# A Study of Error Reduction Polynomials

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## Abstract

Error reduction procedures play a crucial role in constructing weighted PRGs [PV21, CDR⁺21], which are central to many recent advances in space-bounded derandomization. The fundamental method driving error reduction procedures is Richardson iteration, which is adapted from the literature on fast Laplacian solvers. In the context of space-bounded derandomization, where time is not the primary concern, can additional insights from optimization theory lead to improved error reduction procedures?

The results of this paper reveal an inherent barrier for using optimization-based techniques for error reduction in the context of space-bounded derandomization. To this end, we develop an abstract framework for error reduction based on polynomials which in particular encompasses all optimization-based error reduction techniques, and consequently, puts a limit on constructing improved weighted PRGs based on current approaches. Our work also sheds light on the necessity of negative weights within existing methods.

From the technical viewpoint, we establish lower bounds on various important parameters of error reduction polynomials. This includes a lower bound on the degree $d$ of the polynomial, and an $n^{\Omega(d)}$ lower bound on $L_1$-norm of an $n$-variate polynomial. These lower bounds hold both when there are no "correlations" between different approximations and in the presence of such. A delicate use of these correlations has recently been exploited for constructing improved weighted PRGs for various restricted models [PV21, CHL⁺23].

# Contents

# 1 Introduction

**BPL** vs. **L**—a fundamental problem in computational complexity theory [AKL⁺79, BCP83, Jun81]—centers on whether randomness enhances the computational capabilities of space-bounded algorithms. Specifically, the question is whether every probabilistic algorithm can be fully derandomized with only a constant factor increase in space. Despite numerous attempts to resolve this problem, it remains open, although it is generally believed that **BPL = L**, a conclusion supported by plausible circuit lower bounds [KvM02, DT23, DPT24]. Moreover, unlike the time setting, there are currently no known barriers to the unconditional derandomization of **BPL**. The primary strategy for tackling **BPL** vs. **L** involves the use of pseudorandom generators (PRGs), specifically for the corresponding nonuniform model of read-once branching programs, abbreviated as ROBPs[1]. In his seminal paper, Nisan [Nis92] constructed an explicit $\varepsilon$-error PRG for ROBPs of length $n$ and width $w$, with a seed length of $O(\log(n) \cdot \log(\frac{nw}{\varepsilon}))$. Most of the PRGs designed for general ROBPs draw inspiration from Nisan's prototype. We refer the reader to Hoza's survey [Hoz22] on recent advances in derandomizing space-bounded computation.

A common feature of these generators is their recursive design, where a PRG for length-$n$ ROBPs is constructed using PRGs for length-$\frac{n}{2}$ ROBPs. This recursive construction leads to "error deterioration"; that is, if the error for the half-length PRG is $\varepsilon$, the error of the resulting PRG, for length $n$, at least doubles. Consequently, it is necessary to start with an initial error of roughly $\frac{\varepsilon}{n}$ to achieve a final error of $\varepsilon$. Specifically, an error of $\frac{1}{n}$ must be maintained throughout the recursion, even if the target is merely a constant error $\varepsilon$, which is indeed the main setting of parameters for derandomization. This error deterioration underpins the $\log^2 n$ dependency of the seed length in Nisan's PRG and its subsequent developments.

## 1.1 The BCG Construction

Motivated by the challenge of constructing a PRG for length-$n$ ROBPs with a logarithmic dependence on $n$, Braverman, Cohen, and Garg [BCG18] directed their focus toward the *error parameter*. Their objective was to design a PRG that provides a slower error deterioration. Although they successfully improved the control on the error parameter, achieving near-optimal error dependence on $\varepsilon$ in the seed length, the quadratic dependence $\log^2 n$ persisted in their seed length for a different, more subtle reason.

Interestingly, the BCG construction is not a PRG per se but rather a variant called *weighted* PRG (WPRG). In a weighted PRG, each seed is associated with a weight, which

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[1]We use the standard model of (standard-order) ROBPs as can be found, e.g., in [Hoz22, Definition 3.2.1].

is a real number that can be either positive or negative and is unbounded in absolute value. The desired $\varepsilon$-approximation is achieved through a weighted sum, rather than an average, as in traditional PRGs. More precisely, a weighted PRG is a function $\mathsf{WPRG} \colon \{0,1\}^s \to \{0,1\}^n \times \mathbb{R}$ with the following property: For any length-$n$ ROBP $P$, the sum of the real-valued weights—corresponding to the seeds that lead to an accepting state of $P$—provides an $\varepsilon$-approximation of the probability that $n$ truly uniform bits will lead to an accepting state (see [Hoz22, Defintion 6]).

The BCG construction is also influenced by Nisan's PRG but is significantly more complex. This complexity makes it challenging to integrate with other work, particularly when seeking improvements for constrained models such as regular ROBPs. Moreover, the role of the somewhat enigmatic negative weights remains elusive and unclear. Chattopadhyay and Liao [CL20] managed to simplify the construction slightly, yet it still follows to the general ideas proposed by BCG and remains highly intricate (see also [Koz20]).[2]

## 1.2   Error Reduction via Richardson Iteration

In concurrent and independent works, Pyne and Vadhan [PV21] and Cohen, Doron, Renard, Sberlo, and Ta-Shma [CDR+21] devised an alternative method to that of BCG for achieving improved error dependence. Rather than constructing a WPRG from scratch, these papers have introduced (the same) error reduction procedure – a technique for transforming a PRG for length-$n$, width-$w$ ROBPs with a seed length of $s = s(n, w)$ into an $\varepsilon$-error WPRG with a seed length of $\widetilde{O}(s + \log \frac{1}{\varepsilon})$. Crucially, this method requires the initial PRG to have an error of approximately $\frac{1}{n}$. When instantiated with Nisan's PRG, this approach produces results that are quantitatively comparable to—and slightly improve upon—those of BCG. Consequently, Hoza improved these constructions by eliminating all doubly-logarithmic factors [Hoz21].

The error-reduction procedures that were devised in these works draws on the Richardson iteration method, a strategy adopted from nearly linear-time Laplacian solvers (starting from [ST04]), which is also commonly employed in numerical linear algebra and optimization. This method marks a departure from the recursive powering approach central to Nisan's PRG and its variants, including BCG.

At its core, the Richardson iteration is an iterative process. The error reduction approach, first used in the context of space-bounded computation (in the non black-box setting) by Murtagh, Reingold, Sidford, and Vadhan [MRSV17] begins by deriving a real-valued polynomial in non-commutative variables from this iterative method, which we refer to as the

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[2]It is worth mentioning that optimal *hitting set generators* in the low-error regime (which are a weaker variant of PRGs and WPRGs), turned out to have simple constructions which utilize different properties and techniques [HZ20].

*Richardson polynomial.* More specifically, a family of polynomials can be generated based on the number $k$ of iterations performed. The polynomial from the $k$-th iteration, which has a degree of $O(k)$, is denoted as $\mathsf{Rich}_k$. For the sake of simplicity in this informal discussion, we assume all layers of the branching program are the same, represented by a common transition matrix $A$. Our objective is then to approximate the matrix $A^n$. Under this simplification, $\mathsf{Rich}_k$ is a polynomial in $n$ non-commutative variables and meets the following condition: If $\widetilde{A^2}, \ldots, \widetilde{A^n}$ are $\varepsilon$-approximations for the respective powers of $A$, defined such that $\|\widetilde{A^j} - A^j\| \le \varepsilon$ for all $j$ (denoted $\widetilde{A^j} \approx_\varepsilon A^j$), then

$$\mathsf{Rich}_k\left(A, \widetilde{A^2}, \ldots, \widetilde{A^n}\right) \approx_{\varepsilon_k} A^n,$$

where $\varepsilon_k \approx (n \cdot \varepsilon)^k$. The choice of norm is arbitrary provided that it is sub-multiplicative and we will further assume that $\|A\| \le 1$.

The second phase of the error-reduction procedure involves deriving the final WPRG from the Richardson polynomial $\mathsf{Rich}_k$ and the PRGs used to obtain the approximations $\widetilde{A^2}, \ldots, \widetilde{A^n}$. This step incorporates a *second* PRG for ROBPs aimed at reusing the seeds of the original PRG. We shall not elaborate further on this step here (we do so in Section 2.3) but highlight that this method can be applied with any polynomial, not exclusively Richardson polynomials. Properties of the polynomial, such as its degree, sparsity, and the $L_1$-norm of the coefficient vector, can be used for establishing bounds on the final error of the WPRGs.

**Error reduction procedures: A broader context.** Error reduction procedures are not limited to space-bounded derandomization and are prevalent throughout theoretical computer science. For instance, Raz, Reingold, and Vadhan devised an error-reduction procedure for seeded extractors [RRV99]. More recently, Ta-Shma's breakthrough work on constructing small-bias sets relied on an innovative method to reduce the error, or bias, of an existing small-bias set [Ta-17]. Furthermore, techniques such as derandomized squaring [RV05, MRSV17, MRSV19, AKM+20, HPV21, CCMP23], distance amplification in error-correcting codes [AL96, KMRZS17, CY21, CY22], and gap amplification in PCPs [Din07] are all examples of error-reduction procedures. These procedures are evaluated based on the spectral gap, code distance, and PCP gap, respectively. Notably, even Reingold's Theorem, $\mathbf{SL} = \mathbf{L}$, [Rei08] involves a bespoke error reduction procedure tailored to the specific graph in question.

In the realm of space-bounded derandomization, the focus on the error parameter and specifically error-reduction procedures forms the foundation of many recent advancements in this area (e.g., [BCG18, HPV21, PV21, Hoz21, CHL+23]). The incorporation of ideas from fast Laplacian solvers and general optimization theory has injected new and exciting results into the field. Consequently, the primary aim of this paper is to explore whether further

results can be expected from these disciplines or if we have encountered a fundamental limit. Unfortunately, our work suggests that the latter scenario is more likely.

**A closer look at Richardson iteration.** So far we have not discussed the way in which the Richardson polynomials, $\mathsf{Rich}_k$, are generated. Indeed, these are obtained in a somewhat roundabout way. The Richardson iteration is actually a way of improving the approximation of a matrix *inverse*. More precisely, given an invertible matrix $L$ and an initial approximation $\widetilde{L^{-1}}$ to its inverse, the Richardson iteration refines it into a more accurate approximation of $L^{-1}$, where with each iteration a stronger approximation is guaranteed.

To utilize this in matrix *powering* (the more general case of iterated matrix multiplication is similar), one constructs an $(n+1) \times (n+1)$ block matrix $L$, with $I_w$ on the diagonal and $-A$ on the sub-diagonal. Clearly, $L$ is an invertible matrix where, crucially, the bottom-left block of $L^{-1}$ equals $A^n$. Moreover, $L^{-1}$ itself is lower triangular, with the $(i,j)$-th block being $A^{i-j}$. With this in mind, the approximation $\widetilde{L^{-1}}$ is then naturally constructed by setting each $(i,j)$-th block, lying below the diagonal, to $\widetilde{A^{i-j}}$. Applying Richardson iteration to $L$ and $\widetilde{L^{-1}}$ yields a refined approximation of $L^{-1}$, from which we extract the bottom-left block as the improved approximation for $A^n$.

For example, one iteration of the Richardson iteration applied to $L$ and $\widetilde{L^{-1}}$ takes the form $R_1 = 2\widetilde{L^{-1}} - \widetilde{L^{-1}}L\widetilde{L^{-1}}$. So, for approximating, say, the fourth power, $A^4$, one first constructs the matrices

$$
L = \begin{pmatrix} I & 0 & 0 & 0 & 0 \\ -A & I & 0 & 0 & 0 \\ 0 & -A & I & 0 & 0 \\ 0 & 0 & -A & I & 0 \\ 0 & 0 & 0 & -A & I \end{pmatrix} \qquad \widetilde{L^{-1}} = \begin{pmatrix} I & 0 & 0 & 0 & 0 \\ A & I & 0 & 0 & 0 \\ \widetilde{A^2} & A & I & 0 & 0 \\ \widetilde{A^3} & \widetilde{A^2} & A & I & 0 \\ \widetilde{A^4} & \widetilde{A^3} & \widetilde{A^2} & A & I \end{pmatrix}. \tag{1}
$$

It then follows by a direct calculation that the bottom-left block of $R_1$ is

$$
A^2\widetilde{A^2} + \widetilde{A^2}A^2 - (\widetilde{A^2})^2.
$$

Put differently,
$$
\mathsf{Rich}_1(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4) = \boldsymbol{x}_1^2\boldsymbol{x}_2 + \boldsymbol{x}_2\boldsymbol{x}_1^2 - \boldsymbol{x}_2^2. \tag{2}
$$

Using a similar calculation, the values of $\mathsf{Rich}_1$ for any given $n$ can be determined:

$$
\mathsf{Rich}_1(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \boldsymbol{x}_1\boldsymbol{x}_{n-1} + \sum_{i=1}^{n-2} \boldsymbol{x}_i\left(\boldsymbol{x}_1\boldsymbol{x}_{n-i-1} - \boldsymbol{x}_{n-i}\right). \tag{3}
$$

For $k \geq 1$, the Richardson iteration induces a very simple polynomial, namely, the polynomial corresponding to $k$ iterations is given by

$$R_k = \sum_{i=0}^{k} \left( I - \widetilde{L^{-1}} L \right)^i \widetilde{L^{-1}}. \tag{4}$$

From this, with some effort, one can construct the Richardson polynomial, $\mathsf{Rich}_k$, for any $k \geq 2$. For instance, the bottom-left block of $R_2$, set with $n = 6$, is given by

$$\widetilde{A^2} A^4 + A^2 \widetilde{A^2} A^2 - \left( \widetilde{A^2} \right)^2 A^2 + A^4 \widetilde{A^2} - A^2 \left( \widetilde{A^2} \right)^2 - \widetilde{A^2} A^2 \widetilde{A^2} + \left( \widetilde{A^2} \right)^3.$$

Thus,

$$\mathsf{Rich}_2(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_6) = \boldsymbol{x}_2 \boldsymbol{x}_1^4 + \boldsymbol{x}_1^2 \boldsymbol{x}_2 \boldsymbol{x}_1^2 - \boldsymbol{x}_2^2 \boldsymbol{x}_1^2 + \boldsymbol{x}_1^4 \boldsymbol{x}_2 - \boldsymbol{x}_1^2 \boldsymbol{x}_2^2 - \boldsymbol{x}_2 \boldsymbol{x}_1^2 \boldsymbol{x}_2 + \boldsymbol{x}_2^3.$$

**Richardson iteration via gradient descent.** Equation (4) can be derived from an optimization point of view. To see this, assume as before that we are given a crude approximation $\widetilde{L^{-1}}$ to $L^{-1}$ as well as $L$ itself, and we wish to find a better approximation for $L^{-1}$, making use of the fact that the crude approximation $\widetilde{L^{-1}}$ is available to us. Assume for simplicity that $L$ is positive-definite (otherwise, consider $L^\mathsf{T} L$ instead of $L$ in what follows). Finding a better approximation for $L^{-1}$ effectively means that we would like to approximate, given a vector $b$, the solution $x^\star$ to the system of linear equations $Lx^\star = b$, in a way which is, in a sense, independent of $b$.

We can now utilize the fact that we have access to $\widetilde{L^{-1}}$ and reduce the condition number of the linear system, by instead solving $\widetilde{L^{-1}} L x^\star = \widetilde{L^{-1}} b \triangleq b_0$. The iterative approach now presents itself: Starting with the initial point $x_0 = \alpha \widetilde{L^{-1}} b$, set according to some parameter $\alpha > 0$ which will also serve as our step size, we generate a sequence $x_0, x_1, \ldots, x_k$ of solutions, where we wish to minimize the error vectors $x_i - x^\star$. It makes sense to use the geometry of the problem and measure this error vector in a suitable norm, in particular, $e_i = \|x_i - x^\star\|_{\widetilde{L^{-1}} L}$. Thus, we have the optimization problem in which we wish to minimize $f(x) = \frac{1}{2} x^\mathsf{T} \widetilde{L^{-1}} L x - b_0^\mathsf{T} x$. This can be done using gradient descent, noting that the gradient $\nabla f(x) = \widetilde{L^{-1}} L x - b_0$ is convex. The unique minimum is thus obtained when $\nabla f(x) = 0$, namely, at $x^\star$.

