the most-studied types of Kolmogorov complexity), and recall that different 28 universal Turing machines U give a slightly different Kolmogorov measure  $K_U$ . Then if 29 we say "A is reducible to the K-random strings" we probably mean that A is reducible 30 to the  $K_U$  random strings, no matter which universal machine U we are using. But it 31 turns out that the class of languages that can be solved in polynomial time with an oracle 32 that returns  $K_U(q)$  for any query q—regardless of which universal machine U is used—is a 33 complexity class that contains NEXP and lies in EXPSPACE [23, 13, 29].<sup>2</sup> There has been 34 substantial interest in obtaining a precise understanding of which problems can be reduced 35 in this way to the Kolmogorov complexity function under different notions of reducibility 36 [2, 3, 9, 7, 8, 12, 13, 14, 20, 23, 30, 29, 32, 33, 46], but until now, no previously studied 37 complexity class has been characterized in this way, with the exception of P[8, 46]. (The 38

<sup>&</sup>lt;sup>1</sup> We do wish to highlight the recent work of Ilango, Ren, and Santhanam [37], who related the existence of one-way functions to the *average case* complexity of computing Kolmogorov complexity.

<sup>&</sup>lt;sup>2</sup> More specifically, it is shown in [13] that all decidable sets with this property lie in EXPSPACE, and it is shown in [23] that there are no undecidable sets with this property. Hirahara shows in [30] that every set in EXP<sup>NP</sup> (and hence in NEXP) has this property.

<sup>39</sup> characterizations of P obtained in this way can be viewed as showing that certain limited <sup>40</sup> polynomial-time reductions are useless when using the Kolmogorov complexity function as

41 an oracle.)

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Faced with this lack of success, it was proposed in [3, Open Question 4.8] that a more successful approach might be to consider reductions to *approximations* to the Kolmogorov complexity function. Saks and Santhanam [46] took the first significant step in this direction, by showing the following results:

<sup>46</sup> ► **Theorem 1** (Saks & Santhanam [46]). 1. Although (by the work of Hirahara [30]) every <sup>47</sup> language in EXP<sup>NP</sup> is reducible in deterministic polynomial time to any function that <sup>48</sup> differs from K by at most an additive  $O(\log n)$  term, no decidable language outside of P <sup>49</sup> is reducible to all approximations to K that differ by an error margin  $e(n) = ω(\log n)$  via <sup>50</sup> an "honest" deterministic polynomial-time nonadaptive reduction.

2. Although (by the work of Hirahara [29]) every language in NEXP is reducible via randomized nonadaptive reductions to any function that differs from K by at most an additive  $O(\log n)$  term, no decidable language outside of AM  $\cap$  coAM is reducible to all approximations to K that differ by an error margin  $e(n) = \omega(\log n)$  via an "honest" probabilistic polynomial-time nonadaptive reduction.

<sup>56</sup> **3.** No decidable language outside of SZK is randomly m-reducible to each  $\omega(\log n)$  approxi-<sup>57</sup> mation to the K-random strings.

This is not the first time that the complexity class SZK (for *Statistical Zero Knowledge* has arisen in the context of investigations relating to Kolmogorov complexity. In particular, SZK and its "non-interactive" subclass NISZK have been studied in connection with a version of time-bounded Kolmogorov complexity, which in turn is studied because of its connection with the Minimum Circuit Size Problem (MCSP) [11, 14]. These problems lie at the heart of what has come to be called *meta-complexity*: the study of the computational difficulty of answering questions about complexity.

Allender [2] proposed an intriguing research program towards the P = BPP conjecture. 65 The class P can be characterized by the class of languages reducible to the set of Kolmogorov-66 random strings under polynomial-time disjunctive truth-table reductions [8]. Similarly, he 67 conjectured that BPP can also be characterized by polynomial-time truth-table reductions 68 to the set of Kolmogorov-random strings, and envisioned that such a completely new 69 characterization of complexity classes would give us new insights into BPP, especially from 70 the perspective of computability theory. Unfortunately, his conjecture was refuted by Hirahara 71 [30] under a plausible complexity-theoretic assumption. 72

In this paper, we show that SZK, NISZK and their logspace variants SZK<sub>L</sub> and NISZK<sub>L</sub> 73 can be characterized by reductions to approximations to the Kolmogorov complexity function. 74 We envision that our new characterization of these complexity classes would improve our 75 understanding of zero knowledge interactive proof systems in future. Zero knowledge 76 interactive proof systems have many applications in cryptographic protocols, and they have 77 been studied very widely. We refer the reader to the excellent survey by Vadhan for more 78 background [47]. For our purposes, the complexity classes of interest to us (SZK, NISZK, 79  $SZK_L$ , and  $NISZK_L$ ) can be defined in terms of their complete problems. But first, we need 80 to define some basic notions and provide some background. 81

# <sup>82</sup> **2** Preliminaries

<sup>83</sup> We assume familiarity with basic complexity classes such as P, L, and  $AC^0$ ; we view these <sup>84</sup> as classes of *functions*, as well as of *languages*. We also will refer to the class of functions

computed in  $NC^0$ , where each output bit depends on at most O(1) input bits. For circuit complexity classes such as  $NC^0$ , and  $AC^0$ , by default we assume that the circuit families are "First-Order-uniform" as discussed in [5, 18, 38]. This coincides with Dlogtime-uniform  $AC^0$ , and what one might call "Dlogtime-uniform  $AC^0$ -uniform"  $NC^0$ . (We refer the reader to [49] for more background on circuit uniformity.) When we need to refer to *nonuniform* circuit complexity, we will be explicit.

All of these classes give rise to restrictions of Karp reducibility  $\leq_{\rm m}^{\sf P}$ , such as  $\leq_{\rm m}^{\sf L}$ ,  $\leq_{\rm m}^{\sf AC^0}$ , and  $\leq_{\rm m}^{\sf NC^0}$ . We will also discuss *projections* ( $\leq_{\rm m}^{\sf proj}$ ), which are  $\leq_{\rm m}^{\sf NC^0}$  reductions in which each output bit depends on at most one input bit. Thus projections are computed by circuits consisting of constants, wires, and NOT gates.

A promise problem A is a pair of disjoint sets  $(Y_A, N_A)$  of YES instances and NO instances, respectively. A solution to a promise problem is any set B such that  $Y_A \subseteq B$  and  $N_A \subseteq \overline{B}$ . A don't-care instance of A is any string that is not in  $Y_A \cup N_A$ . A language can be viewed as a promise problem that has no don't-care instances.

We say that a promise problem A = (Y, N) is *decidable* if Y and N are decidable sets. Observe that if B = (Y', N') with  $Y' \subseteq Y$  and  $N' \subseteq N$ , then any solution to A is also a solution to B. Such subproblems of decidable promise problems are intuitively "decidable", but are not necessarily decidable according to our definition. Since there are uncountably many subsets of Y and N for any nontrivial promise problem, clearly not every intuitively "decidable" promise problem can be decidable.

<sup>105</sup> When defining reductions between two promise problems A and B, there are two options. <sup>106</sup> Either

107 If for every solution S to B there is a reduction from A to S, or

there is a reduction that correctly decides A when given any solution S for B.

As it turns out, these two notions are equivalent [28, 43]. Thus we shall always use the second approach, when defining notions of reducibility between promise problems.

