


$$\text{BPL} \subseteq \text{L-AC}^1$$
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Abstract

Whether $\text{BPL} = \text{L}$ (which is conjectured to be equal), or even whether $\text{BPL} \subseteq \text{NL}$, is a big open problem in theoretical computer science. It is well known that $\text{L-NC}^1 \subseteq \text{L} \subseteq \text{NL} \subseteq \text{L-AC}^1$. In this work we will show that $\text{BPL} \subseteq \text{L-AC}^1$, which was not known before. Our proof is based on modifying the Richardson Iteration method for boosting precision in approximating matrix powering, which was developed in a line of works [AKM⁺20][PV21][CDR⁺21][CDST22][PP22][CHL⁺23]. We also improve the algorithm for approximating counting in low-depth L-uniform AC circuit from *additive error* setting to *multiplicative error* setting.

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1 Introduction

BPL is the class of languages that can be computed by a randomized logspace Turing Machine with error probability $\leq 1/3$, here by *randomized* we mean the TM has read-once access to a random tape. We also require that the TM halts on any random tape. Whether $\text{BPL} \stackrel{?}{=} \text{L}$, or *space-bounded derandomization*, is a big open problem in theoretical computer science. Most believe that $\text{L} = \text{BPL}$ is true. Different from the time-bounded derandomization, we even do not know whether $\text{L} = \text{NL}$ can imply $\text{L} = \text{BPL}$. But on the other hand, there is no known barrier for proving $\text{L} = \text{BPL}$. The current optimal upper-bound for BPL against space-bounded computation is $\text{BPL} \subseteq \text{SPACE}[(\log n)^{3/2}/\sqrt{\log \log n}]$ [Hoz21].

We also consider the relation between L and L-uniform low-depth circuit complexity classes. It is well known that $\text{L-NC}^1 \subseteq \text{L} \subseteq \text{NL} \subseteq \text{L-AC}^1$, here L-NC^1 and L-AC^1 are complexity classes of logspace-uniform $O(\log n)$ -depth NC and AC circuits. We observe that under the conjectured $\text{L} = \text{BPL}$, or even weaker, $\text{BPL} \subseteq \text{NL}$, we should have $\text{BPL} \subseteq \text{L-AC}^1$. In this work, we will unconditionally prove that $\text{BPL} \subseteq \text{L-AC}^1$, which was unknown before. See Figure 1 for a visualization of the known relations between the complexity classes. On the other hand, we mention that the inclusion $\text{BPL} \subseteq \text{AC}^1$ for nonuniform AC^1 is obvious. By $\text{L} \subseteq \text{AC}^1$ we know $\text{BPL} \subseteq \text{BP} \cdot \text{AC}^1$, then apply the nonuniform derandomize for AC in [AB84] we know $\text{BPL} \subseteq \text{BP} \cdot \text{AC}^1 = \text{AC}^1$.

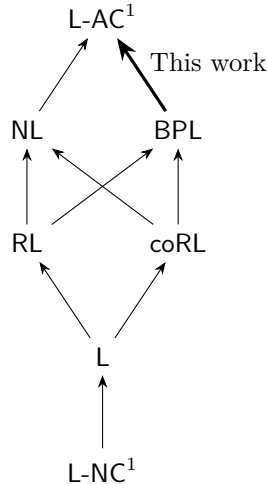


Figure 1: Relation of Complexity Classes. $A \rightarrow B$ means $A \subseteq B$.

One may view derandomizing BPL as the problem of approximating powers of substochastic matrices. For a TM with s bits of memory, one can label all its states by elements in $[2^s]$. We can define $\mathbf{A} \in \mathbb{R}^{2^s \times 2^s}$ to be its transition matrix: let $\mathbf{A}_{i,j}$ be the probability that on state i , goes to state j in one step. Note that we must arrive at *accept* or *reject* state in 2^s steps, so we only need to approximate \mathbf{A}^{2^s} . [SZ99] use this idea to prove that $\text{BPL} \subseteq \text{L}^{3/2}$. More generally, approximating \mathbf{A}^n for $\mathbf{A} \in \mathbb{R}^{w \times w}$ can be done in space $O((\log n)^{3/2} + \sqrt{\log n} \cdot \log w)$.

[CDST22] and [PP22] independently discovered how to improve [SZ99]’s result to $\tilde{O}(\log n + \sqrt{\log n} \cdot \log w)$. The main idea in [CDST22][PP22] is using Richardson Iteration to boost precision. Consider the problem of approximating \mathbf{X}^{-1} for invertible matrix \mathbf{X} . Assume we already have a matrix \mathbf{Y} , which is an approximation of \mathbf{X}^{-1} such that $\|\mathbf{I} - \mathbf{YX}\| < \varepsilon$. Then we can rewrite $\mathbf{XX}^{-1} = \mathbf{I}$ as

$$\mathbf{X}^{-1} = (\mathbf{I} - \mathbf{YX})\mathbf{X}^{-1} + \mathbf{Y}.$$

[Nis92b] presented a logspace computable pseudorandom generator with seed length $O((\log n)^2)$, which can be used to show $\text{BPL} \subseteq \text{TISP}[\text{poly}(n), O((\log n)^2)]$ [Nis92a]. Later [SZ99] gave an algorithm to balance the “logspace computable” and “seed length $O((\log n)^2)$ ” and show that $\text{BPL} \subseteq \text{L}^{3/2}$. [Hoz21] improved this upper-bound to $\text{SPACE}[(\log n)^{3/2}/\sqrt{\log \log n}]$. More generally, [SZ99] showed that approximating \mathbf{A}^n for $\mathbf{A} \in \mathbb{R}^{w \times w}$ can be done in space $O((\log n)^{3/2} + \sqrt{\log n} \cdot \log w)$. [CDST22][PP22] improves [SZ99]’s result to $\tilde{O}(\log n + \sqrt{\log n} \cdot \log w)$ via Richardson Iteration. The usage of Richardson Iteration was developed in a line of works [AKM⁺20][PV21][CDR⁺21][CDST22][PP22][CHL⁺23].