The gradient descent iteration $x_{i+1} = x_i - \alpha \nabla f(x_i)$ then becomes $x_{i+1} = (I - \alpha \widetilde{L^{-1}} L) x_i + \alpha b_0$, and so

$$x_k = \alpha \sum_{i=0}^{k} \left( I - \alpha \widetilde{L^{-1}} L \right)^i \widetilde{L^{-1}} b \triangleq R_k(\alpha) b. \tag{5}$$

Importantly, $R_k(\alpha)$ is indeed independent of the choice of $b$. Note that $x_k - x^\star = P_k(x_0 - x^\star)$, where $P_k = (I - \alpha \widetilde{L^{-1}} L)^k$. Thus, the error $e_k$ is dominated by $\|P_k\| \leq \|I - \alpha \widetilde{L^{-1}} L\|^k$.

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The familiar Richardson iteration used in the context of space-bounded derandomization amounts to taking $\alpha = 1$, but optimizing $\alpha$ based on the system's condition number leads to better convergence rate, although not in a way that would improve upon the space-bounded literature.

In fact, it is known that *any* first-order method, where $x_{i+1} \in x_0 + \mathrm{Span}\left\{\nabla f(x_0), \ldots, \nabla f(x_i)\right\}$, can be expressed as a *polynomial iteration* akin to [Equation (5)](#) and in which $x_k - x^\star = \overline{P}_k(x_0 - x^\star)$ for some degree-$k$ polynomial $\overline{P}_k$ (see [dST+21, Section 2]). This observation allows one to study optimal polynomials, and indeed, using *shifted Chebyshev polynomials* gives rise to better iterations, improving quadratically on the degree of $R_k$ but again, not in a way that would improve upon the state-of-the-art in space-bounded derandomization.

# 2 Error Reduction: The Abstract Framework

The above discussion raises a natural question: Can we gain by moving beyond first-order methods? Richardson iteration and gradient descent are typical first-order methods suitable for nearly-linear time algorithms. We, however, are focused on minimizing *space*, which allows us the flexibility to employ second- or higher-order methods. Specifically, it is conceivable to design a convex program that is more sophisticated than the quadratic program previously discussed, embedding more detailed information about $L$ and $\widetilde{L^{-1}}$ into its geometry. Subsequently, an approximate solution could be derived using a second- or higher-order method whose steps make a better use of $L$ and $\widetilde{L^{-1}}$. This approach would likely result in a new polynomial, similar to what was mentioned earlier.

Informally speaking, the main result of this work identifies a barrier to developing error-reduction procedures based on this approach. Our work suggests that the Richardson iteration is essentially *optimal* among error-reduction procedures derived from optimization-based approaches. To formalize, and prove, this somewhat vague assertion, we will develop an abstract framework for error reduction in the next section. This framework has the structure of an affine subspace, with each point representing a distinct error-reduction procedure, one of which is the familiar Richardson iteration based error reduction. This abstract approach will enable us to consider, and argue about, all error-reduction procedures simultaneously.

## 2.1 The Polynomial Error-Reduction Framework

Our first key observation is that iterative methods invariably generate polynomials, with the polynomial's degree corresponding to the number of iterations performed. The abstract framework that we introduce encompasses *all* bounded-degree polynomials, not just those derived from optimization theory. To describe our framework, and gain some intuition,

we will begin with a sequence of examples. Our examples, and the framework in general, focuses on error reduction for *numbers* rather than for *matrices*. Our work reveals a barrier for achieving better-than-Richardson error-reduction procedures that is not due to the non-commutative nature of the matrices involved. Instead, it is a numerical phenomenon that manifests even in the case of numbers.[3]

**Degree-$3$ error-reduction polynomials for approximating a $4$-th power.** Let $a$ represent the number—rather than a matrix—whose $n$-th power we aim to approximate. As an initial example, consider the simple case where $n = 4$. In this scenario, we are given $a$ along with approximations for its powers, which we denote as $\widetilde{a^2}$, $\widetilde{a^3}$, and $\widetilde{a^4}$. Our objective is to devise a polynomial that, when provided with these four values as inputs, produces a better approximation than those initially given. Evidently, a polynomial of degree 4—or indeed a polynomial of degree $n$ in general—can simply compute $a^n$ while disregarding the provided approximations. However, this approach results in an error-reduction procedure that is inefficient due to the significant computational cost associated with higher degrees (see Section 2.3). Specifically, such error reduction may completely disregard the given approximation, rendering it moot. Therefore, our goal is to develop a polynomial of lower degree. In this example, where $n$ is small to begin with, we aim to construct a polynomial of degree $d = 3$.

How can we find such a polynomial? As a starting point, we consider *all* potential polynomials, specifically, any general degree-3 polynomial $\mathsf{Q}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4) \in \mathbb{R}[\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4]$, or complex-valued polynomials for that matter. However, upon reflection, we should focus only on those monomials that, after substituting $a$ for $\boldsymbol{x}_1$ and $\widetilde{a^j}$ for $\boldsymbol{x}_j$ (where $j = 2, 3, 4$), result in an "effective degree" of $a$ being 4 (this is in fact without loss of generality, see Lemma 4.2). Therefore, the polynomial can be expressed as a combination of basis monomials $\boldsymbol{x}_1^2\boldsymbol{x}_2$, $\boldsymbol{x}_1\boldsymbol{x}_3$, $\boldsymbol{x}_2^2$, and $\boldsymbol{x}_4$ (whereas monomials such as $\boldsymbol{x}_1\boldsymbol{x}_2$, $\boldsymbol{x}_1\boldsymbol{x}_3$ and $\boldsymbol{x}_1^3$ are ignored), taking the form

$$\mathsf{Q}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4) = A\boldsymbol{x}_1^2\boldsymbol{x}_2 + B\boldsymbol{x}_1\boldsymbol{x}_3 + C\boldsymbol{x}_2^2 + D\boldsymbol{x}_4$$

for some coefficients $A, B, C$, and $D$. With this formulation, we seek for the constraints, under which, if $|\widetilde{a^j} - a^j| \leq \varepsilon_0$ for $j = 2, 3, 4$, then

$$\left| \mathsf{Q}(a, \widetilde{a^2}, \widetilde{a^3}, \widetilde{a^4}) - a^4 \right| \ll \varepsilon_0. \tag{6}$$

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[3]One can be convinced that reducing errors when dealing with numbers in the range $[0, 1]$ is indeed simpler than the corresponding task for general stochastic matrices. The latter are equivalent to general ROBPs, but may involve a larger alphabet, $\Sigma$. However, well-known reductions can be applied to convert these to a binary alphabet.

Let us denote $\widetilde{a^j} = a^j + \varepsilon_j$. Then,

$$\begin{aligned}
\mathsf{Q}(a, \widetilde{a^2}, \widetilde{a^3}, \widetilde{a^4}) &= \mathsf{Q}(a, a^2 + \varepsilon_2, a^3 + \varepsilon_3, a^4 + \varepsilon_4) \\
&= Aa^2(a^2 + \varepsilon_2) + Ba(a^3 + \varepsilon_3) + C(a^2 + \varepsilon_2)^2 + D(a^4 + \varepsilon_4).
\end{aligned}$$

We have now expressed $\mathsf{Q}$ in terms of $\varepsilon_2, \varepsilon_3, \varepsilon_4$, and $a$, rather than $\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4$, which enables us to better concentrate on the *errors* rather than on the approximations. Expanding $\mathsf{Q}$ with respect to these variables yields

$$\mathsf{Q}(a, \widetilde{a^2}, \widetilde{a^3}, \widetilde{a^4}) = (A + B + C + D)a^4 + (A + 2C)a^2\varepsilon_2 + Ba\varepsilon_3 + C\varepsilon_2^2 + D\varepsilon_4. \tag{7}$$

Since our goal is to approximate $a^4$, the affine equation

$$A + B + C + D = 1 \tag{8}$$

must be satisfied [4].

To formalize Equation (6), in this instance, we require the coefficients of the *linear* error terms, $\varepsilon_2, \varepsilon_3$ and $\varepsilon_4$, to vanish, leaving us only with the quadratic error term. The rationale is that in developing an error-reduction procedure, we cannot assume any relationship between the errors $\varepsilon_2, \varepsilon_3$, and $\varepsilon_4$. Based on this observation, we establish the principle that underlies our methodology, treating these errors as algebraically independent, or formal, variables. We will reexamine this foundational assumption in our abstract formal framework in Section 2.4.

At any rate, this approach introduces three additional *homogeneous* linear constraints to the affine Equation (8): $A + 2C = 0$ and $B = D = 0$. Crucially, these linear equations are *independent* of $a$. This is the way in which the remarkable existence of black-box error reduction—unaffected by the specific number provided (a principle confirmed by Richardson iteration)—manifests itself in our abstract framework. Indeed, if the equations were dependent on $a$, it would compromise the black-box nature of the error reduction.

Returning back to the specific example, these four conditions completely determine the polynomial: $A = 2$, $B = D = 0$, and $C = -1$, resulting in

$$\mathsf{Q}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4) = 2\boldsymbol{x}_1^2\boldsymbol{x}_2 - \boldsymbol{x}_2^2. \tag{9}$$

Looking back at Equation (7), and using that $C = -1$ and that $|\varepsilon_2| \leq \varepsilon_0$, the error produced by this polynomial is $|\mathsf{Q}(a, \widetilde{a^2}, \widetilde{a^3}, \widetilde{a^4}) - a^4| = \varepsilon_2^2 \leq \varepsilon_0^2$, and so we have reduced the error quadratically. Interestingly, due to its uniqueness, this is the exact polynomial obtained through the Richardson iteration as described in Equation (2), adjusted for commutativity.

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[4]Technically, a RHS value close to 1, within the required approximation guarantee, would also be acceptable as it would lead to the desired approximation. However, our results are applicable to any nonzero value; therefore, we naturally choose to set the RHS to 1.

Here, however, we have constructed this polynomial not through optimization techniques but using elementary linear algebra principles. This approach offers a significant advantage: we now understand that this is the *only* degree-3 polynomial capable of reducing the error quadratically.

The uniqueness of this particular polynomial implies that there is no degree-2 error-reduction polynomial where all linear error terms vanish. With the ideas discussed above, the diligent reader might want to prove that no such polynomial exists for any $n > 2$. Before drawing further general conclusions, let us consider a second example.

**Degree-$3$ error-reduction polynomials for approximating a $5$-th power.** We can do the same process for error reduction of the 5-th power of a number. This time, the polynomial takes the general form

$$\mathsf{Q}(\boldsymbol{x}_1,\ldots,\boldsymbol{x}_5) = A\boldsymbol{x}_1^2\boldsymbol{x}_3 + B\boldsymbol{x}_1\boldsymbol{x}_4 + C\boldsymbol{x}_1\boldsymbol{x}_2^2 + D\boldsymbol{x}_2\boldsymbol{x}_3 + E\boldsymbol{x}_5.$$

Using the same notation as in Section 2.1, we can express $\mathsf{Q}(a,\widetilde{a^2},\ldots,\widetilde{a^5})$ in terms of the variables $\boldsymbol{\varepsilon}_2,\ldots,\boldsymbol{\varepsilon}_5$, and $a$ to get

$$(A + B + C + D + E)a^5 + (2C + D)a^3\boldsymbol{\varepsilon}_2 + (A + D)a^2\boldsymbol{\varepsilon}_3 + Ba\boldsymbol{\varepsilon}_4 + E\boldsymbol{\varepsilon}_5 + Ca\boldsymbol{\varepsilon}_2^2 + D\boldsymbol{\varepsilon}_2\boldsymbol{\varepsilon}_3.$$

As in Equation (8), we encounter the affine constraint $A + B + C + D + E = 1$. If our aim here is also to eliminate the linear error terms, we obtain the following linear homogeneous constraints: $2C + D = 0$, $A + D = 0$ as well as $B = E = 0$. These constraints yield a unique solution in which $A = 2$, $C = 1$, and $D = -2$, resulting in the polynomial

$$\mathsf{Q}(\boldsymbol{x}_1,\ldots,\boldsymbol{x}_5) = 2\boldsymbol{x}_1^2\boldsymbol{x}_3 + \boldsymbol{x}_1\boldsymbol{x}_2^2 - 2\boldsymbol{x}_2\boldsymbol{x}_3. \tag{10}$$

Given its uniqueness, this polynomial must be the one derived from the Richardson iteration, for one iteration [5] set with $n = 5$. The error associated with this polynomial is given by

$$\left|\mathsf{Q}(a,\widetilde{a^2},\ldots,\widetilde{a^5}) - a^5\right| = \left|a\boldsymbol{\varepsilon}_2^2 - 2\boldsymbol{\varepsilon}_2\boldsymbol{\varepsilon}_3\right| \leq 3\varepsilon_0^2.$$

**Degree-$3$ error-reduction polynomials for approximating a $6$-th power.** The situation grows more intriguing when we consider the case $n = 6$. In this scenario, a general degree-3 polynomial takes the form

$$\mathsf{Q}(\boldsymbol{x}_1,\ldots,\boldsymbol{x}_6) = A\boldsymbol{x}_1^2\boldsymbol{x}_4 + B\boldsymbol{x}_1\boldsymbol{x}_2\boldsymbol{x}_3 + C\boldsymbol{x}_2^3 + D\boldsymbol{x}_2\boldsymbol{x}_4 + E\boldsymbol{x}_3^2. \tag{11}$$

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[5]By examining Equations (1) and (4) we see that the $k$-th Richardon polynomial, $\mathsf{Rich}_k$, has degree $2k+1$ in its input matrices $A, \widetilde{A^2},\ldots,\widetilde{A^n}$ and by extension in $a, \widetilde{a^2},\ldots,\widetilde{a^n}$ when considering numbers.

An observant reader might notice that we have overlooked the perfectly valid monomials $\boldsymbol{x}_1\boldsymbol{x}_5$ and $\boldsymbol{x}_6$. This omission is due to $\boldsymbol{x}_5$ and $\boldsymbol{x}_6$ only appearing in a single monomial; thus, anticipating future developments, the coefficients of these terms must be set to zero. By a computation similar to the previous ones, we derive the linear system:

$$A + B + C + D + E = 1, \ B + 3C + D = 0, \ B + 2E = 0, \ A + D = 0. \tag{12}$$

This time, however, there is no unique solution. Instead, we find a one-dimensional affine subspace of solutions, where the family of error-reduction polynomials is parameterized by $A \in \mathbb{R}$ and defined by Equation (11) along with: $B = 6 - 2A$, $C = A - 2$, $D = -A$, and $E = A - 3$. For example, setting $A = 3$ results in a fairly sparse polynomial as $B = E = 0$, yielding the polynomial $\mathsf{Q}_3(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_6) = 3\boldsymbol{x}_1^2\boldsymbol{x}_4 + \boldsymbol{x}_2^3 - 3\boldsymbol{x}_2\boldsymbol{x}_4$. The error resulting from this choice is

$$\left| \mathsf{Q}_3(a, \widetilde{a^2}, \widetilde{a^4}) - a^6 \right| = \left| 3a^2\boldsymbol{\varepsilon}_2^2 + \boldsymbol{\varepsilon}_2^3 - 3\boldsymbol{\varepsilon}_2\boldsymbol{\varepsilon}_4 \right| \leq 6\varepsilon_0^2 + O(\varepsilon_0^3). \tag{13}$$

Note that we cannot expect to improve on the triangle inequality used in the last inequality, given that we have no control over the relations between the errors $\boldsymbol{\varepsilon}_2$, $\boldsymbol{\varepsilon}_4$, and the value $a$. Given this observation, could there be a more optimal point within this affine subspace, namely, a better degree-3 error-reduction polynomial? To answer this, we evaluate the error term for general $A$, to find that

$$\left| \mathsf{Q}_A(a, \widetilde{a^2}, \widetilde{a^4}) - a^6 \right| = \left| (6 - 2A)a\boldsymbol{\varepsilon}_2\boldsymbol{\varepsilon}_3 - A\boldsymbol{\varepsilon}_2\boldsymbol{\varepsilon}_4 + 3(A - 2)a^2\boldsymbol{\varepsilon}_2^2 + (A - 3)\boldsymbol{\varepsilon}_3^2 \right| + O(\varepsilon_0^3).$$

Based on the above reasoning, our aim should be to minimize the expression:

$$|6 - 2A| + |A| + |3(A - 2)| + |A - 3| = 3|A - 3| + 3|A - 2| + |A|.$$

The minimum of this function is attained at $A = 2$, yielding a bound of $5\varepsilon_0^2 + O(\varepsilon_0^3)$, which improves upon the $6\varepsilon_0^2 + O(\varepsilon_0^3)$ bound found in Equation (13) when optimizing for sparsity. Interestingly, by inspecting Equation (3), we see that this choice, $A = 2$, corresponds exactly to the Richardson polynomial.