We assume that the reader is familiar with Kolmogorov complexity; more background on this topic can be found in references such as [41, 25]. Briefly,  $K_U(x|y) = \min\{|d| : U(d, y) = x\}$ , and  $K_U(x) = K(x|\lambda)$  where  $\lambda$  denotes the empty string.<sup>3</sup> Although this definition depends on the choice of the Turing machine U, we pick some "universal" machine U' and define K(x|y) to be  $K_{U'}(x|y)$ ; for every machine U, there is a constant c such that  $K(x|y) \leq K_U(x|y) + c$ . One important non-trivial fact regarding Kolmogorov complexity is known as symmetry of information:

► Theorem 2. (Symmetry of Information)

$$K(x, y) = K(x) + K(x|y) \pm O(\log(K(x, y))).$$

Let  $\widetilde{R}_K$  be the promise problem  $(Y_{\widetilde{R}_K}, N_{\widetilde{R}_K})$  where  $Y_{\widetilde{R}_K}$  contains all strings y such that  $K(y) \ge |y|/2$  and the NO instances  $N_{\widetilde{R}_K}$  consists of those strings y where  $K(y) \le |y|/2 - e(|y|)$ for some approximation error term e(n), where  $e(n) = \omega(\log n)$  and  $e(n) = n^{o(1)}$ . All of our theorems hold for any e(n) in this range. We will sometimes assume that e(n) is computable in  $\mathsf{AC}^0$ , which is true for most approximation terms of interest.

<sup>&</sup>lt;sup>3</sup> This is actually the definition of so-called "plain" Kolmogorov complexity, although the letter K is traditionally used for the "prefix-free" Kolmogorov complexity. These two measures differ by at most a logarithmic term, and our theorems hold for either measure. For simplicity, we have presented the simpler definition.

Since the approximation error e(n) is superlogarithmic, it is worth noting that  $\widetilde{R}_K$  can be 123 defined equivalently either in terms of prefix-free or plain Kolmogorov complexity (because 124 these two measures are within an additive logarithmic term of each other). 125

Any *language* that is reducible to  $R_K$  via any of the reducibilities that we consider is 126 decidable, by a theorem of [23]. However, it is not known whether this carries over in any 127 meaningful way to promise problems. 128

The reader may wonder about the justification for the threshold  $K(y) \geq |y|/2$  in the 129 definition of  $R_K$ . The following proposition indicates that, for large error bounds e(n), using 130 a larger threshold reduces to  $\hat{R}_K$ . Later, we show a related result for smaller thresholds. 131

▶ **Proposition 3.** Let A = (Y, N) be the promise problem where  $Y = \{y : K(y) \ge t(|y|)\}$  for 132 some  $AC^0$ -computable threshold  $t(n) \geq \frac{n}{2}$ , and where  $N = \{y : K(y) \leq t(|y|) - |y|^{\epsilon}\}$  for some 133  $1 > \epsilon > 0$ . Then  $A \leq_{\mathrm{m}}^{\mathsf{NC}^0} \widetilde{R}_K$ . 134

**Proof.** Let  $\delta = \frac{\epsilon}{2}$ . Given an instance y of length n (for all large n), in AC<sup>0</sup> we can find the 135 least integer i < n such that  $2t(n) - n + 5\log n + (2(2n)^{\delta} - n^{\epsilon}) \le i \le 2t(n) - n - 3\log n$ . 136

Let  $z = y0^{i}$ . Then  $K(z) \le K(y) + 2\log i + O(1)$ . Similarly,  $K(y) \le K(z) + 2\log i + O(1)$ , 137 and hence  $K(z) \ge K(y) - 2\log i - O(1)$ . 138

Thus if  $y \in Y$ , then  $K(z) \ge t(n) - 2\log i - O(1) > (t(n) - \frac{n}{2}) + \frac{n}{2} - 3\log n \ge \frac{n+i}{2} = \frac{|z|}{2}$ . And if  $y \in N$ , then  $K(z) \le t(n) - n^{\epsilon} + 2\log i + O(1) < (t(n) - \frac{n}{2}) + \frac{n}{2} - n^{\epsilon} + 2\log i + O(1) \le 1$ . 139 140  $\begin{array}{l} \frac{n+i}{2} - (n+i)^{\delta} = \frac{|z|}{2} - |z|^{\delta} < \frac{|z|}{2} - e(|z|).\\ \text{Thus } y \in Y \text{ implies } z \in Y_{\widetilde{R}_{K}} \text{ and } y \in N \text{ implies } z \in N_{\widetilde{R}_{K}}. \end{array}$ 141

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Randomized reductions play a central role in the results that we will be presenting. Here 143 is the basic definition: 144

▶ Definition 4. A promise problem A = (Y, N) is  $\leq_{m}^{\mathsf{RP}}$ -reducible to B = (Y', N') with 145 threshold  $\theta$  if there is a polynomial p and a deterministic Turing machine M running in time 146 p such that 147

$$= x \in Y \text{ implies } \Pr_{r \in \{0,1\}^{p(|x|)}}[M(x,r) \in Y'] \ge \theta.$$

149 
$$x \in N \text{ implies } \Pr_{r \in \{0,1\}^{p(|x|)}}[M(x,r) \in N'] = 1$$

Randomized reductions were introduced by Adleman and Manders, as a probabilistic 150 generalization of  $\leq_{\rm m}^{\sf P}$  reducibility<sup>4</sup> [1]. They used the threshold  $\theta = \frac{1}{2}$ . One of the most 151 important applications of randomized reductions is the theorem of Valiant and Vazirani 152 [48], where they showed that SAT reduces to Unique Satisfiability (USAT) via a randomized 153 reduction, with threshold  $\theta = \frac{1}{4n}$ .<sup>5</sup> The reader may expect that—as is so often the case with 154 probabilistic notions in computational complexity theory—the choice of threshold is arbitrary, 155 and can be changed with no meaningful consequences. However, this does not appear to be 156 true; we refer the reader to the work of Chang, Kadin, and Rohatgi [24] for a discussion of this 157 point. As they point out, different thresholds are appropriate in different situations. If  $A \leq_{m}^{\mathsf{RP}} B$ 158 with threshold  $\frac{1}{4n}$  (for instance), where the set  $OR_B = \{(x_1, \ldots, x_k) : \exists i, x_i \in B\} \leq_m^{\mathsf{P}} B$ , then it is indeed true that  $A \leq_m^{\mathsf{RP}} B$  with threshold  $1 - \frac{1}{2^n}$  [24]. But Chang, Kadin, and Rohatgi 159 160 point out that it is far from clear that USAT has this property. We are concerned here 161 with problems that are  $\leq_{\rm m}^{\sf RP}$ -reducible to  $\widetilde{R}_K$ ; just as in the case with randomized reductions 162 to USAT, we must be careful about which threshold  $\theta$  we choose. For the remainder of 163

We assume that the reader is familiar with Karp reducibility  $\leq_{\rm m}^{\rm P}$ .

 $<sup>\</sup>mathbf{5}$ Recently, there have also been several papers showing that certain meta-complexity-theoretic problems are NP-complete under randomized reductions, including [10, 31, 34, 35, 36, 42, 44].

this paper, we will use the threshold  $\theta = 1 - \frac{1}{n^{\omega(1)}}$ . (For a discussion of why we select this 164 threshold, see Remark 12.) 165

The following proposition is the counterpart to Proposition 3, for thresholds smaller than 166  $\frac{n}{2}$ . 167

▶ **Proposition 5.** Let A = (Y, N) be the promise problem where  $Y = \{y : K(y) \ge t(|y|)\}$ 168 for some polynomial-time computable threshold  $t(n) \leq \frac{n}{2}$ , and where  $N = \{y : K(y) \leq 0\}$ 169  $t(|y|) - |y|^{\epsilon}$  for some  $1 > \epsilon > 0$ . Then  $A \leq_{\mathrm{m}}^{\mathsf{RP}} \widetilde{R}_K$ . 170

**Proof.** Given an instance y of length n (for all large n), in polynomial time we can find the 171 least integer i < n such that  $2t(n) - 2n^{\epsilon} + 2e(3n) + 4\log n \le i \le 2t(n) - e(n) - 2c\log n$  (for 172 a constant c that will be picked later). 173

