

# Randomness Extractors in $AC^0$ and $NC^1$ : Optimal up to Constant Factors

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## Abstract

We study randomness extractors in  $AC^0$  and  $NC^1$ . For the  $AC^0$  setting, we give a logspace-uniform construction of extractors such that for every  $k \geq n/\text{poly log } n, \varepsilon \geq 2^{-\text{poly log } n}$ , it can extract from any  $(n, k)$  source, with its output  $\varepsilon$ -close to uniform, while only has a small constant fraction entropy loss, and the seed length is  $O(\log \frac{n}{\varepsilon})$ . The seed length and output length are optimal up to constant factors matching the parameters of the best polynomial time construction such as [GUV09]. The range of  $k$  and  $\varepsilon$  almost meets the lower bound in [GVW15] and [CL18]. We also generalize the main lower bound of [GVW15] for extractors in  $AC^0$ , showing that when  $k < n/\text{poly log } n$ , even strong dispersers do not exist in non-uniform  $AC^0$ . For the  $NC^1$  setting, we also give a logspace-uniform construction of extractors with seed length  $O(\log \frac{n}{\varepsilon})$  and with a small constant fraction entropy loss in the output. It can extract from any  $(n, k)$  source to attain  $\varepsilon$ -close to uniform output distributions, for every  $k \geq \Omega(\log(n/\varepsilon)), \varepsilon \geq 2^{-k^{0.99}}$ . To our knowledge the previous best  $NC^1$  construction is Trevisan's extractor [Tre01] and its improved version [RRV02] which have seed lengths  $\text{poly log } \frac{n}{\varepsilon}$ . Also notice that due to existing relations between low depth circuits and parallel computations, our  $AC^0$  extractor and  $NC^1$  extractor can be computed in  $O(1)$  and  $O(\log n)$  time respectively, by certain PRAM models.

Our main techniques include a new error reduction process and a new output stretch process, which are based on low-depth circuits implementations for mergers, condensers, and somewhere extractors.

## 1 Introduction

Randomness extractors are functions that can transform weak random sources into distributions close to uniform. A typical definition of weak random sources is by min-entropy. A random variable (weak source)  $X$  has min-entropy  $k$  if for every  $x$  in the support of  $X$ ,  $\log \frac{1}{\Pr[X=x]} \geq k$ . To extract from an arbitrary weak source of a certain min-entropy, Nisan and Zuckerman [NZ96] introduced the definition of seeded extractor, where the extractor has a short uniform random seed as an extra input. Specifically, a function  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is defined to be a strong  $(k, \varepsilon)$ -extractor, if for every source  $X$  with min-entropy  $k$ ,

$$\|(U_d, \text{EXT}(X, U_d)) - U_{d+m}\| \leq \varepsilon,$$

where  $U_d$  and  $U_m$  are uniform distributions over  $\{0, 1\}^d$  and  $\{0, 1\}^m$  respectively, and  $\|\cdot\|$  is the statistical distance. The entropy loss of such a strong extractor is  $k - m$ . On the contrary, a weak  $(k, \varepsilon)$ -extractor has the same definition except we only require

$$\|\text{EXT}(X, U_d) - U_m\| \leq \varepsilon.$$

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The entropy loss of such a weak extractor is  $k + d - m$ .

As a fundamental pseudorandom construction, extractors are closely related to other pseudorandom objects and also have various applications in computational complexity, combinatorics, algorithm design, information theory, and cryptography. See surveys [NT99][Sha02] [Vad07] [Sha11] [AB09] [Vad12].

Optimizing extractor constructions aims to get, for every  $k$  and  $\varepsilon$ , an extractor with  $d$  as small as possible, and  $m$  as large as possible. An existential bound for strong extractors can be given by a probabilistic argument, which has  $d = \log(n - k) + 2 \log(1/\varepsilon) + O(1)$ ,  $m = k - 2 \log(1/\varepsilon) - O(1)$ . This is optimal up to some additive constants for  $k \leq n/2$ , due to the lower bound by [RTS00]. After [NZ96], a long line of work has been done to seek explicit extractors with parameters close to the existential bounds [WZ99, SZ99, GW94, Ta-96, Zuc97, RRV99, NT99, RSW00, Tre01, Ta-98, RRV02, LRVW03, GUV09, DW11, TSU12, DKSS13, KT22]. Among them, [GUV09] first achieves  $d = \log n + O(\log(k/\varepsilon))$  and an arbitrary constant factor entropy loss, and also achieves  $m = k - 2 \log(1/\varepsilon) - O(1)$  with  $d = \log n + O(\log k \cdot \log(k/\varepsilon))$ . [TSU12] and [KT22] can also achieve the same parameters by replacing the condenser in [GUV09] with their condenser versions. On the other hand, [TSU12] and [DKSS13] achieve subconstant entropy loss  $m = (1 - 1/\text{poly } \log n)k$ ,  $d = O(\log n)$  when  $\varepsilon \geq 1/2^{\log^\beta n}$  for any constant  $\beta < 1$ .

In terms of computational complexity, an explicit construction is an algorithm that can compute the function in deterministic polynomial time on given parameters. A natural question is whether one can construct extractors in lower complexity classes, with matching parameters to the current best explicit ones. Some early work on extractors already pays attention to constructions in low-complexity models. For example, Zuckerman [Zuc97] showed that his construction is actually in NC. Also Bar-Yossef, Reingold, Shaltiel, and Vadhan [BYRST02] showed streaming constructions for several pseudorandom objects including extractors. Furthermore, extractors in low-complexity models have already been used in derandomization tasks for certain low-complexity classes, such as in [Tel19, CDST23]. In this paper, we specifically focus on two low-complexity classes, i.e.  $\text{AC}^0$  and  $\text{NC}^1$ .  $\text{AC}^0$  is the class of all uniform circuit families of polynomial-size, constant depth, with NOT, AND, and OR gates, where AND and OR gates have unbounded fan-in.  $\text{NC}^1$  is the class of all uniform circuit families of polynomial-size,  $O(\log n)$  depth, with NOT, AND, and OR gates, where AND, OR gates have fan-in 2.

These low depth circuits naturally capture the capabilities of highly parallel computing. Uniform circuit families can be simulated by Parallel Random-Access Machines (PRAM) (see, e.g., [KR91]). Specifically, an  $\text{AC}^0$  circuit corresponds to an algorithm running in  $O(1)$  time on a Concurrent-Read Concurrent-Write (CRCW) PRAM with polynomially many processors [SV84]. Similarly, by the relation  $\text{NC}^1 \subseteq \text{EREW}^1 \subseteq \text{CREW}^1$  [KR91], an  $\text{NC}^1$  circuit implies an  $O(\log n)$ -time algorithm on an Exclusive-Read Exclusive-Write (EREW) or Concurrent-Read Exclusive-Write (CREW) PRAM. Therefore, constructing extractors in  $\text{AC}^0$  and  $\text{NC}^1$  provides explicit parallel extraction algorithms. This allows randomness extraction to be performed in strictly constant or logarithmic parallel time, avoiding the polynomial-time latency bottlenecks inherent to standard sequential extractors and extending their applicability to hardware and highly parallel computing environments.

Viola [Vio05] raised the question on extractor construction in  $\text{AC}^0$  and showed that for every constant  $D$ , there exists a polynomial  $p$  such that as long as  $k \leq n/p(\log n)$ , no extractor in  $\text{AC}^0$  with depth  $D$  extract even 1 bit with a constant error, no matter how long the seed is. Goldreich and Wigderson [GVW15] extended the result for bit-fixing sources. This rules out the possibility for the case that  $k = n/\log^{\omega(1)} n$ . For the case  $k \geq n/\text{poly } \log n$ , they gave a strong extractor in  $\text{AC}^0$  that has an output length linear to the seed length. Lately Cheng and Li [CL18] gave a construction that significantly improves the parameters. For the case that  $\varepsilon = 1/\text{poly } n$ ,  $\delta = 1/\text{poly } \log n$ , they

achieve  $d = O(\log n)$ ,  $m = O(\delta n)$ . For the more general case that  $\varepsilon = 2^{-\text{poly log } n}$ ,  $\delta = 1/\text{poly log } n$ , they achieve  $d = O\left(\log n + \frac{\log(n/\varepsilon)\log(1/\varepsilon)}{\log n}\right)$ ,  $m = O(\delta n)$ . They also showed that  $\varepsilon$  has to be at least  $2^{-\text{poly log } n}$  for  $\text{AC}^0$  extractors.

For extractors in  $\text{NC}^1$ , unlike the  $\text{AC}^0$  case, there are no known lower bounds for  $k$  or  $\varepsilon$ . Indeed the extractor based on universal hash functions [CW79], argued by the leftover hash lemma [ILL89], can achieve an arbitrary  $\varepsilon$  and  $k$ . It can be realized in  $\text{NC}^1$  since there are simple linear function constructions for such hash functions. Trevisan’s extractor [Tre01], and its improved version [RRV02] can also be realized in  $\text{NC}^1$ , since their main components, the average-case hard function based on local list-decodable codes can be computed in  $\text{NC}^1$ . Extractors can also be derived from averaging samplers [Zuc97]. Healy [Hea08] constructed a sampler in  $\text{NC}^1$ . However if one simply applies the transformation of [Zuc97] on it, then this can only give an extractor with a constant error. Hence in general, it is still a question whether one can achieve extractors in  $\text{NC}^1$  with near optimal parameters for arbitrary  $k$  and  $\varepsilon$ .

## 1.1 Our results

For the rest of the paper, for simplicity of description, we omit the term “logspace uniform” when we refer to logspace uniform  $\text{AC}$  and  $\text{NC}$  circuit classes. When we refer to non-uniform circuits we will use the term “non-uniform” explicitly.

Our main positive result is an  $\text{AC}^0$  computable extractor with parameters optimal up to constant factors. In the PRAM model, this directly yields an explicit extraction algorithm running in  $O(1)$  time on a CRCW PRAM model.

**Theorem 1.1.** *For every constant  $a, c > 0, \gamma \in (0, 1)$ , every  $k \geq \frac{n}{\log^a(n)}, \varepsilon \geq 2^{-\log^c(n)}$ , there exists an explicit  $(k, \varepsilon)$ -strong extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  with depth  $O(a + c + 1)^2$ , such that  $d = O(\log \frac{n}{\varepsilon})$ , and  $m \geq (1 - \gamma)k$ .*

Notice that this is much better in seed length compared to the previous best  $\text{AC}^0$  constructions [CL18], which requires  $d = O\left(\left(\log n + \frac{\log(n/\varepsilon)\log(1/\varepsilon)}{\log n}\right) \log^a n\right)$  for such an output length. Also, notice that there are lower bounds for  $k$  and  $\varepsilon$  in the  $\text{AC}^0$  construction setting, i.e.  $k$  has to be at least  $n/\text{poly log } n$  by [GVW15] and  $\varepsilon$  has to be  $2^{-\text{poly log } n}$  by [CL18]. Thus roughly in the plausible range for  $k$  and  $\varepsilon$ , we achieve parameters optimal up to constant factors.

Our method can also be used to give  $\text{NC}^1$  computable extractors, which correspondingly yield  $O(\log n)$ -time extraction algorithms on an EREW or CREW PRAM model.

**Theorem 1.2.** *For every pair of constants  $\gamma, \alpha \in (0, 1)$ , and all parameters  $k = k(n)$  and  $\varepsilon = \varepsilon(n)$  satisfying  $k \geq \Omega(\log(n/\varepsilon))$  and  $\varepsilon \geq 2^{-k^\alpha}$ , there exists an explicit  $(k, \varepsilon)$ -strong extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$  computable in  $\text{NC}^1$ , with  $r(n) = O(\log(n/\varepsilon))$  and  $m(n) = (1 - \gamma)k(n)$ .*

To our knowledge, the previous best known  $\text{NC}^1$  construction is the improved Trevisan’s extractor from [RRV02], which has seed length  $O(\log^2 n \log \frac{1}{\varepsilon})$ , for all  $k, \varepsilon$ .

Our negative result extends the previous entropy parameter lower bound of [GVW15] for strong extractors in  $\text{AC}^0$ , to strong dispersers in  $\text{AC}^0$ .

**Theorem 1.3.** *For every  $d, s > 0$ , every constant  $\delta \in (0, 1)$ , if  $C : \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}$  is a  $(k, \frac{1}{2} - \delta)$ -disperser that can be computed by a non-uniform  $\text{AC}$  circuit of size  $s$  and depth  $d$ , then  $k \geq \Theta\left(\frac{\delta n}{\log^{d-1} s}\right)$ .*

## 1.2 Technique Overview

### 1.2.1 Extractor in $AC^0$

Our  $AC^0$  computable extractor is constructed by three main parts.

**Merger in  $AC^0$**  In this part, we show that any somewhere high-entropy source  $X$  can be merged to be a high-entropy source in  $AC^0$  under a restricted setting of parameters. The merger is a crucial building block in the construction of our extractor.

Recall that  $X = (X_1, \dots, X_\Lambda)$  is a simple somewhere  $(n, k)$  source if there exists  $i \in [\Lambda]$ ,  $X_i$  is a  $(n, k)$  source. We call each  $X_i$  a segment. A somewhere  $(n, k)$  source is a convex combination of simple somewhere  $(n, k)$  sources. A  $(k, k', \varepsilon)$  merger is a function  $\text{Merge} : \{0, 1\}^{n\Lambda} \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , such that for any input somewhere  $(n, k)$  source  $X$ ,  $\text{Merge}(X, U)$  has entropy  $k'$ . [DKSS13] gives a fairly good merger for somewhere uniform sources, which has  $m = n = k, k' = (1 - \delta)k, d = \frac{1}{\delta}(\log \frac{2\Lambda}{\varepsilon})$ . Our key observation is that if the number of segments in the somewhere uniform source is  $\text{poly log } n$ ,  $\delta$  is a small constant, and error  $\varepsilon = 2^{-\text{poly log } n}$ , then this merger can be computed in  $AC^0$ . To see this, note that the computation of [DKSS13] is over a finite field  $F_q$ , where  $q = 2^d = 2^{\text{poly log } n}$  in this setting. The computation only involves three operations: (1) the summation of  $\text{poly log } n$  elements; (2) the powering  $y^i$  where  $y \in \mathbb{F}_q, i = \text{poly log } n$ ; (3) the product of a constant number of field elements. (1) is clearly in  $AC^0$  since it is actually the summation of  $\text{poly log } n$  bits, while (2) and (3) are shown to be in  $AC^0$  by [HV06]. Note that this can be straightforwardly generalized to a merger for somewhere high-entropy source by first applying an extractor to each segment and then merging them.

**Error Reduction** In this part, we give a new error reduction that can be realized in a highly parallel way. The required seed length is optimal up to constant factors, significantly better than [CL18]. Our method takes the basic extractor from [CL18], applies error reduction and stretches the output length to  $\text{poly}(\log n)$  bits. The stretching is designed to satisfy the requirement in the next part.

Let  $X$  be an input  $(n, k)$ -source with  $k = n/\log^a n$  for some constant  $a$ . We start from an  $AC^0$  computable  $(k, \varepsilon_0)$  extractor  $\text{EXT}_0 : \{0, 1\}^n \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  where  $\varepsilon_0 = 1/n, d_0 = O(\log n), m_0 = O(k^2/n)$ , which is achieved in [CL18]. Then for every given constant  $c$ , the new error reduction can reduce the error to be as small as  $\varepsilon = 2^{-\log^c(n)}$ , with a seed length  $O(\log \frac{n}{\varepsilon})$ . We briefly describe the main steps of the procedure along with their arguments.

1. Apply  $\text{EXT}_0$  to  $X$  for  $t = \frac{\log(n/\varepsilon)}{\log n}$  times in parallel, using independent seeds, outputting  $Y_1, Y_2, \dots, Y_t$  respectively, each of length  $m_0$ .

Notice that by the error reduction of [RRV99], one can show that with probability at least  $1 - \varepsilon' \geq 1 - O(\varepsilon_0)^t$ , there exists  $i$  such that  $Y_i$  has min-entropy at least  $m_0 - O(\log t)$ , while the seed length used here is only  $td_0 = O(\log(n/\varepsilon))$ . Hence one can deduce that  $(Y_1, \dots, Y_t)$  is  $t\varepsilon'$  close to a somewhere  $(m_0, m_0 - O(\log t))$  source. We stress that this step is also the first step in the error reduction of [CL18]. But we differ from [CL18] after then.

2. For each  $i$ , cut  $Y_i$  into  $l = O(\log n)$  blocks such that their lengths form a geometric sequence. That is  $Y_i = (Y_{i,1}, Y_{i,2}, \dots, Y_{i,l})$ , where we let  $m_j = |Y_{i,j}| = m_0^{0.1} \cdot 3^j$ . Denote  $Y_{i,1..j}$  as the first  $j$  blocks of  $Y_i$ . Then for each  $j$ , let  $B_j = (Y_{1,1..j}, Y_{2,1..j}, \dots, Y_{t,1..j})$ , i.e. the  $i$ -th segment of  $B_j$  is the first  $j$  blocks from  $Y_i$ . Regard  $B_j$  as a somewhere high-entropy source and merge it by the merger from the previous part, attaining  $Z_j$ . Here we use the same seed for each  $j$ . Then we regard  $(Z_1, Z_2, \dots, Z_l)$  as a block source and extract in a standard way by using an

extractor  $\text{EXT}_1$ . Here  $\text{EXT}_1$  is constructed by first sampling  $O(\log \frac{n}{\epsilon})$  bits from the source and then applying universal hashing.