[Pyn23] showed that $\text{BPL} \subseteq \text{CSPACE}[O(\log n), O((\log n)^2)]$ in the catalytic space computation model.

[KvM02] showed that under the assumption that $\text{SPACE}[O(n)]$ requires $2^{\Omega(n)}$ circuit size, we have $\text{L} = \text{BPL}$. [CH20] showed that under the assumption that there exists a black-box hitting-set generator computable in logspace, we have $\text{L} = \text{BPL}$. [DT23][PRZ23][DPT23] further improved the derandomization of BPL under assumptions, for different purposes.

Approximating Counting in AC

Algorithms for approximate counting in AC has been studied in a line of work [AB84][Ajt90][Vio07][Vio10][Coo20]. These previous works focused on distinguishing whether n bits contains $\geq (\frac{1}{2} + \varepsilon)n$ 1’s or $\leq (\frac{1}{2} - \varepsilon)n$ 1’s, which can be thought as *additive error*. The L-AC^0 algorithm for distinguishing $\geq 2n/3$ 1’s and $\leq n/3$ 1’s was developed in [Ajt90].

1.3 Proof Sketch

We sketch the proof of $\text{BPL} \subseteq \text{L-AC}^1$ and discuss the organization of our paper.

In Section 3 we will prove that deciding whether n bits contains $\leq a$ or $\geq b$ 1’s can be done in $\text{poly}(n)$ -size $O\left(\frac{\log \frac{b-a}{\log \log n}}{\log \log n} + 1\right)$ -depth, see Theorem 3.1. This will be a building block for approximating matrix operations.

In Section 4 we will develop the core iteration step.

Theorem 1.3. (see also Theorem 4.1) *Let $\mathbf{A} \in \mathbb{R}^{n \times n}$ be a substochastic matrix and $k, t \in \mathbb{N}^*$ such that $\log n \geq k \geq t$. Suppose substochastic matrices $\mathbf{B}_0, \dots, \mathbf{B}_{k-1}$ are approximations of $\mathbf{A}^{2^0}, \dots, \mathbf{A}^{2^{k-1}}$ such that $\|\mathbf{B}_i - \mathbf{A}^{2^i}\|_1 \leq \varepsilon_i$ for $i = 0, 1, \dots, k-1$. Define ¹*

$$\begin{aligned} \mathbf{C} := & - \sum_{i=1}^{t-1} \sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-i+1\}}} \mathbf{B}_{j_p} \cdots \mathbf{B}_{j_1} \mathbf{B}_{k-i}^2 \mathbf{B}_{j'_1} \cdots \mathbf{B}_{j'_q} \\ & + \sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-t+1\}}} \mathbf{B}_{j_p} \cdots \mathbf{B}_{j_1} \mathbf{B}_{k-t}^2 \mathbf{B}_{j'_1} \cdots \mathbf{B}_{j'_q}. \end{aligned}$$

Then

$$\|\mathbf{C} - \mathbf{A}^{2^k}\|_1 \leq \sum_{i=1}^{t-1} 2^{i-1} \varepsilon_{k-i}^2 + 2^t \varepsilon_{k-t}.$$

¹Here $\sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-i+1\}}}$ means taking the sum over all possible two-partitions of the set $\{k-1, k-2, \dots, k-i+1\}$. Each two-partition partitions $\{k-1, k-2, \dots, k-i+1\}$ into two disjoint subsets $\{j_1, \dots, j_p\}, \{j'_1, \dots, j'_q\}$. Here set elements are sorted in increasing order, i.e., $j_1 < \dots < j_p$ and $j'_1 < \dots < j'_q$. Therefore this \sum is sum of 2^{i-1} terms.

Intuitively speaking, we can obtain a good approximation of \mathbf{A}^{2^k} only given these $\mathbf{B}_{k-1}, \dots, \mathbf{B}_0$, which either has lower accuracy or is approximation of $\mathbf{A}^{2^{k'}}$ for much smaller k' . We will prove that the iteration step can be easily computed in L-AC in Theorem 4.2. We need to mention that only use the original form of Richardson Iteration does not suffice to prove $\text{BPL} \subseteq \text{L-AC}^1$.

In Section 5 we will present the complete algorithm. We wish to compute some intermediate matrices $\mathbf{M}(k, t)$ for $k, t \leq O(\log n)$, here $\mathbf{M}(k, t)$ is a $1/2^t$ -approximation of \mathbf{A}^{2^k} . We will use the iteration step developed in Section 4 to show that, given all $\mathbf{M}(k-i, [t/2] + 2i)$'s (for $i = 1, 2, \dots$), we can compute a valid $\mathbf{M}(k, t)$ in $O(t)$ -depth. Then we can compute a valid $\mathbf{M}(\log n, \log n)$ in $O(\log n)$ -depth.

Finally in Section 6 we will discuss some open problems.

2 Preliminaries

2.1 Matrix Approximation

Definition 2.1. (l1-norm) Define the l1-norm of a vector $(x_1, \dots, x_n)^\top \in \mathbb{R}^n$ to be

$$\left\| (x_1, \dots, x_n)^\top \right\|_1 := |x_1| + \dots + |x_n|.$$

Define the l1-norm of a matrix $\mathbf{A} \in \mathbb{R}^{n \times n}$ to be

$$\|\mathbf{A}\|_1 := \sup_{\mathbf{x} \in \mathbb{R}^n} \frac{\|\mathbf{A}\mathbf{x}\|_1}{\|\mathbf{x}\|_1} = \max_{1 \leq j \leq n} \{|x_{1,j}| + |x_{2,j}| + \dots + |x_{n,j}|\}.$$

Theorem 2.2. For any $\mathbf{A}, \mathbf{B} \in \mathbb{R}^{n \times n}$, we have:

1. $\|\mathbf{A} + \mathbf{B}\|_1 \leq \|\mathbf{A}\|_1 + \|\mathbf{B}\|_1$;
2. $\|\mathbf{A}\mathbf{B}\|_1 \leq \|\mathbf{A}\|_1 \|\mathbf{B}\|_1$;
3. If $\|\mathbf{A}\|_1, \|\mathbf{B}\|_1 \leq 1$, then for any $p \in \mathbb{N}^*$, $\|\mathbf{A}^p - \mathbf{B}^p\|_1 \leq p \|\mathbf{A} - \mathbf{B}\|_1$.