We make one final comment before discussing the general case. It is clear that any error reduction procedure must receive $a$ as input. Indeed, without it, and only relying on approximations, possibly including an approximation to $a$, denoted $\widetilde{a}$, there simply isn't enough information about $a$ to compute, or better approximate, its $n$-th power. It is worth noting how this manifests itself in our abstract framework. In the particular example above, by referring to Equation (11) and substituting $\boldsymbol{x}_1$ with $a + \boldsymbol{\varepsilon}_1$, we introduce an additional homogeneous constraint to the system given in Equation (12), corresponding to $\boldsymbol{\varepsilon}_1$, which is $2A + B = 0$. However, recall that the original system requires $B = 6 - 2A$ which contradicts the new constraint. This contradiction can be shown to be a general phenomenon—the presence of an error $\boldsymbol{\varepsilon}_1$ in the approximation for $a$ invariably conflicts with the affine constraint.

**The general case.** The affine space of error-reduction polynomials of degree $d$ in $n$ variables, where all error terms of degree at most $t$ vanish, is denoted by $\mathcal{E}(n, d, t)$. The above discussion indicates that $\mathcal{E}(n, 2, 1) = \emptyset$ for any $n > 2$, and that $\mathcal{E}(5, 3, 1)$ consists solely of the Richardson polynomial, thus $\dim \mathcal{E}(5, 3, 1) = 0$. Moreover, $\dim \mathcal{E}(6, 3, 1) = 1$ and similarly, $\dim \mathcal{E}(7, 3, 1) = 1$ whereas $\dim \mathcal{E}(8, 3, 1) = 2$.

As we move to higher degrees, new phenomena emerge. For instance, $\dim \mathcal{E}(5, 4, 1) = 1$, and the polynomial parameterized by $A$ is given by

$$Q_A(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_5) = A\boldsymbol{x}_1^3\boldsymbol{x}_2 + (2 - A)\boldsymbol{x}_1^2\boldsymbol{x}_3 + (1 - A)\boldsymbol{x}_1\boldsymbol{x}_2^2 + (A - 2)\boldsymbol{x}_2\boldsymbol{x}_3.$$

Interestingly, aiming at minimizing the error as in the 6-th power case, here an *interval* for $A$ exists where the error is optimized, specifically, $A \in [1, 2]$. We also observe that the choice of $A = 0$, which results in the unique degree-3 polynomial (compare with Equation (10)), is sub-optimal among degree-4 polynomials. Specifically, the optimal polynomials in $\mathcal{E}(5, 4, 1)$ *do not correspond to a Richardson polynomial*, which is always of odd degree.

In general, $\mathcal{E}(n, d, t)$ is spanned by monomials of the form $\boldsymbol{x}_1^{e_1} \cdots \boldsymbol{x}_n^{e_n}$, where $e_1 + \cdots + e_n \leq d$, subject to certain linear constraints. More specifically, every polynomial in $\mathcal{E}(n, d, t)$ must satisfy one affine constraint (as in Equation (8)) and a total of approximately $n^t$ homogeneous constraints—one for each nonempty monomial in $\boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_n$ up to degree $t$. Therefore, even taking into account the fact that the effective degree of the monomials should equal $n$, it is plausible that as long as $d \gg t$, the space $\mathcal{E}(n, d, t)$ will contain numerous error-reduction polynomials. Indeed, this is confirmed simply by comparing the degrees of freedom with the number of homogeneous constraints, provided that these constraints do not contradict the affine constraint.

## 2.2 Our Results

This wealth of error-reduction polynomials suggests that there may be other polynomials which outperform the corresponding Richardson polynomial within the same space, $\mathcal{E}(n, d, t)$. For the purpose of error reduction, the properties we consider important are the polynomial's degree, sparsity, and the $L_1$-norm of its coefficients.[6] We will elaborate on the reasons for this in the next section (Section 2.3). However, even at this stage, we can present our main results, effectively demonstrating that the Richardson polynomial is essentially optimal for space-bounded derandomization. More precisely, we prove that indeed eliminating the error terms of degree at most $t$ requires a degree $d > t$, and provide lower bounds on the sparsity and $L_1$-norm of *all* error-reduction polynomials. Interestingly, our sparsity lower bound is proven only for the non-commutative setting.

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[6]As usual, for $Q(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_I c_I \boldsymbol{x}^I$, $L_1(Q) = \sum_I |c_I|$ and $L_0(Q) = |\{I \mid c_I \neq 0\}|$.

**Theorem 2.1** (main result; informal). *For every $n > d > t \geq 1$ and $\mathsf{Q} \in \mathcal{E}(n, d, t)$ it holds that*

$$L_0(\mathsf{Q}) \geq \frac{\binom{n}{t}}{\binom{d}{t}} \geq \left(\frac{n}{d}\right)^t,$$

$$L_1(\mathsf{Q}) \geq 2\left(\frac{n}{d}\right)^t - 1,$$

*where the lower bound on $L_0(\mathsf{Q})$ holds in the non-commutative setting. Moreover, for every $t \geq d$, $\mathcal{E}(n, d, t) = \emptyset$.*

The proofs of the bounds summarized in Theorem 2.1 are detailed in Proposition 3.4, Theorem 6.6, and Theorem 7.3, which address the degree bound, $L_1$-bound, and $L_0$-bound, respectively.

For applications to space-bounded derandomization, one considers $n$ as the primary parameter, tending towards infinity, while $t$ increases more gradually with $n$, in particular, $t = \mathrm{poly}(\log n)$ (see Section 2.3). In this context, Theorem 2.1 suggests that the error-reduction polynomial should have a degree $d > t$, with its sparsity and $L_1$-norm being at least $n^{\Omega(t)}$. Moreover, achieving significantly reduced norms is only possible with very high degrees, specifically $d = n^{1-o(1)}$, which are infeasible in the space-bounded setting.

To conclude, we observe another corollary from our abstract framework: error reduction procedures that rely on polynomials—specifically, those derived from optimization theory—yield *weighted* PRGs rather than standard PRGs. The presence of negative numbers directly stems from the homogeneous linear constraints that must be met. Although intuitively clear, we believe this formalizes and clarifies the essential role of negative weights in error-reduction procedures, independent of their method of construction.

**Correlated errors.** As noted, Section 2.3 presents how error-reduction polynomials are utilized in the error-reduction procedure. In Section 2.4, inspired by the recent work of Chen, Hoza, Lyu, Tal, and Wu [CHL$^+$23], we revisit our initial assumption regarding the independence of errors. This assumption proves to be excessively pessimistic since correlations between errors *can* indeed be introduced. Notably, such correlations already manifest in existing constructions as a natural consequence of the recursive structure of Nisan's PRG. This would require us to revise our abstract framework to include algebraic dependencies between the variables. Quantitative bounds comparable to those obtained in Theorem 2.1 continue to hold, although the proof is more challenging.

## 2.3 The WPRGs Error Reduction Framework

We are given an $\varepsilon_0$-PRG $G_0$ that fools ROBPs of length $n$, with seed length $s_0 = s_0(n, \varepsilon_0)$.[7]
Say we are equipped with a suitable error-reduction polynomial

$$Q(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{\mathbf{I} \in \mathcal{I}} c_{\mathbf{I}} \boldsymbol{x}^{\mathbf{I}},$$

and our guarantee is that if, for any $i \geq 2$ it holds that $\left\| \widetilde{A^i} - A^i \right\| \leq \varepsilon_0$, then

$$\left\| Q(A, \widetilde{A^2}, \ldots, \widetilde{A^n}) - A^n \right\| \leq \tau$$

for some guaranteed bound $\tau$ that depends on $\varepsilon_0$ and the parameters of $Q$ discussed soon. We
do not explicitly state which (sub-multiplicative) norm are we using, since different norms
can be useful, and indeed various norms were used in the literature, depending on the model
under consideration. When $G_0$ indeed fools arbitrary ROBPs, and we wish our error-reduced
WPRG $G$ to fool arbitrary ROBPs as well, then the matrices we should handle are arbitrary
stochastic matrices. But of course, one can think of $G_0$ as fooling more *structured* ROBPs,
say regular ROBPs. In this case, one needs to guarantee error reduction only for doubly
stochastic matrices. One can observe that in order to establish *lower bounds* for general
stochastic matrices, it suffices to handle real numbers.

The following natural parameters of $Q$ will be paramount in establishing our results.

- The $\ell_1$-**norm** of its coefficient vector, namely $L_1(Q) = \sum_{\mathbf{I} \in \mathcal{I}} |c_{\mathbf{I}}|$.

- Its **total degree**, $d = \max_{\mathbf{I} \in \mathcal{I}} |\mathbf{I}|$, where for $\mathbf{I} = (i_1, \ldots, i_n)$ we define $|\mathbf{I}| = \sum_{k=1}^{n} i_k$.

- Its **sparsity**, namely $L_0(Q) = \#\{\mathbf{I} \in \mathcal{I} : c_{\mathbf{I}} \neq 0\}$.

We will use the parameter $t$ to denote the number of **vanishing levels**. That is, the largest
integer such that $Q(a, \widetilde{a^2}, \ldots, \widetilde{a^n})$ contains only monomials in $\boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{\varepsilon}_n$ with total degree at
least $t + 1$. We think of $t = t(d)$, and naturally, for some designated $t$ (which would mean,
for a designated $\varepsilon$), we would want to minimize $d$. For example, $\mathsf{Rich}_k$ satisfies $d = 2k + 1$,
and $t = k$.

**The error reduction step.** Under any choice of sub-multiplicative norm, we have that

$$\left\| Q(A, \widetilde{A^2}, \ldots, \widetilde{A^n}) - A^n \right\| = O\left( L_1(Q) \cdot (\varepsilon_0 n)^{t+1} \right),$$

assuming $\varepsilon_0 < \frac{1}{n}$. However, the error reduction capabilities of $Q$ on stochastic matrices or
subclasses of such may be better than the bound above (possibly under a suitable choice of
norm). We will consider a few examples from the space-bounded literature below.

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[7]Here and throughout, for simplicity, we suppress the dependence on the width $w$ (or one can think of
$w = O(1)$) and assume that the alphabet of $G_0$ is $\Sigma = \{0, 1\}$.

**The WPRG step.** Equipped with $G_0$ and $\mathsf{Q}$, we are ready to perform the error reduction, and get a WPRG $G$ that fools the same function class, but with a better error dependence. The construction follows by evaluating each term $\widetilde{A^i}$ by a suitable instantiation of $G_0$. To approximate an entire *monomial*, rather than choosing independent seeds for each invocation of $G_0$, an "auxiliary PRG" $G_{\mathrm{aux}}$ (say, the [INW94] PRG) is employed. The auxiliary PRG needs to fool length-$d$ ROBPs with alphabet $\{0,1\}^{s_0}$ and error $O\left(\frac{\varepsilon}{L_1(\mathsf{Q})}\right)$. The seed for $G$ then comprises a seed for $G_{\mathrm{aux}}$, and an additional seed of length $O(\log L_0(\mathsf{Q}))$ to index a specific monomial.[8] Plugging-in the parameters for $G_{\mathrm{aux}}$,[9] the seed length of $G$ then becomes

$$s(n, \varepsilon_0, \varepsilon) = O\left(s_0(n, \varepsilon_0) + \log L_0(\mathsf{Q}) + \log d \cdot \left(\log d + \log L_1(\mathsf{Q}) + \log \frac{1}{\varepsilon}\right)\right). \qquad (14)$$

In the typical setting where $\log d$ is (at most) logarithmic in $\log(1/\varepsilon)$, we can write

$$s(n, \varepsilon_0, \varepsilon) = O(s_0(n, \varepsilon_0)) + \widetilde{O}\left(\log\left(L_1(\mathsf{Q})L_0(\mathsf{Q})\right) + \log \frac{1}{\varepsilon}\right). \qquad (15)$$

The full details can be found in [PV21, CDR+21].

Let us illustrate how this framework is employed in [PV21, CDR+21] for ROBPs, and in [PV21] for permutation ROBPs. For *arbitrary* ROBPs, we take Nisan's generator as $G_0$, and use $\mathsf{Rich}_k$ as the error-reduction polynomial for $k = O(t) = O(d)$, and we have that $\varepsilon = n \cdot (n \cdot \varepsilon_0)^{t+1}$, so $t = O\left(\frac{\log(n/\varepsilon)}{\log(1/(n\varepsilon_0))}\right)$. Indeed, this can be inferred both from a simple $L_1$ bound, as above, or by employing the "optimization-based" analysis that was described in Section 1.2. Then, choosing $\varepsilon_0 \approx \frac{1}{n}$, and noticing that that $L_1(\mathsf{Q}), L_0(\mathsf{Q}) = n^{O(k)}$, we get a WPRG with seed length $s = O(\log^2 n) + \widetilde{O}(\log(1/\varepsilon))$. Observe that it is indeed a WPRG and not a PRG, since inherently, some $c_{\mathbf{I}}$-s are negative.

For *permutation* branching programs, one can start with $s_0(n, \varepsilon_0) = O(\log n \cdot \log(1/\varepsilon_0))$.[10] Although [PV21] still uses $\mathsf{Rich}$ as the error reduction polynomial, they show by a sophisticated argument (following [AKM+20]), that the error $\varepsilon$ satisfies $\varepsilon \approx n \cdot (\log n \cdot \varepsilon_0)^{t+1}$ under a suitable choice of norm. And so, in the error reduction step, they obtain an improvement over the $L_1$-norm based analysis. Plugging-in parameters, the resulting WPRG has seed of length

$$s = \widetilde{O}\left(\log n \cdot \log(1/\varepsilon_0) + \frac{\log(n/\varepsilon)}{\log(1/\varepsilon_0)} \cdot \log n + \log \frac{1}{\varepsilon}\right). \qquad (16)$$

---

[8]We skip the details of how to compute the WPRG itself, based on $\mathsf{Q}$, $G_0$, and $G_{\mathrm{aux}}$. Recall that given a seed, we need to output an *instruction* in $\Sigma^n$, together with the instruction's *weight*. The complete details appear in [PV21, CDR+21] for $\mathsf{Q} = \mathsf{Rich}$, but a similar analysis extends to an arbitrary $\mathsf{Q}$.

[9]The approach in [Hoz21] can be used to eliminate the doubly-logarithmic factors, which in our case amounts to the $\log d$ multiplicative factor.

[10]For $w = O(1)$, this follows from the PRGs of [KNP11, De11, Ste12]. When $w$ is non-constant, a better seed can be obtained by using the PRGs in [BRRY14, HPV21].

Balancing parameters, we see that the best choice of parameters becomes $\varepsilon_0 = 2^{-\sqrt{\log(n/\varepsilon)}}$, and the seed length amounts to $s = \widetilde{O}(\log n \cdot \sqrt{\log(n/\varepsilon)} + \log(1/\varepsilon))$. In particular, for error $\varepsilon = \frac{1}{\text{poly}(n)}$, we get $s = \widetilde{O}(\log^{3/2} n)$. A similar balancing of parameters appears in the more recent work of [CHL$^+$23], which will be discussed soon, when we talk about the potential benefit of working with correlated errors.