Notice that since the high entropy segment of  $Y$  is a  $(m_0, m_0 - O(\log t))$  source, each  $B_j$  has to be a somewhere  $(M_j, M_j - O(\log t))$  source, where  $M_j = m_1 + m_2 + \dots + m_j$ . Also, as  $t = \text{poly } \log n$ , the merger can be implemented in  $\text{AC}^0$ . As a result of merging,  $Z_j$  has a high constant entropy rate. Since  $m_j, j \in [l]$  forms a geometric sequence,  $Z_j$  is a constant times longer than  $Z_{j-1}$ . Thus  $(Z_1, Z_2, \dots, Z_l)$  is indeed very close to a block source that has a constant conditional entropy rate. The output length is  $\Omega(\log n \log \frac{n}{\epsilon})$  since for each block we can sample  $O(\log \frac{n}{\epsilon})$  bits and then apply an extractor from the left-over hash lemma. The seed length is  $O(\log \frac{n}{\epsilon})$  since both the merger and the sample-then-extract have a seed length  $O(\log \frac{n}{\epsilon})$ .

3. Assume the previous steps give an extractor  $\text{EXT}'$ . To increase the output length, we run the above steps again but instead use  $\text{EXT}'$  to replace  $\text{EXT}_1$  in the second step. This can increase the output length by a  $\Omega(\log n)$  factor. We do this for  $b$  times to finally get an extractor with output length  $\Omega(\log^b n \cdot \log \frac{n}{\epsilon})$ , for a given arbitrary constant  $b$ .

Note that in this way the circuit depth has a factor  $b$  blow-up. The seed length also has a factor  $b$  blow-up. But as  $b$  is a constant, the construction is still in  $\text{AC}^0$  and the seed length is still  $O(\log \frac{n}{\epsilon})$ .

**Output Stretch** The last part is a new output stretch procedure for  $\text{AC}^0$  computable extractors. Compared to the one in [CL18], the new method attains an output length  $(1 - \gamma)k$  with a seed length  $O(\log \frac{n}{\epsilon})$ .

Observe that if the input source already has a constant entropy rate, then this is an easy case. Because one can do sampling to get a two-block source with constant conditional entropy rates. Then one can use the extractor derived from the previous part to extract from the second source, attaining a  $\text{poly } \log \frac{n}{\epsilon}$  length output, and then use it to extract the first block by applying the main extractor from [CL18]. However, the hard case is when the entropy rate is sub-constant i.e.  $k = \frac{n}{\log^{2a} n}$ . The above simple strategy does not work since we don't know how to argue that the block attained from sampling can keep a constant fraction of all entropy while conditioned on this block, the source still keeps a fairly large conditional entropy. To resolve this issue, we follow a general strategy used in [DKSS13]. We describe the following 3 steps to reduce the hard case to the easy case.

1. Use Ta-shma's somewhere-block-source converter [Ta-98] to convert the original source into a somewhere-two-block-source.

Recall that Ta-shma's converter tries every position of the input source. For each position, the source is cut into two substrings. To avoid having too many segments in the resulting somewhere-two-block-source, one can pick a cutting position after, for example, every  $n / \log^{2a} n$  consecutive positions. In this way, the number of segments is  $\Lambda = \log^{2a} n$ . [Ta-98] shows that for at least one of the position choices, the cutting can give a two-block source where the first block has entropy  $\Omega(k)$ , and the second has conditional entropy  $\Omega(k)$ .

2. For each segment, apply our extractor in the error reduction part for the second block and then use the output as a seed to extract the first block by the extractor in [CL18].

As at least one segment of the somewhere source is indeed a two-block source, the extraction for the second block can provide an output of length  $\text{poly } \log \frac{n}{\epsilon}$ . This is enough to extract a

constant fraction of entropy i.e.  $\Omega(k)$  from the first block by [CL18]. Then what we get is very close to a somewhere uniform source.

3. Use the merger in  $\text{AC}^0$  from the previous part to get a source with a constant entropy rate and min-entropy  $\Omega(k)$ .

As we only have  $\text{poly log } n$  segments,  $\varepsilon = 2^{-\text{poly log } n}$ , and the entropy rate attained is a constant, it holds that the merger is in  $\text{AC}^0$ , with a seed length  $O(\log \frac{n}{\varepsilon})$ . Then after merging, the hard setting is reduced to the previously discussed easy setting, i.e. the constant entropy rate case.

### 1.2.2 Extractor in $\text{NC}^1$

Unlike in the  $\text{AC}^0$  case, the rich of algebraic operations in  $\text{NC}^1$  allows us to utilize the Reed-Solomon lossy condenser of Guruswami, Umans, and Vadhan [GUV09]. To circumvent logspace-uniformity limitations when handling exponentially small errors, our construction employs a four-step paradigm:

1. Apply the Reed-Solomon lossy condenser [GUV09] to transform the input source  $X$  into a two-block source, albeit we can only do this with a large  $\varepsilon_0 = 1/\text{poly}(n)$ .

The condenser views  $X$  as coefficients of a polynomial  $f$  over  $\mathbb{F}_q$  and evaluates  $f$  at geometric points  $(y, \zeta y, \dots, \zeta^{s-1}y)$ , where  $\zeta \in \mathbb{F}_q$  is a primitive element and  $y$  is the seed. Assuming powers of  $\zeta$  are hardwired, these evaluations require only finite-field exponentiations, multiplications, and iterated summations, which are all computable in  $\text{TC}^0 \subseteq \text{NC}^1$  [HV06, HAMB02]. Bisecting this condensed output yields a two-block source  $(X_1, X_2)$  with a constant conditional entropy rate. However, explicitly finding  $\zeta$  via logspace-uniform brute-force search restricts the field size  $q \leq \text{poly}(n)$ , and this essentially restricts this initial step to have a large error  $\varepsilon_0 \geq 1/\text{poly}(n)$ .

2. Extract from this two-block source  $(X_1, X_2)$  to construct an  $\text{NC}^1$  extractor with error  $\varepsilon_0$ .

Using a  $O(\log(n/\varepsilon_0))$  bit seed, we apply a basic  $\text{NC}^1$  extractor to  $X_2$  to explicitly extract a moderately long seed  $Y$  of length  $O(\log^2 n \log(n/\varepsilon_0))$ . This basic extractor is constructed via an  $\text{NC}^1$  implementation of our error-reduction framework, which incorporates an  $\text{NC}^1$  merger and a sample-then-extract technique utilizing an  $\text{NC}^1$  averaging sampler. We then feed the extracted seed  $Y$  to the improved Trevisan's extractor [RRV02] to extract  $W = \text{EXT}_{\text{Trevisan}}(X_1, Y)$  of length  $\Omega(k)$ . Since [RRV02] only requires universal hashing and a hardwired logspace-uniform weak design, the entire procedure is  $\text{NC}^1$  computable.

3. Construct a low-error condenser via parallel extractions.

For a target small error  $\varepsilon \geq 2^{-k^\alpha}$  ( $\alpha \in (0, 1)$ ), we apply the Step 2 extractor in parallel for  $t = \Theta(\frac{\log(1/\varepsilon)}{\log n})$  times with independent seeds. By error reduction, the output  $(Z_1, \dots, Z_t)$  is  $\varepsilon$ -close to a somewhere-random source with block length  $m_0 = \Omega(k)$  and entropy  $m_0 - O(\log(t/\varepsilon))$ . We then condense this source by applying an  $\text{NC}^1$  merger. Indeed, we show that we can have such a merger as long as  $t \leq \text{poly}(n)$  and the required error  $\varepsilon$  is larger than  $2^{-O(n)}$ . This yields an  $\text{NC}^1$ -computable low-error condenser, thereby bypassing the construction of primitive elements in exponentially large fields if using the Reed-Solomon lossy condenser directly.

4. Repeat the structural composition of Steps 1 and 2, but substitute the initial [GUV09] condenser with the low-error condenser from Step 3.

This modified Step 1 produces a new two-block source  $(X'_1, X'_2)$ . We then extract a moderately long seed  $Y'$  from  $X'_2$ , and compute  $\text{EXT}_{\text{Trrev}}(X'_1, Y')$  to obtain the final output. Since the low-error condenser achieves error  $\varepsilon = 2^{-k^\alpha}$ , the overall error of the construction is also  $\varepsilon$ . A straight forward calculation indicates that the total seed length is bounded by  $d = O(\log \frac{n}{\varepsilon})$  and the output length  $m = (1 - \gamma)k$ .

### 1.2.3 A lower bound for $\text{AC}^0$ computable dispersers

Our lower bound follows from the improved switching lemma in [Ros]. Assume  $\text{Disp} : \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}$  is a strong  $(k, \frac{1}{2} - \delta)$ -disperser computable in  $\text{AC}^0$  with depth  $d$  and size  $s$ . Notice that we only need to consider the 1 bit output setting. Consider that for a fixed seed  $y \in \{0, 1\}^r$ , we apply a random restriction on  $C_y := \text{Disp}(\cdot, y)$ . Let the random restriction be  $R_p$  over  $\{0, 1, *\}^n$  such that for every  $i \in [n]$ , independently we have  $\Pr[R_p(i) = *] = p, \Pr[R_p(i) = 0] = \Pr[R_p(i) = 1] = \frac{1-p}{2}$ . For a restriction  $\rho$  sampled from  $R_p$ , the function  $C_y|_\rho$  is defined to be a function such that if  $\rho_i$  is 1 or 0 then fix the  $i$ -th input to be  $\rho_i$ , otherwise leave it unfixed, and then apply  $C_y$  on this modified input. The switching lemma from [Ros] basically shows that  $\Pr_{\rho \sim R_p}[C_y|_\rho \text{ is not constant}] \leq \delta$ , if  $p = \frac{\delta}{\Theta(\log s)^{d-1}}$ . Also notice that when  $\delta$  is a constant, with probability at least  $1 - 2^{-O(pn)} > 1 - \delta$ , the number of stars in  $\rho$  is at least  $p/2$  fraction. By a union bound and an averaging argument, one can show that there exists a  $\rho$  which has at least  $pn/2$  stars such that for  $> 1 - 2\delta$  fraction of  $y$ ,  $C_y|_\rho$  is a constant. Notice that if we take this  $\rho$  for a uniform input source, then it becomes a bit-fixing source of entropy  $k \geq pn/2 = \Theta(\frac{\delta n}{\log^{d-1} s})$ . Also notice that for every  $y$  such that  $C_y|_\rho$  is not fixed,  $\text{Supp}(C_y|_\rho(X)) \leq 2$  as  $C_y$  only has 1 bit output. This implies that  $|\text{Supp}(U, \text{Disp}(X, U))|$  is less than  $2\delta 2^r \cdot 2 + (1 - 2\delta)2^r \leq (\frac{1}{2} + \delta)2^{r+1}$ , a contradiction to the disperser definition.

## 1.3 Paper Organization

In Section 2 we prepare some basic tools used in the rest of the paper. In Section 3 we show that merger can be implemented in  $\text{AC}^0$ . In Section 4 we give our new error reduction. In Section 5 we give our new output stretch and show our  $\text{AC}^0$  computable extractor finally. In Section 6 we show our  $\text{NC}^1$  computable extractor. In Section 7 we give our lower bound for dispersers in  $\text{AC}^0$ . In Section 8 we describe some open questions.

## 2 Preliminaries

We use the following results from previous works. First, we review the extractors in  $\text{AC}^0$  from [CL18]. They are actually logspace-uniform constructions, though [CL18] did not explicitly mention this. We briefly explain the reason after exhibiting their results.

**Theorem 2.1** ([CL18]). *For every constant  $a, c \geq 1$ , every  $k = \delta n = \Theta(n/\log^a n)$  there exists an explicit  $(k, 1/n^c)$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  computable in  $\text{AC}^0$  with depth  $O(a)$ , where  $d = O(\log n), m = k^{0.01}$ .*

**Remark.** *Theorem 2.1 uses several tools and all of them can be implemented by logspace-uniform  $\text{AC}^0$  circuits. Specifically they use hardness amplifications from [Imp95] and [IW97] and the Nisan-Wigderson (NW) generator [NW94]. These tools only use 4 kinds of operations: 1) pairwise independent generator; 2) inner product in  $\mathbb{F}_2^{O(\log n)}$ ; 3) parity function on  $O(\log n)$  bits; 4) Construct a combinatorial design and run the NW generator. It is straightforward to see that Procedure 1), 2) and 3) are all logspace-uniform. Procedure 4) is also logspace-uniform by Lemma A.3 in [CT21].*

For smaller errors, they have the following theorem.

**Theorem 2.2** ([CL18] for small entropy). *For every constant  $\gamma \in (0, 1)$ ,  $a, c \geq 1$ ,  $k = \delta n = \Theta(n/\log^a n)$ ,  $\varepsilon = 2^{-\Theta(\log^c n)}$ , there exists an explicit  $(k, \varepsilon)$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  with depth  $O(a + c)$ , where  $d = O\left(\left(\log n + \frac{\log(n/\varepsilon)\log(1/\varepsilon)}{\log n}\right) / \delta\right)$ ,  $m \geq (1 - \gamma)k$ .*

Also, recall the sample-then-extract technique in  $\text{AC}^0$ .

**Theorem 2.3** ([CL18] Sample-then-extract). *For every constant  $\delta \in (0, 1]$ ,  $c \geq 1$  and every  $\varepsilon = 2^{-\log^c n}$ , there exists an explicit strong  $(\delta n, \varepsilon)$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{AC}^0$  with depth  $O(c)$ , where  $d = O(\log(n/\varepsilon))$ ,  $m = \Theta(\log(n/\varepsilon))$ .*

**Remark.** *Theorem 2.3 has two main ingredients: 1) The  $\text{NC}^1$  sampler from [Hea08]. 2) Transforming a circuit of input length  $l = \Theta(\log^c n)$ , depth  $O(\log l)$  and size  $\text{poly}(l)$  to a  $\text{AC}^0$  circuit, from [GGH<sup>+</sup>07] (See also Lemma 2.7). Both of them are indeed logspace-uniform.*

*Theorem 2.2 uses Theorem 2.1 together with an error reduction and output stretch procedure. Both the error reduction and output stretch only consist of some sample-then extract techniques and some utilities of the transformation from [GGH<sup>+</sup>07]. Hence it is also logspace-uniform.*

Leftover hash lemma is also needed in our construction.

**Lemma 2.4** (Leftover Hash Lemma [ILL89]). *Let  $X$  be an  $(n', k = \delta n')$ -source. For any  $\Delta > 0$ , let  $H$  be a universal family of hash functions mapping  $n'$  bits to  $m = k - 2\Delta$  bits. The distribution  $U \circ \text{EXT}(X, U)$  is at distance at most  $1/2^\Delta$  to uniform distribution where the function  $\text{EXT} : \{0, 1\}^{n'} \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  chooses the  $U$ 'th hash function  $h_U$  in  $H$  and outputs  $h_U(X)$ .*

*For universal hash functions, we use the construction from Toeplitz matrices. For every  $u$ , the hash function  $h_A(x)$  equals to  $Ax$  where  $A$  is a Toeplitz matrix.*

Error reduction for extractors has been extensively studied in previous works. We recall the following key ingredient in the classic error-reducing technique [RRV99].

**Lemma 2.5** ( $G_x$  Property [RRV99]). *Let  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a  $(k, \varepsilon)$ -extractor with  $\varepsilon < 1/4$ . Let  $X$  be any  $(n, k + t)$ -source. For every  $x \in \{0, 1\}^n$ , there exists a set  $G_x$  such that the following holds.*

- *For every  $x \in \{0, 1\}^n$ ,  $G_x \subset \{0, 1\}^d$  and  $|G_x|/2^d = 1 - 2\varepsilon$ .*
- *If we draw a  $y$  from  $\text{EXT}(X, G_x)$  (draw an  $x$  from  $X$ , then draw  $g_x$  uniformly from the set  $G_x$ , take  $y = \text{EXT}(x, g_x)$ ), then with probability at least  $1 - 2^{-t}$  over this random drawing, the  $y$  we get can have the property that  $\Pr[\text{EXT}(X, G_x) = y] \leq 2^{-(m-1)}$ . Here  $\text{EXT}(X, G_x)$  is obtained by first sampling  $x$  according to  $X$ , then choosing  $r$  uniformly from  $G_x$ , and outputting  $\text{EXT}(x, r)$ .*

We also need to use the following lemmas about low-depth circuits computing.

**Lemma 2.6** (folklore, see also [CL18]). *Let  $a > 0$  be an absolute constant. Then  $\log^a(n)$ -bit parity can be computed by an  $\text{AC}^0$  circuit with  $O(a)$  depth and  $\text{poly}(n)$  size.*

**Lemma 2.7** ([GGH<sup>+</sup>07]). *For every  $c \in \mathbb{N}$ , every integer  $l = \Theta(\log^c n)$ , if the function  $f_l : \{0, 1\}^l \rightarrow \{0, 1\}$  can be computed by circuits of depth  $O(\log l)$  and size  $\text{poly}(l)$ , then it can be computed by  $\text{AC}^0$  circuits of depth  $c + 1$ , size  $\text{poly}(n)$ .*

**Remark.** The transformation from [GGH<sup>+</sup>07] mainly uses Barrington's Theorem [Bar86] which provides a Dlogtime-uniform AC<sup>0</sup> reduction from any NC<sup>1</sup> circuit to a downward self-reducible NC<sup>1</sup>-complete language. The self-reducible here is logspace-uniform NC<sup>0</sup> reduction. Thus the NC<sup>1</sup> complete language of input size  $l = \Theta(\log^c n)$  can be reduced to a language of input size  $O(\log n)$  and thus can be decided by logspace-uniform AC<sup>0</sup> circuits.