Definition 2.3. (Non-negative Matrix) We say a matrix is non-negative if each of its entry is non-negative.

Definition 2.4. (Substochastic Matrix) We say a matrix $\mathbf{A} \in \mathbb{R}^{n \times n}$ is a substochastic matrix if \mathbf{A} is non-negative and $\|\mathbf{A}\|_1 \leq 1$.

For simplicity, we always assume that the size of a substochastic matrix is a power of 2. To represent a substochastic matrix, we independently represent each entry in binary, accurate to $100 \log n$ decimal places.

2.2 L-uniform AC Circuit Family and Approximate Counting

Definition 2.5. (AC circuit) AC circuit is a circuit with input gates, NOT gates, unbounded fan-in AND/OR gates, and (possibly more than one) output gates. The size of a circuit is defined by the number of AND/OR gates. The depth of a circuit is defined by the largest number of AND/OR gates on any path from an input gate to an output gate.

Definition 2.6. (L-uniform AC circuit family) For functions $S, d: \mathbb{N}^* \rightarrow \mathbb{R}^+$, we say a collection of circuits $\{\mathcal{C}_n\}_{n \in \mathbb{N}^*}$ is an S -size d -depth L-uniform AC circuit family, if each \mathcal{C}_n has size $\leq S(n)$ and depth $\leq d(n)$, and given binary representation of n , the description of \mathcal{C}_n can be computed in uniform $O(\log n)$ -space.

We need to mention that the number of input gates in \mathcal{C}_n is not necessarily n . Also note that since we can encode a tuple of $O(1)$ many integers to a single integer, we can also consider circuit collections with a tuple of integers as an index.

Definition 2.7. (Complexity Class L-AC¹) We say a language L is in class L-AC¹ if there exists a poly(n)-size $O(\log n)$ -depth L-uniform AC circuit family $\{\mathcal{C}_n\}$ such that \mathcal{C}_n computes L on n -bit inputs.

Definition 2.8. (GapMaj) For $n \in \mathbb{N}^*$ and $a, b \in \mathbb{R}$ such that $0 \leq a < b \leq n$, define GapMaj[a, b] on n bits as follow:

$$\text{GapMaj}[a, b](x_1, \dots, x_n) := \begin{cases} \text{YES} & \text{if } x_1, \dots, x_n \text{ contains } \geq b \text{ 1's} \\ \text{NO} & \text{if } x_1, \dots, x_n \text{ contains } \leq a \text{ 1's} \\ \perp & \text{otherwise} \end{cases}$$

2.3 Tool: Pairwise Independent Hash Function

We will use pairwise independent hash function as a tool for approximating counting in AC. We shall use the following construction based on convolution, which was also used in [Nis92b].

Definition 2.9. (Convolution-Based Pairwise Independent Hash Function) Suppose m is a power of 2. Define $H_m: [m^3] \times [m] \rightarrow [m]$ by: for $(k, x) \in [m^3] \times [m]$, let $x_1 \cdots x_{\log m}$ be binary representation of $x - 1$, let $a_1 \cdots a_{2 \log m} b_1 \cdots b_{\log m}$ be binary representation of $k - 1$, let $y_j := \left(\sum_{i=1}^{\log m} a_{i+j} x_i + b_j \right) \bmod 2$ for $j \in [\log m]$, then define $H_m(k, x)$ by letting $y_1 \cdots y_{\log m}$ be binary representation of $H_m(k, x) - 1$.

Theorem 2.10. H_m is Pairwise Independent Hash Function in the following sense: for any $1 \leq i < j \leq m$, when k is sampled from the uniform distribution over $[m^3]$, the joint distribution of $(H_m(k, i), H_m(k, j))$ is identical to uniform over $[m] \times [m]$.

3 Approximate Counting in AC

The goal of this Section is to prove Theorem 3.1, which will be a building block for the proof of $\text{BPL} \subseteq \text{L-AC}^1$.

Theorem 3.1. Let $n, a, b \in \mathbb{N}$ such that $0 \leq a < b \leq n$. Then there exists a poly(n)-size $O\left(\frac{\log \frac{b}{b-a}}{\log \log n} + 1\right)$ -depth L-uniform AC circuit family $\{\mathcal{C}_{n,a,b}\}$ that computes GapMaj[a, b] on n bits.

The proof depends on the next few Lemmas.

Lemma 3.2. [Ajt90] Let $n \in \mathbb{N}^*$. Then there exists poly(n)-size $O(1)$ -depth L-uniform AC circuit family $\{\mathcal{C}_n^{(0)}\}$ that computes GapMaj[$n/3, 2n/3$] on n bits.

Lemma 3.3. (Exact Counting) Let $n, l \in \mathbb{N}^*$ such that $n \geq l$. Then there exists a poly(n)-size $O\left(\frac{\log l}{\log \log n} + 1\right)$ -depth L-uniform AC circuit family $\{\mathcal{E}_{n,l}\}$ such that on l bits of input, $\mathcal{E}_{n,l}$ outputs the exact number of 1's over the input bits, in binary form.

Proof.

We only need to show how to compute sum of $O(\sqrt{\log n})$ many $O(\log n)$ -bit non-negative integers in $O(1)$ -depth, then by divide-and-conquer we can compute sum of l bits in $O\left(\frac{\log l}{\log \log n} + 1\right)$ -depth.