Pick a random string r of length n. Let  $z = yr0^i$ . Then  $K(z) \leq K(y) + 2\log i + |r|$ . 174 Also, by symmetry of information,  $K(z) \ge K(yr0^i|y0^i) + K(y0^i) - c' \log n$  (for some fixed 175 constant c', and hence with probability at least  $1 - \frac{1}{n^{\omega(1)}}$ ,  $K(z) \ge (n - \frac{e(n)}{2}) + K(y) - c \log n$ 176 (for some fixed c, which is the constant c that we use above in defining i). 177

Thus if  $y \in Y$ , then with high probability  $K(z) \ge t(n) + (n - \frac{e(n)}{2}) - c \log n > n + \frac{i}{2} = \frac{|z|}{2}$ . 178 And if  $y \in N$ , then  $K(z) \leq (t(n) - n^{\epsilon}) + 2\log i + |r| \leq n + \frac{i}{2} - e(3n) \leq \frac{|z|}{2} - e(|z|)$ . Thus  $y \in Y$  implies  $z \in Y_{\widetilde{R}_{K}}$  (with probability  $\geq 1 - \frac{1}{n^{\omega(1)}}$ ), and  $y \in N$  implies 179

180  $z \in N_{\widetilde{R}_{\kappa}}$ 181

We will also need a "two-sided error" version of random reducibility, analogous to the 182 relationship between RP and BPP. 183

▶ Definition 6. A promise problem A = (Y, N) is  $\leq_{m}^{\mathsf{BPP}}$ -reducible to B = (Y', N') with 184 threshold  $\theta > \frac{1}{2}$  if there is a polynomial p and a deterministic Turing machine M running in 185 time p such that 186

 $= x \in Y \text{ implies } \operatorname{Pr}_{r \in \{0,1\}^{p(|x|)}}[M(x,r) \in Y'] \ge \theta.$ 187 188

The complexity classes SZK (Statistical Zero Knowledge) and NISZK (Non-Interactive 189 Statistical Zero Knowledge) are defined in terms of interactive proof protocols (with a *Prover* 190 interacting with a probabilistic polynomial-time Verifier, together with a Simulator that 191 can produce a distribution on transcripts that is statistically close to the distribution on 192 messages that would be exchanged by the prover and the verifier on YES instances. But 193 for our purposes, it will suffice (and be simpler) to present alternative definitions of these 194 classes, in terms of their standard complete problems. 195

▶ Definition 7 (Promise-EA). Let a circuit  $C : \{0,1\}^m \to \{0,1\}^n$  represent a probability distribution X on  $\{0,1\}^n$  induced by the uniform distribution on  $\{0,1\}^m$ . We define Promise-EA to be the promise problem

$$Y_{\mathsf{EA}} = \{ (C,k) \mid H(X) > k+1 \}$$
  
$$N_{\mathsf{EA}} = \{ (C,k) \mid H(X) < k-1 \}$$

where H(X) denotes the entropy of X. 196

▶ Theorem 8 ([27]). EA is complete for NISZK under  $\leq_{m}^{P}$  reductions. 197

We will actually take this as a definition; we say that (Y, N) is in NISZK if and only if 198  $(Y, N) \leq_{\mathrm{m}}^{\mathsf{P}} \mathsf{EA}.$ 199

▶ Definition 9 (Promise-SD). SD (Statistical Difference) is the promise problem

$$Y_{\text{SD}} = \left\{ (C, D) \mid \Delta(C, D) > \frac{2}{3} \right\},$$
$$N_{\text{SD}} = \left\{ (C, D) \mid \Delta(C, D) < \frac{1}{3} \right\}.$$

where  $\Delta(C, D)$  denotes the statistical distance between the distributions represented by the circuits C and D.

<sup>202</sup> ► **Theorem 10** ([45]). SD is complete for SZK under  $\leq_{\rm m}^{\rm P}$  reductions.

Thus we will define SZK to be the class of promise problems (Y, N) such that  $(Y, N) \leq_{m}^{P} SD$ .

# <sup>204</sup> **3 A New Characterization of** NISZK

We are now ready to present the characterization of NISZK by reductions to the set of Kolmogorov-random strings.

**Theorem 11.** The following are equivalent, for any decidable promise problem A:

- 208 **1.**  $A \in NISZK$ .
- 209 **2.**  $A \leq_{\mathrm{m}}^{\mathsf{RP}} \widetilde{R}_K$ .

6

210 **3.** 
$$A \leq_{\mathrm{m}}^{\mathsf{BPP}} \widetilde{R}_K$$

**Proof.** In order to show that  $A \in \mathsf{NISZK}$  implies  $A \leq_{\mathrm{m}}^{\mathsf{RP}} \widetilde{R}_K$ , it suffices to reduce the  $\mathsf{NISZK}$ -211 complete problem EA to  $\hat{R}_{K}$ . This follows easily from the proof given in [14, Corollary 18], 212 combined with [27, Lemma 3.2]. Specifically, Lemma 3.2 in [27] shows that the following 213 promise problem is complete for NISZK: All instances are of the form  $(C, 1^s)$ , where C is 214 a circuit with m inputs and n outputs, representing a distribution (also denoted C) on 215  $\{0,1\}^n$ .  $(C,1^s)$  is a YES instance if C has statistical distance at most  $2^{-s}$  from the uniform 216 distribution on  $\{0,1\}^n$ .  $(C,1^s)$  is in the set of NO instances if the support of C has size at 217 most  $2^{n-s}$ . Furthermore, the reduction g from EA to A has the property that the parameter 218 s is at least  $n^{\epsilon}$  for some constant  $\epsilon > 0$ . Also, it is observed in Lemma 4.1 of [27] that the 219 mapping  $(C, 1^s) \mapsto (C, n-3)$  (i.e., the mapping that leaves the circuit C unchanged) is a 220 reduction from A to EA. To summarize: these results from [27] show that the following 221 subproblem of EA is also hard for NISZK under  $\leq_{m}^{P}$  reductions: The set Y of YES instances 222 consists of pairs (C, n-3) where the entropy of C is greater than n-2, and the set N of 223 NO instances consists of pairs (C, n-3) where the support of C has size at most  $2^{n-n^{\epsilon}}$ . 224

Corollary 18 of [14] states that every promise problem in NISZK reduces to the problem 225 of computing the time-bounded Kolmogorov complexity KT via a probabilistic reduction 226 that makes at most one query along any computation path. But here we observe that the 227 same approach can be used to obtain a  $\leq_{\mathrm{m}}^{\mathsf{RP}}$  reduction to  $\widetilde{R}_{K}$ . Corollary 18 of [14] relies 228 on the proof of Theorem 17 in the same paper (which in turn relies on the techniques of 229 [16]), which presents a probabilistic algorithm M that takes an instance (C, n-3) of EA (as 230 described above), and constructs a string y that is the concatenation of t random samples 231 from C (i.e.,  $y = C(r_1)C(r_2)\ldots C(r_t)$  for uniformly chosen random strings  $r_1,\ldots,r_t$ , for 232 some polynomially-large t). Lemma 16 of [14] shows that, with probability exponentially close 233 to 1, if (C, n-3) is a YES instance of EA, then the time-bounded Kolmogorov complexity 234  $\mathsf{KT}(y)$  is greater than a threshold  $\theta$  of the form  $\theta = t(n-2) - t^{1-\alpha}$  for some constant  $\alpha > 0$ . 235 In the argument of [14, Theorem 17], t can be chosen to be an arbitrarily large polynomial 236

<sup>237</sup>  $n^k$ . Thus we have  $\theta > n^k(n-3)$  for all large n, and hence for all large YES instances we have <sup>238</sup>  $\mathsf{KT}(y) > n^k(n-3) = \ell - \ell^{\delta}$  for some  $\delta < 1$ , where  $|y| = tn = \ell$ . The focus of [14] was on <sup>239</sup> the measure KT, but (as was previously observed in [4, Theorem 1]) the analysis in Lemma <sup>240</sup> 16 carries over unchanged to the setting of non-resource-bounded Kolmogorov complexity <sup>241</sup> K. Thus, with high probability, the probabilistic routine, when given a YES instance of EA, <sup>242</sup> produces a string y where  $K(y) \ge |y| - |y|^{\delta}$ .