Finally, we use some folklore facts about block sources. Proofs of them can be found in the full version.

**Definition 2.8** (block source). Let  $X = (X_1, \dots, X_l)$  such that each  $X_i$  is distributed on  $\{0, 1\}^{n_i}$ . We say  $X$  is a  $(n_1, k_1, n_2, k_2, \dots, n_l, k_l)$ -block source if for every  $i \in [l]$  and  $(x_1, \dots, x_{i-1}) \in \{0, 1\}^{n_1 + \dots + n_{i-1}}$ , we have that  $X_i|_{X_1=x_1, \dots, X_{i-1}=x_{i-1}}$  is a  $(n_i, k_i)$ -source.

**Lemma 2.9.** Fix  $t \in \mathbb{N}$  and  $k, s, n, n_1, \dots, n_k \in \mathbb{N}$  such that  $n_1 + \dots + n_k = n$ . Let  $X = (X_1, \dots, X_l)$  be a  $(n, n - k)$ -source on  $\{0, 1\}^n$  such that  $X_i$  is distributed on  $\{0, 1\}^{n_i}$  for each  $i \in [t]$ . Then  $(X_1, \dots, X_l)$  is  $l \cdot 2^{-s}$ -close to a  $(n_1, n_1 - k, n_2, n_2 - k - s, \dots, n_l, n_l - k - s)$ -source.

**Lemma 2.10.** Let  $X = (X_1, \dots, X_l)$  be a  $(n_1, k_1, n_2, k_2, \dots, n_l, k_l)$ -block source on  $\{0, 1\}^n$ . Suppose that  $\text{EXT}_i : \{0, 1\}^{n_i} \times \{0, 1\}^r \rightarrow \{0, 1\}^{m_i}$  is a strong  $(k_i, \varepsilon)$ -extractor for each  $i \in [l]$ . Let  $Y$  be a uniformly random variable on  $\{0, 1\}^r$ . Take  $Z = (Z_1, \dots, Z_l)$  such that  $Z_i = \text{EXT}_i(X_i, Y)$ . Then  $(Y, Z)$  is  $l \cdot \varepsilon$ -close to uniform.

**Definition 2.11** (strong two-block extractor). We say a function  $\text{EXT} : \{0, 1\}^{n_1} \times \{0, 1\}^{n_2} \times \{0, 1\}^r \rightarrow \{0, 1\}^m$  is a strong  $(k_1, k_2, \varepsilon)$ -two-block extractor, if for any  $(k_1, k_2)$ -block-source  $X = (X_1, X_2)$  and independent uniform random distribution  $U_r$  on  $\{0, 1\}^r$ , the joint distribution  $(U_r, \text{EXT}(X_1, X_2, U_r))$  is  $\varepsilon$ -close to uniform distribution on  $\{0, 1\}^r \times \{0, 1\}^m$ .

**Lemma 2.12.** Let  $\text{EXT}_1 : \{0, 1\}^{n_1} \times \{0, 1\}^{m_1} \rightarrow \{0, 1\}^{m_2}$  be a  $(k_1, \varepsilon_1)$ -strong extractor, and  $\text{EXT}_2 : \{0, 1\}^{n_2} \times \{0, 1\}^r \rightarrow \{0, 1\}^{m_1}$  be a  $(k_2, \varepsilon_2)$ -strong extractor. Then the construction

$$\text{EXT}(X_1, X_2, U_r) = \text{EXT}_1(X_1, \text{EXT}_2(X_2, U_r)) \quad (1)$$

is a strong  $(k_1, k_2, \varepsilon_1 + \varepsilon_2)$ -two-block extractor

### 3 Merger in AC<sup>0</sup>

In this section, we will examine the merger construction in [DKSS13] and show that the merger can indeed be implemented in AC<sup>0</sup> for some specific setting of parameters.

We start by defining somewhere- $(n, k)$  sources.

**Definition 3.1** (somewhere- $(n, k)$  source). Let  $X = (X_1, \dots, X_\Lambda)$  such that each  $X_i$  is distributed on  $\{0, 1\}^n$ . We say  $X$  is a simple somewhere- $(n, k)$  source with  $\Lambda$  segments if there exists  $i \in [\Lambda]$  such that  $X_i$  is a  $(n, k)$ -source on  $\{0, 1\}^n$ . We say  $X$  is a somewhere-uniform source if  $X$  is a convex combination of simple somewhere- $(n, k)$  sources.

If  $n = k$  in the above definition, which means that  $X_i$  is uniform, we say  $X$  is a somewhere-uniform source.

A merger is a function that takes a somewhere-uniform source and a uniform random seed as input and outputs a  $(m, k')$ -source. The remaining entropy  $k'$  is usually less than the original entropy  $k$ .

**Definition 3.2** (merger and strong merger). *We say  $\text{Merge} : \{0, 1\}^{\Lambda \cdot n} \times \{0, 1\}^r \rightarrow \{0, 1\}^m$  is a  $(k, k', \varepsilon)$ -merger if for any somewhere- $(n, k)$  source  $X = (X_1, \dots, X_\Lambda)$ , the distribution  $\text{Merge}(X, U_r)$  is  $\varepsilon$ -close to a  $k'$ -source. Here  $U_r$  is a independent uniform random distribution on  $\{0, 1\}^r$*

*Furthermore, if  $(U_r, \text{Merge}(X, U_r))$  is  $\varepsilon$ -close to  $(U_r, W)$ , we say  $\text{Merge}$  is a strong  $(k, k', \varepsilon)$ -merger. Here  $W$  is a distribution such that for all  $a \in \{0, 1\}^r$ ,  $W|_{U_r=a}$  is a  $k'$ -source.*

We examine the merger introduced in [DKSS13], and find that the merger can be implemented in  $\text{AC}^0$  if the number of segments is not too large.

**Theorem 3.3** (merger in [DKSS13]). *For any constant  $a, c > 0, \delta \in (0, 1)$ , let  $\Lambda(n) \leq \log^a(n), \varepsilon(n) \geq 2^{-\log^c(n)}$ . Then there exists explicit  $(n, \delta n, \varepsilon(n))$ -mergers  $\text{Merge} : \{0, 1\}^{\Lambda(n) \cdot n} \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^n$ . Here  $r(n) = O(\log(\frac{1}{\varepsilon}))$ .*

*Furthermore, the mergers can be implemented in  $\text{AC}^0$  with  $O(a + c + 1)$  depth and  $\text{poly}(n)$  size,*

The merger in [DKSS13] is defined as follows:

Define  $q = 2^s$  be a power of two which is decided later. Let  $\mathbb{F}_q$  be the finite field of order  $q$ . Let  $X = (X_1, \dots, X_\Lambda)$  be a somewhere-uniform-source with  $\Lambda$  segments. Regard each  $X_i$  as distributed on  $\mathbb{F}_q^K$  with  $K = \frac{n}{s}$ . Then

$$X_i = (X_{i,1}, \dots, X_{i,K}), \quad X_{i,j} \in \mathbb{F}_q. \quad (2)$$

Note that the uniform distribution on  $\mathbb{F}_q^K$  is equivalent to the uniform distribution on  $\{0, 1\}^n$ .

Take  $\gamma_1, \dots, \gamma_\Lambda$  be  $\Lambda$  unique points in  $\mathbb{F}_q$ . Let  $C_1, \dots, C_\Lambda$  be  $\Lambda$  unique polynomials in  $\mathbb{F}_q[x]$  of degree at most  $\Lambda - 1$ , such that  $C_i(\gamma_j) = 1$  if  $i = j$  and  $C_i(\gamma_j) = 0$  if  $i \neq j$ . Then the merger is defined as:

$$\text{Merge}(X, y) = \left( \sum_{i=1}^{\Lambda} C_i(y) X_{i,1}, \dots, \sum_{i=1}^{\Lambda} C_i(y) X_{i,K} \right), \quad (3)$$

where  $y \in \mathbb{F}_q$ .

**Lemma 3.4** (merger in [DKSS13]). *For any constant  $\delta > 0$ , let  $q \geq (\frac{2\Lambda}{\varepsilon})^{1/\delta}$ . Then the function  $\text{Merge} : \mathbb{F}_q^{K \cdot \Lambda} \times \mathbb{F}_q \rightarrow \mathbb{F}_q^K$  is a  $(K \log q, k, \varepsilon)$ -merger, where  $k = (1 - \delta) \cdot K \cdot \log q$ .*

The condition  $q \geq (\frac{2\Lambda}{\varepsilon})^{1/\delta}$  is equivalent to  $r \geq \frac{1}{\delta} \log(\frac{2\Lambda}{\varepsilon})$ . When  $\Lambda = \log^a(n), \varepsilon = 2^{-\log^c(n)}$ , this requires  $r \geq \frac{2}{\delta} \log^c(n)$ . So we can pick  $r(n) = \min\{s \in \mathbb{N} | s \geq \frac{2}{\delta} \log^c(n), \exists d \in \mathbb{N}, s = 3 \cdot 2^d\}$ . As  $\delta$  is a constant,  $r(n) = O(\log^c(n)) = O(\log(\frac{1}{\varepsilon}))$ .

**Lemma 3.5.** *For any constant  $a, c, \delta \in (0, 1)$ , let  $\Lambda(n) \leq \log^a n, \varepsilon(n) \geq 2^{-\log^c(n)}$ . Define  $r(n) = \min\{s \in \mathbb{N} | s \geq \frac{2}{\delta} \log^c(n), \exists d \in \mathbb{N}, s = 3 \cdot 2^d\}$ ,  $q(n) = 2^{r(n)}$ ,  $K(n) = \frac{n}{r(n)}$ . Then the  $(n, \delta n, \varepsilon)$ -merger  $\text{Merge} : \{0, 1\}^{\Lambda(n) \cdot n} \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^n$  can be implemented in uniform  $\text{AC}^0$  with  $O(a + c + 1)$  depth and  $\text{poly}(n)$  size.*

To prove the lemma, we can express the  $\Lambda$  polynomials  $C_1, \dots, C_\Lambda$  by their  $\Lambda^2$  coefficients. That is:

$$C_i(y) = \sum_{j=1}^{\Lambda} c_{i,j} y^{j-1}, \quad c_{i,j} \in \mathbb{F}_q, \quad i \in [\Lambda].$$

These coefficients are not necessarily computable in  $\text{AC}^0$ . Instead, they can be pre-determined and stored in the circuit. Note that  $\Lambda = \log^a(n)$  and  $r_2(n) = O(\log^c(n))$ . Therefore it requires  $O(\log^c(n))$  bits to store one coefficient, and  $O(\log^{2a+c}(n))$  bits to store all the coefficients.

Therefore, the  $\text{AC}^0$  circuit for the merger is only required to do three types of operations: powering, multiplication and summation. The parameters of these operations satisfies the following conditions:

1. The powering operation is to compute  $y^j$ , where  $j \leq \log^a(n)$ , and  $y \in \mathbb{F}_q$ . The order  $q = 2^s$  is a power of 2, and  $s = O(\log^c(n))$ .
2. The multiplication operation is to compute  $c_{i,j}y^{j-1}X_{i,k}$ , for each  $i \in [\Lambda], j \in [\Lambda], k \in [K]$ . All of the three multipliers are in  $\mathbb{F}_q$ .
3. The summation operation is to compute  $\sum_{i=1}^{\Lambda} \sum_{j=1}^{\Lambda} c_{i,j}y^{j-1}X_{i,k}$  for each  $k \in [K]$ . All the addends are in  $\mathbb{F}_q$ , and the total number of them is  $\log^{4a}(n)$ .

The following theorems in the work of Healy and Viola [HV06] show that the powering and multiplication are indeed in  $\text{AC}^0$ .

**Lemma 3.6** ([HV06, Corollary 6(1)]). *Let  $a, c > 0$  be absolute constants. Let  $y \in \mathbb{F}_q$  where  $q = 2^s$  and  $s = 2 \cdot 3^d$  for some  $d \in \mathbb{N}$ . Suppose that  $j \leq \log^a(n)$  and  $s \leq \log^c(n)$ , then  $y^j$  can be computed by a logspace-uniform  $\text{AC}^0$  circuit with  $O(a + c)$  depth and  $\text{poly}(n)$  size.*

**Lemma 3.7** ([HV06, Corollary 6(2)]). *Let  $a, c > 0$  be absolute constants. Let  $y_1, y_2 \in \mathbb{F}_q$  where  $q = 2^s$  and  $s = 2 \cdot 3^d$  for some  $d \in \mathbb{N}$ . Suppose that  $s \leq \log^c(n)$ , then  $y_1 \cdot y_2$  can be computed by a logspace-uniform  $\text{AC}^0$  circuit with  $O(c)$  depth and  $\text{poly}(n)$  size.*

The summation operation is also in  $\text{AC}^0$ , as the summation of elements in  $\mathbb{F}_q$  where  $q = 2^s$  is equivalent to bitwise parity of the binary representation of the elements if we implement  $\mathbb{F}_q$  by polynomial fields with coefficients in  $\mathbb{F}_2$ . When the number of addends is  $\text{poly} \log n$ , it is in  $\text{AC}^0$  by Lemma 2.6.

With these results, the merger can be implemented in  $\text{AC}^0$  with  $O(a + c)$  depth and  $\text{poly}(n)$  size.

*Proof of Lemma 3.5.* It is sufficient prove that each  $\sum_{i=1}^{\Lambda} \sum_{j=1}^{\Lambda} c_{i,j}y^{j-1}X_{i,k}$  can be computed in  $\text{AC}^0$  with  $O(a + c)$  depth and  $\text{poly}(n)$  size. The powering could be computed in  $O(a + c)$  depth and  $\text{poly}(n)$  size by Lemma 3.6. The multiplication could be computed in  $O(c)$  depth and  $\text{poly}(n)$  size by Lemma 3.7. The summation could be computed in  $O(a)$  depth and  $\text{poly}(n)$  size by Lemma 2.6.  $\square$

Theorem 3.3 follows directly from Lemma 3.4 and Lemma 3.5.

*Proof of Theorem 3.3.* Take  $r(n) = \min\{s \in \mathbb{N} | s \geq \frac{2\log^c(n)}{\delta}, \exists d \in \mathbb{N}, s = 3 \cdot 2^d\}$ ,  $q(n) = 2^{r(n)}$ ,  $K(n) = \frac{n}{r(n)}$  as discussed above. By Lemma 3.4, we know that the merger is a  $(n, k(n), \varepsilon(n))$ -merger, where  $k(n) = (1 - \delta)n$ . By Lemma 3.5, we know that the merger can be implemented in  $\text{AC}^0$  with  $O(a + c)$  depth and  $\text{poly}(n)$  size.  $\square$

As noted in [DKSS13], their merger for somewhere uniform sources can be extended to handle somewhere high entropy sources. Following their idea, we also prepare a merger for somewhere high entropy sources, and furthermore, it is computable by low-depth circuits.