View the $O(\log n)$ -bit integers as $2^{\lceil \sqrt{\log n} \rceil}$ -base $O(\sqrt{\log n})$ -digit integers. Use the grade-school algorithm to sum $O(\sqrt{\log n})$ integers. We first guess the result and all *carry-bits*, which involve at most $O(\sqrt{\log n}) \cdot O\left(\log\left(\sqrt{\log n} \cdot 2^{\lceil \sqrt{\log n} \rceil}\right)\right) = O(\log n)$ bits, and thus has at most $\text{poly}(n)$ choices. Then we can apply a local check on each digit, each local check involves at most $O(\log n)$ bits, and thus deciding whether all local checks are passed can be computed in $O(1)$ -depth. Then we can take the result of the only guess that passes all local checks. The total cost is $O(1)$ -depth. \square

Lemma 3.4. *Let $n, a, b \in \mathbb{N}$ such that $0 \leq a < b \leq n$. Then there exists a $\text{poly}(n)$ -size $O\left(\frac{\log \frac{n}{b-a}}{\log \log n} + 1\right)$ -depth L-uniform AC circuit family $\{\mathcal{C}_{n,a,b}^{(1)}\}$ that computes $\text{GapMaj}[a, b]$ on n bits.*

Proof.

Only consider the case that n is a power of 2, otherwise we can use a simple padding argument. By Lemma 3.2, it suffices to show how to reduce $\text{GapMaj}[a, b]$ on n bits to $\text{GapMaj}[n^3/3, 2n^3/3]$ on n^3 bits, via a $\text{poly}(n)$ -size $O\left(\frac{\log \frac{n}{b-a}}{\log \log n} + 1\right)$ -depth L-uniform AC circuit.

If $b - a \leq 4\sqrt{n}$ then we can directly compute the number of 1's exactly via Lemma 3.3. Below we only consider $b - a > 4\sqrt{n}$.

Let $l := \left\lceil \frac{12n^2}{(b-a)^2} \right\rceil$. Suppose the $\text{GapMaj}[a, b]$ instance is x_1, x_2, \dots, x_n . Let H_n be the hash function defined in Definition 2.9. Define y_1, \dots, y_{n^3} as follow: for $i \in [n^3]$, let y_i be 1 if at least $\frac{a+b}{2n}$ fraction of $x_{H_n(i,1)}, \dots, x_{H_n(i,l)}$ is 1, otherwise let y_i be 0. Note that y_1, \dots, y_{n^3} can be computed via a $\text{poly}(n)$ -size $O\left(\frac{\log l}{\log \log n} + 1\right)$ -depth L-uniform AC circuit, by Lemma 3.3. Here $O\left(\frac{\log l}{\log \log n} + 1\right) = O\left(\frac{\log \frac{n}{b-a}}{\log \log n} + 1\right)$.

Let's do some simple calculations. Assume p fraction of x_1, \dots, x_n is 1. Let S_i be number of 1's in $x_{H_n(i,1)}, \dots, x_{H_n(i,l)}$. Then we have $\mathbb{E}_{i \sim [n^3]}[S_i] = pl$ and $\text{Var}_{i \sim [n^3]}[S_i] \leq l$. So if $p \leq \frac{a}{n}$, then $\Pr_{i \sim [n^3]} \left[S_i \geq l \cdot \frac{(a+b)}{2n} \right] \leq \frac{l}{\left(l \cdot \frac{(b-a)}{2n}\right)^2} = \frac{4n^2}{l(b-a)^2} \leq \frac{1}{3}$. Similarly if $p \geq \frac{b}{n}$ then $\Pr_{i \sim [n^3]} \left[S_i \leq l \cdot \frac{(a+b)}{2n} \right] \leq \frac{1}{3}$. This means if x_1, \dots, x_n is YES/NO instance of $\text{GapMaj}[a, b]$, then y_1, \dots, y_{n^3} is YES/NO instance of $\text{GapMaj}[n^3/3, 2n^3/3]$. The reduction is completed. \square

Proof of Theorem 3.1.

We will try to reduce to Lemma 3.4. Suppose the $\text{GapMaj}[a, b]$ instance is x_1, x_2, \dots, x_n . We only consider the case n is a power of 2, otherwise use a simple padding argument. We only consider the case $10 \left(\frac{b}{b-a}\right)^2 < \frac{n}{b-a}$ (or equivalently, $n(b-a) > 10b^2$), otherwise we can directly apply Lemma 3.4.

Let $l := \left\lceil \frac{n(b-a)}{2b^2} \right\rceil$. For $i \in [n^3]$, let $y_i := x_{H_n(i,1)} \vee \dots \vee x_{H_n(i,l)}$, here H_n is the hash function defined in Definition 2.9. Then y_1, \dots, y_{n^3} can be computed via $\text{poly}(n)$ -size $O(1)$ -depth L-uniform AC circuit.

Assume p fraction of x_1, \dots, x_n is 1. Let S_i be number of 1's in $x_{H_n(i,1)}, \dots, x_{H_n(i,l)}$. Then we have $\mathbb{E}_{i \sim [n^3]}[S_i] = pl$ and $\mathbb{E}_{i \sim [n^3]}[S_i^2] = l(l-1)p^2 + lp \leq lp + l^2p^2$. Thus by

$$\frac{\mathbb{E}_{i \sim [n^3]}[S_i^2]}{\mathbb{E}_{i \sim [n^3]}[S_i^2]} \leq \Pr_{i \sim [n^3]}[S_i \geq 1] \leq \mathbb{E}_{i \sim [n^3]}[S_i]$$

we know: if $p \leq \frac{a}{n}$, then $\Pr_{i \sim [n^3]}[S_i \geq 1] \leq \frac{la}{n}$; if $p \geq \frac{b}{n}$, then $\Pr_{i \sim [n^3]}[S_i \geq 1] \geq \frac{(\frac{lb}{n})^2}{\frac{lb}{n} + (\frac{lb}{n})^2} \geq \frac{lb}{n} - (\frac{lb}{n})^2$. To summarize, if x_1, \dots, x_n is YES/NO instance of $\text{GapMaj}[a, b]$, then y_1, \dots, y_{n^3} is YES/NO instance of $\text{GapMaj}\left[\left[n^3 \cdot \frac{la}{n}\right], \left[n^3 \cdot \left(\frac{lb}{n} - (\frac{lb}{n})^2\right)\right]\right]$.