On the other hand, if (C, n-3) is a NO instance, then the support of C has size at most 243  $2^{n-n^{\epsilon}}$ , and thus any string z in the support of C has  $K(z|C) \leq n-n^{\epsilon}+O(1)$ . Thus any string y 244 that is produced by M in this case has  $K(y) \leq t(n-n^{\epsilon}) + |C| + O(1) = n^k(n-n^{\epsilon}) + |C| + O(1)$ . 245 Since  $t = n^k$  was chosen to be large (with respect to the length of the input instance 246 (C, n-3), we may assume  $|C| < n^{k+\epsilon} - 4n^k$ . Thus if (C, n-3) is any large NO instance, 24 we have  $K(y) < n^k(n-4) = \ell - \ell^{\delta'}$  for some  $\delta' > \delta$ . To summarize, with probability 1, the probabilistic routine, when given a NO instance of EA, produces a string y where 24  $K(y) \ge |y| - |y|^{\delta'} \ge (|y| - |y|^{\delta}) - |y|^{\epsilon}$  for some  $\epsilon > 0$ . We can now conclude that  $\mathsf{EA} \le_{\mathrm{m}}^{\mathsf{RP}} \widetilde{R}_K$ 250 by appealing to Proposition 3. 251

To complete the proof of the theorem, we need to show that if A is any decidable promise problem that has a randomized poly-time m-reduction  $(\leq_{\rm m}^{\sf BPP})$  with error  $1/n^{\omega(1)}$  to the promise problem  $\widetilde{R}_K$  then  $A \in {\sf NISZK}$ . This was essentially shown by Saks and Santhanam [46, Theorem 39], but we present a complete argument here. Let M be the probabilistic machine that computes this  $\leq_{\rm m}^{\sf BPP}$  reduction.

Let  $y = f(x, r) \in \{0, 1\}^m$  denote the output that M produces, where x is an instance of A and r denotes the randomness used in the reduction. (As in the proof of [46, Theorem 39], we may assume that, for each x, all outputs of the form f(x, r) have the same length.) Given an  $x \in \{0, 1\}^n$ , observe that there is a polynomial-sized circuit  $C_x$  such that  $C_x(r) = f(x, r)$ . According to the correctness of the reduction, we have

$$x \in Y_A \Rightarrow \Pr_r[M(x,r) \in Y_{\widetilde{R}_K}] \ge 1 - 1/n^{\omega(1)}$$
 and

263 264

$$x \in N_A \Rightarrow \Pr[M(x,r) \in N_{\widetilde{R}_{K}}] \ge 1 - 1/n^{\omega(1)}$$

In other words, if x is a YES instance, then  $K(y) \ge |y|/2$  with probability at least  $1 - 1/n^{\omega(1)}$  and if x is a NO instance, then  $K(y) \le |y|/2 - e(|y|)$  with probability at least  $1 - 1/n^{\omega(1)}$ . (Recall that e(n) is the error term in the approximation  $\widetilde{R}_{K}$ .) We will now show that there is an entropy threshold that separates these two distributions, which will provide an NISZK upper bound on resolving A.

**Claim:** If x is a YES instance, then the entropy of the distribution  $C_x(r)$  is at least m/2 - e(m)/2 + 1 and if x is a NO instance, then the entropy of  $C_x(r)$  is at most m/2 - e(m)/2 - 1.

We first show that if the claim holds, then  $A \in \mathsf{NISZK}$ . Let k = m/2 - e(m)/2. The reduction given above reduces membership in A to the Entropy Approximation (EA) problem on the circuit description  $C_x$  with threshold k. Given x, we can compute the map  $x \mapsto C_x$  in time  $n^{O(1)}$ . Recall that EA is compete for NISZK. Since NISZK is closed under  $\leq_{\mathrm{m}}^{\mathsf{P}}$  reductions, we can conclude that  $A \in \mathsf{NISZK}$ .

#### 278 **Proof of claim:**

Assume not and let x be the lexicographically first string that violates the above claim (for some length n). Since the reduction is a computable function, and since A is a decidable

promise problem,  $K(x) = O(\log n)$ . We have the following two cases to consider:

 $_{282}$  Case 1 - x is a YES instance: From the correctness of the reduction we have that with

probability  $1 - 1/n^{\omega(1)}$  the output y is a string with Kolmogorov complexity at least |m|/2.

284 Since x is a violator, we have  $H(C_x(r)) < k + 1 = m/2 - e(m)/2 + 1$ .

On one hand, the distribution  $C_x(r)$  has large enough probability mass on the high-complexity

strings. On the other hand, we have that since x is a low-complexity string itself, the elements

of  $C_x(r)$  with highest mass can be identified by short descriptions. This leads to a contradiction of simultaneously having large enough mass on the low and the high K-complexity strings.

Let t be the entropy of the distribution  $C_x(r)$ . Let  $Y = \{y_1 \dots y_{2^{t+\log m}}\}$  be the heaviest elements (in terms of probability mass) of  $C_x(r)$  in decreasing order. Conditioned on x, the K complexity of any of these strings  $y_i$  is at most  $t + O(\log m)$ . Since  $K(x) = O(\log n) =$  $O(\log m)$ , we have  $K(y_i) \le t + O(\log m) < m/2$ . Next, we will show that there is at least mass  $\frac{1}{m}$  on these strings within  $C_x(r)$ . This will contradict the correctness of the reduction for  $x \in L$  since it cannot output strings with K complexity at most |m|/2 with probability  $1/n^{\Omega(1)}$ .

Assume not, i.e., the mass on elements of Y is at most  $\frac{1}{m}$ . Observe that elements of Sup $(C_x(r)) - Y$  have mass no more than  $2^{-(t+\log m)}$  each. Then, the contribution to entropy by these elements is at least  $(1 - 1/m)(t + \log m) > t$  (which is a contradiction).

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Case 2 - x is a NO instance: From the correctness of the reduction we have that with probability at least  $1 - 1/n^{\omega(1)}$  the output f(x, r) is a string with K complexity at most m/2 - e(m). Since x is a violator, we also have  $H(C_x(r)) > k - 1 = m/2 - e(m)/2 - 1$ . We claim that the following holds:

305 
$$\Pr_{y \sim f(x,r)}[K(y) > m/2 - e(m)] \ge 1/m.$$

Assume not. Then, the entropy of f(x,r) is at most  $(1/m)(m) + (1-1/m)(m/2 - e(m)) \le m/2 - e(m) + 1 < m/2 - e(m)/2 - 1$ , which contradicts the lower bound on the entropy of f(x,r) above.

Since the claim holds, with probability at least 1/m the output of the reduction is not an element of the set  $N_{\tilde{R}_{K}}$ . Thus, the reduction fails with probability  $1/n^{\Omega(1)}$ .