**Corollary 3.8.** *Let  $\delta \in (0, 1)$ ,  $\Lambda(n) \leq \text{poly}(n)$ ,  $\varepsilon(n) = 2^{-O(n)}$ ,  $\Delta(n) = O(\log(\frac{n}{\varepsilon}))$ . Then there exists a strong  $(n - \Delta(n), \delta m(n), \varepsilon(n))$ -merger  $\text{Merge} : \{0, 1\}^{\Lambda(n) \cdot n} \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$ . Here  $r(n) = O(\log(\frac{n}{\varepsilon}))$  and  $m(n) = \Omega(n)$ . The merger is computable in logspace-uniform  $\text{AC}^0[2]$ .*

*If  $\Lambda(n) \leq \log^a(n)$ ,  $\varepsilon(n) \geq 2^{-\log^c(n)}$  for constant  $a, c > 0$ , then the merger can be implemented in  $\text{AC}^0$  with  $O(a + c + 1)$  depth and  $\text{poly}(n)$  size.*

*Proof.* Assume that  $X$  is a simple somewhere- $(n, n - \Delta(n))$  source with  $\Lambda$  segments. Let  $X_{i'}$  be a good segment. The construction of the merger is as follows:

1. Separate each  $X_i$  into  $l = \frac{n}{\Delta(n) + 5 \log(\frac{1}{\varepsilon})}$  blocks of length  $\Delta(n) + 5 \log(\frac{1}{\varepsilon})$ , which are  $X_{i,1}, \dots, X_{i,l}$ . Take  $s = 2 \log(\frac{n}{\varepsilon})$ . By [Lemma 2.9](#), the good segment  $X_{i'}$  is a  $(n, n - \Delta(n))$ -source and satisfies that  $(X_{i',1}, \dots, X_{i',l})$  is  $l \cdot 2^{-s}$ -close to a  $(n_1, k_1, n_2, k_2, \dots, n_l, k_l)$ -block source. Here  $n_j = \Delta(n) + 5 \log(\frac{1}{\varepsilon})$  and  $k_j = 3 \log(\frac{n}{\varepsilon})$  for each  $j \in [l]$ .
2. Since  $3 \log(\frac{n}{\varepsilon}) - 2 \log(\frac{2l}{\varepsilon}) \geq \log(\frac{n}{\varepsilon})$ , we take  $\text{EXT}_1 : \{0, 1\}^{u(n)} \times \{0, 1\}^{r_1} \rightarrow \{0, 1\}^{\log(\frac{n}{\varepsilon})}$  be a strong  $(3 \log(\frac{n}{\varepsilon}), \frac{\varepsilon}{2l})$ -extractor using the leftover hash lemma from [Lemma 2.4](#). Take  $U_1$  be a uniformly random variable on  $\{0, 1\}^{r_1}$ . We extract  $\log(\frac{n}{\varepsilon})$  bits of randomness from each block in the good segment  $X_{i'}$ . That makes  $Y_{i,j} = \text{EXT}_1(X_{i,j}, U_1)$  for each  $i \in [\Lambda(n)], j \in [l]$ . Each source  $Y_i = (Y_{i,1}, Y_{i,2}, \dots, Y_{i,l})$  is of length  $m(n) = l \cdot \log(\frac{n}{\varepsilon}) = \Omega(n)$ . By [Lemma 2.10](#), the good segment  $Y_{i'} = (Y_{i',1}, \dots, Y_{i',l})$  is  $\frac{\varepsilon}{2}$ -close to uniform.
3. Take the merger  $\text{Merge}_1 : \{0, 1\}^{\Lambda(n) \cdot m(n)} \times \{0, 1\}^{r_2} \rightarrow \{0, 1\}^{m(n)}$  be the  $(m(n), \delta m(n), \frac{\varepsilon}{2})$ -merger from [Theorem 3.3](#). Take  $U_2$  be a uniformly random variable on  $\{0, 1\}^{r_2}$ . We merge the good segment  $Y_{i'}$  with other  $Y_i$ 's. That is  $Z = \text{Merge}_1(Y, U_2)$ . By [Theorem 3.3](#),  $Z$  is  $\varepsilon$ -close to a  $\delta m$ -source.

The merger is defined as  $Z = \text{Merge}(X, U_1, U_2)$ . According to the above construction and analysis, it is indeed a  $(n - \Delta(n), \delta m(n), \varepsilon(n))$ -merger.

For the  $\text{AC}^0[2]$  case, the extractor  $\text{EXT}_1(x, y)$  can be realized as computing  $Ax$  on input  $x$  where  $A = A(y)$  is a Toeplitz matrix of size  $u(n) \cdot \log(\frac{n}{\varepsilon}) = \text{poly}(n)$ . So it is computable in uniform  $\text{AC}^0[2]$ .

The merger  $\text{Merge}_1$  requires  $\text{poly}(n)$ 'th exponentiation of a  $O(n)$ -bit number in  $\mathbb{F}$  of characteristic 2, which is in uniform  $\text{AC}^0[2]$  by [\[HV06, Theorem 4\]](#). The multiplication and addition are both in uniform  $\text{AC}^0[2]$ . Therefore  $\text{Merge}_1$  is computable in uniform  $\text{AC}^0[2]$ .

For the  $\text{AC}^0$  case, notice that we set  $\varepsilon(n) \geq 2^{-\log^c(n)}$ . So the matrix size in  $\text{EXT}_1$  is reduced to  $O(\log^{2c}(n))$ . Therefore it is computable in  $\text{AC}^0$  by [Lemma 2.6](#). For the merger, if  $\Lambda(n) \leq \log^a(n)$ , then [Theorem 3.3](#) shows that the merger is computable in  $\text{AC}^0$ .

The total seed length is  $r_1 + r_2 = O(\log(\frac{n}{\varepsilon}))$ .

The same arguments hold for the case that  $X$  is a somewhere- $(n, n - \Delta(n))$  source because a somewhere- $(n, n - \Delta(n))$  source is a convex combination of simple somewhere- $(n, n - \Delta(n))$  sources. The theorem follows.  $\square$

## 4 Error Reduction

The main theorem of this section is the following:

**Theorem 4.1.** *For any constant  $a, c > 0, b \in \mathbb{N}^+$ , every  $k(n) \geq n / \log^a(n)$ ,  $\varepsilon(n) \geq 2^{-\log^c(n)}$ , there exists a strong  $(k(n), \varepsilon(n))$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$ , where  $r(n) = O(\log(\frac{n}{\varepsilon(n)}))$ ,  $m(n) = \Theta\left(\log^b(n) \cdot \log(\frac{n}{\varepsilon(n)})\right)$ .*

*Furthermore, the extractor can be implemented in  $\text{AC}^0$  with  $O(b(a + c + 1))$  depth.*

We show this theorem by giving a new error reduction stated as the following. To describe it, We fix  $a > 0$  to be a constant and  $k(n) = \frac{n}{\log^a n}$ .

**Lemma 4.2.** *For any  $\varepsilon_0 \in (0, 1)$  every constant  $c > 0$  and  $\varepsilon = 2^{-\log^c n}$ , suppose there exists a  $(k, \varepsilon_0)$ -extractor  $\text{EXT}_0 : \{0, 1\}^n \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  with  $m_0 \geq k^{0.01}$  and a family of strong  $(n_1/100, \varepsilon)$ -extractors  $\text{EXT}_1 : \{0, 1\}^{n_1} \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{m_1}$  for every  $n_1 \in [m_0^{0.1}, m_0]$ , Then for any  $\varepsilon = 2^{-\log^c n}$ , there exists a strong  $(k, \varepsilon)$ -extractor  $\text{EXT}' : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , where  $d = O(d_1 + d_0 \cdot \frac{\log \varepsilon}{\log \varepsilon_0})$ ,  $m = \Theta(m_1 \cdot \log n)$ .*

*If  $\text{EXT}_0$  and  $\text{EXT}_1$  can be realized by depth  $h$  and  $g$  AC circuits respectively, then  $\text{EXT}'$  can be realized by a depth  $O(h + g + c + 1)$  AC circuit.*

Now we describe the construction and analysis of [Lemma 4.2](#).

#### 4.1 Step 1: extracting in parallel

We apply  $\text{EXT}_0$  for  $t = \frac{\log(1/\varepsilon)}{\log(1/\varepsilon_0)}$  times in parallel, with independent seeds. Specifically, take  $U_{1,i}$  be independent uniform seeds in  $\{0, 1\}^{d_0}$  for every  $i \in [t]$ . Let  $Y = (Y_1, Y_2, \dots, Y_t)$ , where  $Y_i = \text{EXT}_0(X, U_{1,i})$ . The step can be computed by depth  $h$  AC circuits because the extractor  $\text{EXT}_0$  has depth  $h$ , and the parallel extraction can be done without increasing the depth.

**Analysis** We now show that  $Y$  is close to a somewhere- $(m_0(n), m_0(n) - O(\log t))$ -source. The main idea is that by [Lemma 2.5](#), we know that with high probability, at least one of the seeds  $U_i$  lands in  $G_x$ , which makes  $Y_i$  a good source with a high entropy rate. The following lemma states this formally:

**Lemma 4.3.** *Let  $\text{EXT}_0 : \{0, 1\}^n \times \{0, 1\}^{d_0} \rightarrow \{0, 1\}^{m_0}$  be an  $(k, \varepsilon_0)$ -extractor and  $X$  be a  $(n, k + s)$ -source. Take independent seeds  $U_1, U_2, \dots, U_s \in \{0, 1\}^{d_0}$ . Let  $Y = (Y_1, Y_2, \dots, Y_t)$ , where  $Y_i = \text{EXT}_0(X, U_i)$ . Then  $Y$  is  $(2\varepsilon_0)^t + t \cdot 2^{-s}$ -close to a somewhere- $(m_0, m_0 - O(\log t))$ -source*

Take  $x$  from a fixed distribution  $X$  and fix extractor  $\text{EXT}$ . Let  $G_x$  be the set of good seeds from [Lemma 2.5](#). We first denote event  $\text{BAD}_i = \{U_i \notin G_x\}$ . Note that these events are not necessarily independent. However, the probability that all of them happen is exponentially small, as the following claim shows.

**Claim 4.4.**  $\Pr[\text{BAD}_1 \wedge \text{BAD}_2 \wedge \dots \wedge \text{BAD}_t] \leq (2\varepsilon_0)^t$ .

*Proof.* Fix any  $x$  from  $X$ . By [Lemma 2.5](#), we know that  $\Pr\{U_i \notin G_x\} \leq 2\varepsilon_0$  for every  $i \in [t]$ . By the independence of  $U_i$ 's, we have  $\Pr\{U_i \notin G_x, \forall i \in [t]\} \leq (2\varepsilon_0)^t$ . Since this holds for every  $x \in X$ , we have  $\Pr\{\text{BAD}_1 \wedge \text{BAD}_2 \wedge \dots \wedge \text{BAD}_t\} \leq (2\varepsilon_0)^t$ .  $\square$

We define an indicator random variable  $I \in \{0, 1\}^{[t]}$  as follows:

$$\forall i \in [t], i \in I \iff U_i \in G_x. \quad (4)$$

With probability at least  $1 - (2\varepsilon_0)^t$ , The set  $I$  is not an empty set. Take  $Y_i = \text{EXT}(X, U_i)$ . By [Lemma 2.5](#),  $Y_i|_{(I \cap [t])^c} = Y_i|_{i \in I}$  is  $2^{-s}$ -close to a  $(m_0, m_0 - O(1))$  source.

We apply the technique from [\[LRVW03\]](#) to prove that  $(Y_1, Y_2, \dots, Y_t)$  is indeed close to a somewhere- $(m_0, m_0 - O(\log t))$ -source.

**Lemma 4.5** ([\[LRVW03\]](#)). *Let  $Y = (Y_1, \dots, Y_t)$  be the random variable defined in [Lemma 4.3](#). Let  $I$  be a random set subset of  $[t]$ . Assume  $I \neq \emptyset$ , and for every  $i \in [t]$ ,  $Y_i|_{i \in I}$  is  $\varepsilon$ -close to a  $(m, k)$ -source. Then  $Y$  is  $(t \cdot \varepsilon)$ -close to a somewhere- $(m, k - \log t)$  source.*

For completeness of the proof, we reprove this lemma.

*Proof.* Take  $I_0$  to be the random selector variable over  $[t]$ , such that for every  $S \subseteq [t]$ ,  $I_0|_{I=S}$  uniformly randomly chooses one index from  $S$ . Fix  $i \in [t]$ , for every atomic state  $(y_1, \dots, y_t, S)$  such that  $i \in S$ , define the atomic event  $E = E(y_1, \dots, y_t, S) = \{Y_1 = y_1, \dots, Y_t = y_t, I = S\}$ . Then for each event  $E$ ,

$$\frac{\Pr(E \wedge I_0 = i)}{\Pr(E \wedge i \in I)} = \frac{\Pr(I_0 \text{ choose } i \text{ from } I|_E) \Pr[E]}{\Pr[E]} \in [1/t, 1]. \quad (5)$$

By summing over all such events, we have

$$\frac{\Pr(I_0 = i)}{\Pr(i \in I)} = \frac{\sum_{\{(y_1, \dots, y_t, S)|i \in S\}} \Pr(E(y_1, \dots, y_t, S) \wedge I_0 = i)}{\sum_{\{(y_1, \dots, y_t, S)|i \in S\}} \Pr(E(y_1, \dots, y_t, S) \wedge i \in I)} \in [1/t, 1]. \quad (6)$$

By conditioning on the events respectively,

$$\frac{\Pr(E|_{I_0=i})}{\Pr(E|_{i \in I})} = \frac{\Pr(E \wedge I_0 = i) / \Pr(I_0 = i)}{\Pr(E \wedge i \in I) / \Pr(i \in I)} \in [1/t, t]. \quad (7)$$

Therefore, we have

$$\frac{\Pr\{Y_i = y|_{I_0=i}\}}{\Pr\{Y_i = y|_{i \in I}\}} = \frac{\sum_{\{(y_1, \dots, y_t, S)|i \in S, y_i = y\}} \Pr\{E(y_1, \dots, y_t, S)|_{I_0=i}\}}{\sum_{\{(y_1, \dots, y_t, S)|i \in S, y_i = y\}} \Pr\{E(y_1, \dots, y_t, S)|_{i \in I}\}} \in [1/t, t]. \quad (8)$$

By assumption,  $Y_i|_{i \in I}$  is  $\varepsilon$ -close to a  $(m, k)$ -source. Equivalently,

$$\sum_{\{y | \Pr\{Y_i|_{i \in I} = y\} \geq 2^{-k}\}} \Pr\{Y_i|_{i \in I} = y\} - 2^{-k} \leq \varepsilon. \quad (9)$$

By applying the multiplicative relation between  $\Pr\{Y_i|_{I_0=i} = y\}$  and  $\Pr\{Y_i|_{i \in I} = y\}$ , we have

$$\sum_{\{y | \Pr\{Y_i|_{I_0=i} = y\} \geq t \cdot 2^{-k}\}} \Pr\{Y_i|_{I_0=i} = y\} - t \cdot 2^{-k} \leq t \cdot \varepsilon. \quad (10)$$

The lemma follows.  $\square$

By [Claim 4.4](#) and [Lemma 4.5](#), we can prove [Lemma 4.3](#):

*Proof of Lemma 4.3.* Take  $I$  as the random set indicator defined above. By [Lemma 2.5](#),  $Y_i|_{(BAD_i)^c} = Y_i|_{i \in I}$  is  $2^{-s}$ -close to a  $(m_0, m_0 - O(1))$  source. By [Claim 4.4](#), we know that with probability at least  $1 - (2\varepsilon_0)^t$ ,  $I$  is not an empty set. Conditioning on such events, [Lemma 4.5](#) implies that  $Y|_{\{I \neq \emptyset\}}$  is  $t \cdot 2^{-s}$ -close to a somewhere- $(m_0, m_0 - O(\log t))$  source. The lemma follows.  $\square$

## 4.2 Step 2: divide and merge

Assume we have a somewhere- $(m_0, m_0 - O(\log t))$ -source. We divide each segment of the source into a sequence of blocks whose lengths form a geometric sequence. Specifically, take  $Y = (Y_1, Y_2, \dots, Y_t)$  to be a simple somewhere- $(m_0, m_0 - O(\log t))$ -source. We divide each  $Y_i$  into  $l + 1$  blocks of length  $m_1, m_2, \dots, m_{l+1}$  respectively, such that

$$Y_i = (Y_{i,1}, Y_{i,2}, \dots, Y_{i,l+1}) \text{ for every } i \in [t]. \quad (11)$$

The lengths satisfies

$$m_j = m_0^{0.1} \cdot 3^{j-1} \text{ for every } j \in [l]. \quad (12)$$

where  $l = \lceil \log_3 m_0^{0.9} \rceil$ . Denote  $Y_{i,1\dots j} = (Y_{i,1}, Y_{i,2}, \dots, Y_{i,j})$  for every  $i \in [t]$  and  $j \in [l]$ . Define  $B_j$  as:

$$B_j = (Y_{1,1\dots j}, Y_{2,1\dots j}, \dots, Y_{t,1\dots j}) \text{ for every } j \in [l]. \quad (13)$$

We denote  $M_j = m_1 + m_2 + \dots + m_j$  for every  $j \in [l]$ .

Let  $\text{Merge}_j : \{0, 1\}^{t \cdot M_j} \times \{0, 1\}^{d_2(n)} \rightarrow \{0, 1\}^{(1-\alpha)M_j}$  be a strong  $(M_j - \Delta, \frac{3}{4}(1-\alpha)M_j, \varepsilon(n)/l)$ -merger from [Corollary 3.8](#) for every  $j \in [l]$ , where  $\alpha$  is a constant. The seed length of the merger is  $d_2(n) = O(\log(\frac{M_j}{\varepsilon(n)})) = O(\log(\frac{m(n)}{\varepsilon(n)}))$ . Let  $U_2$  be a uniform random variable on  $\{0, 1\}^{d_2(n)}$ . Define

$$Z_j = \text{Merge}_j(B_j, U_2) \text{ for every } j \in [l]. \quad (14)$$

The gap between source length and source entropy is  $\Delta = O(\log t) = O(\log \frac{1}{\varepsilon(n)})$ , which meets the requirement that  $\Delta = O(\log \frac{M_j}{\varepsilon(n)})$  in [Corollary 3.8](#).

Next, we apply the strong extractor family  $\text{EXT}_1$  to extract from the block source. Let  $\text{EXT}_{1,j} : \{0, 1\}^{(1-\alpha)M_j} \times \{0, 1\}^{d_3(n)} \rightarrow \{0, 1\}^{m'(n)}$  be a strong  $((1-\alpha)M_j/100, \varepsilon(n)/l)$ -extractor for every  $j \in [l]$ . These  $\text{EXT}_{1,j}, j \in [l]$  with different input lengths, are all from the family  $\text{EXT}_1$ . Let  $U_3$  be a uniform random variable on  $\{0, 1\}^{d_3(n)}$ . Then

$$W_j = \text{EXT}_{1,j}(Z_j, U_3) \text{ for every } j \in [l]. \quad (15)$$

**Analysis** Now we give our analysis. Note that since  $Y$  is a simple somewhere high entropy source, by dividing it into blocks, each prefix  $B_j$  is a simple somewhere- $(M_j, M_j - O(\log t))$ -source. Through merging,  $Z_j$ 's are correlated high-entropy sources with different lengths. They are close to a block source.