Finally we observe that $\left(\frac{lb}{n} - (\frac{lb}{n})^2\right) - \frac{la}{n} = l \cdot \left(\frac{b-a}{n} - \frac{lb^2}{n^2}\right) \geq \frac{n(b-a)}{3b^2} \cdot \frac{b-a}{2n} = \frac{(b-a)^2}{6b^2}$. Thus by Lemma 3.4, $\text{GapMaj}\left[\left[n^3 \cdot \frac{la}{n}\right], \left[n^3 \cdot \left(\frac{lb}{n} - (\frac{lb}{n})^2\right)\right]\right]$ over n^3 bits can be computed via a poly(n)-size $O\left(\frac{\log \frac{b}{b-a}}{\log \log n} + 1\right)$ -depth L-uniform AC circuit. \square

4 The Iteration Method

In this section, we will introduce the iteration step, which is the core of our proof of $\text{BPL} \subseteq \text{L-AC}^1$.

Theorem 4.1. (The Iteration) *Let $\mathbf{A} \in \mathbb{R}^{n \times n}$ be a substochastic matrix and $k, t \in \mathbb{N}^*$ such that $\log n \geq k \geq t$. Suppose substochastic matrices $\mathbf{B}_0, \dots, \mathbf{B}_{k-1}$ are approximations of $\mathbf{A}^{2^0}, \dots, \mathbf{A}^{2^{k-1}}$ such that $\|\mathbf{B}_i - \mathbf{A}^{2^i}\|_1 \leq \varepsilon_i$ for $i = 1, 2, \dots, k-1$. Define*

$$\begin{aligned} \mathbf{C} := & - \sum_{i=1}^{t-1} \sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-i+1\}}} \mathbf{B}_{j_p} \cdots \mathbf{B}_{j_1} \mathbf{B}_{k-i}^2 \mathbf{B}_{j'_1} \cdots \mathbf{B}_{j'_q} \\ & + \sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-t+1\}}} \mathbf{B}_{j_p} \cdots \mathbf{B}_{j_1} \mathbf{B}_{k-t}^2 \mathbf{B}_{j'_1} \cdots \mathbf{B}_{j'_q}. \end{aligned}$$

Then

$$\|\mathbf{C} - \mathbf{A}^{2^k}\|_1 \leq \sum_{i=1}^{t-1} 2^{i-1} \varepsilon_{k-i}^2 + 2^t \varepsilon_{k-t}.$$

Proof.

Note that

$$\begin{aligned} \mathbf{C} - \mathbf{A}^{2^k} = & - \sum_{i=1}^{t-1} \sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-i+1\}}} \mathbf{B}_{j_p} \cdots \mathbf{B}_{j_1} \left(\mathbf{A}^{2^{k-i}} - \mathbf{B}_{k-i}\right)^2 \mathbf{B}_{j'_1} \cdots \mathbf{B}_{j'_q} \\ & - \sum_{\substack{\{j_1 < \dots < j_p\} \uplus \{j'_1 < \dots < j'_q\} \\ = \{k-1, k-2, \dots, k-t+1\}}} \mathbf{B}_{j_p} \cdots \mathbf{B}_{j_1} \left(\mathbf{A}^{2^{k-t+1}} - \mathbf{B}_{k-t}^2\right) \mathbf{B}_{j'_1} \cdots \mathbf{B}_{j'_q}. \end{aligned}$$

So

$$\begin{aligned} \|\mathbf{C} - \mathbf{A}^{2^k}\|_1 & \leq \sum_{i=1}^{t-1} 2^{i-1} \left\| \mathbf{A}^{2^{k-i}} - \mathbf{B}_{k-i} \right\|_1^2 + 2^t \left\| \mathbf{A}^{2^{k-t}} - \mathbf{B}_{k-t} \right\|_1 \\ & \leq \sum_{i=1}^{t-1} 2^{i-1} \varepsilon_{k-i}^2 + 2^t \varepsilon_{k-t}. \end{aligned}$$

\square

Theorem 4.2. (Computing the Iteration) Let $n, k, t, \mathbf{A}, \mathbf{B}_0, \dots, \mathbf{B}_{k-1}, \varepsilon_0, \dots, \varepsilon_{k-1}, \mathbf{C}$ be as defined in Theorem 4.1. Let $4 \log n \geq d \geq t/10$. Then there exists a poly(n)-size $O(d)$ -depth L-uniform AC circuit family $\{\mathcal{I}_{n,k,t,d}\}$ that on inputs $\mathbf{B}_{k-t}, \dots, \mathbf{B}_{k-1}$, if

$$\sum_{i=1}^{t-1} 2^{i-1} \varepsilon_{k-i}^2 + 2^t \varepsilon_{k-t} \leq \frac{1}{2^{d+2}}$$

is satisfied, then $\mathcal{I}_{n,k,t,d}$ outputs a substochastic matrix \mathbf{C}' such that $\|\mathbf{C}' - \mathbf{A}^{2^k}\|_1 \leq 1/2^d$.

The intuition behind Theorem 4.2 is that to approximately compute \mathbf{C} , all arithmetic operations only need a multiplicative accuracy of $1/2^{\Theta(d)}$. This can be done efficiently by L-uniform AC circuit by Theorem 3.1.