**The WPRG seed length using our bound.** Having seen two explicit installations of Equation (15), let us inspect what our lower bounds from Theorem 2.1 tell us about the seed length that can be obtained by using the [PV21, CDR$^+$21] framework. Up to poly-logarithmic factors, we get

$$s(n, \varepsilon_0, \varepsilon) = s_0(n, \varepsilon_0) + t(n, \varepsilon_0, \varepsilon) \cdot \log n + \log \frac{1}{\varepsilon}.$$

And indeed, when $\varepsilon = O(L_1(Q) \cdot (n\varepsilon_0)^{t+1})$ (which is what one gets from the simple $L_1$ bound), $t = \Omega\left(\frac{\log(1/\varepsilon)}{\log(1/(n\varepsilon_0))}\right)$, roughly matching [PV21, CDR$^+$21] for standard ROBPs. In the case of permutation branching programs, wherein $t = \Omega\left(\frac{\log(n/\varepsilon)}{\log(1/(\log n \cdot \varepsilon_0))}\right)$, the lower bound on the seed becomes, up to poly-logarithmic factors, $s_0(n, \varepsilon_0) + \frac{\log(n/\varepsilon)}{\log(1/\varepsilon_0)} + \log \frac{1}{\varepsilon}$, matching Equation (16) for the suitable $s_0(n, \varepsilon_0)$.

To conclude this section, we make the following important observations and comments:

- Even when the $L_1$-norm does not play a role in the *error reduction* itself, say in the case for permutation and regular branching programs, it does manifest itself when employing it to get a WPRG, as evident from Equation (16), where we still had to take $L_1(Q) = n^{\Omega(k)}$.[11]

- If $d$ were extremely small, and we could still keep $t$ sufficiently large, one can consider not using $G_{\text{aux}}$, which would lead to seed length $O(d \cdot s_0(n, \varepsilon_0) + \log L_0(Q))$. However, the bound on $d$ (as well as on $L_1(Q)$ and $L_0(Q)$) from Theorem 2.1 imply that we *need* to use $G_{\text{aux}}$, and that even a very good $s_0(n, \varepsilon_0)$ will not lead to a near-optimal dependence on $\varepsilon$ (as apparent from Equation (14)).

- It is possible that a deeper understanding of the structure of the error reduction polynomial $Q$, beyond the parameters we have bounded (specifically, $L_0(Q)$, $L_1(Q)$, and the degree of $Q$), could lead to a more refined analysis of the error reduction procedure described above (or of other error reduction procedures) when instantiated with

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[11]Indeed, in the non black-box setting, when we're not aiming for a PRG, [AKM$^+$20] obtains a high-precision algorithm that approximates random walks over Eulerian directed graphs and runs in space $\widetilde{O}(\log n)$.

Q. However, a general barrier result, like the one obtained in this work, inevitably relies on shared characteristics across all instances it aims to address and cannot be expected to be tailored to any specific instance. In this spirit, it is worth noting that several other properties of polynomials, which our result does not fully exploit, may exist—such as the possibility that *small* coefficients could have a damping effect on the error, among others.

## 2.4 Revisiting Our Underlying Assumption: Correlated Errors

In this section, we reassess the foundational assumption of our abstract framework concerning the independence of errors. This assumption proves to be excessively pessimistic since correlations between errors *can* indeed be introduced. Notably, such correlations already manifest in existing constructions as a natural consequence of the recursive structure of Nisan's PRG, and were exploited in error reduction procedures by Chen, Hoza, Lyu, Tal, and Wu [CHL$^+$23] for width-3 and for bounded-width regular ROBPs.

To illustrate the role of correlations within our abstract framework, let us reconsider Equation (1) and focus on the term $\widetilde{A^3}$. Due to Nisan's recursive setup, this entry of the matrix is constructed either by combining $\widetilde{A^2}A$ or $A\widetilde{A^2}$, depending on the implementation. More broadly, $\widetilde{A^k}$ is generated by multiplying approximations of the powers-of-two of $A$ that align with the bits in the binary representation of $k$. For instance, $\widetilde{A^{11}}$ is the product of the matrices $A, \widetilde{A^2}$, and $\widetilde{A^8}$, in varying orders.

This structure leads to a scenario where the independently generated error terms are $\varepsilon_{2^i}$ for $i = 1, 2, ..., \lfloor \log_2 n \rfloor$. The remaining, vast majority of the error terms, however, are derived from these and from the number $a$ that we aim to approximate, thereby introducing dependencies. For example: $a^3 + \varepsilon_3 = \widetilde{a^3} = a\widetilde{a^2} = a(a^2 + \varepsilon_2) = a^3 + a\varepsilon_2$, thus, $\varepsilon_3 = a\varepsilon_2$. Similar calculations reveal that $\varepsilon_5 = a\varepsilon_4$. However, the dependencies gradually become more complex, e.g., $\varepsilon_6 = a^2\varepsilon_4 + a^4\varepsilon_2 + \varepsilon_2\varepsilon_4$.

These correlations effectively reduce the number of independent error terms from $n$ to $\log n$. This raises an important question: do our impossibility results, given by Theorem 2.1, still apply in the presence of correlations? At first it may appear that the answer is no, as the correlations can facilitate the development of error-reduction procedures that are unattainable under the assumption of independent errors. To illustrate this point, consider the fact that no error-reduction polynomial of degree-2 exists when assuming independent errors. However, this is not the case when errors are correlated.

To see this, let's revisit our initial example where $n = 4$, but now with $d = 2$. The general form of the polynomial is given by

$$A\boldsymbol{x}_1\boldsymbol{x}_3 + B\boldsymbol{x}_2^2, \tag{17}$$

16

where again we omit the monomial $\boldsymbol{x}_4$ with hindsight. Under the assumption of independent errors, such a polynomial cannot eliminate the linear error terms because the inevitable homogeneous constraints $A = B = 0$ lead to the zero polynomial. However, with the introduction of correlations as discussed earlier, the algebraic relation $\boldsymbol{x}_3 = \boldsymbol{x}_1\boldsymbol{x}_2$ emerges within our revised abstract framework. Consequently, Equation (17) transforms into

$$A\boldsymbol{x}_1^2\boldsymbol{x}_2 + B\boldsymbol{x}_2^2 = Aa^2(a^2 + \boldsymbol{\varepsilon}_2) + B(a^2 + \boldsymbol{\varepsilon}_2)^2 = (A + B)a^4 + (A + 2B)a^2\boldsymbol{\varepsilon}_2 + B\boldsymbol{\varepsilon}_2^2.$$

This allows for the elimination of the linear error term. It is achieved by setting $A = 2$ and $B = -1$, resulting in the polynomial $2\boldsymbol{x}_1\boldsymbol{x}_3 - \boldsymbol{x}_2^2$.

Although correlations significantly reduce the number of independent errors terms, the complex nature of the correlations leads to some unexpected effects due to "monomial collapse", which arises from the algebraic relations induced by these correlations. To see this collapsing phenomena in action, consider again the case of degree $d = 2$ polynomials, now with $n = 5$. The relevant monomials are $\boldsymbol{x}_1\boldsymbol{x}_4$, $\boldsymbol{x}_2\boldsymbol{x}_3$, and $\boldsymbol{x}_5$. However, the latter monomial, $\boldsymbol{x}_5$, collapses into the first monomial $\boldsymbol{x}_1\boldsymbol{x}_4$, resulting in a loss of one degree of freedom. This leaves us with a polynomial of the form

$$\begin{aligned} A\boldsymbol{x}_1\boldsymbol{x}_4 + B\boldsymbol{x}_2\boldsymbol{x}_3 &= A\boldsymbol{x}_1\boldsymbol{x}_4 + B\boldsymbol{x}_1\boldsymbol{x}_2^2 \\ &= Aa(a^4 + \boldsymbol{\varepsilon}_4) + Ba(a^2 + \boldsymbol{\varepsilon}_2)^2 = (A + B)a^5 + 2Ba^3\boldsymbol{\varepsilon}_2 + Aa\boldsymbol{\varepsilon}_4 + Ba\boldsymbol{\varepsilon}_2^2, \end{aligned}$$

from which it is evident that eliminating the linear error terms requires setting $A = B = 0$, contradicting the affine constraint $A + B = 1$. For $n = 7$, the collapses become even more significant, leaving us with only a single monomial, $\boldsymbol{x}_1\boldsymbol{x}_2\boldsymbol{x}_4$. As a result, no error reduction procedure exists.

Having said that, to further appreciate the power of the correlated errors setting, we note that in the latter it is possible to construct low degree polynomials in which also the quadratic error terms vanish. The smallest such example is when $n = 6$ and the degree $d = 4$. In this case, the polynomial $3\boldsymbol{x}_1^3\boldsymbol{x}_3 - 3\boldsymbol{x}_3^2 + \boldsymbol{x}_2^3$ when expressed in terms of $a$ and $\boldsymbol{\varepsilon}_2$ simplifies to $a^6 + \boldsymbol{\varepsilon}_2^3$. One requires significantly higher degrees, as well as a higher value of $n$, to eliminate the quadratic terms in the non-correlated setting.

In summary, although the number of independent error terms in the correlated setting is significantly reduced from $n$ to $\log n$, resulting in only approximately $(\log n)^t$ linear constraints rather than $n^t$, the degrees of freedom are also reduced. More importantly, the structure of these degrees of freedom remains elusive, making it more difficult to argue about in general.

Another way to view the correlated setting is as a mechanism that effectively "buys" a factor of approximately $\log n$ in the degree, albeit in a complex manner. For instance, the degree-1 monomial $\boldsymbol{x}_{15}$ is equivalent to the degree-4 monomial $\boldsymbol{x}_1\boldsymbol{x}_2\boldsymbol{x}_4\boldsymbol{x}_8$. Generally,

a variable $\boldsymbol{x}_k$ is equivalent to a monomial whose degree is the count of '1's in the binary representation of $k$. This $\log n$ factor would have had far-reaching consequences within the context of space-bounded derandomization, as can be inferred from Section 2.3. Nonetheless, control over this aspect is limited due to the intricate nature of the correlations. Given the above discussion and examples, it is not a priori clear whether correlated errors can lead to more effective error-reduction procedures.

As suggested in earlier sections, our second main result is a negative answer to this question. Somewhat surprisingly, we establish bounds that are comparable to those derived in Theorem 2.1 for the correlated setting as well, the only difference being a slightly weaker bound on the degree (see Theorem 3.5). Nonetheless, the proofs turned out to be more complex.

**More general decompositions of correlated errors.** Although we are mainly focused on correlated errors specifically in settings similar to Nisan's, some of our results also work for a more general decomposition. For example, in a more generalized setting, the $\widetilde{A^k}$-s may be generated by multiplying $A, \widetilde{A^3}, \widetilde{A^9}, \widetilde{A^{27}}, \cdots$. In this case, the degree-1 monomial $\boldsymbol{x}_{15}$ is equivalent to $\boldsymbol{x}_3^2 \boldsymbol{x}_9$. Theorem 6.6 and Theorem 7.3 also work for such more generalized decompositions with certain properties. Detailed discussions can be found in Section 6 and Section 7.

**A simultaneous bound.** Finally, in Section 8, we address the feasibility of the following scenario: What if the large $L_1$ bound is concentrated only on monomials of small degree, whereas restricted to the high-degree monomials, the $L_1$ norm is small? This could conceivably offer a way to bypass the barriers towards constructing better WPRGs, presented in Section 2.3. Unfortunately, as shown by Theorem 8.1, this cannot be the case.

# 3 Bounds On Error Reduction Polynomials

In this section we formally state our derived bounds on error reduction polynomials, namely the bounds on their degree, $L_1$ and $L_0$ norms. The proofs for these bounds are included in the following sections.

**Preliminaries.** We make use of the following notation and definitions. Unless stated otherwise, all logarithms in this paper are taken to the base 2. The set of natural numbers is $\mathbb{N} = \{0, 1, 2, \ldots\}$. For $n \in \mathbb{N}$, $n \geq 1$, we use $[n]$ to denote the set $\{1, \ldots, n\}$. For a vector $\mathbf{I} = (i_1, \ldots, i_n)$ of non-negative integers, we denote $|\mathbf{I}| = \sum_{k=1}^{n} i_k$ and $w(\mathbf{I}) = \sum_{k=1}^{n} k \cdot i_k$. For $\boldsymbol{x} = (\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$, let $R[\boldsymbol{x}] = R[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ be the ring of polynomials in variables $\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n$

and coefficients in the ring $R$. For $\mathsf{Q} \in R[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ we use $\deg(\mathsf{Q})$ to denote the total degree of $\mathsf{Q}$, and $\deg_{x_i}(\mathsf{Q})$ the denote the individual degree in $x_i$. We will use $\boldsymbol{x}^{\mathbf{I}}$ to denote the monomial $\prod_{k=1}^n \boldsymbol{x}_k^{i_k} \in R[\boldsymbol{x}]$.

We now provide formal definitions that capture the concept of an error-reduction polynomial in the different scenarios presented earlier.

**Definition 3.1** (error reduction polynomial; uncorrelated errors). Let $n, d, t \in \mathbb{N}$ be such that $d < n$. We say that a polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \in \mathbb{R}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ is a $(d, t)$-*error reduction polynomial (for uncorrelated errors)* if $\mathsf{Q}$ is of total degree at most $d$, and there exist polynomials $\{c_{\mathbf{I}}(\boldsymbol{a})\}_{\mathbf{I}=(i_2,\ldots,i_n)\in\mathbb{N}^{n-1}} \subseteq \mathbb{R}[\boldsymbol{a}]$ such that

$$\mathsf{Q}\left(\boldsymbol{a}, \boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{a}^n + \boldsymbol{\varepsilon}_n\right) = \boldsymbol{a}^n + \sum_{\substack{\mathbf{I}=(i_2,\ldots,i_n)\in\mathbb{N}^{n-1} \\ |\mathbf{I}|>t}} c_{\mathbf{I}}(\boldsymbol{a})\, \boldsymbol{\varepsilon}^{\mathbf{I}}, \tag{18}$$

where $\boldsymbol{\varepsilon} = (\boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{\varepsilon}_n)$ and the equality is in the polynomial ring $\mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{\varepsilon}_n]$.

**Remark 3.2.** We require that $d < n$ since otherwise for $d \geq n$ the polynomial $\boldsymbol{x}_1^n$ would be a trivial $(d, t)$-error reduction polynomial for every $t \in \mathbb{N}$.

**Definition 3.3** (error reduction polynomial; correlated errors). Let $n, d, t \in \mathbb{N}$ be such that $d < n$ and set $s = \lfloor \log n \rfloor$. We say that a polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \in \mathbb{R}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ is a $(d, t)$-*error reduction polynomial for correlated errors* if its total-degree is at most $d$, and there exist polynomials $\{c_{\mathbf{I}}(\boldsymbol{a})\}_{\mathbf{I}\in\mathbb{N}^s} \subseteq \mathbb{R}[\boldsymbol{a}]$ such that the following equality holds over $\mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s]$:

$$\mathsf{Q}\left(\widetilde{\boldsymbol{a}^1}, \widetilde{\boldsymbol{a}^2}, \widetilde{\boldsymbol{a}^3}, \ldots, \widetilde{\boldsymbol{a}^n}\right) = \boldsymbol{a}^n + \sum_{\substack{\mathbf{I}=(i_1,\ldots,i_s)\in\mathbb{N}^s \\ |\mathbf{I}|>t}} c_{\mathbf{I}}(\boldsymbol{a})\, \boldsymbol{\varepsilon}^{\mathbf{I}}, \tag{19}$$

where $\boldsymbol{\varepsilon} = (\boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s)$ and for every $r \in [n]$ such that $r = \sum_{i=0}^s 2^i r_i$ for $r_i \in \{0, 1\}$,

$$\widetilde{\boldsymbol{a}^r} = \boldsymbol{a}^{r_0} \prod_{i=1}^s \left(\boldsymbol{a}^{2^i} + \boldsymbol{\varepsilon}_i\right)^{r_i} \in \mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s].$$

We say that a polynomial $\mathsf{Q}(\boldsymbol{x}_0, \boldsymbol{x}_1, \ldots, \boldsymbol{x}_s) \in \mathbb{R}[\boldsymbol{x}_0, \boldsymbol{x}_1, \ldots, \boldsymbol{x}_s]$ is a *reduced $(d, t)$-error reduction polynomial (for correlated errors)* if each of its individual degrees is at most $d$, and there exist polynomials $\{c_{\mathbf{I}}'(\boldsymbol{a})\}_{\mathbf{I}\in\mathbb{N}^s} \subseteq \mathbb{R}[\boldsymbol{a}]$ such that the following equality holds over $\mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s]$:

$$\mathsf{Q}\left(\widetilde{\boldsymbol{a}^1}, \widetilde{\boldsymbol{a}^2}, \widetilde{\boldsymbol{a}^4}, \ldots, \widetilde{\boldsymbol{a}^{2^s}}\right) = \boldsymbol{a}^n + \sum_{\substack{\mathbf{I}\in\mathbb{N}^s \\ |\mathbf{I}|>t}} c_{\mathbf{I}}'(\boldsymbol{a})\, \boldsymbol{\varepsilon}^{\mathbf{I}}, \tag{20}$$

where $\boldsymbol{\varepsilon} = (\boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s)$, $\widetilde{\boldsymbol{a}^1} = \boldsymbol{a}$, and for every $i \in [s]$

$$\widetilde{\boldsymbol{a}^{2^i}} = \boldsymbol{a}^{2^i} + \varepsilon_i \in \mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s].$$

We can now give the formal statements for [Theorem 2.1]. We begin with the bounds on the degree of an error reduction polynomial, which are proved in [Section 5].