**Lemma 4.6.**  *$Z_j$  is  $\varepsilon(n)/l$ -close to a  $((1-\alpha)M_j, \frac{3}{4}(1-\alpha)M_j)$ -source for every  $j \in [l]$ .*

*Proof.* Let  $Y_i$  be a  $(m_0, m_0 - O(\log t))$ -source in  $Y$ . Then  $Y_{i,1\dots j}$  must have entropy at least  $m_j - O(\log t)$ . Therefore  $B_j$  is a somewhere- $(m_j, m_j - O(\log t))$ -source. By [Corollary 3.8](#),  $Z_j$  is  $\varepsilon(n)/l$ -close to a  $((1-\alpha)M_j, \frac{3}{4}(1-\alpha)M_j)$ -source. The claim follows.  $\square$

Denote  $Z_0 = (U_1, U_2)$  as the seeds used in all previous steps to obtain  $Z_1, \dots, Z_j$ . We stress that the sequence  $Z_0, Z_1, \dots, Z_l$  is of exponentially increasing length and each contains  $|Z_j| - O(\log \frac{1}{\varepsilon(n)})$  bits of min-entropy. Therefore, even if all the randomness in  $(Z_0, \dots, Z_i)$  is contained in  $Z_{i+1}$ , there still must be  $\Omega(|Z_{i+1}|)$  bits of conditional min-entropy within  $Z_{i+1}$ . That makes the sequence a block source. We formalize the inspection into the following lemma.

**Lemma 4.7.**  *$(Z_0, Z_1, Z_2, \dots, Z_l)$  is  $2\varepsilon(n)$ -close to a block source  $(Z_0, Z'_1, Z'_2, \dots, Z'_l)$ . The conditional entropy of  $Z'_j$  is larger than  $(1-\alpha)M_j/100 = \Omega((1-\alpha)M_j)$  for each  $j \in [l]$*

*Proof.* We prove by induction that  $(Z_0, Z_1, Z_2, \dots, Z_j)$  is  $\frac{2^j}{l}\varepsilon(n)$ -close to another block source  $(Z_0, Z'_1, Z'_2, \dots, Z'_j)$ . The base case  $j = 0$  is straightforward.

For the induction case, assume that the proposition holds for  $j - 1$ . Consider distribution  $(Z_0, Z'_1, Z'_2, \dots, Z'_{j-1}, Z_j^*)$ , where  $Z_j^* = T_I$ . Here  $I \in \{0, 1\}$  is a selector random variable and  $T_0, T_1$  are two different random variables. For simplicity, we denote  $Z_{pref} = (Z_0, Z_1, Z_2, \dots, Z_{j-1})$  and  $Z'_{pref} = (Z_0, Z'_1, Z'_2, \dots, Z'_{j-1})$ . The conditional distribution  $I|_{Z'_{pref}=z}$  is defined as  $\Pr[I|_{Z'_{pref}=z} =$

$0] = \frac{\min(\Pr[Z_{pref}=z], \Pr[Z'_{pref}=z])}{\Pr[Z'_{pref}=z]}$ . The distribution  $T_0$  satisfies that  $T_0|_{Z'_{pref}=z}$  has the same distribution as  $Z_j|_{Z_{pref}=z}$ .

Since  $(Z_0, Z_1, Z_2, \dots, Z_{j-1})$  is  $\frac{2(j-1)}{l}\varepsilon(n)$ -close to  $(Z_0, Z'_1, Z'_2, \dots, Z'_{j-1})$  by induction hypothesis, we have  $\Pr[I = 0] \geq 1 - \frac{2(j-1)}{l}\varepsilon(n)$ . Since  $T_0$  has the same conditional distribution as  $Z_j$ ,  $(z_0, Z'_1, Z'_2, \dots, Z'_{j-1}, T_I)$  is  $\frac{2(j-1)}{l}\varepsilon(n)$ -close to  $(Z_1, Z_2, \dots, Z_{j-1}, Z_j)$  regardless of how we choose  $T_1$ . Furthermore,  $\Pr[Z_j = z] \geq \Pr[T_0 = z \wedge I = 0]$  for every point  $z$  in the co-domain.

We define  $T_1$  such that  $Z_j^* = T_I$  has the same distribution as  $Z_j$ .  $T_1$  is a distribution independent of  $Z_{pref}, Z'_{pref}$  such that  $\Pr[T_1 = z] = \frac{\Pr[Z_j=z] - \Pr[T_0=z \wedge I=0]}{\sum_{w \in \{0,1\}^m} (\Pr[Z_j=w] - \Pr[T_0=w \wedge I=0])} = \frac{\Pr[Z_j=z] - \Pr[T_0=z \wedge I=0]}{\Pr[I=1]}$ . Then  $\Pr[T_I = z] = \Pr[T_0 = z \wedge I = 0] + \Pr[T_1 = z \wedge I = 1] = \Pr[Z_j = z]$ .

The distribution  $(Z_0, Z'_1, \dots, Z'_{j-1}, Z_j^*)$  is  $\frac{2(j-1)}{l}\varepsilon(n)$ -close to  $(Z_0, Z_1, \dots, Z_{j-1}, Z_j)$  and  $Z_j^* = T_I$  has the same distribution as  $Z_j$ . By Lemma 4.6, there exists a  $((1-\alpha)M_j, \frac{3}{4}(1-\alpha)M_j)$ -source  $Z_j''$  such that  $Z_j^*$  is  $\varepsilon(n)/l$ -close to  $Z_j''$ .

Because  $\frac{3}{4}(1-\alpha)M_j$  is larger than  $\sum_{i=1}^{j-1} |Z_{j-1}| = \sum_{i=1}^{j-1} (1-a)M_i$ , Lemma 2.9 implies  $(Z_0, Z'_1, \dots, Z'_{j-1}, Z_j'')$  is  $2^{-s}$ -close to  $(Z_0, Z'_1, \dots, Z'_{j-1}, Z'_j)$  and  $Z'_j|_{Z_0=z_0, Z'_1=z'_1, \dots, Z'_{j-1}=z'_{j-1}}$  is a  $((1-\alpha)M_j, \frac{3}{4}(1-\alpha)M_j - s - \sum_{i=1}^{j-1} (1-a)M_i)$  source. Take  $s = (1-\alpha)M_j/100$ . The min-entropy is  $(1-\alpha)M_j \cdot (\frac{3}{4} - \frac{1}{100} - \sum_{i=1}^{j-1} 3^{-i}) \geq (1-\alpha)M_j/100$ . The statistical distance is  $2^{-s} = 2^{-(1-\alpha)M_j/100} \leq \varepsilon(n)/l$ .

By triangular inequality,  $(Z_0, Z_1, \dots, Z_{j-1}, Z_j)$  is  $\frac{2^j}{l}\varepsilon(n)$ -close to  $(Z_0, Z'_1, \dots, Z'_j)$ . □

Then we can extract from the block-source using standard methods.

**Lemma 4.8.**  $(Z_0, U_3, W_1, W_2, \dots, W_l)$  is  $3\varepsilon(n)$ -close to  $(Z_0, U_3, V)$ , where  $V$  is a independent uniform distribution.

*Proof.* By Lemma 4.7,  $(Z_0, Z_1, Z_2, \dots, Z_l)$  is  $2\varepsilon(n)$ -close to a block source  $(Z_0, Z'_1, Z'_2, \dots, Z'_l)$ . Therefore,  $(Z_0, U_3, \text{EXT}_{1,1}(Z_1, U_3), \text{EXT}_{1,2}(Z_2, U_3), \dots, \text{EXT}_{1,j}(Z_j, U_3))$  is  $2\varepsilon(n)$ -close to the substituted random variable  $(Z_0, U_3, \text{EXT}_{1,1}(Z'_1, U_3), \text{EXT}_{1,2}(Z'_2, U_3), \dots, \text{EXT}_{1,j-1}(Z'_j, U_3))$ . The block source  $(Z_0, Z'_1, Z'_2, \dots, Z'_{j-1}, Z'_l)$  contains the required entropy. By Lemma 2.10, the lemma holds. □

**Remark.** For a simple somewhere- $(m, m - O(\log t))$ -source  $Y$ , the seed  $Z_0$  may not be uniform because we conditioned on it to make  $Y$  ‘simple’. However, the convex combination of all such seeds makes a uniform distribution. Therefore, if we take  $Y$  to be the general somewhere- $(m, m - O(\log t))$ -source from step 1 and  $Z_0$  its seed, then  $(Z_0, U_3, W_1, W_2, \dots, W_l)$  is  $3\varepsilon(n)$ -close to uniform.

### 4.3 Wrap-up to prove Lemma 4.2 and Theorem 4.1

*Proof of Lemma 4.2.* Take  $X$  be the sources,  $U_1, U_2, U_3$  be the seeds. Let  $Y = (Y_1, Y_2, \dots, Y_t)$  such that  $Y_i = \text{EXT}_0(X, U_{1,i})$  for every  $i \in [t]$  as in the first step. By Lemma 4.3,  $Y$  is  $\varepsilon(n)$ -close to a somewhere- $(m(n), m(n) - O(\log t))$ -source. Let  $B_j$  be the source  $(Y_{1,1\dots j}, Y_{2,1\dots j}, \dots, Y_{t,1\dots j})$  for every  $j \in [l]$ . Then take  $Z_j = \text{Merge}_j(B_j, U_2)$  and  $W_j = \text{EXT}_{1,j}(Z_j, U_3)$  for every  $j \in [l]$  as in the second step. Here  $\text{EXT}_{1,j}$  is the strong extractor from family  $\text{EXT}_1$  with source length  $n_1 = m_j$ . By Lemma 4.8 and its remark,  $(U_1, U_2, U_3, W)$  is  $3\varepsilon(n)$ -close to uniform if  $Y$  is a somewhere- $(m(n), m(n) - O(\log t))$ -source. By the triangle inequality,  $W$  is  $4\varepsilon(n)$ -close to uniform.

Step 1 executes the extractor  $\text{EXT}_0$  in parallel, which costs depth  $h$ . Step 2 executes the merger  $\text{Merge}_j$  from Corollary 3.8 and the extractor  $\text{EXT}_{1,j}$ , for every  $j \in [l]$  in parallel. This takes depth  $O(c + g)$ . So the overall depth is as the lemma stated.

The seed length of the extractor is  $d(n) = |U_1| + |U_2| + |U_3|$ .  $U_1 = (U_{1,1}, U_{1,2}, \dots, U_{1,t})$  where  $|U_{1,i}| = d_0$  for every  $i \in [t]$  and  $t = \frac{\log \varepsilon(n)}{\log \varepsilon_0}$ .  $|U_2| = O(\log(\frac{n}{\varepsilon(n)}))$  and  $|U_3| = d_1$ . Therefore  $d = O(d_1 + d_0 \cdot \frac{\log \varepsilon}{\log \varepsilon_0})$ .

The output consists of  $\Theta(\log n)$  parts of length  $m_1$ . Therefore the output length is  $m = \Theta(m_1 \cdot \log n)$ .  $\square$

By applying the error reduction we can immediately have the following.

**Corollary 4.9.** *For any constant  $a, c > 0$ , every  $k(n) \geq n/\log^a(n)$ ,  $\varepsilon(n) \geq 2^{-\log^c(n)}$ , there exists a strong  $(k(n), \varepsilon(n))$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$ , where  $r(n) = O(\log(\frac{n}{\varepsilon(n)}))$  and  $m(n) = \Theta\left(\log(n) \cdot \log(\frac{n}{\varepsilon(n)})\right)$ .*

*Furthermore, the extractor can be implemented in uniform  $\text{AC}^0$  with  $O(a + c + 1)$  depth.*

*Proof.* We instantiate  $\text{EXT}_0$  as the extractor from [Theorem 2.1](#) and  $\text{EXT}_1$  as the strong extractors from [Theorem 2.3](#). So  $\text{EXT}_0$  has seed length  $O(\log n)$ ,  $\varepsilon_0 = 1/\text{poly}(n)$ ,  $m_0 \geq k^{0.01}$ . And  $\text{EXT}_{1,j}$  has seed length  $d_3(n) = O(\log(\frac{(1-\alpha)M_j}{\varepsilon(n)})) = O(\log(\frac{n}{\varepsilon(n)}))$  and output length  $m'(n) = \Theta(\log(\frac{n}{\varepsilon(n)}))$ , since it is from the extractor family  $\text{EXT}_1$ . By [Lemma 4.2](#), one can get an extractor with  $d(n) = O(\log(\frac{n}{\varepsilon(n)}))$ ,  $m(n) = \Theta\left(\log(n) \cdot \log(\frac{n}{\varepsilon(n)})\right)$ , which can be computed by  $\text{AC}^0$  circuits with desired depths.  $\square$

The only gap between [Corollary 4.9](#) and [Theorem 4.1](#) is that the output length of [Corollary 4.9](#) is only  $\Theta(\log(n) \cdot \log(\frac{n}{\varepsilon}))$  instead of  $\Theta(\log^b(n) \cdot \log(\frac{n}{\varepsilon}))$ . We resolve the issue by repeatedly using [Lemma 4.2](#), each time instantiating  $\text{EXT}_1$  in [Lemma 4.2](#) as the strong extractor family provided by the immediate previous using of [Lemma 4.2](#). After an iteration, the output length is multiplied by a  $\Theta(\log n)$  factor. Therefore we can achieve the parameter as in [Theorem 4.1](#) after  $b$  iterations.

## 5 Output Stretch

In this section, we will use the framework introduced in [\[DKSS13\]](#), to further stretch the output length from  $O(\log^c(n))$  to a near-optimal  $O(k)$ . The main theorem of this section is the following:

**Theorem 5.1.** *For any constant  $a, c > 0$  and  $\gamma \in (0, 1)$ , let  $k(n) \geq \frac{n}{\log^a(n)}$ ,  $\varepsilon(n) \geq 2^{-\log^c(n)}$ . Then there exists a  $(k(n), \varepsilon(n))$ -strong extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$ , such that  $r(n) = O(\log(\frac{n}{\varepsilon}))$ , and  $m(n) \geq (1 - \gamma) \cdot k(n)$ .*

*Furthermore, the extractor can be implemented in  $\text{AC}^0$ , with  $O(a + c + 1)^2$  depth and  $\text{poly}(n)$  size.*

We use a four-step method to extract randomness.

### 5.1 Step 1: Converting to a somewhere-block-source

In this subsection, we will convert the original  $k$ -source into a somewhere-block-source. First, we define the concept:

**Definition 5.2** (somewhere-block-source). *Let  $X = (X_1, \dots, X_\Lambda)$  be a random variable with  $\Lambda$  segments, each  $X_i$  distributed on  $\{0, 1\}^{n_1} \times \{0, 1\}^{n_2}$ . We say  $X$  is a simple  $(k_1, k_2)$ -somewhere-block-source if there exists  $i \in [\Lambda]$  such that  $X_i$  is a  $(k_1, k_2)$ -block-source. We say  $X$  is a  $(k_1, k_2)$ -somewhere-block-source if  $X$  is a convex combination of simple  $(k_1, k_2)$ -somewhere-block-sources.*

Ta-shma's somewhere-block-source converter [Ta-98] is a deterministic function that converts a  $k_1 + k_2 + s$ -source into a  $(k_1 - O(n/\Lambda), k_2)$ -somewhere-block-source, which has  $\Lambda$  segments.

Take  $X_1 \in \{0, 1\}^n$  as the original source, assume  $n$  is divisible by  $\Lambda$ , otherwise pad  $X_1$  with 0's. Regard  $X_1$  as a source with  $\Lambda$  parts, each of length  $n/\Lambda$ :

$$X_1 = (X_{1,1}, \dots, X_{1,\Lambda}) \in \left(\{0, 1\}^{n/\Lambda}\right)^\Lambda. \quad (16)$$

Now define the following separation of these parts into  $(Y_i, Z_i)$ :

$$Y_i = (X_{1,1}, \dots, X_{1,i}, 0^{(\Lambda-i) \cdot (n/\Lambda)}), \quad (17)$$

$$Z_i = (0^{i \cdot (n/\Lambda)}, X_{1,i+1}, \dots, X_{1,\Lambda}). \quad (18)$$

Then  $(Y_i, Z_i) \in \{0, 1\}^{2n}$ . The Ta-shma's somewhere-block-source converter is defined as the collection of all  $(Y_i, Z_i)$ , for  $i \in [\Lambda]$ :

$$B_{TS}^\Lambda(X_1) = \{(Y_i, Z_i) \in \{0, 1\}^{2n} \mid i \in [\Lambda]\}. \quad (19)$$

**Theorem 5.3** ([Ta-98]). *Let  $\Lambda$  be an integer and  $\Lambda$  divides  $n$ . Let  $B_{TS}^\Lambda$  be the Ta-shma's somewhere-block-source converter defined above. Fix  $k, k_1, k_2, s \in \mathbb{N}$  such that  $k = k_1 + k_2 + s$ . Then for any  $k$ -source  $X \in \{0, 1\}^n$ ,  $B_{TS}^\Lambda(X)$  is  $O(n \cdot 2^{-s/3})$ -close to a  $(k_1 - O(n/\Lambda), k_2)$ -somewhere-block-source.*

Now we summarize the first step:

Step 1: Set  $\Lambda = \log^{2a}(n)$ , Take  $X_2 = (X_{2,1}, \dots, X_{2,\Lambda}) = B_{TS}^\Lambda(X_1)$  as a somewhere-block-source.