Proof of Theorem 4.2.

We observe that \mathbf{C} is the sum of 2^{t-1} “+” terms and $2^{t-1} - 1$ “-” terms, and each term is a multiplication of not more than $t+1$ substochastic matrices. We will first show how to approximate the multiplication of substochastic matrices and then show how to approximate their sum.

To approximate $\mathbf{Z} := \mathbf{X}\mathbf{Y}$ for two substochastic matrices \mathbf{X}, \mathbf{Y} , we only need to approximate $\sum_{r=1}^n \mathbf{X}_{i,r} \mathbf{Y}_{r,j}$ for each pair $(i, j) \in [n]^2$. We first represent each entry $\mathbf{X}_{i,r}, \mathbf{Y}_{r,j}$ using n^{100} bits such that fraction of 1’s in these n^{100} bits is equal to the entry, then use a layer of AND gate to represent each $\mathbf{X}_{i,r} \mathbf{Y}_{r,j}$ using fraction of 1’s in n^{200} bits, and then represent each $\frac{1}{n} \sum_{r=1}^n \mathbf{X}_{i,r} \mathbf{Y}_{r,j}$ using fraction of 1’s in n^{201} bits. Then we invoke $\mathcal{C}_{n^{201}, l, \lceil l(1+1/2^{20d+10}) \rceil}$ (as defined in Theorem 3.1, which has depth $\leq O\left(\frac{d}{\log \log n} + 1\right) \leq O\left(\frac{d}{\log(t+1)}\right)^2$ for $l = 1, 2, \dots, n^{200}$ over these n^{201} bits. Suppose l_0 is the smallest index such that $\mathcal{C}_{n^{201}, l_0, \lceil l_0(1+1/2^{20d+10}) \rceil}$ outputs 0, then we have

$$\frac{l_0 - 1}{n^{200}} < \mathbf{Z}_{i,j} < \frac{l_0 \left(1 + \frac{1}{2^{20d+10}}\right)}{n^{200}}$$

and thus³

$$\frac{\mathbf{Z}_{i,j}}{1 + \frac{1}{2^{20d+10}}} - \frac{1}{n^{100}} \leq \frac{1}{n^{100}} \left[\frac{l_0}{n^{100}} \right] \leq \mathbf{Z}_{i,j}.$$

Use $\lceil l_0/n^{100} \rceil/n^{100}$ as an approximation of $\mathbf{Z}_{i,j}$, then we obtain an approximation $\tilde{\mathbf{Z}}$ of \mathbf{Z} such that $\mathbf{Z} - \tilde{\mathbf{Z}}$ is non-negative and $\tilde{\mathbf{Z}}$ is substochastic and $\|\mathbf{Z} - \tilde{\mathbf{Z}}\|_1 \leq 1/2^{20d+10} + 1/n^{99}$. We need to be careful that here we need a *multiplicative* small error on each entry and thus we need to strengthen Lemma 3.4 to Theorem 3.1.

Then multiplication of not more than $t+1$ substochastic matrices can be computed via $O(\log(t+1))$ layers of multiplication of two matrices. Recall that multiplying two matrices uses $O\left(\frac{d}{\log(t+1)}\right)$ -depth and has additive error $1/2^{20d+10} + 1/n^{99}$. So the total depth for computing multiplication of not more than $t+1$ substochastic matrices is $O(d)$ and the total error is $\leq t(1/2^{20d+10} + 1/n^{99}) \leq 1/2^{19d+5}$.

To summarize, suppose $\mathbf{C} = -\sum_{i=1}^{2^{t-1}-1} \mathbf{D}_i + \sum_{i=1}^{2^{t-1}} \mathbf{D}'_i$, here each $\mathbf{D}_i, \mathbf{D}'_i$ is multiplication of some substochastic matrices. Then we can compute their approximations $\tilde{\mathbf{D}}_i, \tilde{\mathbf{D}}'_i$ in $O(d)$ depth such that $\|\mathbf{D}_i - \tilde{\mathbf{D}}_i\|_1 \leq 1/2^{19d+5}$ and $\|\mathbf{D}'_i - \tilde{\mathbf{D}}'_i\|_1 \leq 1/2^{19d+5}$.

²In Theorem 3.1 we take $(a, b) = (l, \lceil l(1 + 1/2^{20d+10}) \rceil)$, and then $\frac{b}{b-a} \leq O(d)$.

³Since $n^{200} \mathbf{Z}_{i,j}$ is an integer, we have $\frac{l_0-1}{n^{200}} < \mathbf{Z}_{i,j} \implies \frac{l_0}{n^{200}} \leq \mathbf{Z}_{i,j}$.

We approximate $\frac{1}{2^{t-1}} \sum_{i=1}^{2^{t-1}-1} \widetilde{\mathbf{D}}_i$ and $\frac{1}{2^{t-1}} \sum_{i=1}^{2^{t-1}} \widetilde{\mathbf{D}}'_i$. Use the similar idea as summing $\frac{1}{n} \sum_{r=1}^n \mathbf{X}_{i,r} \mathbf{Y}_{r,j}$, we can compute substochastic matrices $\mathbf{C}^-, \mathbf{C}^+$ using $O(d)$ -depth, such that

$$\begin{aligned} \left\| \mathbf{C}^- - \frac{1}{2^{t-1}} \sum_{i=1}^{2^{t-1}-1} \widetilde{\mathbf{D}}_i \right\|_1 &\leq \frac{1}{2^{19d+5}}, \\ \left\| \mathbf{C}^+ - \frac{1}{2^{t-1}} \sum_{i=1}^{2^{t-1}} \widetilde{\mathbf{D}}'_i \right\|_1 &\leq \frac{1}{2^{19d+5}}. \end{aligned}$$