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◀

▶ Remark 12. The proof of the preceding theorem illustrates why we define the error threshold 312 in our randomized reductions to be  $\frac{1}{n^{\omega(1)}}$ . If we assumed that A were  $\leq_{\mathrm{m}}^{\mathsf{BPP}}$ -reducible to  $\widetilde{R}_{K}$ 313 with an inverse polynomial threshold (say  $q(n)^{-1}$ ), then (as in the proof of [46, Theorem 314 39] we may modify the reduction so that the length of each output produced has length 315  $Q(n) = \omega(q(n))$  (by padding with some uniformly-random bits). For strings x that are NO 316 instances of A, when the reduction to  $R_K$  fails with probability 1/q(n), our calculation of the 317 entropy of  $C_x$  will involve a term of  $\frac{1}{q(n)}Q(n)$  (because the queries made in this case can have 318 nearly Q(n) bits of entropy). This is more than the entropy gap between the distributions 319 corresponding to the YES and NO outputs. 320

▶ Remark 13. Although our focus in this paper is in  $\tilde{R}_K$ , we note that one can also define an analogous problem  $\tilde{R}_{\mathsf{KT}}$  in terms of the time-bounded measure KT. The approach used in Theorem 11 also shows that every problem in NISZK is  $\leq_{\mathrm{m}}^{\mathsf{BPP}}$  reducible to  $\tilde{R}_{\mathsf{KT}}$ , although we do not know how to show hardness under  $\leq_{\mathrm{m}}^{\mathsf{RP}}$  reductions. (A random sample from the low-entropy distribution is guaranteed to always have low *K*-complexity, but the tools of [14, 16] only guarantee that the output has low KT-complexity with high probability.)

# **4** More Powerful Reductions

Just as  $\leq_{m}^{\mathsf{RP}}$  and  $\leq_{m}^{\mathsf{BPP}}$  reducibilities generalize the familiar  $\leq_{m}^{\mathsf{P}}$  (Karp) reducibility to the setting of probabilistic computation, so also are there probabilistic generalizations of deterministic non-adaptive reductions (also known as truth-table reductions). Before presenting these probabilistic generalizations, let us review the previously-studied deterministic non-adaptive reducibilities that are relevant for this investigation. Some of them may be unfamiliar to the reader.

Ladner, Lynch, and Selman [40] considered several possible ways to define polynomial-time versions of the truth-table reducibility that had been studied in computability theory, before settling on the definition of  $\leq_{tt}^{P}$  reducibility below. They considered only reductions between *languages*; the corresponding generalization to *promise problems* is due to [45]. In order to state this generalization formally, let us define the characteristic function  $\chi_A$  of a promise problem A = (Y, N) to take on the following values in three-valued logic:

 $If x \in Y, \text{ then } \chi_A(x) = 1.$   $If x \in N, \text{ then } \chi_A(x) = 0.$   $If x \notin (Y \cup N), \text{ then } \chi_A(x) = *.$ 

 $If x \notin (Y \cup N), then \chi_A(x) = *.$ 

<sup>343</sup> A Boolean circuit with n variables, when given an assignment in  $\{0, 1, *\}^n$ , can be evaluated <sup>344</sup> using the usual rules of three-valued logic. (See, e.g., [45, Definition 4.6].)

▶ Definition 14. Let A = (Y, N) and B = (Y', N') be promise problems. We say  $A \leq_{tt}^{P} B$  if there is a function f computable in polynomial time, such that, for all x, f(x) is of the form  $(C, z_1, z_2, ..., z_k)$  where C is a Boolean circuit with k input variables, and  $(z_1, ..., z_k)$  is a list of queries, with the property that

<sup>349</sup> If  $x \in Y$ , then  $C(\chi_B(z_1), \dots, \chi_B(z_k)) = 1$ . <sup>350</sup> If  $x \in N$ , then  $C(\chi_B(z_1), \dots, \chi_B(z_k)) = 0$ .

This definition ensures that the circuit C, viewed as an ordinary circuit in 2-valued logic, correctly decides membership for all  $x \in (Y \cup N)$  when given any solution S for B as an oracle.

If C is a Boolean formula, instead of a circuit, then one obtains the so-called "Boolean formula reducibility" (denoted by  $A \leq_{bf}^{P} B$ ), which was discussed in [40] and studied further in [39, 22]. (See also [21, 6].)

**557** ► **Theorem 15.**  $SZK = \{A : A \leq_{hf}^{P} EA\}.$ 

Proof.  $\mathsf{EA} \in \mathsf{NISZK} \subseteq \mathsf{SZK}$ . Sahai and Vadhan [45, Corollary 4.14] showed that  $\mathsf{SZK}$  is closed under  $\mathsf{NC}^1$ -truth-table reductions, but the proof carries over immediately to  $\leq_{\mathrm{bf}}^{\mathsf{P}}$ reductions. Thus  $\{A : A \leq_{\mathrm{bf}}^{\mathsf{P}} \mathsf{EA}\} \subseteq \mathsf{SZK}$ . The other inclusion was shown in [27, Proposition 5.4].

Notably, it is still an open question if SZK is closed under  $\leq_{tt}^{P}$  reducibility.

Our characterization of SZK in terms of reductions to  $\tilde{R}_K$  relies on the following probabilistic generalization of  $\leq_{\rm bf}^{\rm P}$ :

▶ Definition 16. Let A = (Y, N) and B = (Y', N') be promise problems. We say  $A \leq_{bf}^{\mathsf{BPP}} B$ with threshold  $\theta > \frac{1}{2}$  if there are functions f and g computable in deterministic polynomial time, and a polynomial p, such that, for all x, f(x) is a Boolean formula C (with  $k = |x|^{O(1)}$ variables), with the property that

- If  $x \in Y$ , then  $C(\chi_{g,B}(x,1),\ldots,\chi_{g,B}(x,k)) = 1$ , 369
- If  $x \in N$ , then  $C(\chi_{q,B}(x,1), \ldots, \chi_{q,B}(x,k)) = 0$ , 370
- where 371
- $= \chi_{g,B}(x,i) = 1 \text{ if } \Pr_{r \in \{0,1\}^{p(|x|)}}[g(x,i,r) \in Y'] \ge \theta$ 372
- $= \chi_{q,B}(x,i) = 0 \text{ if } \Pr_{r \in \{0,1\}^{p(|x|)}}[g(x,i,r) \in N'] \ge \theta$ 373
- $\chi_{a,B}(x,i) = *$  otherwise. 374

Intuitively,  $\leq_{bf}^{\mathsf{BPP}}$  reductions generalize  $\leq_{bf}^{\mathsf{P}}$  reductions, in that the queries are now generated 375 probabilistically, and the probability that any query returns a definite YES or NO answer is 376 bounded away from  $\frac{1}{2}$ . 377

- The following proposition is immediate from the definitions. 378
- ▶ **Proposition 17.** If  $A \leq_{bf}^{\mathsf{P}} B$  and  $B \leq_{m}^{\mathsf{BPP}} C$  with threshold  $\theta$ , then  $A \leq_{bf}^{\mathsf{BPP}} C$  with threshold  $\theta$ . 379
- ▶ Corollary 18. SZK  $\subseteq \{A : A \leq_{\mathrm{bf}}^{\mathsf{BPP}} \widetilde{R}_K\}$  with threshold  $1 \frac{1}{n^{\omega(1)}}$ . 380
- **Proof.** Immediate from Theorem 15 and Theorem 11. 381

There are (at least) three other variants of probabilistic nonadaptive reducibility that 382 we should mention. The first of these is the notion that goes by the name "nonadaptive 383 BPP reducibility" or "randomized nonadaptive reductions" in work such as [46, 14, 19] and 384 elsewhere. 385

▶ Definition 19. Let A = (Y, N) and B = (Y', N') be promise problems. We say  $A \leq_{tt}^{\mathsf{BPP}} B$ 386 if there are a function f computable in polynomial time and a polynomial p such that, for all 387 x and all r of length p(|x|), f(x,r) is of the form  $(C, z_1, z_2, \ldots, z_k)$  where C is a Boolean 388 circuit with k input variables, and  $(z_1, \ldots, z_k)$  is a list of queries, with the property that 389