**Lemma 5.4.** *For any constant  $a \geq 0$ , let  $k \geq \frac{n}{\log^a(n)}$ . Then for any  $k$ -source  $X_1 \in \{0, 1\}^n$ , the somewhere-block-source  $X_2 = B_{TS}^\Lambda(X_1)$  is  $n \cdot 2^{-\frac{n}{\log^{2a} n}}$ -close to a  $(k - O(\frac{n}{\log^{2a} n}), \frac{n}{\log^{2a} n})$ -somewhere-block-source.*

The first step can be computed in  $AC^0$  with  $O(1)$  depth and  $\text{poly}(n)$  size, as it is only splitting the input into blocks.

## 5.2 Step 2: Extracting from a somewhere-block-source

In this subsection, we focus on the good block of the somewhere-block-source, and extract randomness from it. A two-block extractor is employed in this section. We use the block-extraction technique together with our extractors from [Theorem 2.2](#) and [Theorem 4.1](#) to extract  $O(\log^{a+c} n)$  randomness from the second block of the block source, then use it as seed for another extractor, in order to extract  $O(k)$  randomness from the first block of the block source.

For a somewhere-block-source, we may apply the two-block extractor to each segment such that the good segment is converted into a somewhere-close-to-uniform source. The source is defined as follows:

**Lemma 5.5.** *Let  $X = (X_1, \dots, X_\Lambda)$  be a  $(k_1, k_2)$ -somewhere-block-source, where each segments is a source on  $\{0, 1\}^{n_1} \times \{0, 1\}^{n_2}$ . Let  $\text{EXT} : \{0, 1\}^{n_1} \times \{0, 1\}^{n_2} \times \{0, 1\}^r \rightarrow \{0, 1\}^m$  be a  $(k_1, k_2, \varepsilon)$ -strong-two-block extractor. Let  $U_r$  be a uniform random distribution on  $\{0, 1\}^r$ . Then*

$$(\text{EXT}(X_1, U_r), \dots, \text{EXT}(X_\Lambda, U_r))$$

*is  $\varepsilon$ -close to a somewhere-uniform-source.*

*Proof.* If  $X$  is a simple-somewhere-block-source, then there exists a good segment  $X_i$  such that  $X_i$  is a  $(k_1, k_2)$ -block-source. Then  $(\text{EXT}(X_i, U_r))$  is  $\varepsilon$ -close to uniform on  $\{0, 1\}^m$ . Therefore,  $(\text{EXT}(X_1, U_r), \dots, \text{EXT}(X_\Lambda, U_r))$  is  $\varepsilon$ -close to a somewhere-uniform-source.

Otherwise,  $X$  is a convex combination of simple-somewhere-block-sources. Each simple-somewhere-block-source is converted into a simple-somewhere-uniform-source. Therefore,  $X$  is converted into a somewhere-uniform-source. The lemma follows.  $\square$

For  $\text{AC}^0$  implementation, we have the following theorem:

**Theorem 5.6** (block-extraction in  $\text{AC}^0$ ). *There exists a constant  $\gamma \in (0, 1)$ . For any constant  $a, c > 0$ , let  $k_1(n) \geq \frac{n}{\log^a(n)}$ ,  $k_2(n) \geq \frac{n}{\log^{2a}(n)}$ ,  $\varepsilon(n) \geq 2^{-\log^c(n)}$ , there exists a  $(k_1(n), k_2(n), \varepsilon(n))$ -strong-two-block extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}^m$ , such that  $r(n) = O(\log(\frac{n}{\varepsilon}))$ , and  $m(n) \geq (1 - \gamma)k_1(n)$ .*

*Furthermore, the extractor can be implemented in  $\text{AC}^0$ , with  $O(a + c + 1)^2$  depth and  $\text{poly}(n)$  size.*

*Proof.* Take  $\text{EXT}_1 : \{0, 1\}^n \times \{0, 1\}^{m_1} \rightarrow \{0, 1\}^{m_2}$  be the  $(k_1, \varepsilon(n)/2)$ -extractor from [Theorem 2.2](#), where  $m_1 = \log^{O(a+c)}(n)$  and  $m_2 = (1 - \gamma)k_1(n)$ . Take  $\text{EXT}_2 : \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}^{m_1}$  be the  $(k_2, \varepsilon(n)/2)$ -extractor from [Theorem 4.1](#), where  $r(n) = O(\log(\frac{n}{\varepsilon}))$  and  $m_1 = \log^{O(a+c)}(n)$ . By [Lemma 2.12](#),  $\text{EXT}(X_1, X_2, U_r) = \text{EXT}_1(X_1, \text{EXT}_2(X_2, U_r))$  is a  $(k_1, k_2, \varepsilon(n))$ -strong-two-block extractor.

The extractor is in  $\text{AC}^0$  with depth  $O(a + c + 1)^2$ , as  $\text{EXT}_1$  is in  $\text{AC}^0$  with depth  $O(a + c + 1)$  and  $\text{EXT}_2$  is in  $\text{AC}^0$  with depth  $O(a + c + 1)^2$   $\square$

We summarize the second step here:

Step 2: Take  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^n \times \{0, 1\}^{r_1(n)} \rightarrow \{0, 1\}^{m(n)}$  as a  $(\frac{n}{\log^a(n)}, \frac{n}{\log^{2a}(n)}, \varepsilon(n))$ -strong-two-block extractor, where  $r_1(n) = O(\log(\frac{n}{\varepsilon}))$  and  $m(n) \geq (1 - \gamma)k(n)$ . Take  $X_3 = (\text{EXT}(X_{2,1}, U_{r_1}), \dots, \text{EXT}(X_{2,\Lambda}, U_{r_1}))$  be  $2 \cdot \varepsilon(n)$ -close to a somewhere-uniform-source.

This step can be implemented in  $\text{AC}^0$  with  $O(a + c)$  depth and  $\text{poly}(n)$  size, as it is applying  $\text{AC}^0$  functions to each block of the input.

The source  $X_3$  is now  $\varepsilon(n)$ -close to a somewhere-uniform-source. It has  $\Lambda = \log^{2a}(n)$  segments, each of length  $m(n) \geq (1 - \gamma)k(n)$ . The next step is using the merger introduced in [\[DKSS13\]](#) to merge the segments into one source.

### 5.3 Step 3: Merging the segments

We use the merger introduced in [\[DKSS13\]](#) to merge the segments of the somewhere-uniform-source into one source. The construction of the merger is discussed in [Theorem 3.3](#).

Step 3: Take Merge :  $\{0, 1\}^{\Lambda \cdot m(n)} \times \{0, 1\}^{r_2(n)} \rightarrow \{0, 1\}^{m(n)}$  be the  $(m(n), \frac{3}{4}m(n), \varepsilon(n))$ -merger from [Theorem 3.3](#). Then  $X_4 = \text{Merge}(X_3, U_{r_2})$ .

As a direct consequence of [Theorem 3.3](#) we have the following lemma.

**Lemma 5.7.**  $X_4$  is  $3 \cdot \varepsilon(n)$ -close to a  $\frac{3}{4}m(n)$ -source.

Also, notice that the computation in  $\text{AC}^0$  with depth  $O(a + c)$ , with seed length  $O(\log(n/\varepsilon(n)))$ .

## 5.4 Step 4: Second extraction

The final step is as the following.

Step 4: Take  $\text{EXT}_2 : \{0,1\}^{m(n)/2} \times \{0,1\}^{m(n)/2} \times \{0,1\}^{r_3(n)} \rightarrow \{0,1\}^{m'(n)}$  be the  $(\frac{1}{8}m(n), \frac{1}{8}m(n), \varepsilon(n))$ -strong-two-block extractor from [Theorem 5.6](#), where  $r_3(n) = O(\log(\frac{n}{\varepsilon}))$  and  $m'(n) \geq \frac{1-\gamma}{6} \cdot m(n)$ . Take  $X_5 = \text{EXT}_2(X'_4, X''_4, U_{r_3})$ , where  $U_{r_3}$  is a uniform random distribution on  $\{0,1\}^{r_3(n)}$ , where  $(X'_4, X''_4) = X_4$ .

**Lemma 5.8.**  $X_5$  is  $5\varepsilon(n)$  close to uniform.

*Proof.* We divide  $X_4$  into 2 parts,  $X_4 = (X'_4, X''_4)$  on  $\{0,1\}^{m(n)/2} \times \{0,1\}^{m(n)/2}$ . By [Lemma 5.7](#),  $X_4$  is  $3 \cdot \varepsilon(n)$ -close to a  $\frac{3}{4}m(n)$ -source on  $\{0,1\}^{m(n)}$ . By [Lemma 2.9](#),  $(X'_4, X''_4)$  is  $3\varepsilon(n) + 2^{-\frac{1}{24}m(n)}$ -close to a  $(\frac{1}{8}m(n), \frac{1}{8}m(n))$ -block source. Here  $2^{-\frac{1}{24}m(n)} \leq \varepsilon(n)$ .

Now we apply the block extractor from [Theorem 5.6](#) to extract randomness from the block source  $(X'_4, X''_4)$ .

Since  $(X'_4, X''_4)$  is  $4\varepsilon(n)$ -close to a  $(\frac{1}{8}m(n), \frac{1}{8}m(n))$ -block source by [Lemma 5.7](#), the final distribution  $X_5$  is  $5\varepsilon(n)$ -close to a uniform distribution.  $\square$

The circuit depth of  $\text{EXT}_2$  is  $O(a + c + 1)^2$  by [Theorem 5.6](#).

Now we prove the main theorem of this section:

**Theorem 5.9.** For any constant  $a, c > 0, \gamma' \in (0, 1)$ , let  $k(n) \geq \frac{n}{\log^a(n)}, \varepsilon(n) \geq 2^{-\log^c(n)}$ . Then there exists a  $(k(n), \varepsilon'(n))$ -strong extractor  $\text{EXT} : \{0,1\}^n \times \{0,1\}^{r(n)} \rightarrow \{0,1\}^{m(n)}$ , such that  $r(n) = O(\log(\frac{n}{\varepsilon(n)}))$ , and  $m(n) \geq (1 - \gamma') \cdot k(n)$ .

Furthermore, the extractor can be implemented in  $\text{AC}^0$ , with  $O(a + c + 1)^2$  depth and  $\text{poly}(n)$  size.

*Proof.* The extractor  $\text{EXT}$  is defined as  $\text{EXT}(X_1, U_{r_1}, U_{r_2}, U_{r_3}) = X_5$ , where  $X_5$  is defined through the four steps above.

The extractor can be implemented in  $\text{AC}^0$  with  $O(a + c)^2$  depth and  $\text{poly}(n)$  size as each step is in  $\text{AC}^0$  with corresponding parameters. The overall seed length is  $r_1(n) + r_2(n) + r_3(n) = O(\log(\frac{n}{\varepsilon}))$ . The output length is  $m'(n) = \frac{1-\gamma}{6} \cdot m(n) = \frac{(1-\gamma)^2}{6} k(n)$ . The error is  $5\varepsilon(n)$  by [Lemma 5.8](#).

By repeatedly extracting from the source  $X_1$  in parallel for  $(1-\gamma')/\frac{(1-\gamma)^2}{6}$  times with independent seeds, we could extract the desired amount of randomness with error  $5\varepsilon(n) \cdot \frac{(1-\gamma)^2}{6} \cdot \frac{1}{1-\gamma'}$ . The theorem follows by adjusting the error parameter by increasing the seed length.  $\square$

## 6 Extractors in $\text{NC}^1$

In this section, we extend our construction of extractors to the  $\text{NC}^1$  setting, achieving improved parameters compared to the  $\text{AC}^0$  case. The main theorem is stated as follows:

**Theorem 6.1.** For every pair of constants  $\gamma, \alpha \in (0, 1)$ , and all parameters  $k = k(n)$  and  $\varepsilon = \varepsilon(n)$  satisfying  $k \geq \Omega(\log(n/\varepsilon))$  and  $\varepsilon \geq 2^{-k^\alpha}$ , there exists a strong  $(k, \varepsilon)$  extractor  $\text{EXT} : \{0,1\}^n \times \{0,1\}^{r(n)} \rightarrow \{0,1\}^{m(n)}$  computable in  $\text{NC}^1$ , with  $r(n) = O(\log(n/\varepsilon))$  and  $m(n) = (1 - \gamma)k(n)$ .

We note that the condition  $k \geq \Omega(\log(n/\varepsilon))$  in [Theorem 6.1](#) is completely standard. The  $\Omega(\log(1/\varepsilon))$  term is necessary for any strong extractor due to the entropy lower bound [[RTS00](#)]. The remaining  $\Omega(\log n)$  term matches the entropy requirement of prior optimal constructions, such as the GUV extractor [[GUV09](#)].

## 6.1 Condenser in $\text{NC}^1$

The first component in our construction is the condenser from [GUV09]. A simplified version of their result is stated as follows:

**Lemma 6.2** (Reed-Solomon Lossy Condenser from [GUV09]). *For every  $\varepsilon = \varepsilon(n) > 0$ ,  $\gamma \in (0, 1)$ , and  $k = k(n) \geq 2\gamma^{-1} \log(4n/\varepsilon)$ , there exists a function  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$  that is a  $(k, (1 - \gamma)(k - \log(1/\varepsilon)) + r - 4, \varepsilon)$ -condenser, where  $r(n) \leq 2(1 + \gamma^{-1}) \log(4n/\varepsilon)$  and  $m(n) \leq k - \log(1/\varepsilon) + r$ .*

The following analysis of this condenser shows that it is computable in  $\text{NC}^1$  (and in fact, in  $\text{TC}^0$ ) when the error is large.

**Lemma 6.3.** *For every  $\varepsilon \geq 2^{-O(n)}$ , the condenser  $\text{Cond}$  from Lemma 6.2 is computable in non-uniform  $\text{TC}^0$ . Furthermore, if  $\varepsilon \geq 1/\text{poly}(n)$ , it is computable in logspace-uniform  $\text{TC}^0$ .*

*Proof.* Specifically, the condenser parses the input  $x \in \{0, 1\}^n$  as the coefficients of a polynomial  $f$  of degree  $d = \Theta\left(\frac{n}{\log q}\right)$  over the finite field  $\mathbb{F}_q$ , where  $q = 2^r$ . Given a seed  $y \in \mathbb{F}_q$  and a primitive element  $\zeta$  of  $\mathbb{F}_q$ , the output of the condenser relies on evaluating  $f$  at structured points in  $\mathbb{F}_q$ :

$$\text{Cond}(x, y) = (y, f(y), f(\zeta y), \dots, f(\zeta^{s-1}y)), \quad (20)$$

where the parameter  $s = \Theta(m(n)/r(n))$  is chosen such that the total output length matches  $m(n)$ .

For the non-uniform setting, the successive powers  $\zeta, \dots, \zeta^{s-1}$  are precomputed non-uniformly and hardwired into the circuit, evaluating the condenser reduces to computing  $f(y), f(\zeta y), \dots, f(\zeta^{s-1}y)$ . Notice that this is the only non-uniform part. Remaining constructions can all be done by logspace uniform circuits. Evaluating this degree- $d$  polynomial requires finite field multiplication, an iterated summation of  $O(n)$  terms, and exponentiation up to the  $O(n)$ -th degree over  $\mathbb{F}_q$ . Since  $q \leq 2^{O(n)}$ , all of these fundamental operations can be implemented in  $\text{TC}^0$  (and thus in  $\text{NC}^1$ ): field multiplication and iterated summation are standard  $\text{TC}^0$  operations, and field exponentiation is computable in  $\text{TC}^0$  according to [HV06, HAMB02].

For the uniform setting, constructing the condenser requires finding the primitive element  $\zeta \in \mathbb{F}_q$  in logspace. When  $\varepsilon \geq 1/\text{poly}(n)$ , the field size is bounded by  $q = 2^r \leq \text{poly}(n)$ . In this regime, we can find a primitive element in logarithmic space via brute-force enumeration, which ensures that the corresponding  $\text{TC}^0$  circuit is logspace-uniform. Then one can also compute  $\zeta^1, \dots, \zeta^{s-1}$  in logspace and hardwire these values into the circuit. □

## 6.2 Error Reduction in $\text{NC}^1$

In this section, we give the construction of a tiny-error extractor in  $\text{NC}^1$  that outputs a short sequence of random bits.

**Lemma 6.4.** *For every constant  $\delta \in (0, 1)$  and  $\alpha \in (0, 1)$ , let  $k = k(n) = \delta n$  and  $\varepsilon = \varepsilon(n) = 2^{-n^\alpha}$ . There exists a strong  $(k(n), \varepsilon(n))$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$  computable in  $\text{NC}^1$ , where  $r(n) = O(\log(n/\varepsilon))$  and  $m(n) = O(\log^2(n) \log(n/\varepsilon))$ .*

To prove the above lemma, we require the following basic extractor in  $\text{NC}^1$ :

**Lemma 6.5.** *For every constants  $\delta \in (0, 1]$  and every  $\varepsilon = 2^{-O(n)}$ , there exists an explicit  $(\delta n, \varepsilon)$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  in  $\text{NC}^1$ , where  $d = O(\log(n/\varepsilon))$  and  $m = \Theta(\log(n/\varepsilon))$ .*

Before we prove [Lemma 6.5](#), we first use it to show [Lemma 6.4](#).