**Proposition 3.4** (degree bound, uncorrelated errors). *Let $n, d, t \in \mathbb{N}$ and let $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ be a $(d, t)$-error reduction polynomial for uncorrelated errors. Then, $d > t$.*

As mentioned in [Section 2.4], for the correlated errors setting we have a slightly weaker bound:

**Theorem 3.5** (degree bound, correlated errors). *Let $n, d, t \in \mathbb{N}$ be such that $t < n$ and let $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \in \mathbb{R}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ be a $(d, t)$-error reduction polynomial for correlated errors. Then, $d > t/2$.*

In [Section 6] we prove the following lower bound on the $L_1$-norm:

**Theorem 3.6** ($L_1$ bound). *Let $n, d, t \in \mathbb{N}$ be such that $t < n$. Then, for every $(d, t)$-error reduction polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$, correlated or uncorrelated,*

$$L_1(\mathsf{Q}) \geq 2 \left( \frac{n}{d} \right)^t - 1.$$

An analogue definition for an error reduction polynomial for the non-commutative setting is given in [Section 7], where we also prove the following lower bound on the $L_0$ norm:

**Theorem 3.7.** *Let $n > d' \geq d > t \geq 1$. If $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \in \mathbb{R}_{\mathsf{nc}}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ is a $(d', t)$-error reduction polynomial (for the non-commutative setting), such that $\deg_{\boldsymbol{x}_1}(\mathsf{Q}) \leq d$, then*

$$L_0(\mathsf{Q}) \geq \frac{\binom{n}{t}}{\binom{d}{t}}.$$

# 4 Reduced Forms of Error Reduction Polynomials

The following claim demonstrates the relationship between the concepts of $(d, t)$-error reduction polynomials for correlated error, and reduced $(d, t)$-error reduction polynomials for correlated errors, defined in [Definition 3.3].

**Claim 4.1.** *For every $(d, t)$-error reduction polynomial for correlated errors $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ there exists a polynomial $\mathsf{Q}'(\boldsymbol{x}_0', \boldsymbol{x}_1', \ldots, \boldsymbol{x}_s')$ which is a reduced $(d, t)$-error reduction polynomial for correlated errors.*

*Moreover, each monomial $\prod_{j=0}^{s} (\boldsymbol{x}_j')^{v_j}$ which appears in $\mathsf{Q}'$ satisfies $\sum_{j=0}^{s} 2^j \cdot v_j = w(\mathbf{I})$ for some $\mathbf{I} \in \mathbb{N}^n$ such that $\boldsymbol{x}^{\mathbf{I}}$ appears in $\mathsf{Q}$.*

*Proof.* For every monomial $\boldsymbol{x}^{\mathbf{I}}$ for $\mathbf{I} \in \mathbb{N}^n$ which appears in $\mathsf{Q}$ we will have an equivalent monomial of $\mathsf{Q}'$. The conversion is done by using the rule $\boldsymbol{x}_r = \prod_{i \in \{0,\ldots,s\}|r_i=1} \boldsymbol{x}'_i$ where for every $r \in [n]$ $r_0, \ldots, r_s \in \{0,1\}$ are the binary representation of $r$, $r = \sum_{i=0}^{s} 2^i r_i$. If we convert every monomial $\boldsymbol{x}^{\mathbf{I}}$ of $\mathsf{Q}$ according to this rule, every variable $\boldsymbol{x}'_i$ for $i \in \{0, \ldots, s\}$ will have individual degree at most $d$ since $\boldsymbol{x}'_i$ appears at most once when substituting each of the variables of $\boldsymbol{x}^{\mathbf{I}}$. It is immediate that if $\mathsf{Q}$ satisfied Equation (19) then $\mathsf{Q}'$ satisfies Equation (20). As for the moreover part of the claim, one can observe that the described conversion transforms each monomial $\boldsymbol{x}^{\mathbf{I}}$ in $\mathsf{Q}$ to a monomial of the form $\prod_{j=0}^{s}(\boldsymbol{x}'_j)^{v_j}$ with $\sum_{j=0}^{s} 2^j \cdot v_j = w(\mathbf{I})$. $\qquad\square$

Occasionally, it may be convenient to assume that all the monomials $\boldsymbol{x}^{\mathbf{I}}$ which appear in an error-reduction polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ satisfy $w(\mathbf{I}) = n$. To facilitate this, the following lemma will prove to be useful.

**Lemma 4.2.** *Let* $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{v \in \mathbb{N}^n} \gamma_v \boldsymbol{x}^v$ *be a* $(d,t)$-*error reduction polynomial for uncorrelated (resp. correlated) errors. Then, the polynomial*

$$\mathsf{Q}'(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{\substack{v \in \mathbb{N}^n \\ w(v)=n}} \gamma_v \boldsymbol{x}^v$$

*is also a* $(d,t)$-*error reduction polynomial for uncorrelated (resp. correlated) errors.*

*Proof.* Set $s = \lfloor \log n \rfloor$. We will prove the lemma by establishing the following fact. For any polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ if

$$\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{v \in \mathbb{N}^n} \gamma_v \boldsymbol{x}^v, \tag{21}$$

satisfies Equation (18), then

$$\mathsf{Q}'(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{v \in \mathbb{N}^n | w(v)=n} \gamma_v \boldsymbol{x}^v \tag{22}$$

also satisfies Equation (18). Before we turn to prove this fact, we argue that indeed it implies the lemma. First, in the case of uncorrelated errors the implication is trivial, since clearly if $\mathsf{Q}$ is of total degree at most $d$ then so is $\mathsf{Q}'$, and we have that like $\mathsf{Q}$, $\mathsf{Q}'$ also satisfies Equation (18) – and so $\mathsf{Q}'$ is a $(d,t)$-error reduction polynomial.

Secondly, we argue that in the case of correlated errors the implication holds as well. This follows by observing that a polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ satisfies Equation (19) if and only if the polynomial $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ satisfies Equation (18), where the polynomial $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ is obtained from $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ by substituting every variable $\boldsymbol{x}_r$, for $r \in [n]$, which appears in $\mathsf{Q}$, with the product $\prod_{i \in \{0,\ldots,s\}|r_i=1} \widehat{\boldsymbol{x}}_{2^i}$ where $r_0, \ldots, r_s \in \{0,1\}$ are the binary representation of $r$, $r = \sum_{i=0}^{s} 2^i r_i$. The observation is immediate by inspecting Equation (19). Thus,

if $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ is a $(d,t)$-error reduction polynomial for correlated errors then it satisfies Equation (19), and so $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ satisfies Equation (18). Now by the assumed fact, we have that $(\widehat{\mathsf{Q}})'(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ also satisfies Equation (18). Note that $(\widehat{\mathsf{Q}})' = \widehat{(\mathsf{Q}')}$ as the substitution rule defining $\widehat{\mathsf{Q}}$ maintains the weight $w(v)$ of a monomial $\boldsymbol{x}^v$. Hence, equivalently, we have that $\widehat{(\mathsf{Q}')}$ satisfies Equation (18). Therefore, again by the observation, $\mathsf{Q}'(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ satisfies Equation (19), and so it is a $(d,t)$-error reduction polynomial for correlated errors.

Now, we can proceed to proving the claimed fact. Towards that, we assume that $\mathsf{Q}$ satisfies Equation (18), and we write

$$\mathsf{Q}'(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{v \in \mathbb{N}^n : w(v) = n} \gamma_v \boldsymbol{x}^v = \sum_{v \in \mathbb{N}^n} \gamma'_v \boldsymbol{x}^v, \tag{23}$$

where for every $v$, $\gamma'_v = \gamma_v$ if $w(v) = n$, and $\gamma'_v = 0$ otherwise. In order to show that $\mathsf{Q}'$ also satisfies Equation (18), let us first define two polynomials $\mathsf{P}, \mathsf{P}' \in \mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{\varepsilon}_n]$ by

$$\mathsf{P} \triangleq \mathsf{Q}(\boldsymbol{a}, \boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{a}^n + \boldsymbol{\varepsilon}_n) = \sum_{v \in \mathbb{N}^n} \gamma_v \, \boldsymbol{a}^{v_1} \prod_{i=2}^{n} (\boldsymbol{a}^i + \boldsymbol{\varepsilon}_i)^{v_i}, \tag{24}$$

and similarly

$$\mathsf{P}' \triangleq \mathsf{Q}'(\boldsymbol{a}, \boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{a}^n + \boldsymbol{\varepsilon}_n) = \sum_{v \in \mathbb{N}^n} \gamma'_v \, \boldsymbol{a}^{v_1} \prod_{i=2}^{n} (\boldsymbol{a}^i + \boldsymbol{\varepsilon}_i)^{v_i}. \tag{25}$$

To simplify notation, through the remaining part we will use $[M]_f$ to denote the coefficient of the monomial $M$ in a polynomial $f$. As $\mathsf{Q}$ satisfies Equation (18) we have that for every $j \in \mathbb{N}$ and $\mathbf{I} = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1}$ such that $|\mathbf{I}| \leq t$,

$$\left[\boldsymbol{a}^j \boldsymbol{\varepsilon}^{\mathbf{I}}\right]_{\mathsf{P}} = \begin{cases} 1 & j = n \text{ and } \mathbf{I} = 0; \\ 0 & \text{otherwise.} \end{cases}$$

Moreover, a simple inspection of the RHS of Equation (24) and Equation (25) yields

$$[\boldsymbol{a}^n]_{\mathsf{P}'} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = n}} \gamma'_v = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = n}} \gamma_v = [\boldsymbol{a}^n]_{\mathsf{P}} = 1, \tag{26}$$

and that for every $j \neq n$,

$$\left[\boldsymbol{a}^j\right]_{\mathsf{P}'} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = j}} \gamma'_v = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = j}} 0 = 0.$$

Furthermore, for every $j \in \mathbb{N}$ and $\mathbf{I} = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1}$ such that $0 < |\mathbf{I}| \leq t$,

$$\left[a^j \varepsilon^{\mathbf{I}}\right]_{\mathsf{P}'} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = j + \sum_{i=2}^n i I_i}} \gamma_v' \prod_{i=2}^n \binom{v_i}{I_i}.$$

Thus, if $j$ and $\mathbf{I}$ satisfy in addition that $j + \sum_{i=2}^n i I_i = n$, then

$$\left[a^j \varepsilon^{\mathbf{I}}\right]_{\mathsf{P}'} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = n}} \gamma_v' \prod_{i=2}^n \binom{v_i}{I_i} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = j + \sum_{i=2}^n i I_i}} \gamma_v \prod_{i=2}^n \binom{v_i}{I_i} = \left[a^j \varepsilon^{\mathbf{I}}\right]_{\mathsf{P}} = 0. \qquad (27)$$

Otherwise,

$$\left[a^j \varepsilon^{\mathbf{I}}\right]_{\mathsf{P}'} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = j + \sum_{i=2}^n i I_i}} \gamma_v' \prod_{i=2}^n \binom{v_i}{I_i} = \sum_{\substack{v \in \mathbb{N}^n, \\ w(v) = j + \sum_{i=2}^n i I_i}} 0 \cdot \prod_{i=2}^n \binom{v_i}{I_i} = 0. \qquad (28)$$

In summary, for every $j \in \mathbb{N}$ and $\mathbf{I} = (I_2, \ldots, I_n) \in \mathbb{N}^n$ such that $|\mathbf{I}| \leq t$, we have

$$\left[a^j \varepsilon^{\mathbf{I}}\right]_{\mathsf{P}'} = \begin{cases} 1 & j = n \text{ and } \mathbf{I} = 0; \\ 0 & \text{otherwise.} \end{cases}$$

From which we conclude that $\mathsf{Q}'$ satisfies Equation (18). The fact, and the claim, follow. $\qquad \square$

# 5 Proof of the Degree Bound

In this section, we establish our lower bounds for the degree of error-reduction polynomials. The uncorrelated setting, which we cover next, has a simple and straightforward proof.

## 5.1 Uncorrelated Errors

*Proof of Proposition 3.4.* Assume for the sake of contradiction that $d \leq t$. Since $\mathsf{Q}$ is a $(d, t)$-error reduction polynomial, there exist $\{c_{\mathbf{I}}(\boldsymbol{a})\}_{\mathbf{I} = (i_2, \ldots, i_n) \in \mathbb{N}^{n-1}} \subseteq \mathbb{R}[\boldsymbol{a}]$ such that

$$\mathsf{Q}\left(\boldsymbol{a}, \boldsymbol{a}^2 + \varepsilon_2, \ldots, \boldsymbol{a}^n + \varepsilon_n\right) = \boldsymbol{a}^n + \sum_{\substack{\mathbf{I} = (i_2, \ldots, i_n) \in \mathbb{N}^{n-1} \\ |\mathbf{I}| > t}} c_{\mathbf{I}}(\boldsymbol{a}) \, \varepsilon^{\mathbf{I}},$$

where $\boldsymbol{\varepsilon} = (\varepsilon_2, \ldots, \varepsilon_n)$. It must be that

$$\sum_{\substack{\mathbf{I} = (i_2, \ldots, i_n) \in \mathbb{N}^{n-1} \\ |\mathbf{I}| > t}} c_{\mathbf{I}}(\boldsymbol{a}) \, \varepsilon^{\mathbf{I}} = 0$$

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as otherwise we would have that $d \geq \deg(\mathsf{Q}) > t$, contrary to our assumption. Thus,

$$\mathsf{Q}\left(\boldsymbol{a}, \boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{a}^n + \boldsymbol{\varepsilon}_n\right) = \boldsymbol{a}^n.$$

Substituting $\boldsymbol{\varepsilon}_i = -\boldsymbol{a}^i$ for all $2 \leq i \leq n$, we get that $\mathsf{Q}(\boldsymbol{a}, 0, \ldots, 0) = \boldsymbol{a}^n$ in the ring $\mathbb{R}[\boldsymbol{a}]$. But this contradicts the fact that

$$n = \deg \mathsf{Q}(\boldsymbol{a}, 0, \ldots, 0) \leq \deg \mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \leq d < n,$$

where the last inequality follows by Definition 3.1. $\qquad\square$

## 5.2 Correlated Errors

The correlated setting, which is now discussed, proves to be more challenging.

*Proof of Theorem 3.5.* Let $s = \lfloor \log n \rfloor$ and $D = \{0, \ldots, d\}$. We start by observing that by Lemma 4.2 we can assume without loss of generality that every monomial $\boldsymbol{x}^v$ for $v \in \mathbb{N}^n$, supported on $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$, satisfies $w(v) = n$. We further observe that by Claim 4.1, it is enough to show that for $\mathsf{Q}'(\boldsymbol{y}_0, \ldots, \boldsymbol{y}_s)$ a reduced $(d, t)$-error reduction polynomial for correlated errors, satisfying that for every monomial $\boldsymbol{y}^u$ for $u = (u_0, \ldots, u_s) \in D^{s+1}$ supported on $\mathsf{Q}'$

$$\sum_{i=0}^{s} 2^i u_i = n, \tag{29}$$

it must be that $d > t/2$. We therefore proceed to show $d > t/2$ assuming such $\mathsf{Q}'$.