Then $2^{t-1}(\mathbf{C}^+ - \mathbf{C}^-)$ is a good approximation of \mathbf{A}^{2^k} since

$$\begin{aligned} \left\| 2^{t-1}(\mathbf{C}^+ - \mathbf{C}^-) - \mathbf{A}^{2^k} \right\|_1 &\leq 2^{t-1} \left\| \mathbf{C}^- - \frac{1}{2^{t-1}} \sum_{i=1}^{2^{t-1}-1} \widetilde{\mathbf{D}}_i \right\|_1 + 2^{t-1} \left\| \mathbf{C}^+ - \frac{1}{2^{t-1}} \sum_{i=1}^{2^{t-1}} \widetilde{\mathbf{D}}'_i \right\|_1 \\ &\quad + \sum_{i=1}^{2^{t-1}-1} \left\| \mathbf{D}_i - \widetilde{\mathbf{D}}_i \right\|_1 + \sum_{i=1}^{2^{t-1}} \left\| \mathbf{D}'_i - \widetilde{\mathbf{D}}'_i \right\|_1 \\ &\quad + \left\| - \sum_{i=1}^{2^{t-1}-1} \mathbf{D}_i + \sum_{i=1}^{2^{t-1}} \mathbf{D}'_i - \mathbf{A}^{2^k} \right\|_1 \\ &\leq \frac{2^{t-1}}{2^{19d+5}} + \frac{2^{t-1}}{2^{19d+5}} + \frac{2^{t-1}}{2^{19d+5}} + \frac{2^{t-1}}{2^{19d+5}} + \left\| \mathbf{C} - \mathbf{A}^{2^k} \right\|_1 \\ &\leq \frac{1}{2^{9d+4}} + \left(\sum_{i=1}^{t-1} 2^{i-1} \varepsilon_{k-i}^2 + 2^t \varepsilon_{k-t} \right) \\ &\leq \frac{1}{2^{9d+4}} + \frac{1}{2^{d+2}}. \end{aligned}$$

Here the last step is from the statement of Theorem 4.2.

Finally we compute a substochastic matrix \mathbf{C}' which is a good approximation of \mathbf{A}^{2^k} and $2^{t-1}(\mathbf{C}^+ - \mathbf{C}^-)$. Here we need to be careful that \mathbf{C} and $2^{t-1}(\mathbf{C}^+ - \mathbf{C}^-)$ are not necessarily non-negative or substochastic (but \mathbf{A}^{2^k} is guaranteed substochastic). Let

$$\begin{aligned} \mathbf{C}''_{i,j} &:= \max\{2^{t-1}(\mathbf{C}^+_{i,j} - \mathbf{C}^-_{i,j}), 0\}, \\ \mathbf{C}'_{i,j} &:= \frac{1}{n^{100}} \left[\mathbf{C}''_{i,j} \left(1 - \frac{1}{2^{d+1}} \right) \cdot n^{100} \right]. \end{aligned}$$

We can compute \mathbf{C}' given $\mathbf{C}^+, \mathbf{C}^-$ by hardwiring the map $(\mathbf{C}^+_{i,j}, \mathbf{C}^-_{i,j}) \mapsto \mathbf{C}'_{i,j}$, which is L -uniform. Obviously \mathbf{C}' is non-negative. Note that \mathbf{C}'' is entrywise closer to \mathbf{A}^{2^k} than $2^{t-1}(\mathbf{C}^+ - \mathbf{C}^-)$ and hence

$$\left\| \mathbf{C}'' - \mathbf{A}^{2^k} \right\|_1 \leq \left\| 2^{t-1}(\mathbf{C}^+ - \mathbf{C}^-) - \mathbf{A}^{2^k} \right\|_1 \leq \frac{1}{2^{9d+4}} + \frac{1}{2^{d+2}}$$

Therefore \mathbf{C}' is substochastic since $\|\mathbf{C}'\|_1 \leq (1 - \frac{1}{2^{d+1}}) \|\mathbf{C}''\|_1 \leq (1 - \frac{1}{2^{d+1}}) (1 + \frac{1}{2^{9d+4}} + \frac{1}{2^{d+2}}) \leq 1$.

Also note that

$$\begin{aligned}
\left\| \mathbf{C}' - \mathbf{A}^{2^k} \right\|_1 &\leq \left\| \mathbf{C}' - \mathbf{C}'' \right\|_1 + \left\| \mathbf{C}'' - \mathbf{A}^{2^k} \right\|_1 \\
&\leq \frac{1}{n^{99}} + \frac{1}{2^{d+1}} \left\| \mathbf{C}'' \right\|_1 + \left\| \mathbf{C}'' - \mathbf{A}^{2^k} \right\|_1 \\
&\leq \frac{1}{n^{99}} + \frac{1}{2^{d+1}} \left(1 + \frac{1}{2^{9d+4}} + \frac{1}{2^{d+2}} \right) + \frac{1}{2^{9d+4}} + \frac{1}{2^{d+2}} \\
&\leq \frac{1}{2^d}.
\end{aligned}$$

To summarize, we can output a valid \mathbf{C}' in $O(d)$ -depth. And the circuit is $\text{poly}(n)$ -size and L -uniform. \square

5 The Complete Algorithm

Theorem 5.1. *Let n be a power of 2. Then there exists a $\text{poly}(n)$ -size $O(\log n)$ -depth L -uniform AC circuit family $\{\mathcal{M}_n\}^4$ such that on input a substochastic matrix $\mathbf{A} \in \mathbb{R}^{n \times n}$, \mathcal{M}_n outputs a substochastic matrix $\mathbf{M} \in \mathbb{R}^{n \times n}$ such that $\left\| \mathbf{M} - \mathbf{A}^n \right\|_1 \leq 1/n$.*

Proof.

Only consider $\log n \geq 10$. For $k, t \in \mathbb{N}$ such that $k \leq \log n$ and $1 \leq t \leq 3 \log n - 2k$, we wish to compute a substochastic matrix $\mathbf{M}(k, t)$, which is an approximation of \mathbf{A}^{2^k} , such that $\left\| \mathbf{M}(k, t) - \mathbf{A}^{2^k} \right\|_1 \leq 1/2^t$. Then $\mathbf{M} := \mathbf{M}(\log n, \log n)$ is the desired matrix.