*Proof sketch of [Lemma 6.4](#).* The construction relies on a procedure similar to that in [Section 4](#), adapted for the  $\text{NC}^1$  setting.

First, we convert the source into a somewhere source using the same extractors as those in [Section 4](#). We apply these extractors in parallel  $t = \frac{\log n}{\log(1/\varepsilon)}$  times. The resulting output  $(Y_1, \dots, Y_t)$  is  $\varepsilon$ -close to a somewhere  $(m_0, m_0 - \log(t/\varepsilon))$ -source, where  $m_0 = \Omega(k)$ .

Next, we partition each block  $Y_i$  into  $l + 1$  segments  $(Y_{i,1}, \dots, Y_{i,l+1})$ , where  $l = O(\log n)$ . The length of each segment  $Y_{i,j}$  is chosen to be  $m_j = 3^j \cdot \max\{10 \log(m_0/\varepsilon), m_0^{0.1}\}$ . For each  $j \in [l]$ , we apply the  $(m_j - \log(t/\varepsilon), 0.9m_j, \varepsilon)$ -merger from [Corollary 3.8](#) to the set of concatenated blocks  $B_j = \{Y_{1,1\dots j}, \dots, Y_{t,1\dots j}\}$ . Since the required error is  $\varepsilon \geq 2^{-O(k)}$  and the number of blocks is  $t = \text{poly}(k)$ , the merger operates well within the parameters computable in  $\text{AC}^0[2] \subseteq \text{NC}^1$ .

After applying the merger, we obtain a block-source with exponentially increasing block lengths. To extract randomness from this block source in the  $\text{NC}^1$  setting, we replace the extractor from [Theorem 2.3](#) (which was used for  $\text{AC}^0$ ) with the basic extractor from [Lemma 6.5](#). Applying this basic extractor to the block source yields  $O(\log(n/\varepsilon))$  bits of randomness from each block. Summing over all  $l = O(\log n)$  blocks produces a total of  $O(\log(n/\varepsilon) \log n)$  random bits.

Finally, as in [Section 4](#), we can repeat this entire procedure—using the newly constructed extractor that extracts  $O(\log n \log(n/\varepsilon))$  bits as a substitute for [Lemma 6.5](#) to extract further randomness from the block source. This iterative stretch gives us a final seed of length  $O(\log^2(n) \log(n/\varepsilon))$ , as required.  $\square$

The rest of this section is devoted to proving [Lemma 6.5](#). We employ the sample-then-extract technique, coupled with the leftover hash lemma, to construct the required extractor.

Towards this, we rely on the framework introduced by Vadhan [[Vad04](#)], which demonstrates how to generate a shorter source from a weak source using an averaging sampler.

**Definition 6.6** (Averaging Sampler). *A  $(\mu_1, \mu_2, \gamma)$ -averaging sampler is a function  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^t$  such that for every function  $f : [n] \rightarrow [0, 1]$ , if  $\mathbb{E}_{i \in [n]} f(i) \geq \mu_1$ , it holds that*

$$\Pr_{s \leftarrow \text{Samp}(U_r)} \left[ \frac{1}{t} \sum_{i \in [t]} f(s_i) < \mu_2 \right] \leq \gamma.$$

Using such a sampler, one can sample a shorter source as established by the following lemma:

**Lemma 6.7** (Sample a source [[Vad04](#)]). *Let  $0 < 3\tau \leq \delta \leq 1$ . If  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^t$  is a  $(\mu_1, \mu_2, \gamma)$ -averaging sampler for  $\mu_1 = (\delta - 2\tau)/\log(1/\tau)$  and  $\mu_2 = (\delta - 3\tau)/\log(1/\tau)$ , then for every  $(n, \delta n)$  source  $X$ , we have  $\text{SD}(U \circ X_{\text{Samp}(U)}, U \circ W) \leq \gamma + 2^{-\Omega(\tau n)}$ . Here  $U$  is uniform distribution over  $\{0, 1\}^r$ . For every  $a \in \{0, 1\}^r$ , the random variable  $W|_{U=a}$  is a  $(t, (\delta - 3\tau)r)$ -source.*

To implement this step in  $\text{NC}^1$ , we instantiate the sampler using the construction given by Healy [[Hea08](#)].

**Lemma 6.8** (Sampler in [[Hea08](#)]). *For any  $n \in \mathbb{N}$ , any  $\mu \in (0, 1]$ ,  $\epsilon < \mu$ , there exists an  $(\mu, \mu - \epsilon, \gamma)$ -averaging sampler  $\text{Samp} : \{0, 1\}^r \rightarrow [n]^m$  with seed length  $r = \log n + O\left(\frac{\log(1/\gamma)}{\epsilon^2}\right)$  and  $m = O\left(\frac{\log(1/\gamma)}{\epsilon^2}\right)$  which can be computed by  $\text{NC}^1$  circuits of size  $\text{poly}(n, 1/\epsilon, \log(1/\gamma))$ .*

Note that Lemma 8.3 of [[Vad04](#)] indicates that one can modify the above sampler to obtain a new sampler with more than  $m$  samples while preserving the same seed length.

*Proof of Lemma 6.5.* Let  $\gamma = 0.8\varepsilon$ ,  $\mu, \epsilon_0$  be small constants. We apply the  $(\mu - \epsilon_0, \mu, \gamma)$ -sampler from Lemma 6.8 to the  $(n, \delta n)$ -source  $X$  with seed  $U_1$ . By Lemma 6.7,  $X_1 = X_{\text{Samp}(U_1)}$  is  $\gamma + 2^{-\epsilon n}$ -close to a  $(m, (\delta - \epsilon)m)$  source.

Since  $m = O(\log(n/\varepsilon))$ , we can apply extractor  $\text{EXT}_1$  from Lemma 2.4 to  $X_1$  with independent seed  $U_2$ . For any  $(m, (\delta - \epsilon)m)$ -source  $X_1$ ,  $(U_2, \text{EXT}_1(X_1, U_2))$  is  $\varepsilon$ -close to uniform. Since  $m = O(\log(n/\varepsilon))$ , the seed length of  $\text{EXT}_1$  is  $O(\log(n/\varepsilon))$ . The output length is  $(\delta - \epsilon)m - 2\log(n/\varepsilon) = \Omega(\log(n/\varepsilon))$ .

The final extractor is  $\text{EXT}(X, U_1, U_2) = \text{EXT}_1(X_{\text{Samp}(U_1)}, U_2)$ . It satisfies the requirement of the lemma.

The extractor from leftover hash lemma performs a matrix multiplication, which is computable in  $\text{NC}^1$ . The sampler is also computable in  $\text{NC}^1$ . Therefore, the extractor  $\text{EXT}$  is computable in  $\text{NC}^1$ .  $\square$

### 6.3 Improved Trevisan's Extractor in $\text{NC}^1$

Another ingredient we need is the improved Trevisan's extractor from [RRV02]. We show that it is computable in  $\text{NC}^1$ .

The construction relies on a combinatorial object called weak design, which is defined as follows:

**Definition 6.9** (weak  $(m, l, \rho, r)$ -design [RRV02]). *A family of set  $S = S_1, \dots, S_m \subset [r]$  is called a weak  $(m, l, \rho, r)$ -design if*

1. For all  $i \in [m]$ ,  $|S_i| = l$ ,
2. For all  $i \in [m]$ ,  $(\sum_{j < i} 2^{|S_j \cap S_i|})/m \leq \rho$ .

Hartman and Raz [HR03] give a space  $O(\log m)$  construction of weak  $(m, l, e^2, r = l^2)$ -design for any  $m, l \in \mathbf{N}$

**Theorem 6.10** (Improved Trevisan's Extractor [RRV02]). *For every  $k = k(n), \varepsilon = \varepsilon(n)$ , there are explicit  $(k(n), \varepsilon(n))$ -extractors  $\text{EXT}_{\text{Trevisan}} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$  with  $r(n) = O(\log^2(n) \log(n/\varepsilon))$  and  $m(n) = \Omega(k(n))$ .*

The construction of their extractor is as follows:

Given  $k$  and  $\varepsilon$ , regard the source  $X$  and the seed  $U$  as distributions on alphabet  $F$  instead of  $\{0, 1\}$ .  $F$  is a finite field such that  $\log |F| = O(\log(1/\varepsilon))$ . Take  $n' = n/\log |F|, r' = r/\log |F|, m' = m/\log |F|$ . Then the extractor has the form of.

$$\text{EXT}_{\text{Trevisan}} : F^{n'} \times F^{r'} \rightarrow F^{m'}, \quad (21)$$

where  $m' = \Omega(k/\log(1/\varepsilon))$ . Define  $l = \log n'$ .

Given the above parameters, the extractor from [RRV02] requires a weak  $(m', l, \rho, r')$ -design. Here  $\rho = (k - r - 10 \log |F|)/m'$ . We take the weak  $(m, l, e^2, r = l^2)$ -design from [HR03]. Notice that  $\rho > e^2$ .

To construct the extractor, the source  $X = \{a_I\}_{I \subseteq [l]}$  is regarded as  $n = 2^l$  coefficients of a multilinear function  $f : F^l \rightarrow F$  on  $l$  variables,

$$f(x_1, \dots, x_l) = \sum_{I \subseteq [l]} a_I \prod_{i \in I} x_i. \quad (22)$$

The seed  $U$  is regarded as  $r'$  characters on  $F^{r'}$ . Each  $S_i$  in the weak design selects  $l$  variables out of  $r$  from  $U$ , denoted as  $U_{S_i} \in F^l$ .

The first step applies the function  $f$  on each of  $U_{S_i}$ , which gives

$$(Y_1, Y_2, \dots, Y_{m'}) = (f(U_{S_1}), f(U_{S_2}), \dots, f(U_{S_{m'}})) \quad (23)$$

Next step of the extractor is to apply one universal hash function  $h : F \rightarrow \{0, 1\}^{O(\log(1/\varepsilon))}$  to each  $Y_i$ . The output is the concatenation of the hash values:

$$W = h(Y_1)h(Y_2) \dots h(Y_{m'}). \quad (24)$$

The overall construction is

$$\text{EXT}_{Trev}(f, U, h) = h(f(U_{S_1}))h(f(U_{S_2})) \dots h(f(U_{S_{m'}})) \quad (25)$$

The seed for  $U$  is  $r = r' \log |F| = l^2 \log |F| = O(\log^2 n \log(1/\varepsilon))$ . The seed length for  $h$  is  $O(\log(1/\varepsilon))$ .

**Lemma 6.11.** *The extractor  $\text{EXT}_{Trev}$  is computable in  $\text{NC}^1$ .*

*Proof.* Let  $F$  be a finite field of characteristic two satisfying  $\log |F| = O(\log(1/\varepsilon))$ . The weak design are  $m'$  subsets of  $[r']$ , which could be described using  $O(m'r') = O(n^2)$  bits. Therefore, we can hardwire the weak design into the circuit. The design is logspace-uniform. So  $U_{S_i}$  is computable in  $\text{NC}^1$ .

Computing  $f(x_1, \dots, x_l) = \sum_{I \subseteq [l]} a_I \prod_{i \in I} x_i$  requires multiplication of  $O(\log n)$  and summation of  $O(n)$  terms. Each term is in  $F$  with  $\log |F| \leq O(n)$ . By [HV06, Theorem 3], the evaluation  $f(U|_{S_i})$  is computable in  $\text{NC}^1$ .

Using Toeplitz matrices as hash functions,  $h$  is computable in  $\text{NC}^1$ .

Therefore, the extractor  $\text{EXT}_{Trev}$  is computable in  $\text{NC}^1$ .  $\square$

## 6.4 Putting it together

We first establish an  $\text{NC}^1$  extractor restricted to the large-error regime:

**Lemma 6.12.** *For every constant  $\gamma \in (0, 1)$ , every error parameter  $\varepsilon = \varepsilon(n) \geq 1/n^{10}$ , and every entropy bound  $k = k(n) \geq \Omega(\log(n/\varepsilon))$ , there exists  $m(n) = (1 - \gamma)k(n)$  and a strong  $(k(n), \varepsilon(n))$ -extractor  $\text{EXT} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$  computable in  $\text{NC}^1$ , where the seed length is  $r(n) = O(\log n)$ .*

*Proof of Lemma 6.12.* Take  $X$  as the input source. Let  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^{r_1(n)} \rightarrow \{0, 1\}^{m_0(n)}$  be the  $(k, \frac{7}{8}(k - \log(8/\varepsilon)) + r_1 - 4, \varepsilon/8)$ -condenser from Lemma 6.2, where the output length is  $m_0(n) = k(n) + O(\log(1/\varepsilon))$ , and let  $Z = \text{Cond}(X, U_1)$ .

By standard conditioning on the seed (using min-entropy chain rules),  $Z$  is  $\varepsilon/4$ -close to a source with min-entropy  $k' = \frac{7}{8}k(n) - O(\log(1/\varepsilon))$  even conditioned on  $U_1$ .

Split  $Z$  into  $(X_1, X_2)$  of equal length  $m_0(n)/2$ . Applying Lemma 2.9 with parameter  $s = \log(8/\varepsilon)$ , we obtain that  $(X_1, X_2)$  is  $\varepsilon/2$ -close to a block source where both blocks have min-entropy at least  $k' - m_0(n)/2 - s = \frac{3}{8}k(n) - O(\log(1/\varepsilon))$ . Since  $k(n) \geq \Omega(\log(n/\varepsilon))$ , both entropies are bounded below by  $\Omega(k(n))$ .

Apply the  $(\frac{3}{8}k(n) - O(\log(1/\varepsilon)), \varepsilon/8)$ -strong extractor  $\text{EXT}_1$  from Lemma 6.4 to  $X_2$  with an independent seed  $U_2$ , and let  $Y = \text{EXT}_1(X_2, U_2)$  be the output of length  $O(\log^2 n \cdot \log(n/\varepsilon))$ . By the strong extractor property and the block structure,  $(X_1, U_2, Y)$  is  $3\varepsilon/4$ -close to  $(X_1, U_2, U)$  where  $U$  is uniform.

Now apply  $\text{EXT}_{\text{Trev}}$  from [Theorem 6.10](#) to  $X_1$  with seed  $Y$ , and let  $W = \text{EXT}_{\text{Trev}}(X_1, Y)$  be an output of a smaller length  $m_0(n) = \delta k(n)$  for a sufficiently small constant  $\delta > 0$ . Conditioned on  $U_1, U_2$ ,  $W$  is  $\varepsilon$ -close to uniform.

To obtain the final extractor output of length  $m(n) = (1 - \gamma)k(n)$ , we apply this base procedure in parallel  $c = \lceil (1 - \gamma)/\delta \rceil = O(1)$  times using independent seeds. Since  $\text{Cond}$ ,  $\text{EXT}_1$ , and  $\text{EXT}_{\text{Trev}}$  are computable in  $\text{NC}^1$ , so is  $\text{EXT}$ .  $\square$

To further reduce the error, we evaluate the large-error extractor from [Lemma 6.12](#) in parallel using independent seeds. By applying the merger from [Corollary 3.8](#) to the resulting somewhere-random source, we successfully construct the low-error condenser:

**Lemma 6.13.** *For every constant  $\gamma, \alpha \in (0, 1)$ , and all parameters  $k = k(n)$  and  $\varepsilon = \varepsilon(n)$  satisfying  $k \geq \Omega(\log(n/\varepsilon))$  and  $\varepsilon \geq 2^{-k^\alpha}$ , there exists a  $(k(n), 0.9m(n), \varepsilon(n))$ -condenser  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{m(n)}$  computable in  $\text{NC}^1$ , where  $r(n) = O(\log(n/\varepsilon))$  and  $m(n) = (1 - \gamma)k(n)$ .*

*Proof.* We apply the extractor from [Lemma 6.12](#) to the source  $X$  in parallel  $t = O\left(\frac{\log(1/\varepsilon)}{\log n}\right)$  times. By [Lemma 4.3](#), the joint output is  $\varepsilon/2$ -close to a somewhere- $(m_0, m_0 - O(\log(t/\varepsilon)))$ -source, where  $m_0 = (1 - \gamma/4)k(n)$ . Since  $k(n) \geq \Omega(\log(n/\varepsilon))$ , we can have  $m_0 - O(\log(t/\varepsilon)) \geq (1 - \gamma/2)k(n)$ .

We then apply the  $(m_0 - O(\log(t/\varepsilon)), 0.9m_0, \varepsilon/2)$ -strong merger from [Corollary 3.8](#) to the outcomes of these parallel extractions. This yields an output that is  $\varepsilon$ -close to an  $(m(n), 0.9m(n))$ -source, where  $m(n) = (1 - \gamma)k(n)$ .