■ If  $x \in Y$ , then  $\Pr_r[C(\chi_B(z_1), \dots, \chi_B(z_k)) = 1] \ge \frac{2}{3}$ . ■ If  $x \in N$ , then  $\Pr_r[C(\chi_B(z_1), \dots, \chi_B(z_k)) = 0 \ge \frac{2}{3}$ . 390 391

(The threshold  $\frac{2}{3}$  can be replaced by any threshold between  $n^{-k}$  and  $2^{-n^k}$ , by the usual method 392 of taking the majority vote of several independent trials.) 393

Saks and Santhanam showed that if  $A \leq_{\rm tt}^{\sf BPP} \widetilde{R}_K$  via a reduction that satisfies an additional 394 "honesty" condition, then  $A \in \mathsf{AM} \cap \mathsf{coAM}$  [46]. The most important ways in which  $\leq_{\mathrm{bf}}^{\mathsf{BPP}}$  and 395  $\leq_{tt}^{\mathsf{BPP}}$  reducibility differ from each other, are (1) in  $\leq_{bf}^{\mathsf{BPP}}$  reducibility, the query evaluation 396 is performed by a Boolean formula, instead of a circuit, and (2) in  $\leq_{tt}^{\mathsf{BPP}}$  reducibility, the 397 circuit that is chosen, to do the evaluation, depends on the choice of random bits, whereas in 398  $\leq_{\rm bf}^{\sf BPP}$  reducibility, the formula is chosen deterministically. Making different choices in these 399 two dimensions gives rise to two other notions: 400

▶ Definition 20. Let A = (Y, N) and B = (Y', N') be promise problems. We say  $A \leq_{rbf}^{\mathsf{BPP}} B$ 401 if there are a function f computable in polynomial time and a polynomial p such that, for all 402 x and all r of length p(|x|), f(x,r) is of the form  $(C, z_1, z_2, \ldots, z_k)$  where C is a Boolean 403 formula with k input variables, and  $(z_1, \ldots, z_k)$  is a list of queries, with the property that 404

- If  $x \in Y$ , then  $\Pr_r[C(\chi_B(z_1), \dots, \chi_B(z_k)) = 1] \ge \frac{2}{3}$ . If  $x \in N$ , then  $\Pr_r[C(\chi_B(z_1), \dots, \chi_B(z_k)) = 0] \ge \frac{2}{3}$ . 405
- 406

(The threshold  $\frac{2}{3}$  can be replaced by any threshold between  $n^{-k}$  and  $2^{-n^k}$ , simply by incorpo-407 rating a Boolean formula that takes the majority vote of several independent trials.). 408

The notation  $\leq_{rbf}^{BPP}$  is intended to suggest "random Boolean formula", since the Boolean formula is chosen randomly.

<sup>411</sup> ► Definition 21. Let A = (Y, N) and B = (Y', N') be promise problems. We say  $A \leq_{\text{circ}}^{\text{BPP}} B$ <sup>412</sup> with threshold  $\theta > \frac{1}{2}$  if there are functions f and g computable in deterministic polynomial <sup>413</sup> time, and a polynomial p, such that, for all x, f(x) is a Boolean circuit (with  $k = |x|^{O(1)}$ <sup>414</sup> variables), with the property that

<sup>415</sup> If  $x \in Y$ , then  $C(\chi_{g,B}(x,1),\ldots,\chi_{g,B}(x,k)) = 1$ , <sup>416</sup> If  $x \in N$ , then  $C(\chi_{g,B}(x,1),\ldots,\chi_{g,B}(x,k)) = 0$ ,

417 where

- 418  $\chi_{g,B}(x,i) = 1 \ \text{if} \Pr_{r \in \{0,1\}^{p(|x|)}}[g(x,i,r) \in Y'] \ge \theta$
- 419  $\chi_{g,B}(x,i) = 0 \text{ if } \Pr_{r \in \{0,1\}^{p(|x|)}}[g(x,i,r) \in N'] \ge \theta$

420  $\chi_{g,B}(x,i) = *$  otherwise.

We show in this paper that SZK is the class of problems  $\leq_{\text{bf}}^{\text{BPP}}$  reducible to  $\widetilde{R}_K$ . We are not able to show that the class of problems  $\leq_{\text{rbf}}^{\text{BPP}}$  reducible to  $\widetilde{R}_K$  is contained in SZK, although we do observe that SZK is closed under this type of reducibility.

▶ Theorem 22.  $SZK = \{A : A \leq_{rbf}^{BPP} EA\}.$ 

**Proof.** The inclusion of SZK in  $\{A : A \leq_{\text{rbf}}^{\text{BPP}} \text{EA}\}$  is immediate from Theorem 15. For the other direction, let  $A \leq_{\text{rbf}}^{\text{BPP}} \text{EA}$ . Thus there are a function f computable in polynomial 425 426 time, and a polynomial p such that, for all x and all r of length p(|x|), f(x,r) is of the 427 form  $(C, z_1, z_2, \ldots, z_k)$ , where evaluating the Boolean formula  $C(\chi_B(z_1), \ldots, \chi_B(z_k))$  gives a 428 correct answer for all  $x \in Y \cup N$  with error at most  $2^{-n^2}$ . Here is a zero-knowledge interactive 429 protocol for A. The verifier sends a random string r to the prover. The prover and the verifier 430 can each compute  $f(x, r) = (C, z_1, z_2, \dots, z_k)$ , and then (as in [45, Corollary 4.14], compute an 431 instance (D, E) of SD such that (D, E) is a YES instance of SD if  $C(\chi_B(z_1), \ldots, \chi_B(z_k)) = 1$ , 432 and (D, E) is a NO instance of SD if  $C(\chi_B(z_1), \ldots, \chi_B(z_k)) = 0$ . The prover and the verifier 433 can then run the SZK protocol for the SD instance (D, E). The verifier clearly accepts each 434 YES instance with high probability, and cannot be convinced to accept any NO instance 435 with more than negligible probability. The simulator, given input x, will generate the string 436 r uniformly at random, and then compute f(x,r) and compute the instance (D, E) as above, 437 and then produce the transcript that is produced by the SD simulator on input (D, E). 438 It is straightforward to observe that, if  $x \in Y$ , then this distribution is very close to the 439 distribution induced by the honest prover and verifier. 440

# **4**41 **5 A New Characterization of** SZK

<sup>442</sup> ► **Theorem 23.** The following are equivalent, for any decidable promise problem A:

443 **1.**  $A \in SZK$ .

444 **2.**  $A \leq_{\mathrm{bf}}^{\mathrm{BPP}} \widetilde{R}_K$  with threshold  $1 - \frac{1}{n^{\omega(1)}}$ .