For $k = 0$, we can trivially let $\mathbf{M}(0, t) := \mathbf{A}$. Now we show how to recursively compute $\mathbf{M}(k_0, t_0)$ for $k_0 = 1, 2, \dots, \log n$.

In Theorem 4.1, take the same n, \mathbf{A} and take $k := k_0$, take $\mathbf{B}_{k-i} := \mathbf{M}(k-i, \lceil t_0/2 \rceil + 2i)$ for $1 \leq i \leq k$. Then we can take $\varepsilon_{k-i} := 1/2^{\lceil t_0/2 \rceil + 2i}$ for $1 \leq i \leq k-1$ and $\varepsilon_0 = 0$. Now we will invoke Theorem 4.1, 4.2 by choosing parameter t properly according to the following two cases.

Case 1. $k \leq 2t_0 + 2$.

Take the parameter t in Theorem 4.1 to be $t := k$. Then

$$\sum_{i=1}^{k-1} 2^{i-1} \varepsilon_{k-i}^2 + 2^k \varepsilon_0 = \sum_{i=1}^{k-1} \frac{1}{2^{2\lceil t_0/2 \rceil + 3i+1}} \leq \frac{1}{2^{t_0+2}}.$$

In Theorem 4.2 take $d := t_0$. It is easy to verify that $\log n \geq k \geq t$ and $4 \log n \geq d \geq t/10$ hold when we invoke Theorem 4.1, 4.2. Given $\mathbf{B}_{k-1}, \dots, \mathbf{B}_0$, use $\mathcal{I}_{n, k_0, k_0, t_0}$ (defined in Theorem 4.2) we can compute a substochastic matrix \mathbf{C}' such that $\left\| \mathbf{C}' - \mathbf{A}^{2^k} \right\|_1 \leq 1/2^{t_0}$. \square

Case 2. $k \geq 2t_0 + 3$.

Take $t := 2t_0 + 3$ in Theorem 4.1. Then

$$\sum_{i=1}^{2t_0+2} 2^{i-1} \varepsilon_{k-i}^2 + 2^{2t_0+3} \varepsilon_{k-2t_0-3} \leq \sum_{i=1}^{2t_0+2} \frac{1}{2^{2\lceil t_0/2 \rceil + 3i+1}} + \frac{1}{2^{\lceil t_0/2 \rceil + 2t_0+3}} \leq \frac{1}{2^{t_0+2}}.$$

In Theorem 4.2 take $d := t_0$. Given $\mathbf{B}_{k-1}, \dots, \mathbf{B}_0$, use $\mathcal{I}_{n, k_0, 2t_0+3, t_0}$ we can compute a substochastic matrix \mathbf{C}' such that $\left\| \mathbf{C}' - \mathbf{A}^{2^k} \right\|_1 \leq 1/2^{t_0}$. \square

⁴We require that given n , description of \mathcal{M}_n can be computed in space $O(\log n)$.

To summarize, take $\mathbf{M}(k_0, t_0) := \mathbf{C}'$, we can compute $\mathbf{M}(k_0, t_0)$ given $\mathbf{M}(k_0 - i, \lceil t_0/2 \rceil + 2i)$ for $1 \leq i \leq k_0$, via a $\text{poly}(n)$ -size $O(t_0)$ -depth L-uniform AC circuit.

Let $\gamma > 0$ be a concrete constant such that we can compute $\mathbf{M}(k_0, t_0)$ given $\mathbf{M}(k_0 - i, \lceil t_0/2 \rceil + 2i)$ via a $\text{poly}(n)$ -size γt_0 -depth L-uniform AC circuit. Note that if $\mathbf{M}(k_0 - i, \lceil t_0/2 \rceil + 2i)$ can be computed in $2\gamma(2(k_0 - i) + (\lceil t_0/2 \rceil + 2i))$ -depth for $1 \leq i \leq k_0$, then $\mathbf{M}(k_0, t_0)$ can be computed in

$$\gamma t_0 + \max_{1 \leq i \leq k_0} \{2\gamma(2(k_0 - i) + (\lceil t_0/2 \rceil + 2i))\} \leq 2\gamma(2k_0 + t_0)$$

-depth. Also note that $\mathbf{M}(0, t_0)$'s are just the inputs, so by induction we know $\mathbf{M}(k_0, t_0)$ can be computed in $2\gamma(2k_0 + t_0)$ -depth. Specially, $\mathbf{M}(\log n, \log n)$ (which is the desired output) can be computed in $6\gamma \log n \leq O(\log n)$ -depth. Also note that we use “compute $\mathbf{M}(k_0, t_0)$ given $\mathbf{M}(k_0 - i, \lceil t_0/2 \rceil + 2i)$ ” $O((\log n)^2)$ many times, so the total circuit size for computing $\mathbf{M}(\log n, \log n)$ is still $\text{poly}(n)$. \square

Corollary 5.2. $\text{BPL} \subseteq \text{L-AC}^1$.

6 Open Problems

1. Our algorithm based on the improved iteration can be thought of as low-depth of *precision requirement*. Can this method be applied to obtain other interesting results in derandomizing BPL? It seems that the space-bounded model or nondeterministic space-bounded model cannot deal with low accuracy aggregating on many bits at low cost, as in the AC circuit model.
2. Our algorithm involves a “ $\times O(\log \log n)$ ” step when multiplying $O(\log n)$ matrices and a “ $/O(\log \log n)$ ” step in approximating counting in AC, which seems *coincidentally* achieves $O(\log n)$ -depth. Can we improve the algorithm to obtain an $O\left(\frac{\log n}{\log \log n}\right)$ -depth circuit?

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