Because the error parameter satisfies  $\varepsilon \geq 2^{-k^\alpha} \geq 2^{-\text{poly}(n)}$  and the number of blocks is  $t = O\left(\frac{\log(1/\varepsilon)}{\log n}\right) \leq \text{poly}(n)$ , both the error and block complexity fall within the parameter regime of [Corollary 3.8](#) that admits  $\text{AC}^0[2]$  implementations. Therefore, the merger—and hence the overall construction—is computable in  $\text{NC}^1$ .  $\square$

Finally, to prove the main theorem ([Theorem 6.1](#)), we first use the low-error condenser in [Lemma 6.13](#). Then the remaining proof follows a similar strategy as that in the proof of [Lemma 6.12](#):

*Proof of Theorem 6.1.* Take  $X$  as the input source. Let  $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^{r_1(n)} \rightarrow \{0, 1\}^{m_0(n)}$  be the  $(k, 0.9m_0(n), \varepsilon/4)$ -condenser from [Lemma 6.13](#), where  $m_0(n) = k(n)/2$ , and let  $Z = \text{Cond}(X, U_1)$ .

By the guarantee of the condenser, even conditioned on  $U_1$ ,  $Z$  is  $\varepsilon/4$ -close to a source with min-entropy at least  $0.9m_0(n)$ . Split  $Z$  into  $(X_1, X_2)$  of equal length  $m_0(n)/2$ . Applying [Lemma 2.9](#) with parameter  $s = \log(8/\varepsilon)$ , we obtain that  $(X_1, X_2)$  is  $\varepsilon/2$ -close to a block source where both blocks have min-entropy at least  $0.9m_0(n) - m_0(n)/2 - s = 0.4m_0(n) - O(\log(1/\varepsilon)) = \Omega(m_0(n))$ .

Apply the  $(0.4m_0(n) - O(\log(1/\varepsilon)), \varepsilon/4)$ -strong extractor  $\text{EXT}_1$  from [Lemma 6.4](#) to  $X_2$  with an independent seed  $U_2$  of length  $r_2 = O(\log(n/\varepsilon))$ . Let  $Y = \text{EXT}_1(X_2, U_2)$  be the extracted output, which has length sufficient to serve as the seed for Trevisan's extractor. By the strong extractor property and the block structure,  $(X_1, U_2, Y)$  is  $3\varepsilon/4$ -close to  $(X_1, U_2, U)$ , where  $U$  is uniform and independent of  $X_1$ .

Now apply  $\text{EXT}_{\text{Trev}}$  from [Theorem 6.10](#) to  $X_1$  with seed  $Y$ , and let  $W = \text{EXT}_{\text{Trev}}(X_1, Y)$  be an output of length  $m_0(n) = \delta k(n)$  for a small constant  $\delta > 0$ . Conditioned on  $U_1, U_2$ , the distribution of  $W$  is  $\varepsilon$ -close to uniform.

To obtain the final extractor output of length  $m(n) = (1 - \gamma)k(n)$ , we apply this base procedure in parallel  $c = \lceil (1 - \gamma)/\delta \rceil = O(1)$  times using independent seeds.

Since  $\text{Cond}$ ,  $\text{EXT}_1$ , and  $\text{EXT}_{\text{Trev}}$  are computable in  $\text{NC}^1$ , so is  $\text{EXT}$ .  $\square$

## 7 Entropy lower bound for $AC^0$ dispersers

In the context of  $AC^0$  computation, not all sources are extractable. A well-known result of [GVW15] shows that extracting even one bit of randomness is impossible for sources with entropy less than  $\frac{n}{\text{poly}(\log n)}$ . Similar result from [CL18] shows that extracting randomness with error less than  $2^{-\text{poly}(\log n)}$  is impossible for  $AC^0$  extractors.

In this section, we will extend the bound from extractors to dispersers. Dispersers are functions that take a source and a seed and output a distribution like extractors. The only difference is that the output distribution is not necessarily uniform, but rather supported on all but a small fraction of the codomain. We will show that strong  $AC^0$  dispersers for sources with entropy less than  $\frac{n}{\text{poly}(\log n)}$  do not exist.

**Definition 7.1** (Disperser). *A function  $\text{Disp} : \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}^m$  is a  $(k, \varepsilon)$ -disperser if for every  $k$ -source  $X$  on  $\{0, 1\}^n$  and uniformly random variable  $Y$  on  $\{0, 1\}^r$ ,  $|\text{Supp}(\text{Disp}(X, Y))| \geq (1 - \varepsilon)2^m$ .*

*Furthermore,  $\text{Disp}$  is a strong  $(k, \varepsilon)$ -disperser if for every  $k$ -source  $X$  on  $\{0, 1\}^n$  and uniformly random variable  $Y$  on  $\{0, 1\}^r$ ,  $|\text{Supp}(Y, \text{Disp}(X, Y))| \geq (1 - \varepsilon)2^{r+m}$ .*

We remark that the requirement for  $X$  to have entropy  $\geq k$  can be replaced by a weaker requirement, which only requires  $\text{Supp}(X) \geq 2^k$ , without changing the definition.

Our proof is based on the new switching lemma for  $AC^0$  circuits by Rossman in [Ros]. Their original result says that every  $AC^0$  circuit can be reduced to a decision tree of arbitrary depth under a random restriction for all but a small fraction of the inputs. By restricting the inputs for the second time, it is reduced to a constant function.

**Definition 7.2** (Restrictions). *A restriction  $\rho$  is a string on  $\{0, 1, *\}^n$ . We denote the application of  $\rho$  to  $x \in \{0, 1\}^n$  by  $\rho \circ x$ , which is defined as:*

$$(\rho \circ x)_i = \begin{cases} \rho_i & \text{if } \rho_i \neq *, \\ x_i & \text{if } \rho_i = *. \end{cases} \quad (26)$$

*The restriction on a function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^m$  is defined as:*

$$f|_\rho(x) = f(\rho \circ x). \quad (27)$$

*We use  $R_p$  to denote the independent uniform random restriction with star probability  $p$ . That is, for every  $i \in [n]$ ,  $\Pr[R_p(i) = *] = p, \Pr[R_p(i) = 0] = \Pr[R_p(i) = 1] = \frac{1-p}{2}$ .*

The switching lemma for  $AC^0$  circuits is stated as follows:

**Lemma 7.3** (Switching Lemma for  $AC^0$  circuits [Ros]). *For every  $\delta \in (0, 1), d > 0, s = s(n)$ , there exists  $p = \frac{\delta}{\Theta(\log s)^{d-1}}$  such that for every  $AC^0$  circuit  $C$  of size  $s$  and depth  $d$ ,*

$$\Pr_{\rho \sim R_p} [C|_\rho \text{ is not constant}] \leq \delta. \quad (28)$$

We give the following negative result for strong dispersers using the switching lemma:

**Theorem 7.4.** *For every  $d > 0, s = s(n)$ , every constant  $\delta \in (0, 1)$ , if  $C : \{0, 1\}^n \times \{0, 1\}^r \rightarrow \{0, 1\}$  is a  $(k, \frac{1}{2} - \delta)$ -disperser that can be computed by a non-uniform  $AC$  circuit of size  $s$  and depth  $d$ , then  $k \geq \Theta(\frac{\delta n}{\log^{d-1} s})$ .*

*Proof.* Define the sub-circuit  $C_y(x) = C(x, y)$  for every  $y \in \{0, 1\}^r$ . Let  $R'_p$  the random restriction that  $R'_p = R_p|_{R_p}$  assigns at least  $\frac{p}{2}$  fraction of the inputs as  $*$ . The event that  $R_p$  assigns at least  $\frac{p}{2}$  fraction of the inputs as  $*$  is less than  $\binom{n}{pn} / (\sum_{i < \frac{pn}{2}} \binom{n}{i}) \leq (\sqrt{2ep})^{pn} = 2^{-\Omega(n)}$ . Therefore,  $R'_p$  is  $2^{-\Omega(n)}$ -close to  $R_p$ .

By Lemma 7.3, there exists  $p = \frac{\delta}{\Theta(\log s)^{d-1}}$  such that  $C_y|_{R_p}$  is constant with probability at least  $1 - \delta$ . Then  $C|_{R'_p}$  is constant with probability at least  $1 - 2\delta$ . Define a restriction  $\rho$  to be bad for  $y$  if  $C_y|_{\rho}$  is constant. Then for every  $y$ ,  $\Pr_{\rho \sim R'_p}[\rho \text{ is bad for } y] \geq 1 - 2\delta$ . By averaging, we have

$$\Pr_{\rho \sim R'_p, y \sim \{0,1\}^r}[\rho \text{ is bad for } y] \geq 1 - 2\delta. \quad (29)$$

Therefore, there exists a restriction  $\rho$  from  $R'_p$  such that for at least  $1 - \delta$  fraction of  $y \in \{0, 1\}^r$ ,  $\rho$  is bad for  $y$ .

Define a source  $X$  to be the bit-fixing source on  $\{0, 1\}^n$  such that  $X = \rho \circ U$ , where  $U$  is a uniformly random variable on  $\{0, 1\}^n$ . Then  $X$  is a  $k$ -source for  $k = \frac{2n}{p} = \Theta(\frac{\delta n}{\log^{d-1} s})$ . Since  $\rho$  is bad for at least  $1 - 2\delta$  fraction of  $y \in \{0, 1\}^r$ ,  $C_y(X)$  is constant for at least  $1 - 2\delta$  fraction of  $y \in \{0, 1\}^r$ . Therefore  $(Y, C(X, Y)) = (Y, C_Y(X))$  covers at most  $2\delta(2 \cdot 2^r) + (1 - 2\delta)2^r = (\frac{1}{2} + \delta)2^{r+1}$  points in its sample space, a contradiction to the definition of the strong disperser. So the theorem follows.  $\square$

## 8 Open Questions

We mention the following open questions.

- For extractors in  $AC^0$ , can we further improve the circuit depth? The current depth is  $O(a + c + 1)^2$ . Is it possible to be linear in  $a + c + 1$ , while maintaining other parameters to be roughly the same?
- For extractors in  $NC^1$ , can we improve the plausible range of  $\varepsilon$ ? For example is it possible to give an  $NC^1$  construction that can work for all  $\varepsilon$ , matching the parameters in [GUV09]?
- Some components of our  $NC^1$  computable extractors are actually in  $AC^0[2]$ . Is it possible to give an extractor in  $AC^0[2]$ , with parameters optimal up to constant factors?
- For weak dispersers, we do not have a similar negative result to that of Section 7. The reason is that a single good seed in the seed space can make the disperser good enough, regardless of other seeds. So it remains an open question whether weak dispersers can be constructed in  $AC^0$ , specifically for sources with entropy less than  $\frac{n}{\text{poly}(\log n)}$ .

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## A Omitted proofs of preliminaries

*Proof of Lemma 2.9.* We prove by induction.

For  $l = 2$ , we have  $X = (X_1, X_2)$ . Assert that  $\Pr[X_1 = x_1] \leq 2^{-(n_1-k)}$  for every  $x_1 \in \{0, 1\}^{n_1}$ . Suppose not, then there exists  $x_1 \in \{0, 1\}^{n_1}$  such that  $\Pr[X_1 = x_1] > 2^{-(n_1-k)}$ . Then there exists  $x_2 \in \{0, 1\}^{n_2}$  such that  $\Pr[X_1 = x_1, X_2 = x_2] > 2^{-(n_1+n_2-k)}$ . This contradicts the assumption that  $X$  is a  $k$ -source.

Fix any  $x_1 \in \{0, 1\}^{n_1}$ , suppose that  $X_2|_{X_1=x_1}$  is not a  $(n_2, n_2 - k - s)$ -source. Then there exists  $x_2 \in \{0, 1\}^{n_2}$  such that  $\Pr[X_2 = x_2|X_1 = x_1] > 2^{-n_2+k+s}$ . Since  $\Pr[X_1 = x_1, X_2 = x_2] \leq 2^{-n+k}$  and  $\Pr[X_1 = x_1, X_2 = x_2] = \Pr[X_1 = x_1] \Pr[X_2 = x_2|X_1 = x_1]$ , we have  $\Pr[X_1 = x_1] \leq 2^{-n_1-s}$ . Therefore, we have that  $\Pr_{x_1 \leftarrow X_1}[X_2|_{X_1=x_1} \text{ is not a } (n_2, n_2 - k)\text{-source}] \leq 2^{-s}$ . The lemma follows.

For  $l > 2$ , assume our lemma holds for  $l - 1$ . Consider  $X = (X_1, \dots, X_l)$ . Let  $X'_2 = (X_1, X_2)$ . By the induction hypothesis, we have that  $X = (X'_2, \dots, X_l)$  is  $(l - 1)2^{-s}$ -close to a  $(n_1 + n_2, n_1 + n_2 - k, \dots, n_{l-1}, n_{l-1} - k - s)$ -source. Denote that source by  $Y = (Y_2, \dots, Y_l)$ . The  $l = 2$  case shows that  $Y_2$  is  $\varepsilon$ -close to a  $(n_1, n_1 - k, n_2, n_2 - k - s)$ -source  $(Y'_1, Y'_2)$ . Construct random variables  $Y'_3, \dots, Y'_l$  such that  $(Y'_3, \dots, Y'_l)|_{Y'_1=y'_1, Y'_2=y'_2}$  has the same distribution as  $(Y_3, \dots, Y_l)|_{Y_1=y'_1, Y_2=y'_2}$ . Then  $(Y_1, Y_2, Y_3, \dots, Y_l)$  is  $2^{-s}$ -close to  $(Y'_1, Y'_2, Y'_3, \dots, Y'_l)$ . The distribution  $(Y'_1, Y'_2, Y'_3, \dots, Y'_l)$  is a  $(n_1, n_1 - k, n_2, n_2 - k - s, \dots, n_l, n_l - k - s)$ -source. The lemma follows.  $\square$

*Proof of Lemma 2.10.* We prove by induction.

**Claim A.1.** For every  $i \in [l]$ ,  $(Y, X_1, \dots, X_{i-1}, Z_i, \dots, Z_l)$  is  $(l-i+1) \cdot \varepsilon$ -close to  $(Y, X_1, \dots, X_{i-1}, U_i, \dots, U_l)$  where  $U_j$  are independent uniformly random variables on  $\{0, 1\}^{m_j}$  for each  $i \leq j \leq l$ .

*Proof of Claim A.1.* We use induction from  $i = l$  to  $i = 1$  to prove the claim

For  $i = l$ ,  $X_l|_{X_1=x_1, \dots, X_{l-1}=x_{l-1}}$  is a  $(n_l, k_l)$ -source. Therefore  $(Y, Z_l)|_{X_1=x_1, \dots, X_{l-1}=x_{l-1}}$  is  $\varepsilon$ -close to uniform. The claim follows.

For other  $i$ , the same argument shows  $(Y, X_1, \dots, X_{i-1}, Z_i) = (Y, X_1, \dots, X_{i-1}, \text{EXT}(Y, X))$  is  $\varepsilon$ -close to  $(Y, X_1, \dots, X_{i-1}, U_i)$ . Here  $U_i$  is a uniform random variable independent of  $X_i$ 's and  $Y$ . We also let it be independent of  $(U_{i+1}, \dots, U_l)$ .

By concatenating an independent random variable on both string, we do not increase statistical distance. Therefore,  $(Y, X_1, \dots, X_{i-1}, Z_i, U_{i+1}, \dots, U_l)$  is  $\varepsilon$ -close to  $(Y, X_1, \dots, X_{i-1}, U_i, \dots, U_l)$ .

From the induction hypothesis, we have that  $(Y, X_1, \dots, X_{i-1}, X_i, Z_{i+1}, \dots, Z_l)$  is  $(l - i) \cdot \varepsilon$ -close to the distribution  $(Y, X_1, \dots, X_{i-1}, X_i, U_{i+1}, \dots, U_l)$ . Applying  $Z_i = \text{EXT}(Y, X_i)$  gives  $(Y, X_1, \dots, X_{i-1}, Z_i, Z_{i+1}, \dots, Z_l)$  is  $(l - i) \cdot \varepsilon$ -close to  $(Y, X_1, \dots, X_{i-1}, Z_i, U_{i+1}, \dots, U_l)$ . The claim follows from triangular inequality.  $\square$

By Claim A.1, we have that  $(Y, Z_1, \dots, Z_l)$  is  $l \cdot \varepsilon$ -close to  $(Y, U_1, \dots, U_l)$ . Since  $U_1, \dots, U_l$  are independent uniformly random variables on  $\{0, 1\}^{m_1+\dots+m_l}$ , the lemma follows.  $\square$

*Proof of Lemma 2.12.* Let  $X = (X_1, X_2)$  be a  $(k_1, k_2)$ -block-source, and  $U_r$  be a uniform random distribution on  $\{0, 1\}^r$ . Then  $\text{EXT}_2(X_2, U_r)$  is  $\varepsilon_2$ -close to  $W$ .  $W$  is a uniform random distribution on  $\{0, 1\}^{m_1}$ , independent of both  $X_1$  and  $U_r$ . Then  $\text{EXT}_1(X_1, W)$  is  $\varepsilon_1$ -close to uniform distribution  $V$  on  $\{0, 1\}^{m_2}$ , where  $V$  is independent of  $W$  and  $U_r$ .

Therefore,  $(U_r, V)$  is  $\varepsilon_1$ -close to  $(U_r, \text{EXT}_1(X_1, W))$ .  $(U_r, \text{EXT}_1(X_1, W))$  is  $\varepsilon_2$ -close to  $(U_r, \text{EXT}_1(X_1, \text{EXT}_2(X_2, U_r)))$ . Therefore,  $(U_r, V)$  is  $\varepsilon_1 + \varepsilon_2$ -close to  $(U_r, \text{EXT}(X_1, X_2, U_r))$ .  $\square$