**Proof.** Corollary 18 states that all problems in SZK  $\leq_{\mathrm{bf}}^{\mathrm{BPP}}$ -reduce to  $\widetilde{R}_K$ . Thus we need only show the converse containment. Let  $A \leq_{\mathrm{bf}}^{\mathrm{BPP}} \widetilde{R}_K$ . As in the proof of Theorem 11, we will build circuits  $C_{x,i}(r)$  that model the computation that produces the  $i^{\mathrm{th}}$  query that is asked on input x, when using random bits r. As in the proof of Theorem 11, we claim that if a  $1 - \frac{1}{n^{\omega(1)}}$  fraction of the strings of the form  $C_{x,i}(r)$  are in  $Y_{\widetilde{R}_K}$ , then  $C_{x,i}$  represents a

distribution with entropy at least m/2 - e(m)/2 + 1, and if a  $1 - \frac{1}{n^{\omega(1)}}$  fraction of the strings 450 of the form  $C_{x,i}(r)$  are in  $N_{\widetilde{R}_{\kappa}}$ , then  $C_{x,i}$  represents a distribution with entropy at most 451 m/2 - e(m)/2 - 1. Indeed, the proof is essentially identical. Assume that there are infinitely 452 many x that are not don't care instances, where replacing the  $R_K$  oracle with the EA oracle 453 does not yield the correct answer. Given n, we can find the lexicographically-least string x454 of length n for which the reduction fails. Since the reduction fails, there must be some i such 455 that the  $i^{\text{th}}$  query in the formula yields the wrong answer. Thus, given (n, i), we can find x 456 and build the circuit  $C_{x,i}$  of Kolmogorov complexity  $O(\log n)$  that yields a correct answer 457 when given  $R_K$  as an oracle, but fails when queries are made to EA instead. The analysis is 458 identical to the argument in the proof of Theorem 11. 459

We have nothing to say, regarding the problems that are reducible to  $\widetilde{R}_K$  via  $\leq_{\text{tt}}^{\text{BPP}}$  or  $\leq_{\text{rbf}}^{\text{BPP}}$  reductions, other than to refer to the AM  $\cap$  coAM upper bound provided by Saks and Santhanam [46]. We do have a somewhat better bound to report, regarding  $\leq_{\text{circ}}^{\text{BPP}}$  reducibility.

<sup>463</sup> ► **Theorem 24.** The following are equivalent, for any decidable promise problem A:

- 464 1.  $A \leq_{\operatorname{circ}}^{\operatorname{\mathsf{BPP}}} \widetilde{R}_K$  with threshold  $1 \frac{1}{n^{\omega(1)}}$ .
- 465 **2.**  $A \leq_{tt}^{P} EA$ .
- 466 **3.**  $A \leq_{tt}^{\mathsf{P}} B$  for some  $B \in \mathsf{SZK}$ .

**Proof.** Items 2 and 3 are equivalent, by Theorem 15. Similarly, if  $A \leq_{tt}^{P} B$  for some  $B \in SZK$ , then we know that  $A \leq_{tt}^{P} B \leq_{bf}^{BPP} \widetilde{R}_{K}$ . The composition of a  $\leq_{tt}^{P}$  reduction with a  $\leq_{bf}^{BPP}$ reduction is clearly a  $\leq_{circ}^{BPP}$  reduction. Finally, the proof of the remaining implication follows along the same lines as the proof of Theorem 23.

# 471 **6** Less Powerful Reductions

The standard complete problems EA and SD remain complete for NISZK and SZK, respectively, even under more restrictive reductions such as  $\leq_{\rm m}^{\rm L}$  and  $\leq_{\rm m}^{\rm NC^0}$ . In this section, we show that it is worthwhile considering probabilistic versions of  $\leq_{\rm m}^{\rm L}$ ,  $\leq_{\rm m}^{\rm AC^0}$  and  $\leq_{\rm m}^{\rm NC^0}$  reducibility to  $\widetilde{R}_K$ .

<sup>475</sup> ► Definition 25. For a class C, a promise problem A = (Y, N) is  $\leq_{m}^{RC}$ -reducible to B =<sup>476</sup> (Y', N') with threshold θ if there are a function  $f \in C$  and a polynomial p such that

- 477  $x \in Y \text{ implies } \Pr_{r \in \{0,1\}^{p(|x|)}}[f(x,r) \in Y'] \ge \theta.$
- 478  $x \in N$  implies  $\Pr_{r \in \{0,1\}^{p(|x|)}}[f(x,r) \in N'] = 1.$

<sup>479</sup> A is  $\leq_{m}^{BPC}$ -reducible to B with threshold  $\theta$  if there are a function  $f \in C$  and a polynomial p <sup>480</sup> such that

481  $x \in Y \text{ implies } \Pr_{r \in \{0,1\}^{p(|x|)}}[f(x,r) \in Y'] \ge \theta.$ 

482  $x \in N \text{ implies } \Pr_{r \in \{0,1\}^{p(|x|)}}[f(x,r) \in N'] \ge \theta.$ 

We are particularly interested in the cases  $C = L, C = AC^0$ , and  $C = NC^0$ . Note especially that, in the definitions of  $\leq_m^{RL}$  and  $\leq_m^{BPL}$ , the logspace computation has full (two-way) access to the random bits r. This is consistent with the way that probabilistic logspace computation is used in the context of the "verifier" and "simulator" in the complexity classes SZK<sub>L</sub> and NISZK<sub>L</sub> [26, 14].

<sup>488</sup> SZK<sub>L</sub>, the "logspace version" of SZK, was introduced in [26], primarily as a tool to <sup>489</sup> discuss the complexity of problems involving distributions realized by extremely limited <sup>490</sup> circuits (such as  $NC^0$  circuits). It is shown in [26] that SZK<sub>L</sub> contains many of the problems

of cryptographic significance that lie in SZK. NISZK<sub>L</sub> was introduced in [14] as the "non-491 interactive" counterpart to SZK<sub>L</sub>, by analogy with NISZK, primarily as a tool to investigate 492 the complexity of computing time-bounded Kolmogorov complexity. It was subsequently 493 studied in [15], where it was shown to be robust to several changes to the definition. It 494 is shown in [26, 14] that complete problems for SZK<sub>L</sub> and NISZK<sub>L</sub> arise by considering 495 restrictions of the standard complete problems for SZK and NISZK where the distributions 496 under consideration are represented either by branching programs (in  $EA_{BP}$ ), or by  $NC^0$ 497 circuits where each output bit depends on at most 4 input bits (in  $SD_{NC^0}$  and  $EA_{NC^0}$ ). 498

Following the pattern we established in Section 2, we now define SZK<sub>L</sub> and NISZK<sub>L</sub> in terms of their complete problems, rather than presenting the definitions in terms of interactive proofs:

▶ **Definition 26.**  $SZK_L = \{A : A \leq_m^{proj}SD_{NC^0}\} = \{A : A \leq_m^L SD_{BP}\}$ NISZK<sub>L</sub> =  $\{A : A \leq_m^{proj}EA_{NC^0}\} = \{A : A \leq_m^L EA_{BP}\}.$ 

**504** ► **Theorem 27.** The following are equivalent, for any decidable promise problem A:

- 505  $A \in \mathsf{NISZK}_{\mathsf{L}}$ 506  $A \leq_{\mathsf{m}}^{\mathsf{RNC}^0} \widetilde{R}_K$ 507  $A \leq_{\mathsf{m}}^{\mathsf{BPNC}^0} \widetilde{R}_K$
- $= A \leq_{\mathrm{m}}^{\mathrm{RAC}^{0}} \widetilde{R}_{K}$
- $= A \leq_{\mathrm{m}}^{\mathrm{BPAC}^{0}} \widetilde{R}_{K}$
- 510  $A \leq_{\mathrm{m}}^{\mathsf{RL}} \widetilde{R}_K$
- $= A \leq_{\mathrm{m}}^{\mathsf{BPL}} \widetilde{R}_K$

**Proof.** The proof that  $A \in \mathsf{NISZK}$  implies  $A \leq_{\mathrm{m}}^{\mathsf{RNC}^0} \widetilde{R}_K$  proceeds as in the proof of Theorem 11, 512 except that we appeal to [14, Corollary 43] (presenting a nonuniform  $\leq_{\rm m}^{\rm proj}$  reduction from 513  $\mathsf{EA}_{\mathsf{NC}^0}$  to  $R_K$ ), instead of Corollary 18 in that paper. In more detail: as in the proof of 514 Theorem 11, given x, the reduction constructs a sequence of independent copies of EA, but 515 now each distribution is represented by an  $NC^0$  circuit. The proof of Corollary 43 in [14] 516 shows that these  $NC^0$  circuits can be constructed via uniform *projections*, and thus each 517 output bit is computed by a gadget that is connected to O(1) random bits (i.e., the bits that 518 are fed into the circuit computing the distribution), along with at most one bit from the 519 input x (determining the circuitry internal to the gadget). The rest of the analysis is similar 520 to that in the proof of Theorem 11. 521

If A is decidable and  $A \leq_{\mathrm{m}}^{\mathsf{BPL}} \widetilde{R}_K$ , then, as in the proof of Theorem 11, we build a device  $C_x(r)$  that simulates the computation that produces queries to  $\widetilde{R}_K$  on input x. However, now  $C_x$  is a branching program, and thus we replace queries to  $\widetilde{R}_K$  by queries to  $\mathsf{EA_{BP}}$ . Again, the analysis is similar to that in the proof of Theorem 11.