Towards that we first write $\mathsf{Q}'$ as a polynomial arranged by the set of "high order" monomials

$$\left\{ \prod_{i=w}^{s} \boldsymbol{y}_i^{u_i} : u_w, \ldots, u_s \in D \right\}$$

for $w = \lceil \log(n/t) \rceil$. That is, viewing $\mathsf{Q}'$ as an element of the ring

$$\left( \mathbb{R} \left[ \boldsymbol{y}_0, \ldots, \boldsymbol{y}_{w-1} \right] \right) \left[ \boldsymbol{y}_w, \ldots, \boldsymbol{y}_s \right],$$

we write

$$\mathsf{Q}' = \sum_{u_w, \ldots, u_s \in D} \mathsf{Q}_{u_w, \ldots, u_s}(\boldsymbol{y}_0, \ldots, \boldsymbol{y}_{w-1}) \prod_{i=w}^{s} \boldsymbol{y}_i^{u_i}.$$

where for every $u_w, \ldots, u_s$, $\mathsf{Q}_{u_w, \ldots, u_s} \in \mathbb{R}[\boldsymbol{y}_0, \ldots, \boldsymbol{y}_{w-1}]$ is a polynomial of individual degree at most $d$. We observe that for every $u_w, \ldots, u_s$ such that $\mathsf{Q}_{u_w, \ldots, u_s} \neq 0$ it must be that

$$\sum_{i=w}^{s} u_i \leq t. \tag{30}$$

Indeed, by Equation (29), $\sum_{i=w}^{s} 2^i u_i \leq n$, and in particular,

$$2^{\log(n/t)} \sum_{i=\lceil \log(n/t) \rceil}^{s} u_i = \frac{n}{t} \sum_{i=\lceil \log(n/t) \rceil}^{s} u_i \leq n.$$

The rest of the argument will use the properties of $\mathsf{Q}'$ under the assignment $\boldsymbol{y}_0 = \widetilde{\boldsymbol{a}^{2^0}}, \ldots, \boldsymbol{y}_s = \widetilde{\boldsymbol{a}^{2^s}}$, where recall that $\widetilde{\boldsymbol{a}^1} = \boldsymbol{a}$ and $\widetilde{\boldsymbol{a}^{2^i}} = \boldsymbol{a}^{2^i} + \boldsymbol{\varepsilon}_i$ for $i \in [s]$. Therefore, for ease of notation, we define

$$\mathsf{P} \triangleq \mathsf{Q}' \left( \widetilde{\boldsymbol{a}^1}, \widetilde{\boldsymbol{a}^2}, \widetilde{\boldsymbol{a}^4}, \ldots, \widetilde{\boldsymbol{a}^{2^s}} \right),$$

$$\mathsf{P}_{u_w, \ldots, u_s} \triangleq \mathsf{Q}_{u_w, \ldots, u_s} \left( \widetilde{\boldsymbol{a}^1}, \widetilde{\boldsymbol{a}^2}, \ldots, \widetilde{\boldsymbol{a}^{2^{w-1}}} \right),$$

where $\mathsf{P} \in \mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_s]$ and $\mathsf{P}_{u_w, \ldots, u_s} \in \mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_1, \ldots, \boldsymbol{\varepsilon}_{w-1}]$ for every $u_w, \ldots, u_s \in D$. By Equation (20), $\mathsf{P}$ is only supported on monomials $\boldsymbol{a}^j \boldsymbol{\varepsilon}^{\mathbf{I}}$ such that if $|\mathbf{I}| \neq 0$ then

$$|\mathbf{I}| > t. \tag{31}$$

Next, we turn to inspect $\mathsf{P}_{u_w, \ldots, u_s}$ when assigning the "low order" errors to be zero, that is, when setting $\boldsymbol{\varepsilon}_1 = 0, \ldots, \boldsymbol{\varepsilon}_{w-1} = 0$.

**Claim 5.1.** *For every $(u_w, \ldots, u_s) \neq (0, \ldots, 0)$, it holds that*

$$\mathsf{P}_{u_w, \ldots, u_s}(\boldsymbol{a}, 0, \ldots, 0) = 0.$$

*Proof.* Assume for the sake of contradiction that the opposite is true. Let

$$(u_w^*, \ldots, u_s^*) \neq (0, \ldots, 0)$$

be a "maximal" tuple for which $\mathsf{P}_{u_w^*, \ldots, u_s^*}(\boldsymbol{a}, 0, \ldots, 0) \neq 0$. By maximal we mean that every *other* tuple $(u_w, \ldots, u_s)$, such that $u_w \geq u_w^* \wedge \cdots \wedge u_s \geq u_s^*$, satisfies $\mathsf{P}_{u_w, \ldots, u_s}(\boldsymbol{a}, 0, \ldots, 0) = 0$ (in particular, $(u_w^*, \ldots, u_s^*) \neq (0, \ldots, 0)$). In this case, we have that the polynomial $\mathsf{P}$ under the assignment of the low order errors to zero

$$\mathsf{P}(\boldsymbol{a}, 0, \ldots, 0, \boldsymbol{\varepsilon}_w, \ldots, \boldsymbol{\varepsilon}_s) = \sum_{u_w, \ldots, u_s \in D} \mathsf{P}_{u_w, \ldots, u_s}(\boldsymbol{a}, 0, \ldots, 0) \prod_{i=w}^{s} (\boldsymbol{a}^{2^i} + \boldsymbol{\varepsilon}_i)^{u_i}$$

is supported on the error term $\prod_{i=w}^{s} \boldsymbol{\varepsilon}_i^{u_i^*}$. However, by our choice $\sum_{i=w}^{t} u_i^* > 0$, and by Equation (30), $\sum_{i=w}^{t} u_i^* \leq t$, this stands in contradiction to Equation (31). We remark in addition to that as we chose $(u_w^*, \ldots, u_s^*)$ to be maximal, it cannot be that the non-zero term

$$\mathsf{P}_{u_w^*, \ldots, u_s^*}(\boldsymbol{a}, 0, \ldots, 0) \prod_{i=w}^{s} \boldsymbol{\varepsilon}_i^{u_i^*}$$

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coming from

$$P_{u_w^*,\ldots,u_s^*}(\boldsymbol{a},0,\ldots,0)\prod_{i=w}^{s}(\boldsymbol{a}^{2^i}+\boldsymbol{\varepsilon}_i)^{u_i^*}\boldsymbol{\varepsilon}_i^{u_i^*}$$

is canceled out by other terms of $P(\boldsymbol{a},0,\ldots,0,\boldsymbol{\varepsilon}_w,\ldots,\boldsymbol{\varepsilon}_s)$, since for other non-zero

$$P_{u_w,\ldots,u_s}(\boldsymbol{a},0,\ldots,0)\prod_{i=w}^{s}(\boldsymbol{a}^{2^i}+\boldsymbol{\varepsilon}_i)^{u_i}$$

it must be that for some $i$, $u_i < u_i^*$, and so all its monomials fall short from cancelling

$$P_{u_w,\ldots,u_s}(\boldsymbol{a},0,\ldots,0)\prod_{i=w}^{s}\boldsymbol{\varepsilon}_i^{u_i^*},$$

which completes the proof. $\qquad\square$

As a final step, we proceed by further consider $P$ under the assignment that sets *all* error terms to 0. On the one hand, we have that

$$P(\boldsymbol{a},0,\ldots,0)=Q'(\boldsymbol{a},\boldsymbol{a}^2,\ldots,\boldsymbol{a}^{2^s})=\boldsymbol{a}^n,$$

by virtue of $Q'$ being a $(d,t)$-error reduction polynomial. On the other hand, by Claim 5.1,

$$Q'(\boldsymbol{a},\boldsymbol{a}^2,\ldots,\boldsymbol{a}^{2^s})=\sum_{u_w,\ldots,u_s\in D}P_{u_w,\ldots,u_s}(\boldsymbol{a},0,\ldots,0)\prod_{i=w}^{s}\boldsymbol{a}^{2^i u_i}$$

$$=P_{0,\ldots,0}(\boldsymbol{a},0,\ldots,0),$$

and therefore

$$\deg(P_{0,\ldots,0}(\boldsymbol{a},0,\ldots,0))=n.$$

Now, recall that

$$P_{0,\ldots,0}(\boldsymbol{a},0,\ldots,0)=Q_{0,\ldots,0}\left(\boldsymbol{a},\boldsymbol{a}^2,\ldots,\boldsymbol{a}^{2^{w-1}}\right),$$

where the individual degree of $Q_{0,\ldots,0}(\boldsymbol{y}_0,\ldots,\boldsymbol{y}_{w-1})$ is at most $d$. Therefore,

$$\deg(P_{0,\ldots,0}(\boldsymbol{a},0,\ldots,0))\leq\sum_{i=0}^{w-1}2^i\cdot d$$

$$=\sum_{i=0}^{\lceil\log(n/t)\rceil-1}2^i\cdot d$$

$$=(2^{\lceil\log(n/t)\rceil}-1)d.$$

Hence, it must be that

$$(2^{\lceil\log(n/t)\rceil}-1)d\geq n,$$

from which it readily follows that $d>t/2$, as desired. $\qquad\square$

# 6  $L_1$ Norm Bound

In this section we show that a $(d,t)$-error reduction polynomial must have a large $L_1$-norm. Recall that the $L_1$-*norm* of a polynomial $\mathsf{Q} \in \mathbb{R}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$,

$$\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{(v_1, \ldots, v_n) \in \mathbb{N}^n} c_{(v_1, \ldots, v_n)} \boldsymbol{x}_1^{v_1} \cdots \boldsymbol{x}_n^{v_n}$$

is $\sum_{(v_1, \ldots, v_n) \in \mathbb{N}^n} |c_{(v_1, \ldots, v_n)}|$. For every $n \in \mathbb{N}$ and $d \in \mathbb{N}$, define

$$M(n,d) = \left\{ (v_1, \ldots, v_n) \in \mathbb{N}^n : v_1 \leq d, \ \sum_{i=1}^{n} i \cdot v_i = n \right\}.$$

In words, $M(n,d)$ describes the set of (powers of) "monomials", where we will think of each such monomial as being $\boldsymbol{a}^{v_1}(\boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2)^{v_2} \cdots (\boldsymbol{a}^n + \boldsymbol{\varepsilon}_n)^{v_n} \in \mathbb{R}[\boldsymbol{a}, \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{\varepsilon}_n]$. When defining this set we only consider powers whose weighted sum is equal to $n$, and the power of $\boldsymbol{a}$ is at most $d$. We now start by defining a representation of a $(d,t)$-error reduction polynomial that will be useful for lower-bounding the $L_1$-norm.

**Definition 6.1.** We say that $\bar{\gamma} = (\gamma_m)_{m \in M(n,d)}$, where each $\gamma_m \in \mathbb{R}$ is a $(d,t)$-coefficient vector if

$$\sum_{m \in M(n,d)} \gamma_m = 1, \tag{32}$$

and for every $I = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1}$ such that $0 < \sum I_i \leq t$, it holds that

$$\sum_{(v_1, \ldots, v_n) \in M(n,d)} \gamma_{(v_1, \ldots, v_n)} \prod_{i=2}^{n} \binom{v_i}{I_i} = 0. \tag{33}$$

The $L_1$-norm of $\bar{\gamma}$ is $\sum_{m \in M(n,d)} |\gamma_m|$.

Indeed, a $(d,t)$-error reduction polynomial implies a $(d,t)$-coefficient vector, as we have in the following claim.

**Claim 6.2.** *Let $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ be a $(d,t)$-error reduction polynomial of $L_1$-norm $\ell$, for uncorrelated or correlated errors. Then, there exists a $(d,t)$-coefficient vector with $L_1$-norm at most $\ell$.*

*Proof.* For a set $M \subseteq M(n,d)$ and coefficients $\bar{\gamma} = (\gamma_v)_{v \in M}$, let $\bar{\gamma}_{M(n,d)}$ be the extension of $\bar{\gamma}$ to $M(n,d)$ defined by setting $\gamma_v = 0$ for $v \in M(n,d) \setminus M$. We start the proof by noting that if for a set $M \subseteq M(n,d)$ we have that

$$\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{v \in M} \gamma_v \boldsymbol{x}^v$$

satisfies Equation (18), then $\bar{\gamma}_{M(n,d)}$ for $\bar{\gamma} = (\gamma_v)_{v \in M}$, is a $(d,t)$-coefficient vector. Indeed, the fact that $\bar{\gamma}_{M(n,d)}$ satisfies Equation (32) can be seen by considering the coefficient $[\boldsymbol{a}^n]_{\mathsf{P}}$ mentioned in Equation (26). Further, $\bar{\gamma}_{M(n,d)}$ satisfies Equation (33) for every $I = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1}$ such that $0 < \sum I_i \leq t$, and this follows by considering the coefficients mentioned in Equation (27) and Equation (28).

We start by proving the claim for the case of uncorrelated errors. We have that if $\mathsf{Q}$ is a $(d,t)$-error reduction polynomial for uncorrelated errors, then by Lemma 4.2, without loss of generality, it is of the form

$$\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{\substack{v \in \mathbb{N}^n, |v| \leq d \\ \sum i v_i = n}} \gamma_v \boldsymbol{x}^v.$$

Thus, in this case we have that $M = \{n \in \mathbb{N}^n : |v| \leq d, \sum i v_i = n\}$ and $\bar{\gamma} = (\gamma_v)_{v \in M}$, and we can take $\bar{\gamma}_{M(n,d)}$ to be the desired $(d,t)$-coefficient vector. Notice that indeed we have $M \subseteq M(n,d)$ as $|v| \leq d$ implies $v_1 \leq d$ in particular.

The proof for correlated errors is similar albeit less direct. Assume that $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ is a $(d,t)$-polynomial for correlated errors and is of the same form as above, however, now we do not have that $\mathsf{Q}$ satisfies Equation (18). Set $s = \lfloor \log n \rfloor$. Similarly to the beginning of the proof for Lemma 4.2, we consider the polynomial $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$, achieved from $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ by substituting every variable $\boldsymbol{x}_r$, for $r \in [n]$, which appears in $\mathsf{Q}$, with the product $\prod_{i \in \{0, \ldots, s\} : r_i = 1} \widehat{\boldsymbol{x}}_{2^i}$ where $r_0, \ldots, r_s \in \{0, 1\}$ are the binary representation of $r$, $r = \sum_{i=0}^{s} 2^i r_i$. As in the proof for Lemma 4.2, since $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$ satisfies Equation (19), $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ satisfies Equation (18). Notice that $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ is a polynomial of individual degree at most $d$, and like $\mathsf{Q}$, is supported only on monomials of weight $n$ (as mentioned in the proof for Lemma 4.2 the transformation from $\mathsf{Q}$ to $\widehat{\mathsf{Q}}$ preserves the weight of monomials), and it is easy to check that its $L_1$-norm is smaller or equal to that of $\mathsf{Q}$. Therefore, we can define $M = \{n \in \mathbb{N}^n : \max(v_1, \ldots, v_n) \leq d, \sum i v_i = n\}$ and have that

$$\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n) = \sum_{v \in M} \widehat{\gamma}_v \widehat{\boldsymbol{x}}^v$$

satisfies Equation (18), and $M \subseteq M(n,d)$ since $v \in M$ in particular implies $v_1 \leq d$. Therefore, by the account above, $\bar{\gamma}_{M(n,d)}$ for $\bar{\gamma} = (\widehat{\gamma}_v)_{v \in M}$ is a $(d,t)$-coefficient vector as desired, completing the proof. $\square$

**Remark 6.3. (More general decomposition of correlated errors.)** Actually Claim 6.2 also works for more general decomposition of correlated errors. Note that in the proof of Claim 6.2, we only require that the individual degree of $\widehat{\boldsymbol{x}}_1$ in $\widehat{\mathsf{Q}}(\widehat{\boldsymbol{x}}_1, \ldots, \widehat{\boldsymbol{x}}_n)$ is at most $d$, which is followed by the fact that the decomposition of each $\boldsymbol{x}_i$ contains at most one $\widehat{\boldsymbol{x}}_1$. Therefore, in a more general decomposition, suppose the decomposition of each $\boldsymbol{x}_i$ contains at

most $c\,\widehat{\boldsymbol{x}}_1$-s, (where $c = 1$ in the standard decomposition,) a $(d, t)$-error reduction polynomial of $L_1$-norm $\ell$ for correlated error gives a $(cd, t)$-coefficient vector with $L_1$-norm at most $\ell$. It is reasonable to assume $c$ is small, since if $c$ is large, generating the $\boldsymbol{x}_i$-s from $\widehat{\boldsymbol{x}}_j$-s is already costly. Furthermore, in Theorem 6.6, the bound for such more general decomposition is $L_1(\mathsf{Q}) = 2\left(\frac{n}{cd}\right)^t - 1$.

Before proving our main result for this section, we will give two auxiliary claims.

**Claim 6.4.** *The set of equations given in Equation (33) imply that for every $I = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1}$ such that $0 < \sum I_i \leq t$,*

$$\sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \prod_{i=2}^{n} v_i^{I_i} = 0. \tag{34}$$

*Proof.* Let $I = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1}$ be such that $0 < \sum I_i \leq t$. Note that for each $k \in \mathbb{N}^+$ there exist $\beta_{k,1}, \ldots, \beta_{k,k} \in \mathbb{R}$ such that

$$x^k = \sum_{r=1}^{k} \beta_{k,r} \binom{x}{r}$$

(as $x^k$ belongs to the span of the generalized binomial coefficients $\{\binom{x}{1}, \ldots, \binom{x}{k}\} \subseteq \mathbb{R}[x]$). Let us fix some $j \in \{2, \ldots, n\}$ with $I_j > 0$. Consider the expression obtained by taking the LHS of Equation (33) and replacing in it $\binom{v_j}{I_j}$ with $v_j^{I_j}$:

$$\sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \cdot v_j^{I_j} \prod_{i\in\{2,\ldots,n\}\setminus\{j\}} \binom{v_i}{I_i} =$$

$$\sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \sum_{r=1}^{I_j} \beta_{I_j,r} \binom{v_j}{r} \prod_{i\in\{2,\ldots,n\}\setminus\{j\}} \binom{v_i}{I_i} =$$

$$\sum_{r=1}^{I_j} \beta_{I_j,r} \sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \cdot \binom{v_j}{r} \prod_{i\in\{2,\ldots,n\}\setminus\{j\}} \binom{v_i}{I_i}.$$

Now, let $r \in [I_j]$ and consider the vector $J$ obtained from $I$ by replacing its entry $I_j$ with $r$ (notice that $0 < \sum J_i \leq t$ as $1 \leq r \leq I_j$). Thus, by Equation (33) which corresponds to the vector $J$, we have

$$\sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \cdot \binom{v_j}{r} \prod_{i\in\{2,\ldots,n\}\setminus\{j\}} \binom{v_i}{I_i} = 0.$$

It follows that

$$\sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \cdot v_j^{I_j} \prod_{i\in\{2,\ldots,n\}\setminus\{j\}} \binom{v_i}{I_i} = 0.$$

To conclude, we can continue with the same process, replacing all remaining $\binom{v_i}{I_i}$ (for which $I_i > 0$) with $v_i^{I_i}$. $\qquad\square$

**Claim 6.5.** *Let* $\mathcal{I} = \{I = (I_2, \ldots, I_n) \in \mathbb{N}^{n-1} \mid 0 < \sum I_i \leq t\}$. *Then there exists a sequence* $\{\delta_I\}_{I \in \mathcal{I}} \subseteq \mathbb{R}$ *such that for every* $m = (v_1, \ldots, v_n) \in M(n, d)$,

$$\sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1} \\ 0<\sum I_i\leq t}} \delta_I v_n^{I_n} \cdots v_2^{I_2} = n^t - v_1^t.$$

*Proof.* Let $m = (v_1, \ldots, v_n) \in M(n, d)$ be arbitrary. Then

$$\left(v_1 + \sum_{i=2}^n i \cdot v_i\right)^t = n^t.$$

Hence,

$$\sum_{h=0}^{t-1} \binom{t}{h} v_1^h \left(\sum_{i=2}^n i \cdot v_i\right)^{t-h} = n^t - v_1^t.$$

Plugging $v_1 = n - \sum_{i=2}^n i \cdot v_i$ we obtain

$$\sum_{h=0}^{t-1} \binom{t}{h} \left(n - \sum_{i=2}^n i \cdot v_i\right)^h \left(\sum_{i=2}^n i \cdot v_i\right)^{t-h} = n^t - v_1^t.$$

It is easy to see that the left hand side is a polynomial in the variables $v_2, \ldots, v_n$ of total degree at most $t$ with no free term. Thus we can write

$$\sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1} \\ 0<\sum I_i\leq t}} \delta_I v_n^{I_n} \cdots v_2^{I_2} = n^t - v_1^t,$$

where $\{\delta_I\} \subseteq \mathbb{R}$ and each $\delta_I$ depends on $n, t$ (but not on $m$), as required. $\qquad\square$

We are now ready to prove that a $(d, t)$-error reduction polynomial has a large $L_1$-norm.

**Theorem 6.6** ($L_1$ bound). *Let* $n, d, t \in \mathbb{N}$ *be such that* $t < n$. *Then, for every* $(d, t)$-*error reduction polynomial* $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n)$, *correlated or uncorrelated,*

$$L_1(\mathsf{Q}) \geq 2\left(\frac{n}{d}\right)^t - 1.$$

*Proof.* Let $(\gamma)_{m\in M(n,d)}$ be a $(d, t)$-coefficient vector. By Claim 6.2 it suffices to show that the $L_1$-norm of $(\gamma)_{m\in M(n,d)}$ is at least $2\left(\frac{n}{d}\right)^t - 1$. To this end, we first recall that by Claim 6.5,

for some $\{\delta_I \in \mathbb{R}\}_{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1},0<\sum I_i\leq t}$ we have that for every monomial $m = (v_1,\ldots,v_n) \in M(n,d)$ it holds that

$$\sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1}\\0<\sum I_i\leq t}} \delta_I v_n^{I_n}\cdots v_2^{I_2} = n^t - v_1^t.$$

Dividing by $\frac{d^t}{2}$ gives

$$\sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1}\\0<\sum I_i\leq t}} \frac{2\delta_I}{d^t} v_n^{I_n}\cdots v_2^{I_2} = 2\left(\frac{n}{d}\right)^t - 2\frac{v_1^t}{d^t}.$$

As $0 \leq v_1 \leq d$ (by the definition of $M(n,d)$), we see that

$$\sum_{\substack{I\in(I_2,\ldots,I_n)\in\mathbb{N}^{n-1}\\0<\sum I_i\leq t}} \frac{2\delta_I}{d^t} v_n^{I_n}\cdots v_2^{I_2} \in \left[2\left(\frac{n}{d}\right)^t - 2, 2\left(\frac{n}{d}\right)^t\right]. \tag{35}$$

Now, for every $I = (I_2,\ldots,I_n) \in \mathbb{N}^{n-1}$ such that $0 < \sum I_i \leq t$ we proceed by multiplying Equation (34) in Claim 6.4 by $\frac{2\delta_I}{d^t}$ and summing all equations together to get that

$$\sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1}\\0<\sum I_i\leq t}}\sum_{(v_1,\ldots,v_n)\in M(n,d)} \frac{2\delta_I}{d^t}\gamma_{(v_1,\ldots,v_n)}\prod_{i=2}^{n} v_i^{I_i} = 0.$$

Changing the order of summation,

$$\sum_{(v_1,\ldots,v_n)\in M(n,d)} \gamma_{(v_1,\ldots,v_n)} \sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1}\\0<\sum I_i\leq t}} \frac{2\delta_I}{d^t}\prod_{i=2}^{n} v_i^{I_i} = 0. \tag{36}$$

Next we multiply Equation (32) by $2\left(\frac{n}{d}\right)^t - 1$ to get that

$$\sum_{m\in M(n,d)} \gamma_m\left(2\left(\frac{n}{d}\right)^t - 1\right) = 2\left(\frac{n}{d}\right)^t - 1.$$

Subtracting Equation (36) from the above equation, we get that

$$\sum_{m\in M(n,d)} \gamma_m\left(2\left(\frac{n}{d}\right)^t - 1 - \sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1}\\0<\sum I_i\leq t}} \frac{2\delta_I}{d^t}\prod_{i=2}^{n} v_i^{I_i}\right) = 2\left(\frac{n}{d}\right)^t - 1.$$

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Hence,

$$
\begin{aligned}
2\left(\frac{n}{d}\right)^t - 1 &= \sum_{m \in M(n,d)} \gamma_m \left( 2\left(\frac{n}{d}\right)^t - 1 - \sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1} \\ 0<\sum I_i \leq t}} \frac{2\delta_I}{d^t} \prod_{i=2}^n {v_i}^{I_i} \right) \\
&\leq \sum_{m \in M(n,d)} |\gamma_m| \left| 2\left(\frac{n}{d}\right)^t - 1 - \sum_{\substack{I=(I_2,\ldots,I_n)\in\mathbb{N}^{n-1} \\ 0<\sum I_i \leq t}} \frac{2\delta_I}{d^t} \prod_{i=2}^n {v_i}^{I_i} \right| \\
&\leq \sum_{m \in M(n,d)} |\gamma_m| \cdot 1,
\end{aligned}
$$

where that the last inequality is by Equation (35). This gives us the required bound. $\qquad\square$

# 7 $L_0$ Norm Bound, in the Non-Commutative Setting

In this section, we show that in the non-commutative setting, we can establish a bound on the $L_0$-norm of an error-reduction polynomial. Let us take the error reduction polynomial from Equation (9) as an example to illustrate the difference between the commutative and the non-commutative settings. In the commutative setting, Equation (9) takes the form

$$
\mathsf{Q}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4) = 2\boldsymbol{x}_1^2 \boldsymbol{x}_2 - \boldsymbol{x}_2^2.
$$

However, in the non-commutative setting, to achieve $\varepsilon \ll \varepsilon_0$, the error reduction polynomial should be

$$
\mathsf{Q}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3, \boldsymbol{x}_4) = \boldsymbol{x}_1^2 \boldsymbol{x}_2 + \boldsymbol{x}_2 \boldsymbol{x}_1^2 - \boldsymbol{x}_2^2.
$$

This shows that distinct terms in the non-commutative setting might be merged in the commutative setting. Thus, the $L_0$ norms in the commutative and non-commutative settings are quite different.

We first need some new definitions for the non-commutative setting.

**Definition 7.1.** Define

$$
N(n) \triangleq \{(k, v_1, \ldots, v_k) \colon k \geq 1, \ v_1, \ldots, v_k \in [n], v_1 + \cdots + v_k = n\}.
$$

Then, any monomial over non-commutative variables $\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n$ such that the sum of the indices is $n$ has form $\boldsymbol{x}_{v_1} \boldsymbol{x}_{v_2} \cdots \boldsymbol{x}_{v_k}$ for some $(k, v_1, \ldots, v_k) \in N(n)$.

Let $\mathbb{R}_{\mathsf{nc}}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ be the set of all real-coefficient degree-$n$ homogeneous polynomials over non-commutative variables $\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n$. Moreover, for

$$\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) = \sum_{(k,v_1,\ldots,v_k) \in N(n)} c_{k,v_1,\ldots,v_k} \boldsymbol{x}_{v_1} \ldots \boldsymbol{x}_{v_k},$$

recall that we define

$$L_0(\mathsf{Q}) = \sum_{(k,v_1,\ldots,v_k) \in N(n)} \mathbb{I}[c_{k,v_1,\ldots,v_k} \neq 0].$$

**Definition 7.2.** Define

$$N'(n) \triangleq \left\{ (k, v_1, \ldots, v_k, w_1, \ldots, w_{k+1}) \colon k \geq 0, \ v_i \in [n], \ w_i \in \mathbb{N}, \ \sum_{i=1}^{k} v_i + \sum_{i=1}^{k+1} w_i = n \right\}.$$

For $n, d, t \in \mathbb{N}$ and a polynomial $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \in \mathbb{R}_{\mathsf{nc}}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$, we say $\mathsf{Q}$ is a $(d, t)$-*error reduction polynomial (for the non-commutative setting)*, if the total degree of $\mathsf{Q}$ is at most $d$, and there exist real coefficients $\{c_{\mathbf{v}}\}_{\mathbf{v} \in N'(n)}$ such that

$$\mathsf{Q}(\boldsymbol{a}, \boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{a}^n + \boldsymbol{\varepsilon}_n) - \boldsymbol{a}^n$$
$$= \sum_{\substack{\mathbf{v}=(k,v_1,\ldots,v_k,w_1,\ldots,w_{k+1}) \in N'(n) \\ k \geq t+1}} c_{\mathbf{v}} \boldsymbol{a}^{w_1} \boldsymbol{\varepsilon}_{v_1} \boldsymbol{a}^{w_2} \boldsymbol{\varepsilon}_{v_2} \cdots \boldsymbol{a}^{w_k} \boldsymbol{\varepsilon}_{v_k} \boldsymbol{a}^{w_{k+1}}.$$

i.e., in $\mathsf{Q}(\boldsymbol{a}, \boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{a}^n + \boldsymbol{\varepsilon}_n) - \boldsymbol{a}^n$, the total degree of $\boldsymbol{\varepsilon}_2, \ldots, \boldsymbol{\varepsilon}_n$ is at least $t+1$ in each non-zero term.

Then, we state and prove our bound for $L_0$ in the non-commutative setting. We also handle the correlated errors simultaneously.

**Theorem 7.3.** *Let $n > d' \geq d > t \geq 1$. If $\mathsf{Q}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n) \in \mathbb{R}_{\mathsf{nc}}[\boldsymbol{x}_1, \ldots, \boldsymbol{x}_n]$ is a $(d', t)$-error reduction polynomial (for the non-commutative setting), such that $\deg_{\boldsymbol{x}_1}(\mathsf{Q}) \leq d$, then*

$$L_0(\mathsf{Q}) \geq \frac{\binom{n}{t}}{\binom{d}{t}}.$$

**Remark 7.4.** Note that since the total degree of a polynomial is at least the degree of $\boldsymbol{x}_1$, Theorem 7.3 implies that for any $(d, t)$-error reduction polynomial $\mathsf{Q}$, we have $L_0(\mathsf{Q}) \geq \binom{n}{t}/\binom{d}{t}$. We need to mention that the correlated error in the non-commutative setting is more complicated. In the commutative setting, for example, we have

$$(\boldsymbol{a}^{11} + \boldsymbol{\varepsilon}_{11}) = \boldsymbol{a}(\boldsymbol{a}^2 + \boldsymbol{\varepsilon}_2)(\boldsymbol{a}^8 + \boldsymbol{\varepsilon}_8).$$

However, for the non-commutative setting, the order of $\boldsymbol{a}, (\boldsymbol{a}^2+\boldsymbol{\varepsilon}_2), (\boldsymbol{a}^8+\boldsymbol{\varepsilon}_8)$ can be arbitrary, and the order might even be different between the different appearances of $(\boldsymbol{a}^{11} + \boldsymbol{\varepsilon}_{11})$ in the expression of $\mathsf{Q}$. Nonetheless, as long as the number of $\boldsymbol{a}$-s in the decomposition of each $(\boldsymbol{a}^r + \boldsymbol{\varepsilon}_r)$ is bounded by 1, degree-$d$ error reduction polynomials (with correlated errors) can be captured by $\deg_{\boldsymbol{x}_1} \leq d$. So Theorem 7.3 still gives a bound for $L_0$ in the correlated error setting. Furthermore, in more general decompositions where the number of $\boldsymbol{a}$-s in the decomposition of each $(\boldsymbol{a}^r + \boldsymbol{\varepsilon}_r)$ is bounded by $c$, degree-$d$ error reduction polynomials can be captured by $\deg_{\boldsymbol{x}_1} \leq cd$, and a bound on $L_0$ norm follows.