 $_{\rm 526}$  We end this section, with an analogous characterization of  ${\sf SZK}_{\sf L}.$ 

▶ Definition 28. Let A = (Y, N) and B = (Y', N') be promise problems. We say  $A \leq_{bf}^{L} B$ if there is a function f computable in logspace such that, for all x, f(x) is of the form ( $C, z_1, z_2, \ldots, z_k$ ) where C is a Boolean formula with k input variables, and  $(z_1, \ldots, z_k)$  is a list of queries, with the property that

- 531 If  $x \in Y$ , then  $C(\chi_B(z_1), \dots, \chi_B(z_k)) = 1$ .
- 532 If  $x \in N$ , then  $C(\chi_B(z_1), \ldots, \chi_B(z_k)) = 0$ .

Earlier work that studied  $\leq_{\text{bf}}^{\text{L}}$  reducibility can be found in [21, 6].

- <sup>534</sup> We say  $A \leq_{bf}^{BPL} B$  with threshold  $\theta > \frac{1}{2}$  if there are functions f and g computable in
- deterministic logspace, and a polynomial p, such that, for all x, f(x) is a Boolean formula

536 (with  $k = |x|^{O(1)}$  variables), with the property that

537 If  $x \in Y$ , then  $C(\chi_{g,B}(x,1),\ldots,\chi_{g,B}(x,k)) = 1$ ,

538 If  $x \in N$ , then  $C(\chi_{g,B}(x,1),\ldots,\chi_{g,B}(x,k)) = 0$ ,

539 where

- 540  $\chi_{g,B}(x,i) = 1 \text{ if } \Pr_{r \in \{0,1\}^{p(|x|)}}[g(x,i,r) \in Y'] \ge \theta$
- 541  $\chi_{g,B}(x,i) = 0 \text{ if } \Pr_{r \in \{0,1\}^{p(|x|)}}[g(x,i,r) \in N'] \ge \theta$
- 542  $\chi_{g,B}(x,i) = *$  otherwise.

(Similarly, one can define  $AC^0$  versions of  $\leq_{bf}^{L}$ , although, since an  $AC^0$  circuit cannot evaluate a Boolean formula, we do not pursue that direction here.)

<sup>545</sup> ► **Theorem 29.** The following are equivalent, for any decidable promise problem A:

$$= A \in \mathsf{SZK}_\mathsf{L}.$$

$$= A \leq_{\mathrm{bf}}^{\mathsf{L}} \mathsf{EA}_{\mathsf{NC}^0}.$$

548  $A \leq_{\mathrm{bf}}^{\mathsf{BPL}} \widetilde{R}_K$  with threshold  $1 - \frac{1}{n^{\omega(1)}}$ .

<sup>549</sup> **Proof.** The first two items are equivalent, because (a)  $SZK_L$  is closed under  $\leq_{bf}^{L}$  reducibility <sup>550</sup> [15], and (b) the argument in [27], showing that  $SZK \leq_{bf}^{L}$ -reduces to NISZK carries over <sup>551</sup> directly to  $SZK_L$  and  $NISZK_L$ .

Since  $\mathsf{EA}_{\mathsf{NC}^0}$  is complete for  $\mathsf{NISZK}_{\mathsf{L}}$ , Theorem 27 implies that every  $A \in \mathsf{NISZK}_{\mathsf{L}}$  is  $\leq_{\mathrm{bf}}^{\mathsf{BPL}}$ -reducible to  $\widetilde{R}_K$ . The argument that every decidable A that  $\leq_{\mathrm{bf}}^{\mathsf{BPL}}$ -reduces to  $\widetilde{R}_K$  lies in  $\mathsf{SZK}_{\mathsf{L}}$  is similar to the argument in Theorem 23.

# 555 **7** Discussion

There are not many examples of natural computational problems that are known or conjectured to lie outside of P, such that the class of problems reducible to them via  $\leq_{\rm m}^{\rm P}$  and  $\leq_{\rm m}^{\rm L}$ (or  $\leq_{\rm m}^{\rm AC^0}$ ) reductions differ (or are conjectured to differ). Is it the case that the problems reducible to  $\widetilde{R}_K$  via  $\leq_{\rm m}^{\rm RP}$  and  $\leq_{\rm m}^{\rm RL}$  (or  $\leq_{\rm m}^{\rm RAC^0}$ ) reductions differ? Or should this be taken as evidence that NISZK and NISZK<sub>L</sub> coincide?

Similarly, there are not many examples of natural computational problems such that the classes of problems reducible to them via  $\leq_{tt}^{P}$  and  $\leq_{bf}^{P}$  reductions differ (or are conjectured to differ). For example, these reducibilities coincide for SAT [22]. Is it the case that  $\leq_{bf}^{BPP}$  and  $\leq_{circ}^{BPP}$  reducibilities differ for  $\widetilde{R}_{K}$ ? Or should this be taken as evidence that SZK is closed under  $\leq_{tt}^{P}$  reducibility?

Perhaps our new characterizations of statistical zero knowledge classes will be useful in answering these questions.

It is known that every promise problem in NISZK<sub>L</sub> reduces to  $\widetilde{R}_K$  via nonuniform projections [14, 4]. The following quote from [4] is worth paraphrasing here:

<sup>570</sup> ... no complexity class larger than NISZK<sub>L</sub> is known to be (non-uniformly)  $\leq_{\rm m}^{\rm AC^0}$ <sup>571</sup> reducible to the Kolmogorov-random strings [14]. It seems unlikely that this is optimal.

15

The discussion in [4] was referring to reductions to an oracle for the *exact* Kolmogorovcomplexity function. Our results show that, for reductions to an *approximation* to the Kolmogorov-complexity function, NISZK<sub>L</sub> *is* essentially "optimal".

Finally, let us observe that our new characterizations of  $NISZK_L$  may open new avenues 575 of attack on questions such as whether NP = NL. MKTP, the problem of computing KT 576 complexity, lies in NP and is hard for co-NISZK<sub>L</sub> under nonuniform projections [14]. If 577  $MKTP \in NISZK_L$ , then there must be a nonuniform projection f that takes strings of 578 low KT-complexity (and hence low K-complexity) to strings of high K complexity, and 579 simultaneously maps strings of high KT complexity to strings of low K-complexity. It is 580 plausible that one could show unconditionally that no such projection can exist. Among 581 other things, this would show that  $\mathsf{NP} \neq \mathsf{DET}$  (where  $\mathsf{DET}$  is the complexity class, containing 582 NL, of problems that reduce to the determinant) since  $\mathsf{DET} \subseteq \mathsf{NISZK}_{\mathsf{L}}$  [14]. In this vein, 583 let us also remark that Kolmogorov complexity has already proved useful in developing 584 nonrelativizing proof techniques [31], and also that the machinery of perfect randomized 585 encodings (which were developed in [17] and which are essential to the results of [14]) also 586 does not seem to relativize in any obvious way. 587

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