*Proof of Theorem 7.3.* For $I \subseteq [n]$ define monomial $B_I(\boldsymbol{a}, \boldsymbol{\varepsilon}) = \mathbf{y}_1 \mathbf{y}_2 \cdots \mathbf{y}_n$, here $\mathbf{y}_i = \boldsymbol{\varepsilon}$ if $i \in I$ and $\mathbf{y}_i = \boldsymbol{a}$ if $i \notin I$. Consider

$$\mathsf{R}(\boldsymbol{a}, \boldsymbol{\varepsilon}) \triangleq \mathsf{Q}(\boldsymbol{a} + \boldsymbol{\varepsilon}, (\boldsymbol{a} + \boldsymbol{\varepsilon})^2 + (\boldsymbol{a}^2 - (\boldsymbol{a} + \boldsymbol{\varepsilon})^2), \dots, (\boldsymbol{a} + \boldsymbol{\varepsilon})^n + (\boldsymbol{a}^n - (\boldsymbol{a} + \boldsymbol{\varepsilon})^n)).$$

By the definition of $(d, t)$-error reduction polynomial, we can write

$$\mathsf{R}(\boldsymbol{a}, \boldsymbol{\varepsilon}) - (\boldsymbol{a} + \boldsymbol{\varepsilon})^n$$

$$= \sum_{\substack{\mathbf{v}=(k,v_1,\dots,v_k,w_1,\dots,w_{k+1})\in N'(n) \\ k \geq t+1}} c_\mathbf{v}(\boldsymbol{a} + \boldsymbol{\varepsilon})^{w_1}(\boldsymbol{a}^{v_1} - (\boldsymbol{a} + \boldsymbol{\varepsilon})^{v_1}) \cdots (\boldsymbol{a} + \boldsymbol{\varepsilon})^{w_k}(\boldsymbol{a}^{v_k} - (\boldsymbol{a} + \boldsymbol{\varepsilon})^{v_k})(\boldsymbol{a} + \boldsymbol{\varepsilon})^{w_{k+1}}$$

$$= (-1)^k \sum_{\substack{\mathbf{v}=(k,v_1,\dots,v_k,w_1,\dots,w_{k+1})\in N'(n) \\ k \geq t+1 \\ \varnothing \neq I_1 \subseteq [v_1],\dots,\varnothing \neq I_k \subseteq [v_k]}} c_\mathbf{v}(\boldsymbol{a} + \boldsymbol{\varepsilon})^{w_1} B_{I_1}(\boldsymbol{a}, \boldsymbol{\varepsilon}) \cdots (\boldsymbol{a} + \boldsymbol{\varepsilon})^{w_k} B_{I_k}(\boldsymbol{a}, \boldsymbol{\varepsilon})(\boldsymbol{a} + \boldsymbol{\varepsilon})^{w_{k+1}}.$$

The last equation can be written as

$$\sum_{I \subseteq [n], |I| \geq t+1} \lambda_I B_I(\boldsymbol{a}, \boldsymbol{\varepsilon})$$

for some real numbers $\{\lambda_I\}_{I \subseteq [n]}$. Therefore,

$$\mathsf{R}(\boldsymbol{a}, \boldsymbol{\varepsilon}) = \sum_{I \subseteq [n], |I| \leq t} B_I(\boldsymbol{a}, \boldsymbol{\varepsilon}) + \sum_{I \subseteq [n], |I| \geq t+1} (\lambda_I + 1)B_I(\boldsymbol{a}, \boldsymbol{\varepsilon}). \tag{37}$$

On the other hand, suppose

$$\mathsf{Q}(\boldsymbol{x}_1, \dots, \boldsymbol{x}_n) = \sum_{(k,v_1,\dots,v_k)\in N(n)} \mu_{k,v_1,\dots,v_k} \boldsymbol{x}_{v_1} \cdots \boldsymbol{x}_{v_k}.$$

Since $\deg_{\boldsymbol{x}_1}(\mathsf{Q}) \leq d$, we know that for each non-zero $\mu_{k,v_1,\dots,v_k}$, there are at most $d$ 1-s in $v_1, \dots, v_k$. Thus,

$$\mathsf{R}(\boldsymbol{a}, \boldsymbol{\varepsilon}) = \sum_{\substack{(k,v_1,\dots,v_k)\in N(n) \\ |\{i\in[k]:\, v_i=1\}|\leq d}} \mu_{k,v_1,\dots,v_k} \mathbf{y}_{v_1} \cdots \mathbf{y}_{v_k},$$

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here $\mathbf{y}_1 \triangleq (\boldsymbol{a} + \boldsymbol{\varepsilon})$ and $\mathbf{y}_i \triangleq \boldsymbol{a}^i$ for $i \geq 2$. For each non-zero $\mu_{k,v_1,\ldots,v_k}$, $\mathbf{y}_{v_1} \cdots \mathbf{y}_{v_k}$ is a multiplication of some $(\boldsymbol{a} + \boldsymbol{\varepsilon})$-s and $\boldsymbol{a}$-s, and since $|\{i \in [k] \colon v_i = 1\}| \leq d$, we know there are at most $d$ $(\boldsymbol{a} + \boldsymbol{\varepsilon})$-s in the multiplication. Thus, for each $\mathbf{v} = (k, v_1, \ldots, v_k) \in N(n)$ such that $|\{i \in [k] \colon v_i = 1\}| \leq d$, we can assign a set $J_{\mathbf{v}} \subseteq [n]$ such that $\mathbf{y}_{v_1} \cdots \mathbf{y}_{v_k} = B_{J_{\mathbf{v}}}(\boldsymbol{a}, \boldsymbol{a} + \boldsymbol{\varepsilon})$ and $|J_{\mathbf{v}}| \leq d$. Thus,

$$\mathsf{R}(\boldsymbol{a}, \boldsymbol{\varepsilon}) = \sum_{\mathbf{v} \in N(n), \mu_{\mathbf{v}} \neq 0} \mu_{\mathbf{v}} B_{J_{\mathbf{v}}}(\boldsymbol{a}, \boldsymbol{a} + \boldsymbol{\varepsilon})$$

$$= \sum_{\substack{\mathbf{v} \in N(n), \mu_{\mathbf{v}} \neq 0 \\ I \subseteq J_{\mathbf{v}}}} \mu_{\mathbf{v}} B_I(\boldsymbol{a}, \boldsymbol{\varepsilon}). \tag{38}$$

Let us compare Equation (37) and Equation (38). Consider writing $\mathsf{R}(\boldsymbol{a}, \boldsymbol{\varepsilon})$ into a weighted sum of $B_I(\boldsymbol{a}, \boldsymbol{\varepsilon})$-s ($I \subseteq [n]$). By Equation (38) we know that the number of non-zero $B_I(\boldsymbol{a}, \boldsymbol{\varepsilon})$-s ($|I| = t$) is bounded above by

$$\sum_{\mathbf{v} \in N(n), \mu_{\mathbf{v}} \neq 0} \binom{|J_{\mathbf{v}}|}{t} \leq L_0(\mathsf{Q}) \cdot \binom{d}{t}.$$

On the other hand by Equation (37) we know the coefficient of each $B_I(\boldsymbol{a}, \boldsymbol{\varepsilon})$ ($|I| = t$) is non-zero, so the number of non-zero $B_I(\boldsymbol{a}, \boldsymbol{\varepsilon})$-s ($|I| = t$) is $= \binom{n}{t}$. Note that this crucially depends on the non-commutative setting. Therefore $\binom{n}{t} \leq L_0(\mathsf{Q}) \cdot \binom{d}{t}$, which completes the proof. $\qquad\square$

# 8    A Simultaneous $L_1$ and Degree Bound

Recall our discussion from Section 2.3 about the WPRGs error reduction framework, wherein we use an auxiliary PRG $G_{\text{aux}}$ to "derandomize" each monomial. As we also noted in Section 2.3, a very small degree $d$ may remove the need to use $G_{\text{aux}}$, and thereby avoid the dependence on $L_1(\mathsf{Q})$. As previous results show, unfortunately, $d$ must be large. A contrarian reader may suggest the following avenue towards evading the degree barrier: Suppose that $\mathsf{Q}$, *restricted* to high-degree monomials, had small $L_1$ norm. Then, we could derandomize high-degree monomials and use independent seeds to approximate the low-degree monomials. This way, we could conceivably avoid paying for the (large) $L_1$ norm of the polynomial itself. In this section, we show that this cannot be the case. For concreteness, let us focus on (a specific instantiation of) correlated errors[12], and readily start with the reduced setting

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[12]Recall that in an error reduction polynomial for correlated errors, as used in the "WPRG step" of Section 2.3, the total degree is counted in terms of the number of approximations of $A^{2^i}$ (including multiplicities). This is a suitable notion of degree when we need to pay for each power-of-two that we approximate, like in Nisan's PRG. In Theorem 8.1 we consider individual degrees, which in particular bound the total degree, as defined here.

(which we know, by Claim 4.1 suffices).

**Theorem 8.1** (simultaneous bound). *Let $n, d \in \mathbb{N}$ such that $d < n$ and set $s = \log n$, where we assume that $n$ is a power of $2$. Let $\mathsf{Q}(\boldsymbol{x}_0, \ldots, \boldsymbol{x}_s)$ be a reduced $(d, 1)$-error reduction polynomial for correlated errors. Further, assume that for any $\varepsilon_0$ and $a \in [0, 1]$, and any $\widetilde{a^2}, \widetilde{a^4}, \ldots, \widetilde{a^{2^s}}$ such that $\left| a^{2^i} - \widetilde{a^{2^i}} \right| \leq \varepsilon_0$, it holds that*

$$\left| \mathsf{Q}\left( a, \widetilde{a^2}, \widetilde{a^4}, \ldots, \widetilde{a^{2^s}} \right) - a^n \right| \leq \tau$$

*for some $0 < \tau = \tau(n, \varepsilon_0) \leq \frac{\varepsilon_0}{4}$.[13] Then, $L_1(\mathsf{Q}_R) = \Omega(n/d)$, where $\mathsf{Q}_R$ is the restriction of $\mathsf{Q}$ to monomials of total degree at least $\frac{\log n}{2 \log d}$.*

*Proof.* Write $\mathsf{Q} = \sum_{\mathbf{I} \in \mathcal{I}} c_{\mathbf{I}} \boldsymbol{x}^{\mathbf{I}}$ where $\mathbf{I} \subseteq \{0, \ldots, d\}^{s+1}$, and recall that we can assume that for every $\mathbf{I} \in \mathcal{I}$ for $\mathbf{I} = (i_0, \ldots, i_s)$ has effective degree $n$, namely $w(\mathbf{I}) = \sum_{k=0}^{s} 2^k \cdot i_k = n$. We first observe that any monomial in $\mathsf{Q}$ which has $\boldsymbol{x}$ as a term (i.e., $i_0 \geq 1$) must have high degree.

**Claim 8.2.** *For every $\mathbf{I} = (i_0, \ldots, i_s) \in \mathcal{I}$ in which $i_0 \geq 1$ it holds that $|\mathbf{I}| \geq \frac{\log n}{2 \log d}$.*

*Proof.* Since $w(\mathbf{I}) = n$, we can write $\sum_{k=1}^{s} 2^k \cdot i_k = n - i_0$. This readily implies that there must be at least $\frac{\log n}{2 \log d}$ values of $k$ for which $i_k \neq 0$. $\qquad \square$

Now, write $\mathsf{Q}$ as $\mathsf{Q}(\boldsymbol{x}_0, \ldots, \boldsymbol{x}_s) = \mathsf{Z}(\boldsymbol{x}_1, \ldots, \boldsymbol{x}_s) + \mathsf{R}(\boldsymbol{x}_0, \ldots, \boldsymbol{x}_s)$, where $\mathsf{Z}$ contains all $\mathbf{I} = (i_0, \ldots, i_s) \in \mathcal{I}$ for which $i_0 = 0$, and similarly $\mathsf{R}$ contains all $\mathbf{I}$-s for which $i_0 \neq 0$. Set $a_1 = 1$, and $a_2 = 1 - \frac{\varepsilon_0}{n}$. We can write

$$\mathsf{Q}(a_1, 1, \ldots, 1) = \mathsf{Z}(1, \ldots, 1) + \mathsf{R}_1(a_1, 1, \ldots, 1)$$

and

$$\mathsf{Q}(a_2, 1, \ldots, 1) = \mathsf{Z}(1, \ldots, 1) + \mathsf{R}_2(a_2, 1, \ldots, 1),$$

observing that indeed, the "$\mathsf{Z}$ part" in both polynomials is the same. Moreover, each $\mathbf{I}$ in $\mathsf{R}$ satisfies $|\mathbf{I}| \geq \frac{\log n}{2 \log d}$, by Claim 8.2. Now, since $|a_2^n - 1| \leq 1 - (1 - \varepsilon_0/n)^n \leq \varepsilon_0$ (and obviously the same is true for $a_1$), we have that

$$\tau \geq |a_1^n - \mathsf{Q}(a_1, 1, \ldots, 1)| = |1 - \mathsf{Z}(1, \ldots, 1) - \mathsf{R}_1(a_1, 1, \ldots, 1)|, \tag{39}$$

and

$$\tau \geq |a_2^n - \mathsf{Q}(a_2, 1, \ldots, 1)| = |a_2^n - \mathsf{Z}(1, \ldots, 1) - \mathsf{R}_2(a_2, 1, \ldots, 1)|, \tag{40}$$

---

[13] Note that we do not simply require the linear terms in $\boldsymbol{\varepsilon}$ to vanish – which would naively say that $\tau \leq L_1(\mathsf{Q})\varepsilon_0^2$. Indeed, we *must* assume that establishing the error-reduction capabilities of $\mathsf{Q}$ do not go through the $L_1$ norm, since we already *know* it's large.

recalling that $\tau = \tau(n, \varepsilon_0)$. Writing $\rho = 1 - \mathsf{Z}(1, \ldots, 1)$, Equation (39) tells us that $|\mathsf{R}_1(a_1, 1, \ldots, 1) - \rho| \leq \tau$, and Equation (40) tells us that $|\mathsf{R}_2(a_2, 1, \ldots, 1) - \rho| \geq (1 - a_2^n) - \tau$. From these inequalities we can infer that

$$
\begin{aligned}
|\mathsf{Q}(a_2, 1, \ldots, 1) - \mathsf{Q}(a_1, 1, \ldots, 1)| = |(\mathsf{R}_1(a_1, 1, \ldots, 1) - \rho) - (\mathsf{R}_2(a_2, 1, \ldots, 1) - \rho)| \geq \\
|\mathsf{R}_2(a_2, 1, \ldots, 1) - \rho| - |\mathsf{R}_1(a_1, 1, \ldots, 1) - \rho| \geq (1 - a_2^n) - 2\tau \geq \varepsilon_0/2 - 2\tau,
\end{aligned}
$$

where the last inequality follows from the fact that $a_2^n \leq e^{-\varepsilon_0} \leq 1 - \frac{\varepsilon_0}{2}$. Since $\tau \leq \varepsilon_0/4$, we get that $|\mathsf{Q}(a_2, 1, \ldots, 1) - \mathsf{Q}(a_1, 1, \ldots, 1)| \geq \frac{\varepsilon_0}{4}$. But

$$
|\mathsf{Q}(a_2, 1, \ldots, 1) - \mathsf{Q}(a_1, 1, \ldots, 1)| = \left| \sum_{\mathbf{I} \in \mathcal{I}: i_0 \neq 0} c_{\mathbf{I}} \left( a_2^{i_1} - 1 \right) \right| \leq \sum_{\mathbf{I} \in \mathcal{I}: i_0 \neq 0} |c_{\mathbf{I}}| \cdot \left| a_2^{i_1} - 1 \right|.
$$

Recall that $i_1 \leq d$, so $|a_2^{i_1} - 1| \leq \frac{\varepsilon_0 d}{n}$. Thus,

$$
\sum_{\mathbf{I} \in \mathcal{I}: i_0 \neq 0} |c_{\mathbf{I}}| \geq \frac{n}{4d},
$$

as desired. $\qquad\square$

We remark that in the case of a more general decomposition, for example where $n$ is a power of 3 and given approximations for $a^3, a^9, \ldots$, the only change is in Claim 8.2 and a similar bound is derived.

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